

A High-Performance Convolutional Neural Network for Ground-Level Ozone Estimation in Eastern China

Sichen Wang ^{1,2,3,4}, Yanfeng Huo ^{2,3,4,*}, Xi Mu ¹, Peng Jiang ^{1,5}, Shangpei Xun ², Binfang He ², Wenyu Wu ², Lin Liu ⁶ and Yonghong Wang ⁷

Affiliations

- ¹ School of Resources and Environmental Engineering, Anhui University, Hefei 230601, China; x19301096@stu.ahu.edu.cn (S.W.); x20301066@stu.ahu.edu.cn (X.M.); jiangpeng@ahu.edu.cn (P.J.)
 - ² Anhui Institute of Meteorological Sciences, Key Laboratory for Atmospheric Sciences & Remote Sensing of Anhui Province, Hefei 230031, China; xunshangpei@gmail.com (S.X.); hebinfang77@gmail.com (B.H.); wuweny2022@gmail.com (W.W.)
 - ³ Shouxian National Climate Observatory, Shouxian 232200, China
 - ⁴ Huaihe River Basin Typical Farmland Ecological Meteorological Field Science Experiment Base of China Meteorological Administration, Shouxian 232200, China
 - ⁵ Information Materials and Intelligent Sensing Laboratory of Anhui Province, Hefei 230601, China
 - ⁶ State Key Laboratory of Severe Weather (LASW) and Key Laboratory of Atmospheric Chemistry of CMA, Chinese Academy of Meteorological Sciences, Beijing 100081, China; linliu@cma.gov.cn
 - ⁷ Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing 100029, China; yonghongwang@rcees.ac.cn
- * Correspondence: huoyf12@lzu.edu.cn; Tel.: +86-180-5510-8287

The Supplementary Material Includes the Following (Pages 1–16):

1. Supporting Figures S1–S9 (Pages 1–12)
2. Supporting Table S1–S4 (Pages 13–16)

Supplementary Figures

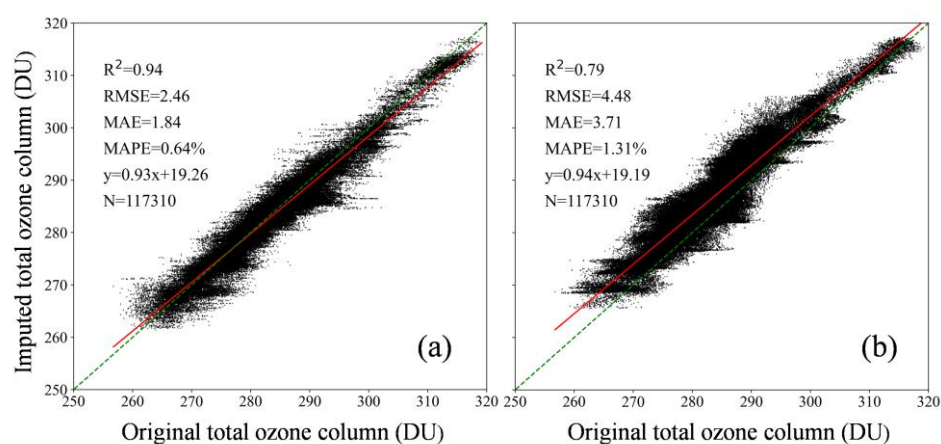


Figure S1. Scatter plot of impute total ozone column: (a) Kriging; (b) ERA5.

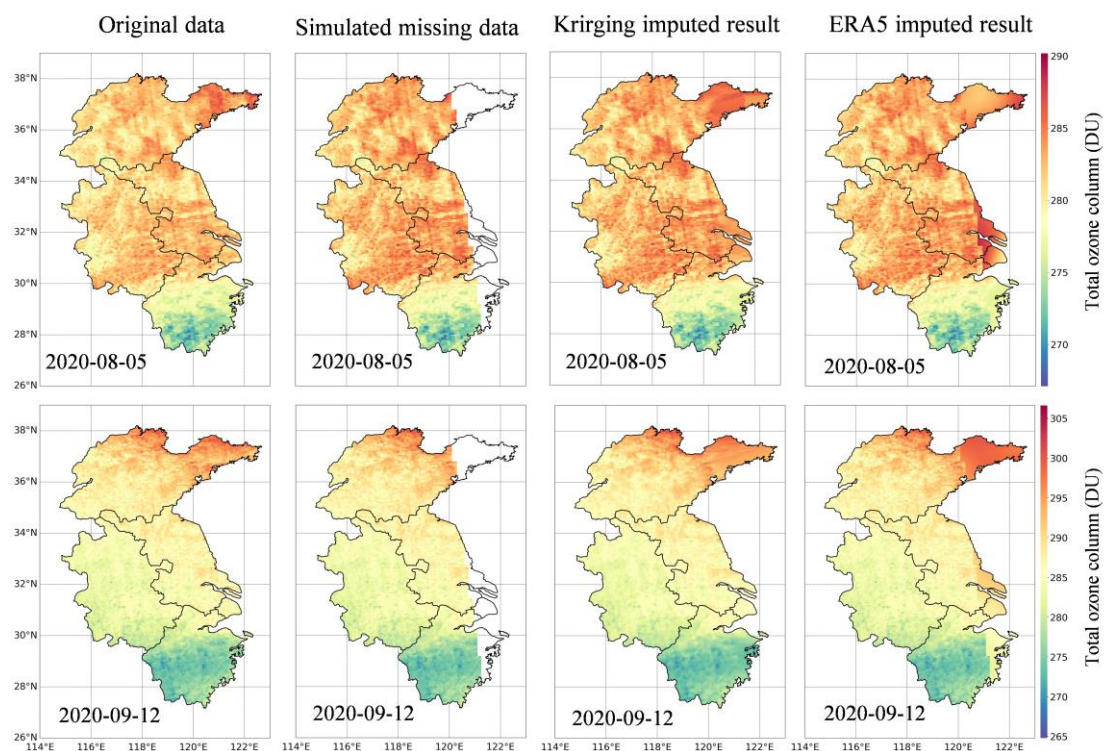


Figure S2. Imputed samples.

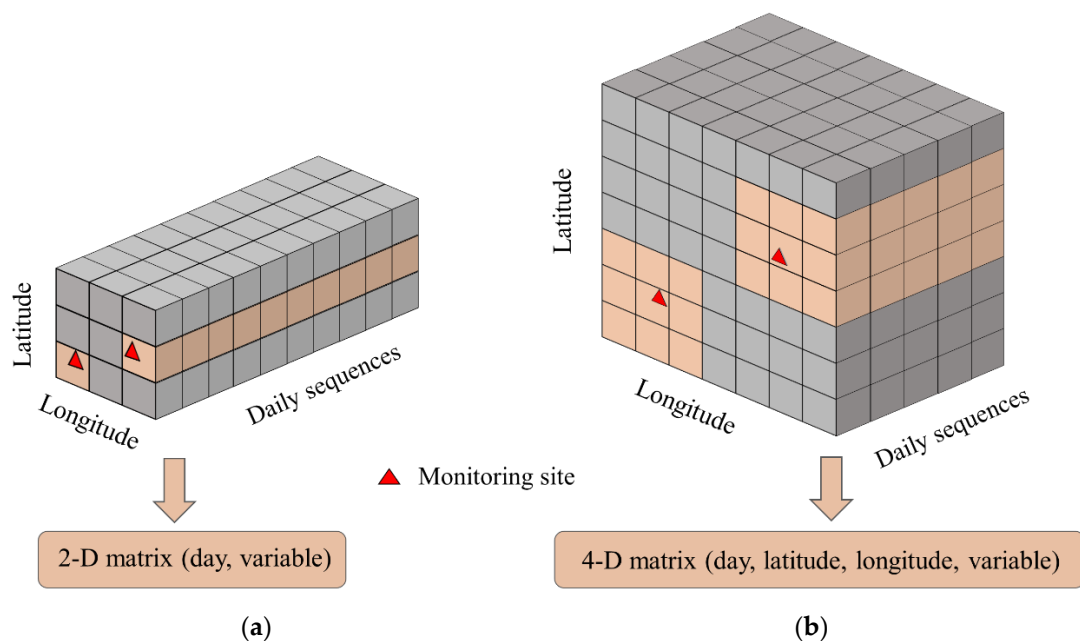


Figure S3. Spatiotemporal match methods: (a) 10-day single-point data; (b) 5-day spatiotemporal data.

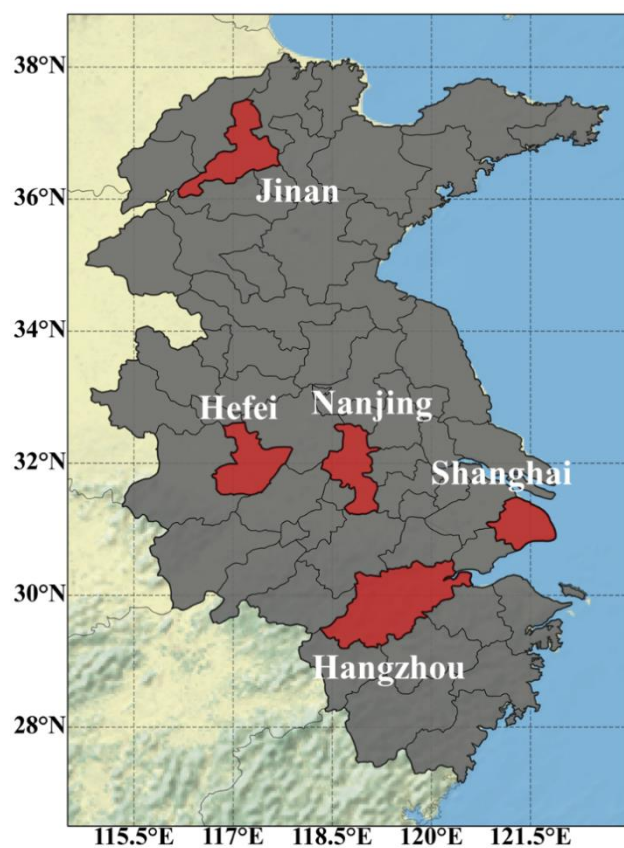


Figure S4. Geographical location of provincial capital cities (Jinan, Nanjing, Hangzhou, and Hefei) and Shanghai.

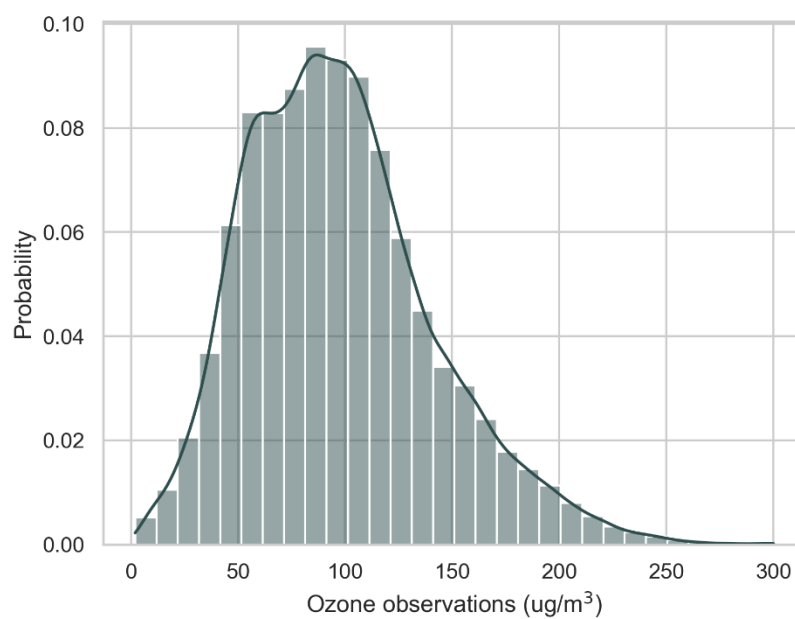


Figure S5. Probability histogram of ozone observations.

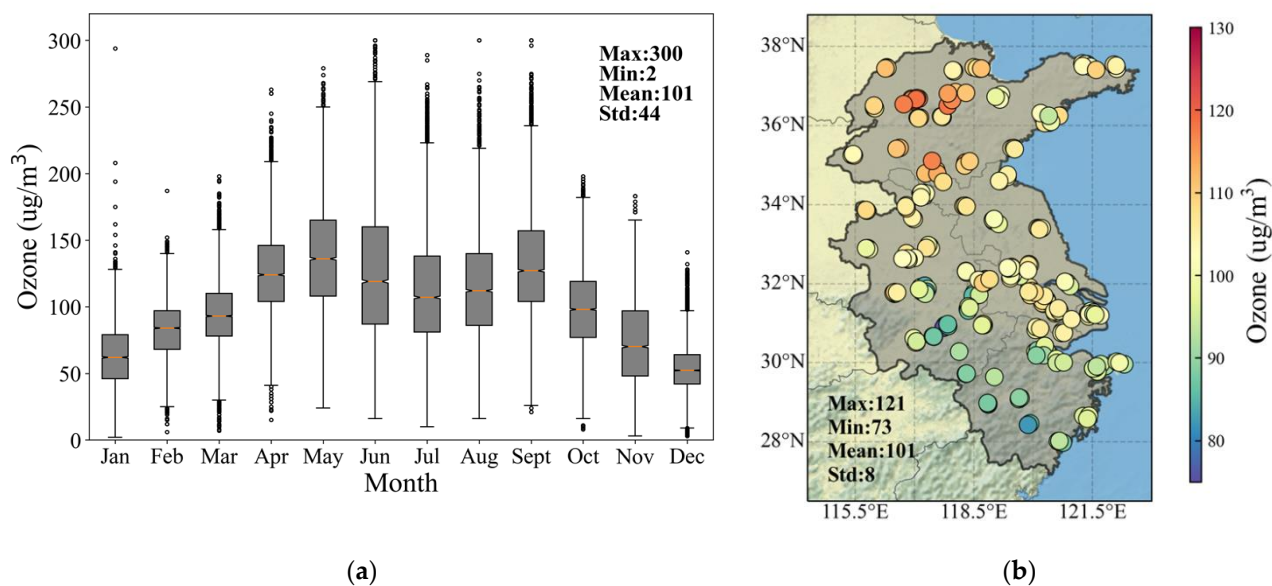


Figure S6. (a) Monthly and (b) spatial variations of ozone measurements.

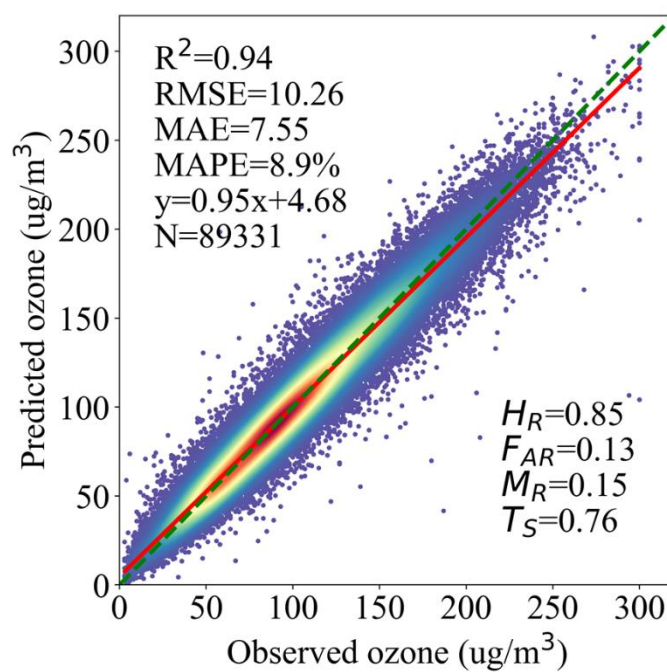


Figure S7. Model fitting results.

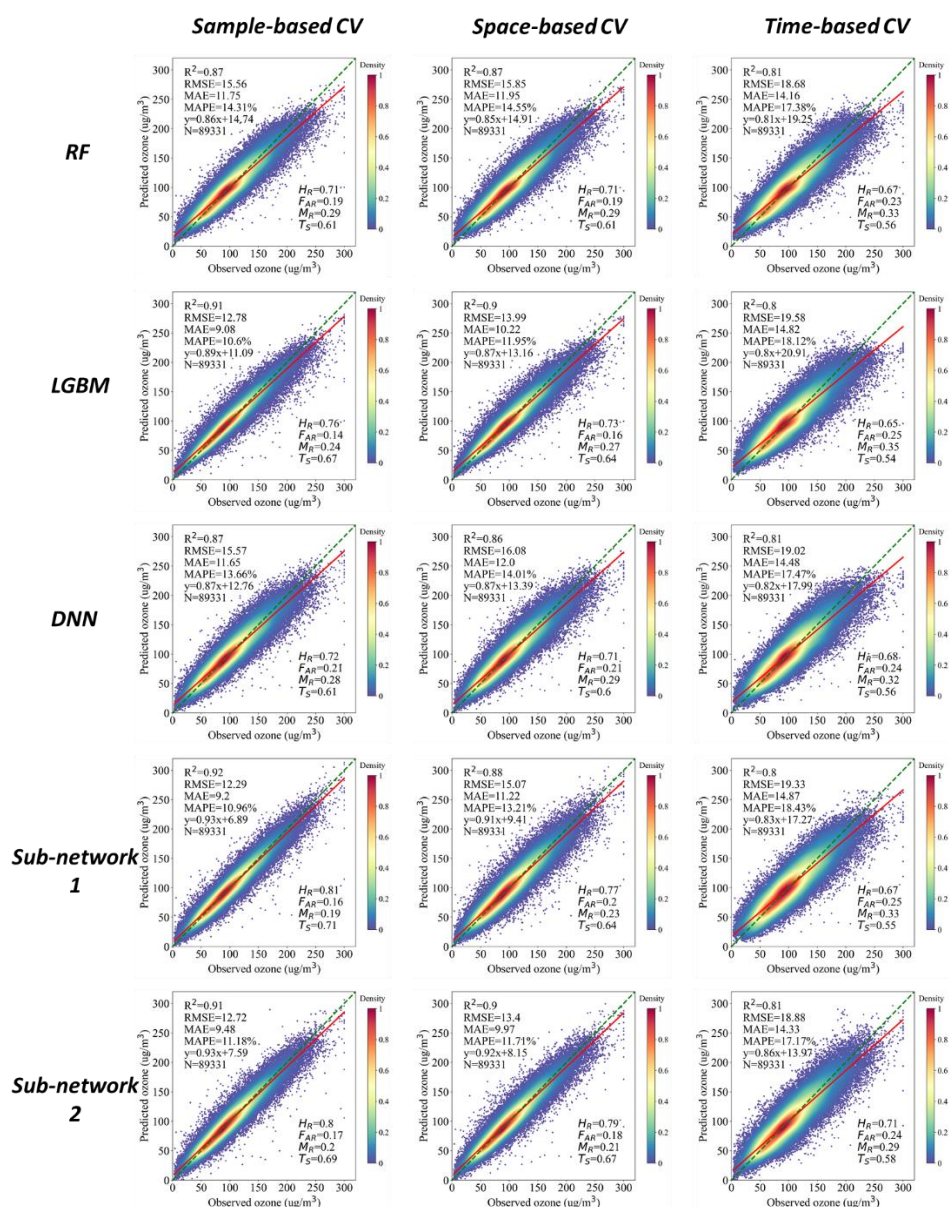


Figure S8. Density scatter plots of models' CV results.

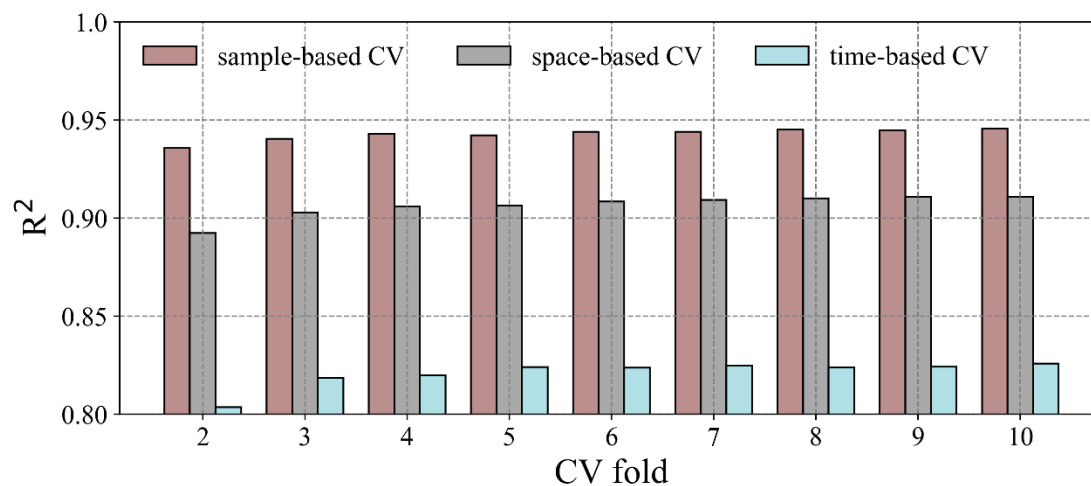


Figure S9. Validation results of different CV fold values.

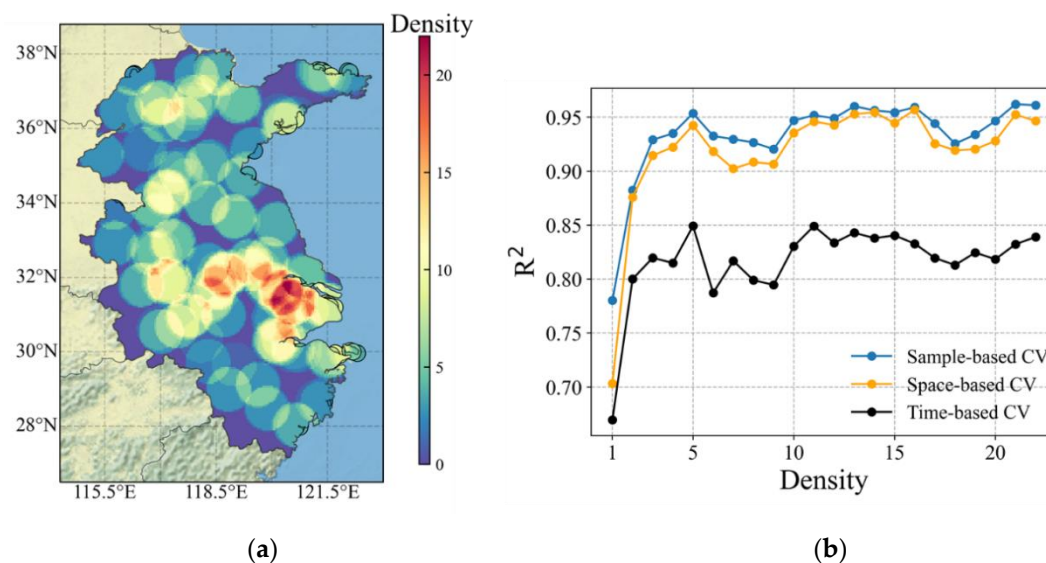


Figure S10. (a) Spatial density of ground-level monitoring sites and (b) CV results of sites with different densities.

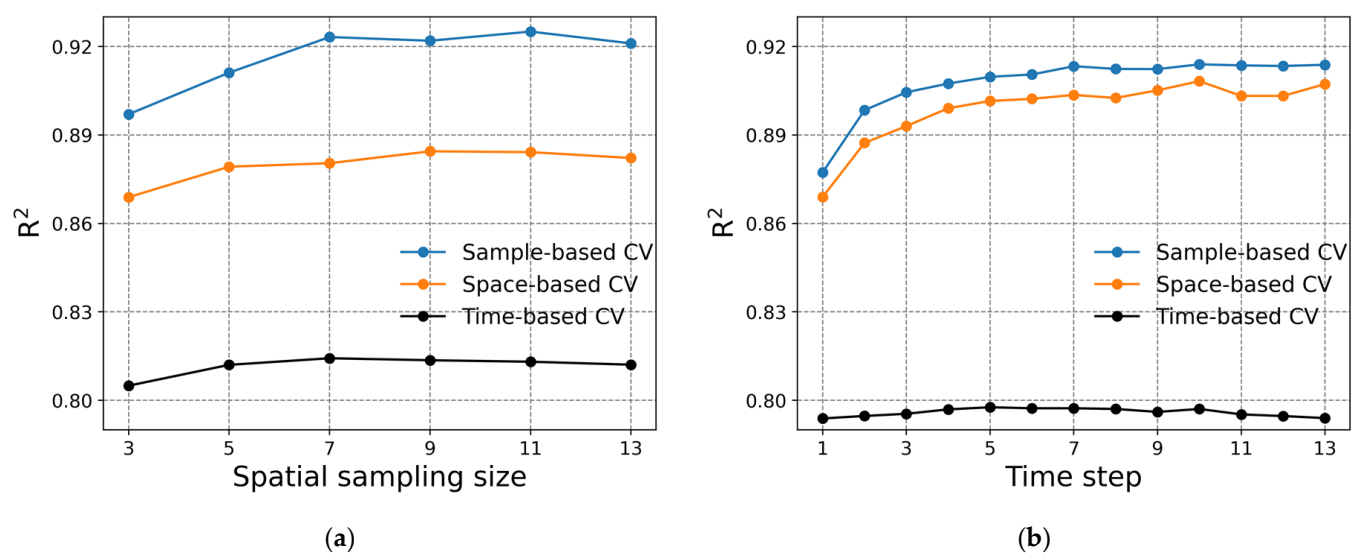


Figure S11. Variations of CV R^2 values: (a) time steps; (b) spatial sampling sizes.

Supplementary Tables

Table S1. Data descriptions.

Variable	Description	Unit	Spatial Resolution	Temporal Resolution	Source
Ozone	Ground-level measurement	ug/m ³	-	Hourly	CNEMC
TROPMI-ozone	Tropospheric Monitoring Instrument's total ozone	mol/m ²	5.5 × 3.5 km	Daily	Copernicus Open Access Hub
PRE	1 hour precipitation	mm/h	0.0625° × 0.0625°	Hourly	China Meteorological Administration Land Data Assimilation System
SP	Surface pressure	Pa			
SH	Specific humidity	kg/kg			
DSR	Downward shortwave radiation flux	W/m ²			
TMP	2 m temperature	K			
WIN	10 m wind speed	m/s			
DPT	2 m dew point temperature	K	0.25° × 0.25°	Hourly	ERA5
BLH	Boundary layer height	m			
WRF-ozone	WRF-Chem ozone simulation	ug/m ³	6 × 6 km	Hourly	Regional Atmospheric Environmental Modeling System
WRF-PM ₂₅	WRF-Chem PM ₂₅ simulation	ug/m ³			
WRF-NO ₂	WRF-Chem NO ₂ simulation	ug/m ³			
NDVI	Normalized difference vegetation index		250 × 250 m	16 day	MODIS
DEM	Surface elevation	m	90 × 90 m	-	SRTM
DOY	Day of year	-	-	-	-

Table S2. Statistical descriptions of input variables.

Variable	Unit	Model Building			Data Grid Generation		
		Min	Max	Mean	Min	Max	Mean
TROPMI-ozone	mol/m ²	0.102	0.198	0.132	0.100	0.201	0.131
PRE	mm/h	0.0	28.2	0.14	0.0	31.6	0.71
SP	Pa	88645.1	103803.7	101139.3	78771.4	103896.5	100177.3
SH	kg/kg	0.00039	0.02788	0.01005	0.00032	0.03016	0.00995
DSR	W/m ²	34.4	1109.9	447.7	25.6	1114.6	458.5
TMP	K	252.2	313.0	293.2	250.6	313.9	293.0
WIN	m/s	0.06	15.15	2.08	0.02	16.86	2.00
DPT	K	247.2	301.9	283.8	245.7	302.3	283.3
BLH	m	58.4	3758.8	997.3	27.3	3912.0	1028.6
WRF-ozone	ug/m ³	0.0	327.2	102.5	0.0	345.2	109.2
WRF-PM ₂₅	ug/m ³	0.0	330.2	34.0	0.0	334.7	25.0
WRF-NO ₂	ug/m ³	0.0	181.2	30.0	0.0	184.1	11.6
NDVI	-	-0.36	0.90	0.32	-0.49	1.01	0.49
DEM	m	1.0	556.0	50.75	1.0	1508.0	125.18
DOY	-	10	366	188	10	366	188

Table S3. Comparison between 2-D CNN and sub-network 2.

Model	Total Parameters	Sample-Based R ²	Space-Based R ²	Time-Based R ²
2-D CNN	58608	0.892	0.855	0.785
Sub-network 2	59958	0.921	0.884	0.811

Table S4. Comparison between 1-D CNN, GRU and LSTM.

Model	Configuration ¹	Total Parameters	Sample-Based R ²	Space-Based R ²	Time-Based R ²
1-D CNN	32/16/8/32/1	8281	0.894	0.883	0.773
	64/32/16/32/1	20849	0.912	0.901	0.801
	80/40/20/32/1	32605	0.916	0.905	0.803
GRU	32/16/10/32/1	8329	0.891	0.885	0.788
	60/20/10/32/1	20125	0.916	0.909	0.806
	70/30/25/32/1	32590	0.918	0.904	0.802
LSTM	25/16/10/32/1	8253	0.898	0.882	0.785
	50/20/10/32/1	20505	0.911	0.902	0.803
	60/30/15/32/1	32465	0.915	0.903	0.807

¹ The number of neurons/filters in each layer, and the last two layers are fully connected layers.