

## Article

# Identifying the Association of Time-Averaged Serum Albumin Levels with Clinical Factors among Patients on Hemodialysis Using Whale Optimization Algorithm

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**Abstract:** Time-averaged serum albumin (TSA) is commonly associated with clinical outcomes in hemodialysis (HD) patients and considered as a surrogate indicator of nutritional status. The whale optimization algorithm-based feature selection (WOFS) model could address the complex association between the clinical factors, and could further combine with regression models for application. The present study aimed to demonstrate an optimal multifactor TSA-associated model, in order to interpret the complex association between TSA and clinical factors among HD patients. A total of 829 HD patients who met the inclusion criteria were selected for analysis. Monthly serum albumin data tracked from January 2009 to December 2013 were converted into TSA categories based on a critical value of 3.5 g/dL. Multivariate logistic regression was used to analyze the association between TSA categories and multiple clinical factors using three types of feature selection models, namely the fully adjusted, stepwise, and WOFS models. Five features, albumin, age, creatinine, potassium, and HD adequacy index (Kt/V level), were selected from fifteen clinical factors by the WOFS model, which is the minimum number of selected features required in multivariate regression models for optimal multifactor model construction. The WOFS model yielded the lowest Akaike information criterion (AIC) value, which indicated that the WOFS model could achieve superior performance in the multifactor analysis of TSA for HD patients. In conclusion, the application of the optimal multifactor TSA-associated model could facilitate nutritional status monitoring in HD patients.

**Keywords:** feature selection; hemodialysis; time-averaged serum albumin; whale optimization algorithm



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## 1. Introduction

The assessment of clinical status in hemodialysis (HD) patients commonly relies on longitudinal clinical observations, such as on-schedule laboratory tests or physical examinations [1–3]. Previous studies have demonstrated that various clinical assessment parameters in HD patients, such as functional status, inflammation, hospitalization, and mortality, are directly or indirectly associated with nutritional status [4–7]. Serum albumin level is a common index used to monitor nutritional status in HD patients [8–10]. Previous studies have reported that low time-averaged serum albumin (TSA) levels are potentially associated with poor survival outcomes [3,11]. Therefore, TSA can be considered as a surrogate indicator of changes in nutritional status and survival outcomes [12]. However, TSA may be simultaneously influenced by multiple clinical factors [8,13,14].

The association between TSA and clinical factors is commonly evaluated using statistical approaches such as regression models [13,15]. Regression models have been extensively applied in the evaluation of associations between clinical factors, and have exhibited robust model performance and promising results [16–18]. However, feature selection procedures in regression analyses are often limited by complex multifactor interactions [19–22]. The optimization algorithms have been widely used in biomedicine problems [23–25]. The whale optimization algorithm (WOA) is an optimization algorithm that simulates humpback whale predation behavior and has been adopted in multifactor feature selection activities due to its high compatibility and merits with regard to complex trait computation [26–28]. The WOA may accelerate the convergence of complex trait interactions among multiple factors [29–31]. Therefore, the use of a combination of the WOA and a regression model is feasible and may enhance model performance.

Although previous studies have explored the association between TSA and clinical factors [3,11], discussions on the optimal multifactor model associated with TSA are lacking. The aim of the present study was to develop an optimal multifactor TSA-associated model that could facilitate the interpretation of the association between TSA and clinical factors in HD patients using a whale optimization algorithm-based feature selection (WOFS) model. The tool could be applied in optimal multifactor modeling and facilitate malnutrition prevention in HD patients.

## 2. Methods

### 2.1. Datasets

A total of 874 patients who were continuing to receive HD treatment (three times per week) at Kaohsiung Chang Gung Memorial Hospital in Taiwan were selected, and 829 patients who met the study inclusion criteria were analyzed. The inclusion criteria were patients aged over 20 years and obtaining complete data in the study period. All data were retrospectively collected using an approved data protocol (201800595B0) with a waiver of informed consent from patients. All patients were tracked from 1 January 2009 to 31 December 2013. The serum albumin data were collected monthly and converted into a TSA value. The patients were divided into high-TSA ( $\geq 3.5$  g/dL) and low-TSA ( $< 3.5$  g/dL) categories based on their TSA values. Data on the baseline characteristics and TSA-associated factors, including dialysis vintage, age, gender, and diabetes mellitus (DM) status, were also collected. In addition, the baseline laboratory parameters of hemoglobin, blood urea nitrogen (BUN), creatinine, potassium, calcium, phosphate, intact parathyroid hormone (iPTH), ferritin, HD adequacy index (Kt/V score, Daugirdas), urea reduction ratio (URR), and cardiothoracic ratio measured in chest radiography were measured.

### 2.2. Whale Optimization-Based Feature Selection Model

Mirjalili and Lewis proposed an optimization algorithm base on whales' special hunting method in 2016 [26]. Lu and Ma proposed a modified whale optimization algorithm to predict software reliability [32]. The WOFS model was used to accelerate convergence and determine the factors mostly associated with TSA in HD patients. A schematic of the WOFS model is illustrated in Figure 1. The model is divided into two phases, namely the exploitation phase and the exploration phase, executed in that order. The procedures were executed as follows.

The exploitation phase applies a local search approach, which is divided into two behavior patterns, namely a shrinking encircling mechanism and a spiral updating procedure. The shrinking encircling mechanism is used to update the current position based on the current best solutions, which are presented in Equations (1)–(6):

$$\vec{D} = \left| \vec{C} \cdot \vec{X}^*(t) - \vec{X}(t) \right| \quad (1)$$

$$\vec{X}(t+1) = \vec{X}^*(t) - \vec{A} \cdot \vec{D} \quad (2)$$

where  $t$  is the current iteration,  $\vec{X}(t)$  is the current position vector solution,  $\vec{X}^*(t)$  is the best solution of the current position vector,  $\vec{X}(t+1)$  is the updated position vector of the solution,  $||$  is the absolute value, and  $\vec{A}$  and  $\vec{C}$  are coefficient vectors.  $\vec{A}$  and  $\vec{C}$  are defined in Equations (3) and (4):

$$\vec{A} = 2\vec{a} \cdot \vec{r} - \vec{a} \quad (3)$$

$$\vec{C} = 2\vec{r} \quad (4)$$

where  $r$  is a random vector in the range  $[0, 1]$ . The current position vector is updated according to Equation (2). The values of  $\vec{A}$  and  $\vec{C}$  influence the range of the area over which the current position vector can be moved. The calculation of  $\vec{a}$  is shown in Equation (5):

$$\vec{a} = 2 - t \frac{2}{MaxIter} \quad (5)$$

where  $MaxIter$  is the maximum number of allowed iterations. According to Equation (5),  $\vec{a}$  decreases linearly from 2 to 0 over the iterations, which, in turn, reduces the movable range of the shrinking encircling mechanism within the iterations. Therefore,  $\vec{X}(t)$  and  $\vec{X}^*(t)$  are used to establish a spiral equation to simulate the spiral movement of humpback whales to update the current best position. The spiral movement updating procedures are shown in Equations (6)–(8):

$$\vec{X}(t+1) = \vec{D}' \cdot e^{bl} \cdot \cos(2\pi l) + \vec{X}^*(t) \quad (6)$$

$$\vec{D}' = \left| \vec{X}^*(t) - \vec{X}(t) \right| \quad (7)$$

where  $l$  is a random number in the range  $[-1, 1]$ ,  $b$  is a constant defining the shape of the spiral, and  $\vec{D}'$  is the distance between the whale  $\vec{X}(t)$  and the prey. The trigger probability ( $p$ ) of Equation (8) is set to 0.5 in the simulation process:

$$\vec{X}(t+1) = \begin{cases} \vec{X}^*(t) - \vec{A} \cdot \vec{D} & \text{if } p < 0.5 \\ \vec{D}' \cdot e^{bl} \cdot \cos(2\pi l) + \vec{X}^*(t) & \text{if } p \geq 0.5 \end{cases} \quad (8)$$

where  $p$  is a random number in  $[0, 1]$ . When  $|\vec{A}| < 1$ , Equation (8) is used to update the position.

The exploration phase mainly involves global search. When  $|\vec{A}| \geq 1$  enters the exploration stage, this could result in updating the current individual's position vector with a randomly selected whale. Since  $|\vec{A}|$  must be greater than 1 at this stage, according to Equation (10), the updated position will deviate from the reference whale position, and the purpose of global search would be achieved.

$$\vec{D} = \left| \vec{C} \cdot X_{rand} - \vec{X} \right| \quad (9)$$

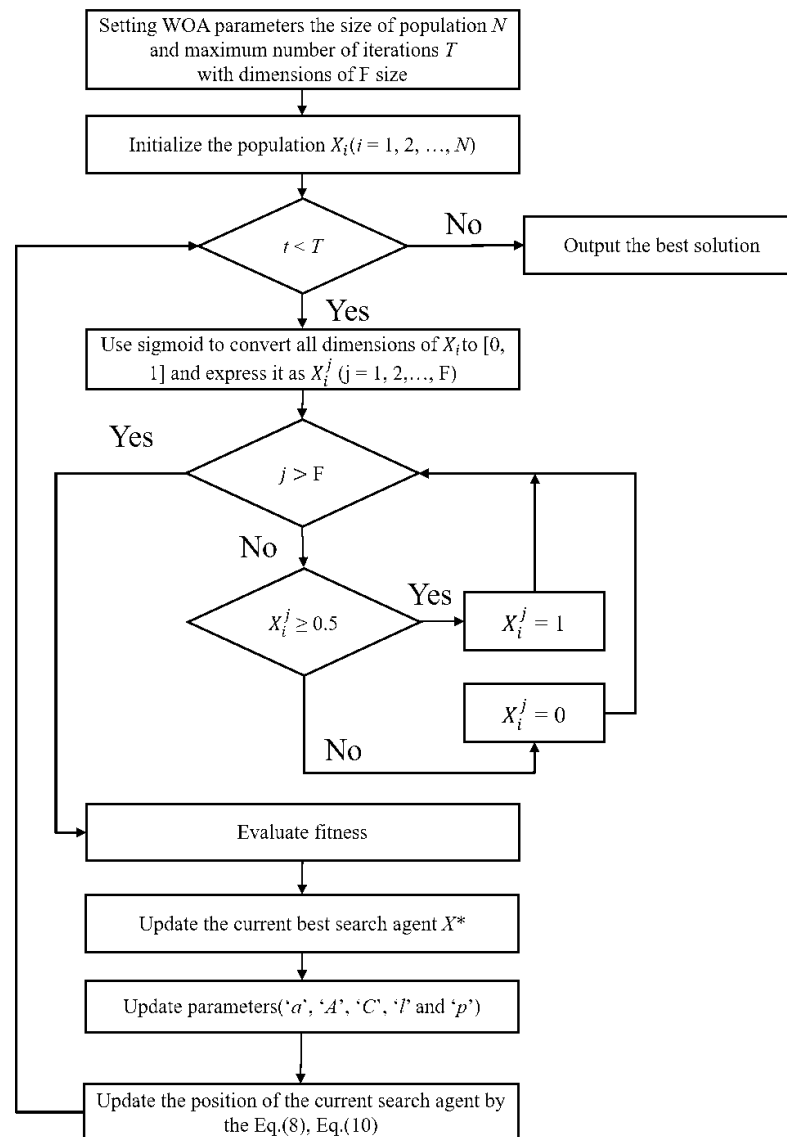
$$\vec{X}(t+1) = X_{rand} - \vec{A} \cdot \vec{D} \quad (10)$$

where  $X_{rand}$  is a random whale position vector selected from the current population.

$$y^k = \frac{1}{1 + e^{-v_i^k(t)}} \quad (11)$$

$$X_i^d = \begin{cases} 1 & \text{if } S(x_i^k(t+1)) \geq 0.5 \\ 0 & \text{otherwise} \end{cases} \quad (12)$$

where  $S$  indicates the sigmoid conversion, and  $x_i^k(t+1)$  indicates the  $i$ th whale in the vector of the  $k$ th dimension in the  $(t+1)$  iteration. A threshold of 0.5 is set to fit the binary trait. When the dimension  $k$  is 1, this means that the feature is selected; otherwise, the feature is excluded. Afterwards, the computed value is mapped using the sigmoid function and converted into an integer of 1 or 0.



**Figure 1.** WOFS model flowchart.

### 2.3. Statistical Models

The distributions of baseline characteristics and TSA-associated factors of HD patients were summarized into median (interquartile range), mean (standard deviation), or frequency (percentage) according to the TSA categories. The differences between the TSA categories were estimated using an independent two-sample  $t$ -test or a chi-squared test, as appropriate. Pearson's correlation analysis was performed to draw a correlation plot and correlation heatmap to evaluate the collinearity between TSA and clinical factors. The associations between TSA categories and individual factors were analyzed using univariate logistic regression analysis. Multivariate logistic regressions were used to analyze

the associations between TSA categories and multiple factors using three types of feature selection models. The fully adjusted model included all factors, the stepwise model used a critical  $p$ -value of 0.2 to include associated factors, and the WOFs model included factors through a WOA algorithm. The odds ratios (ORs) and 95% confidence intervals (CIs) were computed. The performance of the multivariate logistic regression models was compared according to the Akaike information criterion (AIC), and a low AIC value indicated a low prediction error of the corresponding model. All  $p$ -values were two-tailed, and a  $p$ -value less than 0.05 was considered statistically significant. All analyses were performed using R v3.6.1 (R Development Core Team 2013).

### 3. Results

Table 1 summarizes the baseline characteristics of both TSA categories. A total of 735 high-TSA individuals with a median dialysis vintage of 5.74 (2.85–10.31) years, and 94 low-TSA individuals with a median dialysis vintage of 4.49 (2.17–9.20) years, were analyzed. The low-TSA category consisted of significantly older individuals ( $p < 0.001$ ) and a higher proportion of individuals with DM ( $p = 0.013$ ) when compared with the high-TSA category. In laboratory measurements, the low-TSA category also had significantly low baseline hemoglobin ( $p = 0.014$ ), albumin ( $p < 0.014$ ), BUN ( $p = 0.001$ ), creatinine ( $p < 0.001$ ), potassium ( $p < 0.001$ ), calcium ( $p = 0.039$ ), and phosphate ( $p = 0.010$ ) values when compared with the high-TSA category. In addition, the low-TSA category had a significantly higher proportion of cardiothoracic ratios  $\geq 0.5$  ( $p < 0.001$ ) when compared with the high-TSA category.

**Table 1.** Baseline characteristics of hemodialysis patients in different time-averaged serum albumin (TSA) categories ( $n = 829$ ).

Characteristics	High-TSA Category ( $\geq 3.5$ g/dL)	Low-TSA Category ( $< 3.5$ g/dL)	$p$
Dialysis vintage (years) <sup>a</sup>	5.74 (2.85–10.31)	4.49 (2.17–9.20)	0.176
Age	58.47 $\pm$ 12.04	67.20 $\pm$ 11.38	<b>&lt;0.001</b>
Gender ( $n$ , %)			0.116
Male	341 (46.39)	35 (37.23)	
Female	394 (53.61)	59 (62.77)	
DM ( $n$ , %)			<b>0.013</b>
No	554 (75.37)	59 (62.77)	
Yes	181 (24.63)	35 (37.23)	
Laboratory measurements			
Hemoglobin (g/dL)	10.69 $\pm$ 1.28	10.24 $\pm$ 1.71	<b>0.014</b>
Albumin (g/dL)	3.93 $\pm$ 0.27	3.38 $\pm$ 0.33	<b>&lt;0.001</b>
BUN (mg/dL)	69.70 $\pm$ 16.56	62.70 $\pm$ 18.09	<b>0.001</b>
Creatinine (mg/dL)	10.75 $\pm$ 2.34	8.72 $\pm$ 2.32	<b>&lt;0.001</b>
Potassium (meq/L)	4.99 $\pm$ 0.72	4.68 $\pm$ 0.77	<b>&lt;0.001</b>
Calcium (mg/dL)	9.24 $\pm$ 0.85	9.04 $\pm$ 0.90	<b>0.039</b>
Phosphorus (mg/dL)	4.89 $\pm$ 1.42	4.48 $\pm$ 1.43	<b>0.010</b>
iPTH (pg/mL) <sup>a</sup>	206.70 (78.20–490.35)	202.20 (98.50–422.18)	0.597
Ferritin (ng/mL) <sup>a</sup>	404.50 (233.05–615.85)	420.75 (287.05–719.38)	0.087
Kt/V ( $n$ , %)			
$\geq 1.7$	331 (45.04)	37 (39.36)	0.351
$< 1.7$	404 (54.97)	57 (60.64)	
URR ( $n$ , %)			
$\geq 0.65$	699 (95.10)	87 (92.55)	0.422
$< 0.65$	36 (4.90)	7 (7.45)	
Cardiothoracic ratio ( $n$ , %)			
$\geq 0.5$	369 (50.20)	68 (72.34)	<b>&lt;0.001</b>
$< 0.5$	366 (49.80)	26 (27.66)	

<sup>a</sup> Median (interquartile range).  $p$ -value was estimated using an independent two-sampled  $t$ -test or chi-squared test, as appropriate. TSA: time-averaged serum albumin, DM: diabetes mellitus, BUN: blood urine nitrogen, iPTH: intact parathyroid hormone, URR: urea reduction ratio.

The univariate logistic regression analysis results are presented in Table 2. According to the univariate results, HD patients who were in the low-TSA category were relatively old (OR = 1.07, 95% CI 1.05–1.09,  $p < 0.001$ ), had relatively low hemoglobin levels (OR = 0.78, 95% CI = 0.66–0.91,  $p = 0.002$ ), low BUN levels (OR = 0.97, 95% CI = 0.96–0.99,  $p < 0.001$ ), low creatinine levels (OR = 0.66, 95% CI = 0.59–0.73,  $p < 0.001$ ), low potassium levels (OR = 0.54, 95% CI = 0.39–0.74,  $p < 0.001$ ), low calcium levels (OR = 0.75, 95% CI = 0.58–0.97,  $p = 0.029$ ), and low phosphate levels (OR = 0.81, 95% CI = 0.69–0.95,  $p = 0.009$ ). Cardiothoracic ratios  $\geq 0.50$  (OR = 2.59, 95% CI = 1.63–4.24,  $p < 0.001$ ) were more likely in the low-TSA category.

**Table 2.** Univariate logistic regression analysis for time-averaged serum albumin (TSA) category.

Characteristics	Comparison	Unadjusted		
		OR	95% CI	<i>p</i>
Dialysis vintage	years	0.97	0.93–1.01	0.173
Age	years	1.07	1.05–1.09	<b>&lt;0.001</b>
Gender	Female vs. Male	1.46	0.94–2.29	0.094
DM	Yes vs. No	1.82	1.15–2.84	<b>0.009</b>
<b>Blood analysis</b>				
Hemoglobin	g/dL	0.78	0.66–0.91	<b>0.002</b>
BUN	mg/dL	0.97	0.96–0.99	<b>&lt;0.001</b>
Creatinine	mg/dL	0.66	0.59–0.73	<b>&lt;0.001</b>
Potassium	meq/L	0.54	0.39–0.74	<b>&lt;0.001</b>
Calcium	mg/dL	0.75	0.58–0.97	<b>0.029</b>
Phosphorus	mg/dL	0.81	0.69–0.95	<b>0.009</b>
iPTH	pg/mL	0.9999	0.9994–1.0003	0.630
Ferritin	ng/mL	1.0005	0.9996–1.00095	0.059
Kt/V	$\geq 1.70$ vs. $<1.70$	0.79	0.51–1.22	0.298
URR	$\geq 0.65$ vs. $<0.65$	0.64	0.29–1.61	0.298
Cardiothoracic ratio	$\geq 0.50$ vs. $<0.50$	2.59	1.63–4.24	<b>&lt;0.001</b>

DM: diabetes mellitus, BUN: blood urea nitrogen, iPTH: intact parathyroid hormone, URR: urea reduction ratio.

The multivariate logistic regression analysis results of the fully adjusted, the stepwise, and the WOFS models with a critical  $p$ -value of  $<0.2$  are listed in Table 3.

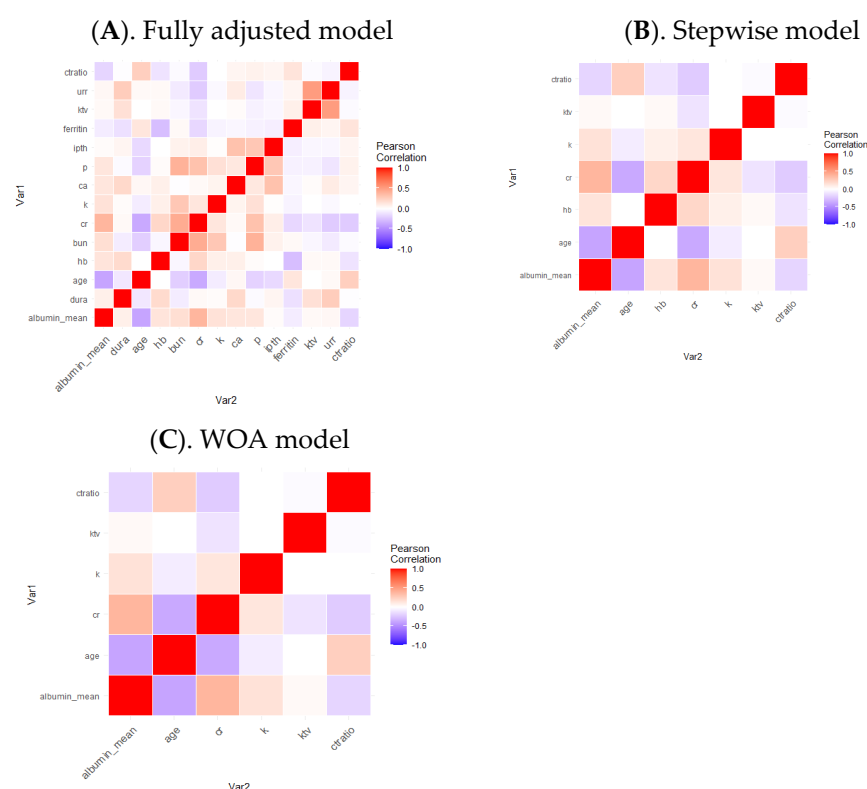
**Table 3.** Multivariate logistic regression analysis for time-averaged serum albumin (TSA) category based on different feature selection approaches.

Characteristics	Comparison	Fully Adjusted Model			Stepwise Model			WOFS Model		
		OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>	OR	95% CI	<i>p</i>
Dialysis vintage	years	1.03	0.97–1.08	0.322						
Age	years	1.05	1.02–1.07	<b>&lt;0.001</b>	1.04	1.02–1.07	<b>&lt;0.001</b>	1.04	1.02–1.06	<b>0.001</b>
Gender	Female vs. Male	0.94	0.54–1.66	0.832						
DM	Yes vs. No	1.11	0.64–1.89	0.708						
<b>Blood analysis</b>										
Hemoglobin	g/dL	0.87	0.72–1.06	0.169	0.88	0.73–1.06	0.172			
BUN	mg/dL	1.00	0.98–1.02	0.877						
Creatinine	mg/dL	0.72	0.62–0.83	<b>&lt;0.001</b>	0.72	0.64–0.82	<b>&lt;0.001</b>	0.71	0.63–0.80	<b>&lt;0.001</b>
Potassium	meq/L	0.69	0.49–0.97	<b>0.033</b>	0.70	0.50–0.96	<b>0.027</b>	0.68	0.49–0.93	<b>0.016</b>
Calcium	mg/dL	0.78	0.57–1.05	0.103						
Phosphorus	mg/dL	1.04	0.85–1.27	0.685						
iPTH	pg/mL	1.00	0.99–1.00	0.371						
Ferritin	ng/mL	0.99	0.99–1.00	0.645						
Kt/V	$\geq 1.70$ vs. $<1.70$	0.63	0.39–1.09	0.101	0.58	0.35–0.94	<b>0.029</b>	0.57	0.35–0.91	<b>0.021</b>
URR	$\geq 0.65$ vs. $<0.65$	0.55	0.22–1.56	0.232						
Cardiothoracic ratio	$\geq 0.50$ vs. $<0.50$	1.61	0.94–2.80	0.086	1.60	0.96–2.72	0.079	1.67	1.01–2.84	0.051
Optimal AIC		511.96			499.25			499.14		

WOFS: whale optimization algorithm-based feature selection model, AIC: Akaike information criterion, DM: diabetes mellitus, BUN: blood urea nitrogen, iPTH: intact parathyroid hormone, URR: urea reduction ratio.

According to the results of the fully adjusted model, relatively old HD patients (OR = 1.07, 95% CI 1.05–1.09,  $p < 0.001$ ) and patients with relatively low creatinine levels (OR = 0.72, 95% CI = 0.62–0.83,  $p < 0.001$ ) and low potassium levels (OR = 0.69, 95% CI = 0.49–0.97,  $p = 0.033$ ) were more likely to be in the low-TSA category. The stepwise and WOFS models revealed similar results, where four out of six factors selected using the stepwise model and four out of five factors selected using the WOFS model were significantly associated with the low-TSA category. In the stepwise model, relatively old HD patients (OR = 1.04, 95% CI 1.02–1.07,  $p < 0.001$ ) and patients with low creatinine levels (OR = 0.72, 95% CI = 0.62–0.823,  $p < 0.001$ ) and low potassium levels (OR = 0.70, 95% CI = 0.50–0.96,  $p = 0.027$ ) were more likely to be in the low-TSA category, whereas HD patients with  $Kt/V \geq 1.70$  (OR = 0.58, 95% CI = 0.35–0.94,  $p = 0.029$ ) were less likely to be in the low-TSA category. In the WOFS model, relatively old HD patients (OR = 1.04, 95% CI 1.02–1.06,  $p = 0.001$ ) and patients with low creatinine levels (OR = 0.71, 95% CI = 0.63–0.80,  $p < 0.001$ ) and low potassium levels (OR = 0.68, 95% CI = 0.49–0.93,  $p = 0.016$ ) were more likely to be in the low-TSA category, whereas HD patients with  $Kt/V \geq 1.70$  (OR = 0.57, 95% CI = 0.35–0.91,  $p = 0.021$ ) were less likely to be in the low-TSA category. The optimal AIC values of the fully adjusted, stepwise, and WOFS models were 511.96, 499.25, and 499.14, respectively. The results indicated that the WOFS model had the lowest AIC value, which indicates superior performance in multifactor analysis when compared with the fully adjusted and stepwise models. In addition, the WOFS model could more accurately select the TSA-associated factors when compared with the stepwise model.

According to Pearson's correlation analysis, Figure 2 shows the correlation heatmap of clinical factors in TSA category.

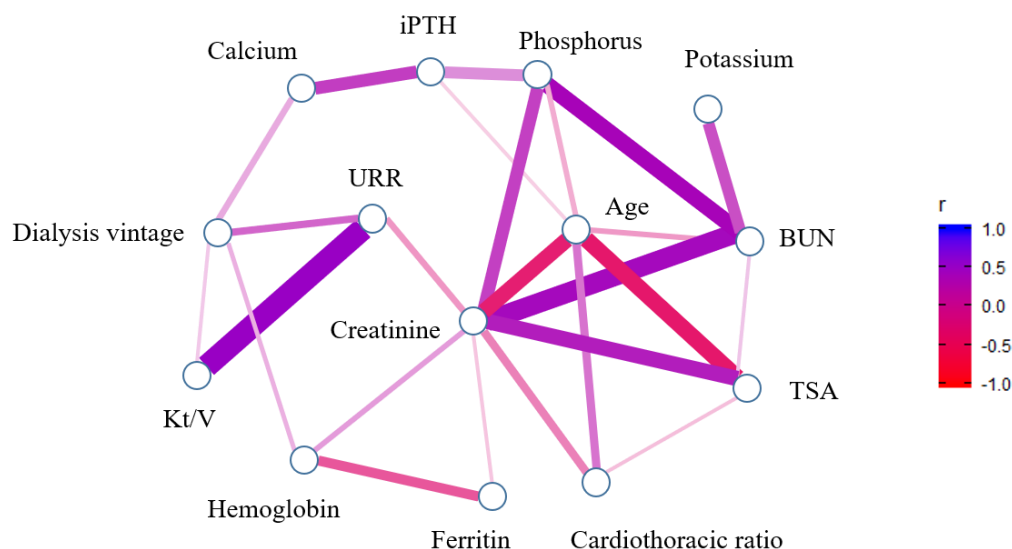


**Figure 2.** Comparison of correlation heatmaps of clinical factors in fully adjusted, stepwise, and WOFS models. The blocks represent the correlation levels between clinical factors. The blue and red colors are the positive and negative correlation, respectively.

The strong and weak correlations and differences between albumin and clinical variables can be clearly seen in Figure 2. The factors selected were shown by the WOFS model in



Figure 2C. It was obvious from the color depth that the factors in the lower left corner were strongly correlated, and in the upper right corner were uncorrelated. For the WOFS model (Figure 2C) compared to the fully adjusted model (Figure 2A) and the stepwise model (Figure 2B), the non-significant correlation can be effectively reduced. The correlation network between clinical factors is shown in Figure 3.



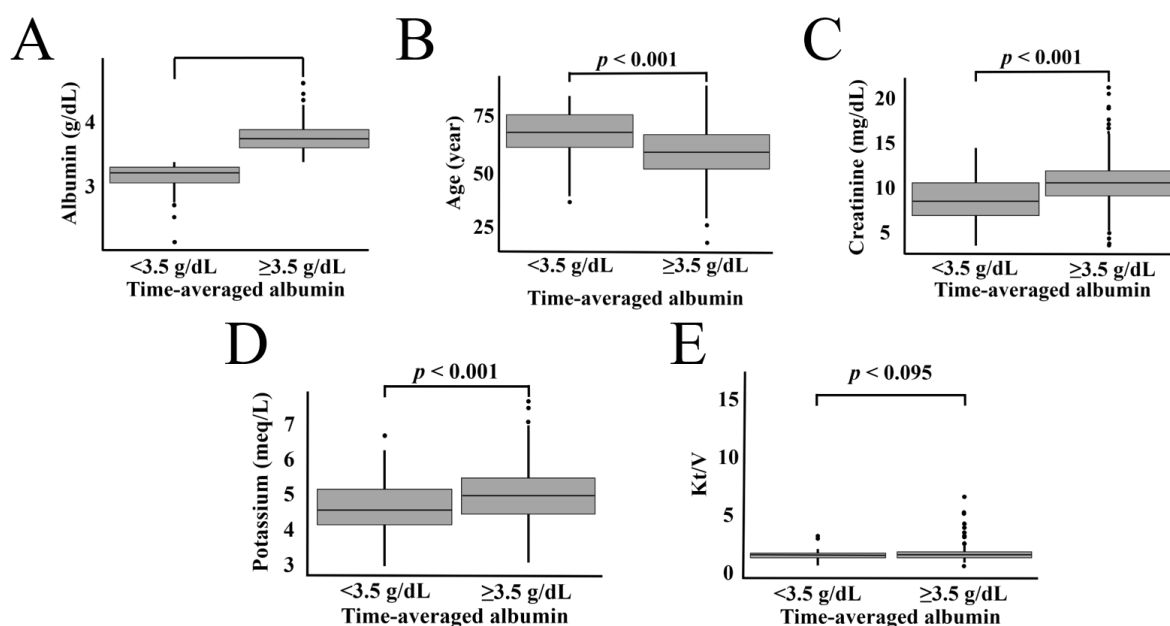
**Figure 3.** The correlation network between clinical factors. The significant correlations between clinical factors are connected by lines. The thicker and thinner lines represent the higher and lower correlations between clinical factors. The blue and red colors are the positive and negative correlations, respectively.

The important features selected based on the WOFS model had a certain correlation that could be seen from the correlations including age, creatinine, potassium, Kt/V, and cardiothoracic ratio. TSA had a strong negative correlation with age, and a significant positive correlation with creatinine. The age and creatinine showed a strong negative correlation. In addition, there was a correlation with bun and potassium. The cardiothoracic ratio was corrected with TSA, age, and creatinine. The Kt/V had a strongly positive correlation with URR and a negative correlation with dialysis vintage.

We found that significant features included in the optimal multifactor TSA-associated model were age, serum creatinine and potassium levels, and Kt/V scores. Commonly, aging results in somewhat physiological and physical function regression. It is reasonable to assume that aged HD patients are exposed to a greater risk of disability and limitation in oral intake. Consequently, these conditions result in protein malnutrition and lower serum albumin levels. This finding in HD patients has also been reported in the previous studies [32–34]. Serum creatinine level could be an indicator of muscle mass and reflects a healthy nutritional status. Our results also showed that higher serum creatinine levels were associated with higher TSA levels. Figure 4 shows the differences of albumin, age, creatinine, potassium levels, and Kt/V in TSA categories. In an earlier study, combined serum albumin and creatinine levels were associated with a lower risk of death in HD patients [9]. Taken together, good nutritional status could enhance muscle mass in HD patients and consequently avoid the risk of death.

For HD patients, restriction of potassium intake is often advised [35]. However, excessive limitations in potassium intake in HD patients may result in untoward effects, such as weakness, constipation, cardiac arrhythmia, and malnutrition. We found that higher serum potassium levels exhibited a smaller risk for lower TSA levels in HD patients. One of the plausible explanations is more free access to dietary intake in this HD cohort. Nevertheless, a more detailed study is needed to define the association between TSA and potassium levels in HD patients.





**Figure 4.** Boxplot of albumin, age, creatinine, and potassium levels in different TSA categories. (A) Albumin, (B) age, (C) creatinine, (D) potassium levels, and (E) Kt/V.

#### 4. Discussion

Nutritional status plays a critical role in clinical outcomes in dialysis patients. Clinically, nutritional assessment can be carried out using anthropometry, biochemical/biophysical methods, clinical methods, and dietary methods. Among these methods, testing serum albumin levels has been recognized as a useful and convenient method to examine the nutritional condition. Considering its dynamic changes, clinical observational studies have used baseline levels, mean levels in a defined period, time-varying levels, and time-averaged levels to predict clinical outcomes [3,36–39]. Accordingly, these peer-reviewed studies constantly demonstrate that serum albumin level is a strong predictor on clinical outcomes among patients on dialysis. However, there still exists a controversial view on analytic methods. Given this concern, we attempted to use multifactor models to overcome unrecognized confounding factors in statistical analysis. In this retrospective observational study in HD patients, we used the fully adjusted model, the stepwise model, and the WOFS model to investigate the association of TSA levels and clinical factors among patients on HD. The WOFS model employed a swarm optimization technique that has been adopted in exploration and exploitation phases to accelerate the convergence from local search to global search. The search strategy could make the WOFS model a powerful tool for feature selection, especially when handling complex traits that require taking into account potential multifactor interactions [29,31]. Our results demonstrated that the WOFS model could accurately select significant features from 15 clinical factors and exhibited model performance superior to that observed for the fully adjusted and stepwise models.

The adequacy of HD, indicated by Kt/V and URR, has been reported as a critical factor to reduce morbidity and mortality [40,41]. Moreover, adequate dialysis also shows a positive association with serum albumin levels in HD patients [3]. It is well-recognized that inadequate HD can result in inadequate nutrition, inflammation, and metabolic acidosis [41]. Consequently, it causes decreased albumin synthesis and hypoalbuminemia [42]. Our study is consistent with previous reports that an adequate Kt/V index showed a positive association with serum albumin levels in an HD population.

The present study has several limitations. First, the inclusion of covariates such as detailed comorbidities and drug intake history were limited because of the retrospective nature of the study. Second, the binary characteristics of the TSA categories may have led to a classification bias. Third, the study was a single-institution study. Although

the highlighted shortcomings may have limited the reliability and generalizability of the findings of the study, the study nevertheless facilitates the development of optimal multifactor TSA-associated models by combining a WOFS-based feature selection approach with a logistic regression model. According to the results, age, creatinine levels, potassium levels, and Kt/V scores were the key factors identified by the optimal multifactor TSA-associated model. In future research, we might consider investigating the contributions of more clinical factors in time-averaged serum albumin levels in hemodialysis patients, such as comorbidities, relevant drugs in clinical practice, and hemodialysis-related parameters (e.g., hemodialyzer category, dialysate composition, and types of vascular access). Thus, the reliability of this study could be enhanced, and the feasibility improved in clinical practice.

## 5. Conclusions

To fit into clinical situations, an appropriate analytic model is urgently required to identify the complex interaction between serum albumin and clinical factors in HD patients. In this study, an optimal multifactor TSA-associated model based on the whale optimization algorithm-based feature selection (WOFS) model was proposed for HD patients. A total of 829 HD patients were analyzed from 2009 to 2013. According to the study results, the WOFS model could select five features from fifteen clinical factors, which is the minimum number of selected features required in a multivariate regression model for optimal multifactor model construction. The five significant features, including albumin, age, creatinine, potassium, and HD adequacy index (Kt/V level), associated with TSA in HD patients, were identified by the optimal WOFS model. Furthermore, the optimal AIC (equivalent to prediction error rate) values of the fully adjusted, stepwise, and WOFS models were 511.96, 499.25, and 499.14, respectively. The results showed that the WOFS model had the lowest AIC value, which indicated that the WOFS model had superior performance in multifactor analysis compared with the fully adjusted and stepwise models. In summary, the proposed WOFS model can be precisely applied in clinical practice to assess the trajectory of serum albumin in HD patients.

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