



Review

# Biological Analyses-Derived Translational Findings in the T Cell Receptor Alpha Chain Knockout Mouse as an Experimental Model for Ulcerative Colitis

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**Abstract:** Inflammatory bowel disease (IBD) is a group of chronic inflammatory disorders that affects many individuals throughout their lives. Ulcerative colitis (UC) and Crohn's disease (CD) are two major forms of IBD. Until the early 1990s, a murine model of spontaneous chronic colitis was unavailable. As a major breakthrough in the basic research field of IBD, three genetically manipulated murine chronic colitis models, including interleukin (IL)-2 knockout (KO), IL-10 KO, and T cell receptor alpha chain (TCR $\alpha$ ) KO models, were established in 1993. Since then, complicated immunobiological mechanisms during the development of UC have been gradually discovered by utilizing a wide variety of murine models of IBD, including the TCR $\alpha$  KO mouse model. In particular, it has been recognized that four major factors, including enteric, environmental, and immunological factors as well as enteric microbiota are highly and mutually involved in the pathogenesis of UC. As a pioneer of the TCR $\alpha$  KO murine model of UC, our group has identified that the interactions between the unique TCR $\alpha$ - $\beta$ + T cell population and antigen-presenting cells, including dendritic cells and B cells, play a key role for the development and regulation of UC-like chronic colitis, respectively. Here we have summarized clinically proven pathogenic and regulatory factors which have been identified by this novel TCR $\alpha$  KO murine model of UC in the past nearly three decades.

**Keywords:** inflammatory bowel disease; pathogenesis; inflammation; dysbiosis; regulatory factors



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## 1. Introduction

It is apparent that animal models are indispensable to analyze the pathogenesis of IBD efficiently and mechanistically. In 1993, the first genetically manipulated murine models of spontaneous chronic colitis including IL-2 KO, IL-10 KO, and T cell receptor alpha chain (TCR $\alpha$ ) KO mice were established in the same issue of *Cell* [1–3]. Among the colitis models, TCR $\alpha$  KO mice spontaneously develop Th2-mediated UC-like colitis by 6 months of age [3,4]. The severity of colitis in TCR $\alpha$  KO mice changes depending on the animal facilities; under germ-free conditions, but not specific-pathogen free (SPF) conditions, the onset of chronic colitis was completely suppressed in those mice [5,6]. IL-2 is one of the key cytokines in the regulation of immune responses and this cytokine is crucial for the development of a regulatory T cell population [7].

Currently, at least 66 different kinds of genetically modified spontaneous IBD animal models are established, and these models are well-summarized elsewhere [8–10]. Among these IBD animal models, most of them develop CD-like intestinal inflammation, and only limited animal models, including TCR $\alpha$  KO, IL-2 KO, IL-2 receptor (IL-2R) KO, G $\alpha$ i2 KO mice, Mdr1a (multidrug resistant 1a) KO mice, and WASP (Wiskott Aldrich Syndrome Protein) KO mice, develop UC-like colitis [7]. *Wasp* encodes a cytoplasmic protein involved in regulating actin cytoskeleton, which is absent/defective in patients with

Wiskott Aldrich syndrome, a minor population of whom suffer from gut inflammation [11]. It stands to reason that both IL-2 KO and IL-2R KO mice develop chronic inflammation because IL-2 binds with an IL-2R complex and subsequently activates important immune signaling cascades including JAK/STAT and Ras/MAPK [12]. Nearly 50% of IL-2 KO mice die before 9 weeks of age with severe systemic inflammation, such as severe hemolytic anemia, splenomegaly, and lymphadenopathy preceding colitis. In contrast, TCR $\alpha$  KO mice do not develop systemic inflammation and the inflammation is restricted to the colonic mucosa, suggesting this model is one of the best spontaneous Th2-type colitis models at present [9,10]. Here we will discuss the recent clinical advancement of how the TCR $\alpha$  KO murine colitis model can help identify pathogenic and regulatory factors during the development of UC.

## 2. Pathogenic Populations, Factors and Pathways in TCR $\alpha$ KO Mice during the Development of UC-like Colitis

### 2.1. Pathogenic T Cell Population

T cell differentiation involves positive and negative selection in the thymus, followed by MHC class II-restricted helper CD4 T cells and MHC class I-restricted cytotoxic CD8 T cells [13]. TCRs expressed on T cells are randomly selected by rearrangement of the  $\alpha$  and  $\beta$  genes during the T cell differentiation process, and the receptors are composed of  $\alpha$  chain and  $\beta$  chain heterodimers.

TCR $\alpha$  KO mice have been shown to develop few CD4 or CD8 single positive T cells and, with age, develop a population of CD4 positive T cells expressing TCR $\beta$  homodimers [14] or pre-TCR (pT $\alpha$ - $\beta$  heterodimer) [15]. Therefore, when these mice are raised in an SPF facility, they spontaneously develop UC-like symptoms by 6 months of age, even in the absence of *Helicobacter* infection.

At the beginning, other researchers were skeptical that this animal model of IBD would be truly effective in elucidating the mechanism of pathogenesis, as T cells with an abnormal TCR phenotype expressing TCR $\alpha^{-}\beta^{+}$ , which had been identified in TCR $\alpha$  KO mice, had not been confirmed to exist in humans [14]. However, in 2011, Morgan et al. found for the first time that there were patients with homozygous G-to-A mutations in the exon 3 region of TRAC (TCR $\alpha$  subunit constant gene) [16]. This suggests that T cells expressing TCR $\alpha^{-}\beta^{+}$ , which are found in TCR $\alpha$  KO mice that spontaneously develop colitis with aging, are also present in humans, and that clonal expansion of T cells expressing only the TCR $\beta$  chain is one of the causes of the pathogenesis. Interestingly, these patients have been found to develop complex immunodeficiency diseases, such as respiratory infections, otitis media, candidiasis, diarrhea, and stunted growth in infancy [16]. With this research background, TCR $\alpha$  KO mice have been frequently used as animal models of human chronic colitis and have proven to be a useful tool for the identification of many pathogenic and protective factors in UC [3,4,17,18].

T cells expressing TCR $\alpha^{-}\beta^{+}$ , the diversity of which is restricted to TCR Vb8.2<sup>+</sup>, are increased in colonic lamina propria (LP) of TCR $\alpha$  KO mice with colitis compared to those mice without colitis. In addition, a restricted TCR repertoire results in a loss of tolerance to enteric bacteria, leading to a decrease in peripherally derived regulatory T cells (Tregs) while leading to hyperactivation of migratory dendritic cells (DCs) [19]. This result suggests that maintaining the diversity of TCRs expressed on Tregs may lead to the loss of control of DCs, which are the headquarters of immunity, and may also target the gut microbiota, causing dysbiosis of the gut microbiota. Generally, colonic epithelial cells and intestinal bacteria are kept spatially separated by mucosal layers, which prevent direct interactions between the two. However, a gap in the repertoire of TCRs expressed on T cells, one of the many immune cells, may not only affect intestinal homeostasis, but may also contribute to an exaggerated response of intestinal epithelial cells [20].

## 2.2. TCR Repertoire Analysis in IBD

Until about 20 years ago, polymerase chain reaction (PCR) was the most commonly used method to analyze the TCR repertoire expressed in T cells [21]. However, this method can only analyze a very limited number of TCR repertoires, and it is very difficult to comprehensively analyze and clarify all TCR repertoires. Recently, with the advent of next-generation sequencing (NGS), large-scale TCR gene sequencing has become possible, and more detailed sequence information on specificity and diversity can be revealed. As a result, in the gastrointestinal tract of UC patients, their TCR clones are amplified while their TCR repertoire is significantly reduced. However, the repertoire of TCR- $\gamma\delta$  has not been altered [22]. It has also been reported that the administration of a monoclonal antibody against  $\alpha 4\beta 7$  integrin (vedolizumab) does not affect the TCR repertoire of T cells localized in LPs. Werner et al. examined the TCR repertoire of peripheral blood and colonic tissue samples from treatment-naïve pediatric UC patients and healthy controls and found a marked increase in clones of T cells expressing the TCR  $\beta$  chain in pediatric UC patients and an inverse correlation between disease severity and diversity of the intestinal repertoire [23]. It is also well known that the TCRs expressed on T cells in healthy individuals are highly diverse and vary among individuals. Furthermore, healthy monozygotic (MZ) twins have a more similar TCR repertoire than their nonconsanguineous counterparts [24]. Moreover, Rosati et al. performed a repertoire analysis of TCR $\alpha$  and TCR $\beta$  in peripheral blood lymphocytes (PBL) of 28 pairs of MZ twins and found features associated with IBD, disease activity, and smoking habits [25]. They found that active IBD patients have less repertoire sharing than inactive IBD patients and healthy twins, and that the V genes TRBV5-1 and TRBV7-2 are mainly utilized as unique chronotypes in IBD patients [25]. Additionally, smoking has been shown to affect the peripheral TCR repertoire in patients with UC, with fewer shared chronotypes compared to nonsmokers [25]. This suggests that even in MZ twins who originally have similar TCR repertoires, casual daily habits, such as smoking, can affect TCR repertoires, and the fact that siblings with the same genetic background have not developed IBD suggests that a combination of lifestyle habits may increase the risk of developing IBD.

It is believed that TCR clonal diversity is maintained in UC patients compared to CD patients [26]. In addition, it was shown that there is no difference in the concomitant use of TRBV-J between patients with active UC and those in remission (UC-R) [27]. Moreover, Hegazy et al. have shown that intestinal reactive T cells from adult patients with CD and UC have a memory phenotype and a diverse T cell receptor V $\beta$  repertoire in peripheral blood mononuclear cells and intestinal tissues. Furthermore, IL-17A, IFN $\gamma$ , and TNF produced by these cells stimulate the inflammatory responses of intestinal stromal and epithelial cells [28]. One commonality that has been observed in TCR repertoire analyses by many researchers is that TCR diversity is reduced, and a limited number of clones are expanded in UC patients. However, the TCR repertoires used are diverse and the antigens recognized by T cells are not expected to be identical. The summarized data of the TCR repertoire analysis of pediatric and adult IBD patients using NGS is shown in Table 1.

Vedolizumab, an anti-integrin  $\alpha 4\beta 7$  Ab, has been used as a treatment for adult patients with moderately to severely active UC and CD. Integrin  $\alpha 4\beta 7$ , which is highly expressed on activated T cells, is thought to be involved in lymphocyte homing by adhering to MAdCAM-1, which is expressed on vascular endothelial cells in the intestinal tract. Therefore, Zeissig et al. and Gamliel et al. examined the effect of vedolizumab on the phenotype of mucosal intrinsic layer T cells. Vedolizumab has been found not to affect the repertoire of T cells in LP or leukocyte trafficking in vivo [29,30]. However, administration of vedolizumab has been shown to alter macrophage populations, thereby markedly altering the expression of molecules related to microbial sensing, chemoattraction, and modulation of innate effector responses [29]. Therefore, the homing of T cells expressing restricted TCRs present in the intestine of IBD patients may be the cause of the effect of those localized in the intestine, rather than of worsening intestinal inflammation, and the

effect may not be immediate because it takes time for vedolizumab to change macrophage populations.

**Table 1.** Summary of TCR $\beta$  usage studies in human IBD.

| Disease              | Specimen                    | V Chain  | J Chain |         |         |         | Authors            | Year | Ref # |
|----------------------|-----------------------------|----------|---------|---------|---------|---------|--------------------|------|-------|
| UC                   | Colon<br>(Biopsy)           | TRBV4-1  | TRBJ2-2 |         |         |         | Günaltay S, et al. | 2017 | [29]  |
|                      |                             | TRBV4-2  | TRBJ2-5 | TRBJ1-1 |         |         |                    |      |       |
|                      |                             | TRBV4-3  | TRBJ2-2 | TRBJ2-5 | TRBJ1-2 | TRBJ2-6 |                    |      |       |
|                      |                             |          | TRBJ1-1 | TRBJ1-5 |         |         |                    |      |       |
|                      |                             | TRBV6-5  | TRBJ1-6 |         |         |         |                    |      |       |
|                      |                             | TRBV7-2  | TRBJ2-4 |         |         |         |                    |      |       |
|                      |                             | TRBV10-1 | TRBJ2-5 |         |         |         |                    |      |       |
|                      |                             | TRBV10-2 | TRBJ1-4 |         |         |         |                    |      |       |
|                      |                             | TRBV10-3 | TRBJ1-6 | TRBJ2-2 | TRBJ1-5 | TRBJ1-2 |                    |      |       |
|                      |                             |          | TRBJ2-6 | TRBJ1-4 |         |         |                    |      |       |
|                      |                             | TRBV24-1 | TRBJ1-2 |         |         |         |                    |      |       |
|                      |                             | TRBV28   | TRBJ1-6 | TRBJ1-4 |         |         |                    |      |       |
|                      |                             | TRBV30   | TRBJ1-1 |         |         |         |                    |      |       |
| UC<br>(Recurrence)   | Colon<br>(Biopsy)           | TRBV19   | TRBJ1-3 | TRBJ2-5 |         |         |                    |      |       |
|                      |                             | TRBV28   | TRBJ1-4 | TRBJ1-6 |         |         |                    |      |       |
|                      |                             | TRBV30   | TRBJ2-1 | TRBJ1-1 |         |         |                    |      |       |
|                      |                             | TRBV24-1 | TRBJ1-2 |         |         |         |                    |      |       |
|                      |                             | TRBV4-3  | TRBJ2-3 |         |         |         |                    |      |       |
|                      |                             | TRBV6-6  | TRBJ2-1 |         |         |         |                    |      |       |
|                      |                             | TRBV7-2  | TRBJ1-3 | TRBJ2-4 |         |         |                    |      |       |
|                      |                             | TRBV10-2 | TRBJ1-4 |         |         |         |                    |      |       |
|                      |                             | TRBV10-3 | TRBJ1-1 | TRBJ2-6 | TRBJ1-2 | TRBJ1-5 |                    |      |       |
|                      |                             |          | TRBJ1-3 | TRBJ2-2 | TRBJ2-4 | TRBJ2-1 |                    |      |       |
| pediatric UC         | Blood,<br>Colon<br>(Biopsy) | TRBV5    | TRBJ1-1 | TRBJ1-2 | TRBJ2-1 | TRBJ2-7 | Werner, L, et al.  | 2019 | [24]  |
|                      |                             | TRBV6    |         |         |         |         |                    |      |       |
|                      |                             | TRBV7    |         |         |         |         |                    |      |       |
| UC and CD            | PBMC,<br>LPMC               | TRBV28   | TRBJ2-1 |         |         |         | Kakuta Y, et al.   | 2020 | [31]  |
|                      |                             | TRBV5-1  | TRBJ1-5 |         |         |         |                    |      |       |
|                      |                             | TRBV7-6  | TRBJ2-3 |         |         |         |                    |      |       |
|                      |                             | TRBV12-3 | TRBJ1-1 |         |         |         |                    |      |       |
| UC and CD<br>(Twins) | Blood                       | TRBV5-5  | TRBJ1-5 |         |         |         | Rosati, E et al.   | 2020 | [26]  |
|                      |                             | TRBV7-2  | TRBJ1-5 | TRBJ2-1 | TRBJ2-7 |         |                    |      |       |
|                      |                             | TRBV18   | TRBJ1-5 |         |         |         |                    |      |       |
|                      |                             | TRBV19   | TRBJ2-7 |         |         |         |                    |      |       |
|                      |                             | TRBV30   | TRBJ1-1 |         |         |         |                    |      |       |
|                      |                             | TRBV5-5  | TRBJ1-5 |         |         |         |                    |      |       |
|                      |                             | TRBV5-1  | TRBJ2-7 |         |         |         |                    |      |       |

### 2.3. Galectin-4

Galectin-4 consists of two distinct carbohydrate recognition domains (CRDs) and has unique glycan-binding specificity, including the ability to interact with 3'-O-sulfated immature core 1 O-glycans [31]. It is known to be secreted from the basement membrane and apical side of intestinal epithelial cells [32–34]. Although the expression of galectin-4 under inflammatory conditions is not different from that in steady state, only CD4<sup>+</sup> T cells in the intestine can specifically stimulate galectin-4, which is thought to be involved in the exacerbation of colitis [32]. Hokama et al. demonstrated that the galectin-4-mediated stimulation of CD4<sup>+</sup> T cells is associated with an exacerbation of chronic colitis in TCR $\alpha$  KO mice [32]. Galectin-4 can bind specifically to lipid rafts on CD4<sup>+</sup> T cells and activate protein kinase C $\theta$  (PKC $\theta$ )-related signaling cascades [32,35].

## 2.4. Gut Dysbiosis

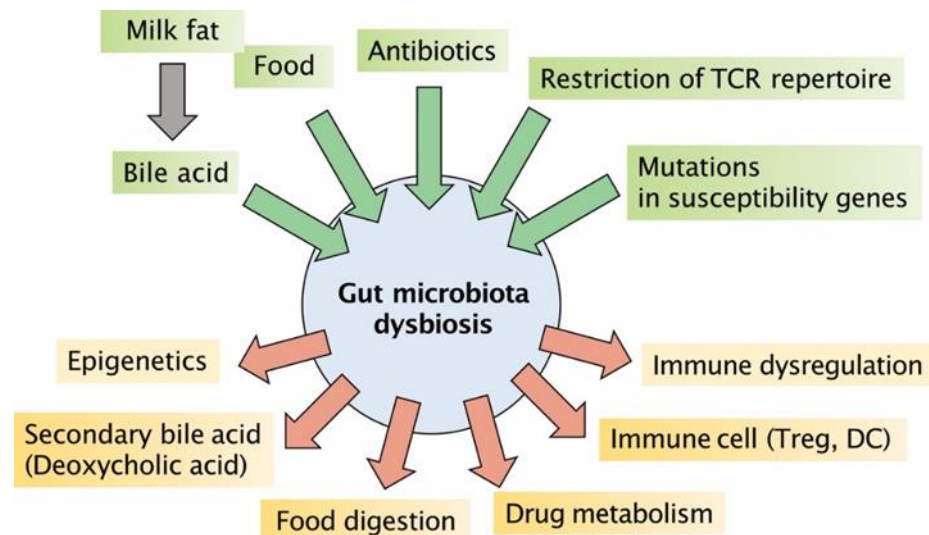
The adult gastrointestinal tract is known to be composed of about 1000 different species of intestinal bacteria with a total number of  $10^9$  to  $10^{12}$  bacteria [36]. The healthy human intestinal tract has a mucin layer on top of the colonic mucosa, which is further covered by multicellular communities referred to as biofilms. The biofilm that exists in the gastrointestinal tract is composed of not only intestinal bacteria, but also phagocytes, nucleic acid elements, fibrin mesh, and host immunoglobulins [37–41]. The biofilm is not only important for the maintenance of intestinal homeostasis, but also important for the stability and resilience of its community, resistance to the establishment of exogenous pathogens, and the maturation of host defenses [36]. Four research groups have reported that a clear distinction can be made between bacteria in feces and on mucosal surfaces in terms of genetics and habitus [42–45]. Moreover, there are taxonomic differences and reduced overall diversity of mucosa-associated gut microbiota in IBD patients. Specifically, the presence of the enterotoxins *Bacteroides fragilis* and *Pseudomonas aeruginosa* in patients with UC and CD [46–49], and the reduced presence of *Faecalibacterium prausnitzii* in CD [50,51] have been observed. The oxygen-sensitive *F. prausnitzii* is a typical butyrate-producing bacterium that settles in the human intestine and produces an anti-inflammatory effect by promoting the differentiation of IL-10-producing regulatory T (Treg) cells [52]. Thus, it has been suggested that the intestinal microbiota that coexists with us not only contributes to the maintenance of health, but may also be closely associated to the development of disease. Here, we present some selected factors affecting the intestinal microbiota in TCR $\alpha$  KO mice models.

It has been observed that TCR $\alpha$  KO mice bred in SPF facilities develop colitis dramatically less frequently than mice raised in conventional facilities [53]. Under conventional conditions, natural antibodies produced by B-1 cells seem to respond to the microbiota and regulate Th2-mediated colitis in nonhygienic environments [53]. Under SPF conditions, *Helicobacter*-free TCR $\alpha$  KO mice have been shown to develop colitis. However, it is different in TCR $\beta$  KO mice or TCR $\alpha$   $\times$  TCR $\beta$  double KO (DKO) mice. In addition, *H. hepaticus* infection is sufficient to cause chronic proliferative enteritis in TCR $\alpha\beta$  DKO mice.

In a recent study of factors affecting gut bacteria, Devkota et al. showed that mice fed a diet high in milk-derived fat had an increased proportion of taurine-conjugated bile acids compared to those on a low-fat diet [54]. Moreover, *Bilophila wadsworthia*, a sulfite-reducing bacterium, proliferates by utilizing the sulfur contained in taurine to produce hydrogen sulfide, a toxic metabolite. These changes were associated with the development of colitis in mice lacking the susceptibility gene. This suggests that a Western diet, altered host metabolites, abnormalities in the bacterial flora, and inflammation are associated in genetically susceptible hosts. In addition, the alteration of the gut microbiota leads to immune dysregulation and autoimmune diseases, and the metabolites of gut bacteria, diet, and antibiotics are associated with the regulation of epigenetic mechanisms [55,56]. In 2019, Song et al. reported that bile acids are crucial for the differentiation of ROR $\gamma$ -positive Tregs in the mouse colon, and it has been suggested that this is linked to their anti-inflammatory effects [57]. In addition, there are numerous reports of altered bile acid profiles in feces from IBD patients [36]. Alterations in the bile acid profile can be predicted from the dysbiosis of the intestinal microbiota found in patients with IBD. The reason for this is that some bile acids are metabolized by intestinal bacteria and converted to secondary bile acids. Moreover, secondary bile acids metabolized by intestinal bacteria are considered to be more toxic than primary bile acids, and excessive secondary bile acid production should be taken into account. In contrast, it has been recently discovered that bile acids also have a significant function in homeostasis, such as stimulating the secretion of blood glucose regulating hormones via receptors expressed in humans.

Bjarnason et al. and Kurahara et al. reported that nonsteroidal anti-inflammatory drugs (NSAIDs) induce colitis in humans [58,59]. NSAIDs then induce apoptosis of colonic epithelial cells, allowing luminal bacteria to invade the colonic mucosa [60]. It has been demonstrated that administration of piroxicam, one of the well-known NSAIDs, to mouse

models of UC induces colitis within 14 days, but not in WT mice. It has also been shown that piroxicam-induced induction of proinflammatory cytokines (e.g., IL-1 $\beta$ , IL-17, TNF $\alpha$ , and IFN- $\gamma$ ) can be inhibited by dexamethasone, thus preventing the development of colitis [61]. As summarized in Figure 1, many factors directly or indirectly influence gut microbiota dysbiosis.



**Figure 1.** Factors influencing gut microbiota dysbiosis: Milk fat of animal origin, food, antibiotics, the presence of T cells expressing only a restricted number of TCR repertoires, and mutations in susceptibility genes have been shown to be factors that affect dysbiosis of the gut microbiota. The changes in the intestinal bacterial layer caused by these various factors are closely related to secondary bile acids produced by metabolism by gut microbiota, epigenetics, metabolism of dietary fibers and drugs, and differentiation and dysregulation of immune cells. DC, dendritic cells; TCR, T cell receptor.

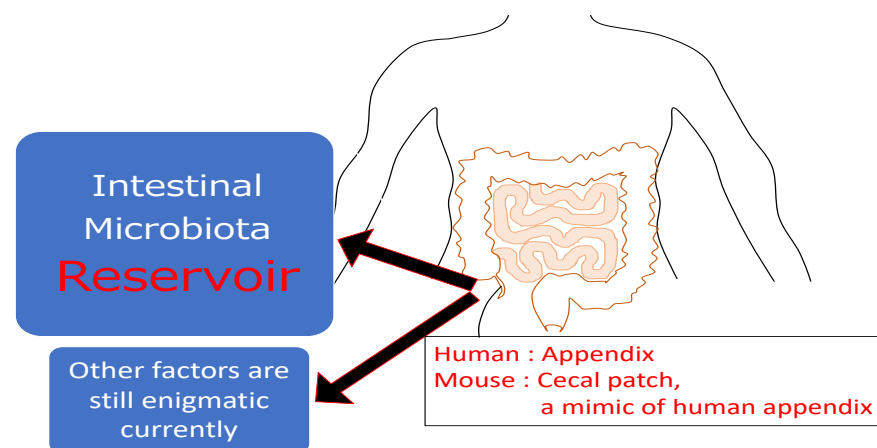
### 2.5. Lymphoid Follicles in Cecal Patches (Appendix)

UC causes recurring episodes of chronic inflammation in the mucosal layer of the intestines, beginning in the rectum and extending to various parts of the colon. Colectomy is required in 10% to 15% in moderate to severe disease courses over 5 to 10 years due to many factors, such as stricture, dysplasia, and colorectal cancer. About 10% of UC patients need colectomy within the first year of the diagnosis, and up to 30% of them require colectomy at some point in their life [62–64]. Although the etiology of UC is not fully revealed, multiple risk factors are related. Environmental factors, genetic factors, mucosal barrier dysfunction, gut immune responses, and other factors are related to the cause of UC [65]. There are a number of risk factors that give negative effects on clinical courses of patients with UC. However, appendectomy is suggested to be inversely related to the risk of developing UC.

In 1996, our group demonstrated that resection of cecal patches at a young age (3–5 weeks) suppressed the development of IBD, but not at an older age (>6 weeks) in TCR $\alpha$  KO mice [18,66]. In 2017, a national cohort study was conducted in Sweden [67]. It screened more than 63,000 UC patients and demonstrated that appendectomy before the onset of UC, for appendicitis early in life (before 20 years of age) and at any age for diagnoses other than appendicitis, is related to a lower risk of colectomy as well as a milder disease course. In contrast, appendectomy for appendicitis after the onset of UC seemed to be related to a worse disease course. However, some recent reports have shown opposite results. In 2018, Stellingwerf et al. evaluated 13 studies, which collectively included 73,323 UC patients. They demonstrated that there was no significant difference in colectomy rates between patients who underwent appendectomy and those who did not [68].

For a long time, the human appendix had been regarded as a vestigial organ. However, recent studies have revealed some important functions of the human appendix. Firstly,

the appendix is a well-organized lymphoid tissue that directly attaches to the cecum where it serves as a reservoir of commensal bacteria [69–71]. It might help to replenish and maintain commensal organisms after episodes of colitis or diarrhea. In addition, the generation of IgA-producing B cells seems to be one of the functions of the appendix [72]. However, currently the exact roles of the appendix are still largely enigmatic. UC manifests in a decrease of goblet cells and a destroyed mucosal barrier, which allows pathological intestinal bacteria to invade and boost the inflammation in UC. Therefore, appendectomy for appendicitis after the diagnosis of UC may relate to a more severe disease course and higher rate of colectomy [67]. Furthermore, the appendix is abundant in natural killer (NK)T cells. While the correlation between NKT cells and the pathogenesis of IBD is unrevealed, the aberrant Th2 response in UC harbors IL-13 producing NKT cells. IL-13 damages the intestinal epithelial cell barrier, allowing luminal pathogens to enter the mucosa and cause inflammation [73]. The appendix has a larger number of NKT cells compared to the colon and the small intestine, and the number decreases with age [74]. This phenomenon explains why an appendectomy in early life can prevent the onset of UC (Figure 2).



**Figure 2.** The roles of the appendix (cecal patch): The appendix is a narrow, worm-shaped sac, which has well-organized lymphoid tissue that directly attach it and is a reservoir of commensal bacteria. Currently, other factors are still highly enigmatic about this organ.

## 2.6. Chitinase 3-like 1

By utilizing DNA microarray analysis in colonic epithelial cells derived from TCR $\alpha$  KO mice with or without colitis as well as DSS-induced colitis in C57BL/6 mice, our group has identified the unexpected colitis-associated molecule Chitinase 3-like 1 (CHI3L1), which belongs to the glycoside hydrolase 18 family of chitinases [75]. CHI3L1 is not expressed or secreted under healthy conditions, but specifically has been induced on colonic epithelial cells and macrophages under gut inflammatory conditions and plays a pathogenic role in both acute and chronic colitis by enhancing potentially pathogenic bacterial adhesion and invasion on/into those cells [75–77]. In particular, N-glycosylated CHI3L1 facilitates CD patient-derived adherent invasive *Escherichia coli* (AIEC) adhesion to colonic epithelial cells by interacting with bacterial chitinase A (ChiA) via the specific chitin binding domain [77]. The increased expression of CHI3L1 is required for epithelial restitution and survival by promoting the proliferation of these cells under inflammatory conditions as well as in precancerous states [77].

Enhanced CHI3L1 expression is likely to be a useful biomarker for predicting malignant transformation in IBD patients [78,79]. Fecal CHI3L1 levels seem to be useful not only for predicting the severity and activity of mucosal inflammation but also for detecting the presence of malignancy in IBD patients [77,80]. High endogenous CHI3L1 expression is also associated with an increased proliferation rate and may promote spontaneous development

of polypoid formation in the colon [81]. It has been reported that serum CHI3L1 levels were significantly elevated in patients with severe cases of asthma. Interestingly, a promoter SNP (single-nucleotide polymorphism) of 131C to G in CHI3L1 was associated with an elevated serum CHI3L1 level with significance ( $P = 1.1 \times 10^{-13}$ ) in those patients [82]. By a proteomics assay using Olink proximity extension analysis, CHI3L1 is one of 16 markers in sputum that can distinguish well-controlled asthma patients ( $n = 23$ ) from poorly controlled ( $n = 25$ ) ones [83]. This finding supports a link between sputum neutrophil biomarkers and loss of asthma control [83]. It would be worthwhile to perform proteomics analysis of serum and/or feces in IBD patients as well, for the purpose of seeking potentially useful biomarkers.

### 2.7. TNFR2 Signaling Pathway

In IBD, TNF is one of the key cytokines which is involved in a wide range of pathogenic processes. In fact, anti-TNF strategies, including chimeric monoclonal antibody (infliximab) and fully human monoclonal antibody (adalimumab), are approved in the therapy of both pediatric and adolescent patients with IBD [84]. TNF produces multiple effects including cell proliferation and cell death through distinct signaling pathways resulting from binding to TNF receptor type II (TNFR2) and TNFR type I (TNFR1), respectively [85,86]. Using the RiboQuant multi-probe ribonuclease protection assay, we found increased TNFR2 expression in the colonic epithelial cell compartment in TCR $\alpha$  KO mice with colitis as compared to those mice without colitis or C57Bl/6 WT mice [87]. In human colonic epithelial cell lines, COLO205 and DLD-1, the combination of TNF $\alpha$  with IL-6 was able to upregulate TNFR2 expression, although TNF $\alpha$  alone had no effect on the expression, suggesting an important role of the IL-6/STAT3-mediated pathway in the TNFR2 upregulation [87]. Recently, meta-analysis of gene expression microarray data in WBC and colon biopsies obtained from pediatric UC patients revealed increased expression levels of TNFR2, but not TNFR1 or TNF [88] in those samples. In addition, soluble TNFR2 have been shown to correlate with disease activity in adult IBD [89]. Taken together, specific blockade of the TNF/TNFR2 interaction in acquired immune pathways seems to be important for a safer and more effective therapeutic strategy for patients with UC.

### 2.8. PKC $\theta$ Signaling Pathway

PKC $\theta$ , which is expressed mainly in T cells and skeletal muscles, is a family of serine/threonine kinases that plays a key role in immunological synapse-associated signaling pathways, including NF- $\kappa$ B (nuclear factor kappa B), NFAT (nuclear factor of activated T cells) and AP1 [90,91]. Development of chronic colitis in TCR $\alpha$  KO mice was inhibited by the absence of PKC $\theta$ . Colonic CD4 $^{+}$  T cells derived from TCR $\alpha$   $\times$  PKC $\theta$  DKO mice produce less IL-2 as well as Th2-related molecules (IL-4, IL-13, and GATA3) than TCR $\alpha$  KO mice [92]. In addition to the Th2 colitis model, Nagahama et al. demonstrated the importance of the PKC $\theta$  signaling pathway in the CD45RB cell transfer colitis model, suggesting that PKC $\theta$  plays a common and fundamental role for the induction of both Th1- and Th2-colitis by activating CD4 $^{+}$  T cells under chronic inflammatory conditions in the gut.

### 2.9. NK Cells

NK cells, which possess cytotoxic functions, play critical roles in both innate and adaptive immune systems. Originally, Mizoguchi et al. reported that CD3 $^{-}$  NK1.1 $^{+}$  cells produce IFN $\gamma$ , but both TCR $\alpha$  $\beta$  $^{+}$  and TCR $\gamma$  $\delta$  $^{+}$  T cells produce IL-4 in the hyperplastic mesenteric lymph nodes isolated from TCR $\alpha$  KO mice [4]. In this study, the authors note that IL-4 production goes in advance to that of IFN $\gamma$ , which finally induces the presence of both Th1 (IgG2a) and Th2 (IgG1) autoantibody production [4]. Clinically, circulating NK cells from IBD patients produce large amounts of proinflammatory cytokines and IL-17A, but have less killing capacity [93]. In fact, NK cells play a pivotal role in the antagonistic response to intestinal bacterial infections by producing IFN $\gamma$ , but this function may be

reduced or altered in IBD patients [4,94]. Interestingly, NK cells seem to be involved in the pathogenesis of the development of malignancies including colitis-associated cancers (CAC); autophagy in NK cells inhibits chronic colitis but seems to promote CAC [95,96].

### 2.10. Myeloid Dendritic-like Cells

Within the immune system, macrophages and DCs usually detect and respond to external pathogens. However, because the intestinal mucosa is continuously exposed to antigens, they apply a tolerogenic function to maintain homeostasis. Consequently, the destruction of the tolerogenic function can lead to the onset of IBD.

Kamada et al. identified a unique human intestinal macrophage that expresses both macrophage subsets (CD14, CD33, CD68) and DC markers (CD205, CD209) [97]. The number of these myeloid dendritic-like cells is considerably increased in patients with CD compared to controls. In addition, these cells produce a larger amount of proinflammatory cytokines and IL-23. IFN- $\gamma$  induces further differentiation of these myeloid dendritic-like cells, which in turn produce an increased amount of IL-23 and activate the Th17 cell response. This positive feedback loop contributes to chronic inflammation in CD patients [97]. Our group also discovered that IL-4 and IFN $\gamma$  deficient TCR $\alpha$  KO mice tend to generate intestinal granulomas, which is a characteristic feature of CD. The mice also had unique myeloid dendritic-like cells that had both DC subset CD11 and macrophage marker F4/80 [98]. These myeloid dendritic-like cells produce a large amount of IL-23, and we demonstrated that the production of IL-23 directly induced granulomatous formation.

Barman et al. further classified the myeloid dendritic-like cells in human colonic LP. This study showed that an increased number of CD14<sup>+</sup> CD163<sup>high</sup> CD160<sup>low</sup> cells were confirmed in UC patients. In contrast, UC patients had fewer CD14<sup>+</sup> CD163<sup>high</sup> CD160<sup>high</sup> cells. Moreover, it demonstrated that CD163<sup>high</sup> CD160<sup>high</sup> cells inhibited effector T cell proliferation, and the suppressive activity of CD163<sup>high</sup> CD160<sup>high</sup> cells are essential to control the UC disease course [99].

## 3. Regulatory Populations, Factors, and Pathways in TCR $\alpha$ KO Mice during the Development of UC-like Colitis

### 3.1. Regulatory B Cells (Bregs)

B cells are a major immune population, which are thought to play a pathogenic role in acquired immune responses by producing autoantibodies under the conditions of autoimmune disorders [100,101]. However, in 1996 Janeway's group demonstrated for the first time that an immunoregulatory B cell population, which can produce regulatory cytokine IL-10, exists in the recovery phase of a mouse model of acute experimental autoimmune encephalitis (EAE) [102]. In the same EAE model, our group showed the existence of regulatory B cells and named this population Bregs, a population which contributed highly to efficiently suppressing the development of UC-like colitis in TCR $\alpha$  KO mice [103,104]. The transfer of mature B cells led to decreased numbers of the colonic CD4<sup>+</sup> TCR $\alpha$   $\beta$ <sup>+</sup> pathogenic T cell population with a suppression of colitis in B cell (Ig $\mu$  chain)-deficient TCR $\alpha$  KO mice [105]. Furthermore, the IL-10 producing Bregs in TCR $\alpha$  KO mice are characterized by the upregulation of CD1d, which is involved in the presentation of lipid antigens to T cells [106]. Of note, Bregs have been detected under a wide variety of experimental inflammatory conditions, including EAE, IBD, arthritis, lupus, UV irradiation, and certain infectious diseases [104].

Bregs are specifically induced under inflammatory conditions and are able to effectively suppress the exacerbation of inflammation with regulatory functions through cellular interactions or regulatory cytokine (e.g., IL-10) production independent of immunoglobulins [104]. Some groups of peptides, including IL-1 $\beta$ , IL-6, IFN $\alpha$ , IL-21, IL33, IL-35, BAFF (B cell activating factor), and APRIL (A proliferation-inducing ligand), have been known as Breg-inducing cytokines [107]. Most of above cytokines, except IL-35, are known as proinflammatory cytokines, which are involved in the pathogenesis of autoimmune diseases in mice and humans [107]. Recently, Mauri et al. have reported that the expansion

of Bregs occurs under inflammatory conditions with activation of the set of inflammatory signaling cascades in humans [108]. Probably, Bregs are necessary to sustain the progression of inflammatory conditions by regulating the dose of cytokines, microenvironment, co-stimulation, B cell intrinsic factors and so on [107–110]. Interestingly, Neurath's group demonstrated that treatment with rituximab, a chimeric monoclonal antibody targeted against CD20, leads to the exacerbation of inflammation in UC patients suggesting a central role of B cells in the maintenance of gut immune tolerance to self [111,112].

It has been reported that enteric microbiota and their metabolic products, such as short chain fatty acid (SCFA), potentially promote B cell differentiation, activation, and maturation at mucosal sites in animal models and in humans [113,114]. Therefore, such gut metabolites may regulate autoinflammatory diseases by acting as the modulator of B cell-intrinsic epigenesis [112].

### 3.2. IL-22 Signaling Pathway

IL-22 is one of the IL-10 family cytokines also including IL-19, IL-20, IL-24, IL-26 and IFN $\alpha$  [115]. IL-22 binds to the IL-22 receptor (IL-22R) complex, which makes a heterodimer with the IL-22R1 and IL-10RB subunit. The former subunit is also shared with IL-20 and IL-24, and the latter subunit is also used by IL-10, IL-26, and IFN $\lambda$  [115,116]. In addition to these receptors, IL-22 can bind with soluble IL-22 binding protein (IL-22BP) with extremely high affinity [117]. In the gut, the mucous layer plays an important role as the first line of defense from commensal microflora including potentially pathogenic and non-pathogenic microbes [118]. IL-22 actively supports to maintain the mucous layer by directly inducing the expression of mucin-related genes in intestinal epithelial cells through the activation of the STAT3 signaling pathway [119,120].

IL-22 expression is significantly low in UC and TCR $\alpha$  KO mice as compared to the CD and CD45RB cell transfer model [121]. In 2008, our group performed a breakthrough experiment by utilizing a local gene-delivery system of a full-length mouse IL-22 cDNA expression vector or mock empty vector, either of which are injected into the proximal colon of TCR $\alpha$  KO mice with predetermined severe colitis [119]. Surprisingly, two weeks after the IL-22 gene-delivery, significantly enhanced STAT3 activation of colonic epithelial cells as well as attenuation of colitis in the injected sites were observed as compared to those of mock vector injected sites [119]. As a result, we have proved that IL-22 contributes highly to the improvement of colitis by enhancing the production of membrane-associated mucins including MUC1, MUC3, MUC10 and MUC13.

An increased level of IL-22BP expression is determined in the normal colon, whereas the expression is significantly reduced in an acute DSS-induced colitis model [119,122]. The reduction of IL-22BP seems to be associated with the formation of inflammasomes, which are responsible for the activation of inflammatory responses mediated by IL-1 and IL-18 [122]. The supplementation of IL-22BP with a local gene delivery system delayed the recovery from DSS-induced acute colitis by inhibiting IL-22 activity [119]. In contrast, IL-22BP expression is relatively increased in IBD patients, but the expression (mainly on CD4<sup>+</sup> T cells) is significantly reduced after anti-TNF $\alpha$  antibody treatment [123]. This result suggests one possibility that anti-TNF $\alpha$  antibody therapy mediated mucosal healing, a current therapeutic goal of IBD, may be caused by increased IL-22 production after the reduction of IL-22BP in the colon of IBD patients [124]. Although the beneficial effects of IL-22 have been closed up clinically, this cytokine has also been called “a sheep in wolf's clothing” due to its potential ability of excreting inflammatory responses and developing colitis-associated cancer [124–126]. Therefore, the beneficial versus deleterious effects of IL-22 should be kept in mind for its clinical application in the near future.

### 3.3. Muc 1

Muc1 is membrane-bound mucin that is produced in the lungs, intestines, and several other organs. It plays many different roles, such as cell adhesion, cell proliferation, and protection against intestinal bacteria. It is thought that intestinal barrier abnormalities

cause higher absorption of luminal antigens through the intestinal epithelium, triggering the immune system and causing mucosal inflammation in people with IBD.

Vancamelbeke et al. analyzed 128 intestinal barrier genes using microarray and quantitative RT-PCR. The results showed that MUC1 and MUC4 play an essential role in the pathogenesis of IBD [127]. Th17 cells serve as an intestinal epithelial barrier by producing IL-17, which upregulates the production of Muc1 as well. Nishida et al. demonstrated that Muc1 works in a negative feedback pathway to prevent an excessive Th17 cell response in TCR $\alpha$  KO mice with chronic colitis [128]. The absence of Muc1 perpetuates the expansion of Lin<sup>-</sup> cKit<sup>-</sup> Scal1<sup>+</sup> Thy1<sup>+</sup> innate lymphoid cells that produce IL-17 and enhance the Th17 cell response [129]. This result supports the concept that commensal microbiota can trigger the formation of an intestinal Th17 cell response.

### 3.4. Carbon Monoxide

Cigarette smoking has been shown to have a protective effect against UC, but it is a risk factor for CD [130]. Carbon monoxide (CO), one of the major components in cigarette smoke, is produced in the body with free iron during the reaction process of heme iron to form biliverdin by inducible heme oxygenase-1 (HO-1). The expression of HO-1 enzyme, which is important for the generation of endogenous CO, is known to be induced by various factors, such as oxidative stress, ischemia, hypoxia, inflammatory cytokines, endotoxin, and heat shock. This is the only biological reaction in which endogenous CO is produced, which is known to have anti-inflammatory and antiapoptotic effects [36,131]. Administration of cobalt protoporphyrin, an inducer of CO and HO-1, to TCR $\alpha$  KO mice has been shown to reduce apoptosis of colonic epithelial cells and alleviate symptoms of colitis [132]. In contrast, inhibition of heme oxygenase activity increases colonic severity in a 2,4,6-trinitrobenzene sulfonic acid (TNBS)-induced colitis model in rats and increases levels of proinflammatory cytokines in a DSS-induced colitis model in mice [131]. In addition, Mesalazine (5-aminosalicylic acid, 5-ASA), currently used as a basic medication for IBD treatment, is thought to have antibacterial and anti-inflammatory effects. Moreover, one of the mechanisms of action of 5-SAS is thought to be due to its induction of HO-1 expression [39]. Hence, it has been suggested that HO-1, the rate-limiting enzyme of CO, may be a promising therapeutic target for IBD.

### 3.5. Chitin-Microparticles

Chitin is a polymer form of *N*-acetylglucosamine (GlcNAc) that is a primary structural component of cell walls of many organisms including fungi, crustaceans, insects, and cephalopod beaks, but mammals and bacteria do not possess chitin [133]. Chitin is the second most abundant polysaccharide in nature next to cellulose [133]. Interestingly, chitin shows size-dependent and pathway-specific immunological effects. Intermediate chitin fragments (40–70  $\mu$ m in size) trigger inflammation by activating the production of TNF $\alpha$ , IL-17 and IL-23 via the TLR-2 and the MyD88 signaling pathway as an alarm signal [134]. In contrast, chitin microparticles (<10  $\mu$ m in size) enhance the production of IL-10, a well-known anti-inflammatory cytokine [135]. Nagatani et al. orally administered chitin microparticles or PBS (as a vehicle control) to TCR $\alpha$  KO mice every 3 days for six consecutive weeks starting from their weaning age to determine the prophylactic effects of chitin microparticles in chronic colitis [136]. As a result, chitin microparticle-treated mice showed a significantly milder form of colitis with an increased production of IFN $\gamma$  by CD4<sup>+</sup> T cells as compared to PBS-treated control mice [136]. Furthermore, Louis et al. reported that an intermediate size of chitin particles showed an anti-inflammatory effect after being digested with acidic mammalian chitinases depending on the expression of host TLR2 and CD14 [137]. Clinically, chitin microparticles are well tolerated in healthy volunteers and show a more enhanced anti-inflammatory effect after nasal lipopolysaccharide challenges as compared to the placebo group [138]. Based on the positive effect of chitin microparticles, they may also have a potentially useful therapeutic effect on IBD as well.

### 3.6. Regeneration/Detoxification-Associated Molecules

To identify the function of colonic epithelial cells with excess elongation during the recovery phase of chronic colitis, our group performed a DNA microarray analysis of freshly isolated colonic epithelial cells from TCR $\alpha$  KO mice with colitis [139]. As a result, genes associated with detoxification and biotransformation, such as multiple drug resistance (MDR) 1a and carbonic anhydrase (CAR)-IV, were significantly downregulated in TCR $\alpha$  KO mice as compared to age-matched C57Bl/6 mice [139]. In contrast, genes-associated with regeneration and cell growth, such as regenerating gene (REG) III $\gamma$  and REG III $\beta$ , were present in the colonic epithelial cells of TCR $\alpha$  KO mice with chronic colitis, but were not quantified during the recovery phase (day 8) of DSS-induced colitis [139]. Xu et al. proved that the expression of SATA3-associated cytokines, including IL-6, IL-17, and IL-22, was significantly increased with 2% DSS-induced colitis, being positively correlated with the expression of REG III $\beta$  and REG III $\gamma$  in the colonic tissues of mice [140]. Clinically, in vancomycin-resistant *Enterococcus* infection patients, Reg III was down-regulated in both fecal microbiota transplantation (FMT) and in groups treated with two *Lactobacillus* strains (Y74 and HT121), suggesting the possibility of Reg III as a biomarker of colonic inflammation [141,142].

### 3.7. Elemental Diet

In 2000, Kiyono's group demonstrated that by suppressing the production of Th2-type of cytokine, elementary diet (ED)-fed TCR $\alpha$  KO mice showed no pathogenic feature of UC-like colitis as compared to regular diet (RD)-fed TCR $\alpha$  KO mice [143]. Interestingly, almost 80% of RD-fed mice were infected with *Bacteroides vulgatus*, which seemed to be associated with the production of Th2 cytokine production by the colonic pathogenic CD4<sup>+</sup> T cell population [143]. Rectal administration of *B. vulgatus* to ED-fed TCR $\alpha$  KO mice led to development of the Th2-type of colitis, suggesting the ED may suppress the development of colitis by modulating the microenvironment of microbiota in TCR $\alpha$  KO mice.

## 4. Conclusions

By utilizing the TCR $\alpha$  KO spontaneous murine chronic colitis model, several pathogenic and regulatory factors have been identified. As shown in this review, many findings based on basic science have been connected with the development of clinically useful information for UC treatment. Based on this knowledge, along with continuing works utilizing many other animal models of UC, future researchers should be able to successfully develop prophylactic, diagnostic, and therapeutic strategies against UC and its associated diseases including colitis-associated cancer.

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