



Fermented Black Tea and Its Relationship with Gut Microbiota and Obesity: A Mini Review

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Abstract: Fermentation is one of the world's oldest techniques for food preservation, nutrient enhancement, and alcohol manufacturing. During fermentation, carbohydrates such as glucose and starch are converted into other molecules, such as alcohol and acid, anaerobically through enzymatic action while generating energy for the microorganism or cells involved. Black tea is among the most popular fermented beverages; it is made from the dried tea leaves of the evergreen shrub plant known as *Camellia sinensis*. The adequate consumption of black tea is beneficial to health as it contains high levels of flavanols, also known as catechins, which act as effective antioxidants and are responsible for protecting the body against the development of illnesses, such as inflammation, diabetes, hypertension, cancer, and obesity. The prevalence of obesity is a severe public health concern associated with the incidence of various serious diseases and is now increasing, including in Malaysia. Advances in 'omic' research have allowed researchers to identify the pivotal role of the gut microbiota in the development of obesity. This review explores fermented black tea and its correlation with the regulation of the gut microbiota and obesity.

Keywords: fermentation; fermented black tea; gut microbiota; obesity

1. Introduction

The obesity epidemic has become a severe health problem in Malaysia and many other countries around the globe [1–5]. The prevalence of obesity is rising at an alarming rate worldwide, raising mortality and reducing quality of life [6,7]. Obesity is projected to affect around fifty percent of the world population by 2030, and Malaysia was reported to have the highest obese population (15%) among Asian countries in 2019 [8–10]. Research has focused on foods containing natural substances, as they cause fewer side effects; hence, they are increasingly utilized due to their health benefits [11]. Studies on fermented products have become the fastest-growing ventures, among other functional foods, due to increased consumer awareness of their multitude of beneficial effects on health [12–14]. The consumption of fermented-tea beverages is gaining popularity due to their probiotic nature and purported health benefits in many countries, including Malaysia [15–19]. Previous studies have reported several bioactivities of fermented black tea, including anti-oxidant, antimicrobial, anti-cancer, anti-diabetic, and anti-lipidemic properties [20–24]. The metabolites produced by microorganisms during fermentation are responsible for their sour taste and other bio-properties [25–28]. Recent progress in molecular biology, including the advent of platforms for next-generation sequencings, such as metagenomics or amplicon sequencing, has allowed the microbial consortium to be characterized, in turn allowing researchers to elucidate the connection between microbial population and obesity [29,30]. In his review, the relationship between fermented black tea and the regulation of the gut microbiota in obesity is discussed.



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2. Fermentation

The fermentation process was found thousands of years ago and has been extensively practiced for its benefits in food preservation, nutrient enhancement, and alcohol manufacturing [31,32]. Traces of mixed fermentation in the form of an alcoholic beverages prepared from rice, fruits, and honey between 7000 and 6600 BC was found in pottery jars from the early Neolithic town of Jiahu, China, and was declared the earliest archaeological evidence of fermentation to have been discovered [33–35]. This makes fermented beverages, such as vinegar and wine, among the oldest fermented foods consumed by people [36,37]. Kimchi, for example, is a popular fermented food among Koreans and has become popular in other countries worldwide [38–40]. On the other hand, pickled cucumber is used not only when preparing burgers and sandwiches by Westerners, but also as a side dish in Asian countries [41,42]. Due to their distinct flavor and aroma, fermented foods and beverages become some of the first processed foods to be consumed by humans [31,43–45]. A brief timeline of fermentation's history, from the earliest archaeological evidence of beverage fermentation until the introduction of pasteurization, is illustrated in Figure 1.

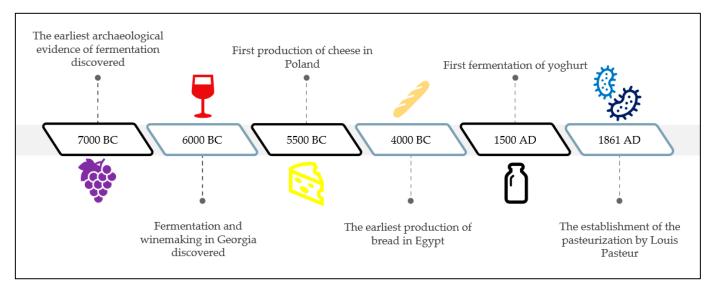


Figure 1. A brief timeline of fermentation history.

During fermentation, carbohydrates, such as glucose and starch, are converted into other molecules, such as alcohol and acid, anaerobically through enzymatic action, while generating energy for the microorganism or cells involved [15,46]. Evidence was found in ancient organics from the pottery jars used for fermentation and winemaking in Georgia in 6000 BC [34,47]. Due to the colonization of the Mediterranean by the Romans, winemaking spread throughout other regions, such as Asia. In the late nineteenth century, Louis Pasteur, a French microbiologist, discovered that living microbes were responsible for souring alcohol during the fermentation process, leading to the establishment of the pasteurization technique, which involves the heating and cooling of liquids to kill microbes and prevent spoiling [48,49]. Pasteur was among the pioneering researchers in food preservation, who believed that the bacteria formed from microscopic inoculums were not generated spontaneously. His theory was later supported by Eduard Buchner, who discovered zymase, a mixture of enzymes produced by yeast during fermentation [49]. This discovery led Eduard Buchner to receive a Nobel Prize in chemistry in 1907 [50]. Table 1 summarizes the changes in the nutritional values of fermented products over the last ten years across the world.

Source	Fermented Product	Country of Origin	Changes in Nutritional Values during Fermentation	Reference
Bamboo shoot	Bamboo shoot biscuits	India	The cyanogen content in bamboo shoots decreased up to 86.59% after 24 h	[51]
	Khorisa	India	A significant decrease in fat, protein, carbohydrate, and vitamin C contents was observed in the fermented shoot	[52]
Cornelian cherry	Tarhana	Turkey	The total-dietary-fiber content was increased significantly after fermentation; however, total sugar, vitamin C, and anthocyanin contents decreased significantly after fermentation	[53]
Grain and milk	Kefir	North Caucasian	A significant increase in protein and saturated-fatty-acid contents and a significant decrease in monounsaturated-fatty-acid content were recorded after fermentation	[54]
Maize	Akamu	Nigeria	The concentrations of protein and total reducing sugar were increased by 5.7% and 12.3%, respectively, whereas starch concentration decreased by 30.7% after 72 h	[55]
	Doklu	Côte d'Ivoire	Most nutritional values (protein, fatty matters carbohydrate, and total sugars) of doklu decreased after fermentation; however, it increased in acidity, which is essential to ensure food safety	[56]
Mare milk	Koumiss	Mongolia	A significant increase in lactic-acid and amino-acid contents and a gradual decrease in lactose content were recorded along with fermentation time	[57]
Peanut	Black oncom	Indonesia	A significant decrease in carbohydrate, total fat, ash, crude protein, and energy were observed on a wet basis. Meanwhile, a substantial increase in total fat, crude protein, protein digestibility, water content, and crude fiber contents was observed on a dry basis.	[58]
Pearl millet	Pearl millet flour	Africa and India	The contents of carbohydrates, crude fiber, crude protein, and energy increased significantly after fermentation; however, ash, crude fat, and moisture contents decreased significantly after fermentation	[59]
Quinoa seed Cereals		Peru and Bolivia	The contents of protein, carbohydrate, ash, free amino acid, vitamin B1, and vitamin B2 were increased by 20.62%, 4%, 7.72%, 1034.54%, 56.76%, and 50%, respectively, whereas fat and dietary-fiber concentrations decreased by 52.05% and 45.87%, respectively, after 24 h	[60]
Red pepper	Gochujang	Korea	An increase in acidity but a decrease in salt and reduced sugar contents after fermentation	[61]
Rice	Bhaati jaanr	India	A gradual increase in sodium, calcium, magnesium, manganese, and ferrous contents was recorded up to day 3 and day 4 of fermentation	[62]
	Dosa (Rice and black gram dal)	India	A decrease in starch, total soluble, reducing, and non-reducing sugars contents was recorded, whereas soluble proteins and total free-amino-acid contents were increased after fermentation	[63]

Table 1. Changes in nutritional values in fermented products.

Source	Fermented Product	Country of Origin	Changes in Nutritional Values during Fermentation	Reference
	Soy yogurt	United States	The contents of moisture, lactose, and fat were decreased; however, protein content increased significantly after fermentation	[64]
		United States	The contents of protein, fat, ash, and carbohydrate increased slightly after fermentation, while moisture value decreased	[65]
Soybean	Soybean meal	China	An increase in crude protein, soluble protein, arginine, serine, threonine, aspartic acid, alanine, and glycine contents was observed, while a decrease in trypsin inhibitor and proline contents was observed after 72 h	[66]
	Tempeh	Indonesia	A considerable increase in crude protein, amino nitrogen, and vitamin B9 concentrations was observed, while a low content of vitamin B12 was detected only after fermentation	[67]
	Whole soybean flour	China	The contents of total protein, vitamin B1, vitamin B2, β - carotene, and total essential amino acids were increased by 14.45%, 26.5%, 192.3%, 92.37%, and 10.25%, respectively, after 72 h	[68]
Tea leaves	Cha-miang	Thailand	A significant increase in energy, sodium, potassium, iron, and zinc contents was recorded, while calcium and vitamins (B1, B2, B3, and C) decreased after fermentation	[69]
	Kombucha	China	The contents of total titrable acid and total flavonoid increased with fermentation time	[70]

Table 1. Cont.

3. Black Tea

Tea is an excellent alternative to energy drinks and coffee. Even though tea and coffee have multiple health benefits in common, such their caffeine and antioxidant contents [71,72], excessive coffee drinking (daily intake \geq 400 mg for adults (4–5 cups of coffee) and \geq 3 mg/kg for children [73]) may contribute to various adverse effects, such as headache [74], insomnia [75–82] and arrhythmia [75,82–85] due to caffeinism [76,82,86]. Compared to tea, coffee contains a higher concentration of caffeine, an energy-boosting psychostimulant. Studies showed that caffeine is widely used to promote alertness by increasing dopamine signaling in the brain [82], primarily via blocking adenosine [87–90], a known vasodilator and sleep-promoting receptor [91,92].

Tea is rich in natural bioactive compounds, such as flavonoids, methylxanthines, carbohydrates, and amino acids, which possess various health benefits [93-95]. Among these bioactive compounds, a high total content of flavonoids, a group of hydroxylated phenolic compounds found in different plants, including vegetables and fruits, was detected in tea [93]. In recent decades, flavonoids have become essential components in nutraceuticals [96–99]. They have been associated with human daily diet and health due to their therapeutic properties, such as antioxidants and anti-diabetic, anti-hypertensive, anti-cancer, and anti-inflammatory actions [72,73,79,80]. Flavonoids can be classified into subclasses based on their side-group position and substitutions, such as flavanols, flavonols, and flavanones [97,100–103]. Flavanols, also known as catechins, are the most abundant and vital constituents in black tea because the oxidation of catechins forms theaflavins and thearubigins, which are excellent antioxidative agents [103,104]. These antioxidants possess free-radical-scavenging properties; they can disrupt the oxidation reaction that causes oxidative stress in cells by donating an electron to the free radicals to form more stable phenoxyl radicals [72,73,86,87]. Oxidative stress is a hazardous process that occurs when free radicals are produced beyond the cell's ability to eliminate them [105,106]. According to various studies, including clinical evidence, excessive oxidative stress can damage DNA, proteins, lipid, and membranes, leading to various disorders, such as diabetes, cardiovascular disease, and neurodegenerative diseases, such as Alzheimer's and Parkinson's [105–108]. Antioxidants can scavenge and neutralize free radicals, thus reducing oxidative stress and assisting in the recovery process [89,90,92]. A previous study showed that theaflavins are the most effective antioxidants in black tea because they have a unique benzotropolone moiety that provides antioxidant protection to the favored oxidation site for electron donation [109–113], followed by catechins and thearubigins [114]. This finding was supported by He [115], who found that the free-radical scavenging activities in theaflavins are greater than in epigallocatechin gallate (EGCG), one of the most potent antiradical compounds found in foods. This circumstance is due to the presence of hydroxyl groups in theaflavins, which are essential for their radical-scavenging activities [116]. Theaflavins also showed anti-inflammatory properties by modulating the signal transducer and activating the NRF2 signaling pathway in vitro and in vivo, which is crucial for increasing the antioxidant defense [93,114,115,117–121]. Based on a systematic data-mining approach, Beresniak et al. [122] discovered that high black tea consumption was significantly associated with low diabetes prevalence; a single dose of black tea reduced peripheral vascular resistance, as well as the insulin response to the glucose load in both the upper and the lower extremities, in the 50 participating countries involved in the study. Hence, it can be concluded that flavonoids are the most vital compounds in black tea due to their crucial role in the bioactivities of black tea. Nevertheless, flavonoids' biological activities might vary depending on their type, mode of action, and bioavailability [123].

Fermentation of Black Tea

Tea is made from the processed dried tea leaves of the evergreen shrub plant known as Camellia sinensis, a member of the Theaceae family, and is the world's second most-consumed beverage after water [124–127]. C. sinensis is a native plant in Southeast Asia, specifically China, Myanmar, Laos, and Vietnam. According to Wang [128], tea was fortuitously discovered in 2737 BC by Shen Nung, an emperor of China, after he was poisoned. The efficacious use of tea to treat poisoning made tea a precious medicine during that era. However, the earliest physical evidence of tea consumption was found in tombs dating back to 207 BC [128,129]. Even with its extraordinary benefits, tea only gained in popularity and was recognized as a national beverage in China in 618 AD. Due to its benefits, tea spread and grew commercially worldwide [124–126,130]. Different types of tea are available commercially, such as black, green, and oolong tea. Although all kinds of tea are prepared from the same plant, different processing procedures and fermentation degrees produce various tea types [94,124]. Among these teas, only black tea is fully fermented and has the most significant production levels globally, accounting for 70% of total global tea production, followed by unfermented green tea, which accounts for 28%, and partially fermented oolong tea, which accounts for 2% [79,107,108,110].

The fermentation of sugared black tea by a tea fungus, a symbiotic relationship between *Acetobacteria* and osmophilic yeasts, produces a healthy beverage called kombucha, as illustrated in Figure 2 [131–133]. Kombucha originated in China and has been consumed since 220 BC. The name "Kombucha" is derived from a Korean physician named Kombu, who brought tea fungus to Japan in 414 AD to treat Emperor Inkyo, who was suffering from digestive problems [131–134]. Currently, kombucha is produced traditionally in many households worldwide, including Malaysia, and its consumption is widespread, principally in Korea, China, Europe, and the United States, because of its refreshing taste and beneficial effects on human health [131,133]. Tea and kombucha originated in China, as illustrated in Figure 3.

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Figure 2. Laboratory-fermented black tea (kombucha).

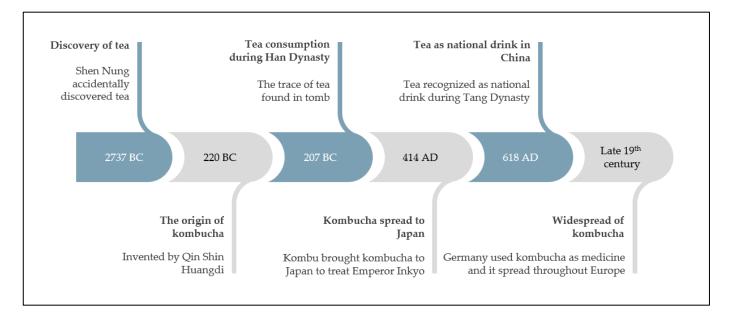


Figure 3. A brief timeline of tea and kombucha history.

As the fermentation time increases, kombucha becomes mature, and the level of tartness of kombucha also changes throughout the process [32,135–137]. Kombucha's flavor transforms from a refreshingly sour, mildly bubbling flavor to a mild vinegar-like taste throughout its fermentation due to the bacterial production of organic acid during alcohol conversion [26,138–141]. Even though kombucha is usually fermented for 7 to 21 days, it is recommended to limit its incubation time to 14 days as extended incubation times will increase the tartness and sourness of kombucha [142–145]. Kombucha contains a broad spectrum of microbial populations, including yeast (*Zygosaccharomyces, Brettanomyces, Saccharomyces*, and *Pichia*), acetic-acid bacteria (*Komagataeibacter, Gluconobacter*, and *Acetobacter*), and lactic-acid bacteria (*Lactobacillus, Lactococcus*, and *Oenococcu*). Table 2 summarizes the microbial population of kombucha throughout the world.

Country of Origin	Presence in Kombucha	Fermentation Period	Yeast	Bacteria	Reference
	Solution	3 days	Zygosaccharomyces	Komagataeibacter Lactobacillus Lactococcus	
Canada		10 days	Zygosaccharomyces	Komagataeibacter Lactobacillus	[146]
	Pellicle	10 days	Zygosaccharomyces Pichia Leucosporidiella	Komagataeibacter Lactobacillus Lactococcus	
France	Solution	14 days	Brettanomyces bruxellensis Hanseniaspora valbyensis Saccharomyces cerevisiae Brettanomyces bruxellensis	Acetobacter indonesiensis Acetobacter papayae Komagataeibacter saccharivorans	
	Pellicle	14 days	Hanseniaspora valbyensis Saccharomyces cerevisiae Hanseniaspora opuntiae Pichia fermentans Galactomyces geotrichum	Acetobacter indonesiensis Acetobacter papaya Komagataeibacter saccharivorans	[141]
	Solution	3 days	Zygosaccharomyces	Komagataeibacter Lactobacillus Lactococcus	[146]
		10 days	Zygosaccharomyces	Komagataeibacter Lactobacillus Thermus Komagataeibacter	
	Pellicle	10 days	Zygosaccharomyces	Lactobacillus Lactococcus Acetobacter	
Korea	Solution	21 days	-	Komagataeibacter hansenii Gluconobacter oxydans Oenococcus oeni Lactobacillus	[147]
North America	Pellicle	7 days	Brettanomyces Zygosaccharomyces	Komagataeibacter Lactobacillus	[148]
United Kingdom	Solution	3 days	-	Komagataeibacter Lactobacillus Komagataeibacter	
		10 days	-	Thermus Lactobacillus Komagataeibacter	[146]
	Pellicle	10 days	-	Lactobacillus Lactococcus	
United States	Solution	3 and 10 days	-	Komagataeibacter Lactobacillus	
	Pellicle	10 days	-	Komagataeibacter Bacillus coagulans	[140]
	Solution	-	Brettanomyces Cyberlindnera jadinii Trigonopsis variabilis Issatchenkia orientalis	Komagataeibacter liquefaciens Lactobacillus nagelii Lactobacillus mali Gluconobacter	[149]
Unknown	Solution	0 day	-	Kluyvera Komagataeibacter Enterobacter Komegataeibacter	
		2, 4, and 8 days	-	Komagataeibacter Gluconobacter Enterobacter	[150]
	Pellicle	0 day	-	Enterobacter Komagataeibacter	
		2, 4, and 8 days	-	Komagataeibacter	

Table 2. Microbial population in kombucha.

It has been proven that the consumption of black tea offers numerous benefits in terms of health; however, the excessive consumption of black tea may lead to indigestion due to the high concentration of tannins in black tea [151]. Tannins are excellent microbial inhibitors that could suppress lactic-acid bacteria and certain fungal activity; however, this effect may soon wear off with prolonged fermentation [152,153]. This issue, however, can be resolved by modifying its properties using the fermentation process, which can enhance its nutritional values at the same time [154]. A study by Chupeerach et al. [69] showed that fermentation considerably affects nutritional- and bioactive-component concentrations, which affects the properties of tea. On the other hand, Jolvis [94] showed that, during fermentation, tea leaves undergo an enzymatic oxidation process, in which the enzymes and chemical constituents of the leaves react with oxygen to form oxidized polyphenolic compounds. This process causes the total tannin content in the tea to gradually decrease with time during fermentation due to the action of the polyphenol oxidase enzyme, which oxidizes phenolics as they diffuse through cellular fluid [154,155].

In addition to tannin, black tea also contains abundant catechin, which acts as an effective antioxidant and is responsible for protecting the body against the development of illnesses [118]. Increases in these vitamins are favorable and beneficial for sustaining and maintaining good health [156–160]. In addition, fermentation is also shown to increase other nutrients, such as carbohydrates, fat, sodium, potassium, and minerals [69]. A similar finding was reported by Unban et al. [161], who showed increases in carbohydrate and fat contents in fermented tea compared to freshly made tea. According to Patel et al. [162], lipase activity by microorganisms breaks down lipid compounds, such as triglycerides, into fatty acids and glycerols through lipolysis, thus increasing the fat content in fermented tea. Due to high carbohydrate and fat contents, significantly higher energy was detected in fermented black tea than in fresh tea leaves [69].

On the other hand, the increase in sodium and potassium concentrations during fermentation may be related to the breakdown of covalent bonds in mineral–food-matrix complexes, which results in the improved bioavailability of the nutrients [69,163]. Furthermore, the fermentation of black tea was also shown to elevate mineral contents, such as iron and zinc, as a result of the metabolic activity of the microorganism [118,164]. However, their presence and nutritional value in different fermented black teas may vary, depending on the symbiotic culture employed, time and temperature of fermentation, sugar level, type of tea, and analysis methods used during the fermentation process [118].

4. Fermented Black Tea, Gut Microbiota, and Obesity

The prevalence of obesity, which is now an increasing trend, has become an epidemic globally, including in Malaysia [3,165–168]. Its association with the incidence of various serious diseases and health conditions, such as hypertension, heart disease, Type 2 diabetes, non-alcoholic fatty liver disease, and non-alcoholic steatohepatitis has been a significant issue for decades [20,169]. Obesity remains a serious public health concern that needs innovative nutritional and medicinal treatments, although various treatments for managing massive weight gain are currently practice. According to Ruiz Estrada et al. [167], obesity in Malaysia is rapidly increasing due to factors such as the high consumption of fast food and sugary soft drinks, long hours of sitting, poor national sports motivation, low water consumption, the high consumption of vitamins, and the dietary imbalance between the calories and carbohydrates consumed daily among Malaysians. Animal and human studies have shown compelling shreds of evidence on the significant role of the gut microbiota in the development of obesity [170]. This finding was supported by Aoun et al. [165] in a review involving animals and obese adult subjects, which found that a high-fat diet might trigger alterations in the gut microbiome's structures and functions in the host gut. It is well known that in addition to being responsible for absorbing, storing, and digesting nutrients, the gut microbiota also helps maintain metabolic homeostasis, increasing the host's immunity and gut barriers in humans [166,171]. Furthermore, John and Mullin [170] also suggested that preventing obesity and metabolic syndromes is possible with healthy

gut-microbiota composition. Ironically, an unhealthy diet can result in gut dysbiosis, which could encourage the proliferation of the pathogenic microorganisms associated with chronic inflammation, contributing significantly to the pathogenesis of chronic metabolic and intestinal disorders, including obesity [165,172].

As one of the well-known products of fermented black tea, kombucha consumption has been proven to elevate the defense mechanism against pathogens. This is because, in addition to polyphenol compounds, which can be naturally found in plant products, the probiotics in kombucha produce a variety of organic acids, such as acetic acid and lactic acid, which possess antimicrobial and antioxidant properties [32,118,131–133,173,174]. This finding was supported by Jung et al. [20], according to whom a significant drop in *Allobaculum* and *Turicibacter*, two pathogens associated with non-alcoholic fatty liver disease (NAFLD), was observed in kombucha treatment. Furthermore, the *Clostridium* genus is associated with obesity, NAFLD, and non-alcoholic steatohepatitis (NASH) due to its ability to increase sugar and fat absorption; the *Mucispirillum* genus, which is a pro-inflammatory bacterium, was also revealed to decline after kombucha consumption [20,175]. By contrast, the kombucha-treatment group recorded a significant increase in beneficial probiotic bacteria, such as Lactobacillus, which possess anti-inflammatory properties [176,177].

The gut microbiota is a diverse community of microorganisms composed of various anaerobic bacteria, eukarya, and archaea, which inhabit the gastrointestinal tract through diet [178,179]. Over millennia, the gut microbiota and the host have co-evolved, resulting in a sophisticated and mutually beneficial interaction between them [20,180]. Previous studies revealed that the gut microbiota from Bacteroidetes, Firmicutes, and Actinobacteria phyla are crucial for sustaining immunological and metabolic homeostasis and defense pathogens [181,182]. These findings were supported by Baümler and Sperandio [183] and Gensollen et al. [184], who showed how the gut microbiota protects the gastrointestinal tract by providing resistance to pathogenic bacteria and fungi and regulating host immunity. Nevertheless, there is also a report on the pathogenesis of the gut microbiota. For example, a study showed that the occurrence of dysbiosis, in which the balance of the gut microbiota is disrupted and the number of pathobionts12 increases, resulting in infection and various inflammatory diseases, such as obesity, diabetes type 2, and fatty-liver disease [20,148,153]. A recent study by Costa et al. [185] postulated that gut dysbiosis could be treated or reduced by consuming fermented black tea. They also found that kombucha consumption aids in controlling and treating obesity and its associated complications and modulating the gut microbiota in vivo. The probiotic bacteria in kombucha, such as Lactobacillus and Bifidobacterium, help promote the proliferation of good microbes in the gastrointestinal tract to compete with the pathogenic microbes for nutrients and binding sites of the host cell [186]. Probiotic bacteria, which possess antimicrobial properties and contain high short-chain fatty acids (SCFAs) and other metabolites, strengthen the immune system and aid in balancing the human microbiota [186].

5. Conclusions

This mini review examined the benefits of adequate kombucha consumption in preventing and treating obesity. We highlighted the crucial role of the metabolites produced by microorganisms during the fermentation process in promoting beneficial microbes' growth and inhibiting pathogenic-gut-microbes' growth in the digestive system. Indeed, the bioactive compounds present in kombucha, such as catechins, can protect the body against various illnesses. Based on the evidence, it can be concluded that the consumption of kombucha can promote a healthy human gut due to its antimicrobial properties against enteric pathogens. However, pre-clinical and clinical research supporting fermented black tea's effect on obesity and the gut is still lacking.

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