



Article Multidisciplinary Optimization and Analysis of Stratospheric Airships Powered by Solar Arrays

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Abstract: Stratospheric airships have much potential in military and commercial applications. Design, analysis and optimization of stratospheric airships involves complex trade-off of different disciplines, and hence a multidisciplinary approach is essential. This paper describes a methodology coupling several disciplines and involving seven design variables to obtain the optimal design of a stratospheric airship powered by solar arrays. A numerical method is established to calculate the output power of the solar array in the optimization process. The optimal solutions are obtained using hybrid algorithms. The methodology can obtain the optimal envelope shape, solar array layout and other general configurations of subsystems. Results show that the methodology was able to achieve a solution with a 19.2% reduction in airship volume compared to the value being part of an arbitrary initial set of airship parameters. In addition, a comparative study is carried out to highlight the importance of considerations of solar array layouts and array circumferential location. Furthermore, detailed sensitivity analysis shows that operating parameters of latitudes, heading angles and average resisting wind speeds have significant effects on the airship design and solar array layouts.

Keywords: stratospheric airship; solar arrays; multidisciplinary optimization; operating parameters; analysis

1. Introduction

With potential in military and civil applications including communication, surveillance, observation and scientific research, there is a growing interest in studying stratospheric airships in recent years [1–3]. Stratospheric airships generally remain aloft at specific altitudes (18~22 km) for long endurance (several months) using buoyancy of lighter-than-air gas [4–6]. To achieve the long-endurance station-keeping task, renewable energy systems are generally used, which is mainly composed of energy-generating cells, energy-storage batteries and energy management devices [7]. Many studies have been conducted to investigate the feasibility of stratospheric solar-powered airships [8–12]. Generally, flexible solar arrays are placed on the surface of the airship envelope [13]. However, a design using solar arrays with higher area and mass might lead to a considerable decrease in the entire airship system mass, even though the mass fraction of energy system mass to total airship mass may increase. Therefore, a multidisciplinary optimization problem needs to be framed and solved [14].

Some previous research is focused on the performance optimization of solar array area itself. Garg et al. [15] proposed a method for optimizing the output energy of solar arrays, but considering only two design variables. More detailed optimizations for solar arrays have been conducted by Li et al. [16] and Liang et al. [17], but they are applicable only for an envelope of specific profile. Zhu et al. [18] studied the effect of layout parameters on output energy of solar array on the airship, with and without thermal effect. However, they only considered the case in which solar arrays were symmetrically placed on the upper surface of the airship.



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Some studies based on multidisciplinary design optimization (MDO) were conducted, assuming the airship envelope to be an axisymmetric body of revolution. Wang et al. [19] and Michele et al. [20] have constructed an optimization framework assuming a cylindrical shaped solar array in their energy calculations, which might cause a calculation error without considering the curvature of solar array at the longitude cross-section. Alam et al. [13] established an MDO-based shape optimization method for determining the optimal envelope shape that minimizes the solar array area of a high altitude airship. Shan et al. [21] proposed a position energy storage strategy to achieve regional station-keeping by adjusting the airspeeds for flight during day and night. Ceruti et al. [22] demonstrated a shape optimization framework of hybrid airship based on added mass. Meng [23] proposed a multidisciplinary design optimization method of a lift-type hybrid airship. On the basis of building subsystem model, a Concurrent Subsystem Optimization algorithm based on Response Surface (CSSO-RS) with the self-adaptive ability is put forward. To achieve a continuous flight and reduce the total mass and energy cost, Zhang [24] proposed a multi-phase strategy including the climb, daytime cruise, glide and nighttime cruise. However, they only considered the case in which solar arrays are symmetrically located on the upper surface of airship envelope. Recently, Tang et al. [25] proposed a multidisciplinary optimization method for the semirigid stratospheric airships, but they used an empirical time-averaged solar radiation constant to predict the total power in daytime and neglected the effects of curvature of the solar array. In addition, studies focused on unconventional non-axisymmetric body of revolution such as sum of two ellipsoids [17] and multi-lobed hybrid [26,27] have also been conducted. The above research focused on a traditional design layout in which the solar array is symmetrically fixed on the upper surface of the airship envelope.

Studies indicate that the circumferential locations of the solar arrays significantly affect the electrical energy output. Lv et al. [28] found that the central angle of solar array has a significant influence on output energy. However, they focused only on solar layouts for fixed airship parameters, without considering other disciplines. Lv et al. [29] studied the advantages of cylindrical shaped solar array, without considering the coupling effects of the attitudes, latitudes, heading angles and dates on the optimal rotation angles of the solar array. In a recent study, Zhang et al. [30] established a multidisciplinary design methodology to minimize the total mass of the airship and used central angles of the solar arrays as design variables. However, the common shortcoming of the above studies is that the calculation formulation of required storage battery capacity considered only the time discharged at night, without considering the simultaneous operation of battery and solar array when the output power of solar array is lower than required power in daytime.

In general, existing methodologies for designing stratospheric airships powered by solar arrays either assume a fixed envelope, or only consider the case in which the solar array is symmetrically located on the upper surface of airship envelope or adopt simple energy balance models. This simplification makes it difficult to obtain the solar cell layout with high power generation efficiency. Therefore, improved optimization methodologies for designing stratospheric airship powered by solar arrays are required.

This study proposes an optimization methodology for searching for the optimal layouts including the central angle of solar array on airship envelope, considering the tradeoffs among different disciplines and critical constraints of energy balance and buoyancy equilibrium. The proposed methodology can optimize the stratospheric airship configurations by considering the envelope shape, the solar array layouts, etc., using detailed subsystem models. Based on the proposed method, an optimization case on a baseline airship model is conducted and discussed. Furthermore, the effects on the airship design of latitudes, heading angles and average resisting wind speeds are studied in detail. The rest of the paper is organized in four parts, viz., Theory, Optimization Method, Results and Discussions, and Conclusions. Figure 1 shows the research plan of the paper. Motivation: establish an optimization approach which can obtain better configuration of nearing space airship, by using detailed subsystem model and considering the circumferential location of solar arrays

Introduction of methodology: The theory (airship geometry model, output model of solar array, energy balance model, buoyancy balance model) and optimization method, which can consider the optimal circumferential location of solar arrays.

Validation: Validate the methodology by comparing the results of optimization airship and the baseline, illustrate the significance of considering effects of the circumferential location of solar arrays

Sensitivity analysis: Analyze the effects of parameters of latitudes, heading angles of airship, wind speeds on the optimal configuration of airship and solar array layout.

Figure 1. Research plan.

2. Theory

This section is divided into four parts. The first is the airship geometry model. The second is the output power model of solar array, including the solar radiation model, position calculation model of the sun and the numerical calculation model of output power model for curved solar arrays. Then, the energy balance model and buoyancy balance model are established.

2.1. Airship Geometry Model

The streamlined stratospheric airship envelope approximately consists of two semispheroids (Figure 2); the volume and surface area of the envelope of the airship can be calculated as follows:

$$V_{env} = \frac{2}{3}\pi(a_1 + a_2)b^2 = \frac{\pi L D^2}{6},$$
(1)

$$S_{env} = 2\pi(a_1 + a_2)b = \pi LD,$$
 (2)

where $L = a_1 + a_2$ is the airship length, and D = 2b is the airship diameter.



Figure 2. Simplified geometric parameters of an airship.

Generally, the volume V_{env} and surface of an airship S_{env} can be estimated as follows:

$$V_{env} = \frac{K_V}{\left(L/D\right)^2} L^3,\tag{3}$$

$$S_{env} = \frac{K_s}{(L/D)} L^2.$$
(4)

Considering the streamlined form of the airship tail, K_V and K_S can be selected to be 0.5212 and 2.547 [31], respectively. The fineness ratio of airship is defined as

$$f_r = \frac{L}{D} = \frac{a_1 + a_2}{2b}.$$
 (5)

2.2. Output Power Model of Solar Array

2.2.1. Solar Radiation Flux Model

Solar radiation flux can be written as [32]

$$=\tau_{atm}I_{sun},\tag{6}$$

where τ_{atm} is atmospheric transmittance, which can be estimated using the formula suggested by Farley [33] as

Ι

$$\tau_{atm} = 0.5 \exp(-0.65m_{ar}) + \exp(-0.095m_{ar}),\tag{7}$$

where m_{ar} is air mass ratio when sunlight passes through the atmosphere, which can be described as

$$m_{ar} = \begin{cases} \left(\frac{p_a}{p_0}\right) \left[\sqrt{1229 + [614sin(h)]^2} - 614sin(h) \right] & 0 < h < \pi \\ \left(\frac{p_a}{p_0}\right) \left(1 + \frac{h}{\cos^{-1}\left(\frac{H}{H + R_{ear}}\right)}\right) - \frac{70h}{\cos^{-1}\left(\frac{H}{H + R_{ear}}\right)} & h \le 0 \text{ or } h \ge \pi \end{cases}$$
(8)

where p_a is atmosphere pressure at the flight altitude, and p_0 is the standard atmosphere pressure at sea level. R_{ear} is the radius of the earth which is generally set to be 6,400,000 m (6400 km), H is the flight altitude.

Figure 3 shows a sketch of the position of the sun. As shown in the figure, *h* is the sun elevation angle, which can be expressed as [34]

$$h = \sin^{-1}(\sin\delta\sin\phi + \cos\delta\cos\omega\cos\phi), \tag{9}$$

where δ is the declination angle of the sun, ϕ is the latitude of flight and ω is the hour angle of the sun.



Figure 3. Sketch of the position of the sun.

The solar radiation flux of the exoatmosphere I_{sun} can be calculated with the approximate formula [35]

$$\begin{cases} I_{sun} = I_0 \left\{ 1 + 0.5 \left[\left(\frac{1+e}{1-e} \right)^2 - 1 \right] \cos(TA) \right\} \\ TA = 2\pi \frac{n-2}{n_p} \end{cases}$$

$$(10)$$

where I_0 is the solar radiation intensity constant which has a value of 1367 W/m², e = 0.016708 is the eccentricity of earth's orbit, n is the day number starting from perihelion, and n_p is the total number of days of the year.

2.2.2. Position of the Sun

As shown in Figure 3, the position vector of the sun can be expressed as [34]

$$\vec{S}_g = \left[-\cos h \cos \alpha_z, -\cos h \sin \alpha_z, -\sin h\right]^T, \tag{11}$$

where α_z is the sun azimuth angle.

$$\alpha_z = sign(\omega)cos^{-1} \left(\frac{\sin h \sin \phi - \sin \delta}{\cos h \cos \phi} \right); \tag{12}$$

 δ can be calculated by the approximate formula [36]

$$\delta = 0.3723 + 23.2567 \sin(\beta) + 0.1149 \sin(2\beta) - 0.1712 \sin(3\beta) - 0.758 \cos(\beta) + 0.3656 \cos(2\beta) + 0.0201 \cos(3\beta);$$
(13)

 β is the day angle of sun, which can be calculated by the following formula:

$$\begin{cases} \beta = \frac{2\pi(n-1-n_0)}{365.2422} \\ n_0 = 78.801 + 0.242(y_{ear} - 1969) - floor \left[0.25(y_{ear} - 1969) \right]' \end{cases}$$
(14)

where y_{ear} is the year, *n* is the count of days from 1 January, floor[] indicates the truncation function extracting the integral part of a number.

The hour angle of sun ω can be expressed as

$$\omega = \begin{cases} \lambda_t - 2\pi & \lambda_t > \pi \\ \lambda_t & -\pi \le \lambda_t \le \pi \\ \lambda_t + 2\pi & \lambda_t < -\pi \end{cases}$$
(15)

where

$$\lambda_t = \frac{\pi [15(t_s + e_t - 12) + (\lambda - \lambda_0)]}{180},$$
(16)

where t_s is the local mean solar time, λ is the local longitude and λ_0 is the reference longitude for the local time zone, and e_t is the time difference between actual solar time and mean solar time which can be written as

$$e_t = \frac{9.87 \sin(2\delta) - 7.53 \cos\delta - 1.5 \sin\delta}{60}.$$
 (17)

2.2.3. Output Power Model of Solar Array

Figure 4 shows the sketch of the body–axis coordinate system and the solar array cell. The stabilizers are located at the tail of airship, and their design is such that they never cast a shadow over the solar panel. The solar array is curved, and its curvature is consistent with that of the airship. It is assumed that the array consists of many micro-modules, which are assumed to be planes. The solar panels are supposed to operate at a nominal temperature, hence the impact of the temperature has not been included here. As shown in the figure, r_{ij} is the circumferential radius of the element, $\vec{N_{ij}}$ is the normal vector of the element surface, $\Delta \theta_s$ is the total included angle of the solar array. The array is divided into n_l (along with length) × n_{θ} (along with circumference).



Figure 4. Sketch of the body-axis coordinate system and the solar array cell.

The local circumference radius of the airship is the function of *x*, which can be expressed as

$$r = f(x) - a_2 \le x \le a_1.$$
 (18)

Then, the geometry of airship can be expressed as

$$y^2 + z^2 = f^2(x) - a_2 \le x \le a_1.$$
 (19)

The shape governing equation of the airship can be written as

$$F(x, y, z) = y^{2} + z^{2} - f^{2}(x) \quad -a_{2} \le x \le a_{1}.$$
(20)

Therefore, the normal vector of element (i, j) can be expressed as

$$\vec{N}_{ij} = (N_{ijx}, N_{ijy}, N_{ijz}) = \frac{\left(\frac{\partial F}{\partial x_{ij}}, \frac{\partial F}{\partial y_{ij}}, \frac{\partial F}{\partial z_{ij}}\right)}{\sqrt{\left(\frac{\partial F}{\partial x_{ij}}\right)^2 + \left(\frac{\partial F}{\partial y_{ij}}\right)^2 + \left(\frac{\partial F}{\partial z_{ij}}\right)^2}}.$$
(21)

 N_s is the position vector of the sun in the body coordinate system, α_{ij} is the setting angle of the element. The transformation matrix from the inertial coordinate system to body coordinate system can be written as

$$\mathbf{T_{ib}} = \begin{bmatrix} \cos(\psi)\cos(\theta) & \sin(\psi)\cos(\theta) & -\sin(\theta) \\ \cos(\psi)\sin(\theta)\sin(\varphi) - \sin(\psi)\cos(\varphi) & \sin(\psi)\sin(\theta)\sin(\varphi) + \cos(\psi)\cos(\varphi) & \cos(\theta)\sin(\varphi) \\ \cos(\psi)\sin(\theta)\cos(\varphi) + \sin(\psi)\sin(\varphi) & \sin(\psi)\sin(\theta)\cos(\varphi) - \cos(\psi)\sin(\varphi) & \cos(\theta)\cos(\varphi) \end{bmatrix},$$
(22)

where ψ is the heading angle of airship, θ is the pitch angle, φ is the roll angle. Generally, θ and φ are very small and thus can be assumed to be zero. The position vector of sun in the body coordinate system can be written as

$$\vec{N}_s = (N_{sx}, N_{sy}, N_{sz}) = \mathbf{T_{ib}} \vec{S_g}.$$
(23)

The angle between the sun position vector and the element normal vector can be expressed as

$$\alpha_{ij} = \cos^{-1} \left(\frac{\overrightarrow{N_{ij} S_g}}{\left| \overrightarrow{N_{ij}} \right| \left| \overrightarrow{S_g} \right|} \right).$$
(24)

The solar panels are supposed to operate at a nominal temperature, hence the impact of the temperature has not been included. The power received by element (i, j) can be expressed as

$$P_{ij} = \begin{cases} Is_{ij} cos(\alpha_{ij}) & 0 \le \alpha_{ij} < \frac{\pi}{2} \\ 0 & \frac{\pi}{2} \le \alpha_{ij} \le \pi \end{cases}$$
(25)

In the above equation, $\frac{\pi}{2} \le \alpha_{ij} \le \pi$ means the element is shadowed, and no radiation will be received by the element. Therefore, the element will not produce any power at this condition. s_{ij} is the surface area of the element (i, j), as shown in the figure; it can be calculated as follows:

$$s_{ij} = r_{ij} d\theta_s dx \sqrt{\left(1 + \left(\frac{dr}{dx}\right)^2\right)}.$$
(26)

Therefore, the total output power of the solar array at a certain time can be expressed as

$$P_{so}(t) = \eta_{so} \sum_{i=1}^{n_{\theta}} \sum_{j=1}^{n_{l}} P_{ij}(t),$$
(27)

where η_{so} is the transformation efficiency of the solar cell, P_{ij} is the output power of the element (i, j).

The total area of solar arrays can be calculated as

$$S_{so} = \int_{x_s}^{x_e} \int_{\theta_0 - \frac{\Delta\theta}{2}}^{\theta_0 + \frac{\Delta\theta}{2}} r(x) \sqrt{\left(1 + \left(\frac{dr}{dx}\right)^2\right)} d\theta_s dx, \tag{28}$$

where x_s and x_e are the coordinates of leading edge and trailing edge of solar array, θ_0 is the central angle of solar array and $\Delta \theta$ is the included angle of solar array.

2.3. Energy Balance Model

The total power required for an airship (P_{req}) mainly consists of power consumed by propulsion subsystem (P_{prp}), control system (P_{ctr}) and payload (P_{pay}), which can be described as

$$P_{req} = P_{prp} + P_{ctr} + P_{pay},\tag{29}$$

where P_{ctr} and P_{pay} are generally assumed to be constant, and P_{prp} can be calculated as

$$P_{prp} = \frac{1}{2} \rho_a V_0^3 C_D V_{env}^{2/3} \eta_{prp}, \tag{30}$$

where V_0 is the average flight speed of airship, and η_{prp} is the efficiency of propulsion subsystem. C_D is the drag coefficient of airship, $V_{env}^{2/3}$ is the reference area of airship. Hoerner proposed the following empirical formula to estimate the drag of streamline bodies of revolution in turbulent flow at zero angle of attack [37,38]:

$$C_{D0} = \frac{0.18f_r^{1/3} + 0.27f_r^{(-7/8)} + 1.08f_r^{(-8/3)}}{R_e^{1/6}},$$
(31)

where f_r is the fineness ratio of airship defined by Equation (5), and R_e is the Reynolds number and can be written as

$$R_e = \frac{\rho_a V_0 L}{\mu},\tag{32}$$

where μ is the dynamic viscosity of ambient air, and ρ_a is the local air density which can be calculated using the following formula [39]:

$$\rho_a(H) = \begin{cases}
1.225 \left(\frac{288.15 - 0.0065H}{288.15}\right)^{4.2559} H \le 11000 \text{ m} \\
0.3639 e^{\left(-\frac{H - 11000}{6341.62}\right)} 11000 \le H \le 20000 \text{ m}
\end{cases}$$
(33)

The units of above constants are as follows: 1.225-kg/m³, 288.15-K, 0.0065-K/m, 11,000-m, 6341.62-m. C_D can be calculated as

$$C_D = \Gamma C_{D0}. \tag{34}$$

 Γ is an empirical parameter considering the aerodynamics effects of other components on the airship, which is set to be 1.8 in this study.

Figure 5 shows a sketch map for illustration of the energy balance. As shown in the figure, Q_3 is the energy generated by solar arrays which is directly used for running the airship, Q_1 is the available surplus energy for storage in lithium battery when $P_{so} > P_{req}$, Q_2 is the energy consumption, which needs to be supplied by the lithium battery. Obviously, the total energy generated by the solar array in daytime should not be less than the required energy of the airship over one day. Therefore, the energy balance model can be described as

$$Q_1\eta_{lic}\eta_{lid} + Q_3 \ge Q_{req},\tag{35}$$

where η_{lic} and η_{lid} are the charge efficiency and discharge efficiency of lithium battery, respectively. Q_1 , Q_3 and Q_{req} can be calculated as

$$Q_1 = \int_{T_0}^T U_{(P_{so} - P_{req})} [P_{so}(t) - P_{req}(t)] dt,$$
(36)

$$Q_3 = \int_{T_0}^T P_{so}(t)dt - Q_1, \tag{37}$$

$$Q_{req} = \int_{T_0}^T P_{req}(t) dt, \qquad (38)$$

where $U_{()}$ is the unit step function, and its value is 1 when $P_{so} > P_{req}$ while it is 0 for $P_{so} \leq P_{req}$.



Figure 5. Sketch of energy balance.

2.4. Buoyancy Balance Model

At flight altitude (*H*), the buoyancy of airship (F_b) is required not to be less than the total weight, which can be described as

$$f_{bm}(H) = F_b(H) - m_t g \ge 0$$
, (39)

where F_b can be calculated as

$$F_b = \rho_a V_{env} g. \tag{40}$$

The total mass of airship (m_t) can be expressed as

$$m_t = m_{str} + m_{ene} + m_{prp} + m_{pay} + m_{oth},\tag{41}$$

where m_{str} is the total mass of structural subsystem, m_{ene} is the total mass of energy subsystem, m_{prp} is the mass of propulsion subsystem, m_{pay} is the mass of payload, m_{oth} is the mass of other components.

Total mass of structural subsystem m_{str} can be calculated as

$$m_{str} = m_{env} + m_{fin} + m_{gas},\tag{42}$$

where m_{env} , m_{fin} and m_{gas} are the mass of the airship envelope, mass of the fin and mass of the gas in the airship [14], respectively. m_{env} can be calculated as

$$m_{env} = 1.25 \rho_{env} S_{env},\tag{43}$$

where ρ_{env} is the areal density of envelope, and the coefficient 1.25 is a factor considering the attachments on the envelope.

The mass of the fin can be calculated as

$$m_{fin} = 1.25\rho_{fin}S_{fin},\tag{44}$$

where ρ_{fin} is the areal density of the fin. The surface area of the fin S_{fin} can be estimated using the empirical equation

$$S_{fin} = 0.0121 V_{env}.$$
 (45)

The mass of the gas in the airship can be calculated as

$$m_{gas} = \rho_a V_{env} n_{ga}, \tag{46}$$

where n_{ga} is the ratio of molecular mass of gas to that of air.

r

 m_{ene} is the sum of mass of solar array (m_{so}) and lithium battery (m_{li}) , which can be expressed as

$$n_{ene} = m_{so} + m_{li}, \tag{47}$$

where

$$m_{so} = \rho_{so} S_{so},$$
 (48)

$$m_{li} = \frac{(Q_{req} - Q_3)}{\eta_{lid}\delta_{li}},\tag{49}$$

where ρ_{so} is the aerial density of solar array and δ_{li} is the energy density of battery.

 m_{prp} can be expressed as

$$m_{prp} = \frac{P_{prpmax}}{\delta_{pm}},\tag{50}$$

where δ_{pm} is the power density of the propulsion subsystem. P_{prpmax} is the required power of the propulsion subsystem for the maximum designed flight speed of the airship.

Finally, mass of other components on the airship (m_{oth}) can be estimated as [40]

$$m_{oth} = 0.25(m_{ene} + m_{env} + m_{fin} + m_{prp}).$$
(51)

3. Optimization Method

This paper mainly proposes an approach which can optimize the solar array layout and the general parameters of the airship. By conducting the optimization cases, we validate that the total mass or size can be further reduced with consideration of the circumferential location of the solar array. Then, in the sensitivity analysis, all parameters are optimized including the circumferential location of the solar array.

3.1. Optimization Methodology

Generally, optimization methods fall into the categories of non-gradient-based algorithms, gradient-based algorithms, and hybrid algorithms. The non-gradient-based algorithms can obtain the global optimum (optimum in the whole design space) without calculating the local gradient and strict requirement of the start point. However, they are generally inefficient. Gradient-based algorithms are efficient, but they obtain the local optimum (optimum in certain local space) with ease; they highly rely on the start searching points to obtain the global optimum. The hybrid algorithms, which combine the good overall domain exploration properties of the non-gradient-based algorithm with the fast convergence properties of the gradient-based algorithm, can generally obtain the optimum solution efficiently and reliably. Therefore, the hybrid algorithms are adopted in this study. Firstly, MIGA (Multi-Island Genetic Algorithm) [41] is applied to obtain an initial global optimization solution. Then, NLPQL (Nonlinear Programming by Quadratic Lagrangian) [42] is executed starting with this solution to further determine the optimum solution.

MIGA is developed based on the Genetic Algorithm (GA). Each population of individuals is divided into several sub-populations called "Islands". By evaluating the fitness of each individual, a set of individuals which best adapts to the natural environment is selected in each generation. These individuals are subsequently sent to the next generation with crossover and mutation under certain probability. This process is repeated until the convergence criterion is satisfied. NLPQL is a sequential quadratic programming method. A linearization of the constraints and a quadratic approximation of the Lagrangian function are used in the algorithm. To generate a search direction, a quadratic sub-problem is formulated and solved. The line search can be performed with respect to two alternative merit functions, and the Hessian approximation is updated using a given formula. Figure 6 shows the flowchart of optimization process for stratospheric airship proposed in this study. The main steps are as follows:

Step 1: Define the design requirements.

Step 2: Define the design variables.

Step 3: Generate the geometry model.

Step 4: Using the aerodynamics model, structure model to calculate the aerodynamics of airship and mass of subsystems.

Step 5: Calculate the required propulsion power and energy, and calculate the mass of propulsion subsystem and energy subsystem.

Step 6: Estimate the buoyancy balance; if it is satisfied, proceed to next step; otherwise, proceed to Step 2 and change the value of design variables.

Step 7: Estimate the objective function; if the stop criterion of MIGA optimization is satisfied, then proceed to next step, otherwise proceed to Step 2.

Step 8: Conduct the NLPQL optimizations until the stop criterion is satisfied.

Step 9: Output the optimization results.



Figure 6. Flowchart of optimization.

3.2. Variables and Constants

Table 1 lists the design variables and corresponding bounds on their upper and lower values. Seven parameters are considered, which are related to the layout of solar array and the shape of the airship envelope. Table 2 shows the values of parameters used in the models and equations presented in Section 2 of this paper; these values allow calculation of responses used in the objective function and constraints for the airship problem.

Full Name of Parameters	Parameter	Lower Bound	Upper Bound
Semimajor axis of the front part of airship (m)	a_1	50	150
Semimajor axis of the rear part of airship (m)	<i>a</i> ₂	50	150
Fineness ratio of airship	f_r	3	5
Horizontal distance from the airship nose to the front of solar arrays (m)	x_s	20	100
Horizontal distance from the airship nose to the rear of solar arrays (m)	x _e	50.0	200.0
Included angle of solar arrays (°)	$\Delta heta_s$	60	180
Central angle of solar arrays (°)	$ heta_0$	-30.0	90

Table 1. Design variables and design space.

Full Name of Parameters	Parameter	Value
Transformation efficiency of the solar cell (%)	η_{so}	18
Efficiency of propulsion subsystem (%)	η_{prp}	55
Charge efficiency of lithium battery (%)	η_{lic}	95
Discharge efficiency of lithium battery (%)	η_{lid}	98
Areal density of solar arrays (kg/m ²)	$ ho_{so}$	0.65
Areal density of envelope (kg/m ²)	$ ho_{env}$	0.125
Areal density of fin (kg/m^2)	$ ho_{fin}$	0.06
Energy density of battery (Wh/kg)	δ_{li}	200
Power density of propulsion subsystem (W/kg)	δ_{prp}	222
Power consumed by control system (W)	P _{ctr}	1000
Power consumed by payload (W)	P_{pay}	5000
Mass of payload (kg)	m _{pay}	500
Average flight speed of airship (m/s)	V_0	25
Maximum flight speed of airship (m/s)	V_{\max}	30

Table 2. Parameter values used for the airship problem.

3.3. Objective Function and Constraints

In the present study, the goal is to minimize the total weight of the airship powered by the solar array layouts subject to the power balance constraint and to the buoyancy constraint. Therefore, the objective function and constraints can be written as

$$min: f(X) = m_{tot}$$

s.t. $Q_1\eta_{lis}\eta_{lio} + Q_3 - Q_{req} \ge 0$
 $F_b - m_{tot}g \ge 0$ (52)

where *X* is the design variables.

4. Results and Discussions

4.1. Optimization on the Baseline Model

Figure 7 shows the comparison of optimization convergence history using hybrid algorithm, MIGA and NLPQL. In the MIGA optimization, the sub-population size, number of islands, and number of generations are all set to be 10, while in the NLPQL optimization, the number of max iterations is set to be 150. It can be observed that the hybrid algorithm is of the best convergence and retrieval efficiency.



Figure 7. Comparison of convergence history for hybrid algorithm, MIGA and NLPQL.

Table 3 shows comparisons of key parameters between an arbitrary baseline airship (used as initial parameter set) and an optimized model. Both models are designed meeting the same operating conditions. The altitude, longitude and latitude are 20 km, 108° E and 18° N, respectively. The heading angle is 90° (flying from west to east). Mass of payload, power of payload, average resisting wind speed and maximum resisting wind speed are 500 kg, 5000 W, 25 m/s and 30 m/s, respectively.

Parameter	Arbitrary Baseline	Optimized	Relative Difference (%)
Volume of airship (m^3)	405,690	325,257	-19.83
Length of airship (m)	247	243.3	-1.5
Fineness ratio	4.40	4.80	9.19
Mass of solar array (kg)	2669.4	1507.9	-43.51
Mass of storage (kg)	14,222	11,389	-19.91
Total mass of energy system (kg)	16,891	12,897	-23.65
Total mass of airship (kg)	35,708	28,629	-19.82
Requested averaged power (kW)	198	171	-13.63
Area of solar array (m^3)	4106.8	2319.7	-43.51
Central angle of solar array (°)	0.0	295.7	-
Included angle of solar array (°)	87.75	50.06	-
Start of solar array (m)	70	55.08	-
Horizontal projection length of solar array (m)	100	108.46	-

Table 3. Comparisons of key configuration parameters of baseline and optimized airship.

As shown in the table, the volume and length of optimized airship are decreased by 19.83% and 1.5%, respectively. The mass of solar array, storage battery and whole energy system are decreased by 43.51%, 19.91% and 23.65%, respectively. Finally, the total mass of airship is decreased from 35,708 kg to 28,629 kg, which is decreased by 19.82%. Meanwhile, the fineness ratio of optimized airship is increased by 9.19% with respect to the baseline.

Figure 8 shows comparison of the shape and platform of the solar array for baseline and the optimized airship. The central angle of solar arrays changes from 0° (baseline, traditional layouts) to 295.7°. In addition, the start of the solar array moves forward from 70 m to 55.08 m and the horizontal projection length of the solar array is enlarged from 100 m to 108.46 m. Figure 9 shows the comparison of instantaneous output power of solar array for baseline and optimized airship. As the size and fineness ratio is decreased, the required propulsion power and total power of optimized airship is lower than that of baseline. Therefore, although the instantaneous peak output power is lower than that of baseline, the energy balance is automatically satisfied through the optimization process.



Figure 8. Comparison of the shape and platform of the solar array for baseline and the optimized airship.



Figure 9. Comparison of instantaneous output power and requested power for baseline and optimized airship.

Figure 10 shows the output power variation for four different central angles of the solar array (θ_0). Other parameters such as area of solar array are consistent with those of the optimized airship. The minimum total output power during daytime is obtained when $\theta_0 = 0^\circ$ (baseline). The maximal and minimal instantaneous output power is achieved when $\theta_0 = 330^\circ$ and $\theta_0 = 275^\circ$, respectively. Comparing the cases of $\theta_0 = 330^\circ$ and $\theta_0 = 295.7^\circ$ (optimized solution), although the peak value of the output power is lower for the optimized solar arrays, it can output more energy because the instantaneous output power is relatively higher during most of the daytime. Figure 11 shows the comparison of instantaneous direct solar radiation distribution on the solar array for central angle $\theta_0 = 0^\circ$ (traditional configuration) and $\theta_0 = 295.7^\circ$ (optimized solution). The direct solar radiation on the optimized solar array is generally much higher than that on the traditional layout when $\theta_0 = 0^\circ$. Therefore, as shown in Figure 10, the output power of the solar array under optimized central angle $\theta_0 = 295.7^\circ$ is always higher than that of the case when $\theta_0 = 0^\circ$.



Figure 10. Comparison of instantaneous output power of solar array for different central angle.



Figure 11. Comparison of instantaneous direct solar radiation distribution on the solar array for central angle $\theta_0 = 0^\circ$ (traditional configuration) and $\theta_0 = 295.7^\circ$ (optimized solution).

4.2. Effects of Latitudes

Generally, a stratospheric airship is designed to operate at a specific location (latitude, longitude, and altitude) for station-keeping. In this section, the effects of latitude on the optimization results of airship and corresponding solar array layouts are investigated. Design condition (except parameter of the latitude), variables and design space are the same with parameters listed in Sections 3.2 and 4.1. The latitude (ϕ) in each case is 3° N, 18° N, 28° N, 34° N, 42° N and 53° N, respectively.

Figure 12 shows the variations of airship shape and solar array layouts. It can be observed that the optimized shape and size of the airship varies significantly with latitudes. The layout and area of the solar array also differs much with the latitudes. Figure 13 shows the size and mass of the airship for different latitudes. It can be observed from Figure 13a that the volume and length of the airship increase rapidly with the increase in latitudes. This is mainly because solar radiation decreases, and a larger solar array is required with the increase in latitudes. As shown in Figure 13b, the increase in the mass of the storage battery contributes most to the increase in mass of the energy system. This is because with the increase in latitudes, the nighttime becomes longer, thus a larger storage battery is needed. Compared to the increase in total mass, the increase in solar array area is relatively small. However, although the mass ratio of solar array (m_{so}/m_{to}) is relatively low (only about 5%), Its performance of output power affects the general key parameters (such as size and shape) of the airship significantly.



Figure 12. Envelope and solar array geometry for winter solstice in different latitude.



Figure 13. (a) Optimized volume and length of airship for different latitudes. (b) The mass of solar array, storage battery, energy system and total mass of the airship for different latitudes.

Figure 14 shows the optimized central angles of the solar array (θ_0), area of the solar array (S_{so}) and average output power by unit area of the solar array ($\overline{P} = \frac{P_{so}}{S_{so}}$) for different latitudes. As shown in Figure 14a, θ_0 ranges from 277.4° to 298.7°, which indicates that more output power can be obtained when the solar array is located on the upper right side of the airship in the present case. In addition, it can be observed that the total area of the solar array generally increases with latitudes. However, as shown in Figure 14b, the average output power by unit area of solar arrays \overline{P} changes with latitudes in a non-monotonous trend. The lowest and highest peak values of \overline{P} are obtained when the latitude is 3° N and 34° N, respectively. This is due to the comprehensive effect of factors such as the local direct radiation, the local length of daytime and the shape of airship envelope, which again validates the need for multidisciplinary optimization design.



Figure 14. (a) The optimized area and central angle of solar arrays for different latitudes. (b) Unit area of solar arrays for different latitudes.

4.3. Effects of Heading Angles

In nearing space, wind directions could be different with seasons or latitudes. Nowadays, due to the current level of subsystems or some engineering challenges (such as reliability of electric devices), an airship is usually designed to meet the specific requirement of station-keeping in certain season. For staying in a certain geographical location and resisting the wind, the wind-resisting strategies such as flight direction (heading angle) in daytime and nighttime are generally determined in advance. However, the heading angle ψ in daytime has effects on the output energy of solar array, which is usually neglected by designers but needs to be considered in the airship design. In this section, the effects of heading angles of airships are investigated.

Figure 15 shows the optimized shape for different heading angles. The latitude is 18° N. Figure 16 shows the optimized volume, length, and fineness ratio of airships. The optimized shape of airships varies significantly with the heading angles. It is interesting that all curves of volume, length and fineness ratio fluctuate with the heading angles. Taking the optimized volume at $\psi = 90^{\circ}$ (flying from west to east), 270° (flying from east to west) for comparison, the required volume is lower when $\psi = 90^{\circ}$.



Figure 15. The optimized shape of airship (side view) for different heading angles.



Figure 16. The optimized volume, length, and fineness ratio of airships for different heading angles.

Figure 17 shows the optimized layouts of the solar array under different heading angles. Figure 18 shows the optimized area and central angle of solar arrays for different heading angles. It can be observed that all curves are approximately of a sine pattern. The central angles of solar array are nearly anti-symmetric with $\psi = 0^{\circ}$ and 180° (heading north and south, respectively), and nearly symmetric with $\psi = 90^{\circ}$ and 270° (heading east and west, respectively). Taking $0^{\circ} \le \psi \le 180^{\circ}$ for example, the central angle initially increases from 2.5° to 71° when $0^{\circ} \le \psi \le 90^{\circ}$, and then decreases to -0.18° (359.82°) when heading angle increases to 180° .



Figure 17. The optimized layouts of the solar array for different heading angles.

Figure 18. The optimized area and central angles of solar arrays for different heading angles.

Figure 19a shows the optimized mass of airship, solar array, storage battery and whole energy system for different heading angles. Figure 19b shows the corresponding mass ratios of solar array, storage battery and the whole energy system. It can be observed that the optimized mass interestingly fluctuates with the heading angles, which is similar with that of volume. However, although the mass varies significantly with the heading angles, the corresponding mass ratios differ only very little with the variations in heading angles. The mass ratios of solar array, storage battery and whole energy system generally show ranges of 5.3~7.2%, 38.4~41.0% and 45~47%, respectively.

Figure 19. (a) The optimized total mass of airship, mass of solar array, mass of storage and mass of energy system for different heading angles. (b) The optimized mass ratios of solar array, storage battery and whole energy system for different heading angles.

4.4. Effects of Average Resisting Wind Speeds

For higher wind speeds, the airship needs additional energy to provide thrust to overcome the drag. The extra weight of the energy system requires additional buoyancy and larger size to maintain altitude. In this section, the effects of average resisting wind speed (V_0) on the optimized configuration of airship are investigated. All the initial parameters are the same as in Sections 3.2 and 4.1, except the average resisting wind speeds, which are set to be 14, 18, 20, 22 and 25 m/s, respectively. The latitude is 18° N.

Figure 20 shows the optimized shape and solar array layouts (side view) for different average resisting wind speeds. Figure 21a shows the optimized volume, length and fineness ratios of airships. The optimized volume and length of airships increases rapidly with the increase in resisting wind speeds. It is interesting to note that fineness ratios (f_r) almost increase linearly with the increase in resisting wind speeds. This is because in higher wind speeds, drag reduction could be more beneficial than improvements in buoyancy efficiency. Figure 21b shows the optimized area and central angles of solar arrays. The area of solar array S_{so} increases with V_0 more rapidly than with volume. This is because the required energy to resist the wind is related to the volume of the airship as much as the speed of the wind. The optimized area of the solar array (2319.7 m²) for $V_0 = 25$ m/s is about 8.79 times the area of the solar array (264 m²) at $V_0 = 12$ m/s.

Figure 20. The optimized shape of the airship and layout of the solar array (side view) for different average resisting wind speeds.

Figure 21. (**a**) The optimized volume, length, and fineness ratio of airships. (**b**) The optimized area and central angles of solar arrays for different average resisting wind speeds.

Figure 22 shows the optimized mass and mass ratios of solar array, storage battery, energy system and total mass of airship for different average resisting wind speeds. Although the mass of solar array increases much, mass ratio of solar array only increases by 1.5%, which is from 3.8% to 5.3% in total. However, the mass ratio of the whole energy system increases significantly from 24.9% to 45% (mass increases from 1384 kg to 12,897 kg),

which is relatively high. As discussed in Section 2.3, the required capacity (Q_2) and mass of energy system are directly related with the output energy of solar array (Q_3), which further validates the significance of airship optimization considering the solar array layouts.

Figure 22. (a) The optimized mass of solar array, storage battery, energy system and total mass of airship. (b) Optimized mass ratios of solar array, storage battery and energy system for different average resisting wind speeds.

5. Conclusions

In the present study, a comprehensive methodology for conceptual design and optimization of a stratospheric airship powered by solar arrays based on the MDO framework is developed. The methodology could achieve the solution with a 19.2% reduction in airship volume with respect to an arbitrary baseline as provided in Table 3. It is also noticed that, although the mass ratio of the solar array is relatively low referring to the total mass of stratospheric airship, its performance of output power significantly affects the optimal configuration of the airship, parameters such as size, shape, and total mass, which indicates that the solar array layout should be seriously optimized in designing a stratospheric airship. It is determined that the circumferential location of the solar array on the airship envelope significantly affects the output performance of solar energy and the size of airship. Therefore, coupling of the central angle of the solar array in design optimization methodology is essential. In addition, under the same payload and other operating conditions, results show that the size of the airship and area of the solar array increase with latitudes, and the optimal solar layout and corresponding central angle also varies. The required area of the solar array and size of the airship fluctuate in an approximate sinusoidal pattern with heading angles, which indicates that the heading angle is an important factor that needs to be considered in the design of stratospheric airship powered by solar arrays.

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Nomenclature

- L Airship length
- D Airship diameter
- *V*_{env} Volume of airship
- S_{env} Surface of the airship
- f_r Fineness ratio of airship
- *I* Solar radiation flux
- τ_{atm} Atmospheric transmittance
- *H* Flight altitude
- *h* Sun elevation angle
- δ Declination angle of the sun
- ϕ Latitude of flight
- ω Hour angle of the sun
- *I*_{sun} Solar radiation flux of the exoatmosphere
- \dot{S}_{g} Position vector of the sun
- α_z Sun azimuth angle
- β Day angle of the sun
- ω Hour angle of the sun
- t_s Local mean solar time
- λ Local longitude
- λ_0 Reference longitude for the local time zone
- e_t Time difference between actual solar time and mean solar time
- r_{ij} Circumferential radius of the element
- $\dot{N_{ij}}$ Normal vector of the element surface
- $\Delta \theta_s$ Total included angle of the solar array
- ψ Heading angle of the airship
- θ Pitch angle of the airship
- φ Roll angle of the airship
- P_{ij} Power received by element (i, j)
- S_{so} Total area of solar arrays
- θ_0 Central angle of the solar array
- $\Delta \theta$ Included angle of the solar array
- *P_{req}* Power required for an airship
- *P*_{prp} Power consumed by the propulsion subsystem
- C_D Drag coefficient of the airship
- Q_1 Available surplus energy for storage in lithium battery when $P_{so} > P_{req}$
- *Q*₂ Energy consumption which needs to be supplied by the lithium battery
- Q_3 Energy generated by solar arrays which is used for running the airship
- F_b Buoyancy of the airship
- m_t Total mass of the airship
- *m*_{str} Total mass of the structural subsystem
- *m*_{ene} Total mass of the energy subsystem
- m_{prp} Mass of the propulsion subsystem
- m_{pay} Mass of the payload
- *moth* Mass of the other components
- m_{env} Mass of the airship envelope
- m_{fin} Mass of the fin
- m_{gas} Mass of the gas in the airship
- m_{ene} Sum of mass of the solar array
- m_{so} Mass of the solar array
- m_{li} Mass of the lithium battery
- δ_{pm} Power density of the propulsion subsystem

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