



Proceeding Paper

# Retrieval of Total NO<sub>2</sub> Columns Using Direct-Sun Differential Optical Absorption Spectroscopy Measurements in Thessaloniki †

Dimitrios Nikolis \*, Dimitris Karagkiozidis and Alkiviadis F. Bais

Laboratory of Atmospheric Physics, Physics Department, Aristotle University of Thessaloniki, 54124 Thessaloniki, Greece; dkaragki@auth.gr (D.K.); abais@auth.gr (A.F.B.)

\* Correspondence: dnikolis@auth.gr

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**Abstract:** The monitoring of trace gases in the troposphere has been routinely performed at the Laboratory of Atmospheric Physics, Thessaloniki, Greece, for a decade now, by multiple Multi-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS) instruments. Even though measurements of trace gas concentrations in the troposphere are of great interest in terms of air quality, the MAX-DOAS technique is only sensitive to absorbers in the lowest few kilometers of the atmosphere. In this work, we present a methodology for the retrieval of total NO<sub>2</sub> columns in the atmosphere by applying the DOAS technique on direct sun spectra (DS-DOAS) measured with a new research-grade system. The advantages and limitations of the total NO<sub>2</sub> retrieval methodology, based on DS-DOAS, are discussed. The accuracy and the quality of the retrieved columns were assessed by comparison with a collocated Pandora system that also measures total NO<sub>2</sub> column amounts using a similar technique with independent calibration.

**Keywords:** MAX-DOAS; Pandora; NO<sub>2</sub> total column; DS-DOAS



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## 1. Introduction

Nitrogen dioxide (NO<sub>2</sub>) is a major pollutant worldwide, playing a key role in the tropospheric and stratospheric chemistry [1]. It partly affects the ozone (O<sub>3</sub>) distribution in the Earth's atmosphere through contribution to the catalytic destruction of ozone in the upper troposphere and lower stratosphere [2]. In the lower troposphere (mostly in the boundary layer), the horizontal and vertical distributions of NO<sub>2</sub> strongly affect the air quality and, consequently, human health [3].

Retrievals of total vertical column amount of NO<sub>2</sub> from space-borne sensors are usually performed by applying Differential Optical Absorption Spectroscopy (DOAS) on radiance measurements of scattered sunlight [4]. Concerning the ground-based systems, sky radiances are measured either in the zenith direction (zenith-sky DOAS) [5] or in multiple directions (MAX-DOAS) [6].

In this work, the direct-sun DOAS (DS-DOAS) technique was used for the retrieval of total NO<sub>2</sub> column amounts. This technique has proven to be accurate for deriving NO<sub>2</sub> concentrations from ground-based instruments using measurements of direct solar irradiance [7], where the Air Mass Factor is approximated by the secant of the solar zenith angle (SZA), as discussed in the Data and Methodology section. The DS-DOAS method is equally sensitive to stratospheric and tropospheric NO<sub>2</sub> concentrations. It does not require complicated radiative transfer calculations, is not affected by the Ring effect, does not require prior knowledge of ground reflectivity [7], nor the assumption of horizontal homogeneity (except for large SZA), typical of zenith-sky DOAS and MAXDOAS.

## 2. Instrumentation

A new research-grade MAX-DOAS instrument, Delta, was developed at the Laboratory of Atmospheric Physics in collaboration with the Royal Belgian Institute for Space Aeronomy (BIRA-IASB), in the framework of the PANhellenic infrastructure for Atmospheric Composition and Climate Change (PANACEA) project. Delta is characterized by increased sensitivity and accuracy in measuring trace gases and aerosols, providing valuable insights into air quality and atmospheric chemistry. Delta consists of two separate units: The external unit houses the optical head which is mounted on a sun tracker that allows accurate orientation of the optics at different viewing directions. The Delta system's internal unit consists of a spectrograph that analyzes the incoming radiation and produces a spectrum and a CCD camera that functions as a detector and measures the spectral intensity. Both are placed in a thermally isolated box, designed by Raymetrics S.A. (<https://raymetrics.com>, accessed on 25 March 2023), where the temperature is kept constant at 20 °C with a thermoelectric Peltier system.

The Pandora 2S instrument was designed from a collaboration of the National Aeronautics and Space Administration (NASA) and the European Space Agency (ESA) with SciGlob to address the gap in air quality validation of satellite measurements. It is an easy-to-deploy, ground-based system that monitors various atmospheric trace gases absorbing in the UV and visible spectral ranges, such as NO<sub>2</sub>, O<sub>3</sub> and formaldehyde (HCHO). The Pandora 2S Head sensor is mounted on a microprocessor-controlled azimuth and elevation sun tracker and can point in any direction in the sky. The control software (Blick Software Suite 1.8) supports automated measurements, remote monitoring and data transfers over the internet. Pandora system's internal unit consists of a controller running the BlickO 1.8 operating software under Microsoft Windows 10 Pro, the power distribution and system interface electronics unit and the two spectrometers (UV/Vis and Vis/NIR). All these components are installed to a thermally isolated box.

## 3. Data and Methodology

The Pandora direct-sun total NO<sub>2</sub> column data were produced using Pandora's standard NO<sub>2</sub> algorithm implemented in the BlickP 1.8 software [8]. The measured direct-sun spectra in the range of 400–440 nm were used in the analysis. The reference spectrum is a synthetic reference spectrum, which is usually the average over several spectra measured by the Pandora unit and corrected for the estimated total optical depth of the different atmospheric extinction processes included in it.

Two spectral fittings were applied to the data, which differ in the effective temperature of NO<sub>2</sub> absorption. In one case, the NO<sub>2</sub> cross section is taken for the boundary layer temperature climatology and for the stratospheric temperature climatology in the other case. For the final NO<sub>2</sub> total column product, an effective height for the stratosphere and a stratospheric NO<sub>2</sub> climatology are used to estimate the stratospheric NO<sub>2</sub> fraction.

A direct-sun NO<sub>2</sub> inversion method, similar to that described in [7], was applied to the radiances measured by the Delta system. These measurements were analyzed using the QDOAS (version 3.2, September 2017) spectral fitting software suite developed by BIRA-IASB (<https://uv-vis.aeronomie.be/software/QDOAS/>, accessed on 12 May 2023) to derive the slant column density (SC) of NO<sub>2</sub> relative to a reference spectrum. The measured relative slant columns SC<sub>RELI</sub> at time *i* would be given by the linear relation:

$$SC_{RELI} = SC_i - SC_{REF} \quad (1)$$

where SC<sub>*i*</sub> is the absolute slant column at time *i*.

The reference spectrum that is used in the DOAS analysis is a direct-sun spectrum recorded around noon during a summer day under low NO<sub>2</sub> conditions (12/07/2022). The slant column density in the reference spectrum was calculated with the Bootstrap Estimation method [9] which will be discussed in the Results section.

From the SC, the vertical column density (VC) is calculated by division with the appropriate airmass factor. For the calculation of the direct-sun air mass factor, we use Equation (2):

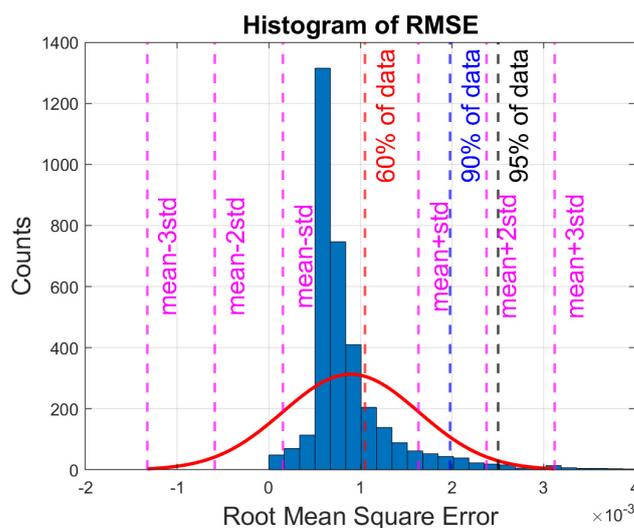
$$AMF = \sec\{\arcsin[(r/(r + h_{EFF})) \sin(SZAa)]\} \tag{2}$$

where  $r$  is the distance from the center of the Earth to the measurement location (~6370 km),  $SZAa$  is the apparent solar zenith angle (i.e., the true SZA corrected for refraction), and  $h_{EFF}$  is the assumed effective height of the NO<sub>2</sub> layer. Here, we assume  $h_{EFF} = 25$  km to derive the stratospheric AMFs and  $h_{EFF} = 12.5$  km for the total column AMF.

The absorption cross sections used are the same with those used in Pandora’s data analysis [10] for NO<sub>2</sub> effective temperatures of 220, 298 and 254.5 K, which are representative for the stratospheric, tropospheric and total NO<sub>2</sub> columns, respectively. The other atmospheric gases’ absorption cross sections used are for O<sub>3</sub> at 223 K [11], H<sub>2</sub>O at 293 K, O<sub>4</sub> at 262 K [12], OIO at 298 K [13] and I<sub>2</sub> at 295 K [14].

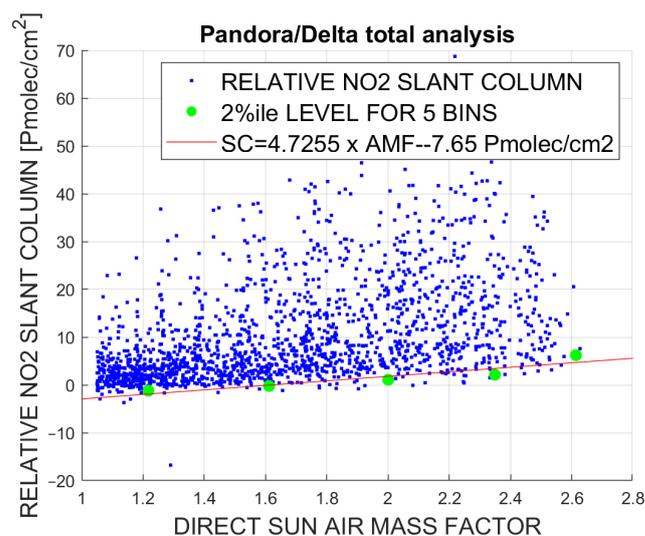
#### 4. Results

To calculate the total VC from the Delta system measurements, it is essential that the SC of the reference spectrum is calculated. The method applied to perform this is the Bootstrap Estimation method [14]. Data with Root Mean Square Errors greater than 2 are excluded to achieve a better performance of the method. The reason for selecting a value of 2 is because it excludes the 10% of the data with the greatest errors, as can be seen in the histogram in Figure 1.



**Figure 1.** Histogram of RMSE of NO<sub>2</sub> relative slant columns measured by Delta and analyzed through QDOAS 3.2 software.

For the Bootstrap method, the relative slant columns are binned with respect to AMFs and the average of the lowest 2nd percentile in each bin is plotted against the corresponding AMFs. The bin size was chosen to be 0.4 units of AMFs. The slant column density of the reference day,  $SC_{REF} = 7.65$  Pmolec/cm<sup>2</sup>, is derived from the linear fit of the 2nd percentile averages and the corresponding AMFs (see Figure 2) as the intercept of the regression line.



**Figure 2.** Relative NO<sub>2</sub> slant columns SC<sub>REL</sub> as a function of AMFs for Delta. The green dots are the 2nd percentile minima of each of the 5 AMF bins and the red line is the respective linear regression.

The total slant column density for the Delta system SC<sub>TOTD</sub> is derived from Equation (3):

$$SC_{TOTD} = SC_{TD} [(1 - q_{CLIM} \times AMF_S)/SC_{SD}] + AMF_S \times q_{CLIM} + SC_{REF} \quad (3)$$

where SC<sub>TD</sub> and SC<sub>SD</sub> are, respectively, the tropospheric and stratospheric slant columns from the Delta system and AMF<sub>S</sub> are calculated assuming that most of the absorption occurs due to the stratospheric NO<sub>2</sub>. Finally, q<sub>CLIM</sub> represents the climatological value of the stratospheric NO<sub>2</sub> column for the location, season and time of each measurement.

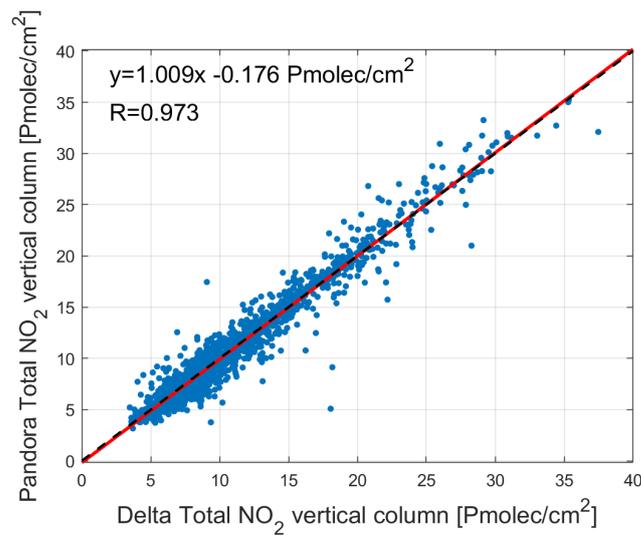
The SC<sub>TD</sub> and SC<sub>SD</sub> are derived from the QDOAS analysis using cross sections for the climatological temperatures of the troposphere (298 K) and the stratosphere (220 K), respectively. Essentially, Equation (3) partitions the tropospheric and stratospheric columns to the total column of NO<sub>2</sub> under the assumption that the stratospheric column remains close to the climatological values. Finally, the absolute total vertical column is calculated for the Delta system:

$$VC_{TOTD} = SC_{TOTD}/AMF_{TOT} \quad (4)$$

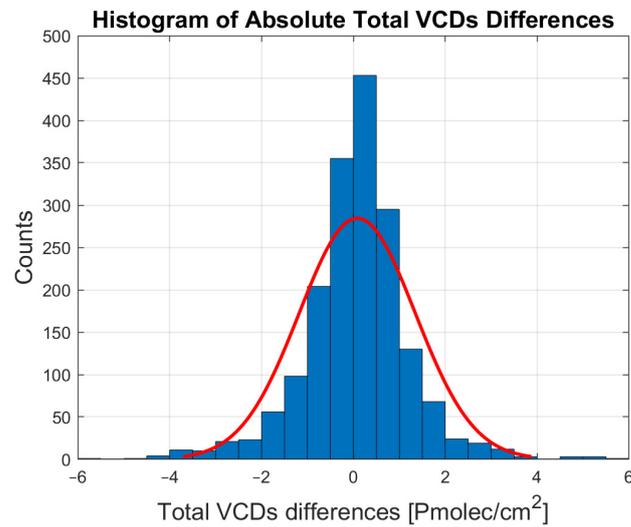
where AMF<sub>TOT</sub> is the AMF calculated for the effective height 12.5 km, which is assumed to be representative of the total NO<sub>2</sub> column.

The comparison of the absolute total VCDs from the two systems is visualized in Figure 3. In Figure 4, the differences between the absolute total vertical column amounts from the two systems are shown.

The comparison of the total NO<sub>2</sub> absolute vertical columns measured by the two systems reveals generally a very good correlation ( $R \approx 0.97$ ), with a few outliers. The slope of the linear regression was very close to the ideal value of 1 (1.009) and the offset was close to 0.1 Pmolec/cm<sup>2</sup>. The points deviating from the ideal line are due to residual noise in the retrieval algorithms and instrumental noise and uncertainties. The distribution of the differences peaks very close to 0.1, which can be explained by the offset value, indicates that more than 95% of the measurements agree to within 0.1 Pmolec/cm<sup>2</sup>.



**Figure 3.** The comparison between absolute total VCDs from Delta and Pandora systems.



**Figure 4.** The total NO<sub>2</sub> VCDs differences between the Delta and the Pandora systems (in Pmolec/cm<sup>2</sup>).

**5. Conclusions**

A methodology for the calculation of the total NO<sub>2</sub> column density for a research-grade DOAS system (Delta) operating in Thessaloniki at the Laboratory of Atmospheric Physics, AUTH, was presented. The data from this system were compared to data from a collocated Pandora system that has been operating since September 2022. The comparison of the total NO<sub>2</sub> vertical column densities between the Pandora and the Delta systems shows a good agreement, taking into account that the two systems are completely independent. As the Pandora system has been validated before delivery in the frame of the Pandonia Global Network, their achieved agreement suggests that the Delta system is reliable regarding its setup and operation, and, along with other products, it can be used to reliably monitor the NO<sub>2</sub> total column amounts in Thessaloniki.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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