



Article An Empirical Research of the Mechanism from Electric Vehicle Production to Charging Station R&D in China

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Abstract: Electric vehicles (EVs) are regarded as an effective method for dealing with serious environmental pollution. The Chinese government has implemented a series of incentives to accelerate the promotion of EV. However, the increasing production of EVs gives rise to a greater demand for better quality charging station. This study aimed to certify the impact of the production of EVs on charging station research and development (R&D) in China, with mediating effect methods and a panel fixed effect model. The conclusions derived from the study were: (1) Statistically, the production of EVs positively and significantly impacts charging station R&D; (2) the mediating role of investment in charging stations is verified in the relationship between the production of EVs and charging station R&D; (3) environmental tax can moderate the relationship between the production of EVs can enhance charging station R&D through the mediating role of charging station investments and the moderating role of environmental tax.



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Keywords: electric vehicles (EVs); environmental tax; charging stations; research and development

1. Introduction

Nowadays, the electric vehicle (EV) industry is emerging with great significance in both economic growth and environment protection [1,2]. The development of EVs correlates with the ecological goal of carbon peak and carbon neutral [3,4]. Under the policy encouragement of governments and international communities, the popularization of EVs has been increasing rapidly year by year [5–7]. The fast-growing production of EVs puts a higher demand on improving the quantity and quality of charging stations [8]. Thus, governments have started promoting the innovation and application of charging stations through more investment [9,10] and incentive policies [11,12]. The optimization of charging services infrastructure will further benefit the development of the low-carbon economy [13,14].

In the previous literature, the conclusions on the impact of the production of EVs on charging station R&D in China are insufficient, and this paper attempted to investigate if the empirical evidence is substantial.

Furthermore, previous studies have shown that the production of EVs has triggered a massive growth in charging stations, which need more investment in terms of their construction [15,16]. Moreover, an increase in investment could promote R&D in this industry [10]. In this case, we deduce that investments in charging stations is the mediating variable from the production of EV to charging station R&D. However, the mediating role of investment in this relationship has not been generalized, which is one of the tasks that the present study tried to fulfill.

Some studies have shown that levying environmental tax positively affects R&D in clean energy industries [17,18]. For example, Yu and Chen found that environmental tax can not only generate the market performance of EVs, but can also make a contribution to

the development of green energy infrastructures [19,20]. Environmental tax is levied when a company releases pollutants that are harmful to the environment [21,22], and it is charged for both SO₂ in air pollution and COD in water pollution [23]. Considering that the topic of this study is about EVs and charging stations, we only selected the charge rates for SO₂ in air pollution (as it relates mostly to the EV manufacturing process) as our indicator in estimation. Authorities in mainland China have levied environmental tax to develop a green economy [24,25], and these regions with higher environmental tax can incentivize vehicle manufactures to product more EVs; according to the above discussions, this will promote R&D in charging stations [26,27]. Therefore, the impact that the production of EVs has on charging station R&D is more obvious in regions of higher rather than lower environmental tax. In this way, we suggest that environment tax can moderate the path from EV production to charging station R&D.

According to the mediating effect models, the present study analyzed whether the production of EV can enhance charging station R&D (quantified by the number of invention patents in the charging station industry in each region) through investment in charging stations. We found that the impact of production of EVs on charging station R&D is more notable in regions of higher rather than lower environmental tax (defined by the median of tax rates), which indicates that increasing environmental tax effectively promotes the positive impact of the production of EVs on charging station R&D.

Until now, there have been no empirical studies using investment in charging stations and environmental tax to explore the relationship between the production of EVs and charging station R&D. In addition, the previous literature selected all kinds of patents to quantify R&D [28,29]. Nevertheless, it is invention patents, among the three kinds of patents (invention, design, and utility model), that have the strictest requirements [30]. Therefore, one of our innovations is that we only included invention patent data rather than all patent data as a proxy of R&D. The contributions in this study are: (1) Generalizes the direct impact path from the production of EVs to charging station R&D for the first time; (2) conducts a deeper exploration of the mechanisms between production and R&D, with the mediating role of investment and the moderating role of environmental tax; (3) puts forward a proposal that governments should appropriately increase environmental tax to enhance the development of the EV industry and environmental protection.

This paper is organized as follows: Section 2 discusses the role of EV production in enhancing charging station R&D, and develops three hypotheses; Section 3 describes the data and uses models to analyze the empirical results; Section 4 concludes this paper.

2. Literature Review and Hypothesis Development

2.1. Production of EVs and Charging Station R&D

With the accelerating progress of the ecological economy [31], clean energy is considered to be of great significance to achieve pollution reduction and energy conservation [32]. EVs are regarded as an effective method to utilize clean energy, and previous studies have shown that an increase in EV production can lead to pollution reduction to a great extent by replacing traditional vehicles [31,32]. For example, Teixeira found that the volume of potential harmful gas released from traditional vehicles is 10 times greater than that from EVs [33]. Elshurafa proved that carbon emissions can be reduced by 0.5% when the production of EVs is increased by 1% [34]. Based on these facts, international organizations such as the United Nations and the WHO also appeal to the development of EVs and the surrounding industries in order to achieve better air quality [35,36].

Moreover, charging stations comprise one of the largest surrounding industries of EVs [37,38]. Reliable technology in charging stations is considered to be the major approach to maintaining the sustainable development of the EV industry [39–41]. As the popularization of EVs has continuously attracted increasing attention from the public, charging station R&D has become a heated discussing issue, which is recommended by the National Development and Reform Commission in China [42,43].

Moreover, some studies have investigated the investment in charging stations, proving the relevance between the production of EVs and charging station development [44–46]. For example, Fang proved that an increase in the production of EVs is likely to require more charging stations, which could stimulate the investment in charging stations [44]. Nicholas and Schäuble confirmed that EV shortage is a major constraint for the investment and technical improvement of charging stations [45]. Stark also revealed that charging station services do not come meet the public's expectations, the reason of which lies in their infeasible business models and the low production of EVs [46]. Other scholars have found that sustainable prosperity of the EV market is necessary to support the development of public charging infrastructure [47,48]. These studies have demonstrated that the popularization of EVs has a positive impact on charging station development. However, the mechanism behind this phenomenon remains unclear.

As a result, we tried to reveal the correlation between the production of EVs and charging station R&D, with the mediating role of charging station investment at the same time. In this study, we used the invention patent counts related to the charging stations of each provincial administrative region to measure charging station R&D.

Accordingly, two hypotheses are proposed:

Hypothesis 1 (H1): The production of EVs is positively associated with charging station R&D.

Hypothesis 2 (H2): The production of EVs correlates with charging station R&D through the mediating role of charging station investment.

2.2. Environmental Tax and Charging Station R&D

Previous studies have shown that policies about the environment, such as environmental tax, can encourage the manufacturing industry to produce more clean energy products [18,28,49]. The governments of all provinces in mainland China have levied different environmental tax rates to development a green economy [50–52]. The environmental tax rates vary across regions (Appendix A). Thus, the impact of the production of EVs on charging station R&D in regions with different environmental tax rates may be different.

Accordingly, we drew the conclusion that environmental tax moderates the correlation between the production of EVs and charging station R&D, and a higher environmental tax rate strengthens the correlation between the production of EVs and charging station R&D.

Therefore, we put forward the following hypothesis.

Hypothesis 3 (H3): *Improving the environmental tax rate could strengthen the positive correlation between the production of EVs and charging station R&D.*

3. Empirical Analysis

This paper aimed to quantify the influence of the production of EVs on charging station R&D in China through the mediating role of charging station investment and the moderating role of environmental tax.

3.1. Descriptive Statistics

The IPAT-E theory [53–55] implicates that explanatory variables such as GDP, trade openness, information infrastructure, and government size may potentially impact R&D, so they were selected as control variables in this study.

We collected the panel data of 31 provinces in mainland China from 2014 to 2019, including all of our variables in this research (*source: CSMAR database and Wanfang Database*).

After all of the data were collected, we quantified the impact of the production of EVs on charging station R&D through the mediator variable of charging station investment and the moderator variable of environmental tax. We used the software *STATA* to perform the regression in Model (1):

$$R\&D_{i,t} = \alpha lnProduction_{i,t} + \sum_{i,t} \beta_{i,t}Control_{i,t} + \varepsilon_{i,t}$$
(1)

In the model, α and β are the regression coefficients, $R \& D_{i,t}$ is the capacity of charging station R&D of *i* region in *t* year, *Production*_{*i*,*t*} is the EV production of *i* region in *t* year, *Control*_{*i*,*t*} represents all control variables of *i* region in *t* year, and $\varepsilon_{i,t}$ is the error term of *i* region in *t* year of the regression.

In Table 1, the indicators for the variables used in this study are listed. Nevertheless, there were large variations for most of our variables. For example, variables such as *Production* and *GDP* varied widely, with large SD values. To test the correlations of the variables, we report the pairwise correlations (Pearson's and Spearman's) in Table 2. None of the correlation coefficients were more than 0.35.

	Variable	Definition	Mean	SD	Min	Median	Max
Dependent variable	R&D	Carbon emissions (billion ton)	0.515	1.101	0.011	0.351	1.033
Independent variable	Industrialization	The proportion of the second industry in GDP (%)	50.336	55.707	16.200	43.520	54.140
	GDP	Gross domestic product (trillion yuan)	40,461	335.807	920.833	20,363	107,671
	Trade openness	Ratio of international trade volume to GDP (%)	39.751	101.152	1.26	37.074	91.573
Control variable	Information infrastructure	The number of internet broadband ports to the local population (%)	36.631	59.750	19.751	31.201	55.602
	Environmental regulation	Environmental protection expenditure (trillion yuan)	12.369	23.783	4.394	10.482	31.762
Mediator variable	Investment	Investment in charging stations (trillion yuan)	20.472	41.531	8.147	16.926	70.361
Moderator variable	Environmental tax	Environmental tax charge rates for SO_2 in air pollution	5.928	10.562	1.2	1.8	12

Table 1. Description of statistics.

Table 2. Pairwise correlations for all variables.

	R&D	Production	GDP	Trade Openness	Information Infrastructure	Environmental Regulation	Investment	Environmental Tax
R&D		0.207 ***	0.094 ***	0.347 **	0.006 ***	0.273 ***	0.055 ***	0.303 *
Production	0.291 **		0.133 **	0.172 ***	0.107 ***	0.169 ***	0.006 ***	0.318 **
GDP	0.237 **	0.097 ***		0.294 **	0.014 *	0.213 ***	0.164 **	0.099 ***
Financial development	0.078 **	0.278 **	0.344 *		0.210 **	0.008 **	0.337 **	0.129 ***
Information infrastructure	0.091 **	0.177 **	0.059 **	0.195 *		0.288 *	0.008 ***	0.003 **
Environmental regulation	0.331 ***	0.039 *	0.202 *	0.157 ***	0.260 **		0.209 *	0.107 **
Investment	0.296 **	0.300 *	0.211 ***	0.009 **	0.002 ***	0.346 **		0.195 ***
Environmental tax	0.174 ***	0.157 **	0.216 ***	0.036 ***	0.142 ***	0.178 **	0.177 ***	

Note: *, **, and *** reports statistical significance at 10%, 5%, and 1% levels.

Then, we performed Breitung panel unit root tests to test the stationarity of the data, with the following null hypothesis: A variable contains a unit root (not stationary). As is shown in Table 3, the whole panel data were highly stationary in I (0). In this way, the unit root null hypothesis was rejected.

Table 4 indicates the results of the Granger causality test. From the table, we can observe that the production of EVs is the Granger cause of charging station R&D. However, for the test variable R&D, the union significance of the coefficient has a chi-square of 0.103 and a relevant *p*-value of 0.892, meaning that R&D is not the Granger cause of production. As a result, the evidence indicates that the production Granger causes R&D, but the contrary does not exist, which proves H1 and is basically similar to previous studies [18,44,46].

Variable	Constant
R&D	0.891 *** 0.001
Production	1.007 *** 0.002
Investment	0.997 ** 0.005
Environmental tax	1.763 *** 0.003
GDP	1.243 *** 0.002
Trade openness	2.033 ** 0
Information infrastructure	1.863 *** 0.003
Environmental regulation	1.574 ** 0

Table 3. Unit root tests of the data.

Note: *** p < 0.01, ** p < 0.05; p-values are reported below the coefficients.

Table 4. Causality test based on the Granger technique.

Equation	Chi-Square	Prob. > Chi-Square	Causality
R&D to production	8.001	0.004	Yes
Production to R&D	0.103	0.892	No

3.2. Baseline Results

Due to the results of the Hausman test (p = 0.044), we chose fixed effects regression models to analyze the data. Table 5 reports our baseline findings and variable definitions with Robust *t*-statistics. R&D was the dependent variable, quantified by the number of invention patents of the charging station industry, while production was the independent variable. All definitions of the variables are shown in Table 1.

Table 5. Baseline results of production and R&D.

R&D	1	2
	2.077 ***	1.900 ***
Production	(3.131)	(2.635)
GDP		0.233 *
GDP		(1.868)
Trade openness		1.239 ***
flade openness		(4.051)
Information infrastructure		2.217 ***
mormation mirastructure		(3.307)
Environmental Regulation		2.077 ***
Environmental Regulation		(3.011)
Constant	-51.679 ***	-49.071 **
Constant	(-4.791)	(-2.678)
Observations	186	186
Adj. R ²	0.401	0.463

Note: Year and province: Fixed effect; * *p* < 0.05; ** *p* < 0.01; *** *p* < 0.001.

In the first column, only the independent variable is included with the yearly and provincial fixed effects. The coefficient of the independent variable *Production* was 2.077 and highly significant (p < 0.001), revealing that production is positively correlated with

R&D. In the second column, controls were added to the former regression. The coefficient of *Production* was more significant at the 0.1% level. This implies that the correlation is still positive with controls, which shows that the results support Hypothesis 1 and affirm that the production of EVs can enhance charging station R&D in China.

3.3. Mediator Effect Results of Investment in Charging Stations

Mediator analysis detects whether a variable plays a mediating role by statistical methods [56–58]. Based on former literature, mediation was tested by the causal step procedure [59–61], and all variables were analyzed in regression equations interpreted in Models (1)–(3). We infer that investment in charging stations plays a mediating role.

In the models of this research, the mediating role of investment in the process of whether production influences R&D was tested. The direct effect of production on R&D remained statistically significant, which was confirmed in the baseline results ($\alpha = 2.077$, p < 0.001). Moreover, *Investment* was highly significantly and positively related to *Production* ($\alpha' = 1.734$, p < 0.001) in Model (2), indicating that production strengthens investment. In Model (3), the relationship between *R&D* with *Production* and *Investment* was significant ($\alpha'' = 0.924 < \alpha$, p < 0.001). Combined with the former two models, the results indicate the statistically significant mediating effect of investment between production and R&D. The panel fixed effect regressions of the above variables are shown in Table 6.

$$Investment_{i,t} = \alpha' ln Production_{i,t} + \sum_{i,t} \beta'_{i,t} Control_{i,t} + \varepsilon'_{i,t}$$
(2)

$$R\&D_{i,t} = \alpha'' lnProduction_{i,t} + \sum_{i,t} \gamma_{i,t} Investment_{i,t} + \sum_{i,t} \beta''_{i,t} Control_{i,t} + \varepsilon''_{i,t}$$
(3)

Donondont Variable	Model (2	Model (3)			
Dependent Variable	Investme	R&D			
Independent Variable	Production	1.734 ***	Production	0.924 *** (6.156) 2.451 ***	
		(3.429)	Investment	(2.995)	
	GDP	1.535 *** (3.975)	GDP	1.747 *** (4.144)	
	Trade openness	1.892 ** (2.361)	Trade openness	1.924 *** (3.521)	
Control Variable	Information	1.246 ***	Information	1.626 ***	
	infrastructure Environmental	(3.135) 2.274 ***	infrastructure Environmental	(4.145) 3.051 ***	
	regulation	(2.551)	regulation	(2.797)	
Constant		23.157 ***	Constant	19.245 ***	
		(3.901)	– Constant –	(6.125)	
Observations Adj. R ²		186 0,301	Observations Adj. R ²	186 0.299	

Table 6. Fixed effect regressions in the mediator analysis.

Note: Year and province: Fixed effect; ** *p* < 0.01; *** *p* < 0.001.

3.4. Analysis of the Moderator Effect

Appendix A shows the environmental tax charge rates for SO_2 in air pollution of the different provinces in mainland China. We selected this indicator as the moderator variable. This value varies in different provinces, with a large variation.

Furthermore, all of samples of data were divided into two groups (lower tax and higher tax groups) according to different environmental tax rates. The lower tax had values less than the median (1.8 yuan/equivalent), including 14 provinces. The values of the higher tax group were greater than the median, and this group included another 17 provinces. This research performed regressions on both groups based on Model (1). In the lower tax group, only one control variable was significant, but in the higher tax group, all variables were statistically significant.

The results of the above analysis are provided in Table 7.

D 1 (11 11		R&D			
Dependent Variable		Lower Tax Group	Higher Tax Group		
Independent Variable		1.033	4.632 ***		
independent variable	Production	(1.025)	(3.167)		
	CDD	2.981	3.782 **		
	GDP	(1.932)	(1.875)		
	T 1	5.157 ***	10.892 ***		
	Trade openness	(7.665)	(6.368)		
0 1 11		0.159	1.378 ***		
Control variable	Information infrastructure	(0.913)	(3.016)		
	Environmental regulation	1.077	2.084 ***		
		(0.691)	(3.332)		
		57.167	80.267 ***		
	Constant	(0.234)	(3.306)		
Observations		84	102		
Adj. R ²		0.501	0.569		
Difference			933		
C	Chi-square		4.63 ***		

Table 7. Moderator effect test of environmental tax.

Note: Year and province: Fixed effect; ** *p* < 0.01; *** *p* < 0.001.

In the above table, the values of the variables are not highly significant in the lower tax group. Nevertheless, all of the variables became much more significant in the higher tax group. At the end of the regressions, a seemingly unrelated estimation was performed to investigate the differences in the significance between groups. The results of the estimation (difference = 0.933, chi-square = 4.63) indicate significant differences in both groups. Thus, we can draw a conclusion that environmental tax being a moderating variable in the relationship between production and R&D, which can moderate the association between the production of EVs and charging station R&D in China. The results also suggest that government authorities can use the level of environmental tax as a means to regulate the EV industry and low-carbon economic growth.

4. Conclusions

The construction of a green economy has become a focus in recent years across the world. The popularization of new energy vehicles (especially EVs) is an effective and necessary method for dealing with the increasing rate of environmental pollution. In the present study, we used panel fixed effect models to analyze the data in China to certify our hypotheses, with the following conclusions: (1) The production of EVs is positively correlated with charging station R&D; (2) EV production correlates with charging station R&D, with an impact path of investment in charging stations; (3) environmental tax strengthens the significant positive correlation between the production of EVs and charging station R&D.

This study is of great significance regarding the topic of the ecological civilization goal of carbon peak and carbon neutralization. The following are some policy references from this study.

First, as for vehicle manufacturers, they should consider increasing expenditures and advanced technology to increase the production of EVs to accelerate their popularity. As is elaborated in the study, the growth of EV production greatly enhances charging station R&D and meets the demands of a green economy.

Second, this paper searched the path of the impact of EV production on charging station R&D, with the mediation variable of investment in charging stations. The implications contain a large amount of empirical evidence for central and local governments in China to increase their investment in charging stations, which promotes charging station R&D to a large extent. Third, it is a feasible choice for relevant government departments in China to appropriately implement more environmental regulations and tax incentives, as it can not only stimulate the development of the EV industry, but can accelerate the process of cleaner energy applications as well.

Lastly, for other industries, regarding new energy, the government could consider providing more preferential tax policies or incentives to reward these companies with advanced technology R&D and greater innovative efforts.

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Conflicts of Interest: The authors declare no conflict of interests.

Appendix A. Environmental Tax Charging Criterion

Table A1. The environmental tax charge rates for SO_2 in the air pollution of 31 provinces of China (yuan/per pollution equivalent).

Туре	Province	Charging Criterion (Yuan/Equivalent)	Туре	Province	Charging Criterion (Yuan/Equivalent)
	Beijing	12		Ningxia	1.2
	Tianjin	10		Qinghai	1.2
	Jiangsu	8.4		Anhui	1.2
	Shanghai	6.65		Fujian	1.2
	Hebei	6		Tibet	1.2
	Shandong	6		Zhejiang	1.2
	Henan	4.8		Jiangxi	1.2
	Sichuan	3.9		Yunnan	1.2
Higher Tax Regions	Chongqing	3.5	Lower Tax Regions	Inner Mongolia	1.2
	Hainan	2.4	_	Xinjiang	1.2
	Guizhou	2.4		Liaoning	1.2
	Hunan	2.4		Gansu	1.2
	Hubei	2.4		Shaanxi	1.2
	Shanxi	1.8		Jilin	1.2
	Guangdong	1.8			
	Guangxi	1.8			
	Heilongjiang	1.8			

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