

Article

The Fitter the Better? Cardiopulmonary Exercise Testing Can Predict Pulmonary Exacerbations in Cystic Fibrosis

Asterios Kampouras ^{1,*}, Elpis Hatziagorou ¹, Thomas Kalantzis ², Vasiliki Avramidou ¹, Kalliopi Kontouli ¹, Fotios Kirvassilis ¹ and John Tsanakas ¹

¹ Pediatric Pulmonology and CF Unit, 3rd Department of Paediatrics, Hippokration Hospital, Aristotle University of Thessaloniki, 541 24 Thessaloniki, Greece; elpcon@otenet.gr (E.H.); vavramid@yahoo.gr (V.A.); kkontoul@otenet.gr (K.K.); fkirvas@otenet.gr (F.K.); tsanakas@hol.gr (J.T.)

² Hellenic Statistics Authority, 185 10 Piraeus, Greece; tkalant@uom.edu.gr

* Correspondence: asterioskampouras@gmail.com; Tel.: +30-231-099-2878

Abstract: Background: The role of cardiopulmonary exercise testing (CPET) in the assessment of prognosis in CF (cystic fibrosis) is crucial. However, as the overall survival of the disease becomes better, the need for examinations that can predict pulmonary exacerbations (PEX) and subsequent deterioration becomes evident. Methods: Data from a 10-year follow up with CPET and spirometry of CF patients were used to evaluate whether CPET-derived parameters can be used as prognostic indexes for pulmonary exacerbations in patients with CF. Pulmonary exacerbations were recorded. We used a survival analysis through Cox Regression to assess the prognostic role of CPET parameters for PeX. CPET parameters and other variables such as sputum culture, age, and spirometry measurements were tested via multivariate cox models. Results: During a 10-year period (2009–2019), 78 CF patients underwent CPET. Cox regression analysis revealed that $VO_2\text{peak\%}$ (peak Oxygen Uptake predicted %) predicted (hazard ratio (HR), 0.988 (0.975, 1.000) $p = 0.042$) and $PetCO_2$ (end-tidal CO_2 at peak exercise) (HR 0.948 (0.913, 0.984) $p = 0.005$), while VE/VO_2 and (respiratory equivalent for oxygen at peak exercise) (HR 1.032 (1.003, 1.062) $p = 0.033$) were significant predictors of pulmonary exacerbations in the short term after the CPET. Additionally, patients with $VO_2\text{peak\%}$ predicted <60% had 4.5-times higher relative risk of having a PEX than those with higher exercise capacity. Conclusions: CPET can provide valuable information regarding upcoming pulmonary exacerbation in CF. Patients with $VO_2\text{peak}$ <60% are at great risk of subsequent deterioration. Regular follow up of CF patients with exercise testing can highlight their clinical image and direct therapeutic interventions.



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1. Introduction

Cardiopulmonary exercise testing (CPET) provides a thorough assessment of pulmonary, cardiovascular, and muscular systems, helping to distinguish the system most responsible for exercise intolerance. In respiratory disorders, CPET can help recognize the pathophysiology that can lead to exercise intolerance, assess therapeutic interventions, and provide key data on prognosis [1].

In cystic fibrosis (CF), the prognostic value of CPET was firstly described in 1992 by the landmark paper of Nixon et al. [2], where $VO_2\text{peak}$ was found to be a significant predictor of mortality in CF. Since then, various studies have confirmed the prognostic role of $VO_2\text{peak}$, respiratory equivalent for oxygen at peak exercise (VE/VO_2), and other parameters [3–6] in CF. In accordance with others with these findings, CPET is advised to be part of the annual routine CF evaluation [7].

Hence, overall survival in CF has increased dramatically since 1992 [8], and interest has shifted toward recognizing potential predictors of pulmonary exacerbations in order to prevent worsening in patients' clinical condition [9].

A pulmonary exacerbation (PE_x) in CF is preceded by various physiological alterations that, in many cases, are clinically obvious even more than one month before the worsening of symptoms [10]. As clinical symptoms can become apparent so early, it is reasonable that pathophysiological remodeling along with a higher possibility for a mild gas exchange impairment [11] could be present even earlier. On that basis, possible alterations in CPET indexes such as $\dot{V}O_{2\text{peak}}$, $\dot{V}E/\dot{V}O_2$, $\dot{V}E/\dot{V}CO_2$, and others that serve as markers of elevated effort to absorb oxygen could hint at underlying mechanisms responsible for an upcoming PE_x.

Therefore, our study's primary aim was to examine the relationship between CPET-derived parameters and the future occurrence of pulmonary exacerbations in CF.

2. Methods

2.1. Study Design and Subjects

This was a mixed retrospective and prospective study. A total of 78 patients (aged >9 years old) followed by our CF Unit performed full cardiopulmonary tests one to three times per year, during a 10-year period (2009–2019). Patients that were started on CFTR modulator were not included in the analysis, as this could have biased our results. A pulmonary CF exacerbation was defined as the need for additional treatment when the following occurred: (i) alteration in color or quantity of sputum, (ii) increase in cough, (iii) anorexia or malaise, (iv) decrease in pulmonary function by more than >10% (v) shortness of breath, or (vi) alteration in radiographic findings [12]. In total, 265 cardiopulmonary exercise tests were performed in a 10-year period. Of these, 248 fulfilled the criteria of a maximal test. The study was approved by the respective ethics committee, and written informed consent was obtained from all patients (IRB File No.:3/2-5-2018).

2.2. Spirometry

Spirometry was performed with a Vitalograph spirometer (Vitalograph 2120 electronic spirometer, Vitalograph Ltd. Ennis, Ireland) according to established standards [13]. All values were measured and expressed in % predicted using the Global Lung-Function Initiative (GLI 2012, <http://www.lungfunction.org>, date accessed on 12 June 2021).

2.3. Cardiopulmonary Exercise Testing

CPET was performed on a cycle ergometer (Ergoline, Vmax Series V20-1, SensorMedics, Hünenberg, Switzerland) with simultaneous electrocardiography and blood pressure monitoring (cardiograph model: Corina, S. No.: 101164361, Cardiosoft software V5.15, GE Medical Systems Information Technologies GmbH, Freiburg, Germany). Godfrey protocol [14] was applied for the exercise testing: 2 min of resting measurements were followed by 2 min of cycling on 10 Watts, and afterward—for the exercise phase—workloads were increased according to the patient's height. For patients <120 cm, workload was 10 W/min; for 120–150 cm tall, 15 W/min; and patients >150 cm, workload was increased by 20 W/min until volitional fatigue, with test duration between 8 and 12 min. A test was considered maximal if heart rate (HR) > 85% predicted (14) and respiratory exchange ratio (RER) >1.05 [15]. The following parameters were calculated: $\dot{V}O_{2\text{peak}}$, ventilatory equivalents for oxygen and carbon dioxide at peak exercise ($\dot{V}E/\dot{V}O_2$ and $\dot{V}E/\dot{V}CO_2$ respectively), and breathing reserve (BR). $\dot{V}O_{2\text{peak}}$ % predicted was calculated using Orenstein's method:

$$\text{Girls: } \dot{V}O_{2\text{peak}} \text{ (l/min)} = 0.0308806 \times \text{Height (cm)} - 2.877.$$

$$\text{Boys: } \dot{V}O_{2\text{peak}} \text{ (l/min)} = 0.044955 \times \text{Height (cm)} - 4.64.$$

2.4. Statistical Methods

We performed a survival analysis through Cox Regression. Pulmonary exacerbation was considered an event, and survival time was measured from the first visit of the patient. All CPET indices were used as the relevant percentages of the predicted values.

Various combinations of CPET and other variables such as sputum culture, age, and spirometry measurements were tested via multivariate cox models.

All analyses were performed with statistical packages SPSS (IBM SPSS v22) and R (<https://www.r-project.org/>, date accessed on 12 June 2021) v3.5.2.

CPET variables' relationship with exacerbations was visualized with Cox proportional hazard plot for the three groups of patients with $VO_2\text{peak} \leq 60\%$ predicted; $60\% < VO_2\text{peak} \leq 80\%$; and $VO_2\text{peak} > 80\%$ predicted.

3. Results

Data from a 10-year follow up with CPET and spirometry of CF patients were used to perform our analyses. Baseline characteristics of patients included are shown on Table 1. Pulmonary exacerbations were recorded according to the above definition [12], and Cox proportional hazard models were applied.

Table 1. Baseline characteristics of patients included.

Variable	Mean	SD
Age, years	14, 9	4, 7
Body Mass Index (BMI), kg/m ²	19, 6	3, 3
Height cm	153, 7	14, 4
Weight kg	47, 2	13, 4

SD: Standard deviation.

Cox Proportional Hazards Models

In the univariate analysis sputum culture, FEV₁% predicted, FVC% predicted, VE/VO₂, VE/VCO₂, and PetCO₂ were found to be significant predictors of pulmonary exacerbations (Table 2). When adjusting the CPET models for age, sex, BMI, and sputum culture, the following parameters were found to be significant predictors of pulmonary exacerbations: VO₂peak (Hazard ratio exp(B) (HR × B), 0.988 (0.978, 0.998) $p = 0.019$), VE/VO₂ (hazard ratio (HR × B), 1.033 (1.002, 1.065) $p = 0.038$), PetCO₂ (HR × B 0.954 (0.917, 0.992) $p = 0.017$) and VO₂max (Hazard ratio exp(B) (HR × B), 0.988 (0.979, 0.997) $p = 0.007$). (Table 3). After adjusting for FEV₁, VO₂peak was also found to be significant predictor of upcoming exacerbations (HR × B), 0.988 (0.976, 0.999), $p = 0.042$ (Table 4); for each unit percent increase in VO₂Peak, the relative risk of exacerbation is reduced by 1.2%.

Table 2. Univariate models of Cardiopulmonary Exercise Testing (CPET) Predictors.

Variable	Relative Hazard (95% CI)	p-Value
Age, years	0.878, (0.851, 0.906)	<0.001
BMI, kg/m ²	0.872 (0.842, 0.903)	<0.001
Gender	1.171 (0.88, 1.56)	0.279
Sputum culture	0.314 (0.227, 0.433)	<0.001
FEV ₁ % (%predicted)	0.99 (0.984, 0.996)	0.002
FVC% (%predicted)	0.98 (0.972, 0.988)	<0.001
VO ₂ peak % (%predicted)	1.003 (0.994, 1.012)	0.536
VO ₂ max % (%predicted)	0.993 (0.984, 1.02)	0.147
VE/VO ₂ peak (Peak Ex)	1.037 (1.004, 1.071)	0.029
VE/VCO ₂ peak (Peak Ex)	1.027 (1.001, 1.055)	0.045
PetCO ₂	0.96 (0.923, 0.999)	0.045

Table 3. Multivariate models of Pulmonary Exacerbations (PEx) prediction.

CPET variables	CPET Variable				
	VO ₂ peak	VE/VO ₂ Peak	VE/VCO ₂ Peak	PetCO ₂	VO ₂ max
	0.988 (0.978, 0.998) <i>p</i> = 0.019	1.033 (1.002, 1.065) <i>p</i> = 0.038	1.015 (0.992, 1.039) <i>p</i> = 0.213	0.954 (0.917, 0.992) <i>p</i> = 0.017	0.988 (0.979, 0.997) <i>p</i> = 0.007
Age	0.918 (0.886, 0.951) <i>p</i> < 0.001	0.929 (0.897, 0.961) <i>p</i> < 0.001	0.931 (0.900, 0.964) <i>p</i> < 0.001	0.929 (0.897, 0.917) <i>p</i> < 0.001	0.926 (0.895, 0.958) <i>p</i> < 0.001
Culture	0.451 (0.303, 0.672) <i>p</i> < 0.001	0.492 (0.328, 7.39) <i>p</i> = 0.001	0.479 (0.320, 0.717) <i>p</i> < 0.001	0.468 (0.313, 0.701) <i>p</i> < 0.001	0.461 (0.310, 0.686) <i>p</i> < 0.001

Five multivariate models for risk prediction are presented. Each model corresponds to a CPET variable and FEV is a covariate. In each model, we adjust for gender, age, BMI, and culture. The multivariate analyses adjusted for age, gender, BMI, and sputum culture demonstrated VO₂peak along with VE/VO₂ to be predictors of PEx. Significant correlations are highlighted in bold.

Table 4. Multivariate models of Pulmonary Exacerbations (PEx) prediction, adjusted for FEV₁.

CPET variables	CPET Variable				
	VO ₂ peak	VE/VO ₂ peak	VE/VCO ₂ peak	PetCO ₂	VO ₂ max
	0.988 (0.976, 0.999) <i>p</i> = 0.042	1.002 (0.967, 1.038) <i>p</i> = 0.919	0.980 (0.949, 1.011) <i>p</i> = 0.2	0.964 (0.927, 1.003) <i>p</i> = 0.067	0.994 (0.985, 1.004) <i>p</i> = 0.244
Age	0.846 (0.810, 0.885) <i>p</i> < 0.001	0.860 (0.824, 0.897) <i>p</i> < 0.001	0.853 (0.816, 0.891) <i>p</i> < 0.001	0.862 (0.826, 0.899) <i>p</i> < 0.001	0.861 (0.826, 0.962) <i>p</i> < 0.001
Culture	0.597 (0.390, 0.912) <i>p</i> = 0.017	0.492 (0.328, 7.39) <i>p</i> = 0.001	0.648 (0.423, 0.991) <i>p</i> = 0.045	0.633 (0.413, 0.970) <i>p</i> = 0.036	0.632 (0.415, 0.962) <i>p</i> = 0.032
FEV1	0.980 (0.977, 0.990) <i>p</i> < 0.001	0.492 (0.328, 7.39) <i>p</i> = 0.001	0.977 (0.967, 0.987) <i>p</i> < 0.001	0.980 (0.970, 0.989) <i>p</i> < 0.001	0.981 (0.972, 0.990) <i>p</i> < 0.001

After adjusting for FEV1 only VO₂peak is statistically significant. We can state that for each unit percent that VO₂peak is elevated the relative risk of exacerbation is reduced by 1.2%. Significant correlations are highlighted in bold.

Furthermore, patients were divided into 3 categories according to their exercise capacity (patients with VO₂peak < 60%, patients with 60% < VO₂peak < 80%, and patients with VO₂peak > 80% predicted). Patients in the two higher VO₂peak groups had 4.2- and 4.5-times lower relative risk of having a pulmonary exacerbation than those at the low VO₂peak group (*p* = 0.007 and *p* = 0.005, respectively) (Figure 1).

Similarly, the patients were divided into 3 groups according to their VE/VO₂ and PETCO₂, to assess them as a predictor of exacerbations.

Patients with VE/VO₂ (≤30) and (>30 VE/VO₂ ≤ 35) presented 0.8 and 0.5 times lower relative risk than the high (>35) group, though these differences were not statistically significant (*p* = 0.3 and *p* = 0.103, respectively) (Figure 2). Moreover, patients with PETCO₂ (≤36) and (>36 VE/VO₂ ≤ 40) displayed 1.4 and 1.1 times higher relative risk than the high (>40) category, though again these differences were not statistically significant (*p* = 0.13 and *p* = 0.6 correspondingly), (Figure 3).

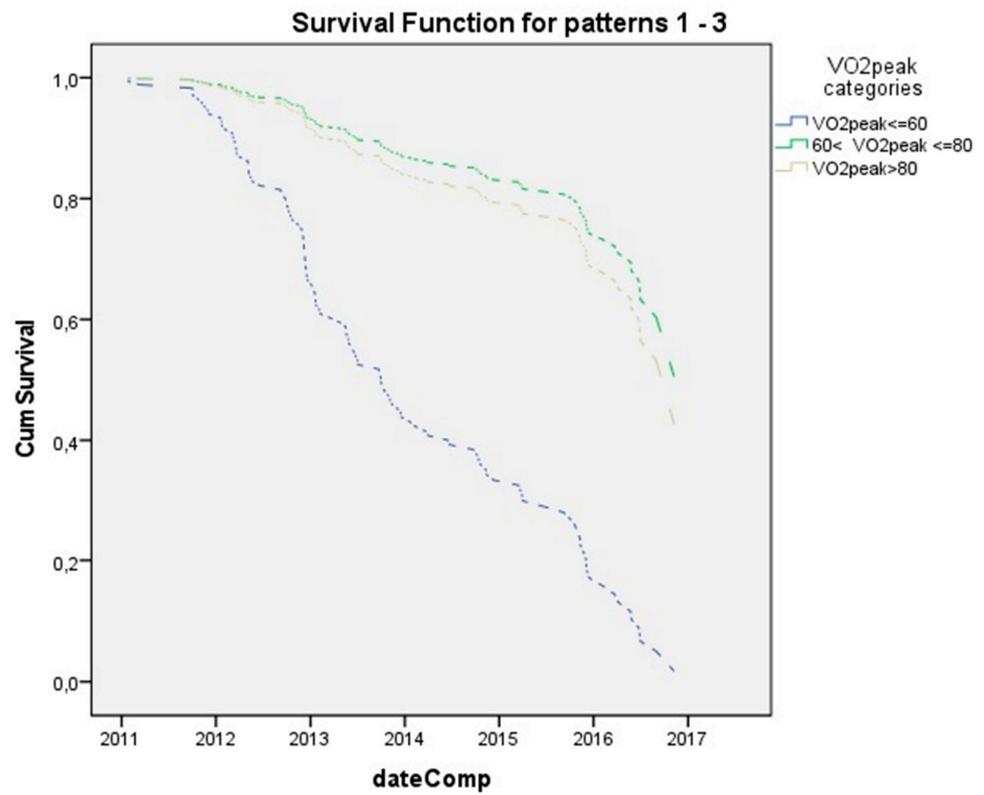


Figure 1. Kaplan-Meier survival curve until first PEx for the three VO₂peak groups’ graph of cumulative survival, for each category of VO₂peak, against time (years since entrance at the mean values of the covariates). Number of patients in each category. VO₂peak ≤ 60%predicted *n* = 7, 60% < VO₂peak %predicted ≤ 80% *n* = 23, and VO₂peak > 80%predicted *n* = 48.

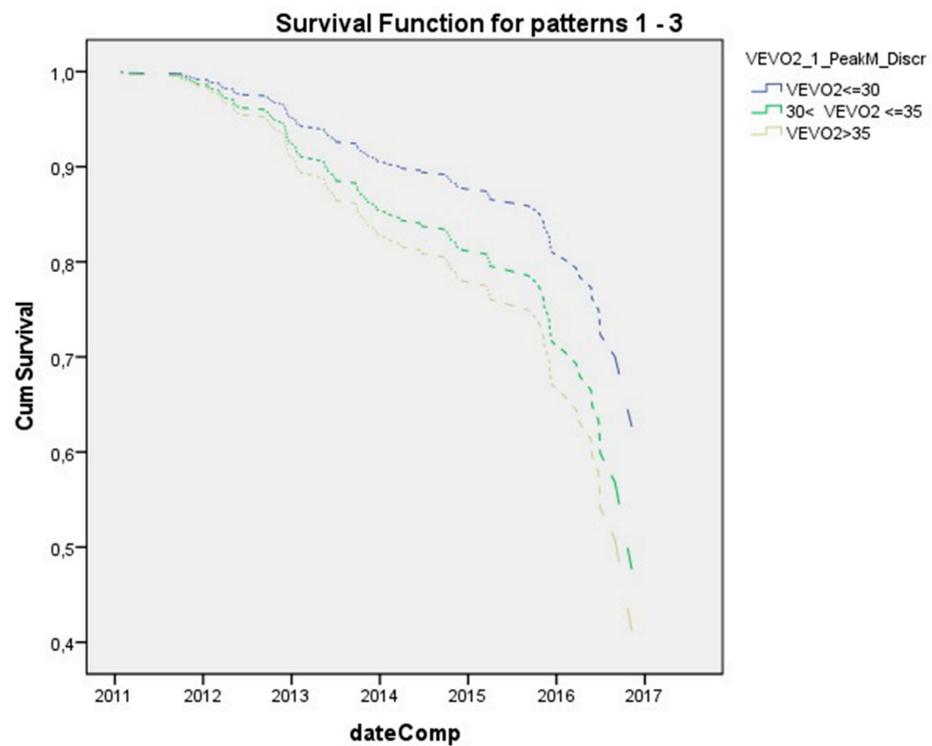


Figure 2. Hazard for exacerbation for three VE/VO₂ groups (VE/VO₂ ≤ 30, 30 < VE/VO₂ ≤ 35, and VE/VO₂ > 35). Number of patients in each category: VE/VO₂ ≤ 30 *n* = 8, 30 < VE/VO₂ ≤ 35 *n* = 33, and VE/VO₂ > 35 *n* = 37.

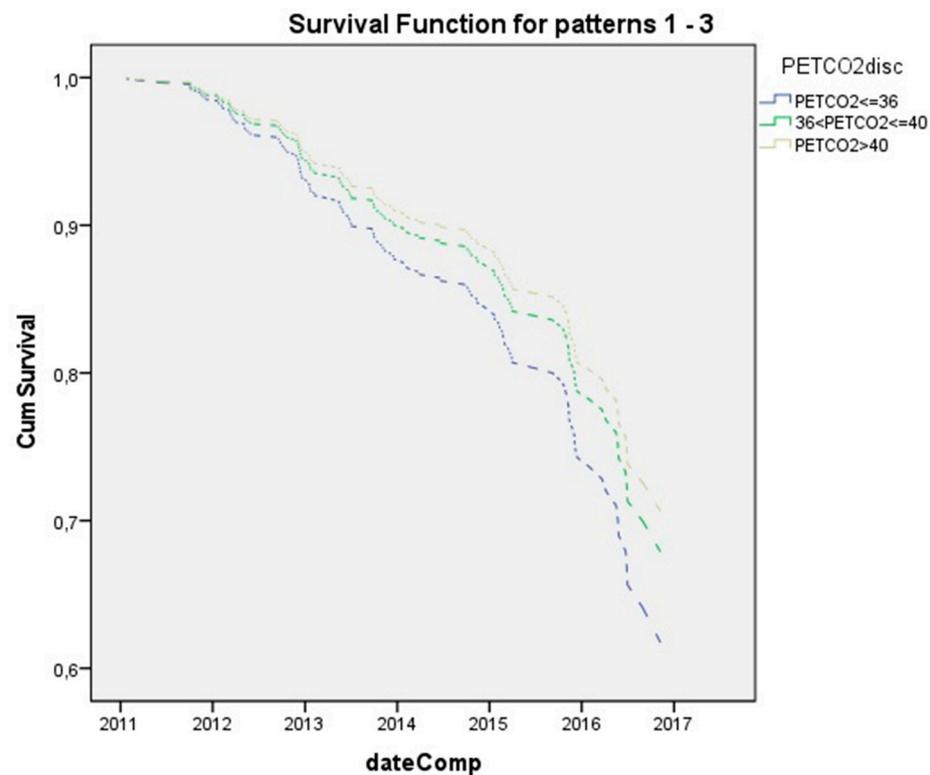


Figure 3. Kaplan-Meier survival curve until first PEx for three PETCO₂ groups (PETCO₂ ≤ 36, 36 < PETCO₂ ≤ 40, and PETCO₂ > 40). PETCO₂ ≤ 36 *n* = 20, 36 < PETCO₂ ≤ 40 *n* = 26, and PETCO₂ > 40 *n* = 20.

4. Discussion

The main finding of this study is that Cardio-Pulmonary Exercise Testing can predict pulmonary exacerbations in patients with CF. To our knowledge, this is the first time this has been reported in the literature.

In recent years, CPET has gained increased interest among researchers in the field of respiratory disorders, especially cystic fibrosis. Since Nixon et al. first reported that CPET could predict mortality, many researchers have focused on confirming this finding [2]. Hebestreit et al. conducted multicenter research to examine whether CPET could predict mortality or lung transplant in a 10-year period [16]. The researchers found not only VO₂ peak but also ventilatory inefficiency indexes VE/VO₂ and VE/VCO₂ to be strong predictors of upcoming mortality. Another study employing univariate models recognized VE/VO₂ peak [3] as an indicator of mortality in adults.

Extending this concept, one could deduce that as these indices can predict mortality they could probably be also used as indicators for the events that lead to death in these patients' pulmonary exacerbations. VE/VO₂ peak and VE/VCO₂ peak have been found to reflect structural lung damages, as noted on high-resolution computed tomography (HRCT) [17], and to be indicators of ventilatory inefficiency [18]. Chronic lung inflammation and remodeling are part of the mechanisms that lead to increased mortality in CF [19]. As airway inflammation and remodeling have progressed, ventilation inhomogeneity and ventilation inefficiency have become established [20]. This parallel progression can imply that both inflammation and ventilatory inefficiency might be related. Hebestreit et al. recognized VE/VO₂ and VE/VCO₂—the main ventilatory efficiency indexes—as prognostic factors for mortality [16].

In our study, and after multivariate analysis and adjustment, we recognized VE/VO₂ along with PetCO₂ and VO₂ peak as predictors of pulmonary exacerbation (PEx) in patients with CF. Moorcroft et al. recognized VE/VO₂ peak as a strong predictor of mortality in adult patients with CF [3], and it was also found to be a strong mortality predictor in

children [5]. This prognostic importance of the ventilation efficiency index is strengthened in our study as it is found to be indicative not only of death but of the preceding pulmonary exacerbations that increase the disease burden in CF and eventually lead to death. Along with VE/VO_2 , end-tidal CO_2 exhalation and VO_{2peak} were found to be significant predictors of pulmonary exacerbations in CF, highlighting the role of CPET in monitoring disease severity and assessing upcoming disease exacerbations.

When looking at patients regarding their aerobic capacity, patients with $VO_{2peak} < 60\%$ predicted showed about 80% more risk in developing a PEx during the following months in comparison to patients with $VO_{2peak} > 60\%$ predicted. This is noted for the first time in literature and is of great clinical importance. Aerobic capacity is measured by a maximal cardiopulmonary exercise test with VO_{2peak} —the amount of oxygen a person's lungs absorbs during maximal exercise. Low aerobic capacity can be due to severe disease or deconditioning [21]. In CF, $VO_{2peak} < 60\%$ predicted has been associated with poor survival [2,6]. The results of our study suggest that not only is low aerobic capacity associated with worse prognosis but it can lead to more frequent exacerbations as well. In other words, the less fit a patient seems to be, the more prone to exacerbations he is. Hence, regular estimation of a patient's exercise capacity helps identify those in danger of exacerbations. Even though the European Cystic Fibrosis Society recommends CPET as the gold standard method of assessing aerobic capacity, neither do all CF centers have CPET equipment nor can all patients undergo an exercise test periodically [22]. In this context, the findings of this study should not be considered as a mandate on performing CPETs but more as an encouragement to identify patients early on suspected of presenting low aerobic capacity. Even if CPET equipment is not available, other methods too [23–26] can allow for a rough assessment of a subject's fitness levels. By recognizing patients with poor fitness, exercise interventions could be initiated. Preliminary data have shown that exercise training in CF patients can improve exercise capacity [16,27], whereas implementation of physical conditioning programs [16,28,29] along with escalation of medical treatment [30] can lead to avoidance of exacerbations. However, even though data on how physical training interventions can improve exercise capacity in CF have been published, there is a lack of evidence-based trials that substantiate these early findings [31], and future research should probably focus more to the merits of exercise in reducing exacerbation risk.

We observed that patients with $60 < VO_{2peak} < 80\%$ predicted at some points presented less possibility than those with $VO_{2peak} > 80\%$ predicted, a finding that comes as a surprise and to our knowledge could not be attributed to anything. However, it must be noted that there is no statistically significant difference between the two as is to the first category of $VO_{2peak} < 60\%$.

5. Conclusions

Data from this 10-year single-center study show that VE/VO_2 , $PetCO_2$, and VO_{2peak} are significant predictors of pulmonary exacerbations in CF. Patients with low aerobic capacity present 4.5-times higher risk of developing a pulmonary exacerbation. CPET not only can provide data on mortality but also on upcoming exacerbations. The finding that lower exercise capacity is associated with an increased likelihood of exacerbation can prove of great help in everyday CF clinical care. In this context, motivating CF patients to maintain high fitness levels can lead to fewer pulmonary exacerbations and better quality of life.

Author Contributions: A.K., E.H. and J.T. contributed substantially to the study design; A.K. and T.K. contributed to the data analysis and interpretation. A.K., E.H., T.K. and J.T. had full access to all the data in the study and took responsibility for the integrity of the data and the accuracy of the data analysis. A.K., V.A., K.K. and F.K. performed the CPET measurements. All the authors contributed to the writing of the manuscript. E.H., A.K. and J.T. reviewed and edited the manuscript. E.H. and J.T. are guarantors. All authors have read and agreed to the published version of the manuscript.

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Informed Consent Statement: Informed consent was obtained from all subjects involved in the study.

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Conflicts of Interest: The authors declare no conflict of interest.

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