



Article

# Observer-Based Prescribed Performance Adaptive Neural Network Tracking Control for Fractional-Order Nonlinear Multiple-Input Multiple-Output Systems Under Asymmetric Full-State Constraints

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**Abstract:** In this work, the practical prescribed performance tracking issue for a class of fractional-order nonlinear multiple-input multiple-output (MIMO) systems with asymmetric full-state constraints and unmeasurable system states is investigated. A neural network (NN) nonlinear state observer is developed to estimate the unmeasurable states. Furthermore, the barrier Lyapunov functions with the settling time regulator are employed to deal with the asymmetric full-state constraint from the fractional-order MIMO system. On this ground, the prescribed performance adaptive tracking control approach is designed, assuring that all system states do not exceed the prescribed boundaries, and the tracking errors converge to the predetermined compact sets within a predefined time. Finally, two simulation examples are presented to show the effectiveness and practicability of the proposed control scheme.

**Keywords:** fractional-order systems; MIMO; asymmetric full-state constraints; prescribed performance; predefined time



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## 1. Introduction

In the past few decades, the nonlinear systems control issue with uncertainty has become a hot topic. At present, a lot of controller methods have been designed, in which the backstepping scheme is an effective way for the nonlinear control system [1–5]. However, for parameterization or for when the structure of the nonlinear system is not linear, the limitations of the backstepping method become apparent, especially when the nonlinear systems are more complex. To overcome this shortcoming, the advanced intelligent algorithms have been used as the main tool, in which the fuzzy logic systems (FLSs) and neural networks (NNs) are employed to construct the nonlinear uncertain structures [6–9]. The authors in [6] design a robust adaptive fuzzy control scheme for the second-order Euler–Lagrange systems, and the authors in [7] investigate the tracking control issue for the time-varying pure-feedback system, in which the nonlinearities are approximated by FLSs. The authors in [8] study an adaptive NN control method for the uncertain flexible manipulator, and the authors in [9] propose an adaptive NN tracking controller for underwater vehicles in which the NNs are applied to approximate the system uncertainty.

In many engineering applications, there are lots of constraints in the actual systems, such as the fact that the system states can only change within the fixed physical ranges, and the system may be unstable when the state constraints are violated. For instance, trajectory tracking control for underwater vehicles was developed in [10], in which the state constraints are handled by the transformation functions. In [11], the tracking controller for firefighting robots with full-state constraints was designed, in which the state-dependent transformation function was present to address the state constraints. In [12], a two-layer control scheme was developed for the linear motors with state constraints, in which a

time-optimal controller was considered as the upper layer and a robust controller was used as the lower layer. In [13], an adaptive controller for cable tower cranes system with state constraints is designed, in which the state constraints are from the safety and transportation requirements. In [14], an adaptive controller with guidance is presented for the ascent hypersonic vehicle under multiple state constraints, in which the barrier Lyapunov function (BLF) is used to address the conditions.

The rapid convergence of the closed-loop system may lead to excessive overshoot, making it difficult to obtain the necessary tracking accuracy, which are the basic goals in the nonlinear system tracking control. The prescribed performance control scheme can ensure the tracking errors stay in predefined bounds to improve the transient and steady-state performance. In [15], an adaptive tracking controller with performance optimization is designed for nonlinear system to guarantee the prescribed performance. In [16], a prescribed performance tracking controller for strict-feedback nonlinear system is presented, in which the barrier error transformations is employed. In [17], a global prescribed performance adaptive control method using backstepping framework is designed for the Markov jumping system. In [18], a prescribed performance adaptive fuzzy control scheme for a robot system was developed by using the disturbance observer and auxiliary system. In [19], a prescribed performance optimal adaptive controller for autonomous vehicles was designed, in which a prescribed performance function with dynamic programming was introduced to constrain the tracking errors.

Fractional-order calculus, as an extension of integral-order calculus, can accurately describe many physical systems on account of the infinite memory. As a result, fractionalorder systems show great potential in a variety of areas, such as biological systems [20–22], viscoelastic materials [23-25], power systems [26-28], financial fields [29,30], and so on. However, it is worth mentioning that many of the above practical fractional-order systems are multiple-input multiple-output (MIMO) nonlinear uncertainty systems. In [31], an adaptive fuzzy controller based on a fuzzy state observer was designed for the fractionalorder uncertain MIMO nonlinear system to ensure the boundedness of all signals. In [32], a switched adaptive controller for a fractional-order MIMO system was presented in which the derivation order can be switched between the integer order and fractional order. In [33], a state observer based on modulating function was designed for an integer and fractional-order MIMO system, which can estimate both the states and fractional derivatives simultaneously. In [34], the adaptive fuzzy control method for fractional-order MIMO system with state constraints was presented in which the BLF was presented for the states meeting the specified limits. In [35], a novel approach for a fractional-order MIMO system to generate a low dimension state-space model was proposed, and the realization condition for state-space model was presented. However, as far as the author knows, the prescribed performance tracking control schemes for a fractional-order nonlinear MIMO system with asymmetric full-state constraints and unmeasurable system states have been hardly investigated, which will be a meaningful and challenging work.

Inspired by the above discussions, a practical prescribed performance tracking control strategy based on a nonlinear state observer for constrained fractional-order nonlinear MIMO system is presented to guarantee the tracking performance. The significant contributions are listed as follows:

- (1) Different from the existing BLF method in [34], the symmetric full-state constraints and prescribed performance are both considered here, and the non-piecewise BLF is presented to deal with the asymmetric state constraints, which is convenient to design a unified control strategy to handle asymmetric or symmetric full-state constraints.
- (2) Comparing with the results on the finite-time controller for fractional-order systems [36,37], the tracking error can converge to a predetermined compact set in a preset time, and the convergence accuracy and setting time do not depend on the control parameters. The proposed scheme can make the tracking errors converge to the predetermined compact sets in a predefined time, in which the tracking performance and settling time are dependent of the adjustable parameters.

Fractal Fract. 2024, 8, 662 3 of 21

(3) Comparing with the results on the prescribed time controller for the integer order system in [38–40], the prescribed time control scheme for the more general constrained fractional-order nonlinear MIMO systems is designed, in which external disturbances are considered and unmeasurable states are estimated by the NN nonlinear observer, and the tracking performance and stability of the fractional-order closed-loop system in the specified time can be guaranteed.

#### 2. Preliminaries

**Definition 1** ([41]). The fractional-order integral for  $r(t) \in C^n([t_0, +\infty))$  is defined as:

$${}_{t_0}^C \mathcal{D}_t^{-\alpha} r(t) = \frac{1}{\Gamma(\alpha)} \int_{t_0}^t r(\tau) (t - \tau)^{\alpha - 1} d\tau$$
 (1)

where  $\alpha \geq 0$ ,  $t \geq t_0$  and  $\Gamma(\alpha) = \int_0^{+\infty} s^{\alpha-1} e^{-s} ds$ .

**Definition 2** ([42]). The Caputo's fractional-order derivative for  $f(t) \in C^n([t_0, +\infty))$  is defined as:

$${}_{t_0}^C \mathcal{D}_t^{\alpha} f(t) = \frac{1}{\Gamma(1-\alpha)} \int_{t_0}^t \frac{f'(\tau)}{(t-\tau)^{\alpha}} d\tau$$
 (2)

where  $\alpha \in (0,1)$  and  $t \geq t_0$ . The Laplace transform of  ${}_{t_0}^C \mathcal{D}_t^{\alpha} f(t)$  is defined as:

$$\mathcal{L}\left\{ {}_{t_0}^C \mathcal{D}_t^{\alpha} f(t) \right\} = s^{\alpha} F(s) - s^{\alpha - 1} F(0) \tag{3}$$

where  $\mathcal{L}\{\cdot\}$  is the Laplace transform operator, and  $F(s) = \mathcal{L}\{f(t)\}$ .

**Lemma 1** ([43]). For functions  $f_1(t)$  and  $f_2(t)$ , one can obtain:

$${}_{t}^{C}\mathcal{D}_{b}^{\alpha}f(t) = {}_{t}^{R}\mathcal{D}_{b}^{\alpha} + \frac{f(b)}{\Gamma(1-\alpha)}(b-t)^{-\alpha}$$
$${}_{t}^{R}\mathcal{D}_{b}^{\alpha}(b-t)^{m} = -\frac{\Gamma(m+1)}{\Gamma(m-\alpha+1)}(b-t)^{m-\alpha}$$

where  $0<\alpha<1$ , b>t, m>1 and  $_t^R\mathcal{D}_b^\alpha=-\frac{1}{\Gamma(1-\alpha)}\frac{d}{dt}\int_t^b\frac{f(\tau)}{(t-\tau)^\alpha}\mathrm{d}\tau$ .  $_{t_0}^C\mathcal{D}_t^\alpha$  can be simplified as  $\mathcal{D}^\alpha$ .

**Lemma 2** ([44]). For smooth function  $f(x): R^n \to R$  with  $x = (x_1, ..., x_n) \in \Omega_x \subset R^n$  and compact set  $\Omega_x$ , if the following Hessian

$$H(f(\cdot)) = \begin{pmatrix} \frac{\partial^2 f(x)}{\partial x_1^2} & \frac{\partial^2 f(x)}{\partial x_1 \partial x_2} & \cdots & \frac{\partial^2 f(x)}{\partial x_1 \partial x_n} \\ \frac{\partial^2 f(x)}{\partial x_2 \partial x_1} & \frac{\partial^2 f(x)}{\partial x_2^2} & \cdots & \frac{\partial^2 f(x)}{\partial x_2 \partial x_n} \\ \vdots & \vdots & & \vdots \\ \frac{\partial^2 f(x)}{\partial x_n \partial x_1} & \frac{\partial^2 f(x)}{\partial x_n \partial x_2} & \cdots & \frac{\partial^2 f(x)}{\partial x_n^2} \end{pmatrix}$$

is positive semi-definite, one can obtain  $\mathcal{D}^{\alpha}f(x) \leq \sum_{i=1}^{n} \frac{\partial f(x)}{\partial x_{i}} \mathcal{D}^{\alpha}x_{i}$  for  $\forall t \geq 0$  and  $0 < \alpha < 1$ .

**Lemma 3.** For the continuously differentiable functions  $f_1(t) \in R$  and  $f_2(t) \in R_+^*$ , the following inequality holds:

$$\mathcal{D}^{\alpha} \frac{f_1^2(t)}{f_2(t)} \le 2 \frac{f_1(t)}{f_2(t)} \mathcal{D}^{\alpha} f_1(t) - \frac{f_1^2(t)}{f_2(t)} \mathcal{D}^{\alpha} f_2(t) \tag{4}$$

where  $0 < \alpha < 1$ ,  $t \ge 0$  and  $R_+^*$  is the set of positive real numbers.

**Definition 3** ([45]). *The Mittag–Leffler function can be defined as:* 

$$E_{a_1,a_2}(\delta) = \sum_{k=0}^{+\infty} \frac{\delta^k}{\Gamma(a_1k + a_2)}$$
 (5)

where  $a_1, a_2 > 0$ ,  $\delta$  is a complex number. Then, the Laplace transform is:

$$\mathcal{L}\left\{t^{a_2-1}E_{a_1,a_2}(-ct^{a_1})\right\} = \frac{s^{a_1-a_2}}{s^{a_1}+c}, \operatorname{Re}(s) > |c|^{\frac{1}{a_1}}$$
(6)

where  $t \ge 0$ ,  $a_1, a_2 > 0$ ,  $c \in R$ .

**Lemma 4.** For 0 < a < 1, c > 0 and  $t \ge 0$ , one can obtain:

$$0 < E_{a,1}(-ct^a) \le 1; E_{a,1}(-ct^a) \to 0 \quad \text{as} \quad t \to \infty; 0 < E_{a,a}(-ct^a); 0 \le t^a E_{a,a+1}(-ct^a) < \frac{1}{c}$$
 (7)

According to [46], a settling time regulator is defined as

$$\zeta(t) = \begin{cases} \left(\frac{T-t}{T}\right)^{2m}, & 0 \le t \le T\\ 0, & t > T \end{cases}$$
 (8)

where T > 0, and positive integer m satisfies 2m > n + 1. Then, a performance function can be defined as:

$$\mathcal{P}(t) = \begin{cases} (1 - k_a) \left(1 - \frac{t}{T}\right)^{2m} + k_a, & 0 \le t \le T \\ k_a, & t > T \end{cases}$$
 (9)

*where*  $k_a$  ∈ (0,1).

## 3. System Description

Considering a fractional-order MIMO system as

$$\mathcal{D}^{\alpha} x_{k,i_k} = h_{k,i_k} x_{k,i_k+1} + f_{k,i_k} (\overline{x}_{k,i_k}) + d_{k,i_k}(t), i_k = 1, \dots, n_k - 1; k = 1, \dots l$$

$$\mathcal{D}^{\alpha} x_{k,n_k} = h_{k,n_k} u_k + f_{k,n_k}(x) + d_{k,n_k}(t)$$

$$y_k = x_{k-1}$$
(10)

where  $x = \begin{pmatrix} \overline{x}_{1,n_k}^T & \overline{x}_{2,n_k}^T & \dots & \overline{x}_{l,n_k}^T \end{pmatrix}^T$  and  $\overline{x}_{k,i_k} = \begin{pmatrix} x_{1,i_k} & x_{2,i_k} & \dots & x_{k,i_k} \end{pmatrix}^T \in \mathbb{R}^{i_k}$  are the state vectors, wherein all system signals except  $x_{k,1}$  are immeasurable.  $u_k \in R$  and  $y_k \in R$  are the control input and the system output.  $h_{k,i_k} > 0 \in R$  expresses the known constant.  $f_{k,i_k} \in R$  stands for the unknown smooth nonlinear function. While  $d_{k,i_k}(t)$  indicates the unknown bounded external disturbance, which satisfies  $d_{k,i_k}(\cdot) \leq d_{k,i_k}^*$  with  $d_{k,i_k}^* \geq 0$  being constant.

**Remark 1.** It is worth mentioning that fractional-order calculus includes classical integer order calculus when the order is equal to 1. Fractional-order systems (10) can be used to describe lots of practical systems, such as robotic manipulators [47], mechanical systems [48], power systems [49,50], etc.

Based on (8) and (9), the performance function can be constructed as:

$$\mathcal{P}_{k}(t) = \begin{cases} (1 - k_{a}) \left(1 - \frac{t}{T_{k}}\right)^{2m} + k_{a}, & 0 \le t \le T_{k} \\ k_{a}, & t > T_{k} \end{cases}$$
(11)

where  $k_a \in (0,1)$ , and the settling time regulator is

$$\zeta_k(t) = \begin{cases} \left(\frac{T_k - t}{T_k}\right)^{2m}, & 0 \le t \le T_k \\ 0, & t > T_k \end{cases}$$
 (12)

According to  $z_{k,1} = x_{k,1} - y_{k,d}$ , the constraint on  $x_{k,1}$  can be translated as  $-\kappa_{k,1,1} < z_{k,1} < \kappa_{k,1,2}$ , where  $\kappa_{k,1,1}$ ,  $\kappa_{k,1,2} > 0$ . Then, both the practical prescribed time tracking and the preset constraints can be completed if the following inequalities are satisfied:

$$-\kappa_{k,11} \mathcal{P}_k(t) < z_{k,1} < \kappa_{k,1,2} \mathcal{P}_k(t)$$
 (13)

Through selecting  $k_a = \min\{\epsilon_k/\kappa_{k,1,1}, \epsilon_k/\kappa_{k,1,2}\} < 1$  with parameter  $\epsilon_k$ , it yields  $|\mathbf{z}_{k,1}| < \epsilon_k$  for  $t > T_k$  by using (13).

The foremost objective is to propose an adaptive control strategy so that the following purposes are satisfied:

The control objectives of this article are listed as follows:

- (1) Design an adaptive control strategy to ensure the boundedness of all closed-loop system.
- (2) Tracking error  $z_{k,1}$  can converge to the preset set  $\Omega_{z_{k,1}} = \{z_{k,1} \in R : |z_{k,1}| < \epsilon_{k,1}\}.$
- (3) The system state satisfies the following bounded constraints

$$\mathfrak{D}_{x_{k,1}} = \left\{ x_{k,1}(t) \middle| y_{k,d} - \kappa_{k,1,1} < x_{k,1}(t) < y_{k,d} + \kappa_{k,1,2} \right\} \\ \mathfrak{D}_{x_{k,i_k}} = \left\{ x_{k,i_k}(t) \middle| -\kappa_{k,i_k,1} < x_{k,i_k}(t) < \kappa_{k,i_k,2}, i_k = 2, \dots, n_k; k = 1, \dots l \right\}$$

where  $\kappa_{k,i_k,1}$ ,  $\kappa_{k,i_k,2} > 0$  and  $x_{k,i_k}(0) \in \mathfrak{D}_{x_{k,i_k}}$ .

**Assumption 1.** The desired signals  $y_{k,d}(t)$  and  $\mathcal{D}^{\alpha}y_{k,d}(t)$  and  $\mathcal{D}^{\alpha}(\mathcal{D}^{\alpha}y_{k,d})$  are continuous and bounded.

**Assumption 2.** The function  $f_{k,i_k}(\cdot)$  is the Lipschitz function, that is,  $|f_{k,i_k}(\overline{x}) - f_{k,i_k}(\overline{y})| \le c_{k,i_k} ||\overline{x} - \overline{y}||$  is satisfied, where  $\forall \overline{x}, \overline{y}, \exists c_{k,i_k} > 0$ .

**Remark 2.** In this paper, Assumptions 1 and 2 are used to design the adaptive controller. Assumption 1 can ensure that the ideal trajectories are bounded and available, which is reasonable and non-conservative, since the desired trajectories in real systems are generally continuous and efficient. Assumption 2 is used to deal with the relationship between  $f_{k,i_k}(\bar{x}_{k,i_k})$  and  $f_{k,i_k}(\hat{x}_{k,i_k})$ , which will be applied to closed-loop system stability analysis.

## 4. Neural Network State Observer Design

In this section, a fractional-order NN state observer will be presented to estimate the immeasurable system states, in which RBF NNs with the ability to approximate continuous functions are used to approximate the unknown function.

The unknown function  $f_{k,i_k}(\hat{x}_{k,i_k})$  is presented as [51]:

$$f_{k,i_k}(\hat{\overline{x}}_{k,i_k}) = \theta_{k,i_k}^T S_{k,i_k}(\hat{\overline{x}}_{k,i_k}) + \varepsilon_{k,i_k}(\hat{\overline{x}}_{k,i_k}), \quad |\varepsilon_{k,i_k}(\hat{\overline{x}}_{k,i_k})| \le \bar{\varepsilon}_{k,i_k}$$
(14)

where

$$\theta_{k,i_k} = \operatorname{argmin}_{\hat{\theta}_{k,i_k} \in \Omega_{k,i_k}} \sup_{\hat{\bar{x}}_{k,i_k} \in \Omega_{\hat{\bar{x}}_{k,i_k}}} \left| f_{k,i_k} \left( \hat{\bar{x}}_{k,i_k} \right) - \hat{\theta}_{k,i_k}^T S_{k,i_k} \left( \hat{\bar{x}}_{k,i_k} \right) \right| \tag{15}$$

and  $\Omega_{k,i_k}$  and  $\Omega_{\widehat{\pi}_{k,i_k}}$  are the compact sets,  $S_{k,i_k}(\cdot)$  is the basis function.

Based on (10), one can obtain

$$\begin{cases}
\mathcal{D}^{\alpha} x_{k,i_{k}} = h_{k,i_{k}} x_{k,i_{k}+1} + \Delta f_{k,i_{k}} (\hat{\bar{x}}_{k,i_{k}}) + \theta_{k,i_{k}}^{T} S_{k,i_{k}} (\hat{\bar{x}}_{k,i_{k}}) + \Lambda_{k,i_{k}} \\
\mathcal{D}^{\alpha} x_{k,n_{k}} = h_{k,n_{k}} u_{k} + \Delta f_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) + \theta_{k,n_{k}}^{T} S_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) + \Lambda_{k,n_{k}}
\end{cases}$$
(16)

where  $\hat{\bar{x}}_{k,i_k}$  is the estimate value of state  $\bar{x}_{k,i_k}$ ,  $\Delta f_{k,i_k}(\hat{\bar{x}}_{k,i_k}) = f_{k,i_k}(\bar{x}_{k,i_k}) - f_{k,i_k}(\hat{\bar{x}}_{k,i_k})$ ,  $\Lambda_{k,i_k} = \varepsilon_{k,i_k}(\hat{\bar{x}}_{k,i_k}) + d_{k,i_k}(t)$  and  $|\Lambda_{k,i_k}| \leq \bar{\Lambda}_{k,i_k}$  with  $\bar{\Lambda}_{k,i_k}$  being the positive constant. For the constrained fractional-order MIMO system (16), all the system states except

For the constrained fractional-order MIMO system (16), all the system states except  $x_{k,1}$  are immeasurable, and a state observer must be designed to estimate the states. Then, the NN observer is constructed as

$$\begin{cases}
\mathcal{D}^{\alpha} \hat{x}_{k,i_{k}} = h_{k,i_{k}} \hat{x}_{k,i_{k}+1} + \hat{\theta}_{k,i_{k}}^{T} S_{k,i_{k}} (\hat{\bar{x}}_{k,i_{k}}) + K_{k,i_{k}} (y_{k} - \hat{y}_{k}) \\
\mathcal{D}^{\alpha} \hat{x}_{k,n_{k}} = h_{k,n_{k}} u_{k} + \hat{\theta}_{k,n_{k}}^{T} S_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) + K_{k,n_{k}} (y_{k} - \hat{y}_{k})
\end{cases}$$
(17)

where  $K_{k,i_k}$  is the design parameter.

The estimation errors are defined as  $\tilde{\theta}_{k,i_k} = \theta_{k,i_k} - \hat{\theta}_{k,i_k}$  and  $\tilde{x}_{k,i_k} = x_{k,i_k} - \hat{x}_{k,i_k}$ . By utilizing (16) and (17), one obtains

$$\mathcal{D}^{\alpha}\widetilde{x}_{k} = A_{k}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}} \mathcal{B}_{i_{k}}\widehat{\theta}_{k,i_{k}}^{T} S_{k,i_{k}}(\widehat{x}_{k,i_{k}}) + \Delta f_{k}(\widehat{x}_{k,i_{k}}) + \Lambda_{k}$$

$$(18)$$

where 
$$\overline{x}_{k} = (x_{1,i_{k}} \quad x_{2,i_{k}} \quad \dots \quad x_{k,n_{k}})^{T}$$
,  $\Delta f_{k} = (\Delta f_{k,1}, \Delta f_{k,3}, \dots, \Delta f_{k,n_{k}})^{T}$ ,  $\Lambda_{k} = (\Lambda_{1}, \Lambda_{2}, \dots, \Lambda_{n_{k}})^{T}$ ,  $A_{k} = (\Lambda_{1}, \Lambda_{2}, \dots$ 

should be properly chosen so that  $A_k$  is Hurwitz. There exists a matrix  $P_k = P_k^T > 0$  satisfying:

$$A_k^T P_k + P_k A_k = -2Q_k \tag{19}$$

where  $Q_k = Q_k^T > 0$ .

Consider the Lyapunov candidate as  $V_{k,e} = \widetilde{x}_k^T P_k \widetilde{x}_k$ , and the  $\alpha$  – order derivative of  $V_{k,e}$  is presented as

$$\mathcal{D}^{\alpha}V_{k,e} = \widetilde{x}_{k}^{T}\left(A_{k}^{T}P_{k} + P_{k}A_{k}\right)\widetilde{x}_{k} + 2\widetilde{x}_{k}P_{k}\left(\sum_{i_{k}=1}^{n_{k}}\mathcal{B}_{i_{k}}\widehat{\theta}_{k,i_{k}}^{T}S_{k,i_{k}}\left(\widehat{\overline{x}}_{k,i_{k}}\right) + \Delta f_{k}\left(\widehat{\overline{x}}_{k,i_{k}}\right) + \Lambda_{k}\right)$$
(20)

According to Assumption 2 and Young's inequality, one can obtain

$$2\widetilde{x}_k P_k \Lambda_k \leq \widetilde{x}_k^T \widetilde{x}_k + \|P_k\|^2 \sum_{i_k=1}^{n_k} \overline{\Lambda}_{i_k}^2$$

$$2\widetilde{x}_k P_k \Delta f_k \leq \left(1 + n_k c_k^2 \|P_k\|^2\right) \widetilde{x}_k^T \widetilde{x}_k$$
(21)

where  $c_k = \max\{c_{k,1}, c_{k,2}, \dots, c_{k,n_k}\}.$ 

Based on the fact that  $0 < S_{k,i_k}(\cdot)^T S_{k,i_k}(\cdot) < 1$ , it follows that:

$$2\widetilde{x}_k P_k \sum_{i_k=1}^{n_k} \mathcal{B}_{i_k} \widehat{\theta}_{k,i_k}^T S_{k,i_k} (\widehat{\overline{x}}_{k,i_k}) \le \lambda_{\max^2}(P_k) \widetilde{x}_k^T \widetilde{x}_k + \sum_{i_k=1}^{n_k} \widetilde{\theta}_{i_k}^T \widetilde{\theta}_{i_k}$$
(22)

Furthermore, substituting (21) and (22) into (20), we obtain

$$\mathcal{D}^{\alpha}V_{k,e} \leq -\overline{q}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}}\widetilde{\theta}_{i_{k}}^{T}\widetilde{\theta}_{i_{k}} + \|P_{k}\|^{2} \sum_{i_{k}=1}^{n_{k}} \overline{\Lambda}_{i_{k}}^{2}$$

$$(23)$$

Fractal Fract. 2024, 8, 662 7 of 21

where 
$$\overline{q} = \lambda_{\min}(Q_k) - 2 - n_k c_k^2 ||P_k||^2 - \lambda_{\max}^2(P_k)$$
.

## 5. Adaptive Controller Design

In this section, the prescribed performance adaptive tracking control approach will be designed, in which the barrier Lyapunov functions with the settling time regulator are employed to deal with the asymmetric full-state constraints.

Construct the asymmetric BLF as:

$$V_{k,z} = \frac{z_{k,1}^2}{\mathcal{F}_{k,2} - z_{k,1}} + \frac{z_{k,1}^2}{\mathcal{F}_{k,1} + z_{k,1}}$$
(24)

where  $\mathcal{F}_{k,1}$ ,  $\mathcal{F}_{k,2} > 0$ . One can find that the boundedness of  $V_{k,z}$  can ensure  $-\mathcal{F}_{k,1} < z_{k,1} < \mathcal{F}_{k,2}$ . Derive  $\mathcal{D}^{\alpha}V_{k,z}$  as:

$$\mathcal{D}^{\alpha} V_{k,z} \le H_k \mathbf{z}_{k,1} (\mathcal{D}^{\alpha} \mathbf{z}_{k,1} + M_k) \tag{25}$$

where

$$\begin{split} H_k &= \frac{2\mathcal{F}_{k,2} - \mathbf{z}_{k,1}}{\left(\mathcal{F}_{k,2} - \mathbf{z}_{k,1}\right)^2} + \frac{2\mathcal{F}_{k,1} + \mathbf{z}_{k,1}}{\left(\mathcal{F}_{k,1} + \mathbf{z}_{k,1}\right)^2} \\ M_k &= \frac{\mathbf{z}_{k,1} \left(\mathcal{F}_{k,2} - \mathbf{z}_{k,1}\right)^2}{G_k} \mathcal{D}^{\alpha} \mathcal{F}_{k,2} + \frac{\mathbf{z}_{k,1} \left(\mathcal{F}_{k,1} + \mathbf{z}_{k,1}\right)^2}{G_k} \mathcal{D}^{\alpha} \mathcal{F}_{k,1} \\ G_k &= (2\mathcal{F}_{k,2} - \mathbf{z}_{k,1}) \left(\mathcal{F}_{k,1} + \mathbf{z}_{k,1}\right)^2 + \left(2\mathcal{F}_{k,1} + \mathbf{z}_{k,1}\right) \left(\mathcal{F}_{k,2} - \mathbf{z}_{k,1}\right)^2 \end{split}$$

Define the coordinate transformation as:

$$z_{k,1} = x_{k,1} - y_{k,d}, z_{k,i_k} = \hat{x}_{k,i_k} - \tau_{k,i_k,f}, e_{k,i_k} = \tau_{k,i_k,f} - \tau_{k,i_k-1}$$
(26)

where  $\tau_{k,i_k-1}$  is the virtual controller, and  $\tau_{k,i_k,f}$  is the filter signal from the filter. According to (10), (18), and (26), the derivative of  $z_{k,i_k}$  is

$$\mathcal{D}^{\alpha} z_{k,1} = h_{k,1} x_{k,2} + \theta_{k,1}^{T} S_{k,1} (\hat{\bar{x}}_{k,1}) + \Delta f_{k,1} + \Lambda_{k,1} - \mathcal{D}^{\alpha} y_{kd}$$

$$\mathcal{D}^{\alpha} z_{k,i_{k}} = h_{k,i_{k}} \hat{x}_{k,i_{k}+1} + K_{k,i_{k}} \tilde{x}_{k,1} + \hat{\theta}_{k,i_{k}}^{T} S_{k,i_{k}} (\hat{\bar{x}}_{k,i_{k}}) - \mathcal{D}^{\alpha} \tau_{k,i_{k},f}$$

$$\mathcal{D}^{\alpha} z_{k,n_{k}} = h_{k,n_{k}} u_{k} + K_{k,n_{k}} \tilde{x}_{k,1} + \hat{\theta}_{k,n_{k}}^{T} S_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) - \mathcal{D}^{\alpha} \tau_{k,n_{k},f}$$
(27)

*Step* (k, 1): Define Lyapunov function candidate as

$$V_{k,1} = V_{k,e} + \frac{z_{k,1}^2}{\mathcal{F}_{k,1,2} - z_{k,1}} + \frac{z_{k,1}^2}{\mathcal{F}_{k,1,1} + z_{k,1}} + \frac{1}{2r_{k,1}} \widetilde{\theta}_{k,1}^T \widetilde{\theta}_{k,1} + \frac{e_{k,2}^2}{2}$$
(28)

where  $r_{k,1} > 0$ ,  $\mathcal{F}_{k,1,2} = \kappa_{k,1,2} \mathcal{P}_k(t)$ ,  $\mathcal{F}_{k,1,1} = \kappa_{k,1,1} \mathcal{P}_k(t)$ . Based on (25), (27) and (28), one can obtain

$$\mathcal{D}^{\alpha}V_{k,1} = \mathcal{D}^{\alpha}V_{k,e} + H_{k,1}z_{k,1}(\mathcal{D}^{\alpha}z_{k,1} + M_{k,1}) - r_{k,1}^{-1}\widetilde{\theta}_{k,1}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,1} + e_{k,2}\mathcal{D}^{\alpha}e_{k,2}$$

$$\leq -\overline{q}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}}\widetilde{\theta}_{i_{k}}^{T}\widetilde{\theta}_{i_{k}} + \|P_{k}\|^{2}\sum_{i_{k}=1}^{n_{k}}\overline{\Lambda}_{i_{k}}^{2} + H_{k,1}z_{k,1}\left(h_{k,1}x_{k,2} + \theta_{k,1}^{T}S_{k,1}(\widehat{x}_{k,1})\right) + \Delta f_{k,1} + \Lambda_{k,1} - \mathcal{D}^{\alpha}y_{kd} + M_{k,1}) - r_{k,1}^{-1}\widetilde{\theta}_{k,1}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,1} + e_{k,2}\mathcal{D}^{\alpha}e_{k,2}$$

$$(29)$$

where

$$\begin{split} M_{k,1} &= \frac{z_{k,1} \mathcal{D}^{\alpha} \mathcal{F}_{k,1,2}}{G_{k,1}} + \frac{z_{k,1} \mathcal{D}^{\alpha} \mathcal{F}_{k,1,1}}{G_{k,1}} \\ H_{k,1} &= \frac{2\mathcal{F}_{k,1,2} - z_{k,1}}{\left(\mathcal{F}_{k,1,2} - z_{k,1}\right)^{2}} + \frac{2\mathcal{F}_{k,1,1} + z_{k,1}}{\left(\mathcal{F}_{k,1,1} + z_{k,1}\right)^{2}} \\ G_{k,1} &= (2\mathcal{F}_{k,1,2} - z_{k,1}) \left(\mathcal{F}_{k,1,1} + z_{k,1}\right)^{2} + \left(2\mathcal{F}_{k,1,1} + z_{k,1}\right) \left(\mathcal{F}_{k,1,2} - z_{k,1}\right)^{2} \end{split}$$

Fractal Fract. 2024, 8, 662 8 of 21

Due to Young's inequality, one can have

$$h_{k,1}H_{k,1}z_{k,1}(z_{k,2} + e_{k,2} + \widetilde{x}_{k,2}) \leq \frac{5}{4}h_{k,1}^2H_{k,1}^2z_{k,1}^2 + \frac{e_{k,2}^2}{2} + \frac{1}{2}\widetilde{x}_k^T\widetilde{x}_k + z_{k,2}^2$$

$$H_{k,1}z_{k,1}(\Delta f_{k,1} + \Lambda_{k,1}) \leq H_{k,1}^2z_{k,1}^2 + \frac{c_{k,1}^2}{2}\widetilde{x}_k^T\widetilde{x}_k + \frac{\overline{\Lambda}_{k,1}^2}{2}$$
(30)

The virtual controller  $\tau_{k,1}$  and law  $\hat{\theta}_{k,1}$  are designed as

$$\tau_{k,1} = -h_{k,1}^{-1} \left( b_{k,1} z_{k,1} + \left( 1 + \frac{5h_{k,1}^2}{4} \right) H_{k,1} z_{k,1} + \hat{\theta}_{k,1} S_{k,1} \left( \hat{\bar{x}}_{k,1} \right) - \mathcal{D}^{\alpha} y_{k,d} + M_{k,1} \right) \\
\mathcal{D}^{\alpha} \hat{\theta}_{k,1} = r_{k,1} H_{k,1} z_{k,1} S_{k,1} \left( \hat{\bar{x}}_{k,1} \right) - \sigma_{k,1} \hat{\theta}_{k,1} \tag{31}$$

where  $b_{k,1}, \sigma_{k,1} > 0$ . Based on (29)–(31) and the fact that  $\frac{\sigma_{k,1}}{r_{k,1}} \tilde{\theta}_{k,1}^T \hat{\theta}_{k,1} = -\frac{\sigma_{k,1}}{2r_{k,1}} \tilde{\theta}_{k,1}^T \tilde{\theta}_{k,1} +$  $\frac{\theta_{k,1}}{2r_{k,1}}\theta_{k,1}^T\theta_{k,1}$  yields

$$\mathcal{D}^{\alpha}V_{k,1} \leq -\overline{q}_{k,1}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}}\widetilde{\theta}_{i_{k}}^{T}\widetilde{\theta}_{i_{k}} - \frac{\sigma_{k,1}}{2r_{k,1}}\widetilde{\theta}_{k,1}^{T}\widetilde{\theta}_{k,1} - b_{k,1}H_{k,1}z_{k,1}^{2} + z_{k,2}^{2} + \frac{e_{k,2}^{2}}{2} + e_{k,2}\mathcal{D}^{\alpha}e_{k,2} + \|P_{k}\|^{2} \sum_{i_{k}=1}^{n_{k}}\overline{\Lambda}_{i_{k}}^{2} + \frac{\sigma_{k,1}}{2r_{k,1}}\widetilde{\theta}_{k,1}^{T}\widetilde{\theta}_{k,1} + \frac{\overline{\Lambda}_{k,1}^{2}}{2}$$

$$(32)$$

where  $\bar{q}_{k,1}=\bar{q}-\frac{1+c_{k,1}^2}{2}.$  The fractional-order filter can be designed as:

$$\zeta_{k,2}D^{\alpha}\tau_{k,2,f} + \tau_{k,2,f} = \tau_{k,1}, \tau_{k,2,f}(0) = \tau_{k,1}(0)$$
(33)

where  $\zeta_{k,2} > 0$ .

According to (26) and (33), one can obtain

$$\mathcal{D}^{\alpha} e_{k,2} = \mathcal{D}^{\alpha} \tau_{k,2,f} - \mathcal{D}^{\alpha} \tau_{k,1} = -\frac{e_{k,2}}{\varsigma_{k,2}} - \mathcal{D}^{\alpha} \tau_{k,1}$$
(34)

It is assumed that  $|\mathcal{D}^{\alpha}\tau_{k,1}| \leq -\Psi_{k,1}(Y_{k,1})$ , where  $\Psi_{k,1}(Y_{k,1})$  is a continuous function and  $Y_{k,1} = (z_{k,1}, \hat{\theta}_{k,1}, \mathcal{D}^{\alpha}\hat{\theta}_{k,1}, y_{k,d}, \mathcal{D}^{\alpha}y_{k,d}, \mathcal{D}^{\alpha}(\mathcal{D}^{\alpha}y_{k,d}), \mathcal{F}_{k,1,1}, \mathcal{D}^{\alpha}\mathcal{F}_{k,1,1}, \mathcal{D}^{\alpha}(\mathcal{D}^{\alpha}\mathcal{F}_{k,1,1}), \mathcal{D}^{\alpha}\mathcal{F}_{k,1,2},$  $\mathcal{F}_{k,1,2}, \mathcal{D}^{\alpha}(\mathcal{D}^{\alpha}\mathcal{F}_{k,1,2}))^{T}.$ 

Subsequently, adopting Young's inequality, we obtain

$$e_{k,2}D^{\alpha}e_{k,2} \le -\frac{e_{k,2}^2}{6k2} + \frac{e_{k,2}^2}{2} + \frac{\Psi_{k,1}^2}{2}$$
 (35)

Thus, the inequality (32) is restated as

$$\mathcal{D}^{\alpha}V_{k,1} \leq -\overline{q}_{k,1}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}} \widetilde{\theta}_{i_{k}}^{T}\widetilde{\theta}_{i_{k}} - \frac{\sigma_{k,1}}{2r_{k,1}}\widetilde{\theta}_{k,1}^{T}\widetilde{\theta}_{k,1} - b_{k,1}H_{k,1}z_{k,1}^{2} + z_{k,2}^{2} - a_{k,2}e_{k,2}^{2} + \frac{\Psi_{k,1}^{2}}{2} + \Xi_{k,1}$$
(36)

where  $\Xi_{k,1} = \|P_k\|^2 \sum_{i_1=1}^{n_k} \overline{\Lambda}_{i_k}^2 + \frac{\sigma_{k,1}}{2r_{k,1}} \widetilde{\theta}_{k,1}^T \widetilde{\theta}_{k,1} + \frac{\overline{\Lambda}_{k,1}^2}{2}$  and  $a_{k,2}$  is a positive constant guaranteeing  $\frac{1}{\varsigma_{k,2}} > 1 + a_{k,2}.$   $Step\ (k,i_k), 2 \le i_k \le n_k - 1:$ Consider the Lyapunov function presented below

$$V_{k,i_k} = V_{k,i_k-1} + \frac{z_{k,i_k}^2}{\mathcal{F}_{k,i_k,2} - z_{k,i_k}} + \frac{z_{k,i_k}^2}{\mathcal{F}_{k,i_k,1} + z_{k,i_k}} + \frac{1}{2r_{k,i_k}} \widetilde{\theta}_{k,i_k}^T \widetilde{\theta}_{k,i_k} + \frac{e_{k,i_k+1}^2}{2}$$
(37)

where  $r_{k,i_k} > 0$  are some constants, and  $\mathcal{F}_{k,i_k,1}$  and  $\mathcal{F}_{k,i_k,2}$  are to be created later on. The  $\alpha$  – order derivative of  $V_{k,i_k}$  is organized as

Fractal Fract. 2024, 8, 662 9 of 21

$$\mathcal{D}^{\alpha}V_{k,i_{k}} = \mathcal{D}^{\alpha}V_{k,i_{k}-1} + H_{k,i_{k}}z_{k,i_{k}}\mathcal{D}^{\alpha}z_{k,i_{k}} - r_{k,i_{k}}^{-1}\widetilde{\theta}_{k,i_{k}}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,i_{k}} + e_{k,i_{k}+1}\mathcal{D}^{\alpha}e_{k,i_{k}+1}$$

$$\leq -\overline{q}_{k,i_{k}-1}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{j=1}^{n_{k}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=1}^{i_{k}-1}\frac{\sigma_{k,j}}{2r_{k,j}}\widetilde{\theta}_{k,j}^{T}-\sum_{j=1}^{i_{k}-1}b_{k,j}H_{k,j}z_{k,j}^{2}$$

$$-\sum_{j=2}^{i_{k}-1}a_{k,j}e_{k,j}^{2} + \sum_{j=2}^{i_{k}}\frac{\Psi_{k,j}^{2}}{2} + \Xi_{k,i_{k}-1} + z_{k,i_{k}}^{2} + \sum_{j=2}^{1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - r_{k,i_{k}}^{-1}\widetilde{\theta}_{k,i_{k}}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,i_{k}}$$

$$+ H_{k,i_{k}}z_{k,i_{k}}\left(h_{k,i_{k}}\widehat{x}_{k,i_{k}+1} + K_{k,i_{k}}\widetilde{x}_{k,1} + \widehat{\theta}_{k,i_{k}}^{T}S_{k,i_{k}}(\widehat{x}_{k,i_{k}}) - \mathcal{D}^{\alpha}\tau_{k,i_{k},f}\right) + e_{k,i_{k}+1}\mathcal{D}^{\alpha}e_{k,i_{k}+1}$$

$$(38)$$

where

$$H_{k,i_k} = rac{2\mathcal{F}_{k,i_k,2} - \mathrm{z}_{k,i_k}}{\left(\mathcal{F}_{k,i_k,2} - \mathrm{z}_{k,i_k}
ight)^2} + rac{2\mathcal{F}_{k,i_k,1} - \mathrm{z}_{k,i_k}}{\left(\mathcal{F}_{k,i_k,1} - \mathrm{z}_{k,i_k}
ight)^2}$$

Construct the virtual controller and adaptive law as

$$\tau_{k,i_{k}-1} = -h_{k,i_{k}}^{-1} \left( \left( 1 + b_{k,i_{k}} + \left( \frac{3h_{k,i_{k}}^{2}}{4} + \frac{K_{k,i_{k}}^{2}}{4} + \frac{1}{2} \right) H_{k,i_{k}} \right) z_{k,i_{k}} + \hat{\theta}_{k,i_{k}}^{T} S_{k,i_{k}} \left( \hat{\bar{x}}_{k,i_{k}} \right) - \mathcal{D}^{\alpha} \tau_{k,i_{k},f} \right) \\
\mathcal{D}^{\alpha} \hat{\theta}_{k,i_{k}} = r_{k,i_{k}} H_{k,i_{k}} z_{k,i_{k}} S_{k,i_{k}} \left( \hat{\bar{x}}_{k,i_{k}} \right) - \sigma_{k,i_{k}} \hat{\theta}_{k,i_{k}} \tag{39}$$

where  $b_{k,i_k}$ ,  $\sigma_{k,i_k} > 0$ . Then, one can have

$$h_{k,i_{k}}H_{k,i_{k}}z_{k,i_{k}}\left(z_{k,i_{k}+1}+e_{k,i_{k}+1}\right) \leq \frac{3}{4}h_{k,i_{k}}^{2}H_{k,i_{k}}^{2}z_{k,i_{k}}^{2} + \frac{e_{k,i_{k}+1}^{2}}{2} + z_{k,i_{k}+1}^{2} + H_{k,i_{k}}z_{k,i_{k}}\left(K_{k,i_{k}}\widetilde{x}_{k,1} - \widetilde{\theta}_{k,i_{k}}^{T}S_{k,i_{k}}\left(\widehat{x}_{k,i_{k}}\right)\right) \leq \left(\frac{K_{k,i_{k}}^{2}}{4} + \frac{1}{2}\right)H_{k,i_{k}}^{2}z_{k,i_{k}}^{2} + \widetilde{x}_{k}^{T}\widetilde{x}_{k} + \frac{1}{2}\widetilde{\theta}_{k,i_{k}}^{T}\widetilde{\theta}_{k,i_{k}}$$

$$(40)$$

Due to  $\frac{\sigma_{k,i_k}}{r_{k,i_k}}\widetilde{\theta}_{k,i_k}^T\widehat{\theta}_{k,i_k} = -\frac{\sigma_{k,i_k}}{2r_{k,i_k}}\widetilde{\theta}_{k,i_k}^T\widetilde{\theta}_{k,i_k} + \frac{\sigma_{k,i_k}}{2r_{k,i_k}}\theta_{k,i_k}^T\theta_{k,i_k}$  and (38), one can obtain

$$\mathcal{D}^{\alpha}V_{k,i_{k}} \leq -\overline{q}_{k,i_{k}}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{j=1}^{n_{k}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=1}^{i_{k}-1}\frac{\sigma_{k,j}}{2r_{k,j}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j} + \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \sum_{j=$$

where  $\overline{q}_{k,i_k} = \overline{q}_{k,i_k-1} - 1$  and  $\Xi_{k,i_k} = \Xi_{k,i_k-1} + \frac{\sigma_{k,i_k}}{2r_{k,i_k}} \theta_{k,i_k}^T \theta_{k,i_k}$ 

Design the fractional-order filter as

$$\zeta_{k,i_{k}+1}D^{\alpha}\tau_{k,i_{k},f} + \tau_{k,i_{k},f} = \tau_{k,i_{k}-1}, \tau_{k,i_{k},f}(0) = \tau_{k,i_{k}}(0)$$
(42)

Then, one can have

$$\mathcal{D}^{\alpha} e_{k,i_k+1} = \mathcal{D}^{\alpha} \tau_{k,i_k,f} - \mathcal{D}^{\alpha} \tau_{k,i_k-1} = -\frac{e_{k,i_k+1}}{\varsigma_{k,i_k+1}} - D^{\alpha} \tau_{k,i_k-1}$$
(43)

Assume  $|D^{\alpha}\tau_{k,i_k-1}| \leq -\Psi_{k,i_k}(Y_{k,i_k})$ , where  $\Psi_{k,i_k}(Y_{k,i_k})$  is a continuous function and  $Y_{k,i_k} = (z_{k,i_k}, \hat{\theta}_{k,i_k}, \mathcal{D}^{\alpha} \hat{\theta}_{k,i_k})^T$ . Then

$$e_{k,i_k+1} \mathcal{D}^{\alpha} e_{k,i_k+1} \le -\frac{e_{k,i_k+1}^2}{\varsigma_{k,i_k+1}} + \frac{e_{k,i_k+1}^2}{2} + \frac{1}{2} \Psi_{k,i_k}$$
(44)

Based on (41) and (44), one can obtain

$$\mathcal{D}^{\alpha}V_{k,i_{k}} \leq -\overline{q}_{k,i_{k}}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{j=1}^{n_{k}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=1}^{i_{k}-1}\frac{\sigma_{k,j}}{2r_{k,j}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \sum_{j=2}^{i_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=2}^{i_{k}-1}b_{k,j}H_{k,j}\mathbf{z}_{k,j}^{2} - \sum_{j=2}^{i_{k}-1}a_{k,j}e_{k,j}^{2} + \sum_{j=2}^{i_{k}}\frac{\Psi_{k,j}^{2}}{2} + \Xi_{k,i_{k}} + \mathbf{z}_{k,i_{k}}^{2}$$

$$(45)$$

Fractal Fract. 2024, 8, 662 10 of 21

where  $a_{k,i_k+1} > 0$ , satisfying  $\frac{1}{\varsigma_{k,i_k+1}} > 1 + a_{k,i_k+1}$ .

*Step*  $(k, n_k)$ : Select the Lyapunov function as:

$$V_{k,n_k} = V_{k,n_k-1} + \frac{z_{k,n_k}^2}{\mathcal{F}_{k,n_k,2} - z_{k,n_k}} + \frac{z_{k,n_k}^2}{\mathcal{F}_{k,n_k,1} + z_{k,n_k}} + \frac{1}{2r_{k,n_k}} \widetilde{\theta}_{k,n_k}^T \widetilde{\theta}_{k,n_k}$$
(46)

where  $r_{k,n_k} > 0$ , and  $\mathcal{F}_{k,n_k,1}$  and  $\mathcal{F}_{k,n_k,2}$  will be given later.

From (46), one can have

$$\mathcal{D}^{\alpha}V_{k,n_{k}} = \mathcal{D}^{\alpha}V_{k,n_{k}-1} + H_{k,n_{k}}z_{k,n_{k}}\mathcal{D}^{\alpha}z_{k,n_{k}} - r_{k,n_{k}}^{-1}\widetilde{\theta}_{k,n_{k}}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,n_{k}}$$

$$\leq -\overline{q}_{k,n_{k}-1}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{j=1}^{n_{k}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j}\widetilde{\theta}_{k,j} - \sum_{j=1}^{n_{k}-1}\frac{\sigma_{k,j}}{2r_{k,j}}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} - \sum_{j=1}^{n_{k}-1}b_{k,j}H_{k,j}z_{k,j}^{2}$$

$$-\sum_{j=2}^{n_{k}}a_{k,j}e_{k,j}^{2} + \sum_{j=2}^{n_{k}}\frac{\Psi_{k,j}^{2}}{2} + \sum_{j=2}^{n_{k}-1}\frac{1}{2}\widetilde{\theta}_{k,j}^{T}\widetilde{\theta}_{k,j} + \Xi_{k,n_{k}-1} + z_{k,n_{k}}^{2} - r_{k,n_{k}}^{-1}\widetilde{\theta}_{k,n_{k}}^{T}\mathcal{D}^{\alpha}\widehat{\theta}_{k,n_{k}}$$

$$+H_{k,n_{k}}z_{k,n_{k}}\left(h_{k,n_{k}}u_{k} + K_{k,n_{k}}\widetilde{x}_{k,1} + \widehat{\theta}_{k,n_{k}}^{T}S_{k,n_{k}}(\widehat{x}_{k,n_{k}}) - \mathcal{D}^{\alpha}\tau_{k,n_{k},f}\right)$$

$$(47)$$

where  $H_{k,n_k} = (2\mathcal{F}_{k,n_k,2} - \mathbf{z}_{k,n_k})/(\mathcal{F}_{k,n_k,2} - \mathbf{z}_{k,n_k})^2 + (2\mathcal{F}_{k,n_k,1} + \mathbf{z}_{k,n_k})/(\mathcal{F}_{k,n_k,1} + \mathbf{z}_{k,n_k})^2$ . Design the actual control signal  $u_k$  and the adaptation law  $\hat{\theta}_{k,n_k}$  as

$$u_{k} = -h_{k,n_{k}}^{-1} \left( (1 + b_{k,n_{k}}) z_{k,n_{k}} + \left( \frac{K_{k,n_{k}}^{2}}{4} + \frac{1}{2} \right) H_{k,n_{k}} z_{k,n_{k}} + \hat{\theta}_{k,n_{k}}^{T} S_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) - \mathcal{D}^{\alpha} \tau_{k,n_{k},f} \right)$$

$$\mathcal{D}^{\alpha} \hat{\theta}_{k,n_{k}} = r_{k,n_{k}} H_{k,n_{k}} z_{k,n_{k}} S_{k,n_{k}} (\hat{\bar{x}}_{k,n_{k}}) - \sigma_{k,n_{k}} \hat{\theta}_{k,n_{k}}$$

$$(48)$$

where  $b_{k,n_k}$ ,  $\sigma_{k,n_k} > 0$ . Using Young's inequality, one has

$$H_{k,n_k}\mathbf{z}_{k,n_k}\left(K_{k,n_k}\widetilde{\mathbf{x}}_1 - \widetilde{\boldsymbol{\theta}}_{k,n_k}^T S_{k,n_k}(\widehat{\bar{\mathbf{x}}}_{k,n_k})\right) \leq \left(\frac{K_{k,n_k}^2}{4} + \frac{1}{2}\right)H_{k,n_k}^2\mathbf{z}_{k,n_k}^2 + \widetilde{\mathbf{x}}_k^T \widetilde{\mathbf{x}}_k + \frac{1}{2}\widetilde{\boldsymbol{\theta}}_{k,n_k}^T \widetilde{\boldsymbol{\theta}}_{k,n_k}\right)$$
(49)

Consequently, due to  $\frac{\sigma_{k,n_k}}{r_{k,n_k}}\widetilde{\theta}_{k,n_k}^T\widehat{\theta}_{k,n_k} = -\frac{\sigma_{k,n_k}}{2r_{k,n_k}}\widetilde{\theta}_{k,n_k}^T\widetilde{\theta}_{k,n_k} + \frac{\sigma_{k,n_k}}{2r_{k,n_k}}\theta_{k,n_k}^T\theta_{k,n_k}$ , it can be further deduced that

$$\mathcal{D}^{\alpha}V_{k,n_{k}} \leq -\overline{q}_{k,n_{k}}\widetilde{x}_{k}^{T}\widetilde{x}_{k} + \sum_{i_{k}=1}^{n_{k}}\widetilde{\theta}_{k,i_{k}}^{T}\widetilde{\theta}_{k,i_{k}} - \sum_{i_{k}=1}^{n_{k}}\frac{\sigma_{k,i_{k}}}{2r_{k,i_{k}}}\widetilde{\theta}_{k,i_{k}}^{T}\widetilde{\theta}_{k,i_{k}}$$

$$+ \sum_{i_{k}=2}^{n_{k}}\frac{1}{2}\widetilde{\theta}_{k,i_{k}}^{T}\widetilde{\theta}_{k,i_{k}} - \sum_{i_{k}=1}^{n_{k}}b_{k,i_{k}}H_{k,i_{k}}z_{k,i_{k}}^{2} - \sum_{i_{k}=2}^{n_{k}}a_{k,i_{k}}e_{k,i_{k}}^{2} + \sum_{i_{k}=2}^{n_{k}}\frac{\Psi_{k,i_{k}}^{2}}{2} + \Xi_{k,n_{k}}$$
(50)

where 
$$\overline{q}_{k,n_k} = \overline{q}_{k,n_k-1} - 1$$
 and  $\Xi_{k,n_k} = \Xi_{k,n_k-1} + \frac{\sigma_{k,n_k}}{2} \theta_{k,n_k}^T \theta_{k,n_k}$ .

**Theorem 1.** Consider the fractional-order MIMO nonlinear system (10) under Assumptions 1 and 2, if an adaptive controller and adaptive laws (31), (39) and (48) with state observer (17) are designed, for any  $x_{k,i_k}(0) \in \mathfrak{D}_{x_{k,i_k}}$ , one can obtain that all the signals closed-loop system are bounded; the practical prescribed time tracking and the states confined to predetermined constraints are guaranteed.

**Proof.** For  $z_{k,i_k} \in \Omega_{z_{k,i_k}}$ , one can obtain

$$H_{k,i_{k}}z_{k,i_{k}}^{2} = \frac{2\mathcal{F}_{k,i_{k},2} - z_{k,i_{k}}}{\left(\mathcal{F}_{k,i_{k},2} - z_{k,i_{k}}\right)^{2}}z_{k,i_{k}}^{2} + \frac{2\mathcal{F}_{k,i_{k},1} + z_{k,i_{k}}}{\left(\mathcal{F}_{k,i_{k},1} + z_{k,i_{k}}\right)^{2}}z_{k,i_{k}}^{2}$$

$$\geq \frac{z_{k,i_{k}}^{2}}{\mathcal{F}_{k,i_{k},2} - z_{k,i_{k}}} + \frac{z_{k,i_{k}}^{2}}{\mathcal{F}_{k,i_{k},1} + z_{k,i_{k}}} + N$$
(51)

where  $N = \frac{\mathcal{F}_{k,i_k,2} z_{k,i_k}^2}{\left(\mathcal{F}_{k,i_k,2} - z_{k,i_k}\right)^2} + \frac{\mathcal{F}_{k,i_k,1} z_{k,i_k}^2}{\left(\mathcal{F}_{k,i_k,1} + z_{k,i_k}\right)^2} \ge 0$ . Thus, one can obtain

$$-b_{k,i_k}H_{k,i_k}z_{k,i_k}^2 \le -\frac{b_{k,i_k}z_{k,i_k}^2}{\mathcal{F}_{k,i_k,2} - z_{k,i_k}} - \frac{b_{k,i_k}z_{k,i_k}^2}{\mathcal{F}_{k,i_k,1} + z_{k,i_k}}$$
(52)

Define the compact sets as:  $\Omega_{y_{k,l}} = \left\{ (y_{k,l}, \mathcal{D}^{x}y_{k,l}, \mathcal{D}^{x}(\mathcal{D}^{x}y_{k,l}))^{T} : y_{k,l}^{2} + (\mathcal{D}^{x}y_{k,l})^{2} + (\mathcal{D}^{x}(\mathcal{D}^{x}y_{k,l}))^{2} \le \omega_{y_{k,l}} \right\}$  and  $\Omega_{V_{k,i_k}} = \left\{ \widetilde{x}_{k}^{T} P_{k} \widetilde{x}_{k} + \sum_{i_k=1}^{n_k} \widetilde{\theta}_{k,i_k}^{T} + \sum_{i_k=2}^{n_k} e_{k,i_k}^{2} + \sum_{i_k=1}^{n_k} \left( \frac{z_{k,i_k}^2}{\mathcal{F}_{k,i_k,2} - z_{k,i_k}} + \frac{z_{k,i_k}^2}{\mathcal{F}_{k,i_k,1} + z_{k,i_k}} \right) \le C_{k,i_k} \right\}$  with  $\omega_{y_{k,d}}$ ,  $C_{k,i_k} > 0$ . Then,  $\Psi_{k,i_k}(Y_{k,i_k}) \le D_{k,i_k}$  with  $D_{k,i_k} > 0$  on compact set  $\Omega_{V_{k,i_k}} \times \Omega_{y_{k,d}}$ .

Consider Lyapunov function as:  $V = \sum_{k=1}^{l} V_{k,n_k}$ . From (50), one can obtain

$$\mathcal{D}^{\alpha}V = \sum_{k=1}^{l} \mathcal{D}^{\alpha}V_{k,n_{k}}$$

$$\leq -\sum_{k=1}^{l} \overline{q}_{k,n_{k}} \widetilde{x}_{k}^{T} \widetilde{x}_{k} + \sum_{k=1}^{l} \sum_{i_{k}=1}^{n_{k}} \widetilde{\theta}_{k,i_{k}}^{T} \widetilde{\theta}_{k,i_{k}} - \sum_{k=1}^{l} \sum_{i_{k}=1}^{n_{k}} \frac{\sigma_{k,i_{k}}}{2r_{k,i_{k}}} \widetilde{\theta}_{k,i_{k}}^{T} \widetilde{\theta}_{k,i_{k}} + \sum_{k=1}^{l} \sum_{i_{k}=2}^{n_{k}} \frac{1}{2} \widetilde{\theta}_{k,i_{k}}^{T} \widetilde{\theta}_{k,i_{k}}$$

$$-\sum_{k=1}^{l} \sum_{i_{k}=2}^{n_{k}} a_{k,i_{k}} e_{k,i_{k}}^{2} + \sum_{k=1}^{l} \sum_{i_{k}=2}^{n_{k}} \frac{D_{k,i_{k}}^{2}}{2} - \sum_{k=1}^{l} \sum_{i_{k}=1}^{n_{k}} b_{k,i_{k}} \left( \frac{z_{k,i_{k}}^{2}}{\mathcal{F}_{k,i_{k},2} - z_{k,i_{k}}} + \frac{z_{k,i_{k}}^{2}}{\mathcal{F}_{k,i_{k},1} + z_{k,i_{k}}} \right) + \sum_{k=1}^{l} \Xi_{k,n_{k}}$$

$$\leq -\beta V + \gamma$$
(53)

where

$$\gamma = \sum_{k=1}^{l} \sum_{i_{k}=2}^{n_{k}} \frac{D_{k,i_{k}}^{2}}{2} + \sum_{k=1}^{l} \Xi_{k,n_{k}} 
\beta = \min \left\{ \frac{\overline{q}_{k,n_{k}}}{\lambda_{\max}(P_{k})}, b_{k,i_{k}}, 2\left(\frac{\sigma_{k,1}}{2r_{k,1}} - 1\right), 2\left(\frac{\sigma_{k,j_{k}+1}}{2r_{k,j_{k}+1}} - \frac{3}{2}\right), 2a_{k,j_{k}+1} \right\} 
k = 1, 2, ..., l; i_{k} = 1, 2, ..., n_{k}; j_{k} = 1, 2, ..., n_{k} - 1.$$
(54)

(1) Define  $\mathfrak{B}(t)$  satisfying

$$\mathcal{D}^{\alpha}V + \mathfrak{B}(t) = -\beta V + \gamma \tag{55}$$

From Definition 2, the Laplace transform of (55) is

$$V(s) = \frac{s^{\alpha - 1}}{s^{\alpha} + \beta} V(0) - \frac{1}{s^{\alpha} + \beta} \mathfrak{B}(s) + \frac{\gamma}{s(s^{\alpha} + \beta)}$$
 (56)

where  $V(s) = L\{V(t)\}$  and  $\mathfrak{B}(s) = L\{\mathfrak{B}(t)\}$ . By using Laplace's inverse transformation, one can have

$$V(t) = V(0)E_{\alpha,1}(-\beta t^{\alpha}) + \gamma t^{\alpha} E_{\alpha,\alpha+1}(-\beta t^{\alpha}) - \mathfrak{B}(t) * t^{\alpha-1} E_{\alpha,\alpha}(-\beta t^{\alpha})$$
(57)

From Lemma 4, one can obtain  $-\mathfrak{B}(t)*t^{\alpha-1}E_{\alpha,\alpha}(-\beta t^{\alpha}) \leq 0$ ,  $\gamma t^{\alpha}E_{\alpha,\alpha+1}(-\beta t^{\alpha}) \leq \gamma/\beta$ , and

$$V(t) \le V(0)E_{\alpha,1}(-\beta t^{\alpha}) + \frac{\gamma}{\beta} \le \mathcal{H}$$
(58)

where  $\mathcal{H} = V(0) + \frac{\gamma}{\beta} > 0$ .

Therefore, *V* is bounded, and  $\widetilde{x}_{k,i_k}$ ,  $z_{k,i_k}$ ,  $\widetilde{\theta}_{k,i_k}$  and  $e_{k,i_k}$  are also bounded.

- (2) Due to  $z_{k,1}$  being bounded, one can have  $-\kappa_{k,1,1}\mathcal{P}_k(t) \leq z_{k,1}(t) \leq -\kappa_{k,2,1}\mathcal{P}_k(t)$ . According to  $\mathcal{P}_k(t)$  in (9), it is true that  $|z_{k,1}| \leq \varepsilon_k$  for  $t > T_k$ . Then, the tracking errors keep the predefined performance with parameters  $\varepsilon_k$  and  $T_k$ .
- (3) Due to  $z_{k,j_k}$ ,  $\hat{\theta}_{k,j_k}$  and  $e_{k,j_k}(j_k = 1,2,\ldots,n_k)$  being bounded,  $\tau_{k,i_k,f}(i_k = 2,3,\ldots,n_k)$  in (31) and (39) is also bounded and satisfies  $\aleph_{k,i_k,1} < \tau_{k,i_k,f} < \aleph_{k,i_k,2}$ , where  $\aleph_{k,i_k,1}$  and  $\aleph_{k,i_k,2}$  are some constants. Due to boundedness of  $\widetilde{x}_{k,i_k}$ , assume  $\hbar_{k,i_k,1} < \widetilde{x}_{k,i_k} < \widetilde{x}_{k,i_k}$

 $\hbar_{k,i_k,2}$ , where  $\hbar_{k,i_k,1}$  and  $\hbar_{k,i_k,2}$  are some constants. Using  $z_{k,i_k} = \hat{x}_{k,i_k} - \tau_{k,i_k,f}$ ,  $\tilde{x}_{k,i_k} = x_{k,i_k} - \hat{x}_{k,i_k}$  and  $-\kappa_{k,i_k,1} < x_{k,i_k} < \kappa_{k,i_k,2}$ , one can have  $-\mathcal{F}_{k,i_k,1} + \aleph_{k,i_k,1} + \hbar_{k,i_k,1} < x_{k,i_k} < \mathcal{F}_{k,i_k,2} + \aleph_{k,i_k,2} + \hbar_{k,i_k,2}$ . Define  $\mathcal{F}_{k,i_k,1} = k_{k,i_k,1} + \aleph_{k,i_k,1} + \hbar_{k,i_k,1}$  and  $\mathcal{F}_{k,i_k,2} = k_{k,i_k,2} - \aleph_{k,i_k,2} - \hbar_{k,i_k,2}$ , one has  $-\kappa_{k,i_k,1} < x_{k,i_k} < \kappa_{k,i_k,2}$ . The proof ends here.  $\square$ 

**Remark 3.** From (58), we can obtain  $|\mathbf{z}_{k,i_k}| \leq \sqrt{\mathcal{F}V_n(0) + \mathcal{F}\gamma/\beta}$  with  $\mathcal{F} = \max_{i_k=1,\dots,n_k;k=1,\dots l} \{\mathcal{F}_{k,i_k,1} + \mathcal{F}_{k,i_k,2}\}$ ,  $|e_{k,j_k}| \leq \sqrt{2V_n(0) + 2\gamma/\beta}$ ,  $\|\widetilde{\theta}_{k,i_k}\| \leq \sqrt{2r_{k,i_k}V_n(0) + 2r_{k,i_k}\gamma/\beta}$ ,  $i_k = 1,\dots l; j_k = 2,\dots,n_k$ , and the upper bounds of  $\mathbf{z}_{k,i_k}$ ,  $\widetilde{\theta}_{k,i_k}$  and  $e_{k,j_k}$  can be reduced by increasing  $\beta$  or decreasing  $\gamma$ , which can be achieved by selecting appropriate parameters  $\overline{q}_{k,n_k}$ ,  $b_{k,i_k}$ ,  $\sigma_{k,i_k}$ ,  $r_{k,i_k}$  and  $a_{k,i_k}$  due to the definitions of  $\gamma$  and  $\beta$  in (54). The performance function found in (9) shows  $T_k$ ,  $k_a$  and m, in which the tracking performance improved by reducing  $k_a$  and  $T_k$  improves, but that may come at the cost of the bigger control signals. Furthermore, the  $\sigma_{k,i_k}$  reflects the convergence rate of  $\widehat{\theta}_{k,i_k}$ , in which it may not be enough to prevent parameter estimation drift when  $\sigma_{k,i_k}$  is too small. Therefore, in practical applications, it is often necessary to strike a balance between proper control efforts and satisfactory tracking performance.

To clarify the system's components and the interactions, the schematic diagram of the proposed method is shown in Figure 1.

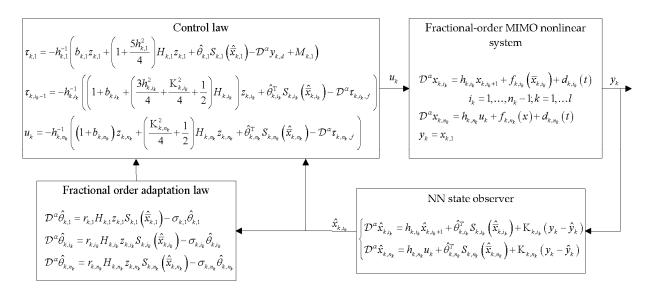


Figure 1. The schematic diagram of the proposed method.

#### 6. Simulation Results

In this section, two fractional-order numerical simulation examples are performed to illustrate the reliability and validity of the proposed tracking control strategy.

## 6.1. Example 1

Consider a fractional-order nonlinear MIMO system modeled as:

$$\begin{cases}
\mathcal{D}^{\alpha} x_{1,1} = 0.8 x_{1,2} + \frac{x_{1,1}^{3} \cos(x_{1,1})}{1 + x_{1,1}} - 0.01 \sin(2t) \\
\mathcal{D}^{\alpha} x_{1,2} = 1.5 u_{1} - 0.02 x_{1,2} - \sin(x_{1,1} x_{2,2}) + 0.3 \sin(x_{1,1}) \cos(x_{1,2}) + 0.02 \cos(t)
\end{cases}$$
(59)

and 
$$\begin{cases} \mathcal{D}^{\alpha} x_{2,1} = 0.6x_{2,2} + \cos\left(x_{2,1}^4 x_{2,2}\right) + 0.05\cos(0.1t) \\ \mathcal{D}^{\alpha} x_{2,2} = 2.6u_2 + 0.5\cos(x_{2,1} x_{2,2})\sin\left(0.5x_{1,2} x_{2,2}^3\right) - 0.01\sin(0.6t) \end{cases}$$
(60)

where  $\alpha = 0.6$ . Assumptions 1–2 are satisfied for the systems (59) and (60). The initial states are  $x_{1,1}(0) = x_{1,2}(0) = x_{2,1}(0) = x_{2,2}(0) = 0$ . The constrained sets are chosen as  $\kappa_{1,1,1} = \kappa_{1,1,2} = 0.35$ ,  $\kappa_{1,2,1} = 1.3$ ,  $\kappa_{1,2,2} = 1.35$ ,  $\kappa_{2,1,1} = 0.65$ ,  $\kappa_{2,1,2} = 0.85$ ,  $\kappa_{2,2,1} = 0.5$ ,  $\kappa_{2,2,2} = 2.9$ . The desired output trajectory signals are chosen as  $y_{1,d} = 0.3 \sin(10t) \cos(5t) + 0.01$  and  $y_{2,d} = 0.5 \sin(8t) \cos(3t) + 0.05$ .

The design parameters are shown as:  $K_{1,1}=21, K_{1,2}=11, K_{2,1}=61, K_{2,2}=11, b_{1,1}=15, b_{1,2}=5, b_{2,1}=15, b_{2,2}=5, 5, r_{1,1}=r_{1,2}=r_{2,1}=r_{2,2}=0.01, \sigma_{1,1}=\sigma_{1,2}=\sigma_{2,1}=\sigma_{2,2}=0.001, T_1=T_2=2, k_a=0.45, \text{ and } m=3.2.$ 

Figures 2–6 show the simulation results. The trajectories of system outputs, reference signals, and the predefined constraints are presented in Figure 2 to show the tracking performance of the closed-loop fractional-order system. It demonstrates that the reference signals  $y_{1,d}, y_{2,d}$  could be tracked well by the output signals  $x_{1,1}, x_{2,1}$  staying within the predefined constraints, respectively. Figure 2 displays the trajectories of system states  $x_{1,2}, x_{2,2}$  and state estimations  $\hat{x}_{1,2}, \hat{x}_{2,2}$ , respectively. It can be found that  $\hat{x}_{1,2}, \hat{x}_{2,2}$  can estimate  $x_{1,2}, x_{2,2}$ , respectively, which all stay in the predefined constraints all the time. Figure 4 shows the response curves of the errors  $z_{1,1}$  and  $z_{2,1}$ , which converge to the compact sets  $\Omega_b = \{z_1 | |z_{1,1}| < 0.015\}$  and  $\Omega_c = \{z_{2,1} | |z_1| < 0.02\}$  within 2s, respectively. Figure 5 shows the norm of parameters estimation of the RBF NN, and system control inputs  $u_1$  and  $u_2$  are presented in Figure 6, which are all bounded. It is clear that the state constraints and prescribed tracking performance are fulfilled, and all the signals in the closed-loop fractional-order system are bounded.

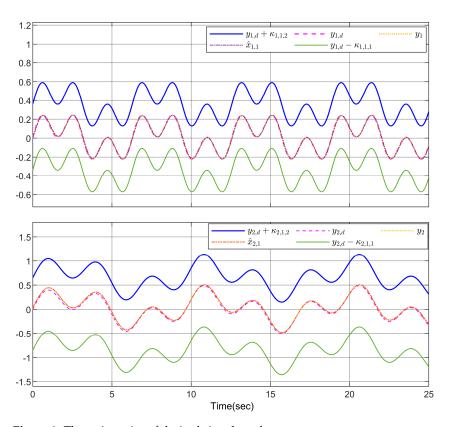
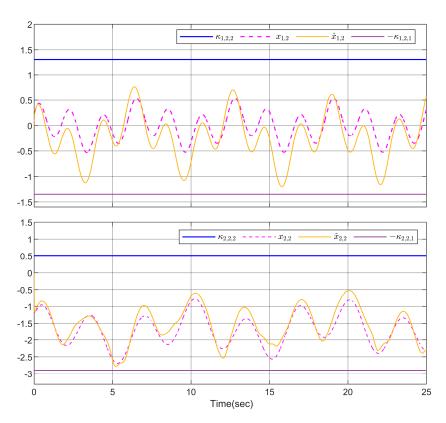


Figure 2. The trajectories of desired signals and system outputs.



**Figure 3.** The trajectories of system states  $x_{1,2}$ ,  $x_{2,2}$  and state estimations  $\hat{x}_{1,2}$ ,  $\hat{x}_{2,2}$ .

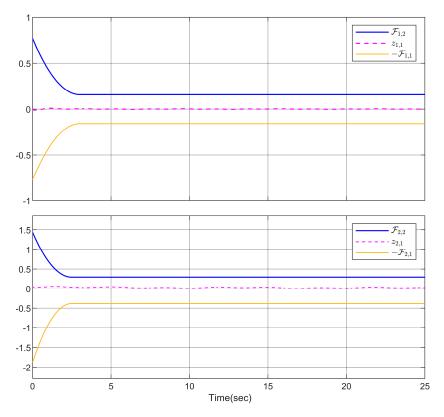
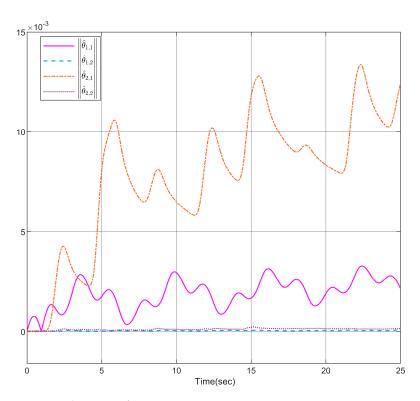
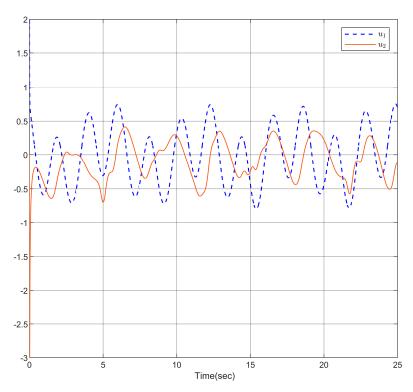


Figure 4. The trajectories of the errors  $z_{1,1}$  and  $z_{2,1}$ .



**Figure 5.** The norm of parameters estimation.



**Figure 6.** The trajectories the control inputs  $u_1$  and  $u_2$ .

6.2. Example 2 (Permanent Magnet Synchronous Motor System)

Consider the fractional-order permanent magnet synchronous motor (PMSM) model as follows [52]:

$$\begin{cases}
\mathcal{D}^{0.91} \varpi = k(i_q - \varpi) \\
\mathcal{D}^{0.91} i_q = -i_q - \varpi i_d + \vartheta \varpi + h_1 u_q \\
\mathcal{D}^{0.91} i_d = -i_d - \varpi i_q + h_2 u_d
\end{cases}$$
(61)

where  $\omega$  represents the angular velocity of the rotor. For d-q axis currents,  $i_d$  and  $i_q$  are variables.  $u_d$  and  $u_q$  denote the voltages.  $\vartheta = 1, k = 4, h_1 = 20$  and  $h_2 = 15$  are parameters.

Define  $x_{1,1} = \omega$ ,  $x_{1,2} = i_q$ ,  $u_1 = u_q$ ,  $x_{2,1} = i_d$ ,  $u_2 = u_d$ , then the PMSM system can be considered as the following two subsystems as:

$$\begin{cases}
\mathcal{D}^{0.91} x_{1,1} = k(x_{1,2} - x_{1,1}) + d_{1,1}(t) \\
\mathcal{D}^{0.91} x_{1,2} = -x_{1,2} - x_{1,1} x_{1,2} + \vartheta x_{1,1} + h_1 u_1 + d_{1,2}(t) \\
y_1 = x_{1,1}
\end{cases} (62)$$

and

$$\begin{cases}
\mathcal{D}^{0.91} x_{2,1} = -x_{2,1} - x_{1,1} x_{2,1} + h_2 u_2 + d_{2,1}(t) \\
y_2 = x_{2,1}
\end{cases}$$
(63)

Choose the parameters as  $\kappa_{1,1,1} = \kappa_{1,1,2} = 0.35$ ,  $\kappa_{1,2,1} = \kappa_{1,2,2} = 1.5$ ,  $\kappa_{2,1,1} = 1.65$ ,  $\kappa_{2,1,2} = 1.85$ .  $K_{1,1} = 181$ ,  $K_{1,2} = 11$ ,  $K_{2,1} = 31$ ,  $b_{1,1} = 15$ ,  $b_{1,2} = 3$ ,  $b_{2,1} = 15$ ,  $r_{1,1} = r_{1,2} = r_{2,1} = 0.001$ ,  $T_1 = T_2 = 0.5$ ,  $\sigma_{1,1} = \sigma_{1,2} = \sigma_{2,1} = 0.002$ ,  $k_a = 0.43$ , and m = 3.5. The outputs track to the desired trajectory  $y_{1,d} = 0.85 \sin(0.6t)$  and  $y_{2,d} = 0.85 \cos(0.6t)$ .

To illustrate the validity of the proposed method, an adaptive backstepping control scheme (ABCS) is used for comparison from [53]. The simulation results are shown in Figures 7–11. Figure 7 presents the trajectories of system outputs, reference signals, and the predefined constraints to show the tracking performance of the closed-loop fractional-order system. It demonstrates that the reference signals  $y_{1,d}$ ,  $y_{2,d}$  could be tracked well by the output signals  $x_{1,1}$ ,  $x_{2,1}$  staying within the predefined constraints, respectively. the trajectories of system states  $x_{1,2}$ ,  $x_{2,2}$  and state estimations  $\hat{x}_{1,2}$  is displayed in Figure 8, respectively. It can be found that  $\hat{x}_{1,2}$  can estimate  $x_{1,2}$ , which are all stay in the predefined constraints all the time. The response curves of the errors  $z_{1,1}$  and  $z_{2,1}$  are shown in Figure 9, which converge to the compact sets  $\Omega_b = \{z_1 | |z_{1,1}| < 0.2\}$  and  $\Omega_c = \{z_{2,1} | |z_1| < 1\}$  within 0.5s, respectively. The norm of parameter estimation of the RBF NN is shown in Figure 10, and system control inputs  $u_1$  and  $u_2$  are presented in Figure 11, which are all bounded.

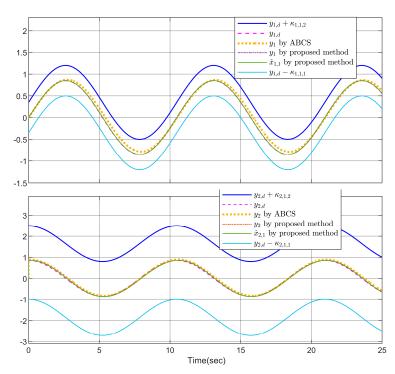
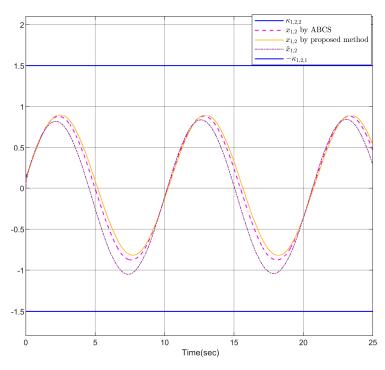
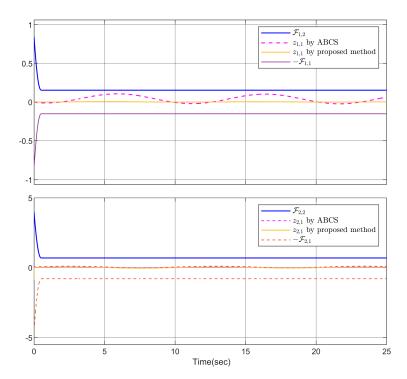


Figure 7. The trajectories of desired signals and system outputs.



**Figure 8.** The trajectories of system state  $x_{1,2}$  and state estimation  $\hat{x}_{1,2}$ .



**Figure 9.** The trajectories of the errors  $z_{1,1}$  and  $z_{2,1}$ .

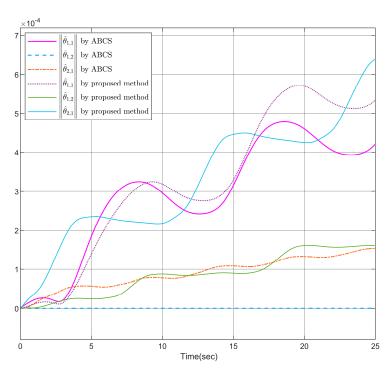
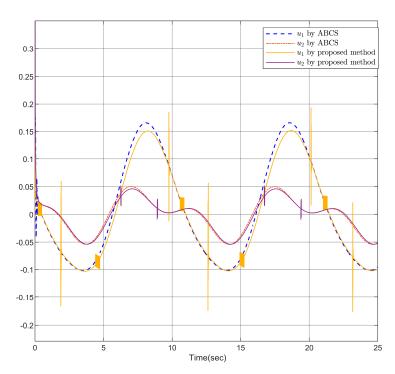


Figure 10. The norm of parameters estimation.



**Figure 11.** The trajectories the control inputs  $u_1$  and  $u_2$ .

The overall tracking error  $OTE = \sqrt{\sum_{k=1}^2 z_{k,1}^2(X)}$  is defined to compare the performance under different external disturbances, where X is the sample index. Table 1 shows the OTE by the proposed method and ABCS. Obviously, the proposed method can have better tracking accuracy compared with ABCS.

Table	1	Port	ormance	comparisons.
IdDIC	1.	1 (11	Ormanicc	companisons.

Disturbances	OTE by ABCS	OTE by Proposed Method
$d_{1,1}(t) = d_{1,2}(t) = d_{2,1}(t) = 0$	0.0526	0.0026
$d_{1,1}(t) = 0.01 \sin(t)$ $d_{1,2}(t) = 0.01 \cos(t)$ $d_{2,1}(t) = 0.01 \sin(1.5t)$	0.1835	0.0139
$d_{1,1}(t) = 0.1 \sin(t)$ $d_{1,2}(t) = 0.1 \cos(t)$ $d_{2,1}(t) = 0.1 \sin(1.5t)$	0.3261	0.0675

Based on the above simulation results, it is clear that the state constraints and prescribed tracking performance are fulfilled, and all the signals in the closed-loop fractionalorder system are bounded by using the proposed method.

### 7. Conclusions

A prescribed performance tracking control scheme is developed for the fractional-order nonlinear MIMO under systems with asymmetric full-state constraints and unmeasurable system states. The NN nonlinear state observer is developed to estimate the unmeasurable states. The asymmetric BLFs with the settling time regulator are presented to deal with asymmetric full-state constraints and achieve the tracking accuracy in the predefined time. The stability analysis for closed-loop fractional-order MIMO system is presented on the basis of the fractional-order stability theory. The simulation examples including fractional-order PMSM system illustrate the validity of the proposed scheme. In the future, the scalability or performance in the fractional-order large-scale systems will be considered and investigated, and the practical applications will be implemented as far as possible.

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