

Article

Supplementary Materials: Machine Learning for Prediction of Survival Outcomes with Immune Check Point Inhibitors in Urothelial Cancer

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Table S1: Pre-treatment patient characteristics by atezolizumab study cohort.

| Variable | Total No. = 896 | IMvigor210 No. = 429 | IMvigor210 No. = 467 | <i>p</i> - value |
|---|--------------------|-------------------------|-------------------------|---------------------|
| PD-L1 tumor cell expression level | | | | 0.009 |
| 0 | 688 (77%) | 347 (81%) | 341 (73%) | |
| 1 | 80 (9%) | 30 (7%) | 50 (11%) | |
| 2 | 102 (11%) | 38 (9%) | 64 (14%) | |
| 3 | 26 (3%) | 14 (3%) | 12 (3%) | |
| Aspartate Aminotransferase (U/L) | | | | 0.80 |
| Median (IQR) | 21 (16–26) | 21 (16–27) | 20 (16–26) | |
| Missing | 33 (4%) | 12 (3%) | 21 (4%) | |
| Alanine Aminotransferase (U/L) | | | | 0.002 |
| Median (IQR) | 18 (13–26) | 19 (14–28) | 17 (12–25) | |
| Missing | 35 (4%) | 15 (3%) | 20 (4%) | |
| Bilirubin (µmol/L) | | | | 0.054 |
| Median (IQR) | 6.8 (5.1–9.0) | 6.8 (5.1–10.3) | 6.8 (5.1–8.6) | |
| Missing | 34 (3.8%) | 13 (3.0%) | 21 (4.5%) | |
| Neutrophils (10 ⁹ /L) | | | | 0.63 |
| Median (IQR) | 4.9 (3.7–6.5) | 4.9 (3.8–6.5) | 4.9 (3.6–6.6) | |
| Missing | 29 (3.2%) | 11 (2.6%) | 18 (3.9%) | |
| Eosinophils (10 ⁹ /L) | | | | 0.011 |
| Median (IQR) | 0.15 (0.10–0.23) | 0.17 (0.10–0.25) | 0.13 (0.10–0.21) | |
| Missing | 92 (10.27%) | 46 (10.72%) | 46 (9.85%) | |
| Lymphocyte to monocyte ratio (10 ⁹ /L) | | | | 0.002 |
| Median (IQR) | 2.1 (1.4–3.0) | 2.0 (1.3–2.8) | 2.3 (1.5–3.0) | |
| Missing | 33 (3.7%) | 12 (2.8%) | 21 (4.5%) | |
| Derived neutrophil to lymphocyte ratio (10 ⁹ /L) | | | | 0.038 |
| Median (IQR) | 2.3 (1.7–3.1) | 2.3 (1.8–3.2) | 2.2 (1.7–3.0) | |
| Missing | 34 (3.8%) | 14 (3.3%) | 20 (4.3%) | |
| Platelet to lymphocyte ratio (10 ⁹ /L) | | | | 0.006 |
| Median (IQR) | 193 (137–279) | 204 (142–291) | 182 (131–262) | |
| Missing | 37 (4%) | 15 (3%) | 22 (5%) | |
| Hemoglobin to platelet ratio (g/L) | | | | 0.65 |
| Median (IQR) | 0.49 (0.36–0.64) | 0.49 (0.36–0.66) | 0.51 (0.37–0.63) | |
| Missing | 27 (3.01%) | 11 (2.56%) | 16 (3.43%) | |
| Protein (g/L) | | | | 0.004 |
| Median (IQR) | 72 (68–76) | 71 (67–75) | 73 (69–76) | |
| Missing | 38 (4%) | 15 (3%) | 23 (5%) | |

| | | | | |
|--|------------------|------------------|------------------|--------|
| Brain tumor site at baseline | 8 (1%) | 8 (2%) | 0 (0%) | 0.009 |
| Bone tumor site at baseline | 185 (21%) | 75 (17%) | 110 (24%) | 0.031 |
| Lung tumor site at baseline | 359 (40%) | 174 (41%) | 185 (40%) | 0.83 |
| Visceral tumor site | 682 (76%) | 321 (75%) | 361 (77%) | 0.43 |
| Estimated glomerular filtration rate (mL/min) | | | | 0.047 |
| Median (IQR) | 64 (50–81) | 62 (47–80) | 66 (51–82) | |
| Missing | 43 (5%) | 16 (4%) | 27 (6%) | |
| Creatinine (µmol/L) | | | | <0.001 |
| Median (IQR) | 103 (87–126) | 107 (88–133) | 100 (84–121) | |
| Missing | 30 (3%) | 12 (3%) | 18 (4%) | |
| Blood Urea Nitrogen (mmol/L) | | | | 0.020 |
| Median (IQR) | 7.1 (5.7–9.0) | 7.5 (5.8–9.3) | 6.8 (5.7–8.6) | |
| Missing | 51 (5.7%) | 15 (3.5%) | 36 (7.7%) | |
| Months from metastatic diagnosis (months) | | | | 0.20 |
| Median (IQR) | 9.2 (3.8–16.7) | 8.6 (3.3–17.4) | 9.9 (5.0–16.5) | |
| Missing | 80 (8.9%) | 30 (7.0%) | 50 (10.7%) | |
| Histology | | | | 0.68 |
| Transitional cell carcinoma | 811 (91%) | 386 (90%) | 425 (91%) | |
| Transitional cell carcinoma with mixed histology | 85 (9%) | 43 (10%) | 42 (9%) | |
| Prior cystectomy | 355 (40%) | 156 (36%) | 199 (43%) | 0.065 |
| Sodium (mmol/L) | | | | 0.31 |
| Median (IQR) | 139 (136–141) | 138 (136–141) | 139 (137–141) | |
| Missing | 28 (3%) | 11 (3%) | 17 (4%) | |
| Calcium (mmol/L) | | | | 0.24 |
| Median (IQR) | 2.4 (2.3–2.4) | 2.4 (2.2–2.4) | 2.4 (2.3–2.4) | |
| Missing | 30 (3.3%) | 11 (2.6%) | 19 (4.1%) | |
| Potassium (mmol/L) | | | | 0.074 |
| Median (IQR) | 4.4 (4.1–4.7) | 4.3 (4.1–4.6) | 4.4 (4.1–4.7) | |
| Missing | 28 (3.1%) | 11 (2.6%) | 17 (3.6%) | |
| Magnesium (mmol/L) | | | | 0.47 |
| Median (IQR) | 0.82 (0.74–0.88) | 0.82 (0.74–0.89) | 0.82 (0.74–0.87) | |
| Missing | 58 (6.47%) | 27 (6.29%) | 31 (6.64%) | |
| Chloride (mmol/L) | | | | 0.58 |
| Median (IQR) | 102 (99–104) | 102 (99–105) | 102 (99–104) | |
| Missing | 41 (5%) | 14 (3%) | 27 (6%) | |
| Phosphate (mmol/L) | | | | <0.001 |
| Median (IQR) | 1.1 (0.9–1.2) | 1.1 (0.9–1.2) | 1.0 (0.9–1.2) | |
| Missing | 54 (6.0%) | 30 (7.0%) | 24 (5.1%) | |
| Steroids (Y/N) | 67 (7%) | 40 (9%) | 27 (6%) | 0.059 |
| Opioid (Y/N) | 344 (38%) | 176 (41%) | 168 (36%) | 0.14 |
| NSAIDS (Y/N) | 272 (30%) | 141 (33%) | 131 (28%) | 0.14 |
| Antibiotics 60 day PRE (Y/N) | 70 (8%) | 34 (8%) | 36 (8%) | 1.0 |
| Proton pump inhibitors (Y/N) | 241 (27%) | 122 (28%) | 119 (25%) | 0.36 |
| Paracetamol (Y/N) | 287 (32%) | 138 (32%) | 149 (32%) | 0.99 |
| Laxatives or stool softeners (Y/N) | 220 (25%) | 128 (30%) | 92 (20%) | <0.001 |
| Statins (Y/N) | 215 (24%) | 129 (30%) | 86 (18%) | <0.001 |
| Betablockers (Y/N) | 171 (19%) | 101 (24%) | 70 (15%) | 0.002 |
| Vitamins and minerals | 190 (21%) | 149 (35%) | 41 (9%) | <0.001 |
| Calcium channel blockers (Y/N) | 141 (16%) | 79 (18%) | 62 (13%) | 0.044 |

| | | | | |
|---|-----------|-----------|-----------|---------|
| Anticoagulants (Y/N) | 131 (15%) | 70 (16%) | 61 (13%) | 0.20 |
| Bone modulating agents (Y/N) | 6 (1%) | 4 (1%) | 2 (<1%) | 0.61 |
| Insomnia (Y/N) | 122 (14%) | 70 (16%) | 52 (11%) | 0.031 |
| Diabetes (all types) (Y/N) | 138 (15%) | 75 (17%) | 63 (13%) | 0.12 |
| Anemia (Y/N) | 159 (18%) | 93 (22%) | 66 (14%) | 0.004 |
| Pain and discomfort (Y/N) | 453 (51%) | 231 (54%) | 222 (48%) | 0.069 |
| Vascular hypertension (Y/N) | 452 (50%) | 249 (58%) | 203 (43%) | <0.001 |
| Lipids metabolism disorders (elevated TG, Cholesterol, Hyperlipidemias) (Y/N) | 249 (28%) | 153 (36%) | 96 (21%) | <0.001 |
| Fatigue (Y/N) | 228 (25%) | 150 (35%) | 78 (17%) | < 0.001 |
| Constipation (Y/N) | 212 (24%) | 123 (29%) | 89 (19%) | <0.001 |
| Renal failure and impairment (Y/N) | 103 (11%) | 70 (16%) | 33 (7%) | <0.001 |
| Urinary tract signs and symptom (Y/N) | 106 (12%) | 67 (16%) | 39 (8%) | 0.001 |
| Musculoskeletal pain (Y/N) | 216 (24%) | 126 (29%) | 90 (19%) | <0.001 |
| Gastrointestinal and abdominal pain (excluding oral/throat) (Y/N) | 79 (9%) | 46 (11%) | 33 (7%) | 0.070 |

Data are median (IQR) or number of patients (%). *p* values per Chi-Square test for categorical data and Kruskal-Wallis test for continuous data.

Table S2. Hyperparameter tuning of machine learning algorithms on overall survival data using the primary and extended lists.

| ML Method | Parameter | Description | Search Space | Optimal Model / Parameter Value | |
|---------------------------|--------------------------|--|---|---------------------------------|----------------|
| | | | | Curated List | Uncurated List |
| Decision tree | teststat | The type of the test statistic to be applied for variable selection. | quadratic, maximum | 'quadratic' | 'quadratic' |
| | testtype | Method to compute the distribution of the test statistic. | Bonferroni, MonteCarlo, Univariate, Teststatistic | 'MonteCarlo' | 'Bonferroni' |
| Random forest | maxdepth | Maximum depth of the tree. | 5,10,15,20 | 15 | 5 |
| | ntree | number of trees in the forest | 1000,2000,3000 | 2000 | 1000 |
| | mtry | Number of variables randomly selected for splitting at each node. | 4,5,6,8,10 | 4 | 4 |
| | nodesize | Minimum size of terminal nodes. | 5,10,15,20 | 5 | 15 |
| | nsplit | Number of randomly selected split points. | 5,10,15,20 | 5 | 5 |
| | Gradient boosted machine | Shrinkage | Learning rate | 0.001–0.1, by = 0.001 | 0.001 |
| n.trees | | Number of boosted trees | 3000,4000,5000,6000 | 3000 | 4000 |
| Interaction.depth | | Maximum depth of each tree | 3,6,10 | 3 | 3 |
| n.minobsinnode | | Minimum number of observations in the terminal nodes of the trees. | 2–14, by = 2 | 8 | 10 |
| bag.fraction | | Fraction of training set randomly selected to propose the next tree in expansion | 0.5,0.8,1 | 0.5 | 0.5 |
| Cox boosted model | Stepno | Number of boosting steps | 50–200, by = 5 | 115 | 70 |
| Generalized linear models | alpha | The elastic net mixing parameter | 0,0.5,1 | 0 | 0 |

Table S3. Discrimination performance on IMvigor210 training cohort for overall survival and progression free survival.

| Learner | Overall Survival | | Progression Free Survival | |
|--------------------------|------------------------------|--------------------------------|------------------------------|--------------------------------|
| | Curated List C-Statistics | Uncurated List C-Statistics | Curated List C-Statistics | Uncurated List C-Statistics |
| Gradient boosted machine | 0.81 | 0.84 | 0.70 | 0.72 |
| Random Forest | 0.88 | 0.83 | 0.73 | 0.71 |
| Cox Boosted model | 0.77 | 0.77 | 0.67 | 0.67 |
| Generalized linear model | 0.77 | 0.77 | 0.68 | 0.68 |

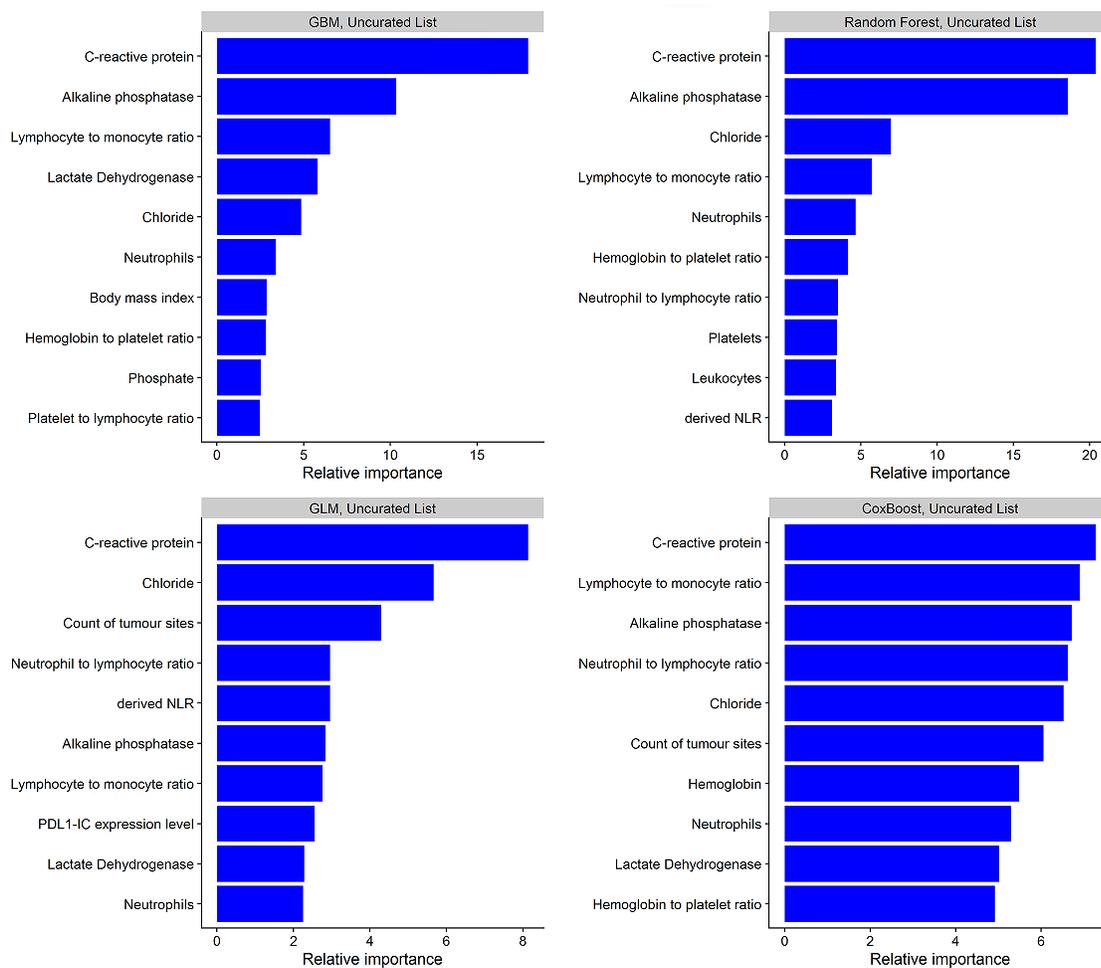


Figure S1. Relative importance of the top 10 variables for predicting survival using the uncurated variable list. GBM: gradient boosted machine, GLM: generalized linear model with regularization.

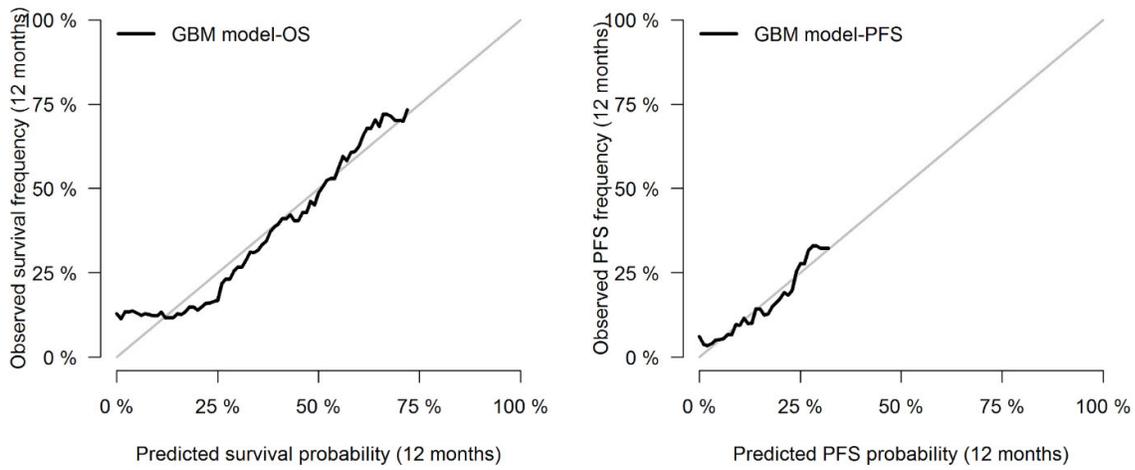


Figure S2. Calibration plots (Kaplan-Meier observed versus model predicted probabilities) of overall survival (OS) and progression free survival (PFS) on validation data using the GBM model constructed using curated variables list.