

New Refinement of the Operator Kantorovich Inequality

Hamid Reza Moradi ^{1,*}, Shigeru Furuichi ² and Zahra Heydarbeygi ¹

¹ Department of Mathematics, Payame Noor University (PNU), P.O. Box 19395-4697, Tehran, Iran; zheydarbeygi@yahoo.com

² Department of Information Science, College of Humanities and Sciences, Nihon University, Tokyo 102-0074, Japan; furuichi@chs.nihon-u.ac.jp

* Correspondence: hrmoradi@mshdiau.ac.ir or hrmoradi.68@gmail.com; Tel.: +98-915-529-5869

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Abstract: We focus on the improvement of operator Kantorovich type inequalities. Among the consequences, we improve the main result of the paper [H.R. Moradi, I.H. Gümüş, Z. Heydarbeygi, A glimpse at the operator Kantorovich inequality, Linear Multilinear Algebra, doi:10.1080/03081087.2018.1441799].

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1. Notation and Preliminaries

At the beginning of this paper, we cite the following inequality which is called the operator Kantorovich inequality [1]:

$$\Phi(A^{-1}) \leq \frac{(M+m)^2}{4Mm} \Phi(A)^{-1} \quad (1)$$

where Φ is a normalized positive linear map from $\mathcal{B}(\mathcal{H})$ to $\mathcal{B}(\mathcal{K})$, (we represent \mathcal{H} and \mathcal{K} as complex Hilbert spaces throughout the paper) and A is a positive operator with spectrum contained in $[m, M]$ with $0 < m < M$. This is a non-commutative analogue of the classical inequality [2],

$$\langle Ax, x \rangle \langle A^{-1}x, x \rangle \leq \frac{(M+m)^2}{4Mm}$$

where $x \in \mathcal{H}$ is a unit vector.

In recent years, various attempts have been made by many authors to improve and generalize the operator Kantorovich inequality. One may see the basic references [3–5] and the excellent survey [6] on this topic. In [7], it was shown that

$$\Phi(A^{-1}) \leq \Phi\left(m^{\frac{A-M}{M-m}} M^{\frac{mI-A}{M-m}}\right) \leq \frac{(M+m)^2}{4Mm} \Phi(A)^{-1}. \quad (2)$$

The main aim of the present short paper is to improve both inequalities in (2). Actually, we prove that

$$\begin{aligned}\Phi(A^{-1}) &\leq \Phi\left(\left(A - (\sqrt{m} - \sqrt{M})^2 r(A)\right)^{-1}\right) \\ &\leq \Phi\left(\left(m^{\frac{A-M}{M-m}} M^{\frac{mI-A}{M-m}}\right)^{-1}\right) \\ &\leq \frac{(M+m)^2}{4Mm} \Phi(A)^{-1} - \left(\frac{(\sqrt{M} - \sqrt{m})^2}{Mm}\right) r(A)\end{aligned}$$

where $r(A) = \min\left\{\frac{MI-A}{M-m}, \frac{A-mI}{M-m}\right\} = \frac{1}{2}I - \frac{1}{M-m}\left|A - \frac{M+m}{2}I\right|$.

In what follows, an operator means a bounded linear one acting on a complex Hilbert space \mathcal{H} . As customary, we reserve m, M for scalars and I for the identity operator. A self-adjoint operator A is said to be positive if $\langle Ax, x \rangle \geq 0$ holds for all $x \in \mathcal{H}$. A linear map Φ is positive if $\Phi(A) \geq 0$ whenever $A \geq 0$. It is said to be normalized if $\Phi(I) = I$. We denote by $\sigma(A)$ the spectrum of the operator A .

2. Main Results

Before we present the proof of our theorems, we begin with a general observation. We say that a non-negative function f on $[0, \infty)$ is geometrically convex [8] when

$$f(a^{1-v}b^v) \leq f(a)^{1-v}f(b)^v \quad (3)$$

for all $a, b > 0$ and $v \in [0, 1]$. Equivalently, a function f is geometrically convex if and only if the associated function $F(y) = \log(f(e^y))$ is convex.

Example 1 ([9] Example 2.12). Given real numbers $c_i \geq 0$ and $p_i \in (-\infty, 0] \cup [1, \infty)$ for $i = 1, \dots, n$, the function $f(t) = \sum_{i=1}^n c_i t^{p_i}$ is geometrically convex on $(0, \infty)$.

Kittaneh and Manasrah [10] Theorem 2.1 obtained a refinement of the weighted arithmetic-geometric mean inequality as follows:

$$a^{1-v}b^v \leq (1-v)a + vb - r(\sqrt{a} - \sqrt{b})^2 \quad (4)$$

where $r = \min\{v, 1-v\}$.

Now, if f is a decreasing geometrically convex function, then

$$\begin{aligned}f((1-v)a + vb) &\leq f\left((1-v)a + vb - r(\sqrt{a} - \sqrt{b})^2\right) \\ &\leq f(a^{1-v}b^v) \\ &\leq f(a)^{1-v}f(b)^v \\ &\leq (1-v)f(a) + vf(b) - r\left(\sqrt{f(a)} - \sqrt{f(b)}\right)^2 \\ &\leq (1-v)f(a) + vf(b)\end{aligned} \quad (5)$$

where the first inequality follows from the inequality $(1-v)a + vb - r(\sqrt{a} - \sqrt{b})^2 \leq (1-v)a + vb$ and the fact that f is decreasing function, in the second inequality we used (4), the third inequality is obvious by (3), and the fourth inequality again follows from (4) by interchanging a by $f(a)$ and b by $f(b)$.

Of course, each decreasing geometrically convex function is also convex. However, the converse does not hold in general.

The inequality (5) applied to $a = m, b = M, 1-v = \frac{M-t}{M-m}$, and $v = \frac{t-m}{M-m}$ gives

$$\begin{aligned} f(t) &\leq f\left(t - \left(\sqrt{m} - \sqrt{M}\right)^2 r(t)\right) \\ &\leq f\left(m^{\frac{M-t}{M-m}} M^{\frac{t-m}{M-m}}\right) \\ &\leq f(m)^{\frac{M-t}{M-m}} f(M)^{\frac{t-m}{M-m}} \\ &\leq \frac{M-t}{M-m} f(m) + \frac{t-m}{M-m} f(M) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(t) \\ &\leq \frac{M-t}{M-m} f(m) + \frac{t-m}{M-m} f(M) \end{aligned} \quad (6)$$

with $r(t) = \min\left\{\frac{t-m}{M-m}, \frac{M-t}{M-m}\right\} = \frac{1}{2} - \frac{1}{M-m} \left|t - \frac{M+m}{2}\right|$ whenever $t \in [m, M]$.

In order to establish our promised refinement of the operator Kantorovich inequality, we also use the well-known monotonicity principle for bounded self-adjoint operators on Hilbert space (see, e.g., [6] (p. 3)): If $A \in \mathcal{B}(\mathcal{H})$ is a self-adjoint operator, then

$$f(t) \leq g(t), t \in \sigma(A) \Rightarrow f(A) \leq g(A) \quad (7)$$

provided that f and g are real-valued continuous functions. Under the same assumptions, $h(t) = |t|$ implies $h(A) = |A|$.

Now, we are in a position to state and prove our main results. We remark that the following theorem can be regarded as an extension of [5] Remark 4.14 to the context of geometrical convex functions.

Theorem 1. Let $A \in \mathcal{B}(\mathcal{H})$ be a self-adjoint operator with $\sigma(A) \subseteq [m, M]$ for some scalars m, M with $0 < m < M$ and Φ be a normalized positive linear map from $\mathcal{B}(\mathcal{H})$ to $\mathcal{B}(\mathcal{K})$. If f is strictly positive decreasing geometrically convex function, then

$$\begin{aligned} \Phi\left(f\left(A - \left(\sqrt{m} - \sqrt{M}\right)^2 r(A)\right)\right) &\leq \Phi\left(f\left(m^{\frac{MI-A}{M-m}} M^{\frac{A-mI}{M-m}}\right)\right) \\ &\leq \mu(m, M, f) f(\Phi(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 \Phi(r(A)) \end{aligned}$$

where $r(A) = \min\left\{\frac{A-mI}{M-m}, \frac{MI-A}{M-m}\right\} = \frac{1}{2}I - \frac{1}{M-m} \left|A - \frac{M+m}{2}I\right|$ and

$$\mu(m, M, f) = \max\left\{\frac{1}{f(t)} \left(\frac{M-t}{M-m} f(m) + \frac{t-m}{M-m} f(M)\right) : t \in [m, M]\right\}.$$

Proof. On account of the assumptions, from parts of (6), we have

$$\begin{aligned} f\left(t - \left(\sqrt{m} - \sqrt{M}\right)^2 r(t)\right) &\leq f\left(m^{\frac{M-t}{M-m}} M^{\frac{t-m}{M-m}}\right) \\ &\leq L(t) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(t) \end{aligned} \quad (8)$$

where

$$L(t) = \frac{M-t}{M-m} f(m) + \frac{t-m}{M-m} f(M).$$

Note that inequality (8) holds for all $t \in [m, M]$. On the other hand, $\sigma(A) \subseteq [m, M]$, which, by virtue of monotonicity principle (7) for operator functions, yields the series of inequalities

$$\begin{aligned} f\left(A - \left(\sqrt{m} - \sqrt{M}\right)^2 r(A)\right) &\leq f\left(m^{\frac{M-A}{M-m}} M^{\frac{A-m}{M-m}}\right) \\ &\leq L(A) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(A). \end{aligned}$$

It follows from the linearity and the positivity of the map Φ that

$$\begin{aligned} \Phi\left(f\left(A - \left(\sqrt{m} - \sqrt{M}\right)^2 r(A)\right)\right) &\leq \Phi\left(f\left(m^{\frac{M-A}{M-m}} M^{\frac{A-m}{M-m}}\right)\right) \\ &\leq \Phi(L(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 \Phi(r(A)). \end{aligned}$$

Now, by using [5] Corollary 4.12 we get

$$\begin{aligned} \Phi\left(f\left(A - \left(\sqrt{m} - \sqrt{M}\right)^2 r(A)\right)\right) &\leq \Phi\left(f\left(m^{\frac{M-A}{M-m}} M^{\frac{A-m}{M-m}}\right)\right) \\ &\leq \Phi(L(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 \Phi(r(A)) \\ &\leq \mu(m, M, f) f(\Phi(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 \Phi(r(A)). \end{aligned}$$

This completes the proof. \square

As discussed extensively in [6] Chapter 2, for $f(t) = t^p$, we have

$$\begin{aligned} \mu(m, M, t^p) &= \max \left\{ \frac{1}{t^p} \left(\frac{M-t}{M-m} m^p + \frac{t-m}{M-m} M^p \right) : t \in [m, M] \right\} \\ &= \frac{(mM^p - Mm^p)}{(p-1)(M-m)} \left(\frac{p-1}{p} \frac{M^p - m^p}{mM^p - Mm^p} \right)^p. \end{aligned}$$

Now, the following fact can be easily deduced from Theorem 1 and Example 1.

Corollary 1. Let $A \in \mathcal{B}(\mathcal{H})$ be a positive operator with $\sigma(A) \subseteq [m, M]$ for some scalars m, M with $0 < m < M$ and Φ be a normalized positive linear map from $\mathcal{B}(\mathcal{H})$ to $\mathcal{B}(\mathcal{K})$. Then for any $p < 0$,

$$\begin{aligned}\Phi(A^p) &\leq \Phi\left(\left(A - (\sqrt{m} - \sqrt{M})^2 r(A)\right)^p\right) \\ &\leq \Phi\left(\left(m^{\frac{A-MI}{M-m}} M^{\frac{mI-A}{M-m}}\right)^p\right) \\ &\leq K(m, M, p) \Phi(A)^p - \left(m^{p/2} - M^{p/2}\right)^2 \Phi(r(A))\end{aligned}$$

where

$$K(m, M, p) = \frac{(mM^p - Mm^p)}{(p-1)(M-m)} \left(\frac{p-1}{p} \frac{M^p - m^p}{mM^p - Mm^p}\right)^p.$$

In particular,

$$\begin{aligned}\Phi(A^{-1}) &\leq \Phi\left(\left(A - (\sqrt{m} - \sqrt{M})^2 r(A)\right)^{-1}\right) \\ &\leq \Phi\left(\left(m^{\frac{A-MI}{M-m}} M^{\frac{mI-A}{M-m}}\right)^{-1}\right) \\ &\leq \frac{(M+m)^2}{4Mm} \Phi(A)^{-1} - \left(\frac{(\sqrt{M} - \sqrt{m})^2}{Mm}\right) \Phi(r(A)).\end{aligned}$$

We note that $K(m, M, -1) = \frac{(M+m)^2}{4Mm}$ is the original Kantorovich constant.

Theorem 2. Let all the assumptions of Theorem 1 hold. Then

$$\begin{aligned}f\left(\Phi(A) - (\sqrt{m} - \sqrt{M})^2 r(\Phi(A))\right) &\leq f\left(m^{\frac{MI - \Phi(A)}{M-m}} M^{\frac{\Phi(A) - mI}{M-m}}\right) \\ &\leq \mu(m, M, f) \Phi(f(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(\Phi(A)).\end{aligned}$$

Proof. By applying a standard functional calculus for the operator $\Phi(A)$ such that $mI \leq \Phi(A) \leq MI$, we get from (8)

$$\begin{aligned}f\left(\Phi(A) - (\sqrt{m} - \sqrt{M})^2 r(\Phi(A))\right) &\leq f\left(m^{\frac{MI - \Phi(A)}{M-m}} M^{\frac{\Phi(A) - mI}{M-m}}\right) \\ &\leq \Phi(L(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(\Phi(A)).\end{aligned}$$

We thus have

$$\begin{aligned}
 f\left(\Phi(A) - \left(\sqrt{m} - \sqrt{M}\right)^2 r(\Phi(A))\right) &\leq f\left(m^{\frac{M\mathbb{I} - \Phi(A)}{M-m}} M^{\frac{\Phi(A) - m\mathbb{I}}{M-m}}\right) \\
 &\leq L(\Phi(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(\Phi(A)) \\
 &= \Phi(L(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(\Phi(A)) \\
 &\leq \mu(m, M, f) \Phi(f(A)) - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 r(\Phi(A))
 \end{aligned}$$

where at the last step we used the basic inequality [5] Corollary 4.12.

Hence, the proof is complete. \square

As a corollary of Theorem 2 we have:

Corollary 2. *Let all the assumptions of Corollary 1 hold. Then for any $p < 0$*

$$\begin{aligned}
 \Phi(A)^p &\leq \left(\Phi(A) - \left(\sqrt{m} - \sqrt{M}\right)^2 r(\Phi(A))\right)^p \\
 &\leq \left(m^{\frac{M\mathbb{I} - \Phi(A)}{M-m}} M^{\frac{\Phi(A) - m\mathbb{I}}{M-m}}\right)^p \\
 &\leq K(m, M, p) \Phi(A^p) - \left(\sqrt{m^p} - \sqrt{M^p}\right)^2 r(\Phi(A)).
 \end{aligned}$$

Remark 1. *Notice that the inequalities in Corollary 2 are stronger than the inequalities obtained in [11] Corollary 2.1.*

Recall that if f is operator convex, the solidarities [12] or the perspective [13] of f is defined by

$$\mathcal{P}_f(A | B) = A^{\frac{1}{2}} f\left(A^{-\frac{1}{2}} B A^{-\frac{1}{2}}\right) A^{\frac{1}{2}}.$$

Using a series of inequalities (6) we have the upper bounds of the perspective for non-negative decreasing geometrically convex function (not necessary operator convex f). We use the same symbol $\mathcal{P}_f(A | B)$ for a simplicity.

Proposition 1. *Let $A, B > 0$ with $mA \leq B \leq MA$ for some scalars $0 < m < M$. For a non-negative decreasing geometrically convex function f , we have*

$$\begin{aligned}
 \mathcal{P}_f(A | B) &\leq A^{1/2} f\left(A^{-1/2} B A^{-1/2} - \left(\sqrt{m} - \sqrt{M}\right)^2 r(A, B)\right) A^{1/2} \\
 &\leq A^{1/2} f\left(m^{\frac{M\mathbb{I} - A^{-1/2} B A^{-1/2}}{M-m}} M^{\frac{A^{-1/2} B A^{-1/2} - m\mathbb{I}}{M-m}}\right) A^{1/2} \\
 &\leq A^{1/2} f(m)^{\frac{M\mathbb{I} - A^{-1/2} B A^{-1/2}}{M-m}} f(M)^{\frac{A^{-1/2} B A^{-1/2} - m\mathbb{I}}{M-m}} A^{1/2} \\
 &\leq \frac{Mf(m) - mf(M)}{M-m} A + \frac{f(M) - f(m)}{M-m} B - \left(\sqrt{f(m)} - \sqrt{f(M)}\right)^2 A^{1/2} r(A, B) A^{1/2} \\
 &\leq \frac{Mf(m) - mf(M)}{M-m} A + \frac{f(M) - f(m)}{M-m} B,
 \end{aligned}$$

where

$$r(A, B) = \min \left\{ \frac{A^{-1/2} B A^{-1/2} - mI}{M - m}, \frac{MI - A^{-1/2} B A^{-1/2}}{M - m} \right\} \\ = \frac{1}{2}I - \frac{1}{M - m} \left| A^{-1/2} B A^{-1/2} - \frac{M + m}{2}I \right|.$$

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