

## Article

# Fracture Patterns of Rocks Observed under Cryogenic Conditions Using Cryo-Scanning Electron Microscopy

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**Abstract:** Cryogenic fracturing, which uses liquid nitrogen (LN<sub>2</sub>) as a fracturing fluid, is a waterless fracturing method. However, previous attempts to investigate the fracture morphology of rocks after LN<sub>2</sub> quenching have been mainly based on standard scanning electron microscopy (SEM) analysis at room temperature. This can be problematic since thermally-induced fractures created by temperature difference tend to close as a sample warms and thermal stress relaxes. To address this issue, we established a novel approach employing Cryo-scanning electron microscopy (Cryo-SEM) to investigate the fracture patterns induced by liquid nitrogen quenching under cryogenic conditions. This method can achieve in-situ visualization of fractures and pores with a nano-scale resolution at −190 °C. X-ray computed tomography (CT) is also employed to illustrate the fracture distribution inside samples. Cryo-SEM and standard SEM are compared, and statistical assessments are conducted to quantify fracture aperture size and closure scale. The results demonstrate that Cryo-SEM can more accurately preserve native fracture morphology and provide a more accurate means of evaluating fracture scales generated during LN<sub>2</sub> quenching, particularly at higher temperature differences between rock and liquid nitrogen. Distinct fracture patterns and fracture width are observed for various rock types (i.e., coal, sandstone, shale, granite) by using these methods. More prominently, the maximum fracture width of coal, sandstone, shale and granite were 89.17 μm, 1.29 μm, 0.028 μm and 2.12 μm when the temperature difference between LN<sub>2</sub> and rock samples were 296 °C. LN<sub>2</sub> is shown to exhibit superior fracturing efficiency on coal and granite, characterized by complex fracture networks with branched fractures. This research contributes to our understanding of liquid nitrogen fracturing mechanisms and may offer effective approaches for unconventional reservoirs stimulation.

**Keywords:** reservoir stimulation; cryogenic fracturing; thermal shock; fracture morphology; cryo-scanning electron microscopy; unconventional reservoirs



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## 1. Introduction

Hydraulic fracturing is widely used in the exploitation of unconventional resources and geothermal energy [1,2]. Nonetheless, the utilization of extensive water-based fracturing fluids in hydraulic fracturing presents certain obstacles, notably, high water consumption, formation damage, treatment and disposal issues of flowback water [3]. The water-related challenges associated with hydraulic fracturing may be potentially addressed by utilizing unconventional fracturing fluids such as liquefied natural gases (LNG), liquid nitrogen (LN<sub>2</sub>), liquid/supercritical carbon dioxides (LCO<sub>2</sub>/SC-CO<sub>2</sub>) and liquefied petroleum gases (LPG) for the purpose of waterless fracturing [4–7]. Specifically, LN<sub>2</sub> is a kind of cryogenic fluid (−196 °C at atmospheric pressure), which can exert a sharp thermal gradient when it is injected into the much warmer reservoir rocks [7–9]. Then, thermal stress could be induced. When thermal stress is higher than the rock tensile strength, fractures will be initiated and extended further by nitrogen volume expansion because of

LN<sub>2</sub> phase transition [9,10]. Due to the coupled hydraulic/thermal loadings, breakdown pressure can be reduced and complex fracture networks could be formed [7,11–14]. In addition, field tests have demonstrated the effectiveness of using liquid nitrogen (LN<sub>2</sub>) as a fracturing fluid in increasing production rates in several coalbed methane (CBM) wells, while simultaneously reducing pollution of the coal seam in CBM reservoirs [15,16]. Recently, researchers also proposed cryogenic fracturing in deep geothermal reservoirs, especially the hot dry rock (HDR) geothermal resources due to the significant temperature difference (>400 °C) between high-temperature rocks and LN<sub>2</sub> [17–20].

Because of the above advantages, various studies have focused on the fracturing mechanism of LN<sub>2</sub> fracturing. Elucidating the LN<sub>2</sub> cryogenic effect on rock structure change is critical for evaluating the permeability evolution and fracturing efficiency. Previous attempts have investigated the pore structure change and fracture network distribution after LN<sub>2</sub> freezing by means of Nuclear Magnetic Resonance (NMR), Scanning Electron Microscopy (SEM), Computed Tomography (CT), etc. Cai et al. [21] examined the pore structure change for sandstone, marble and shale samples after LN<sub>2</sub> cooling by using SEM and NMR. Their results indicated that LN<sub>2</sub> cooling could cause an increase in the pore scale due to the appearance of micro-fissures and macro-cracks. Qin et al. [22] studied the LN<sub>2</sub> freeze-thaw cyclic treatment effect on coal samples. They found that the length and width of thermally generated cracks increased with the number of freeze-thaw cycles, and the maximum crack width was 60.5 μm when the sample was subjected to 30 freeze-thaw cycles based on SEM analysis. Wu et al. [23] studied the effect of cyclic heating/LN<sub>2</sub> cooling treatment on physical/mechanical properties of rocks, and intra-granular or inter-granular cracks were observed on sample surfaces from SEM images. Akhondzadeh et al. [24] investigated LN<sub>2</sub> fracturing of a bituminous coal at pore scale through 3D X-ray micro-CT, and concluded that LN<sub>2</sub> freezing could enhance the pore network connectivity by creating fractures and connecting the pre-existing cleats. Additionally, the maximum fracture aperture size was up to 9 μm on sample surfaces based on SEM images. Yan et al. [25] explored cyclic LN<sub>2</sub> quenching effects on coal samples by high-resolution X-ray micro-CT and suggested that porosity of the sample, fracture volume, fracture thickness and fracture connectivity increased significantly with the growth of LN<sub>2</sub> quenching cycle times. The 3D visualization also showed that the proportion of relatively small fracture volume decreased and the proportion of relatively large fracture volume increased with LN<sub>2</sub> quenching cycle times. Zhang et al. [26] investigated the microstructure and permeability evolution behavior of coal after LN<sub>2</sub> treatment based on 3D X-ray microanalyzer (3D-XRM) and a steady-state gas seepage method. They showed that the number and size of pores, throats and fractures after LN<sub>2</sub> treatment were significantly higher than those were before, the results of which correlated well with the increment of coal permeability.

The above-mentioned work provided valuable knowledge regarding the fracture morphology and size of rocks subjected to LN<sub>2</sub>. Currently, our understanding of thermally-induced fractures is limited to ensemble studies using indirect imaging techniques such as 3D-XRM or standard SEM at room temperature. However, thermally-induced fractures formed by temperature difference tend to close as a sample warms and thermal stress relaxes [9]. To address these challenges, a cryo-transfer method inspired from structural material and biology science, known as Cryogenic transmission electron microscopy (Cryo-EM) or Cryogenic scanning electron microscopy (Cryo-SEM), has been developed to enable the high-resolution imaging of samples at −190 °C [27,28]. This method includes sample preparation schemes that safeguard rock samples against environmental exposure and maintain their natural state. Cryo-EM was awarded the Nobel Prize in Chemistry in 2017 for its profound impact on the field of structural biology by freezing and stabilizing fragile biomolecules for near atomic-resolution imaging in their native states [29–31]. In addition to its impact on life science, Cryo-EM and Cryo-SEM have also been used in material science since the 1990s. Pioneers of this technology have made significant contributions in areas such as batteries, soft polymers, metal-organic frameworks, perovskite solar cells, electrocatalysts and quantum materials [32–37]. In particular, Li et al. [38] combined Cryo-

EM, Cryo-analytical TEM and Cryo-SEM to discover individual Li metal atomic structures which are sensitive to electron beam irradiation or air exposure at room temperature during sample transfer into the conventional TEM column, thus establishing an ideal tool for sensitive batteries' nanoscale characterization. In the petroleum industry, Cryo-SEM was first introduced to investigate reservoir wettability in the 1990s [36,39,40]. Desbois et al. [41] used Cryo-BIB-SEM to investigate the in-situ distribution of pore fluids in cryogenic preserved samples on Ar-ion polished cross sections. Schmatz et al. [42] used Cryo-BIB-SEM to study the micro- to nano-scale in-situ-fluid distribution in oil/brine-filled reservoir carbonates by quenching fluid-filled samples in LN<sub>2</sub>, which aims to study the effect of chemical variations in the flooding brine on wettability during enhanced oil recovery (EOR).

The use of Cryo-SEM has allowed researchers to investigate in-situ fracture morphology and quantify pore and fracture sizes resulting from LN<sub>2</sub> quenching treatment. In this study, four types of rock specimens were selected: coal, sandstone, shale and granite. Prior to cryogenic imaging, the specimens underwent an initial scanning process using a standard SEM at room temperature to allow for comparison with the pre-LN<sub>2</sub> treatment state. Cryo-SEM was then used to assess fracture patterns on the rock surface at cryogenic temperatures, as the cryo-transfer method preserves fractures that were initiated by the sudden and intense thermal shock during the plunge freezing process. X-ray CT was also utilized to analyze the distribution of fractures within each specimen. Finally, statistical assessments were conducted on the size of the fracture aperture to quantify the disparities between the results obtained from Cryo-SEM and conventional SEM, as well as to quantify the degree of fracture closure. The findings of this study provide a feasible and direct method to visualize and quantify the fracture patterns of rocks resulting from LN<sub>2</sub> quenching treatment.

## 2. Materials and Methods

### 2.1. Measurements

In this paper, we present three types of measurements, standard SEM, Cryo-SEM and 3D X-ray Computed Tomography (CT) (Table 1). We used four types of outcrop rocks: coal, sandstone, shale and granite. These four rock samples are the main rock types of coalbed methane, tight oil/gas, shale gas and HDR geothermal reservoirs, respectively. Initially, we performed dry measurements with standard SEM at room temperature (25 °C) to characterize the dry-rock properties such as porosity, cracks and surface characteristics. To simulate the conditions of different reservoirs, rock samples were heated to various initial temperatures based on the conventional pay zone depth of each reservoir. Coal samples were tested for considerations of LN<sub>2</sub> fracturing conducted in coalbed methane reservoirs at initial temperatures ranging from 25 °C to 100 °C. Sandstone samples were measured as a reference for LN<sub>2</sub> fracturing employed in tight oil/gas reservoirs at initial temperatures ranging from 25 °C to 200 °C. Shale samples were assessed for considerations of LN<sub>2</sub> fracturing applied in shale gas reservoirs at initial temperatures ranging from 25 °C to 350 °C. Granite samples were tested as a reference for LN<sub>2</sub> fracturing used in HDR geothermal reservoirs at initial temperatures ranging from 25 °C to 350 °C. Subsequently, rock samples were quenched by LN<sub>2</sub> and the temperature decreased sharply. Then, Cryo-SEM were conducted to identify the fractures distribution on rock samples induced by LN<sub>2</sub> thermal shock. The Cryo-SEM process will be explained in more detail in the following paragraphs. To make up for the shortcomings of SEM or Cryo-SEM that can only display 2D images, X-ray CT (nanoVoxel-3000, resolution of 0.5µm) tests were carried out to display fractures and fracture planes in a 3D view at room temperature. Specifically, X-ray CT is a non-invasive technique that involves the use of X-ray beams to capture images of the internal structure of the rock sample. The 2D images obtained from the CT scan reveal valuable information about the rock sample's porosity, fractures, mineral composition, and grain size distribution. Subsequently, 3D fracture morphology of fractured rock samples can be obtained through a series of image enhancement techniques including filtering and denoising, threshold segmentation and 3D reconstruction based on 2D images. Since

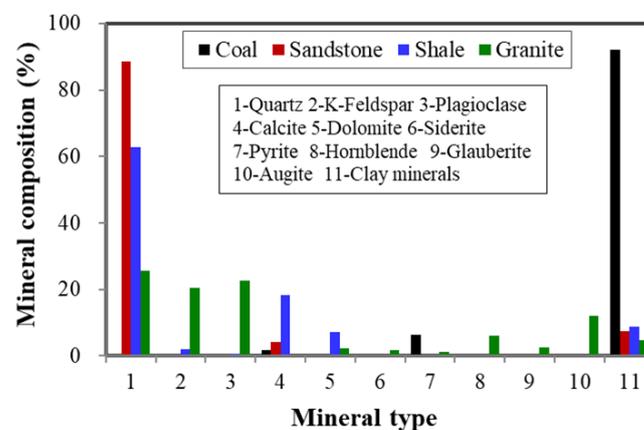
more obvious alterations had been observed on the surfaces of coal and granite samples in 2D, the coal sample with initial temperature of 100 °C and the granite sample with initial temperature of 350 °C were selected for X-ray CT scan. Finally, SEM images were taken when the above rock samples returned to room temperature. Histograms for the frequency of fracture-width sizes were displayed and compared with Cryo-SEM results.

**Table 1.** Overview of experimental materials, settings and methods.

| Test No. | Rock Type | Initial Temperature (°C) | Scanning Method |
|----------|-----------|--------------------------|-----------------|
| 1        | Coal      | 25                       | Standard SEM    |
| 2        | Coal      | 25~100                   | Cryo-SEM        |
| 3        | Sandstone | 25                       | Standard SEM    |
| 4        | Sandstone | 25~200                   | Cryo-SEM        |
| 5        | Shale     | 25                       | Standard SEM    |
| 6        | Shale     | 25~350                   | Cryo-SEM        |
| 7        | Granite   | 25                       | Standard SEM    |
| 8        | Granite   | 25~350                   | Cryo-SEM        |
| 9        | Coal      | 100                      | CT              |
| 10       | Granite   | 350                      | CT              |

## 2.2. Sample Preparation

The rock specimens were sliced into lamina measuring 8 mm × 8 mm × 2 mm. To create a flat and even cross-section, the specimen surface was bombarded with Ar-ions. X-ray diffraction (XRD) analysis was performed prior to the tests to identify the mineral components of the rock samples (Figure 1). For coal rock, clay mineral content is the highest, accounting for 91%. For sandstone and shale, the quartz content is the highest, reaching 88% and 63%, respectively. It is noteworthy that the mineral composition of granite is more intricate, with K-feldspar, plagioclase and quartz comprising 20%, 22% and 25%, respectively.

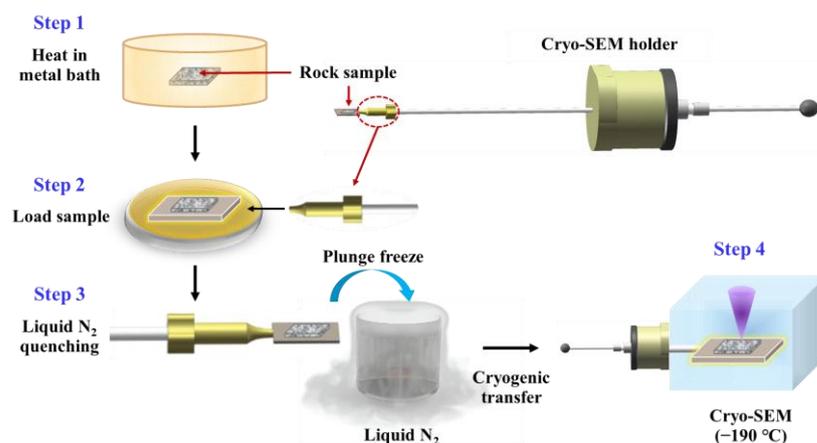


**Figure 1.** Mineral contents of four types of rock samples.

## 2.3. Cryo-SEM Methodology

A sketch illustrating the Cryo-SEM method can be found in Figure 2. The specific observation process can be divided into the following four steps: (1) Step 1: the rock specimens were subjected to a high-temperature metal bath for 30 min to 60 min to reach the setting temperature as shown in Table 1. Each rock sample had dimensions of 8 mm × 8 mm × 2 mm, with a surface that was polished to achieve a roughness index (Ra) of 0.008 μm to 0.012 μm. (2) Step 2: after the rock sample was heated evenly, it was taken out directly and assembled onto a sample holder quickly by using conductive adhesive. (3) Step 3: the sample were immersed entirely in LN<sub>2</sub> for an additional 20 min to initiate fractures through thermal shock. (4) Step 4: the specimen along with its holder was transferred under cryogenic conditions using a LN<sub>2</sub>-cooled vacuum shuttle into the

Cryo-SEM (FEI Helios 600i Nanolab FIB/SEM equipped with PP3000T cryo preparation system from the Institute of Biophysics, Chinese Academy of Sciences, Beijing, China), preserving the fractures [43]. Then, the rock samples were subjected to a vacuum, sublimation, and freezing process, after which they were coated within the freezer chamber. The coating involved the application of metal powders such as gold and platinum onto the rock surface. This process serves to enhance the sample's electrical conductivity, minimize the thermal effects of plasma on the sample, reduce ion bombardment damage, and improve the outcome of scanning electron microscope observations. Subsequently, the fracture morphology on the sample surface were imaged and analyzed at random locations and different magnifications due to the wide range of fracture sizes.



**Figure 2.** Sketch illustrating the Cryo-SEM method [3] (Reproduced with permission from Chunyang Hong, Renewable Energy, published by Elsevier, 2021).

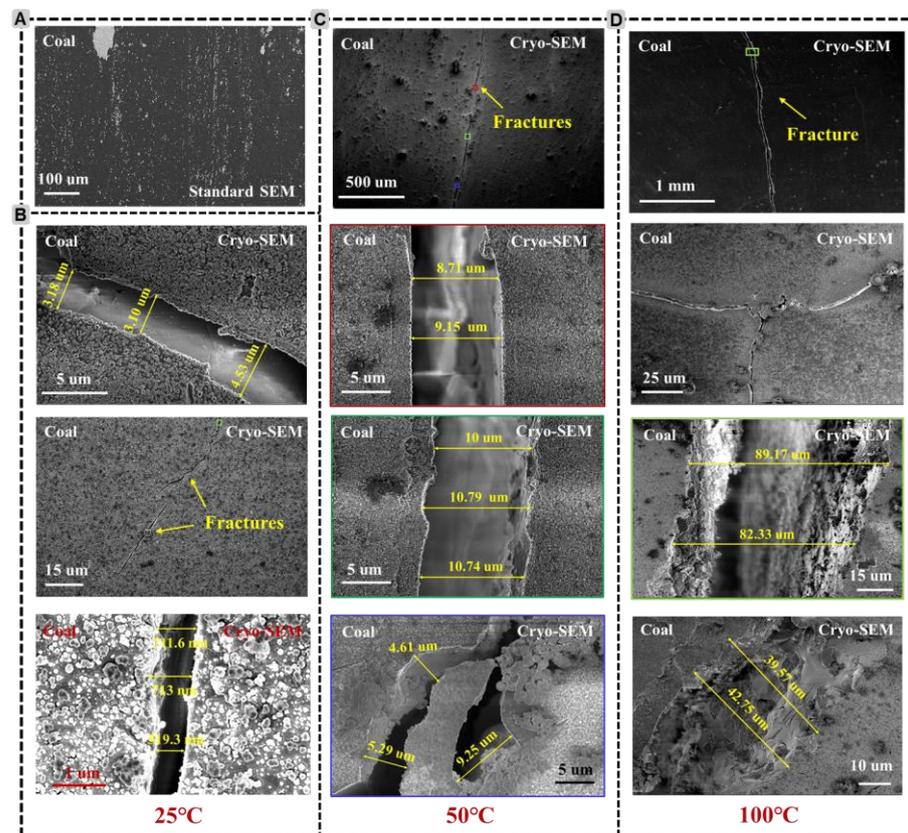
### 3. Results and Discussion

#### 3.1. Fracture Morphology in Cryogenic Conditions through Cryo-SEM

In this section, fracture patterns of different rock samples measured by Cryo-SEM are shown. Moreover, the correlations of the maximum fracture width with the temperature difference between LN<sub>2</sub> and rock initial temperature are presented.

##### 3.1.1. Coal Samples

Figure 3 depicts the surface morphological alterations of coal samples caused by LN<sub>2</sub> treatment. Prior to the LN<sub>2</sub> treatment, there were no noticeable fractures at the rock surface except several pores with nano- to micro-sizes (Figure 3A). After LN<sub>2</sub> treatment, fractures were initiated (Figure 3B). This is because local thermal gradient and the alteration of pore structure by expansion/contraction and softening/hardening of the mineral constituents of rocks could cause the pre-existing pores to be connected and further extended when subjected to the sharp thermal shock [44]. The maximum fracture width was approximately 4.53 μm. One of the major fractures (the green-outlined area) are magnified in the following figures. When the initial coal sample temperature was 50 °C, a long fracture with branches were appeared in the post-LN<sub>2</sub>-treatment stage (Figure 3C). The maximum fracture-width increased to 10.79 μm and some parts of the sample became unconsolidated (the blue-outlined area in Figure 3C). When the initial sample temperature was 100 °C, fracture branches were also formed after LN<sub>2</sub> cryogenic fracturing (Figure 3D). Obviously, fracture widths increased dramatically, with observed maximum value being 89.17 μm. Hence, major fractures with smaller-sized pores and cracks appeared following LN<sub>2</sub> freezing on coal samples. Some of the fractures and pores were well connected, which may provide significant coalbed methane (CBM) migration pathways. Moreover, with the increase in rock-LN<sub>2</sub> temperature difference, the fractures' width became larger.



**Figure 3.** Fracture morphology of coals. (A) Standard SEM image; (B) Cryo-SEM images, initial sample temperature = 25 °C. (C) Cryo-SEM images, initial sample temperature = 50 °C. (D) Cryo-SEM images, initial sample temperature = 100 °C. Note: the colored frames indicate the magnified section of the corresponding rectangular area.

### 3.1.2. Sandstone Samples

For sandstone samples, from the standard SEM imaging method, we can see some pores in nano-scales were presented initially (Figure 4A). When the sandstone sample was cooled by LN<sub>2</sub>, several micro-fractures were appeared, and most of them were generated from the pre-existing pores and cracks (Figure 4B). The width of the crack can be 500 nano meters. When the initial sample temperature was 50 °C, some cracks with nanometers were induced after LN<sub>2</sub> treatment (Figure 4C), with insignificant differences from 25 °C sandstone samples treated in LN<sub>2</sub>. Some continuous long-fractures were appeared after LN<sub>2</sub> treatment, with maximum fracture-width being 1 μm when the initial sample temperature was 100 °C, (Figure 4D). However, fracture networks were generated on the sandstone after cryogenic exposure, with maximum fracture width being 3.5 μm when the initial sample temperature was 200 °C, (Figure 4E). Hence, the increase in rock-LN<sub>2</sub> temperature difference could cause the occurrence of more microfractures perpendicular to or along the macro-fractures in sandstone samples. Compared with coal samples, the growth of fracture width with temperature gradient was minor.

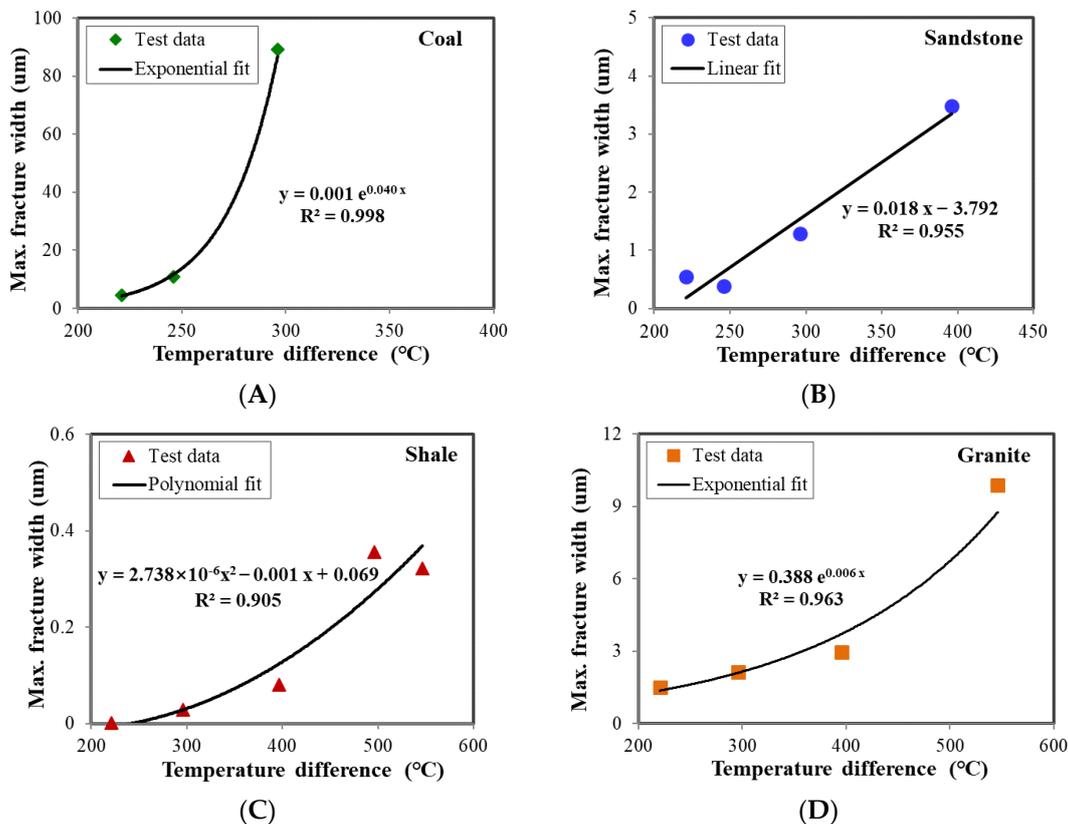
### 3.1.3. Shale Samples

Shales were less sensitive to the LN<sub>2</sub> treatment as suggested in Figure 5. Initially, there were no obvious cracks on the shale surface (Figure 5A). After exposure to LN<sub>2</sub>, only small numbers of cracks under greater magnification can be observed (Figure 5B–E). Until the initial temperature of shale sample reached 350 °C, fracture networks were induced with fracture-width being 300 nm (Figure 5F). Hence, the thermal effect generated by LN<sub>2</sub> on shale samples is not that obvious compared with coal and sandstone samples. Based on previous attempts, it was found that a majority of thermally-induced fractures initiated





4.53  $\mu\text{m}$ , 0.55  $\mu\text{m}$ , 0.001  $\mu\text{m}$  and 1.51  $\mu\text{m}$  when the temperature difference between  $\text{LN}_2$  and rock samples were 221  $^\circ\text{C}$ . Additionally, the maximum fracture width of coal, sandstone, shale and granite were 89.17  $\mu\text{m}$ , 1.29  $\mu\text{m}$ , 0.028  $\mu\text{m}$  and 2.12  $\mu\text{m}$  when the temperature difference between  $\text{LN}_2$  and rock samples were 296  $^\circ\text{C}$ . Hence, the application of  $\text{LN}_2$  thermal shock can produce more satisfactory fracture widths in coal and granite compared to shale and sandstone.



**Figure 7.** Correlations of the maximum fracture width with the temperature difference between  $\text{LN}_2$  and rock initial temperature. (A) coal samples; (B) sandstone samples; (C) shale samples; (D) granite samples.

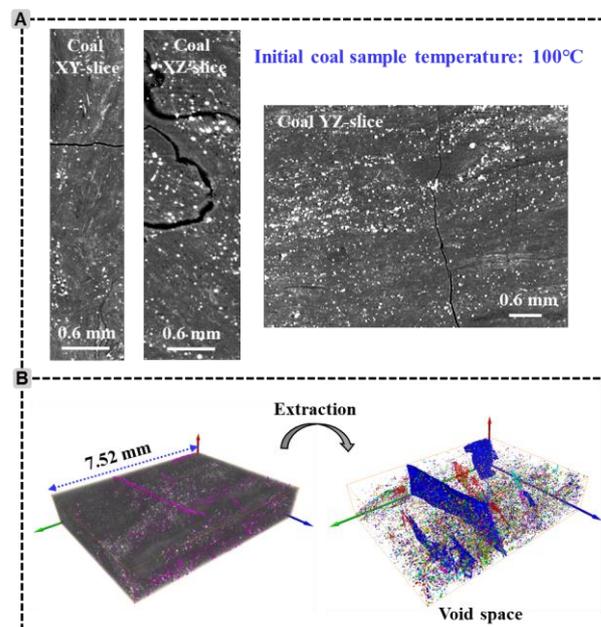
In sum, the outcome of Cryo-SEM imaging illustrates the evolution of pores, cracks and fracture-networks of rocks frozen in  $\text{LN}_2$  by four manners: extending the pre-existing pores and cracks, inducing new fractures, losing the rock framework, and creating connections between pores and fractures. Moreover, coal samples are the most sensitive to  $\text{LN}_2$  cryogenic exposure, since the observed fracture widths enlarged dramatically with the rise of coal- $\text{LN}_2$  temperature difference.  $\text{LN}_2$  thermal shock also has better a fracturing performance on granite, since the temperature difference between granite and  $\text{LN}_2$  has pronounced effects on fracture-network complexity and the scale of unconsolidated area. For a sandstone sample, the pre-existing pores and cracks play significant roles. Comparatively,  $\text{LN}_2$  treatment has minor effects on shale samples, but if bedding planes are existing, the result may be different. The main reason is that the sensitivity of rocks to thermal shock from  $\text{LN}_2$  primarily relies on various factors, including microstructure, sedimentation, mineral composition, diagenesis and geomechanical properties. Granite is a type of crystalline rock with highly heterogeneous mineral deformation, where mineral particles are closely cemented by crystals. This close arrangement can enhance mutual restraint between the particles and generate significant thermal stress in the rocks during temperature variations, making granite less resistant to thermal shock [45]. In terms of coal, with high content of clay minerals (92%), weak mechanical strength and cementation might be the reason for weak thermal resistance and long fractures generation. For sandstone, low

mineral heterogeneity may reduce the thermal shock effect. For shale, extremely tight pore structure (in nano-scale) and bedding plane direction could impact the fracture initiation location and propagation path significantly. Hence, LN<sub>2</sub> thermal shock has better fracturing efficiency on coal and granite.

### 3.2. Fracture Patterns in 3D Space through CT Analysis

In this section, we visualize the fracture's 3D morphology after LN<sub>2</sub> treatment to further illustrate the fracture-networks generation and distribution inside the rock samples. Coal and granite samples were investigated since more obvious alterations had been observed on rock surfaces in 2D. The coal sample with initial temperature of 100 °C (sample in Figure 3D) and the granite sample with initial temperature of 350 °C (sample in Figure 6E) were selected.

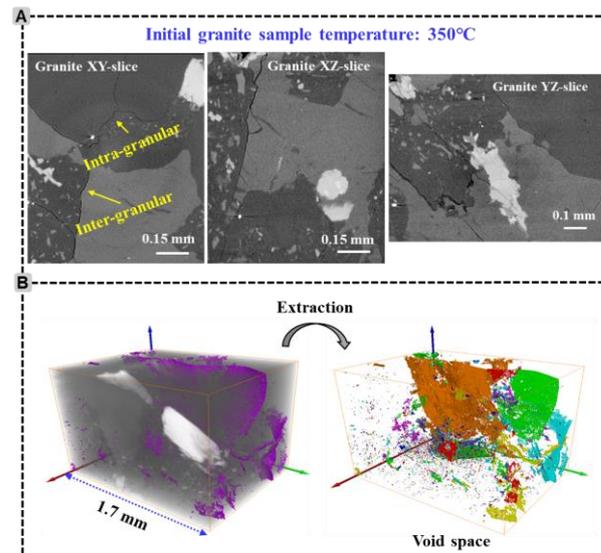
Figure 8A indicates that fractures were generated not only at the surface of the sample, but also on the side, namely in 3D scale through the coal sample. Hence, it can be inferred that the thermal stress caused by the LN<sub>2</sub> treatment is higher than the tensile strength of the coal sample, inducing fractures initiation and propagation all the way to the sample boundary. Figure 8B shows the extraction of void space from the 3D pore-fractures network reconstruction model, where the fracture-network consists of long fractures (in blue) and numerous pores and short-cracks. The total volume percent of the void space is 0.92%. These thermally generated long fractures could possibly connect the face cleats and butt cleats, leading to the enhancement of the effective permeability. Therefore, LN<sub>2</sub> fracturing could be a promising reservoir stimulation approach to improve the coalbed methane recovery.



**Figure 8.** CT scanning results of the coal sample (initial temperature was 100 °C) for post-LN<sub>2</sub>-treatment. (A) 2D slices of coal: pores and fractures, minerals (white and light grey) and organic matrix (grey); (B) 3D pores and fracture networks reconstruction. Left: void space (purple), organic matrix (grey) and minerals (white and light grey); Right: the image of void space, each color signals an isolated pore or fracture, the total volume percent of void space is 0.92%.

Different from the coal sample, intra-granular and inter-granular fractures were formed for granite samples after LN<sub>2</sub> treatment (Figure 9A). This is because of temperature change resulting in different minerals expanding or extracting differently in different directions. This unequal expansion may cause internal cracking to take place along the lines of greatest stress. Figure 1 shows the mineral analysis for different rock types. Different

minerals have different thermal expansion coefficients (Table 2) [46]. Therefore, the more types of minerals, the more thermal expansion/extraction heterogeneity of the rock. We found that granite contains the most mineral types and sandstone contains the least types, and clay minerals account for most coal. Furthermore, unlike coal samples, fractures initiation location mainly depends on grain boundaries and weaker grains for granite. Although there were no long fractures propagating across the whole sample, the intra-granular and inter-granular fractures could create more connections between isolated pores and cracks. Consequently, LN<sub>2</sub> fracturing could also be a viable option for sustainable development of hot dry rock geothermal reservoirs, in an efficient and environmentally accepted way [3].



**Figure 9.** CT scanning results of granite (initial temperature was 350 °C) for post-LN<sub>2</sub>-treatment. (A) 2D slices of granite: pores and fractures, minerals (white and light grey) and organic matrix (grey); (B) 3D pores and fracture networks reconstruction. Left: void space (purple), organic matrix (grey) and minerals (white and light grey); Right: the image of void space, each color signals an isolated pore or fracture, the total volume percent of void space is 0.49%.

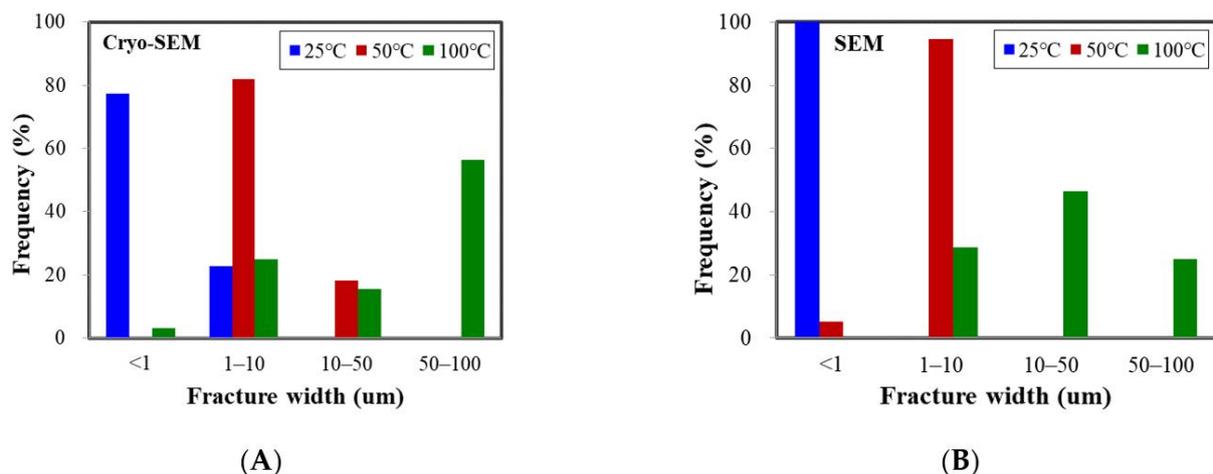
**Table 2.** Uniaxial thermal expansion coefficient.

| Mineral    | Value ( $\times 10^{-6}/^{\circ}\text{C}$ ) |
|------------|---|
| Quartz     | 15, 16.6, 24.3                              |
| Microcline | 6, 5.2, 5.3                                 |
| Orthoclase | 5.1, 3.2, 2                                 |
| Anorthite  | 5, 7.5                                      |
| Albite     | 7.5, 8.9                                    |
| Calcite    | 6.7   |
| Dolomite   | 7.6   |
| Pyrite     | 11.3, 8.6                                   |
| Chlorite   | 10.4  |

### 3.3. Comparisons of Fracture-Width Measured by Cryo-SEM and SEM

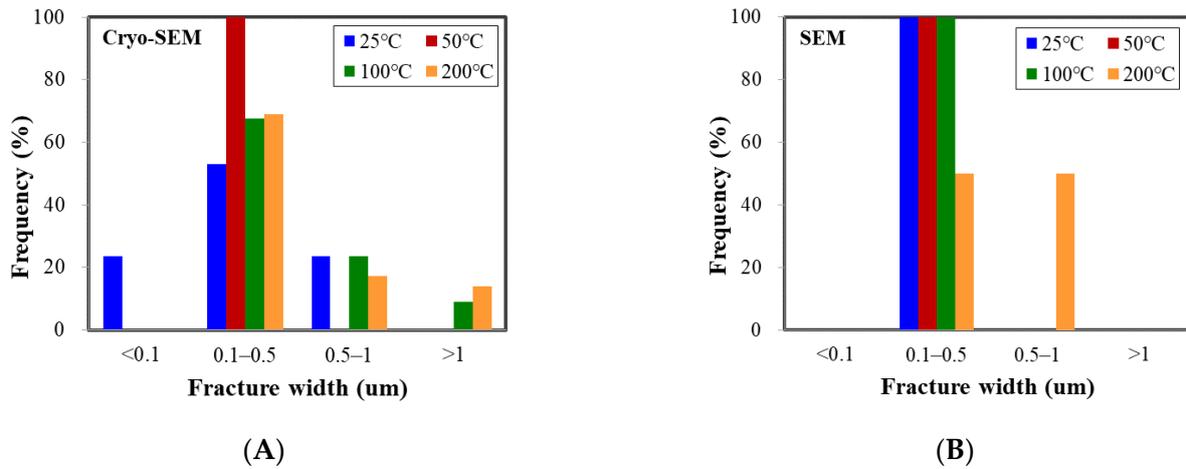
An accurate evaluation of fracture sizes obtained by LN<sub>2</sub> treatment is important in studying permeability evolution and fracturing efficiency of rocks exposed to LN<sub>2</sub>. In this section, we make comparisons of fracture-width distribution measured by Cryo-SEM and SEM. SEM images were taken when the above rock samples returned to the room temperature. Histograms for the frequency of fracture-width sizes were displayed. Specifically, the distribution range of fracture widths and the proportionate count of fractures in each fracture-width range were calculated to provide a quantitative analysis of the Cryo-SEM and SEM. The comparison results could quantitatively reflect the closure of fracture-widths due to temperature rise.

Figure 10 displays the fracture width distribution of coal samples exposed to LN<sub>2</sub> observed by Cryo-SEM and SEM, respectively. Cryo-SEM enables the imaging of specimens at cryogenic in-situ conditions, while SEM observes the rock surface morphology after it returns to room temperature. When the initial coal sample temperature was 25 °C, Cryo-SEM analysis displayed that fractures with an aperture size of less than 1 μm accounted for 77% and 23% of fractures that were shown with aperture sizes of 1–10 μm (Figure 10A). However, when it warmed to room temperature, fractures were narrowed and all of the identified aperture sizes were less than 1 μm (Figure 10B). When the initial coal sample temperature was 50 °C, fractures with aperture size of 1–10 μm accounted for 82% and the proportion of 10–50 μm was 18% through Cryo-SEM analysis (Figure 10A). Comparatively, the SEM indicated that fractures with aperture size of 1–10 μm increased to 95%, but aperture sizes larger than 10 μm almost disappeared, and the remaining 5% portion was less than 1 μm (Figure 10B). When the initial coal sample temperature was 100 °C, 56% of the fracture aperture sizes were larger than 50 μm, and 16% of the fracture aperture sizes were between 10–50 μm under Cryo-SEM observation. Although the largest aperture size for Cryo-SEM measurement (89.17 μm) was approximately the same with SEM measurement (85.21 μm), several fractures were narrowed. Fractures with aperture size larger than 50 μm reduced to 25% and the proportion for fracture width being 10–50 μm was increased to 46% through SEM analysis. Hence, it proves that some thermally generated fractures could narrow or even close after the rock sample returning warm. Cryo-SEM could provide a more accurate way to evaluate the performance of LN<sub>2</sub> fracturing, especially at higher rock-LN<sub>2</sub> temperature variance.



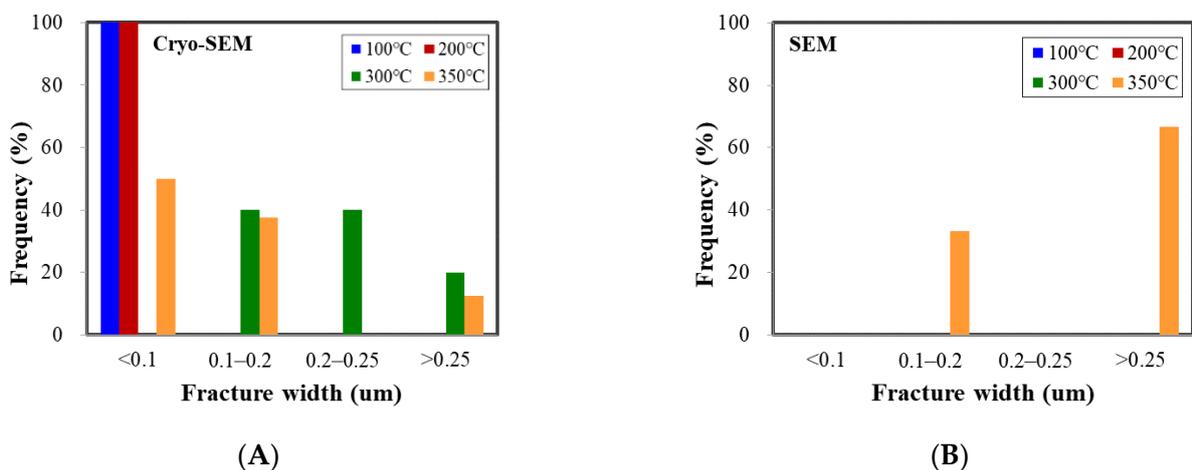
**Figure 10.** Fracture width distribution of coal samples for post-LN<sub>2</sub>-treatment. (A) Cryo-SEM; (B) SEM.

Figure 11 shows the fracture width distribution of sandstone samples exposed to LN<sub>2</sub> observed by Cryo-SEM and SEM, respectively. The Cryo-SEM observation indicated that multi-scaled fractures were presented and with the growth of initial sandstone sample temperature, the proportion of larger fracture-width increased. Comparatively, SEM observation demonstrated that all of the identified fracture aperture sizes were within 0.1–0.5 μm when the initial rock temperatures were 25 °C and 100 °C. When the initial rock temperature was 200 °C, the Cryo-SEM results displayed that 14% fracture aperture-size was higher than 1 μm. However, the SEM showed that all of the identified fracture aperture sizes were less than 1 μm. Hence, this suggested that smaller-sized fractures closed and larger-sized fractures narrowed as the temperature returns to ambient.



**Figure 11.** Fracture width distribution of sandstone samples for post-LN<sub>2</sub>-treatment. (A) Cryo-SEM; (B) SEM.

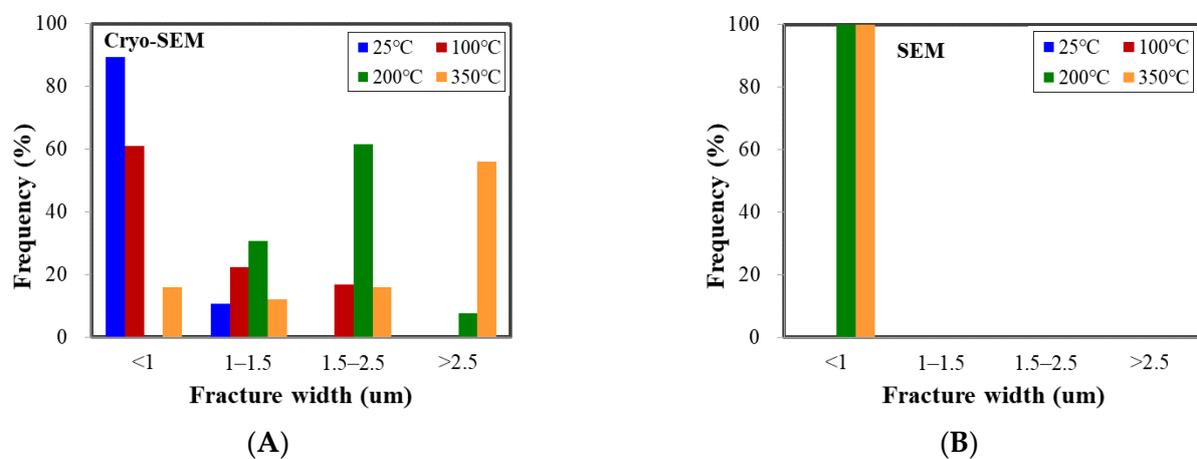
The fracture width distribution of shale samples exposed to LN<sub>2</sub> observed by Cryo-SEM and SEM were compared in Figure 12. There were neglected cracks that formed by LN<sub>2</sub> thermal shock when the initial shale sample temperature was below 100 °C. When the initial shale sample temperature was 100 °C to 200 °C, fractures with aperture size of nano-meters presented under cryogenic in-situ conditions. When the initial shale sample temperature was higher than 300 °C, fractures with aperture size of several hundred nano-meters appeared. However, there were no fractures that were generated by LN<sub>2</sub> thermal shock observed by the conventional SEM analysis, when the initial shale sample temperature was below 350 °C. Moreover, only small numbers of fractures with aperture sizes larger than 0.2 μm can be identified by the conventional SEM analysis when the initial shale sample temperature was 350 °C. As a consequence, same as for coal and sandstone samples, most of the fractures will narrow or even close when the shale sample warms back.



**Figure 12.** Fracture width distribution of shale samples for post-LN<sub>2</sub>-treatment. (A) Cryo-SEM; (B) SEM.

The fracture width distribution of granite samples exposed to LN<sub>2</sub> observed by Cryo-SEM and SEM were compared in Figure 13. The Cryo-SEM observation proved that with the rise of initial granite sample temperature, the proportion of larger fracture-width increased. However, the conventional SEM observation presented that there were neglected cracks that formed by LN<sub>2</sub> thermal shock when the initial granite sample temperature was below 200 °C. When the initial granite sample temperature was 200 °C, fractures with aperture

sizes of 1–1.5  $\mu\text{m}$  accounted for 31% and the proportion of 1.5–2.5  $\mu\text{m}$  was 61%, and the remaining 8% portion was larger than 2.5  $\mu\text{m}$  through Cryo-SEM analysis. However, the SEM showed that all of the identified fracture aperture sizes were less than 1  $\mu\text{m}$ . When the initial granite sample temperature was 350  $^{\circ}\text{C}$ , 56% of fractures were shown with aperture sizes larger than 2.5  $\mu\text{m}$  and 16% of fractures were shown with aperture sizes between 1.5  $\mu\text{m}$  and 2.5  $\mu\text{m}$ , under Cryo-SEM observation. Furthermore, the Cryo-SEM also indicated that fractures with aperture sizes of 1–1.5  $\mu\text{m}$  accounted for 12% and the remaining 16% portion was smaller than 1  $\mu\text{m}$ . Comparatively, the SEM observed that all of the identified fracture aperture sizes were less than 1  $\mu\text{m}$ . It is noteworthy that the CT results suggested that the maximum fracture aperture size was 6.7  $\mu\text{m}$  inside the granite sample (Figure 9), which was also smaller than that imaged by Cryo-SEM measurement (9.87  $\mu\text{m}$ ) on the sample surface. Hence, compared with coal samples, fractures were easier to narrow or close as the granite sample warms back. Consequently, fracture sizes on the crystalline rocks were more sensitive to the temperature change.



**Figure 13.** Fracture width distribution of granite samples for post-LN<sub>2</sub>-treatment. (A) Cryo-SEM; (B) SEM.

In summary, the fractures generated through cryogenic cooling tend to narrow or close when the rock samples are warmed back, especially for fractures at a nanometer scale or fractures generated on crystalline rocks such as granite. To accurately evaluate the stimulation performance during LN<sub>2</sub> fracturing, especially at higher rock-LN<sub>2</sub> temperature variance, cryo-SEM can provide a more precise way of assessment. This imaging technology allows examination of the samples in a frozen state, and therefore captures the true fracture geometry and distribution without the potential alterations that may occur during the warming process. Additionally, fractures or cracks, especially the smaller-sized fractures, that are generated by LN<sub>2</sub> thermal shock tend to narrow or close as a rock sample warms back. To reduce the possibility of fracture closure when rock temperature rises, it is suggested that LN<sub>2</sub> can be injected in a cyclic manner (i.e., cyclic stimulation) in the field application [23,25,47]. This is because cyclic LN<sub>2</sub> cooling can trigger fatigue failure in rocks, exacerbating pre-existing or induced damage and inducing a more severe deterioration of the rock's mechanical properties. Moreover, dynamic heating-cooling treatments can assist in widening the fracture aperture, promoting fracture connectivity and also inducing shear slippage of natural fractures. Another possible solution is to pump ultralightweight proppants (ULWP, specific gravities 1.06–2.0) during LN<sub>2</sub> fracturing to avoid fracture closure [9]. ULWP proppants are chemically synthesized proppants consisting of a hardened core with multiple layers of outer resin coating [48]. According to the data, the utilization of ULWP has resulted in enhanced post-stimulation production rates over a period of time. This is particularly evident in the wells that underwent nitrogen foam fracturing in the Devonian shale formation [48,49].

#### 4. Conclusions

In this paper, a novel method was presented to study the fracture patterns on rock samples that were induced by liquid nitrogen (LN<sub>2</sub>) quenching achieved by Cryo-SEM under cryogenic conditions. This direct-imaging technique enables researchers to investigate the in-situ distribution of pores and fractures on the rock surface at a temperature of  $-190\text{ }^{\circ}\text{C}$  with a nano-scale resolution. Hence, fractures that were generated by LN<sub>2</sub> quenching can be preserved and cryogenic fracturing efficiency can be evaluated. Following major conclusions can be drawn:

(1) The maximum fracture width of coal, sandstone, shale and granite were  $89.17\text{ }\mu\text{m}$ ,  $1.29\text{ }\mu\text{m}$ ,  $0.028\text{ }\mu\text{m}$  and  $2.12\text{ }\mu\text{m}$  when the temperature difference between LN<sub>2</sub> and rock samples were  $296\text{ }^{\circ}\text{C}$ . LN<sub>2</sub> thermal shock has better fracturing efficiency on coal and granite. The sensitivity of rocks to thermal shock can be attributed to a range of factors, including diagenesis, sedimentation, microstructure, mineral composition, and geomechanical properties.

(2) Different fracture morphology characteristics can be observed on different rock types under cryogenic conditions. Regarding coal, major fractures with branches tend to be formed and coal-LN<sub>2</sub> temperature difference has a significant impact on the size of fracture width. In terms of sandstone, caused by high content of quartz and low mineral heterogeneity, fracture-networks with crushed pieces could be appeared if the initial sample temperature was higher than  $100\text{ }^{\circ}\text{C}$ . In terms of shale, due to its tight structure, cracks with nano-scales were presented, but bedding plane may impact the results. Concerning granite, with highly heterogeneous in mineral composition, inter-granular and intra-granular fractures were generally formed, and granite-LN<sub>2</sub> temperature gradient has pronounced influences on fracture-network complexity and the size of fracture width.

(3) The cryogenically generated fractures tend to narrow or even close after the rock samples warm back, especially for the nanometer-scale fractures or fractures generated on the crystalline rocks, such as granite. Cryo-SEM could provide a more accurate way to evaluate the stimulation performance during LN<sub>2</sub> fracturing, especially at higher rock-LN<sub>2</sub> temperature variance, which contributes to our understanding of the liquid nitrogen fracturing mechanisms and may offer effective approaches for unconventional reservoirs stimulation.

(4) The thermally-induced fractures induced by pure cryogenic effects have the potential to assist in lowering breakdown pressure, generating enhanced permeability regions and forming complex fracture networks surrounding the borehole. Consequently, LN<sub>2</sub> fracturing may represent a promising alternative for the sustainable development of tight reservoirs (especially coalbed methane and hot dry rock geothermal resources) in an efficient and environmentally acceptable manner.

(5) To reduce the drawbacks of fracture closure when the temperature rises, it is suggested that LN<sub>2</sub> can be injected in a cyclic manner (i.e., cyclic stimulation) in the field application, so that dynamic and fatigue treatments can assist in promoting fracture connectivity and generating shear slippage of natural fractures.

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