

Article



# Quantifying the Trends in Land Surface Temperature and Surface Urban Heat Island Intensity in Mediterranean Cities in View of Smart Urbanization

# Anastasios Polydoros \* 🕑, Thaleia Mavrakou 🕑 and Constantinos Cartalis

Department of Physics, National and Kapodistrian University of Athens, 157 72 Athens, Greece; thmavrakou@phys.uoa.gr (T.M.); ckartali@phys.uoa.gr (C.C.)

\* Correspondence: apoly@phys.uoa.gr; Tel.: +30-210-727-6843

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**Abstract:** Land Surface Temperature (LST) is a key parameter for the estimation of urban fluxes as well as for the assessment of the presence and strength of the surface urban heat island (SUHI). In an urban environment, LST depends on the way the city has been planned and developed over time. To this end, the estimation of LST needs adequate spatial and temporal data at the urban scale, especially with respect to land cover/land use. The present study is divided in two parts: at first, satellite data from MODIS-Terra 8-day product (MOD11A2) were used for the analysis of an eighteen-year time series (2001–2017) of the LST spatial and temporal distribution in five major cities of the Mediterranean during the summer months. LST trends were retrieved and assessed for their statistical significance. Secondly, LST values and trends for each city were examined in relation to land cover characteristics and patterns in order to define the contribution of urban development and planning on LST; this information is important for the drafting of smart urbanization policies and measures. Results revealed (a) positive LST trends in the urban areas especially during nighttime ranging from +0.412 °K in Marseille to +0.923 °K in Cairo and (b) the SUHI has intensified during the last eighteen years especially during daytime in European Mediterranean cities, such as Rome (+0.332 °K) and Barcelona (+0.307 °K).

Keywords: land surface temperature trends; MODIS-Terra; surface heat island; Mediterranean

# 1. Introduction

Urbanization is among the most evident aspects of human impact on the earth system. In the process of urbanization, natural landscapes are transformed into modern land use and land cover such as buildings, roads and other impervious surfaces, making urban landscapes fragmented and complex and affecting the inhabitability of cities [1–3]. This leads to modifications of the surface energy balance, which governs the momentum, heat and mass transfer between the surface and the atmosphere, thus impacts dynamic processes in the urban boundary layer, and ultimately influences the local, regional and even global climate. The increasing urbanization rate of cities in the coming decades [4] is an important concern as more than 66% of the world's population is expected to reside in cities by 2050 [5–7], the total global urban land area is expected to increase by more than 1.5 million square kilometers by 2030 [8] and climate projections foresee an increase in the frequency and intensity of extreme events relevant to the vulnerability of urban areas, such as heavy rain, storm events and heat waves [4,9]. Moreover, the last reports highlight the Mediterranean as a vulnerable region to the impacts of global warming [4,10] and a review of climatic projections gives a collective picture of a substantial drying and warming of the Mediterranean region, especially in the warm season [11].

Air and surface temperatures are expected to further increase and the urban heat island (UHI) strength to be intensified, negatively influencing the sustainability and liveability of cities [12–17].

Surface urban heat island (SUHI), in particular, describes the land surface temperature (LST) differences between urban areas and their surroundings, and it is usually studied with the use of remote sensing data. The formation of SUHI can be mainly attributed to the increased absorption and trapping of solar radiation in urban areas associated with limited release of heat due to the low values of the thermal emission coefficients of manmade materials. Anthropogenic heat release from transport and the heating-cooling systems of the buildings further exacerbate the phenomenon [18–20]. Both UHI and SUHI can be detected throughout the year, but they are of particular public policy concern during the summer, because higher surface and air temperatures are associated with increases in electricity demand for air conditioning, air pollution, and heat stress-related mortality and illness [21–25]. Several SUHI studies have been performed in the Mediterranean area, most of them revealing that higher UHI intensities are found in the summer period [13,26–30].

Land surface temperature is a controlling factor for most of the physical, chemical and biological processes on the earth, and can be considered as a measure of climate change [31–34]. For the urban environment, LST is an important parameter for the monitoring of the energy exchange between the land surface and the atmosphere in terms of the sensible and latent heat fluxes [35–38] which are important when discussing the thermal effects of the cities on the regional climate. Sensible heat flux is determined by temperature difference between the land surface and the air above it and depends mainly on the LST variation. Therefore, LST is a suitable parameter for the analysis of the thermodynamic processes from the surface to the atmosphere [37]. Additionally, LST is also related to climatic variations caused by thermodynamic forcing, so the research on the variation and the LST trends of the cities is of climatological and meteorological significance. An understanding of LST is important for urban climatology, global environmental change and human-environment interactions [39,40] and the Intergovernmental Panel on Climate Change (IPCC) has pointed out the urgent need for the inclusion of long-term remote sensing-based LST data in global warming studies [37]. LST changes rapidly in space as well as in time [41,42] and it has been found that urbanization increases urban diurnal land surface temperature variation [43,44]; thus, an adequate characterization of LST spatial and temporal distribution requires measurements with detailed spatial and temporal resolution. Understanding the linkage between LST and urban surface characteristics is important for designing effective measures to mitigate the amplitude of SUHI [45]. Moreover, effective and sustainable urban management increasingly demands innovative concepts and techniques to obtain up-to-date and area-wide information on the characteristics and development of the urban system in support of smart urbanization policies and measures [46,47].

Earth observation offers a useful tool to gain an insight of the LST trends and variations within the urban environment. The main advantages of remote sensing are the wide area coverage, the high spatial resolution compared to meteorological ground stations networks and the variety of temporal resolution that can be used depending on the research needs. Various studies have been conducted by utilizing LST data from thermal infrared sensors like AVHRR (Advanced Very High Resolution Radiometer) [48–51], MODIS (Moderate Resolution Imaging Spectral Radiometer) [29,42,52–55], Landsat TM/ETM+/8 [56–60] and ASTER (Advanced Spaceborne Thermal Emission and Reflection Radiometer) [61,62]]. In [63] Benas et al. analyzed the annual nighttime LST trends for 17 large cities of the Mediterranean for the period 2001–2012 and found increasing LST trends in the majority of cities and large variations in SUHI trends. Other researchers have used MODIS LST time series in other parts of the world [64–69]. In this study, summer daytime and nighttime LST data were extracted from MODIS-Terra products for the period 2000–2017 and were analyzed for assessing LST and SUHI trends.

#### 2. Materials and Methods

This study focuses on five major cities—Athens, Rome, Marseille, Barcelona and Cairo—lying around the Mediterranean Sea (Figure 1). The aforementioned cities were selected based on their urban population which is over 1.5 million (Table 1) and their Mediterranean climatic type. Mediterranean winter is characterized by moderate temperatures and variable, rainy weather, while Mediterranean



summer is hot and dry. Although Cairo belongs to another climatic zone, according to Köppen climate classification, due to its geographic location the climate obtains regularly Mediterranean characteristics.

Figure 1. The Mediterranean Sea and the location of the five selected cities.

	Population (m.)	Metropolitan Area (km <sup>2</sup> )	
Athens	3	1130	
Rome	4.3	5352	
Marseille	1.8	3173	
Barcelona	5.35	4206	
Cairo	20.5	1709	

Table 1. Selected cities and their characteristics.

In this research study, MODIS Level 3 8-day LST products were used, available from NASA's Terra satellite (product MOD11A2-Collection 6) [70]. The 8–day mean products are available at a  $1 \times 1$  km spatial resolution and provide LST data for daytime (10:30 local solar time) and nighttime (22:30 local solar time). The MOD11A2 product has been validated and the accuracy was reported better than 1 K under clear sky conditions [70], however higher errors may occur at large viewing angles [71]. LST data used in the study cover the summer months (June to August) for the years 2000 to 2017.

Firstly, the monthly LST values for June, July and August, were computed from the MODIS-Terra 8-day product. Along with the LST data, the number of clear sky days and nights were used for the accurate estimation of the LST averages. In order to ensure the reliability of the monthly LST values, a minimum threshold of twelve clear sky days per month was set. Additionally, the quality assurance data sets were used and only good data quality pixels were selected, which have an average error for emissivity  $\leq 0.01$  and for LST  $\leq 1$  K [70]. Subsequently, the summer average LSTs were calculated for each year.

The 18-years LST trend for each city during day and night, was examined by means of a linear regression analysis as performed at pixel level, using the least squares method. A minimum threshold of fourteen annual summer LST values was set in order to ensure temporal homogeneity in the trend values. In addition, the LST time series were statistically analyzed pixel by pixel by means of the Mann-Kendall test, in order to assess the statistical significance of the trend.

Finally, and in order to assess the SUHI trends, ESA's GlobCover product was used to classify the land cover to urban and non-urban. GlobCover classifies land cover for the year 2009 into 22 categories, using a spatial resolution of about 300 m  $\times$  300 m. The year 2009 is in the middle of the time series and taken that the cities under study have changed limitedly throughout the years we believe it is a good approximation for a fast and reliable methodology. Without doubt a year to year classification would be more accurate and could amend the land cover change impacts. Urban areas were determined from the land cover data and the average urban LST trend was calculated on this basis. According to GlobCover classification, urban areas are defined as the artificial surfaces and the associated areas covering more than 50% of the pixel considered. All the other land cover classes were merged and classified as non-urban and the average non-urban LST trend was also calculated. In Figure 2 the land cover classification to urban and non-urban areas is presented. The above results were converted from LST trend values as given in percentages to LST trend values in Kelvin for the period of eighteen years and the SUHI trend was then estimated by subtracting the average non-urban trend value from the urban one. Using the average values of all non-urban areas to extract the SUHI has some limitations as the non-urban areas will be greatly heterogeneous and very different between the cities. Finally, the extent of each study area was selected so that the number of non-urban pixels to be greater than the number of urban pixels in order to achieve meaningful results.



Figure 2. Cont.



**Figure 2.** Land cover maps depicting the urban and suburban areas of each study area: (**a**) Athens, (**b**) Marseille, (**c**) Barcelona, (**d**) Rome and (**e**) Cairo.

## 3. Results

## 3.1. LST Trends Analysis

LST trend maps were developed for each city from the eighteen years LST time-series. Figures 3 and 4 depict the LST trend maps for the urban pixels of the city of Athens during day and night respectively. Particularly, the majority of the daytime trends of the urban pixels (Figure 3) have positive values, while the highest trend values are found in the urban core, which is characterized by high built-up density. The average LST trend in the last eighteen years is +0.25% in the urban core and +0.06% in the entire urban agglomeration. As the distance from the city center increases, alongside with a decrease in the urban density, the LST trend values decrease reaching slightly negative trend values at the edges of the urban agglomeration.



**Figure 3.** Daytime Land Surface Temperature (LST) trends of the urban pixels of Athens. The solid line indicates the boundary of the urban district, the circle indicates the urban core of the city and the point indicates the pixel selected for time series analysis. (gray: outside study area and non-urban pixels, white: sea).



**Figure 4.** Nighttime LST trends of the urban pixels of Athens. The solid line indicates the boundary of the urban district, the circle indicates the urban core of the city and the point indicates the pixel selected for time series analysis. (gray: outside study area and non-urban pixels, white: sea).

In Figure 4 the nighttime LST trend map is presented for the urban pixels of the city of Athens. In general, during nighttime the spatial distribution of the LST trend values follows a different pattern as compared to the daytime one. In detail, urban core pixels have slightly smaller positive trend values compared to the urban pixels lying at the edges of the urban agglomeration. The aforementioned results can be attributed to the expansion of the city and the accompanied replacement of the natural materials with man-made ones, which have different thermal properties (i.e., heat capacity). In the outskirts of the city the land cover change that has occurred in the past eighteen years is more intense than the urban core which already had high urban densities back in 2000.

The daytime LST trend patterns of the other cities follow the pattern of Athens, as a clear connection between urban density and LST trend values is apparent (Figure 5a,c,e,g). The urban cores of Marseille, Barcelona and Rome exhibit the highest positive LST trend values reaching +0.3%, although the average urban LST trends have slightly negative values, due to the many urban pixels in the outskirts of the city characterized by different built-up densities than the urban core of the city. In Figure 6 the time series of one pixel inside the urban core of each city are presented. The selected pixels are located in the indicated urban core areas (see Figures 3 and 5) and correspond to high positive daytime trends of the urban core.

Similar to Athens, the nighttime LST trend values of the other cities were found to have higher positive values than the daytime LST trend values (Figure 5b,d,f,h). In addition, the urban areas in the outskirt of these cities exhibit higher LST trends than the urban core of the cities following the nighttime pattern of Athens. The highest nighttime LST trends were found in Cairo where the average urban LST trend is +0.3%, with maximum trend values reaching +0.5%.

The Mann-Kendall test was performed for all cities under study on a pixel by pixel basis in order to assess the statistical significance of the results. The higher percentages of the statistically significant pixels (90% confidence level) were found during nighttime in Rome and in Cairo reaching 60% and 93% of the urban pixels respectively (Figure 7). In all other cases the majority of the LST trend values of the urban pixels were not significant at the 90% confidence level, especially at daytime. In the case of Marseille significant trends were not found at all. However, as a general remark most of the significant trend values were found in the outskirts of the cities. There, the possibility that a significant land cover change has occurred is greater, connecting the urban expansion with the significant positive LST trend values.



**Figure 5.** LST trend values of the urban pixels of (a) Marseille daytime; (b) Marseille nighttime; (c) Barcelona daytime; (d) Barcelona nighttime; (e) Rome daytime; (f) Rome nighttime; (g) Cairo daytime and (h) Cairo nighttime The solid line indicates the boundary of the urban district, the circle indicates the urban core of the city and the point indicates the pixel selected for time series analysis. (gray: outside study area and non-urban pixels, white: sea).



**Figure 6.** Time series of daytime and nighttime LSTs. The LSTs correspond to one selected pixel per city that it is located at the urban core and exhibits high positive LST trend as it can be seen in Figures 3 and 5.

## 3.2. Surface Urban Heat Island Analysis

In order to assess the surface heat island phenomenon, LST trends in percentages (% per eighteen years) were converted to LST trends in Kelvin for a period of eighteen years using 2000 data as reference. The LST values were estimated on a pixel by pixel basis and the results for the city of Athens are presented in Figures 8–11.

Daytime LST trend values of Athens reach +0.8 °K in the urban core (Figure 8) and then decrease gradually towards the outskirts of the city, resulting in an average urban LST trend of +0.167 °K. The non-urban areas exhibit a wide range of LST trend values, as expected, due to the various land covers that characterize the non-urban class and the accompanied changes that can be numerous (Figure 9). The average LST trend in the non-urban areas is slightly negative (-0.046 °K).



**Figure 7.** Significant and non-significant urban pixels of (**a**) Athens daytime, (**b**) Athens nighttime, (**c**) Barcelona daytime, (**d**) Barcelona nighttime, (**e**) Rome daytime, (**f**) Rome nighttime, (**g**) Cairo daytime and (**h**) Cairo nighttime. The solid line indicates the boundary of the urban district, the circle indicates the urban core of the city and the point indicates the pixel selected for time series analysis. (gray: outside study area and non-urban pixels, white: sea).



Figure 8. LST trend values (in °K) of the urban pixels of Athens (Daytime).



Figure 9. LST trend values (in °K) of the non-urban pixels of Athens (Daytime).

At nighttime, urban areas of Athens (Figure 10) exhibit an average positive LST trend of +0.52 °K, per eighteen years. Contrary to daytime, non-urban areas during nighttime have only positive LST trend values. The average non-urban LST trend (Figure 11) is similar to the urban one (+0.53 °K).

For the examination of the SUHI trends the average LST trend of the non-urban areas was subtracted from the LST trend of the urban areas. The SUHI results for the five cities under study are presented in Table 2 along with the average urban and non-urban LST trend values in Kelvin. Results reveal positive SUHI trend values during daytime for the majority of the cities and minor changes of SUHI during nighttime. In particular, during the last eighteen years in Marseille, SUHI has intensified by +0.605 °K in daytime, which is the maximum SUHI trend value observed. SUHI has weakened only in one city, in Cairo, by -0.3 °K. SUHI in the other cities appears to have been strengthened at least by +0.2 °K. At nighttime the SUHI of Cairo has weakened by -0.109 °K unlike the other cities, where the SUHI change is considerable limited. It must be noted that the standard deviations are very large in every case as a consequence of the low statistical significance of the LST trends.



Figure 10. LST trend values (in  $^\circ K)$  of the urban pixels of Athens (Nighttime).



Figure 11. LST trend values (in  $^\circ\text{K}$ ) of the non-urban pixels of Athens (Nighttime).

**Table 2.** Average urban, non-urban LST and Surface Urban Heat Island (SUHI) trend values in Kelvin and standard deviations in parenthesis.

	Daytime			Nighttime		
	Urban	Non-urban	SUHI	Urban	Non-urban	SUHI
Athens	0.167	-0.046	0.213	0.521	0.536	-0.015
	(0.489)	(0.550)	(0.736)	(0.193)	(0.204)	(0.281)
Rome	-0.421	-0.753	0.332	0.723	0.672	0.051
	(0.530)	(0.493)	(0.724)	(0.170)	(0.232)	(0.287)
Marseille	-0.149	-1.154	0.605	0.412	0.418	-0.006
	(0.480)	(0.613)	(0.778)	(0.169)	(0.168)	(0.238)
Barcelona	-0.404	-0.711	0.307	0.459	0.435	0.024
	(0.557)	(0.590)	(0.811)	(0.233)	(0.237)	(0.332)
Cairo	-0.034	0.262	-0.296	0.923	1.032	-0.109
	(0.558)	(0.857)	(1.02)	(0.360)	(0.395)	(0.534)

#### 4. Discussion

Assessing the summer LST trends of the period 2000–2017 in five major cities of the Mediterranean provided a clear evidence of positive LST trends during nighttime in the urban areas of these cities. These results are consisted with [63] who found positive annual nighttime LST trends in big cities across the Mediterranean region for the period 2001–2012. The LST change in the past eighteen years varies from +0.412 °K in Marseille to +0.923 °K in Cairo. Again, these results are consistent with [63] who found that Cairo exhibited the highest annual nighttime LST trends in the Mediterranean region and Marseille the lowest. These positive LST trends highlight the need of increased awareness regarding urban climate adaptation and mitigation plans.

The summer daytime LST present both positive and negative trends, although their spatial distribution suggests that positive trends are found in the urban core of these cities. The large standard deviation values reflect the large spatial variability of the LST trends of the urban areas, probably due to the low accuracy of the Globcover product in mapping urban areas especially in Europe as [72] reported. In addition, the inherent characteristic of the Globcover product to define as urban the artificial surfaces including all non-vegetative and human-constructed facilities that cover greater than 50% of a given landscape unit leads to heterogeneous urban pixels which in turn leads to large spatial variability especially during daytime. In [73–75] it is demonstrated that land cover composition and configuration greatly affects the magnitude of LST and that LST differs according to different landscape types, and the proportion of landscape types is the most significant factor affecting LST.

The vast majority of the LST trends were not statistical significant at the 90% level in consistency with [63] who found very few significant nighttime LST trends in the Mediterranean cities and with [65] who found non-statistical significant maximum and minimum LST trends around the globe. Contrary in [68], statistical significant negative LST trends at the 95% confidence level were found in the wider Athens area. In the latter study however the MOD11A2 LST data were upscaled to a 10km x 10km spatial resolution.

Nighttime SUHI present both positive and negative trends with low absolute values and these results are in line with [63] who found negative nighttime SUHI trends for Cairo and Marseille and positive nighttime SUHI trends for Rome and Barcelona. For the case of Athens, [63] found a negligible positive trend (0.02 °K per decade) contrary to our results. The daytime SUHI has positive trends in the European cities under study but negative trends are exhibited in Cairo. Unfortunately, no similar study was found in the literature to compare the results of the daytime SUHI trends, especially considering that the large standard deviations of these trends weaken their significance.

Further study of LST trends and SUHI focusing to more homogeneous urban areas should be considered. It is well known that land cover changes in urban areas affect LST over time [76,77] so additional research should be carried out by utilizing land cover change maps [78]. Nevertheless, these results provides a baseline for further research whereas the study has indicated how a relatively fast and straightforward LST analysis, using readily available satellite imagery, can assist in the SUHI assessment and urban planning, providing focus for subsequent more intensive measurement and analysis to support policy development and investment, especially in view of smart urbanization.

## 5. Conclusions

Summer LST and SUHI trends were calculated for five major Mediterranean cities for the period 2000–2017, using the MODIS 8-day product MOD11A2. LST and land cover data were used in order to assess the LST trends in urban and non-urban areas of these cities and to examine the SUHI intensity trend for the last eighteen years. The 2000–2017 time-series analysis found positive daytime LST trends for the majority of the cities under study, with the highest values found at the urban core of the cities, and positive nighttime LST trends in all cities. SUHI trends exhibit large variations, for daytime an increasing but not statistical significant SUHI trend was found for all European cities but not for Cairo. At nighttime, the SUHI trends are considerably limited with both positive and negative trends found.

for monitoring the LST dynamics and trends, as MODIS obtains the longest time series of consistent LST data covering wide regions of the globe. Finally, further research should focus on the accurate depiction of homogeneous urban areas in order to decrease the variability of the LST trends.

**Author Contributions:** All authors designed the methodology. Anastasios Polydoros and Thaleia Mavrakou implemented the methodology and performed the necessary statistical analysis; all authors contributed to the analysis of the data and to the drafting of the paper.

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