

Supplementary Materials

Predicting monthly runoff of the Upper Yangtze River based on the multiple Machine Learning models

Xiao Li¹, Liping Zhang^{1,2*}, Sidong Zeng^{1,3}, Zhenyu Tang¹, Lina Liu¹, Qin Zhang¹,
Zhengyang Tang^{4*}, Xiaojun Hua⁴

¹State Key Laboratory of Water Resources and Hydropower Engineering Science,
Wuhan University, Wuhan 430072, China

²Institute for Water-Carbon Cycles and Carbon Neutrality, Wuhan University, Wuhan
430072, China

³Chongqing Institute of Green and Intelligent Technology, Chinese Academy of Sciences,
Chongqing 400714, China

⁴Hubei Key Laboratory of Intelligent Yangtze and Hydroelectric Science, China
Yangtze Power Co.,Ltd., Yichang Hubei 443000, China

* Corresponding authors:

zhanglp@whu.edu.cn; tang_zhengyang@ctg.com.cn;

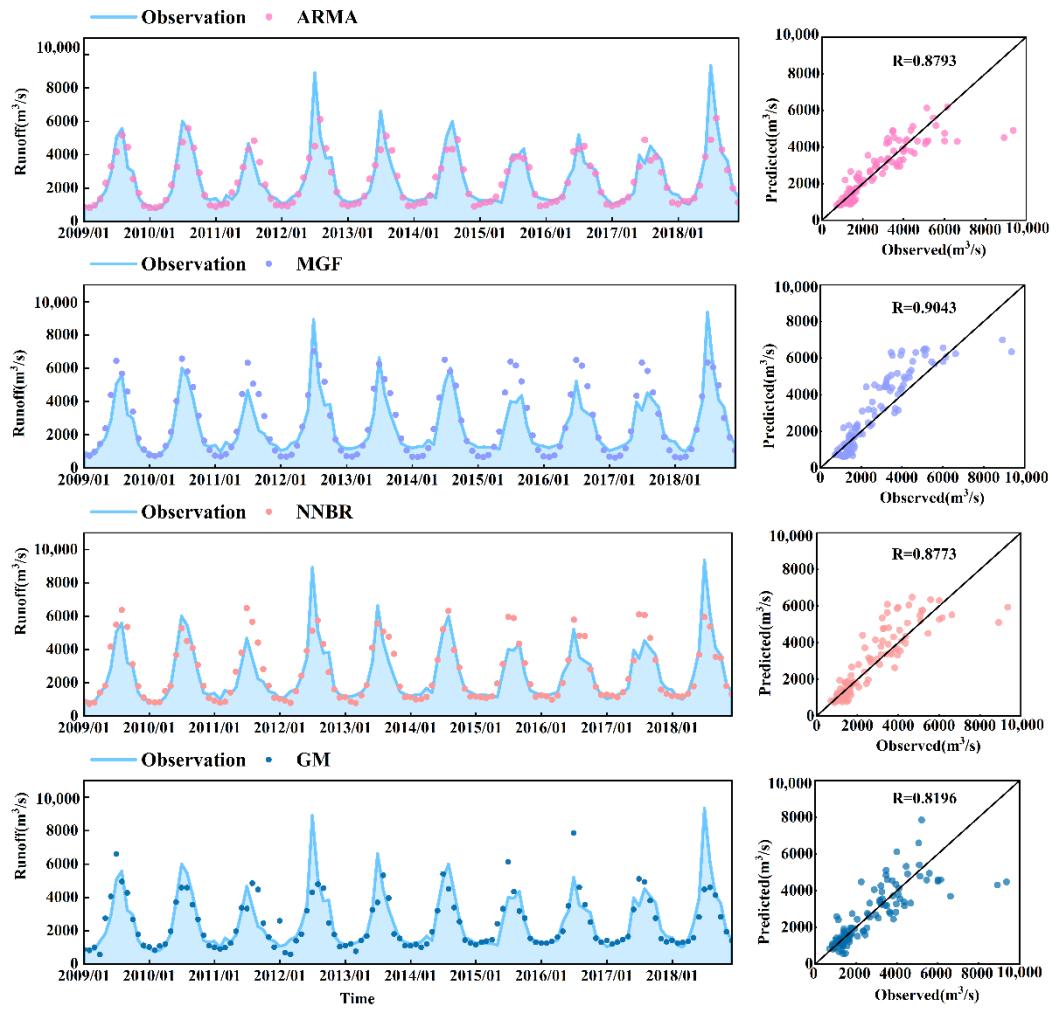


Figure S1. Observed runoff and simulated monthly runoff by univariate models for the Gaochang Station in the testing stage.

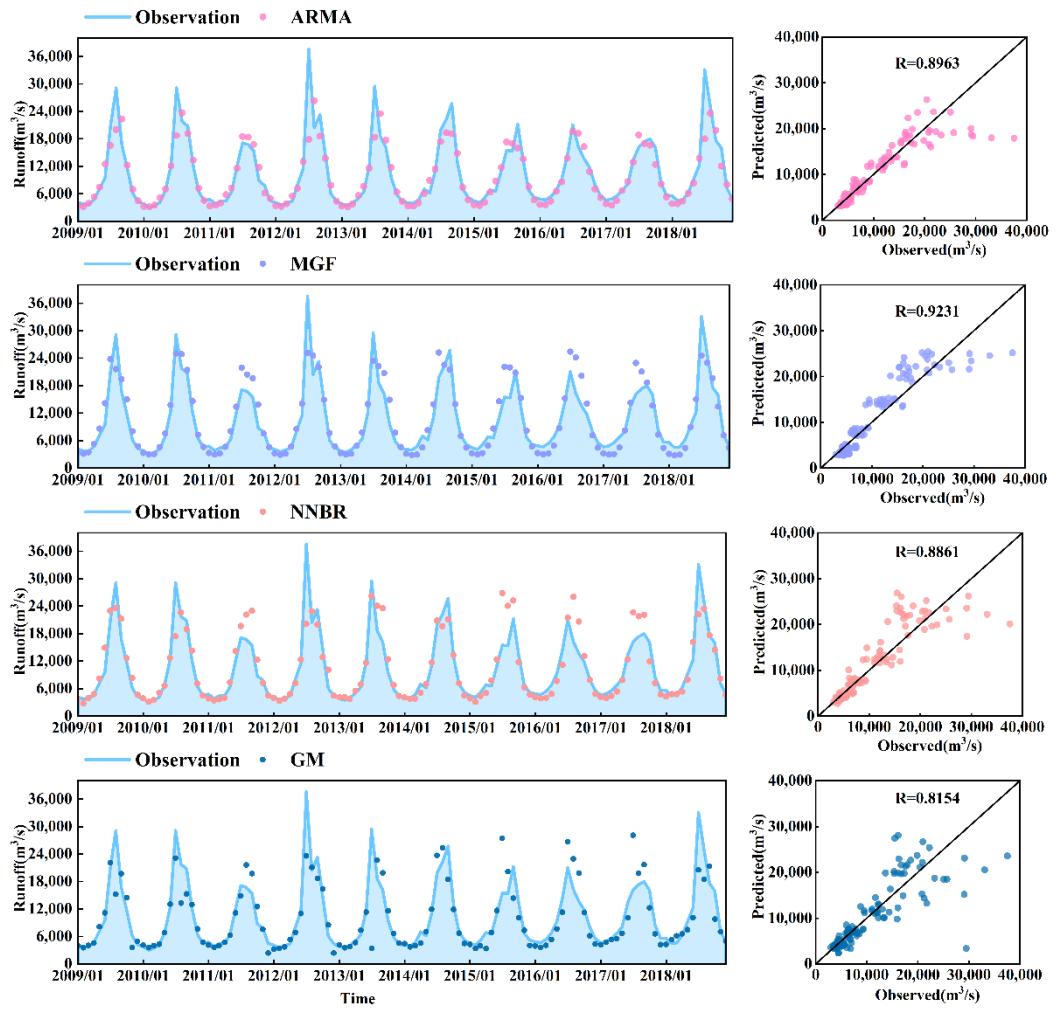


Figure S2. Observed runoff and simulated monthly runoff by univariate models for the Cuntan Station in the testing stage.

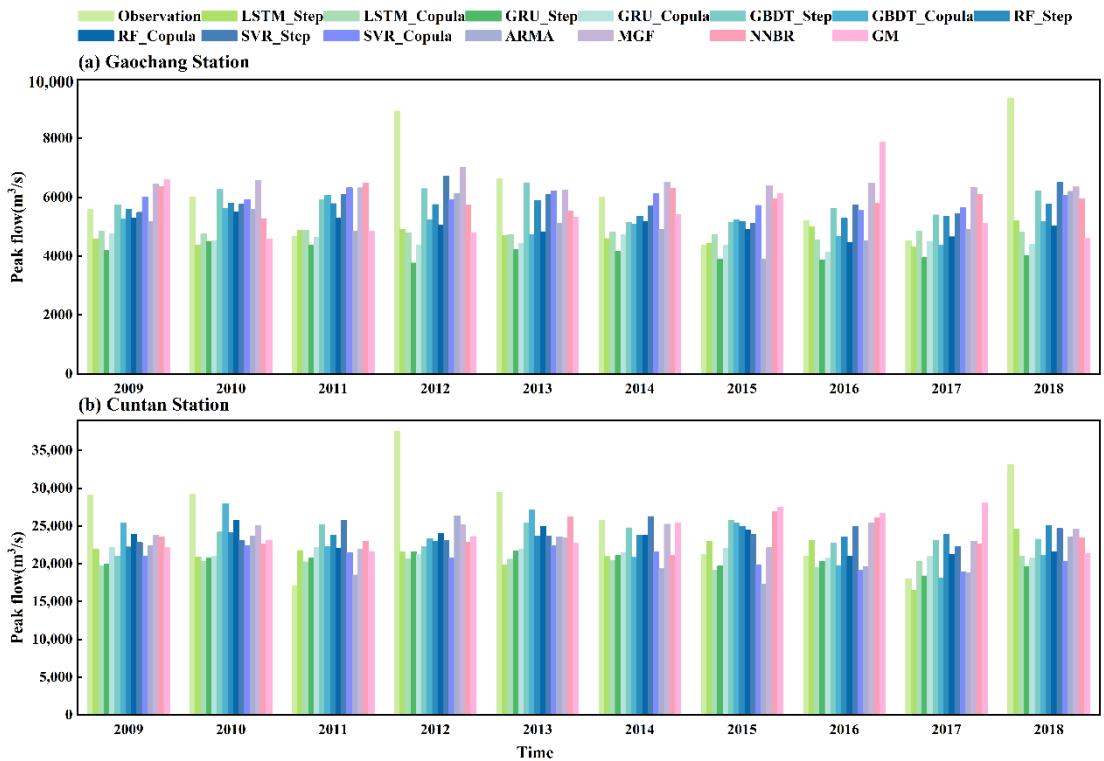


Figure S3. Annual peak flows of machine learning models and univariate models for the Gaochang Station and Cuntan Station in the testing stage.

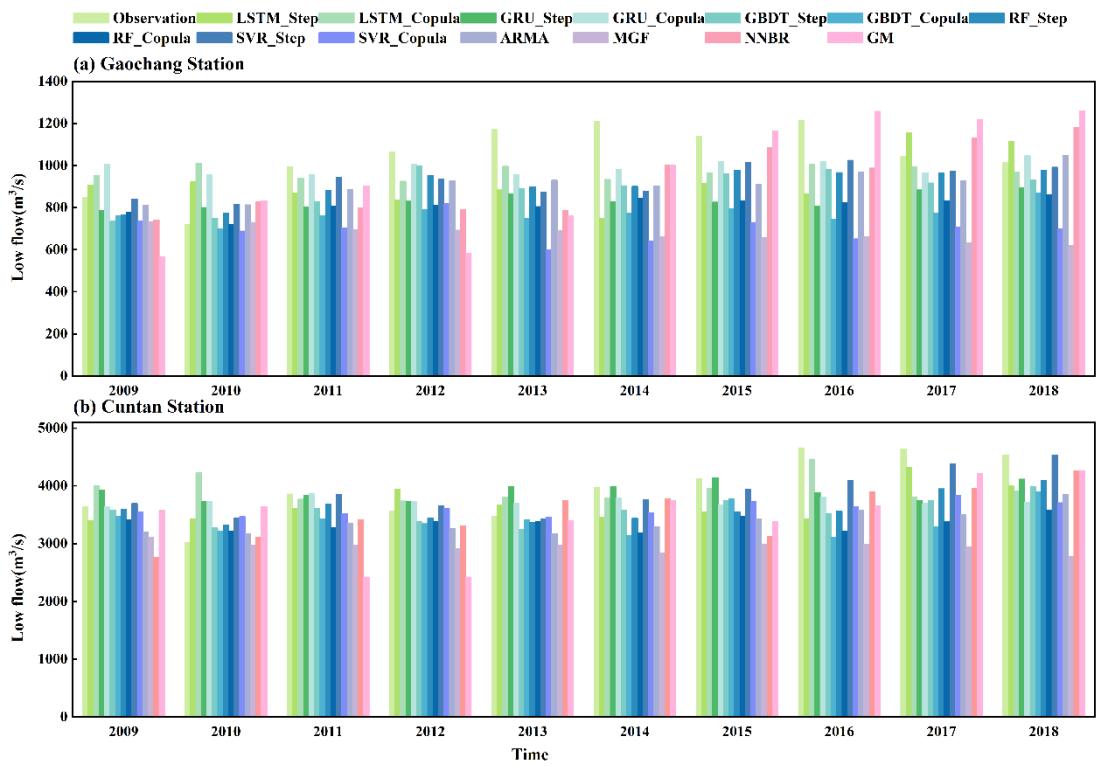


Figure S4. Annual low flows of machine learning models and univariate models for the Gaochang Station and Cuntan Station in the testing stage.

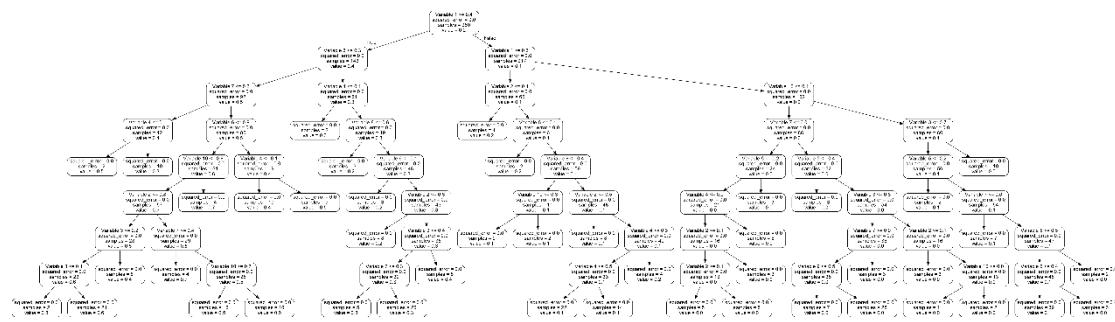


Figure S5. The decision tree visualizing plot in the RF_Step model at Cuntan Station.

Table S1. The candidate predictive variables for runoff forecasting models.

Number	Variable's name
1	Northern Hemisphere Subtropical High Area Index
2	North African Subtropical High Area Index
3	North African-North Atlantic-North American Subtropical High Area Index
4	Indian Subtropical High Area Index
5	Western Pacific Subtropical High Area Index
6	Eastern Pacific Subtropical High Area Index
7	North American Subtropical High Area Index
8	Atlantic Subtropical High Area Index
9	South China Sea Subtropical High Area Index
10	North American-Atlantic Subtropical High Area Index
11	Pacific Subtropical High Area Index
12	Northern Hemisphere Subtropical High Intensity Index
13	North African Subtropical High Intensity Index
14	North African-North Atlantic-North American Subtropical High Intensity Index
15	Indian Subtropical High Intensity Index
16	Western Pacific Subtropical High Intensity Index
17	Eastern Pacific Subtropical High Intensity Index
18	North American Subtropical High Intensity Index
19	North Atlantic Subtropical High Intensity Index
20	South China Sea Subtropical High Intensity Index
21	North American-North Atlantic Subtropical High Intensity Index
22	Pacific Subtropical High Intensity Index
23	Northern Hemisphere Subtropical High Ridge Position Index
24	North African Subtropical High Ridge Position Index
25	North African-North Atlantic-North American Subtropical High Ridge Position Index
26	Indian Subtropical High Ridge Position Index
27	Western Pacific Subtropical High Ridge Position Index
28	Eastern Pacific Subtropical High Ridge Position Index
29	North American Subtropical High Ridge Position Index
30	Atlantic Sub Tropical High Ridge Position Index
31	South China Sea Subtropical High Ridge Position Index
32	North American-North Atlantic Subtropical High Ridge Position Index
33	Pacific Subtropical High Ridge Position Index
34	Northern Hemisphere Subtropical High Northern Boundary Position Index
35	North African Subtropical High Northern Boundary Position Index
36	North African-North Atlantic-North American Subtropical High Northern Boundary Position Index
37	Indian Subtropical High Northern Boundary Position Index
38	Western Pacific Subtropical High Northern Boundary Position Index
39	Eastern Pacific Subtropical High Northern Boundary Position Index
40	North American Subtropical High Northern Boundary Position Index
41	Atlantic Subtropical High Northern Boundary Position Index
42	South China Sea Subtropical High Northern Boundary Position Index

Number	Variable's name
43	North American-Atlantic Subtropical High Northern Boundary Position Index
44	Pacific Subtropical High Northern Boundary Position Index
45	Western Pacific Sub Tropical High Western Ridge Point Index
46	Asia Polar Vortex Area Index
47	Pacific Polar Vortex Area Index
48	North American Polar Vortex Area Index
49	Atlantic-European Polar Vortex Area Index
50	Northern Hemisphere Polar Vortex Area Index
51	Asia Polar Vortex Intensity Index
52	Pacific Polar Vortex Intensity Index
53	North American Polar Vortex Intensity Index
54	Atlantic-European Polar Vortex Intensity Index
55	Northern Hemisphere Polar Vortex Intensity Index
56	Northern Hemisphere Polar Vortex Central Longitude Index
57	Northern Hemisphere Polar Vortex Central Latitude Index
58	Northern Hemisphere Polar Vortex Central Intensity Index
59	Eurasian Zonal Circulation Index
60	Eurasian Meridional Circulation Index
61	Asian Zonal Circulation Index
62	Asian Meridional Circulation Index
63	East Asian Trough Position Index
64	East Asian Trough Intensity Index
65	Tibet Plateau Region 1 Index
66	Tibet Plateau Region-2 Index
67	India-Burma Trough Intensity Index
68	Arctic Oscillation, AO
69	Antarctic Oscillation, AAO
70	North Atlantic Oscillation , NAO
71	Pacific/ North American Pattern , PNA
72	East Atlantic Pattern, EA
73	West Pacific Pattern , WP
74	North Pacific Pattern , NP
75	East Atlantic-West Russia Pattern , EA/WR
76	Tropical-Northern Hemisphere Pattern, TNH
77	Polar-Eurasia Pattern , POL
78	Scandinavia Pattern , SCA
79	Pacific Transition Pattern, PT
80	30hPa zonal wind Index
81	50 hPa zonal wind Index
82	Mid-Eastern Pacific 200mb Zonal Wind Index
83	West Pacific 850mb Trade Wind Index
84	Central Pacific 850mb Trade Wind Index
85	East Pacific 850mb Trade Wind Index

Number	Variable's name
86	Atlantic-European Circulation W Pattern Index
87	Atlantic-European Circulation C Pattern Index
88	Atlantic-European Circulation E Pattern Index
89	NINO 1+2 SSTA Index
90	NINO 3 SSTA Index
91	NINO 4 SSTA Index
92	NINO 3.4 SSTA Index
93	NINO W SSTA Index
94	NINO C SSTA Index
95	NINO A SSTA Index
96	NINO B SSTA Index
97	NINO Z SSTA Index
98	Tropical Northern Atlantic SST Index
99	Tropical Southern Atlantic SST Index
100	Western Hemisphere Warm Pool Index
101	Indian Ocean Warm Pool Area Index
102	Indian Ocean Warm Pool Strength Index
103	Western Pacific Warm Pool Area Index
104	Western Pacific Warm Pool Strength index
105	Atlantic Multi-decadal Oscillation Index
106	Oyashio Current SST Index
107	West Wind Drift Current SST Index
108	Kuroshio Current SST Index
109	ENSO Modoki Index
110	Nino Eastern Pacific index
111	Nino Central Pacific index
112	Indian Ocean Basin-Wide Index
113	Tropic Indian Ocean Dipole Index
114	South Indian Ocean Dipole Index
115	Cold Air Activity Index
116	Western North Pacific Typhoon number
117	Number of Landing Typhoon on China
118	Total Sunspot Number Index
119	Southern Oscillation Index
120	Tropical Pacific Outgoing Long Wave Radiation Index
121	Multivariate ENSO Index
122	Pacific Decadal Oscillation Index
123	Atlantic Meridional Mode SST Index
124	Quasi-Biennial Oscillation Index
125	Globally Integrated Angular Momentum Index
126	Solar Flux Index
127	Equatorial Pacific 130° E- 80° W Upper 300m temperature averaged anomaly index
128	Equatorial Pacific 160° E- 80° W Upper 300m temperature Average anomaly index

Number	Variable's name
129	Equatorial Pacific 180° -100° W Upper 300m temperature Average anomaly index
130	North Atlantic Triple index
131	Runoff
132	Air Pressure
133	Average Temperature
134	Maximum Temperature
135	Minimum Temperature
136	Relative Humidity
137	Monthly Precipitation
138	Wind Speed
139	Daylight Hours

Table S2. Statistical metrics of 1-month-ahead runoff forecasting results of AI models for the Gaochang Station and Cuntan Station.

Station	Method	Training				Testing			
		MAPE (%)	RMSE (m ³ /s)	NSE	R	MAPE (%)	RMSE (m ³ /s)	NSE	R
Gaochang	LSTM_Step	19.403	792.094	0.835	0.949	18.230	729.330	0.770	0.923
	LSTM_Copula	21.121	808.111	0.828	0.941	17.624	691.492	0.783	0.937
	GRU_Step	17.580	802.057	0.829	0.931	19.716	816.700	0.721	0.911
	GRU_Copula	22.294	850.196	0.810	0.937	16.685	737.825	0.766	0.932
	GBDT_Step	0.000	0.000	1.000	1.000	19.713	731.833	0.705	0.934
	GBDT_Copula	0.000	0.000	1.000	1.000	22.162	773.929	0.702	0.907
	RF_Step	11.075	490.953	0.932	0.969	18.353	708.891	0.714	0.937
	RF_Copula	6.485	281.765	0.977	0.990	20.610	738.703	0.738	0.919
	SVR_Step	13.628	647.024	0.883	0.944	21.487	802.021	0.638	0.931
	SVR_Copula	15.818	714.062	0.858	0.934	26.266	844.106	0.577	0.931
Cuntan	LSTM_Step	15.719	3058.171	0.859	0.955	14.907	3101.704	0.778	0.940
	LSTM_Copula	20.718	3458.816	0.819	0.934	14.441	2782.648	0.835	0.960
	GRU_Step	19.562	3215.930	0.842	0.938	14.445	2860.145	0.826	0.950
	GRU_Copula	18.389	3163.134	0.847	0.937	15.407	2954.973	0.803	0.954
	GBDT_Step	0.640	64.080	1.000	1.000	16.211	2962.934	0.752	0.953
	GBDT_Copula	0.148	14.824	1.000	1.000	17.261	2799.659	0.780	0.953
	RF_Step	10.770	2107.181	0.930	0.969	15.688	2824.587	0.760	0.961
	RF_Copula	6.062	1121.299	0.980	0.991	16.734	2616.354	0.811	0.962
	SVR_Step	11.833	2556.120	0.896	0.950	13.982	2823.656	0.783	0.957
	SVR_Copula	16.262	3311.167	0.828	0.919	15.517	2928.979	0.816	0.946

Table S3. Statistical metrics of 1-month-ahead runoff forecasting results of univariate models for the Gaochang Station and Cuntan Station.

Station	Method	Training				Testing			
		MAPE (%)	RMSE (m ³ /s)	NSE	R	MAPE (%)	RMSE (m ³ /s)	NSE	R
Gaochang	ARMA	13.309	640.431	0.885	0.946	17.473	715.578	0.774	0.900
	MGF	17.919	692.055	0.864	0.964	27.786	866.840	0.517	0.949
	NNBR	16.525	787.161	0.820	0.923	19.637	789.312	0.633	0.939
	GM	18.773	843.042	0.755	0.907	20.667	886.644	0.618	0.888
Cuntan	ARMA	13.912	2846.220	0.871	0.939	14.387	2844.681	0.835	0.928
	MGF	14.941	3061.850	0.832	0.963	20.654	2847.211	0.772	0.959
	NNBR	15.755	3068.175	0.837	0.927	16.890	3303.493	0.682	0.944
	GM	18.604	3674.002	0.742	0.901	19.230	4067.540	0.561	0.879