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GCP and PPK Utilization Plan to Deal with RTK Signal Interruption in RTK-UAV Photogrammetry

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Abstract: When surveying a large target area with a real-time kinematic unmanned aerial vehicle (RTK-UAV), the RTK signal tends to be disconnected when city canyons or macrocells are included. Thus, the accuracy is reduced due to the lack of RTK signal or the fact that RTK signal is not available in certain areas. The available methods to solve this problem are costly. Therefore, we used one GCP and performed post-process kinematics (PPK) to verify whether the accuracy reduction caused by the lack of RTK signal in certain areas could be solved. A data set detailing the percentage of time during which the RTK signal was received (100%, 90%, 5%, and 0%) was obtained, and ATs were conducted both with and without PPK using GCPs located at the four corners and center. In 40 experiments, the trend of root mean square error (RMSE) values based on the distance between the GCP used and the 41 check points (CPs) was analyzed. In the absence of PPK, the error tended to increase depending on the distance between the GCP and CPs, but there was no significant difference after PPK as up to 10 cm horizontal error and up to 20 cm vertical error were observed within a 1 km radius of the GCP. As a result, even if the RTK signal is disconnected during shooting, it is possible to achieve an accuracy within 3 GSD up to a radius of 1 km from the GCP.

Keywords: UAV; RTK; PPK; GCP; signal interruption; distance; accuracy; arrangement

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1. Introduction

Due to recent advancements in the industry, the need for three-dimensional (3D) spatial data has increased, and the infrastructure for building 3D spatial data is developing rapidly [1,2]. Among the various methods that can quickly and accurately build rapidly changing 3D spatial data, unmanned aerial vehicles (UAVs) are attracting attention from researchers [3–5]. Compared to aircraft, UAVs can efficiently build spatial information, such as 1:1000 digital maps, for small areas at lower altitudes and at a lower cost and in less time [6].

To generate high-quality geospatial data using UAVs, sensors such as a global navigation satellite system/inertial measurement unit (GNSS/IMU) and ground control points (GCPs) can be used to improve the accuracy of the external orientation parameters of the acquired images [7].

When spatial data are generated using these sensors and a sufficient number of GCPs, the quality of the generated data is improved because the deviation or bias is reduced [8]. Therefore, to build spatial data through UAVs, the Republic of Korea has created the Guideline for Public Survey Using Unmanned Aerial Vehicles [9]. According to these guidelines, nine GCPs per 1 km² are suggested and the separation distance between GCPs should be less than 500 m.

However, to obtain high-precision results according to the effect of the geometric distribution of GCPs on the accuracy through aerial triangulation (AT) and the size of the study area, additional studies on optimizing the number of GCPs are needed. However,

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because GCP surveying requires physical surveying, it is a costly and time-consuming task in the overall UAV-based survey process.

Therefore, by reducing the number of GCPs, the time required for field surveys can be reduced due to aerial photogrammetry using UAVs [10]. In a GCP survey, a technician must visit the site and acquire the coordinates of the location, and at the same time, the location must be identifiable from the image taken by the UAV in order to proceed with relative orientation later. Using the GNSS or Total Station, the coordinates from these artificial targets should be measured. However, it is very difficult to obtain the coordinates of GCPs on steep slopes or inaccessible areas [11].

When the physical measurement described above is difficult, a direct georeferencing method is proposed to achieve the required accuracy. Because the direct georeferencing method does not use GCPs, the location accuracy of the UAV has a significant influence on the accuracy of aerial photogrammetry. Surveying using a single GNSS is inaccurate because of various fundamental errors [12]. Further, in a real-time kinematic (RTK) survey using two GNSSs, multi-differentiated results are transmitted to the rover to reduce the number of GCPs, or when the same number of GCPs are applied, higher accuracy results are obtained than when a GNSS-UAV is used [13,14]. The GNSS-UAV method shows meter-level accuracy when performing AT [15,16], whereas RTK-UAV shows a positioning accuracy of several centimeters. Therefore, in cases where there is little fear of RTK signal disconnection, the RTK-UAV method is applied to reduce the number of GCPs because there is little concern about the deterioration of the 3D accuracy of the image [17–19].

Ref. [20] showed that RTK-UAV does not require post-processing if the received signal is good, and it can satisfy demanding flight environments through real-time correction. However, if the signal is disconnected, the multi-differentiated solution cannot be received in real time, and the position measured by the GNSS is stored. When the study area is wide or is an urban area, the RTK-UAV accessing the network experiences many signal disconnections, and thus images with 3D positional accuracy in the GNSS state are recorded. Many studies have been conducted to improve the accuracy through a separate post-process kinematics (PPK) procedure using receiver-independent exchange forma (RINEX) data from the continuous observation reference station (CORS) located near the target area [21–24].

PPK is a post-processing technique that compares GNSS-received data for which error and integer ambiguity have not been determined from hardware elements (such as amplifiers and antennas) and CORS observation data to determine the receiver clock error, neutral atmospheric delay, ionospheric delay, code compensation for deflection, satellite clock errors, etc. [25–27]. Ref. [28] also reported that the RTKLIB program showed the best results due to the fact that the AT of images was captured through the GNSS-UAV.

In general, if the RTK signal is disconnected due to a communication failure caused by increased communication distance resulting from a wider shooting area or signal disconnection in a city canyon, the initial value of the extra orientation parameter with uncorrected GNSS errors is included in the image obtained from the RTK-UAV. Therefore, it has an accuracy of several meters, making it unsuitable for generating precise 3D spatial information. However, when the disconnection of the communication signal is small and the RTK reception rate is high, an accuracy of several centimeters can be expected. That is, in the direct georeferencing method using RTK-UAV, the accuracy decreases when the RTK reception rate is poor. Accordingly, using one GCP can solve the bias problem for the Z axis, and using two GCPs can correct the H and Z axes for the area between the GCPs [29]. If three GCPs are used, the correction and distortion of the H and Z axes inside the GCP points can be expressed. It was reported [30] that the z-axis error can be corrected by applying at least one GCP and PPK. In [31], a small area was surveyed using a UAV and the different numbers and costs required for PPK to achieve similar accuracies when using RTK, GNSS, and non-GNSS were analyzed. It was also stated that to obtain the same level of accuracy when recording positions using GNSS and when only using GCPs without GNSS, six and twelve GCPs should be utilized to obtain reliable results.

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Ref. [32] suggested that the horizontal error of the control point (CP) decreases as the number of GCPs used in UAV surveying increases. In addition, it was reported that the horizontal error tended to increase as the distance between GCPs and CPs increased.

Ref. [33] reported that the 3D root mean square error (RMSE) was 0.051 m when six GCPs were used, and the RMSE was 0.043 m when a total of seven GCPs were used by adding one GCP inside the target area. In addition, placing GCPs at 350 m intervals was proposed, that is, more densely than the nine or more GCPs per 1 km² suggested by the UAV Operation Regulations.

Ref. [34] performed accuracy evaluation according to the geometry and arrangement of the GCPs using GNSS-UAV, employing 120 CPs at shooting altitudes of 170 and 200 m and shooting areas of 1.6 and 2.3 km², respectively. As a result of the analysis, it was found that the geometric arrangement of the GCPs was more conducive to increasing the accuracy of the UAV during AT than increasing the number of GCPs, and the positioning accuracy decreased when the GCP interval exceeded 400 m. Previous studies have pointed out that if signal disconnection occurs when surveying a large study area with RTK-UAV, the 3D location accuracy of images and the accuracy of AT are lowered. However, in actual shooting, because shooting starts in a state in which the RTK signal connection is made, there are cases where the signal is disconnected in the middle of shooting and then connected again. As such, studies on the results of AT when RTK signals are received at 100% and when signals are disconnected in the middle of shooting are insufficient. In addition, according to the RTK reception rate, no studies have analyzed the trend of error according to the distance to the CPs when 1GCP is applied and the error that occurs when PPK is performed. Therefore, in this study, we reviewed the effect of 1GCP+PPK applied to the UAV by [30]. To this end, RTK-UAV image sets were created for cases where the RTK reception rates for the study area were 100%, 90%, 5%, and 0%, and the accuracy of AT was evaluated. According to the reception rate, the accuracy of using only direct georeferencing and performing PPK were compared. In addition, when using 1GCP, we tried to confirm whether it was absolutely necessary to place the GCP in the center of the work site. Therefore, the trends of the H and Z errors according to the distance between the 1GCP and CPs were analyzed.

A flow chart of this study is shown in Figure 1, and the following content is described in each section. In Section 2, the study area, input data for AT, and experimental methods for PPK are described. In Section 3, in the case of 1 GCP, Cases 1–4 were classified according to the RTK reception rate, and the AT results of non-PPK and PPK were compared and analyzed. In Section 4, we analyzed the accuracy of the level of several centimeters at a certain distance when one GCP was applied. Based on these results, a discussion is included in Section 5, and the conclusions of this study are presented in Section 6.

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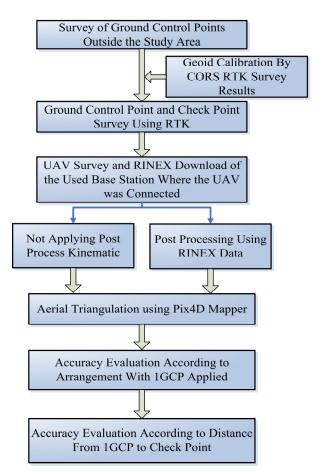


Figure 1. Research flow chart.

2. Data Acquisition and Experimental Method Setting

2.1. In Situ Geoid Model Calibration of Study Area

The study area was Hwarang Amusement Park (Latitude: 37°19′34.81″ N, Longitude: 126°48′51.49″ E) located in Ansan, Korea. The actual area of the study area was approximately 0.75 km² (Figure 2). The study area was flat with minimal elevation differences. There was a museum with a height of approximately 30 m in the center of the target area, the Gyeonggi-Do Museum of Modern Art, with its entire facade made of glass. There were repeaters for wireless communication on the rooftops of apartment complexes and other buildings located outside the study area. Accordingly, the RTK signal may have been locally disconnected in the study area.

Prior research on surveying using RTK [35] states that the distance between the base station and receiver should be within 10 km when the geoid undulation is severe. However, for the study site, the nearest base station was 15.5 km, as shown in Figure 3. A previous study [36] reported that PPK was performed but the RMSE for Z increased. That study was conducted in an area very far from the base, and it was judged that this phenomenon occurred because the EGM96 geoid was used without performing separate site calibration in the mountainous area. Therefore, to obtain precise geoid results for the outer shell in this study, site calibration was performed in this area. A CP survey was conducted using TIANYU's GR-5N GNSS receiver and CORS, inside and outside the target area. Additionally, EPSG 5186 was used as the coordinate system of the VRS-GNSS survey (Figure 4). When surveying the reference point for site calibration, Incheon was set as the base station, radio technical commission for maritime services (RTCM) 3.1 was used, and the elevation mask was set to 15°.

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The geoid model used was KN Geoid 18, a derivative of EGM 2008. KN Geoid 18 has a degree of fit of approximately 2.3 cm and is known as a model optimized for the Republic of Korea because of GNSS/leveling [37,38].

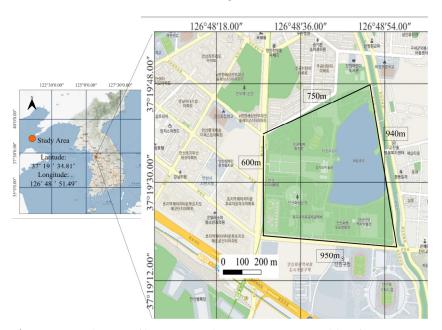


Figure 2. Study area of hwarang park area in Ansan, Republic of Korea.

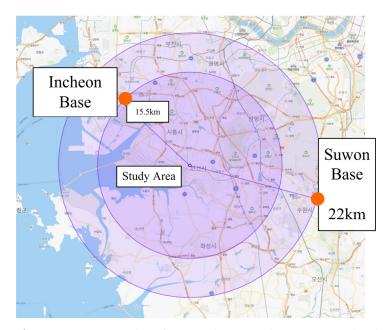


Figure 3. Distance analysis from nearby Suwon base station and Incheon base station near the study area for good RTK signal reception

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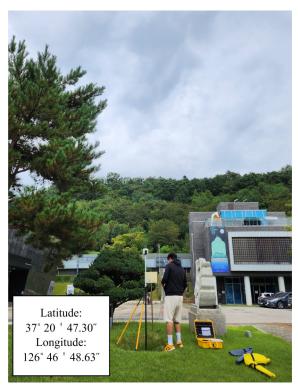


Figure 4. External virtual reference station (VRS)-GNSS survey for site calibration.

The observation precision of site calibration was evaluated as position dilution of precision (PDOP) 1.80, the horizontal and vertical RMS were 1.4 and 2.1 cm, and the longest point-to-point distance was 6 km. The results of field calibration are shown in Table 1 below. This was similar to the values obtained between 5 and 10 km from the RTK survey results of a previous study conducted in the Incheon area [35].

Table 1. Site calibration result.

Survey Point	Reference Point	dN (m)	dE (m)	Geoid Height (m)	Hor. Residual (m)	Ver. Residual (m)
U19	U Anyang 19	-0.001	0.002	23.057	0.002	-0.027
U20	U Anyang 20	0.002	0.006	22.974	0.007	0.022
U22	U Anyang 22	-0.010	-0.005	23.038	0.011	-0.011
U75	U Anyang 75	0.009	-0.004	23.261	0.010	0.016

The reference point survey in the study area was also RTK-surveyed using the same base-station (Incheon Station) as site calibration. A total of 42 points were measured, and as a result, the PDOP was evaluated as 1.82, and the horizontal and vertical errors were evaluated as 1.5 and 2.4 cm, respectively. The positions of the GCPs are shown in Figure 5a–e, which indicate the positions of the measurement points located in the center and outermost parts of the study area in a clockwise direction. Reference points other than the GCPs were used as CPs.

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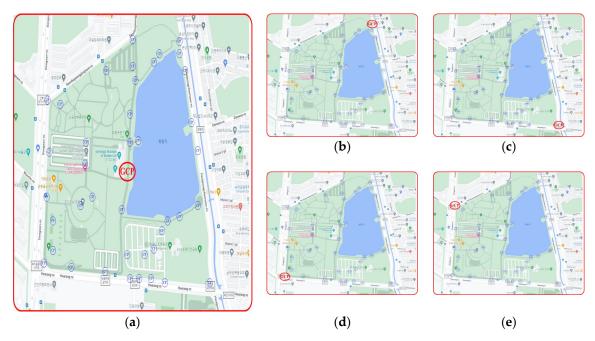


Figure 5. Arrangement plan when one ground control point (GCP) is used: (a) Center of study area; (b) 1 o'clock direction; (c) 5 o'clock direction; (d) 7 o'clock direction; (e) 11 o'clock direction.

2.2. UAV Surveying and Internal Orientation

The UAV used for UAV surveying was a DJI Phantom4 RTK, and CORS using INCH Base was used for RTK. The DJI automatic flight function was used for the shooting course and driving method in the study area. Details, such as the shooting altitude, shooting angle, shooting speed, shooting course, number of photographs acquired, and the 3D coordinate correction method recorded at the time of taking the photograph, are listed in Table 2.

	UAV Survey	Front and Side	Flight	Camera	Flight			nages	
Case	Date	Overlap (%)	Height	Angle	Speed	Course	Geo	Reference	
	Date	Overlap (70)	(m)	Aligie	(m/s)		Disconnect	GNSS	RTK
1	16 December	70	100	Nadir	10	Single			658
1	2020	70	100	inauli	10	Grid			030
2	17 December	70	100) Nadir	adir 10	Single		646	
2	2020	70				Grid		040	
3	10 February	75	100	Nadir	10	Double	113	65	1477
3	2021	73	100	ivauli	10	Grid	113	63	14//
4	23 February	75	100	Nadir	10	Double		1599	91
4	2021	73	100	INauII	10	Grid		1399	71

Table 2. Shooting plan and calibration of the UAV position.

Case 1 corresponds to the case in which the received RTK signal was 100% and captured images in a very smooth state. Using DJI's D-RTK 2 (DJI Real-Time Kinematics Mobile GNSS Station), we received a more stable signal than the receiver mounted on Phantom 4 RTK. As for the CORS signal quality of D-RTK2, 70% signal reception was deemed good according to a green light in the DJI's manual, and the satellite reception status was also shown to be more than 10. Case 2 corresponds to the case in which the RTK signals were received at 0% and equal to GNSS/INS. Case 3 included 90% of RTK signals, 6% of GNSS signals, and 4% of cases in which the signal between the controller and the UAV was completely disconnected. Case 3 was unusual. In the case of GNSS, the RTK signal was temporarily disconnected. Disconnection occurred because of a wireless

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communication repeater or glass buildings located outside the study area. Therefore, instances such as this can often occur when conducting extensive surveys through RTK-UAV or surveying in urban areas. Among the 3D position records (longitude, latitude, altitude), the record for height was included at the level of 2–3 m, and the accuracy for horizontal and elevation was recorded at 5 and 10 m. Case 4 corresponds to the case where 95% of the RTK signal was received in the GNSS state. In this case, an RTK signal was initially received, but no additional RTK signals were received after the RTK signal was disconnected.

The shooting performance of the Phantom4 RTK used in this experiment was approximately 2.74 cm ground sample distance (GSD) at a shooting altitude of 100 m [39]. The expected GSD was calculated to be approximately 2.9 cm for all shooting plans in Cases 1–4. Therefore, the relative altitude difference between the ground surface of the study site and the UAV was calculated to be approximately 105 m.

In this study, because a low-cost camera was used for the UAV, the interior orientation of the camera was not performed separately [40], and the interior orientation result was confirmed in the bundle block adjustment (BBA) result of the Pix4D mapper. In all the experimental conditions, the resulting values of the internal orientation of the camera were evaluated as values within the tolerance of the detailed quality report presented by Pix4D. Table 3 presents the processing results of Case 1, GCP at 1 o'clock, and unprocessed PPK.

	Focal Length Pixel/mm	Principal Point x Pixel/mm	Principal Point y Pixel/mm	R1	R2	R3	T1	T2
Initial Value	3658.3/ 8.580	2722.5/6.385	1835.1/ 4.304	-0.269	0.112	-0.033	0.000	-0.001
Optimized Values	3683.661/ 8.639	2731.889/6.40 7	1845.674/4.32	-0.267	0.109	-0.031	0.000	-0.000
Uncertainties (Sigma)	0.663/0.002	0.119/0.000	0.083/0.000	0.000	0.000	0.000	0.000	0.000

Table 3. Internal camera parameters (Case 1, non-post-process kinematics (PPK), GCP at 1 o'clock).

2.3. Post-Process Kinematics and Aerial Triangulation Relative Orientation

The PPK program for initial position correction of the camera used the Redtool box program from RedCatch. This program is based on the RTKPOST program, which normally performs PPK. Meanwhile, INCH, the closest CORS, provides INCH-RTCM3.1 using a Trimble NetR9 GNSS receiver and a TRM 598,000.00 antenna. For the 1 h period RINEX file for PPK, the observations (OBS) file provided by the GNSS integrated data center was used. In the Phantom4 RTK UAV, the coordinates of each image and the correction value between the center of the complementary metal–oxide–semiconductor (CMOS) and the center of the antenna phase of the RTK module were recorded in the Timestamp.MRK file while acquiring the image, and PPK was performed using the OBS file flown from the UAV.

It was confirmed that when PPK was implemented, the positional accuracy of the captured image increased significantly, even when the reception rate of the existing RTK was poor (Table 4). The reason for this is that, as explained in the introduction, even if the RTK signal is partially disconnected or the signal between the UAV and the remote controller is completely disconnected, performing PPK, even if it is not captured in the GNSS state, increases the accuracy of the image, as indicated in Table 4. It was confirmed that the GPS navigation file and GLONASS navigation file used in PPK can effectively obtain centimeter-level positioning accuracy even when the UAV and controller are disconnected.

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Case	Original Image	Accuracy Data	PPK Image Accuracy Data		
	H (cm)	Z (cm)	H (mm)	Z (mm)	
1	2.35	4.25	5.62	9.59	
2	116.92	274.61	6.23	9.24	
3	41.10	84.69	5.63	9.70	
4	116.84	254.02	14.73	22.72	

Table 4. Comparison of the horizontal and height accuracy recorded in the original photograph and the post-processing accuracy.

AT was conducted using Pix4d mapper (version 4.6.4). This program performs BBA. Compared to strip triangulation and independent model triangulation methods, BBA completely removes many errors, such as film distortion, lens aberration, and atmospheric refraction. Therefore, many researchers use this method [41–43].

The precision of relative orientation is an important factor when performing AT accuracy assessments. As suggested by [44], consistent work on artificial target can be solved through coding, but in general, in surveys using UAVs, targets use a feature that can be recognized well by UAV, so it is difficult to generalize because there are too many types of artificial targets to solve through techniques such as coding. Therefore, in this experiment, relative orientation was performed with the observation precision within 0.5 pixel error, suggested by the AT work regulations. A disadvantage of manual relative orientation is that inconsistent relative orientation work is performed if the relative orientation is changed every time, according to the location of the ground reference point for each case. After BBA was completed using the GCP at the center of the study area, additional relative orientations were performed by utilizing the current relative orientations.

In addition, to avoid a difference in relative orientation between the data before and after PPK, the data with relative orientation before PPK were used with the Pix4d mapper program's import and export marks functions. Through this input/output function, the pixel coordinates marked on the image before performing PPK and those marked when performing PPK were the same. Therefore, the difference in relative orientation before and after PPK was eliminated. By using this method, there was no difference in the relative orientation results within each case using the same images. In addition, after the completion of PPK of the image, it was matched with the coordinate system of the VRS-GNSS survey. Meanwhile, the accuracy of the GCPs observed through the GNSS survey was also factored in to the H and Z weight values of the GCPs, and the AT accuracy was evaluated with the weights of the GCPs assigned when BBA was performed.

3. Evaluation of Aerial Triangulation Accuracy according to RTK Reception Rate and 1GCP Deployment

Individual AT results for the GCP and individual AT results for the CPs are shown in Figures 6 and 7. The mean and RMSE for CPs are summarized as the results before and after PPK and are listed in Tables 5 and 6. The accuracy of the AT relative orientation for the GCP and inspection point was within 0.5, as indicated in the AT work regulations. The observation accuracy of the GCP was similar to the GCP observation result [45] under the same RTK-UAV and shooting conditions. H is expressed as the value of the positive square root of XY, and Z is the result of elevation.

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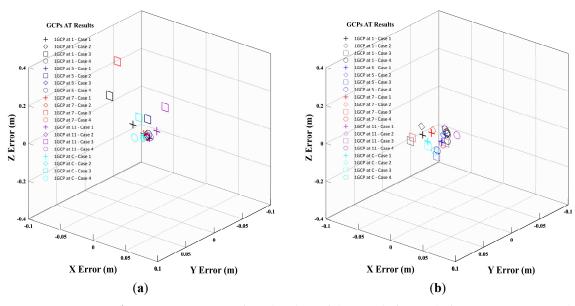


Figure 6. Comparison of result values of the GCP before and after post-processing when one GCP is applied: (a) before; (b) after post-processing of the GCP.

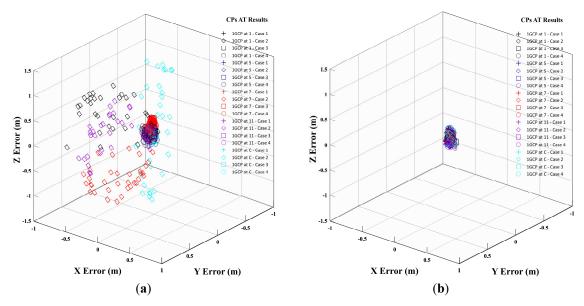


Figure 7. Comparison of result values of the CPs before and after post-processing when one GCP is applied: **(a)** before; **(b)** after post-processing.

Table 5. Accuracy evaluation for each non post-processed case when one GCP is used according to the arrangement.

Location Where GCP Is Used	Case	Error	H (cm)	Z (cm)	Relative Orientation Pixel Error
	1	Mean	-1.39	14.59	0.268
	1	RMSE	2.74	15.53	
	2	Mean	66.30	90.77	0.346
1 o'clock		RMSE	78.05	98.72	
direction	3	Mean	1.55	16.14	0.312
		RMSE	3.27	16.66	
	4	Mean	5.69	11.54	0.275
	4	RMSE	8.14	13.32	

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	1	Mean	-1.73	7.19	0.275
	*	RMSE	3.23	8.83	
	2	Mean	72.87	23.11	0.346
5 o'clock	2	RMSE	85.67	68.52	
direction	3	Mean	1.54	11.94	0.303
	3	RMSE	3.26	12.66	
	4	Mean	4.73	11.55	0.283
	-	RMSE	6.61	12.61	
	1	Mean	-1.66	5.56	0.274
	1	RMSE	3.20	7.49	
	2	Mean	59.85	-41.15	0.352
7 o'clock	2	RMSE	68.74	45.75	
direction	3	Mean	1.96	32.59	0.271
	3	RMSE	3.46	32.87	
	4	Mean	3.55	19.15	0.282
	4	RMSE	5.94	19.96	
	1	Mean	-1.69	2.78	0.269
	1	RMSE	3.19	5.82	
	2	Mean	61.01	33.05	0.347
11 o'clock	2	RMSE	74.76	60.40	
direction	3	Mean	1.55	16.00	0.306
	3	RMSE	3.25	16.53	
	4	Mean	5.67	4.70	0.279
	4	RMSE	8.19	8.65	
	1	Mean	-1.68	-1.23	0.273
	1	RMSE	3.20	5.32	
	2	Mean	1.58	25.42	0.347
Center of	2	RMSE	13.63	94.20	
study area	2	Mean	1.58	11.46	0.312
_	3	RMSE	3.27	12.20	
	_	Mean	2.11	8.45	0.281
	4	RMSE	4.29	11.22	

Table 6. Accuracy evaluation for each post-processed case when one GCP was used according to the arrangement.

Location Where GCP Is Used	Case	Error	H (cm)	Z (cm)	Relative Orientation Pixel Error
	1	Mean	1.89	5.70	0.333
	1	RMSE	3.02	7.65	Orientatior Pixel Error
	2	Mean	1.25	0.42	0.332
1 o'clock	2	RMSE	3.44	5.00	
direction	2	Mean	1.64	-5.15	0.414
	3	RMSE	3.09	6.98	
	4	Mean	1.49	0.31	0.327
	4	RMSE	2.99	5.64	
	1	Mean	1.97	8.11	0.328
F -/-11	1	RMSE	3.07	9.58	
5 o'clock	2	Mean	1.36	7.55	0.329
direction	2	RMSE	3.49	8.97	
	3	Mean	1.66	-5.22	0.338

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		RMSE	3.09	7.04	
		Mean	1.39	6.52	0.335
	4	RMSE	2.98	8.58	
	1	Mean	1.93	5.28	0.333
	1	RMSE	3.04	7.35	
	,	Mean	1.32	7.41	0.334
7 o'clock	2	RMSE	3.47	8.84	
direction	2	Mean	1.71	-5.42	0.322
	3	RMSE	3.15	7.19	
	4	Mean	1.41	2.67	0.338
	4	RMSE	2.96	6.21	
	1	Mean	1.94	0.13	0.331
	1	RMSE	3.06	5.13	
	2	Mean	1.33	-6.47	0.331
11 o'clock		RMSE	3.44	8.27	
direction	3	Mean	1.67	-5.21	0.339
		RMSE	3.08	7.02	
	4	Mean	1.41	-4.64	0.333
	4	RMSE	3.00	7.31	
	1	Mean	1.92	-1.73	0.328
	1	RMSE	3.04	5.43	
Center of study area	2	Mean	1.34	7.68	0.331
		RMSE	3.49	9.08	
	3	Mean	1.66	-4.97	0.399
	3	RMSE	3.09	6.86	
	4	Mean	1.39	4.00	0.335
	T	RMSE	2.95	6.87	

Before performing PPK, very different AT results are shown depending on the GCP arrangement for each case. The horizontal RMSE is estimated to be 2.74–85.67 cm, and the elevation RMSE is estimated to be 5.32–94.20 cm, showing a tendency for a wide distribution of errors to occur (Figures 6 and 7).

In the result with PPK, the RMSE for the horizontal direction is approximately 3–4 cm, and the error for the elevation is approximately 5–10 cm depending on the case and GCP position. It can be observed that the difference in accuracy of CPs varies significantly depending on whether PPK is performed on the image. As analyzed in Section 2, the initial location accuracy recorded in the image is an important input variable of BBA and affects the AT result. Additionally, in this study, with 1GCP, it can be observed that when PPK is applied, accuracy of the 10 cm level can be obtained more effectively than that in the 1GCP situation when PPK is not applied (Tables 5 and 6).

The accuracy that can be obtained through 1GCP+PPK is within the error regulations for the horizontal and elevation of the AT accuracy, $RMSE_H$ or $RMSE_Z \leq 12$ cm, related to the production of 1:1000 digital maps, as indicated in the UAV survey work regulations.

4. Accuracy Evaluation According to the Distance before and after PPK According to the Arrangement of GCP When 1GCP Is Applied

The relative distance between the GCP and CPs changed as the arrangement of the GCP changed (Table 7). Therefore, when 1GCP is applied, the errors for H and Z from the GCP to the checkpoint are shown in Figures 8 and 9.

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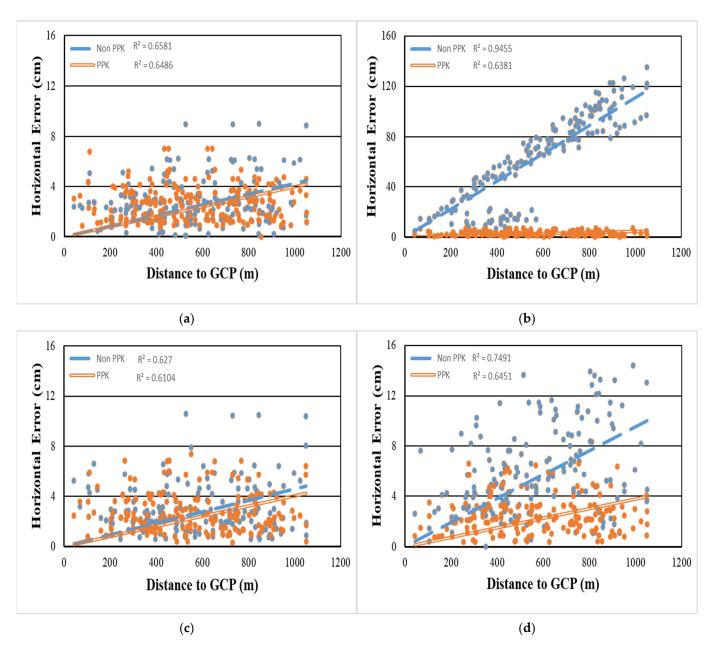


Figure 8. Horizontal error according to distance when using one GCP for each case: (a) Case 1; (b) Case 2; (c) Case 3; (d) Case 4.

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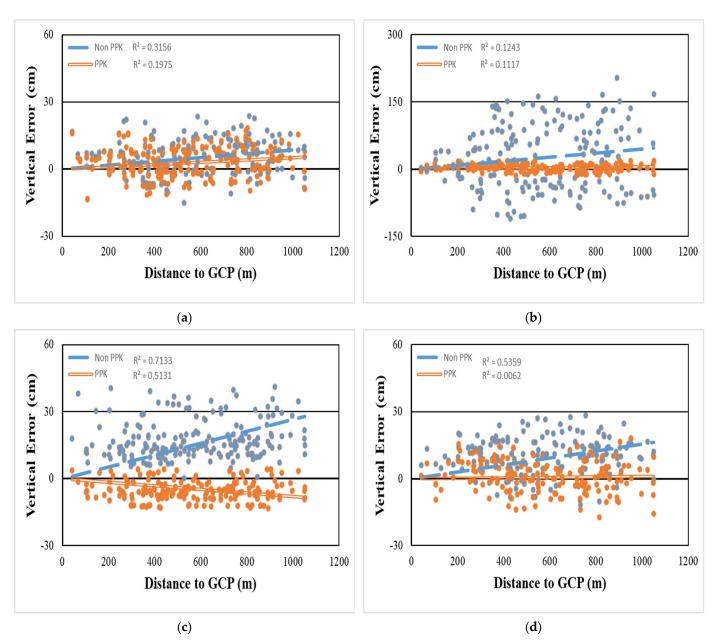


Figure 9. Vertical error according to distance when using one GCP for each case: (a) Case 1; (b) Case 2; (c) Case 3; (d) Case 4.

Table 7. CP and distance change according to GCP arrangement.

GCP Arrangement (m)							
Center 1 5 7 11							
Horz. Accuracy		0.014	0.014	0.014	0.014	0.014	
Vert. Accuracy		0.020	0.030	0.020	0.032	0.030	
	Min	101.9	42.2	181.7	67.6	76.9	
Distance	Avg	356.7	589.3	637.9	630.3	590.6	
	Max	568.5	1048.9	1051.0	1048.9	1051.0	

As Figures 8a and 9a show 100% RTK received data, the slope of the trend line decreased very little even though PPK was performed. As Figures 8b and 9b show GNSS conditions, the absolute error for the checkpoint was large. In non-PPK, it was confirmed

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that the H error increased linearly as the distance increased. Meanwhile, the projected point coordinate accuracy is significantly improved, and the slope of the trend line is significantly decreased. As seen in Table 4, this is because the error of AT decreases when the positional accuracy of the image is improved.

Figure 8c is corrected with approximately 90% of the RTK signal and shows a tendency to decrease slightly more than the slope decrease in Figure 8a. However, because the non-PPK result in Figure 9c has no negative value for elevation, it can be observed that the mean bias for a specific axis occurs, as mentioned by [17]. In addition, compared to Figure 9d, Figure 9c has a Z error of 10 m owing to the signal disconnection between the UAV and the controller, as described in Table 2, and hence the maximum error is rather large. Thus, it appears to be highly valued.

In Figures 8d and 9d, the absolute size of the error is small compared to that in Figure 9b, even though the number of images acquired under RTK conditions is very small compared to the total number of shots. Meanwhile, in Cases 1 and 3, where RTK was used completely or mostly, it was confirmed that the tendency of the error to decrease as a result of PPK was significantly reduced. Therefore, bias which may occur during PPK processing could be decreased by using 1GCP.

5. Discussion

When conducting a survey using an RTK-UAV, the RTK signal is frequently disconnected. Images captured under conditions where the RTK signal is disconnected are observed with the precision of GNSS conditions. If the signal disturbance is even more severe, the signal between the UAV and the controller is disconnected, and the image may be captured without geotagging. However, if the RTK reception rate is good, the 3D location accuracy of the captured images is good. When BBA is performed, when the RTK reception rate is good, the weight involved in the AT calculation of 1GCP is reflected at a relatively low ratio compared to when the RTK reception is poor. Therefore, if an image with a good RTK signal is used, the accuracy of the AT is improved, and the 3D location accuracy of the spatial data constructed using such an image can be expected to be improved.

When surveying is performed using the RTK-UAV when the RTK signal is disconnected, errors occur, as in Cases 3 and 4, and the accuracy of the 3D data that can be obtained is lowered. Therefore, cumbersome additional shooting or multiple GCP surveys may be required to produce high-quality 3D spatial information. However, in the survey using RTK-UAV, the conditions for RTK reception rate were not included in the work regulations. Accordingly, workers who build spatial data using UAVs are performing inefficient work through methods which involve using an excessive number of GCPs or increasing overlap more than necessary in consideration of the safety factor. Therefore, it is necessary to distinguish between the case of using RTK-UAV and the case of using GNSS-UAV; in the case of RTK-UAV, detailed provisions should be made according to whether the RTK reception rate is good or bad.

As a result of this research, when 1GCP was applied, it was confirmed that the better the RTK reception rate was, the smaller the RMSE of the CPs. When PPK was not performed, the error trends were inconsistent. In addition, depending on the position of the GCP, the accuracy deviation of the CPs was very large. Case 3 and Case 1 were more comparable when PPK was not performed. In Case 3, the number of shots was more than twice as high as in Case 1 due to the double grid flight, but the RTK signal was relatively poor. Therefore, it showed a larger error overall.

Comparing Case 4 and Case 2, Case 4, which partially received the RTK signal, showed a significantly smaller error than Case 2, which was photographed under GNSS conditions. After analysis, it was observed that the bias for H and Z reduced because the image captured by RTK had a larger weight for AT input than the image captured by GNSS. Case 2 also shows that, even with 1GCP, the bias on H and Z cannot be effectively reduced (Figures 8 and 9).

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Since the error of CPs vary depending on the position of the GCP, the 1GCP+Non-PPK method is insufficient for completely determining the coordinates through BBA. Therefore, the use of PPK is essential in an environment where only a small number of GCPs can be used. It is also noteworthy that the placement of 1GCP has a different effect on accuracy under 1GCP+Non-PPK and 1GCP+PPK conditions. When 1GCP is located in the center of the study area, no large error is expected to occur because the maximum distance to CPs is relatively short. However, when PPK was not performed, a large error similar to the case where the GCP was located in the corner occurred.

These experimental results show a different tendency from the results of the previous study [30] as they suggest that it is better to place the GCP in the center of the target area. The reason for this result is attributed to the fact that the previous study used a sufficient number of GCPs, whereas this study used only one GCP. In addition, it was confirmed that the use of 1GCP+PPK can suppress the bias for Z compared to the case without use, but the Z error in Case 3 was still biased. As a result of this experiment, accuracies of RMSE_H = 1.0 GSD \pm 0.06 cm and RMSE_Z = 2.4 GSD \pm 0.44 cm were obtained with 1GCP+PPK according to the GCP arrangement and shooting case. In the case of 1GCP+Non-PPK, accuracies of RMSE_H = 6.4 GSD \pm 9.92 cm and RMSE_Z = 9.5 GSD \pm 9.76 cm were obtained. In the case of using 1GCP+PPK, including the maximum error up to 1 km, H shows results within 10 cm and Z within 20 cm.

If the VRS signal is good [46], positioning is possible through GNSS with RMSE_H of 10 cm and RMSE_Z of 20 cm. As a result of the experiment in this study, it was confirmed that when using RTK-UAV, a similar accuracy to that in previous studies was obtained through 1GCP+PPK, even when the RTK signal was disconnected.

If 1GCP+PPK is applied using the RTK-UAV method, sufficient accuracy can be obtained even if only one GCP is placed near the UAV take-off point without locating a GCP in the center of the work area up to a radius of 1 km. This confirms that the placement geometry of the GCPs is not significant, as analyzed in [31]. Therefore, it was confirmed that, even when signal disconnection occurred or the shooting direction was a single grid, the resulting value was within the AT tolerance accuracy of the UAV required for 1:1000 digital map production.

6. Conclusions

If an RTK-UAV is used, it is difficult to acquire all images captured using the UAV in the RTK state because the RTK signal may be disconnected. A difference in accuracy occurs when spatial data are built based on these images. In this study, PPK was used to solve these problems. In a survey using a UAV and datasets with different RTK reception rates and shooting conditions, we wanted to confirm that there was no significant difference in accuracy when AT was performed before and after PPK based on the geometrical arrangement of 1GCP and distance between 1GCP and CPs according to PPK. The results obtained in this experiment are as follows.

First, in the case of 1GCP+Non-PPK, the accuracy varied according to the RTK signal reception rate, and the AT result differed according to the GCP arrangement. However, when 1GCP+PPK was performed, there was no significant difference in the RTK signal reception rate, and it was not necessary to consider the distance between GCPs and CPs up to a radius of 1 km from the GCPs. In the size of the study site, RMSEH,Z \approx 3 GSD accuracy was shown as a result of performing 1GCP+PPK.

Second, when using RTK-UAV, if 1GCP is installed at the point where the UAV takes off and PPK is performed, in the case of a GSD of about 3 cm, an error level of H = 10 cm and Z = 20 cm can be expected up to a radius of 1 km. Therefore, it is possible to efficiently produce 3D spatial data without additional cumbersome flights or physical GCP surveys.

Third, it was found that, regardless of whether the GCP is not positioned in the center of the target area, the vertical bias that may arise during PPK processing can be effectively removed using 1GCP.

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Fourth, with the growing popularity of UAVs and urban air mobility (UAM), the demand for highly accurate data sets in urban areas will increase. Even though RTK reception is poor in places containing urban canyon areas, it is expected that high-precision 3D data will be successfully generated using the experimental results of this study.

In this study, the signal disconnection was not intentionally caused. To conduct time series analysis for about 3 years from 2020 to 2022, four signal breaks occurred out of a total of ten experiments, and three of these data were used. Therefore, control variables for signal disconnection were unclear, and experiments on more diverse RTK signal disconnection scenarios are needed in future studies.

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Abbreviations

AT (Aerial Triangulation)

CORS (Continuously Observation Reference Station)

CP (Check Point)

GCP (Ground Control Point)

GNSS (Global Navigation Satellite System)

IMU (Inertial Measurement Unit)

PDOP (Position Dilution of Precision)

PPK (Post Process Kinematic)

PPP (Precise Point Positioning)

RINEX(Receiver Independent Exchange Format)

RMSE (Root Mean Square Error)

RTCM (Radio Technical Commission for Maritime Services)

RTK (Real Time Kinematic)

UAV (Unmanned Aerial Vehicle)

UAM (Urban Air Mobility)

VRS (Virtual Reference Station)

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