



Article Lithium on CH Divacancy Self-Healed Graphane: A First-Principles Study

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Abstract: The possibility of using graphane monolayer crystals as an electrode material is becoming popular. Graphane is stable at room temperature and has a large surface area, but its chemical inertness hinders its direct interactions with Li ions. In this study, we performed density functional theory calculations to study the energetic stability and structural and electronic properties of Li on graphane with various CH divacancy configurations (v₁₂, v₁₃, and v₁₄). The results show that the adsorption of the Li atom reduces the formation energy of the CH divacancy configurations. The Li-v₁₂ is most stable with the highest binding energy of 3.25 eV/Li and relaxes to in-plane with other C atoms. Altering the Li charge state to have Li⁻¹-v₁₂ or Li⁺¹-v₁₂ affects the energetic stability and electronic characters of Li-v₁₂. The Li⁻¹-v₁₂ (Li⁺¹-v₁₂) slightly (greatly) reduces the binding force between the Li and v₁₂ configuration, and furthermore it improves (deteriorates) the conductivity of the structure. Further investigation of graphane with vacancies is encouraged due to these intriguing observations, as it holds promise for potential utilization as an electrode material.

Keywords: graphane; divacancy; lithiation; density functional theory; charge doping; conductivity



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1. Introduction

Lithium-ion batteries (LIBs) have been widely used as power sources for most electronic devices [1]. The LIBs are known to be non-toxic, have long discharging rates and high energy density, etc. [1–3]. The most-used electrode in LIBs is graphite [1]. Nevertheless, many of its properties, such as its specific capacity, are unlikely to meet the increasing future demand for high energy. The search for alternative electrode materials with distinct properties from graphite is rapidly intensifying [4–6]. Two-dimensional (2D) materials are emerging as promising candidates to address future energy demands due to their high electrical conductivity, reduced dimensionality, high thermodynamic stability, large surface area, etc., distinguishing them from their bulk counterparts [7–9]. Some of these properties make 2D materials well-suited to accommodate a greater number of Li atoms, among other advantages.

Graphane [10] monolayer material falls under materials belonging to the graphene derivatives where each carbon is bonded to hydrogen atoms in an alternating pattern. The realization of graphane was first reported by Sluiter et al. [11], based on the density functional theory (DFT) [12]. Graphane was later synthesized [13–15] by applying hydrogen plasma to graphene monolayer samples. The graphane samples were found to be stable at room temperatures [13]. Each carbon atom's bonding network takes on the form of sp³ hybridization due to the presence of hydrogen atoms [14].

Experimental studies report that graphane has insulating characters [13,16,17], with some reporting an energy band gap range of 3.50–3.90 [16,17]. This was supported by the theoretical studies predicting the energy band gap of 3.50 eV (standard DFT) [10], 5.40 eV (GW) [18], and 4.21 eV (HSE06) [19]. Graphane has a large surface area, high

volumetric capacity, and non-toxic samples [14,20,21]. These indicators suggest that a graphane monolayer could find utility in a range of technological applications. The focus of this work is on the mechanisms of anchoring the Li ions on the graphane monolayer for electrode exploitation.

Theoretically, an inertness [14,20,21] (under ambient conditions) of C atoms conjugating a graphane monolayer due to the sp³ bonding network could physisorb instead of chermisorbing Li ions, which will be a setback for anchoring Li atoms.Watcharinyanon et al. [22] studied the intercalation of Li ions on graphane supported by a SiC(0001) substrate using low-energy electron microscopy, micro-low-energy electron diffraction, and photoelectron spectroscopy. It was reported that instead of covalent bonding formation with graphane substrate, Li atoms form islands among themselves at a certain temperature. The DFT study by Yang et al. [23] revealed that Li ions are screened by H atoms, as no such Li chemisorption is taking place.

The modification of the graphane monolayer for the possibility of enhancing Li interaction is necessary. Several methods are known for introducing reactive sites on graphane, potentially enhancing its interaction with Li ions. These methods include structural modifications like creating vacancies, doping, and strain application, among others [14,20,21,24]. The creation of vacancies in graphane can primarily be in different forms: hydrogen (H) vacancy, carbon (C) vacancy, and carbon–hydrogen (CH) vacancy. The creation of multiple vacancies is also possible in the graphane monolayer. H divacancy, trivacancy, and CH divacancy have been created in a graphane monolayer. These vacancies can be created by applying high or low ion bombardment, and they can also occur during synthetic processes [14,20,21,24]. Numerous properties of these mentioned vacancies have been examined, ranging from their energetic stabilities to magnetic properties [14,20,21,24–28]. With the exception of the divacancy structure, all other types of vacancies introduce mid-gap states within the band gap and exhibit magnetic properties.

The structural and electronic behavior of CH divacancies in graphane have been studied using DFT [28]. The structural reconstruction of the two adjacent vacancies has been reported, which breaks the hexagonal symmetry and translates into the new 5-8-5 defect structure (considered to be self-healed) which is thermodynamically stable. Graphane with a 5-8-5 defect structure is characterized as a wide band gap material [28]. Considering the potential use of graphane with this defect structure as an electrode holds merit. Notably, the adsorption of Li ions onto the graphane with a 5-8-5 defect structure remains unexplored. A comprehensive understanding of how Li anchors at different charge states on graphane is imperative. This helps to understand the energetic and electronic behavior of Li during charging and discharging. The standard DFT predicts wrong band gap sizes of semiconductor materials, including 2D materials. Although the computational methods such as the GW method [29] and the screened hybrid exchange correlation functional developed by Heyd, Scuseria, and Ernzerhof (HSE06 functional) [30] can predict the band gap better, they are computationally demanding in terms of time and resources. In this study, we used the HSE06 functional for all the calculations considered.

This study intends to understand the energetic stabilities (formation energy and binding energy), structural properties (bond length), and electronic properties (density of states) of Li on the graphane with the 5-8-5 defect structure at different Li charge states (+1 or -1). This study relies on a spin-polarized density functional theory approach, and the outcomes will serve as a reference for the experimentalists to corroborate their findings during practical synthesis and characterization processes.

This manuscript is arranged as follows: the computational details and equations for calculating formation and binding energies are presented in Section 2. The results of Li on graphane monolayer with vacancies are summarized in Section 3. Section 4 concludes the results.

2. Computational Details

All the spin-polarized calculations in this study have been performed using the hybrid density functional theory approach implemented within the Vienna ab initio simulation package (VASP) code [31]. The chosen hybrid exchange-correlation functional is the Heyd, Scuseria, and Ernzerhof [30], which contains a fraction of non-local Hartree–Fock exchange. The Fock mixing parameter used is 0.25, which is known to give the correct band gap of 2D materials. For the description of pseudopotentials, the projector augmented wave (PAW) methods [32] with C atoms are represented as $2s^22p^2$, H atoms are represented by $1s^1$, and the Li adatom is represented as $2s^1$ valence electrons.

The kinetic energy cut-off of 500 eV was used for the expansion of the plane wavefunctions. For sampling the Brillouin zone of the graphane monolayer, the $6 \times 6 \times 1$ k-mesh grid was used, employing the Monkhorst–Pack scheme [33] method. This kmesh grid is doubled during the density of state calculations. The atomic positions were allowed to relax until their residual forces were less than 0.01 eVÅ⁻¹, utilizing the Hellman– Feynman theorem. The total energies of the structures were allowed to converge to within 10^{-7} eV during the self-consistent field calculations of each relaxation. The 6×6 supercell of graphane was used for all our calculations. This supercell size was chosen to ensure that the divacancy interaction between the cell images along the x and y directions is minimal. The separation spacing between the layers was set to the converged 20 Å to avoid unwanted interactions due to periodic images. It is known that the introduction of charged lithiated vacancies would lead to spurious electrostatic interactions between periodic images. In the VASP calculations, the background charge (jellium) is assumed for the charged lithiated vacancies to be neutralized in each periodic supercell.

To study the energetic stability of Li on graphane with various CH divacancy configurations (v_{12} , v_{13} , and v_{14}), their formation energies were calculated employing the Zhang–Northrup expression [34] below:

$$E_f(CH) = E_{tot}(CH) - E_{tot}(G) - \sum_i n_i \mu_i,$$
(1)

where $E_{tot}(CH)$ is the total energy of Li on a CH divacancy configuration in a 6 × 6 graphane supercell and $E_{tot}(G)$ is the total energy of 6 × 6 pristine graphane. The μ_i in Equation (1) represents the chemical potential of H, C, and Li atoms calculated as the total energies per atom of isolated hydrogen molecules in a large box, and graphene and Li in a bulk body-centered cube (BCC), respectively. n_i represents the number of atomic species (H, C, and Li atoms) removed or adsorbed by the graphane monolayer system.

To assess interactions between the Li adatoms and various configurations of CH divacancies in graphane monolayer, we calculated the binding energy E_b . The binding energy is the energy needed to remove the Li atom from the graphane supercell as opposed to adsorption energy which is the energy due to the attraction of the Li atom and graphane supercell. In this work, E_b is given as follows:

$$E_{b} = \frac{-(E_{tot}(CHLi) - E_{tot}(CH) - n_{Li}E_{tot}(Li))}{n_{Li}},$$
(2)

where E(CHLi) is the total energy of the Li adatom on a CH divacancy in a 6 × 6 graphane supercell, E(CH) is the total energy of the 6 × 6 graphane with divacancy, and E(Li) is the total energy per adatom of Li in a bulk body-centered cube (BCC).

The calculated E_b values are shown in Table 1. One must bear in mind that the interpretation of E_b results is just the opposite of the well-known adsorption energy values. The positive E_b value suggests the attractive force between the Li atom and graphane system, whereas the negative value will reveal the repulsive force. For us to consider the E_b results is to be able to compare our values with that of Li bulk (BCC), also considered by Chan et al [35].

3. Results and Discussion

3.1. Proposed Structures Studied

Firstly, we demonstrate how different CH pair divacancy configurations are identified on an isolated single hexagon in a graphane monolayer. A single hexagon in a graphane monolayer is shown in Figure 1 circled with purple. The numbers 1–6, positioned around an isolated single hexagon, serve as labels for the CH pairs that should be removed during the vacancy creation in this study. Numbers 1–6 are also used to name different vacancy configurations. In this paper, we report a systematic study of different CH pair divacancy configurations presented in Figure 1.



Figure 1. Top panel present top view of the ball-and-stick model of graphane structure, whereas bottom panel presents side views of graphane structure. Different CH pair divacancy configurations v_{12} , v_{13} , and v_{14} positions are shown. The white and purple circles hide the numerically labeled CH pair vacancies that are created. The rectangular shapes that enclose vacancy configurations primarily connect the carbon atoms through their potential dangling bonds before undergoing structural relaxation. These carbon atoms are labeled with the Roman numbers. The black rhombus shape represents the primitive unit cell of graphane structure, consisting of two C and two H atoms. The vectors \mathbf{a}_1 and \mathbf{a}_2 correspond to its Bravais lattice vectors. The C and H atoms are represented by the yellow and light blue spheres, respectively.

Three distinct configurations are identified as follows: (1) v_{12} configuration—this configuration consists of a CH divacancy where two CH pairs are adjacent to each other. It is denoted as a v_{12} configuration, meaning that two adjacent CH pairs are removed from positions 1 and 2 of the single hexagon, as illustrated in Figure 1; (2) v_{13} divacancy configuration—in this configuration, there are two CH pair vacancies at positions 1 and 3, with the CH pair at position 2 separating them; (3) v_{14} configuration—this configuration represents the two CH pair vacancies at positions 1 and 4, facing each other, and separated by the two CH adjacent pairs. These configurations describe different arrangements of CH pair vacancies within the hexagonal structure.

3.2. Thermodynamic Stability and Structural Properties of Different CH Vacancy Configurations

As a common practice in first-principles work, the relative stabilities of various vacancy defect configurations in the host materials are evaluated through the formation energy analysis. In this study, we conduct a comparative analysis of the energetic stability of

previously mentioned distinct divacancy configurations, namely v_{12} , v_{13} , and v_{14} . This comparison is based on their calculated formation energies ($E_{Form}(v)$), as illustrated in Figure 2 and indicated by the red circles. All calculations are computed at the same level of accuracy. As shown in Figure 2a, divacancy configuration v_{12} has the lowest formation energy of 2.71 eV/ v_{CH} . This value is 2.21 eV/ v_{CH} and 2.00 eV/ v_{CH} lower than those of v_{13} and v_{14} , respectively. Perhaps this could be the reason the previous study [28] only reported properties of v_{12} divacancy configuration. As it is often observed in many material studies, we have observed that the thermodynamic stability of the v_{12} , v_{13} , and v_{14} configurations is significantly influenced by the structural reconstruction and the behavior of dangling bonds around the vacancy within a graphane layered structure after relaxation.



Figure 2. (a) The formation energies of different CH divacancy configurations (v_{12} , v_{13} , and v_{14}) are indicated by the circle spheres, whereas those of a Li atom on the different CH divacancy configurations (Li- v_{12} , Li- v_{13} , and Li- v_{14}) are represented by the square shapes. (b) The total magnetic moments arising from the v_{12} , v_{13} , and v_{14} configurations are indicated by the circle spheres, whereas those influenced by a Li atom adsorption on the different CH divacancy configurations (Li- v_{12} , Li- v_{13} , and Li- v_{14}) are represented by the square shapes. (c–e) The relaxed structures of different CH divacancy configurations (v_{12} , v_{13} , and v_{14}) were created in a 6 × 6 supercell. Each divacancy configuration is reconstructed to form a peculiar type of topology defect.

In the v₁₂ configuration (seen in Figure 2c), the pair of dangling C atoms *I* and *II* as well as the C atoms *III* and *IV* attract each other 'to a certain extent', forming a weak bond length of $d_{I,II} = d_{III,IV} = 1.99$ Å, after geometry optimization. This structural reconstruction or self-healing forms a 'peculiar' vacancy defect type of 5-8-5 divacancy structure as shown in Figure 2c, which is in good agreement with the results of Ref [28], obtained using GGA-PBE functional. Such types of defects have experimentally been realized in graphene, the mother of graphane, using the high-energy ion beams to create a stable carbon chain [36]. Figure 2b shows that v₁₂ lacks a magnetic moment, indicating that the structural reconstruction of the dangling C atoms suppresses any potential magnetic moment in agreement with Ref [28].

In a v_{13} divacancy configuration, a C atom *III* having possibly two unpaid electrons (based on electronic counting) relaxes towards another two C atoms *II* and *IV*. The equivalent bond distances $d_{III,II}$ and $d_{III,IV}$ of 2.42 Å are formed between C atoms *III* and *II* as well as between C atoms *III* and *IV*, respectively. This reconstruction of v_{13} divacancy configuration yields an unusual defect structure of 9-4-9 type (see Figure 2d). It is possible that the construction of the 9-4-9 defect structure does not saturate all the dangling bonds surrounding the vacancy, and hence the magnetic moment of 1 μ_B has been achieved (Figure 2b). This could be attributed to the C atoms *I* and *III* each still having an unpaired electron after structural relaxation. Figure 2e presents the relaxed geometry of a v_{14} divacancy configuration. The C atoms I, II, III, IV, V, and VI are unable to rearrange to form any typical vacancy defect. Based on electron counting, v_{14} remains with six unpaired electrons (one electron on each C atom I, II, III, IV, V, and VI) after structural relaxation. The absence of total magnetic moments in this system (Figure 2b) could be the result of the cancellation of opposite electronic spins.

3.3. Effect of Li on the Energetic Stability and Structural Properties of Different CH Vacancy Configurations

We now examine the effects of the Li atom on the energetic stabilities and structural and electronic properties of v_{12} , v_{13} , and v_{14} configurations. Initially, we adsorbed the Li atom on the biggest hollow site of each vacancy configuration, i.e., v_{12} (octagon site), v_{13} (nanogon site), and v_{14} (largest site). Figure 2a shows the effects of the Li atom on the energetic stability of v_{12} , v_{13} , and v_{14} configurations. It is noted that the Li atom energetically stabilizes the v_{12} , v_{13} , and v_{14} configurations through a reduction in their formation energies. The formation energy of the Li- v_{12} configuration, which is 0.96 eV, suggests that although it is endothermic (requiring an input of energy), it can be relatively easy to synthesize compared to the Li- v_{13} and Li- v_{14} configurations.

Figure 3a-c presents the relaxed structures of the Li atom on the different CH divacancy configurations Li-v₁₂, Li-v₁₃, and Li-v₁₄, respectively. In these configurations, the Li atom relaxes closer to the hollow site, which has an impact on the local vacancy structures. Figure 3a shows that, in the case of Li- v_{12} , the Li atom remains within the octagon hollow site leading to a height of 0.00 Å (insertion to remain in-plane with other C atoms). Consequently, this results in an increase in the bond distances $d_{III,II}$ and $d_{III,IV}$ to 2.63 Å, equivalently. This has led to a high amount of binding force (greater anchoring) between Li and the substrate with a binding energy of 3.25 eV. The Li-v₁₂ interactions induced a magnetic moment of 1.00 μ_B , as shown in Figure 2b. Figure 3b shows that Li-v₁₃ buckled up after relaxation, hence leading to a height of 0.62 Å with a binding energy of 2.07 eV. Figure 2b shows that the Li adsorption on a v_{13} reduces the magnetic moment from 2.00 μ_B to 1.00 μ_B . In this configuration, the vacancy defect structure of 9-4-9 is reconstructed to create a penta ring and v_{CH} (closest to each other) separated by a newly formed bondlength d_{III,II} of 1.72 Å. The latter value is less than that of its counterpart in a 5-8-5 defect without a Li atom. In the Li- v_{14} configuration, the Li atom relaxes to a height of 1.54 Å with a binding energy of 1.99 eV. Notably, there is no significant reconstruction observed in this configuration, as depicted in Figure 3c.

The binding energies for Li- v_{12} , Li- v_{13} , and Li- v_{14} configurations, respectively, are relatively larger than that of Li on pristine graphene (1.096) eV [35] and on a single vacancy (v_{CH}) in graphane [19], calculated on the same level of accuracy. The binding energies between Li and v_{12} , v_{13} , and v_{14} configurations are more than the Li bulk cohesive energy (1.63 eV), suggesting no chances of Li cluster formation but possible short time Li charging.



Figure 3. The relaxed structures of Li atom on the different CH divacancy configurations: (a) Li- v_{12} , (b) Li- v_{13} , and (c) Li- v_{14} created in a 6 × 6 supercell. In some configurations, the Li atom enforces divacancy configuration reconstruction.

3.4. Influence of Different Charge States (-1 and +1) on the Energetic Stability, Structural, and Electronic Properties of Li- v_{12}

In this section, we examined the effects of Li charge state alteration on the energetics, local structure around the v_{12} vacancy, and electronic properties (DOS) and made a comparison with those of the uncharged structure. The charge states considered on Li- v_{12} are q = -1 and +1 of which their respective structures are denoted as Li^{-1} - v_{12} and Li^{+1} - v_{12} . The binding energies for Li^{-1} - v_{12} and Li^{+1} - v_{12} structures are 3.21 eV and 2.24 eV, respectively. In comparison with the uncharged binding energy shown in Table 1, the charge doping reduces the binding force between the Li atom and v_{12} substrate, significantly in configuration Li^{+1} - v_{12} .

Table 1. Comparing the binding energies E_b (in eV) and distances between Li atom and graphane d_{Li} (in Å) for Li- v_{12} , Li- v_{13} , and Li- v_{14} configurations with that of Li on graphene (Li-*graphene*).

Configurations	E _b	d_{Li}	Vacancy Reconstruction
Li-v ₁₂	3.25	0.00	5-8-5 changes to divacancy symmetry
Li-v ₁₃	2.07	0.62	9-4-9 translates to penta ring and v_{CH}
Li-v ₁₄	1.99	1.14	None
Li-v _{CH}	1.72 ^a	1.54 ^a	None
Li-graphene	1.096 ^b , 1.2 9 ^c	1.71 ^b 1.69 ^c	None

^a Ref. [19],^b Ref. [35], and ^c Ref. [37]

In the case of an addition of an electron $(Li^{-1}-v_{12})$, an Li atom remains within the octagon hollow site, maintaining the same in-plane level with other C atoms leading to a height of 0.00 Å as shown in Figure 4a. Figure 4a shows that the bond distances $d_{III,II}$ and $d_{III,IV}$ increase slightly by 0.03 Å as compared to uncharged Li- v_{12} (Figure 4b), which is an indication that the addition of an electron to the system $(Li^{-1}-v_{12})$ increases the repulsion force between the C atoms surrounding the Li atom. For the removal of electron $(Li^{+1}-v_{12})$, Li atoms move slightly upward to a height of 0.51 Å. Figure 4b shows that the bond distances $d_{III,II}$ and $d_{III,IV}$ decrease significantly by 0.25 Å as compared to uncharged

Li- v_{12} (see Figure 4b). This is an indication that the removal of electrons from the system (Li⁺¹- v_{12}) reduces the attractive force between the C atoms surrounding the Li atom.

Figure 5 presents the density of states (DOS) for the most stable Li-v₁₂ configuration, comparing it with that of the one without the Li counterpart (v_{12}). Figure 5a (top panel) shows that v_{12} has insulating features with a wide band gap of 4.18 eV (HSE06) between the valence band maximum (VBM) and conduction band minimum (CBM). The VBM and CBM are mainly contributed by the carbon p orbital states as shown in Figure 5a (bottom panel). This is in agreement with Pujari et al. [28], reporting that the carbon atoms surrounding the divacancy rearrange to form two new σ bonds leading to the formation of a 5-8-5 ringed structure with a band gap of 3.00 eV (GGA-PBE). Figure 5b (top panel) shows the effects of Li atoms on the DOS of v_{12} . It is noted that the Li atom shifts the Fermi level from the VBM towards the middle of the band gap (suggesting an excess of electrons in the system). It also introduces the occupied and unoccupied states at distinct positions with some crossing the Fermi level in a spin-up channel, suggesting a metallic character. The observed states are due to the hybridization of carbon p orbital and Li s orbital states (Figure 5b (bottom panel)). An addition of electron into the system (Li⁻¹-v₁₂) shifts the Fermi level further towards the CBM Figure 5c. It is interesting to realize that the partially filled mid-gap states, as shown in Figure 5b, become fully occupied in Figure 5c. The removal of electrons from the system (Li^{+1} - v_{12}) shifts the Fermi level back towards the VBM (Figure 5d), retaining the metallic character of the system with the partially filled states crossing the Fermi level. The alteration of charge states of the Li atom changes the electronic behavior of the Li-v₁₂ structure. We propose that graphane with $Li-v_{12}$ may serve as a suitable electrode material for LIBs. This suitability arises from the potential enhancement of electronic transmission performance mechanisms facilitated by the newly identified Li states.



Figure 4. The relaxed structures of Li on v_{12} vacancy (Li- v_{12}) at different charge states; (**a**) Li⁻¹- v_{12} , (**b**) Li⁰- v_{12} , and (**c**) Li⁺¹- v_{12} . The top panel of figures depicts the top view of relaxed structures, whereas the bottom panel depicts the side view of the same structures.



Figure 5. The calculated density of states for (**a**) v_{12} , (**b**) Li- v_{12} , (**c**) Li⁻¹- v_{12} , and (**d**) Li⁺¹- v_{12} . For each sub-figure, the top panel represents the total density of states, whereas the bottom panel represents the partial density of states. The Fermi level is set to 0.00 eV and marked by the vertical dashed line.

4. Conclusions

The adsorption mechanisms of Li on graphane with various CH divacancy (v_{12}, v_{13}, v_{13}) and v_{14}) configurations were studied using a DFT approach. Firstly, we compared the energetic stability (formation energies) and structural aspects (bond lengths) for v₁₂, v₁₃, and v₁₄ configurations. Employing structural optimization calculations, it was established that there is a vacancy reconstruction leading to a new defect configuration surrounded by the hexagonal rings in graphane. The v_{12} was translated to a 5-8-5 defect configuration and is the most stable CH divacancy configuration. Furthermore, we adsorbed Li on v₁₂, v₁₃, and v_{14} configurations. The formation energy analysis revealed that Li tends to stabilize these CH vacancies, with Li-v₁₂ being the most stable. The Li atom relaxes to the same in-plane level as C atoms on the octagon ring. Li interacts strongly with v_{12} at the highest binding energy of 3.25 eV/Li, more than the Li bulk cohesive energy of 1.63 eV/Li. The charge doping Li^{-1} - v_{12} or Li^{+1} - v_{12} alter the energetic stability, structural properties, and electronic characters of Li- v_{12} . The Li^{-1} - v_{12} configuration has stronger binding strength than the Li^{+1} - v_{12} configuration. The electronic density of the state plots for Li^{-1} - v_{12} reveals an abundance of electrons and an increase in conductivity. These interesting observations encourage further studies on designing graphane with vacancies and its characterization for LIBs.

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