

Review

# Tailored Functionalization of Natural Phenols to Improve Biological Activity

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**Abstract:** Phenols are widespread in nature, being the major components of several plants and essential oils. Natural phenols' anti-microbial, anti-bacterial, anti-oxidant, pharmacological and nutritional properties are, nowadays, well established. Hence, given their peculiar biological role, numerous studies are currently ongoing to overcome their limitations, as well as to enhance their activity. In this review, the functionalization of selected natural phenols is critically examined, mainly highlighting their improved bioactivity after the proper chemical transformations. In particular, functionalization of the most abundant naturally occurring monophenols, diphenols, lipidic phenols, phenolic acids, polyphenols and curcumin derivatives is explored.

**Keywords:** carvacrol; thymol; eugenol; resveratrol; hispolon; hydroxytyrosol; lipidic phenols; phenolic acids; polyphenols; curcumin



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## 1. Introduction

Natural phenols, mainly of vegetable origin, are receiving increasing attention, as insight into their biological activity increases.

In recent years, many reviews appeared about phenolic profiles of plants and/or essential oils, evidencing anti-microbial, anti-bacterial [1–4], antioxidant [5–10], as well as pharmacological [11–18] and nutritional [19–21] properties, together with a very informing book [22]. In view of their importance, studies were aimed at breeding plants able to increase the content of bioactive phenols [23]. The research in the field continues, and more and more plants are investigated for their phenolic content and related bioactivity [24–41]. The antioxidant activity of natural phenols has been related to their scavenger ability towards free radicals [42]. Particularly interesting is the possibility to encapsulate phenols—as well as other natural compounds—in chitosan biopolymers [43], or in  $\beta$ -cyclodextrin [44].

It must be noticed that the application of modern extractive techniques [45–52] makes the determination of phenolic compounds in plant matrices more accessible and complete.

New applications of natural phenols in different fields are reported in fish aquaculture [53], sport performances [54], fish gelatin and gelatin from bovine skin modification by cross-linking with natural phenolic acids [55,56]. Advanced extraction technologies allowed the use of phenolic extracts from some plants for food preservation [57–60]. Moreover, technological applications are becoming available, such as anti-bacterial films based on cellulose/phenolic species [61], antimicrobials packaging films based on nano-encapsulation of bioactive oils through emulsion polymerization [62], fire-resistant phenolic foams [63] and natural fiber-reinforced composites with lignin phenol binder [64].

Though outside the scope of the present review, it is worth signaling the use of natural phenolic compounds as building blocks to obtain functional materials [65] or as antioxidants for biodiesel [66].

With so much information collected and available, the next step was the effort to understand the structural factors responsible for bioactivity, examining the structure–activity relationship of antioxidant phenolic compounds [67,68].

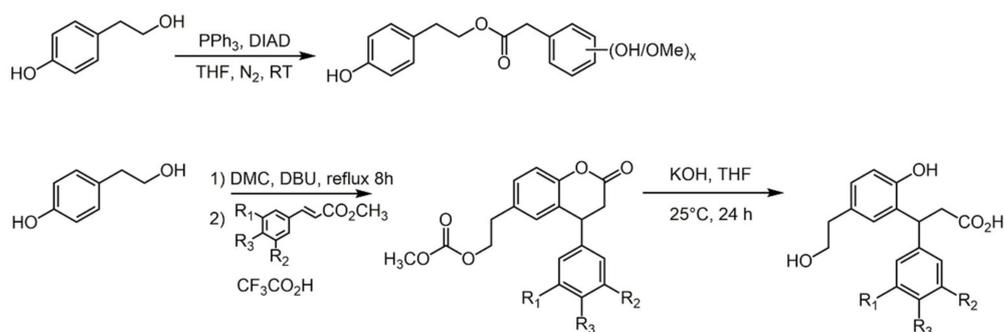
From the chemical point of view, it may be interesting to look for chemical derivatization of natural phenols leading to eventually enhanced biological activity. As a matter of fact, treatment with diazomethane of phenolic extracts led to derivatives more suitable as antioxidants for lipophilic foods [69]. Considering the importance for human health, representative methods to chemically modify the natural phenols were discussed [70], as well as reviews of enzymatic modification [71] and of metabolic engineering for microbial biosynthesis of natural compounds, among which phenols, were reported [72].

In the present review, we aim to give a general picture of the situation, reporting chemically modified natural phenols and comparing their performances with those of the parent compounds. The number of isolated and bioactive natural phenols is huge and ever-increasing, so our attention is mainly focused on the most abundant ones in nature. Moreover, phenolic polymers are not discussed since they deserve a separate review, considering their growing importance. Literature published from 2000 to the beginning of 2021 is considered.

## 2. Monophenols

Monophenol functionalization is attracting the interest of a growing number of researchers, since the synthesis of new biologically active derivatives starting from natural compounds is a proficient tool to improve their properties. In fact, tailored functionalization is a valuable strategy to overcome natural phenol weaknesses such as toxicity, low water solubility, as well as to mild their strong fragrances, that often limit their application [73–78].

As an example, the antioxidant activity of tyrosol (2-(4-hydroxyphenyl)-ethanol), which is an abundant phenol in olive oil, responsible for oil beneficial properties [79], can be sensibly enhanced through esterification of the alcoholic hydroxyl group with different phenolic acids (Scheme 1) [80]. Analogously, hydroarylation with cinnamic esters improves the antioxidant properties of tyrosol, especially in the presence of additional hydroxyl group in the aromatic ring of the acidic moiety (Scheme 1) [81].



**Scheme 1.** Tyrosol esterification with phenolic acids (**top**) [80]; tyrosol hydroarylation with cinnamic esters (**bottom**) [81]. Abbreviations: DIAD = diisopropyl azodicarboxylate; DMC = dimethyl carbonate; DBU = 1,8-diazabicyclo(5.4.0)undec-7-ene.

Nevertheless, considering their abundance in nature, we examine in detail the functionalization of carvacrol, thymol and eugenol, since they are amongst the most widespread phenols in nature, usually responsible for beneficial plant properties.

### 2.1. Carvacrol

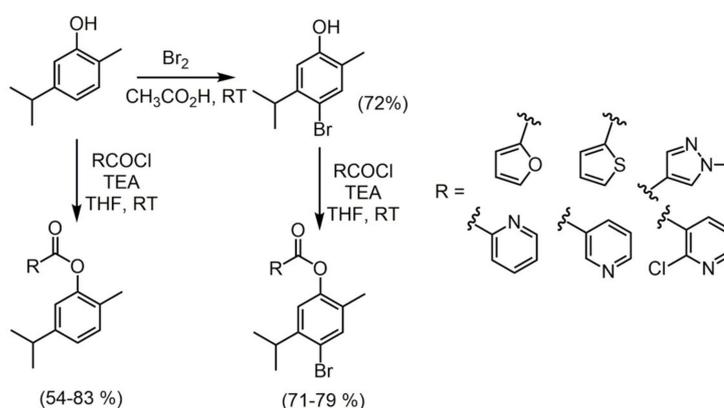
Carvacrol (5-isopropyl-2-methylphenol) is a phenolic monoterpenoid compound, and it is a major component of oregano and thyme essential oils. Together with its isomer, thymol (2-isopropyl-5-methylphenol), it is the main active ingredient responsible for essential

oils' biological activity [82–84]. In fact, carvacrol's peculiar antibacterial, anti-fungal, anti-inflammatory, anxiolytic and anticancer activities are currently well established, and the FDA (Food and Drug Administration) has approved its use as an additive in food products.

Nonetheless, the research of new carvacrol analogues is currently inspiring several research groups, with the aim to extend the potential application of the compound [85]. Carvacrol functionalization usually occurs at the -OH moiety; indeed, a wide variety of synthetic carvacryl esters can be found in the literature. Obviously, through phenol esterification, variegated functionalized products can be accessed [86], to be explored in several areas. As an example, carvacryl acetate showed significant anti-inflammatory [87], anti-nociceptive [87], anti-oxidant [88] and anti-fungal [89] effects. It can also be used in the treatment of anxiety disorders [90] and as an acaricidal agent against *Rhipicephalus microplus*, a dangerous cattle tick that is causing important economic losses in the cattle industry [91,92]. Similarly, carvacryl propionate, obtained by carvacrol esterification with propionyl chloride in the presence of triethylamine (TEA), showed higher analgesic, anti-inflammatory and anti-hyperalgesic effects compared to pure carvacrol [93]. Interestingly, esterification with the Boc-protected  $\gamma$ -aminobutanoic acid (GABA), which is the primary inhibitory neurotransmitter of the central nervous system, has been performed with *N,N'*-dicyclohexylcarbodiimide (DCC) and 4-dimethylaminopyridine (DMAP) in dichloromethane (DCM) [94,95]. The corresponding ester, obtained upon Boc removal in acid conditions, is a suitable drug for different pharmacological applications. In fact, it can modulate the transient receptor potential (TRP) channels and bind GABA receptors, thus exerting high analgesic and anti-inflammatory effects. In addition, carvacryl esters having hydroxy substituted cinnamic acids are efficient tyrosinase inhibitors [96].

However, it is worth mentioning that esterification is not always a successful strategy to obtain highly effective derivatives. In fact, the antibacterial activity of carvacrol against *S. mutans*, *S. aureus*, *B. subtilis*, *S. epidermidis* and *E. coli* was reduced upon esterification with different alkyl- or aryl-based acyl chlorides [97]. Similarly, several attempts have been performed to further improve carvacrol activity against *Mycobacterium tuberculosis* chorismate mutase enzyme: acetylation or etherification of the -OH group, or introduction of different substituents (-Cl, -Br, -NO<sub>2</sub>) on carvacrol aromatic rings led to unsatisfactory antitubercular activity [98].

On the contrary, a number of carvacrol and 4-bromocarcacrol esters with furan, thiophene and pyridine have been synthesized and screened as antifungal agents (Scheme 2) [99].



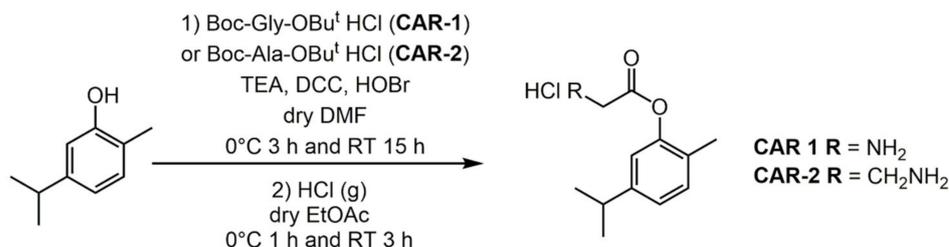
**Scheme 2.** Carvacrol and 4-bromocarcacrol esterification with heterocyclic acyl halides [99].

The different heterocyclic units sensibly influence carvacrol activity: esters with acids of furan and thiophene are more active than carvacrol against *R. solani*, while pyridine esters of 4-bromocarcacrol exhibited an enhanced antifungal activity vs. *P. oryzae*.

Carvacrol sulfenate esters, obtained by treating carvacrol with trichloromethyl hypochlorite (ClSCCl<sub>3</sub>) in the presence of TEA, are remarkable antibacterial agents, being 40 times more effective than carvacrol toward *S. epidermidis*, and 8 times more active toward *P. aerug-*

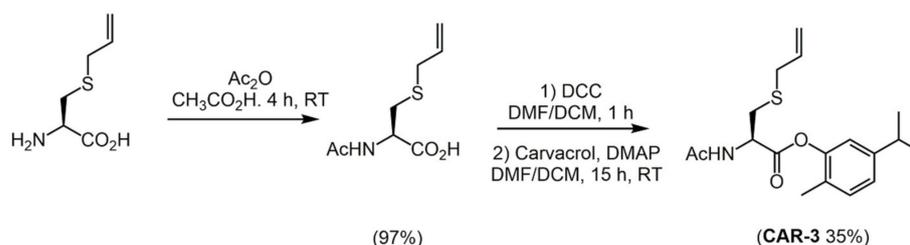
*inosa* [100]. Moreover, 4-chlorocarvacrol, obtained through the oxychlorination of carvacrol in acetic acid, with LiCl and CuCl<sub>2</sub> catalyst, under O<sub>2</sub> atmosphere, showed a good activity against several bacterial strains. In particular, it is much more effective than its precursor against *P. aeruginosa* [101].

Recently, twenty different amino acid ester prodrugs of carvacrol were synthesized, with the aim to improve carvacrol's solubility in water while preserving its antimicrobial properties [102]. **CAR-1** is highly effective to inhibit *C. albicans* growth, while *C. tropicalis* and *C. glabrata* were successfully inhibited by **CAR-2** (Scheme 3). Importantly, **CAR-1** and **CAR-2** did not prove to be cytotoxic at the adopted concentrations.



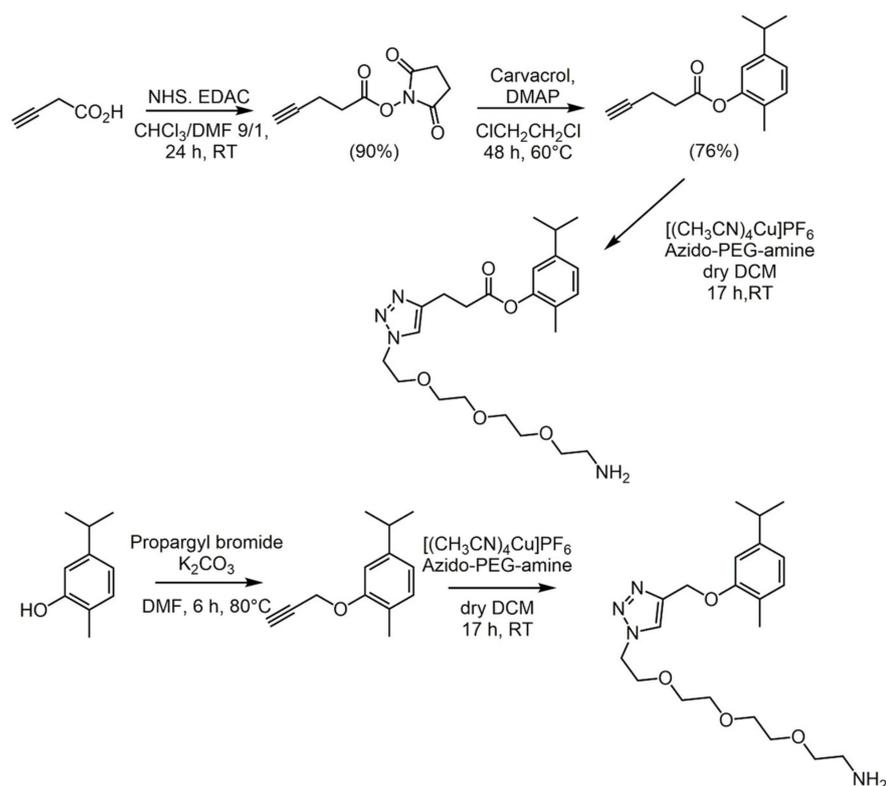
**Scheme 3.** Synthesis of carvacrol amino acid ester prodrugs **CAR-1** and **CAR-2** [102].

Similarly, ten carvacrol codrugs, obtained through carvacrol esterification with sulfur-containing amino acids, have been synthesized [103]. Even though such compounds showed a reduced toxicity with respect to carvacrol, their antimicrobial activity was poorer. However, **CAR-3** (Scheme 4) is more effective than the corresponding free phenol in affecting *E. coli* mature biofilm. In fact, carvacrol conjugation with Ac-Cys(Allyl)-OH is crucial to promote the permeabilization and the destabilization of the bacterial membrane, thus ensuring reduced biofilm formation. Pharmacokinetic studies also revealed a good stability of **CAR-3** at stomach pH, in the presence of pepsin and pancreatin, suggesting that after oral administration, **CAR-3** can cross the stomach, and it can be absorbed from the intestine, releasing carvacrol after enzymatic hydrolysis.



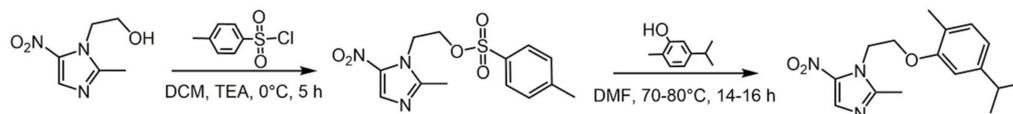
**Scheme 4.** Synthesis of sulfur containing amino acid ester prodrug **CAR-3** [103]. Abbreviations: Ac<sub>2</sub>O = acetic anhydride.

A more advanced approach was related to the carvacrol anchoring on a gold surface, to develop antimicrobial coats [104]. Indeed, carvacrol functionalization at the phenolic group was performed to obtain a carvacrol ester and an ether, with a -NH<sub>2</sub> terminal group (Scheme 5). The latter could be covalently attached to a properly modified gold surface. Thus, the antifungal activity of carvacrol functionalized Au surfaces was evaluated against *C. albicans* and more than 75% of inhibition was observed for the ester derivative, while 65% inhibition was reached with the ether one. Noteworthy, the fungicidal activity was maintained after being stored for one month at 4 °C.



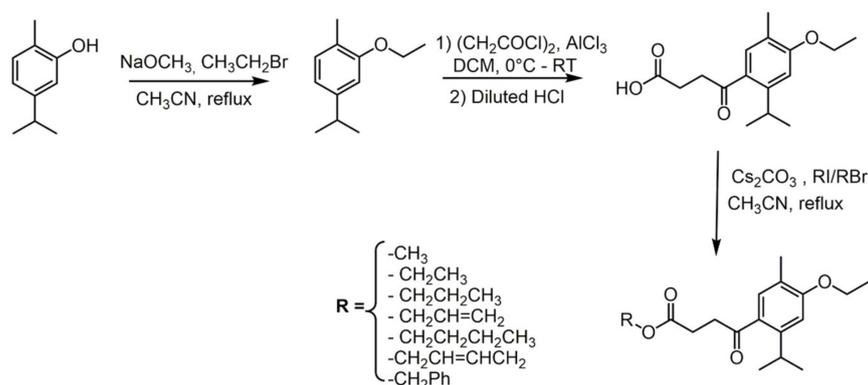
**Scheme 5.** Synthesis of carvacrol ester and ether with a  $-NH_2$  terminal group [104]. Abbreviations: NHS = *N*-hydroxysuccinimide; EDAC = *N*-(3-dimethylaminopropyl)-*N'*-ethylcarbodiimide hydrochloride; Azido-PEG-amine = *O*-(2-aminoethyl)-*O'*-(2-azidoethyl)triethylene glycol.

Next to carvacrol ester derivatives, ethers have also been extensively studied over the years to implement carvacrol applications [105,106]. In particular, several carvacrol ethers have been explored in the treatment of *H. pylori* bacterial infection and as antiproliferative agents against human gastric adenocarcinoma cell lines, with promising results [107]. Similarly, a metronidazole carvacrol ether derivative has shown remarkable activity against two strains of *H. pylori* and one strain of *Clostridium perfringens* (Scheme 6) [108].



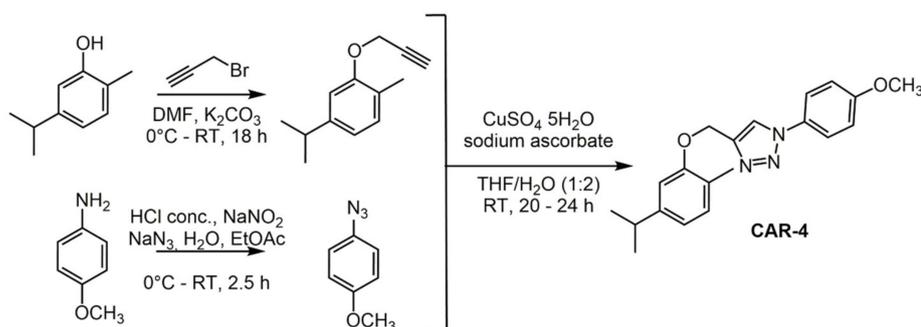
**Scheme 6.** Synthesis of a metronidazole carvacrol ether derivative [108].

Carvacrol propyl, butyl, octyl and benzyl ethers demonstrated the ability to reduce fertility and viability of the fruit fly *Drosophila melanogaster* after oral administration or inhalation exposure [109]. Moreover, different alkyl 4-oxobutanoate *p*-substituted carvacryl ethyl ethers have been synthesized (Scheme 7) and screened as tyrosinase inhibitors, which are valuable molecules in medicine, agriculture and cosmetics because of their ability to control melanin overproduction [110]. Data showed that synthetic ethers were more effective in inhibiting tyrosinase with respect to the parent compound.



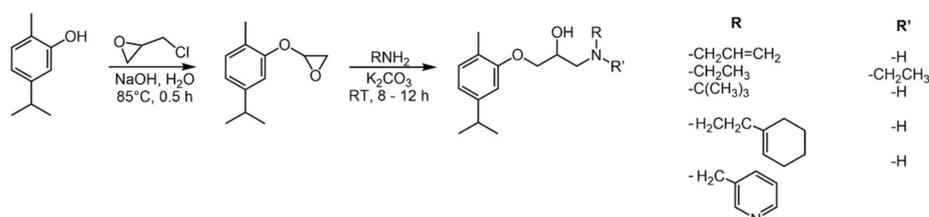
**Scheme 7.** Synthesis of alkyl 4-oxobutanoate *p*-substituted carvacryl ethyl ethers [110].

Docking studies demonstrated that carvacrol derivative **CAR-4** (Scheme 8) is a promising anti-malaria agent [111]. In particular, **CAR-4** interacts with amino acid residues in the binding pocket of the protease of *P. falciparum* parasite, a common target for anti-malarian drugs. Therefore, **CAR-4** was synthesized starting from carvacrol and propargyl bromide in the presence of  $K_2CO_3$ . The resulting alkyne was reacted with *p*-methoxyphenylazide in the presence of a Cu(I)-salt and sodium ascorbate in THF/ $H_2O$ , to form the [3 + 2] cycloaddition product (Scheme 8). **CAR-4** showed high anti-malarian activity, with  $IC_{50}$  value of 8.8  $\mu M$ . In vivo tests showed significant parasite reduction up to 8 days, making **CAR-4** a potential lead against the target protease.



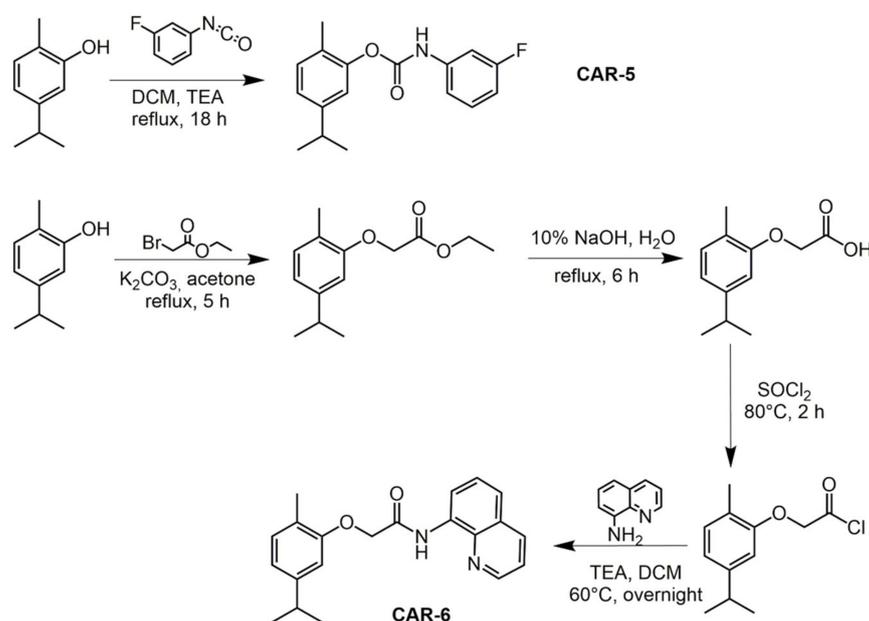
**Scheme 8.** Synthesis of **CAR-4** [111,112].

Oxypropanolamine derivatives of carvacrol were tested to evaluate their application in different diseases (Scheme 9) [113]. In particular, their inhibitory effects towards different type of carbonic anhydrase,  $\alpha$ -glycosidase and acetylcholinesterase enzymes have been evaluated and results showed a very good inhibition effect, even higher than that of reference compounds. Therefore, such synthetic carvacrol derivatives can be further exploited as diuretics, antiepileptics, anti-glaucoma, anti-diabetic and anti-inflammatory agents in the treatment of gastric and duodenal ulcers and in neurological disorders, such as Alzheimer's disease.



**Scheme 9.** Synthesis of oxypropanolamine carvacrol derivatives [113].

3-Fluorophenyl carbamate derivative of carvacrol (**CAR-5**, synthesized from reaction between carvacrol with 3-fluorophenyl isocyanate in DCM, Scheme 10) is 130-fold more active compared to carvacrol in acetylcholinesterase inhibition and 400-fold more efficient in inhibiting butyrylcholinesterase, with negligible cell death [114]. More interestingly, a series of carvacrol amide derivatives have been screened against acetylcholinesterase and butyrylcholinesterase enzymes [115]. The carvacrol derivative modified with a quinoline moiety (**CAR-6**, Scheme 10) is 149-fold more effective than carvacrol in inhibiting acetylcholinesterase and more than 8000-fold more efficient for butyrylcholinesterase inhibition. The higher activity compared to carvacrol was related to the presence of the heterocyclic aromatic quinoline core that can interact with the amino acid residues in the active site of the enzyme through  $\pi$ - $\pi$  interactions.



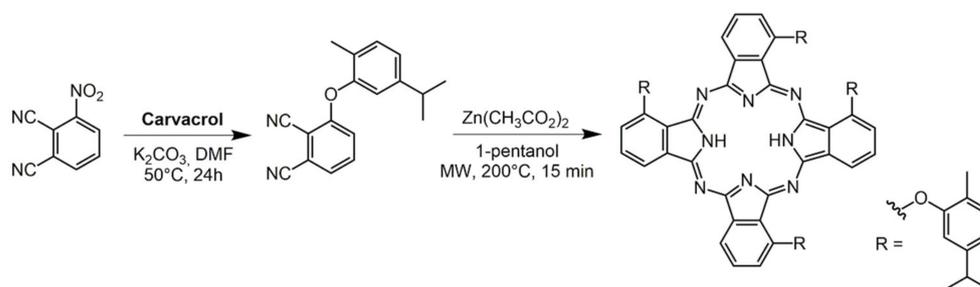
**Scheme 10.** Synthesis of **CAR-5** [114] and **CAR-6** [115].

Sulfonic acid functionalized carvacrol, synthesized through the electrophilic aromatic sulfonation reaction with concentrated  $\text{H}_2\text{SO}_4$ , and the corresponding potassium salt, are less effective anti-bacterial agents with respect to carvacrol, but their remarkable water solubility and reduced odor allow their use in the food industry for preservation of foodstuffs and for shelf life increase [116]. A series of interesting differently substituted carvacrol analogues, such as sulfonate esters [117] (obtained by reaction with ethane sulfonyl chloride or aryl sulfonyl chloride in dichloromethane, in the presence of TEA), dihydroxy- [118], acetohydrazone- [119], hydrazone-, sulfonyl hydrazone- [120], and hydrazide-based sulfonamide- [121] carvacrol derivatives have been synthesized and screened for their antimicrobial, antioxidant, and anti-cancer activities. Results suggest that the synthesized compounds exhibit very promising biological properties in the studied fields, even though their efficiency was not directly compared with that of carvacrol.

In a recent paper, carvacrol has been successfully coupled with phthalocyanines [122]: 3-nitrobenzene-1,2-dicarbonitrile was initially reacted with carvacrol and then the resulting compound was subjected to macrocyclization under MW irradiation, to obtain the corresponding phthalocyanine, **CAR-7** (Scheme 11).

The photodynamic antibacterial activity of the synthesized phthalocyanine was evaluated: upon excitation with light, the carvacrol-substituted phthalocyanine showed an increased photoinactivation at  $100 \mu\text{M}$  with respect to the sole zinc(II) phthalocyanine. A lower dark toxicity was observed in comparison to pure carvacrol, likely because of the

lower bacterial membrane penetration of bulk phthalocyanine with respect to carvacrol. Nonetheless, lower photostability of the conjugate was observed [122].



**Scheme 11.** Synthesis of CAR-7 [122].

## 2.2. Thymol

Next to carvacrol, its isomer, thymol, is widely used as an antibacterial, antifungal, antioxidant and anti-inflammatory active ingredient in several products, as well as a food preservative [84,123].

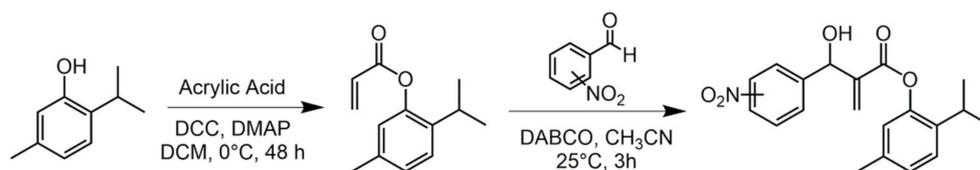
Indeed, several natural and synthetic thymol derivatives have been proposed over the years to further broaden its application at the industrial level [124–126].

Quite a few thymol derivatives have been synthesized and evaluated for different biological purposes [86,127–130]. Thymol functionalization through esterification or etherification reactions constitutes one of the most useful approaches to access a wide library of different bio-active molecules. Thymol esterification usually occurs in the classical conditions, reacting thymol with the appropriate anhydride or acyl chloride in the presence of a base. MW-assisted procedures in aqueous medium have also been proposed, to perform reactions in reduced times and with improved yields [131].

Thymol acetylation has been widely studied, since the product, i.e., thymyl acetate, is more effective than thymol against plant pathogenic fungi, such as *A. solani*, *B. cinerea*, *P. grisea* and *R. solani* [89] and Gram-positive bacterial strains such as *S. mutans*, *B. subtilis* and *S. epidermidis* [97]. Higher or equal activity with respect to thymol was assessed for Gram-negative *E. coli*, *S. typhimurium*, *P. aeruginosa* and *K. pneumonia* [132]. Similar enhancements in anti-bacterial action have been achieved with thymyl propanoate and methylpropanoate derivatives [97], while thymol esterification with heteroaromatic carboxylic acids led to efficient anti-fungal compounds [99]. Moreover, in a screening of different synthetic thymyl esters and ethers, thymyl benzoate exhibited the highest larvicidal potency on *Aedes aegypti*, which is a dangerous mosquito and vector of dengue fever and other diseases in the world [133]. Importantly, thymol protection through esterification proved to be effective to reduce thymol toxicity. In fact, thymol acetate and benzoate are promising candidates as antileishmanial drugs, being less toxic and more active than thymol against the parasite *Leishmania infantumchagasi* [134]. Thymol acetylation has been considered effective also in the treatment of gastrointestinal nematode infection of small ruminants because of the reduced toxicity of the ester compared to the parent compound, even though thymol acetate was actually less effective than thymol in vitro studies [135].

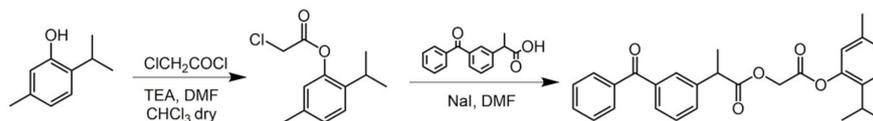
Acrylate derivatives of thymol have been synthesized according to a multi-step process (Scheme 12) [136].

Thymol esterification with acrylic acid in the presence of DCC and catalytic amount of DMAP in DCM was performed. The obtained product was reacted with nitro-substituted benzaldehyde in dry acetonitrile, with the nucleophilic catalyst 1,4-diazabicyclo[2.2.2]octane (DABCO). Reactions proceeded with good yields, and product with higher antileishmanial activity than thymol against *Leishmania amazonensis* was obtained [136].



**Scheme 12.** Synthesis of thymol acrylate derivatives [136].

Promising results have also been achieved with the conjugation of nonsteroidal anti-inflammatory drugs (NSAIDs) to thymol, to prevent adverse gastrointestinal mucosal reactions, which are typical side effects related with long-term use of NSAIDs [137–140]. The formation of gastric ulceration related with NSAID therapy is usually due to the local generation of reactive oxygen species (ROS); thus, the introduction of antioxidant components in NSAIDs' structure can limit such undesired effects. Accordingly, thymol esterification product with indomethacin, etodolac and tolfenamic acid showed retention of pharmacological activity with respect to the parent drug and significant reduction in ulcerogenic side effects of the corresponding NSAID [137]. Similarly, thymol has been included in ketoprofen drug (2-(3-benzoylphenyl)propanoic acid), through a glycolic acid spacer (Scheme 13) [139].



**Scheme 13.** Synthesis of thymol ketoprofen derivative [139].

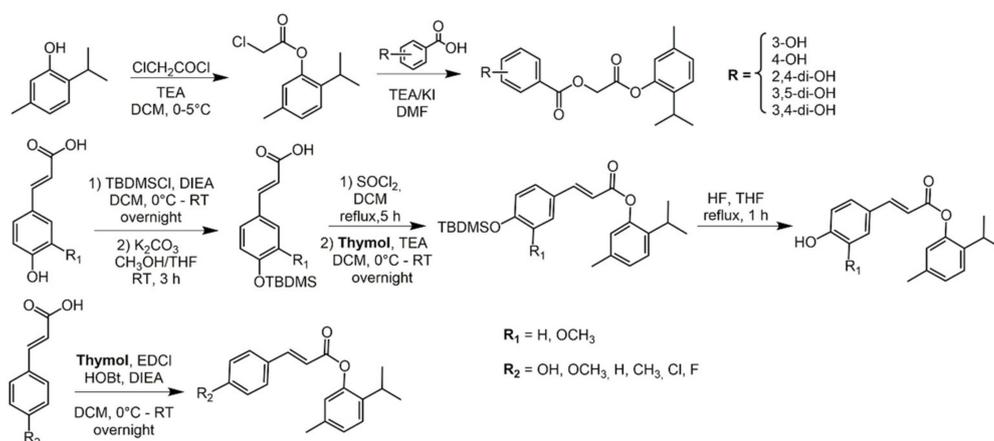
Modified ketoprofen showed better analgesic and anti-inflammatory activities and reduced gastrointestinal toxicity, thus demonstrating the great advantage in using such prodrugs for chronic inflammatory disorders treatment.

Thymol conjugation with diacerein (1,8-diacetoxy-3-carboxyanthraquinone), an anthraquinone derivative used as antiarthritic, moderate anti-inflammatory, antipyretic and analgesic drug, has been exploited. Diacerein linkage to thymol through esterification with DCC improved lipophilicity and bioavailability of the drug, while decreasing gastric irritant effect and enhancing anti-inflammatory activity [140].

Because of their already known antioxidant and mushroom tyrosinase inhibitory activity, substituted benzoic acids and cinnamic acids bearing a thymol moiety have been synthesized in order to discover new effective tyrosinase inhibitors [141–144]. To this aim, thymol esterification has been carried out, with properly substituted benzoic or cinnamic acids, in the presence of TEA (Scheme 14).

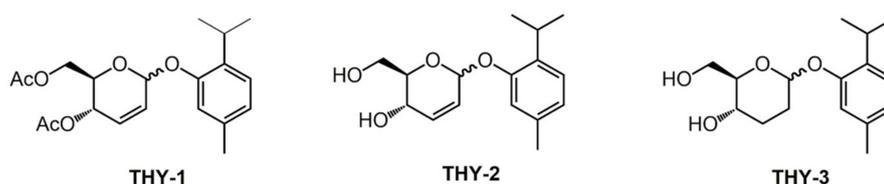
Among the tested compounds, derivatives possessing 4-hydroxyl substituted cinnamic acid are the most active ones, having the maximum binding affinity with the receptor protein [141,144]. Thus, the synthesized derivatives may serve as lead structures for developing even more effective tyrosinase inhibitors.

2-Isopropoxy-1-isopropyl-4-methylbenzene, obtained by thymol etherification with 2-chloropropane in the presence of TEA in diethyl ether, exhibited an enhanced antibacterial activity with respect to thymol against *E. coli*, *S. typhimurium*, *S. aureus*, *P. aeruginosa* and *K. pneumonia* [132]. Interesting thymol oxypropanolamine derivatives showed powerful antibacterial activity on different Gram-negative and Gram-positive bacteria, as well as good inhibition of some metabolic enzymes, such as human carbonic anhydrases isoenzymes I and II,  $\alpha$ -glycosidase and acetylcholinesterase [145]. Enhancements of the thymol biological activity have also been detected with thymol glucosides.



**Scheme 14.** Synthesis of benzoic acids [141] and cinnamic acids [144], derivatives of thymol. Abbreviations: TBDMSCl = *tert*-butyldimethylsilyl chloride; DIEA = *N,N*-diisopropylethylamine; EDCI = 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide; HOBt = hydroxybenzotriazole.

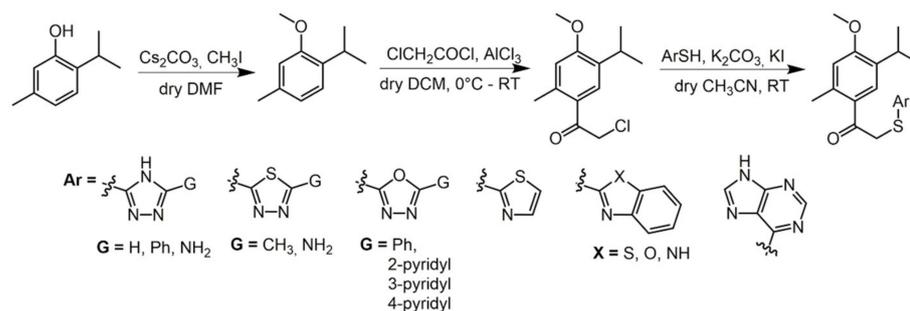
Glycosylation is a versatile method that allows us to improve the hydrophilicity of organic compounds, also widening their pharmacological applications. Several thymol glucosides derivatives have been synthesized and tested over the years [146–148]. Notably, the *in vitro* antifungal activity of 2-isopropyl-5-methylphenyl-4,6-di-*O*-acetyl-2,3-dideoxy- $\alpha$ -*D*-erythrohex-2-enopyranoside (**THY-1**), 2-isopropyl-5-methylphenyl-2,3-dideoxy- $\alpha$ -*D*-erythrohex-2-enopyranoside (**THY-2**) and 2-isopropyl-5-methylphenyl-2,3-dideoxy- $\alpha$ -*D*-erythrohexanopyranoside (**THY-3**) (Figure 1) has been evaluated, and larger inhibition zones and lower MIC were achieved against *A. flavus*, *A. ochraceus* and *F. oxysporum* compared to thymol. Thus, because of the improved hydrophilicity, next to the biological activity, glucosides thymol derivatives can be suggested as antifungal agents in food systems [149].



**Figure 1.** Structure of the glucosides thymol derivatives **THY-1**, **THY-2**, **THY-3** [149].

The antioxidant activity of a series of heterocyclic sulfide derivatives of thymol has been recently exploited [150]; they were prepared following a multi-step procedure (Scheme 15), where the natural phenol was firstly subjected to methylation reaction with cesium carbonate and methyl iodide in DMF. To note, DMC can efficiently replace  $\text{CH}_3\text{I}$  in thymol methylation reaction [151]. Next, Friedel–Crafts acylation of the methyl-protected thymol with chloroacetyl chloride led to 2-chloro-1-(5-isopropyl-4-methoxy-2-methylphenyl)ethan-1-one in 48% yield. Nucleophilic substitution of  $\text{Cl}^-$  was then performed, with the proper heterocyclic aromatic thiols, in the presence of potassium carbonate and potassium iodide in acetonitrile, to obtain the desired thymol derivatives.

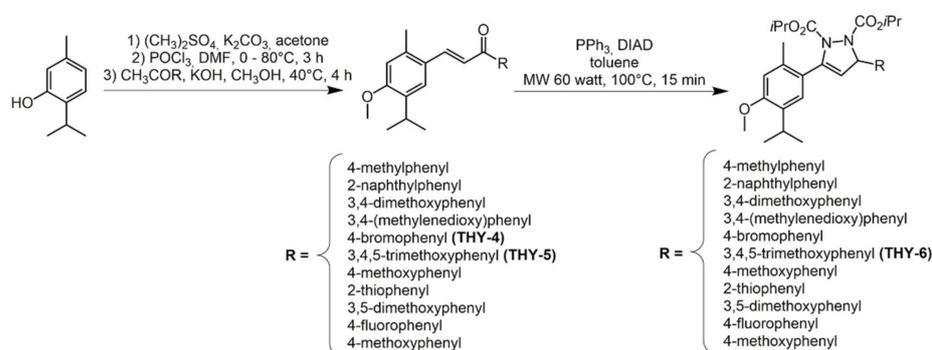
Synthesized compounds showed good anti-oxidant activity, and docking studies on tyrosinase revealed higher affinity with respect to thymol and the reference compound (kojic acid) toward the binding site of the enzyme. In particular, the oxadiazole derivatives presented the largest binding affinities with the enzyme because of favorable H-bond interactions with amino acid residues in the active site [150].



**Scheme 15.** Synthesis of heterocyclic sulfide thymol derivatives [150].

Recently, new thymol sulfonamide derivatives have been prepared. The synthetic pathway firstly requires diazonium salt synthesis from the aromatic amine, and then electrophilic substitution on thymol aromatic ring, in basic solution. The conjugate thymol-sulfadiazine derivative is the most antibacterial active one, showing inhibitory activity against *S. aureus* and *E. faecalis* [152]. Differently functionalized thymol derivatives have been obtained, such as thymol-based paracetamol analogues [153] or aryl-azo-substituted thymol [154], as well as *N*-methylcarbamate derivatives [155,156] and they showed good anti-oxidant and anti-microbial activities. A 1,3,5-triazine piperazines thymol derivative displayed very interesting therapeutic perspectives as a drug against memory and cognitive impairment, i.e., Alzheimer's disease and dementia, having good pharmaceutical and safety profiles in vitro [157]. Increased antibacterial and antifungal activity has been observed for thymol pyridazinone [158] and thymol pyridine [159] derivatives, with respect to thymol, while 2-(4*H*-1,2,4-triazole-3-yl)thioacetamide thymol derivatives exhibited promising anti-cancer activity [160]. Mannich bases of thymol were investigated as carbonic anhydrase inhibitors, showing moderate activity [161].

Thymol-based substituted pyrazolines and chalcones have been tested against human malaria parasite strain *Plasmodium falciparum* activity [162]. The proposed synthetic pathway to access such bioactive compounds firstly requires the synthesis of 3-isopropyl-4-methoxy-6-methylbenzaldehyde. Chalcones are then obtained through Claisen–Schmidt condensation of the aldehyde with different acetophenones in methanol, with KOH excess. Reaction of the thymol-based chalcones with diisopropyl azodicarboxylate (DIAD) in the presence of PPh<sub>3</sub> and toluene afforded the functionalized pyrazolines, under MW irradiation, with good yields (Scheme 16).



**Scheme 16.** Synthesis of thymol-based substituted pyrazolines and chalcones [162].

The synthesized compounds showed enhanced anti-malarian activity with respect to thymol, and in particular, chalcones **THY-4** and **THY-5** and pyrazoline **THY-6** exhibited highest activity against human malaria parasite *P. falciparum*, being much more effective than the parent compound [162].

Different studies also demonstrated that halogenation is a proficient strategy to enhance thymol biological activity. Yet, thymol chlorination affords 4-chlorothymol as a main

product [163,164], which is up to six times more active than thymol against *S. aureus*, *S. epidermis* and different *C. albicans* strains [163]. More interestingly, thymol bromination in mild conditions leads to 4-bromothymol [165–167], which is a very effective antimicrobial active compound [168]. In fact, its activity is up to 15 times stronger than that of the parent compound, against several bacterial and fungal strains pathogenic for humans and animals. Thus, 4-bromothymol sustainable synthesis was the object of several studies [167], and biocompatible drug delivery methods have been also developed to study the potential application of such an interesting antimicrobial compound for topical applications in cosmetics [151].

### 2.3. Eugenol

Eugenol (4-allyl-2-methoxyphenol) is the major component of clove essential oils, but it can be also found in minor amounts in cinnamon, clove pepper and other plants. It is used in perfumeries for its pleasant fragrance, as a flavoring agent in foods, as antiseptic and disinfectant in dental products and in many other fields [169]. Eugenol can be readily functionalized through the chemical transformation of the phenolic -OH group (mainly via the classical etherification and esterification reactions) [170–176], on the aromatic ring (through nitration reaction or Mannich bases formation) [177–180], as well as on the allylic functionality, through epoxidation [175] (Figure 2).

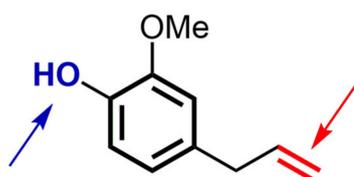


Figure 2. Eugenol structure.

Thanks to its highly versatile structure, several eugenol derivatives have been synthesized for different biological purposes in the past ten years [181,182]. Moreover, eugenol can be used as a scaffold to synthesize biologically active natural products [183,184]. The possibility to introduce eugenol skeleton in complex structures such as phthalocyanines [185], platinum(II) complexes [186], and organic antimicrobial polymers [187] has been explored, obtaining new interesting biologically active species (Figure 3).

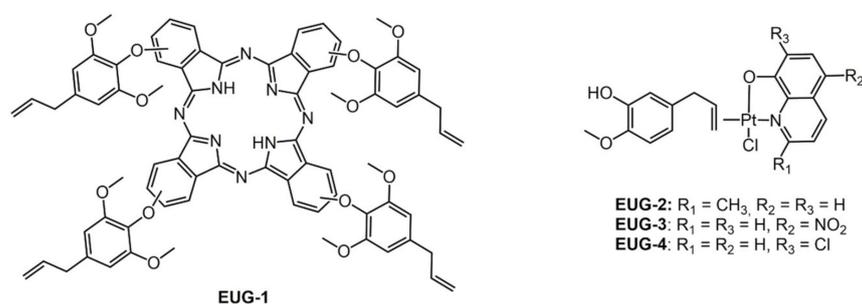


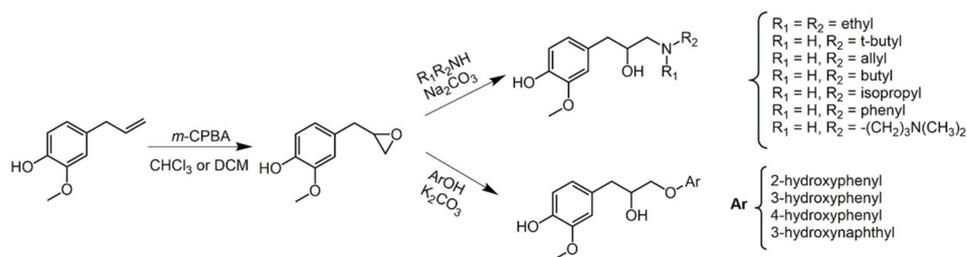
Figure 3. Structure of eugenol phthalocyanine derivative (EUG-1) [185] and eugenol Pt(II) complexes EUG-2-4 [186].

Alkyl and aryl eugenol esters have promising anti-inflammatory agents for skin inflammation [188], anti-oxidants [189], as well as effective antibacterial and anti-fungal compounds [190]. In particular, different eugenol esters with high anti-oxidant activity have been synthesized for application in cosmetics. Results showed that after esterification, skin penetration of the active compounds was increased; therefore, eugenol ester derivatives could explicate their anti-oxidant activity in the deeper layers of the skin [191]. Eugenol

tosylate derivatives have also been synthesized by reaction with different sulfonyl chlorides in the presence of pyridine. The obtained tosylates are effective inhibitors for *Candida albicans* [192–194].

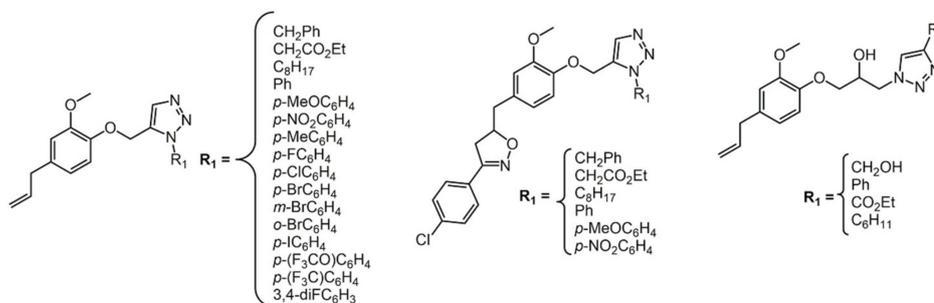
Eugenol esterification has been also performed with aspirin (acetylsalicylic acid, previously activated with  $\text{SOCl}_2$  to form the corresponding acyl chloride). The obtained ester is a very promising compound, having fewer toxic effects than aspirin and eugenol [195] and showing interesting therapeutic effects [196–198]. In fact, it is an anti-inflammatory and antipyretic drug, with stronger and longer effects than its precursors, likely indicating a synergistic effect between the two moieties [195]. Moreover, eugenol esterification with ibuprofen led to a prodrug with retention of anti-inflammatory activity and minimized gastrointestinal toxicity [199].

Eugenol epoxidation at the allylic position, followed by ring opening with different nucleophiles, gives access to a wide library of eugenol derivatives that have been tested as carbonic anhydrase, acetylcholinesterase and  $\alpha$ -glycosidase inhibitors, with good results (Scheme 17) [200,201]. Oxypropanolamine derivatives, obtained by ring opening with amines, showed antibacterial activity on Gram-negative (*A. baumannii*, *P. aeruginosa* and *E. coli*) and Gram-positive (*S. aureus*) bacteria [202].

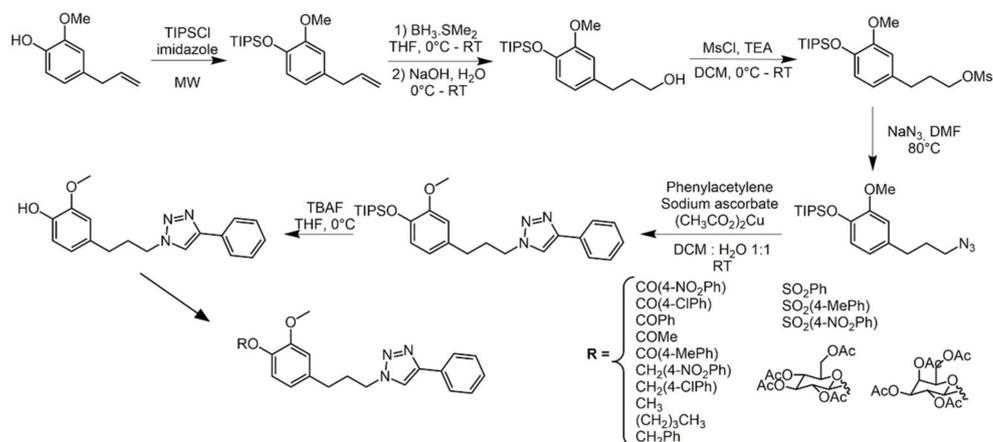


**Scheme 17.** Eugenol derivatives obtained by epoxidation and ring opening reactions [200,201].

Numerous eugenol derivatives bearing triazole functionalities have been successfully accessed through the “click chemistry” approach (Figure 4, Scheme 18). Several examples are present in the literature about (i) *O*-alkylation of eugenol with terminal alkynes, followed by reaction with different benzyl azides [203–205]; (ii) eugenol conversion in epoxide and ring opening to obtain the corresponding alkyl azides, followed by reaction with different alkynes [206]; (iii) hydroboration oxidation at the allylic position of eugenol, followed by mesylation and azidation reactions, to achieve the eugenol azide; then, reaction with phenylacetylene to afford the triazole. Importantly, the first step of this latter process requires -OH protection through silylation, thus allowing the synthesis of variously substituted products (Scheme 18) [207].



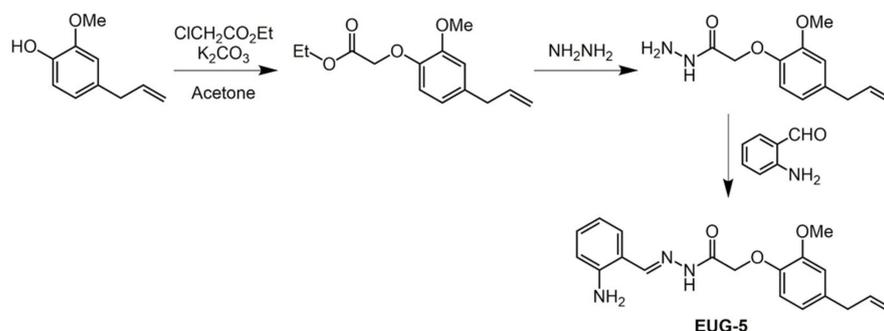
**Figure 4.** Structure of different eugenol triazole derivatives [203–206].



**Scheme 18.** Synthesis of different eugenol triazole derivatives [207]. Abbreviations: TIPSCl = triisopropylsilyl chloride; TBAF = tetrabutylammonium fluoride.

Synthesized eugenol triazole derivatives showed leishmanicidal [205], antimycobacterial [207], trypanocidal [206], anticancer [203] as well as protease inhibitory [204] activity. Triazole eugenol glucosides also showed significant bactericidal activity and low toxicity to normal cells [208].

A series of hydrazones of eugenol have been recently synthesized by condensation of a eugenol hydrazide with various aromatic aldehydes or ketones (Scheme 19) [209]. All the obtained hydrazones showed a promising antitubercular activity, measured by in vitro antimycobacterial activity test against *M. tuberculosis*. Docking studies revealed that the hydrazone eugenol derivative EUG-5 interacts with the active site amino acid residues of the target enzyme, through the amino and phenyl functionalities.



**Scheme 19.** Synthesis of EUG-5 [209].

Great attention has been recently devoted to new eugenol glucoside derivatives. Here, the synthesis is generally performed through a nucleophilic substitution reaction between the phenol group of eugenol and  $\alpha$ -D-tetra-O-acetylglucopyranosyl bromide [210–212]. Some of the obtained derivatives showed strong anti-bacterial [211] and anti-fungal activity, mainly against different *Candida* species [210,212,213].

### 3. Diphenols

Natural diphenols, including catechol, resorcinol and hydroquinone derivatives, are widespread in nature, being commonly found in several vegetables and fruits. Such natural compounds are usually characterized by peculiar anti-oxidant and anti-inflammatory activity. Some of them have immunomodulatory and anticancer active ingredients. Therefore, natural diphenols are often used as scaffolds to prepare new efficient biologically active drugs. Although there is a widespread presence of bioactive diphenols in nature, in this re-

view, the attention is dedicated to the tailored functionalization of resveratrol, hispolon and hydroxytyrosol, which constitute abundant and highly active natural phenolic compounds.

### 3.1. Resveratrol

Resveratrol (5-[(*E*)-2-(4-hydroxyphenyl)ethenyl]benzene-1,3-diol) is a natural phenolic stilbene, commonly found in several plants and fruits such as apples, berries, pomegranates, pistachios, as well as in the seed and skin of grapes. Thus, red wine is one of the highest sources of resveratrol [214]. Several studies are currently ongoing on resveratrol and its antibacterial [215], antiviral [216] and antitumoral [217–219] activities. Moreover, its applications in the treatment of diabetic nephropathy [220] and skin disorders [221] have been reviewed. The benefits associated with resveratrol, along with some adverse effects, mainly related to its potential cytotoxicity, have been recently highlighted [222]. Moreover, resveratrol's rapid metabolism leads to low bioavailability of the active compound. Such issues, together with the low water solubility, constitutes an important limitation to be considered. Therefore, to improve bioavailability, several resveratrol delivery systems have been proposed, in order to extend the potential biomedical applications of such an interesting natural product [223].

Due to the significant attention on this active compound, several papers on its functionalization have been recently published; additionally, different reviews on the pharmacological and biological activity of resveratrol analogues and a dedicated Special Issue on its functionalization were published in 2017 [224].

Just to mention some examples (Figure 5), natural and synthetic resveratrol derivatives and oligomers are effective antibacterial [225] and antiviral [226] agents; di-, tetra- and hexahydroxy derivatives, as well as di-, tri-, tetra- and penta methoxy analogues exhibited higher bioavailability and biological activity with respect to resveratrol [219,225–227]; modification of resveratrol with carboxy ester, acetal, sulfonate, phosphate, carbonate, carbamate and alkyl groups allowed the modulation of resveratrol water solubility, bioavailability, absorption from the gastrointestinal tract and biological properties [228–230]. Resveratrol modification on the aromatic ring has also been investigated, leading to lipophilic derivatives, with anti-oxidant and neuroprotective activity [229]. To improve resveratrol anticancer activity, methoxy, hydroxyl derivatives, as well as other functional groups or heterocyclic esterification have been examined [231,232].

### 3.2. Hispolon

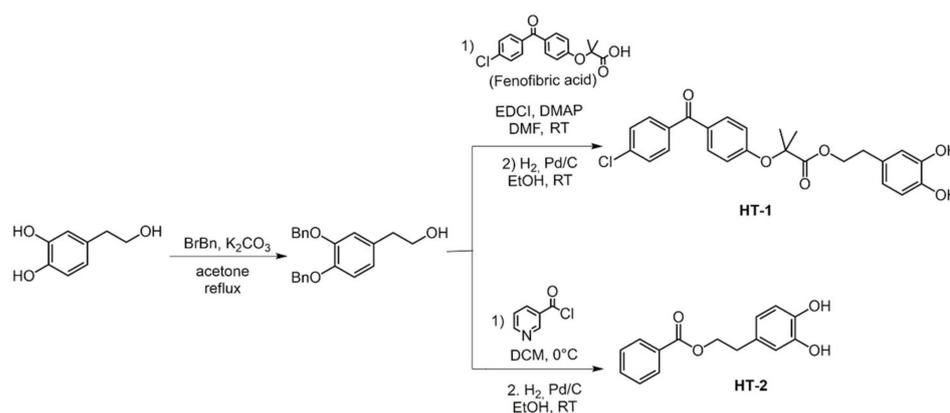
Hispolon (6-(3,4-dihydroxyphenyl)-4-hydroxyhexa-3,5-diene-2-one) is a natural diphenolic compound, extracted from the medicinal mushroom *Phellinus linteus*. It is characterized by peculiar antioxidant activity as well as important pharmacological properties, being a promising anticancer, antidiabetic, antiviral and anti-inflammatory agent [233–235]. Recently, several hispolon derivatives have been submitted to in silico predictions to preliminarily evaluate their anticancer activity. Theoretical analysis confirmed that aromatic ring substitution with methoxy and hydroxy groups delivers new hispolon analogues with good antiproliferative activity, sometimes even higher than that of hispolon itself [236,237]. In fact, the hispolon derivatives dehydroxyhispolon methyl ether and hispolon methyl ether exhibited higher in vitro cytotoxicity than hispolon against colorectal cancer [238,239]. The latter was up to 5 times more effective toward colon and prostate cancer cell lines [239]. Dehydrohispolon is a promising antitubercular agent showing lower MIC against *M. tuberculosis* with respect to hispolon [240]. Hispolon and hispolon methyl ether pyrazole derivatives (Scheme 20) showed improved stability with respect to their precursors as well as antigenotoxic effects against radiation exposure [241].



### 3.3. Hydroxytyrosol

Hydroxytyrosol (2-(3,4-dihydroxyphenyl)ethanol) is a secondary plant metabolite and it is present in many olive products, being responsible for most of their benefits for human health. The promising pharmacological activities of hydroxytyrosol (as cardioprotective, anticancer, neuroprotective and antimicrobial agent) have been recently reviewed [79,244]. Analogously, hydroxytyrosol acetate (2-(3,4-dihydroxyphenyl)ethylacetate), a diphenolic constituent of olive oil, is characterized by peculiar biological activities [245–248]. Several hydroxytyrosol derivatives have been explored over the past decades, to extend its biological applications [249–252]. However, the most common functionalization strategies involve esterification or etherification at the alcoholic -OH group, or substitution on the aromatic ring [253].

As an example, to broaden usage in foods and cosmetics, antioxidant lipophilic hydroxytyrosyl esters have been synthesized through esterification at the alcoholic -OH, with different fatty acids [254–257], or through the chemoselective transesterification procedure [258]. Interestingly, decanoate and dodecanoate hydroxytyrosyl esters resulted good anti-trypanosomal and anti-leishmanial agents, active against *T. brucei* and *L. donovani*, respectively [259]. Hydroxytyrosol antioxidant activity was enhanced upon esterification to the corresponding butyrate [260], fenofibrate [261] and nicotinate [262] esters (**HT-1** and **HT-2** respectively, Scheme 22), the latter also being a potent  $\alpha$ -glucosidase inhibitor.



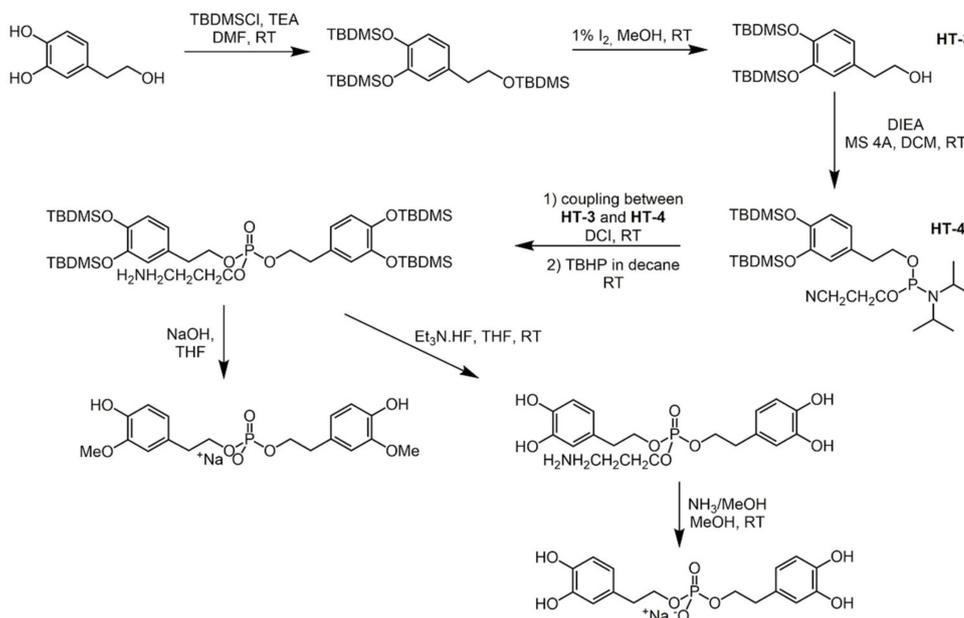
**Scheme 22.** Synthesis of **HT-1** [261] and **HT-2** [262].

Similarly, a polyacrylate polymer bearing hydroxytyrosol in its side-chain exhibited antioxidant and antimicrobial activity against *Staphylococcus epidermidis* [263]. In addition, hydroxytyrosyl phosphodiester (Scheme 23) are promising antioxidant agents, suitable for the prevention or therapy of Alzheimer's disease [264].

Likewise, hydroxytyrosyl alkyl ethers are characterized by interesting biomedical properties. In fact, hydroxytyrosyl hexyl ether showed antiangiogenic [265] and anti-platelet [266] effects, while the ethyl ether exhibited intestinal anti-carcinogenic activity [267], as well as high anti-oxidant properties when added to commercial olive oils [268]. Moreover, the pharmacological potential activity of hydroxytyrosyl glycosides has been recently explored; hence, neuroprotective hydroxytyrosyl glycosides have been synthesized through a sustainable enzymatic reaction, using a fungal  $\beta$ -xylosidase as the catalyst to perform the regioselective trans-xylosylation reaction with xylobiose [269].

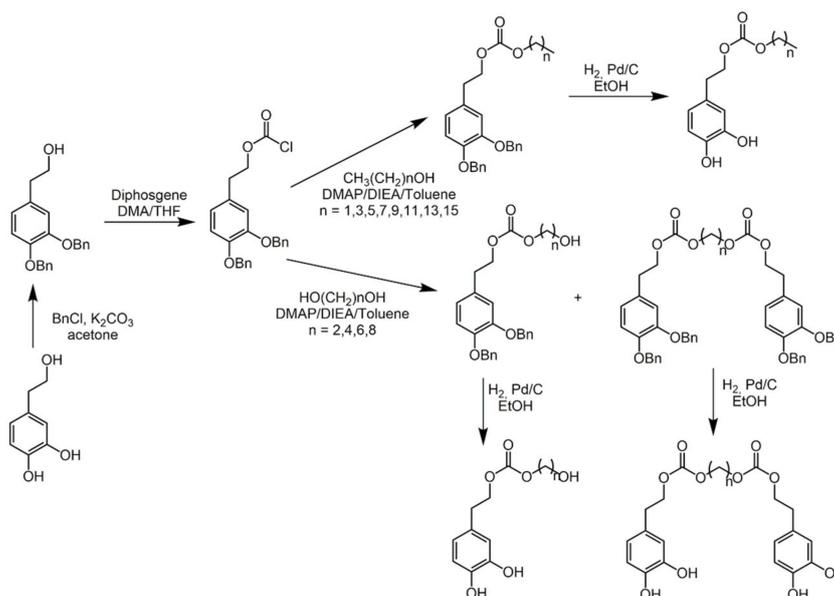
Nitrohydroxytyrosol, synthesized by the reaction of hydroxytyrosol with sodium nitrite in acetate buffer (pH 3.8), and nitrohydroxytyrosyl ester derivatives (namely acetate, butyrate, hexanoate, octanoate, decanoate, laurate, myristate and palmitate) have been successfully obtained in good yields [270].  $\text{NO}_2$ -hydroxytyrosol showed improved antioxidant activity with respect to the parent compound, while the activity of the ester derivatives was highly dependent on acyl chain length, but good effects were detected with acetate and butyrate. Similarly, the antioxidant activity of alkyl nitrohydroxytyrosyl

ethers was maintained or even improved for ethyl and butyl ethers, while, with longer side chains, it was reduced with respect to hydroxytyrosol [271].



**Scheme 23.** Synthesis hydroxytyrosyl phosphodiester [264]. Abbreviations: MS = molecular sieves; DCI = 4,5-dicyanoimidazole; TBHP = *tert*-butyl hydroperoxide.

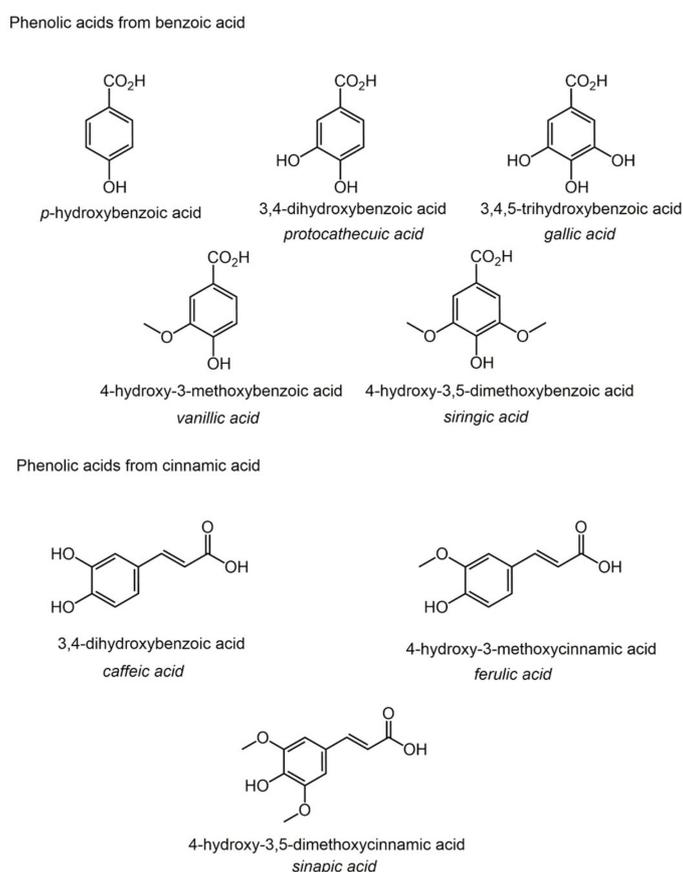
The synthesis of alkyl carbonate derivatives of hydroxytyrosol is strategic to increase hydroxytyrosol antioxidant activity [272]. Carbonate derivatives have been achieved by a multi-step process in which the chloroformate hydroxytyrosol derivative (having protected phenolic groups) was reacted with alkyl alcohols or diols of different chain lengths [272,273] (Scheme 24). Hydroxytyrosyl carbonates also exhibited higher anti-trypanosome activity against *Trypanosoma brucei* with respect to the precursor [273].



**Scheme 24.** Synthesis of hydroxytyrosol carbonates [272,273].

#### 4. Phenolic Acids

Phenolic acids are hydroxy or methoxy derivatives of benzoic acid or of cinnamic acid (3-phenylpropenoic acid). They are diffused in many plants (for example, they are among the most abundant natural antioxidants of virgin olive oil [274]). The more frequent phenolic acids are summarized in Figure 6.



**Figure 6.** Bioactive phenolic acids widespread in plants.

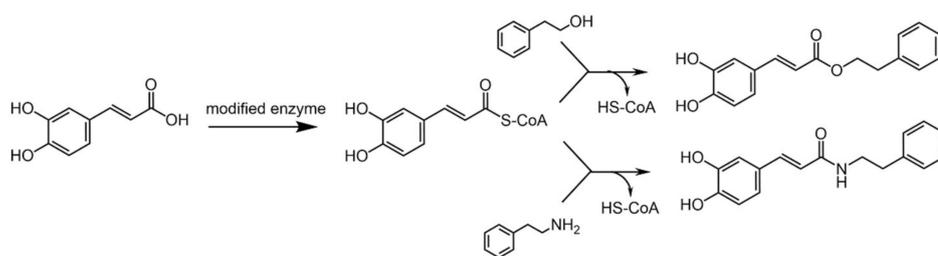
Occurrence, biological and pharmacological functions of monocyclic phenolic acids were reviewed, evidencing their wide distribution and variety of functions [275–277], together with their promising therapeutic applications [278–282]. As an example, development of gallic acid derivatives as pharmacological agents [283,284] was recently discussed. Similarly, features and potential application of ferulic acid derivatives [285–289] and natural and synthetic products derived from caffeic acid [290] were reviewed.

Therefore, the appealing properties of phenolic acid derivatives are unambiguously affirmed.

Nevertheless, in the following, the most interesting derivatives of natural phenolic acids are discussed.

##### 4.1. Caffeic Acid

Caffeic acid (3,4-dihydroxycinnamic acid) can be found in coffee, wine and tea. It is characterized by antioxidant, anti-inflammatory and anticarcinogenic activity. Esters of phenolic acids have been of significant interest, and the most interesting biological properties and synthetic methods of caffeic acid phenethyl ester and derivatives have been reviewed [291]. Indeed, caffeic acid phenethyl esters are among the small molecules with anti-inflammatory activity for the treatment of acute lung injury [292]. Non-natural biosynthetic pathways were tailored incorporating caffeic acid into various aromatic alcohol or amine. The new platform permitted the bacterial production of a library of caffeic acid derived phenethyl esters or amides in *Escherichia coli* [293] (Scheme 25).



**Scheme 25.** Synthesis of ester and amide derivatives of caffeic acid by artificial biosynthetic pathways [292].

Caffeic acid enzymatic esterification with phenethyl alcohol in the presence of Novozym 435 in isooctane at 70 °C has been exploited [294]. The enzyme maintained more than 90% of its original activity up to the third run. It is worth signaling that the enzymatic synthesis of caffeic acid phenethyl ester was successfully ultrasound-accelerated [295]. Similarly, the transesterification of methyl caffeate to caffeic acid phenethyl ester analogues was performed with *Candida antarctica* lipase B, using the ionic liquid 1-butyl-3-methylimidazolium bis(trifluoromethylsulfonyl)imide as the solvent [296]. 2-Cyclohexylethyl caffeate and 3-cyclohexylpropyl caffeate exhibited strong antiproliferative activities. Alkyl esters of caffeic acid with 2–8 C atoms were obtained from caffeic acid and the proper alcohol in the presence of DCC [297]. A screening for their antifungal activity was carried out against *Candida albicans*, with the best antifungal performances shown by propyl caffeate. Other alkyl esters of caffeic acid (alkyl = methyl, ethyl, butyl, octyl, benzyl and phenethyl) were prepared refluxing caffeic acid with alkanol in acetyl chloride [298]. In vitro and in vivo experiments confirmed the anti-inflammatory efficiency of the esters.

Twenty-one caffeic acid phenethyl ester derivatives were synthesized, characterized and investigated for their cytoprotective effects [299]. Some of them showed stronger cytoprotective activities than the parent phenethyl ester, so the authors suggested a potentiality as functional food ingredients for the prevention of neurodegenerative diseases.

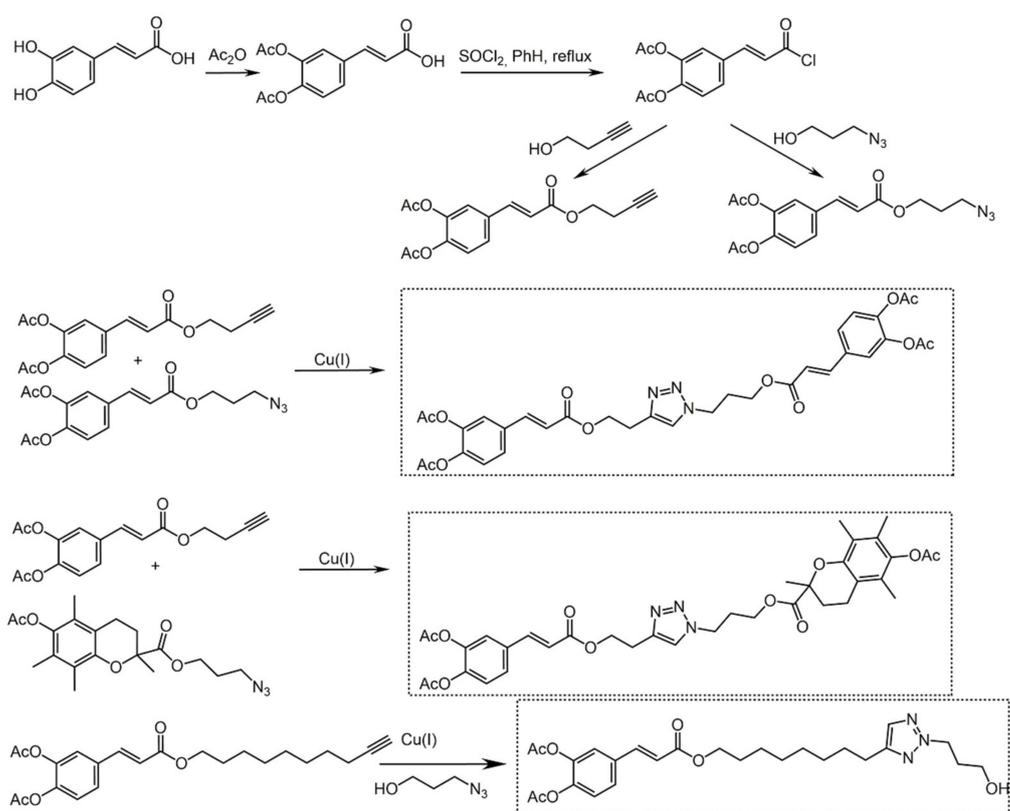
Caffeic acid and its phenylpropyl ester were investigated for their ability to suppress the proliferation of human colorectal cancer cells both in vitro and in vivo [300], resulting in potent anti-cancer agents.

Twenty ester derivatives of caffeic acid were synthesized to investigate the inhibitory activities against the nitric oxide production induced by lipopolysaccharides [301]. All of them showed inhibitory activity.

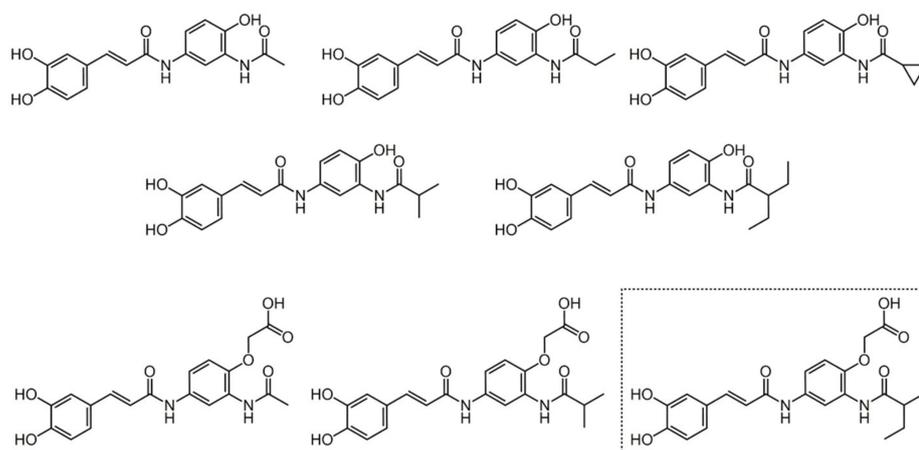
Semi-synthetic esters derivatives of caffeic acid with a triazole moiety have been designed as potential 5-lipoxygenase inhibitors [302]. 5-Lipoxygenase is involved in the biosynthesis of leukotrienes, the well-known inflammatory mediators with implications in different diseases (asthma, allergic rhinitis, cardiovascular diseases, and certain types of cancer). Structure-guided drug design delivered compounds with excellent 5-lipoxygenase inhibition, especially compounds reported in Scheme 26 that showed enhanced activity compared to caffeic acid.

Amides have been chosen as bioactive derivatives of caffeic acid: several alkyl and aryl amines were used to prepare antioxidant caffeic acid derivatives [303]. Anilides of caffeic acids were found to be efficient as lipid peroxidation inhibitors.

Since some plant-derived polyphenols were found to exert antiviral effects against influenza virus, inhibiting the viral surface protein neuraminidase, a library of caffeic acid-based compounds was built, forming variously substituted amides [304]. The amides presented a moderate activity on neuraminidases and the compound evidenced in Figure 7 is the most promising one.

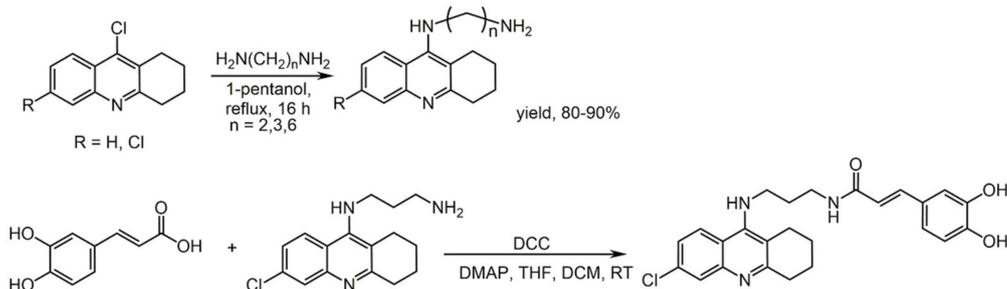


**Scheme 26.** Derivatives of caffeic acid with a triazole moiety inhibitors of 5-lipoxygenase [302].



**Figure 7.** Amides of caffeic acid with antiviral effect against influenza virus [304].

Amides were prepared from caffeic acid and the heteroaromatic amine tacrine with alkyl spacers of different length (Scheme 27) [305]. All the tacrine derivatives have much more antioxidant efficiency than caffeic acid. In particular, the compound with a 3 C linker and a Cl atom in the aromatic ring was the more selective one, since it had potent neuroprotective effect, inhibiting  $\beta$ -amyloid aggregation. These properties make the amide a good candidate for development of beneficial therapeutic tools in Alzheimer's disease treatment.

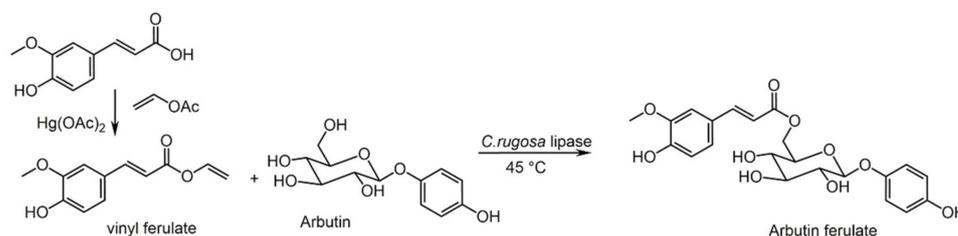


**Scheme 27.** Synthesis of caffeic acid–tacrine hybrids [305].

A number of acylhydrazides of caffeic acid were prepared and investigated for anti-inflammatory, analgesic and ulcerogenic activities [306]. Caffeic acid was *tert*-butylated in position 5 of the aromatic ring [307]. Both caffeic and *t*-butylated caffeic acids showed strong antioxidant capacity during squalene peroxidation under direct UVA irradiation, but only the latter could reduce the generation of reactive oxygen species.

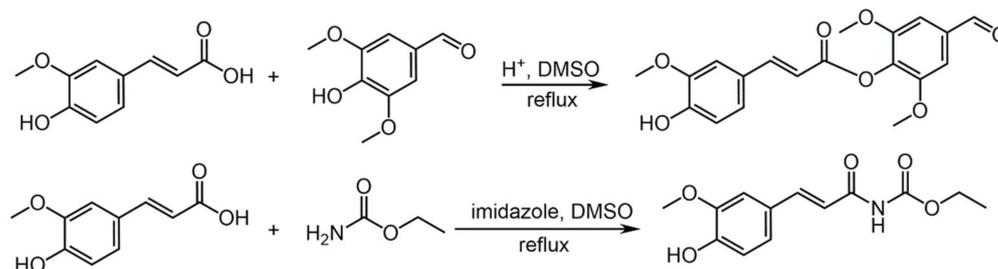
#### 4.2. Ferulic Acid

Ferulic acid (4-hydroxy-3-methoxycinnamic acid) is an effective antioxidant compound, typically found in seeds, leaves, as well as in plant cell wall. Several ferulic acid derivatives have been recently explored in different areas. Lipases from *Candida antarctica* (Novozyme 435), *Candida rugosa*, *Chromobacterium viscosum* and *Pseudomonas sp.* were used to catalyze transesterifications of vinyl ferulate with hydroxyl steroids and arbutin [308] (Scheme 28). Arbutin ferulate possesses a 19% higher antiradical activity than ferulic acid.



**Scheme 28.** Enzymatic transesterification with Arbutin [308].

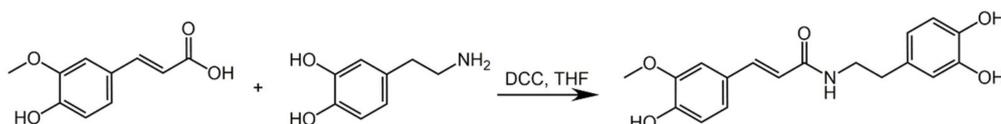
Other enzymatic esterification reactions allowed the synthesis of ethyl ferulate from ferulic acid and ethanol, using Novozym 435 as the biocatalyst, that could be reused eight times before significant loss of activity [309]. Lipase-catalyzed preparation of mono- and diesters of ferulic acid was reported [310]. In fact, the exploitation of antioxidant capacity of ferulic acid is limited by its scarce solubility in hydrophobic media such as fats and oils. A strategy to maximize the therapeutic benefits is to transform the acid in esters [311] (Scheme 29). Once prepared, esters were evaluated for *in vitro* antioxidant potential. Moreover, molecular docking indicated that ferulic esters inhibit target proteins in breast cancer and in oxidative stress.



**Scheme 29.** Esters and imides from ferulic acid [311].

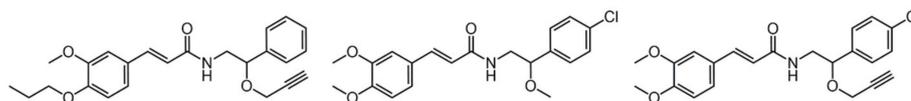
Alkyl esters and amides of ferulic acid were prepared and evaluated for their anti-cancer activity [312]. According to the authors, all the synthesized amides showed good cytotoxic activity, a characteristic attributed to lipophilicity. Several amides of substituted ferulic acids were also prepared from *O*-acetyl feruloyl chloride with amines [313]. Most of the amides exhibited significant promotion of insulin release from rat pancreatic cells.

A number of amides were prepared from ferulic acid and other phenolic acids (Scheme 30), with the aim to evaluate their antioxidant activity [314]. The results of four different *in vitro* tests indicated a very high antioxidant activity, especially when  $-OH$  or  $-OMe$  groups were present in the amide.



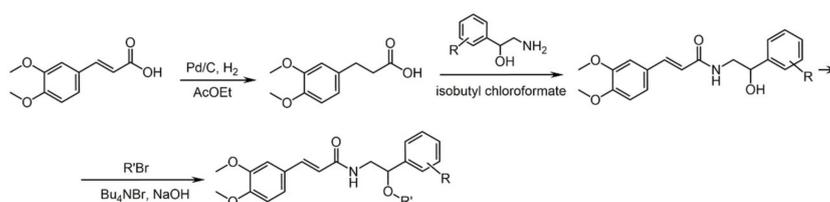
**Scheme 30.** Example of amide from ferulic acid with antioxidant property [314].

Several ferulic acid amides and amides of the corresponding 3-(4-ethoxy-3-hydroxy)phenylpropanoic acid were synthesized and tested for antiviral and insecticidal activity, against the tobacco mosaic virus [315]. Most of the examined amides presented not only good antiviral activity, inhibiting the plant viral infection, but also insecticidal efficiency against the insect vectors, thus helping in prevention of virus spreading in the crops (Figure 8).



**Figure 8.** Amides of ferulic acid with *in vivo* insecticidal activities and antiviral activities [315].

Amides of hydrogenated ferulic acid, 3-(4-hydroxy-3-ethoxy)phenylpropanoic acid, were synthesized (Scheme 31) [316]. Some of them displayed excellent protection and curative activity against the tobacco mosaic virus.



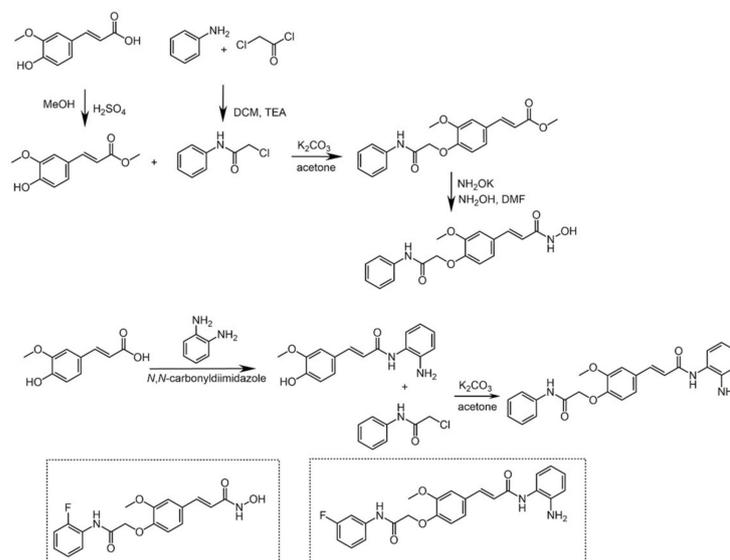
**Scheme 31.** Synthesis of biologically active amides of 3-(3,4-dimethoxy)phenylpropanoic acid [316].

The feruloyl and phenethyl groups were combined to synthesize the ester phenethyl *trans*-3-(4-hydroxy-3-methoxyphenyl)acrylate and the amide *trans*-3-(4-hydroxy-3-methoxyphenyl)-*N*-phenethylacrylamide [317]. Phenethyl ester and amides were studied for bioactivity as anticancer agents, proving more effective than the parent compound [318].

Many other amides of ferulic acid were prepared under solvent-free conditions by MW assisted condensation reaction [319]. Twenty-one amides showed noticeable *in vitro* anticancer activity, nine presented *in vitro* free radical scavenging activity higher than that of ferulic acid.

Hexyl caffeate and ferulate as well as caffeoylhexylamide and feruloylhexylamide were tested in human breast cancer cell lines [320]. Differently from parent compounds, the new compounds inhibited cell proliferation and they induced cell cycle alterations and cell death.

Twenty seven ferulic acid derivatives, with halogeno-acetanilide as novel surface recognition moiety, were prepared with the aim to obtain compounds able to act as histone deacetylase inhibitors. The latter class of compounds was important for cancer therapy [321]. Synthesized compounds evidenced at the bottom of Scheme 32 exhibited significant enzymatic inhibitory activities.



**Scheme 32.** Synthesis of ferulic acid amides designed to be used as histone deacetylase inhibitors [321].

Notably, the geranylated derivative of ferulic acid, 3-(4*O*-geranyloxy-3-methoxyphenyl)-2-propenoate resulted more active than the parent ferulic acid as inhibitory agent on aberrant crypt foci, reducing the incidence of adenocarcinomas in the colon [322].

#### 4.3. Miscellaneous

Methyl, ethyl, propyl and butyl esters of sinapic acid (4-hydroxy-3,5-dimethoxycinnamic acid, a bioactive natural compound found in fruits, vegetables and medicinal plants), prepared by acid-catalyzed esterification of alcohols, presented almost the same antioxidant activity, which is slightly lower than that of sinapic acid [323]. However, they have the advantage of higher lipophilicity, which is valuable for antioxidants designed to act as membrane protectors *in vivo*.

Alkyl esters of protocatechuic and gallic acids (3,4-dihydroxybenzoic acid and 3,4,5-trihydroxybenzoic acid, respectively), prepared reacting the acid with different alkanols in presence of DCC, were found to inhibit HIV-1 protease dimerization [324], with efficiency depending on the length of the alkyl chain. In fact, no inhibition was observed with alkyl chains with less than eight carbon atoms. Protocatechuic acid and its ethyl and heptyl esters were investigated for the photoprotective activity [325]. The photoprotection and antiaging activity was higher with the esters than with the parent acid, probably because of their higher lipophilicity. Unfortunately, cytotoxicity also increased.

Alkyl esters of gallic acid were prepared by the classical Fisher esterification, from acid and alcohols in acid medium [326]. Besides anti-cancer, antiviral and antimicrobial properties, they are able to scavenge and reduce reactive oxygen species formation. Additionally, gallic acids esters are potential inhibitors of metastasis [327]. The propyl ester of gallic acid was transformed into the acyl hydrazide that, upon reaction with the appropriate calchone, was transformed into pyrazole derivatives of gallic acid [328]. The compounds were screened for their anti-inflammatory activity, with good results in some cases.

New syringic hydrazones from the aldehyde related to syringic acid (4-hydroxy-3,5-dimethoxybenzoic acid) and substituted hydrazines (with electron withdrawing or electron attracting groups) [329] were efficient against the oxidative stress.

## 5. Lipidic Phenols

Lipidic phenols (or phenolic lipids, also called phenolipids) are phenols substituted with lipophilic chains, that confer to the molecule amphiphilic characteristics. An important phenolic lipid is  $\alpha$ -tocopherol [330]; however, this compound alone deserves a review, so it has not been included.

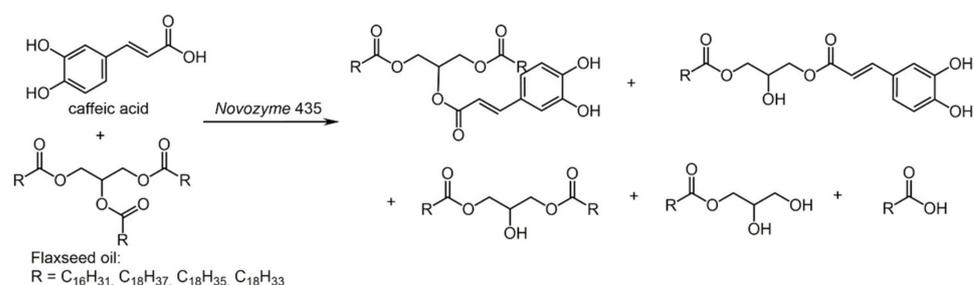
The importance of natural lipidic phenols has been underestimated for a long time [331]. However, their excellent antioxidant, antitumorigenic and cytostatic properties are now established [332], together with their bioactivity in influencing biological pathways involved in the Alzheimer's disease pathogenesis [333]. Anti-inflammatory and anti-arthritis activities were also reported for lipidic phenols extracted from cashew nut (*Anacardium occidentale*) [334].

Because of the importance of such class of compounds, several synthetic lipidic phenols have been proposed in recent decades to further extend their biological applications.

### 5.1. Biocatalyzed Syntheses of Lipidic Phenols

Lipases are the enzymes of choice to perform transesterification reactions to obtain modified or synthetic lipids, with functional or pharmaceutical applications [335,336]. A large number of esters from catechol and fatty acids were prepared stirring a suspension of catechol in ethyl ester of a fatty acid [337].

Semisynthetic lipidic phenols were prepared by transesterifications reactions of phenolic acids with flaxseed oil [338,339], olein [340], fish liver oil [341] and krill oil [342]. The reactions, performed in organic solvents or in a solvent-free systems [343,344], were catalyzed by Novozyme 435 isolated by *Candida antarctica* (Scheme 33).

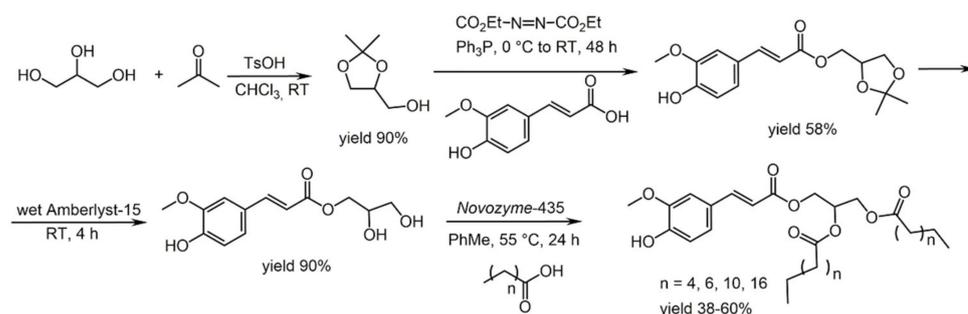


**Scheme 33.** Lipase-catalyzed transesterification of flaxseed oil with caffeic acid [338,339].

More recently, yields improved using supercritical carbon dioxide as the reaction medium [345]. The prepared mixtures of lipidic phenols were tested for antioxidant activity. The obtained radical scavenging activity ranged from moderate to good, but it was always lower than that of  $\alpha$ -tocopherol. Moreover, being obtained from mixtures, the results could not be attributed to a single compound.

Conversely, pure phenolic lipids of varying chain-length fatty acids were prepared with ferulic acid [346]. The synthesis involved a bio-catalyzed step (by Novozyme) (Scheme 34).

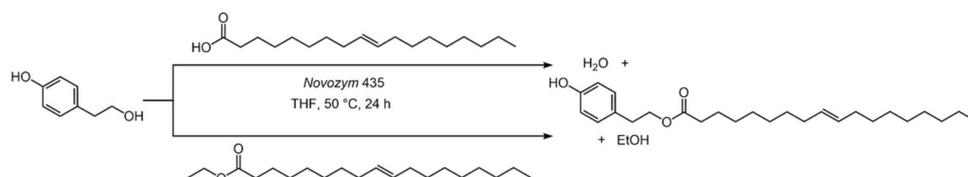
The antioxidant activity investigation gave mixed results because the radical scavenging assay showed no improvement with respect to ferulic acid, while autoxidation of linoleic acid in a micellar system showed some improvement, attributed to increased solubility.



**Scheme 34.** Chemo-enzymatic synthesis of lipidic phenols from ferulic acid [346].

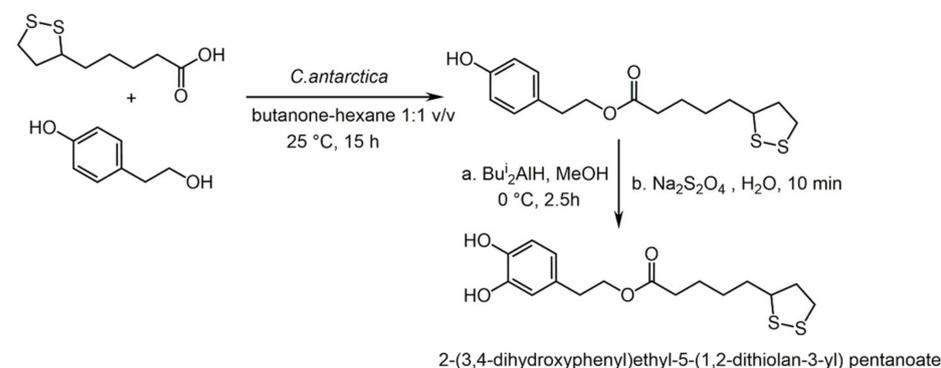
Chemo-enzymatic synthesis of phosphatidylcholines containing phenolic acid and fatty acids was reported [347], with one of the active derivatives, 1-(4-hydroxy-3,5-dimethoxy) cinnamoyl-2-acyl-*sn*-glycero-3-phosphocholine, showing excellent antioxidant activity.

A different approach was to obtain lipidic phenols from a phenol and free fatty acids or the corresponding esters, with immobilized lipase from *Candida antarctica* as the biocatalyst [348]. The antioxidant activity of tyrosol was increased upon acylation, but no correlation was found with the number of double bonds in the fatty acyl group (Scheme 35).



**Scheme 35.** Chemo-enzymatic synthesis of lipidic phenols from ferulic acid [346].

Some esters were synthesized from natural phenols and  $\alpha$ -lipoic acid in a reaction catalyzed by Novozym 435 (the immobilized lipase B from *Candida antarctica*) in butanone-hexane mixture [349]. The antioxidant activity was determined not only by radical scavenging assay, but also by measuring the inhibition of the oxidation in a tuna fish oil emulsion. The ester 2-(3,4-dihydroxyphenyl)ethyl-5-(1,2-dithiolan-3-yl)pentanoate, obtained from tyrosol and  $\alpha$ -lipoic acid, followed by aromatic hydroxylation (Scheme 36), showed excellent antioxidant activity in both tests and, according to the authors, it may be used as pro-drug, because, upon hydrolysis, it releases compounds which are non-toxic or even healthy.

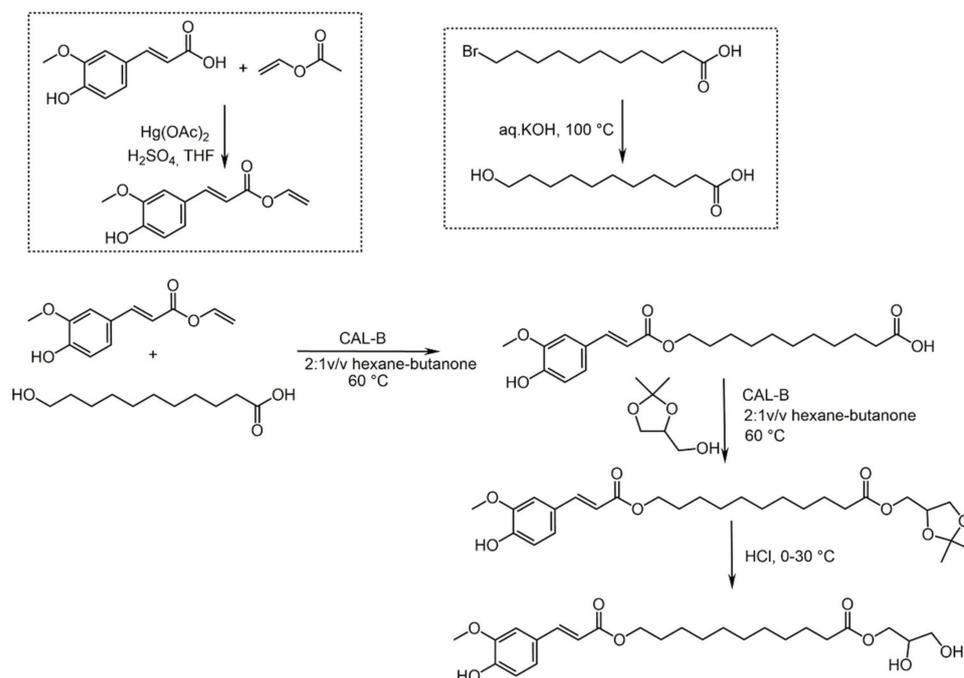


**Scheme 36.** Enzymatic esterification of tyrosol with  $\alpha$ -lipoic acid [349].

Later, it was found that 2-S-lipoyl caffeic acid methyl ester was an inhibitor of tyrosinase from human melanoma cells [350].

The goal to prepare a single lipidic phenol, avoiding troublesome separation of a complicated mixture, was achieved by a multi-step strategy involving both chemical and

enzymatic catalysis. Immobilized lipase from *Candida antarctica* (CAL-B) was used in organic medium [351] (Scheme 37).



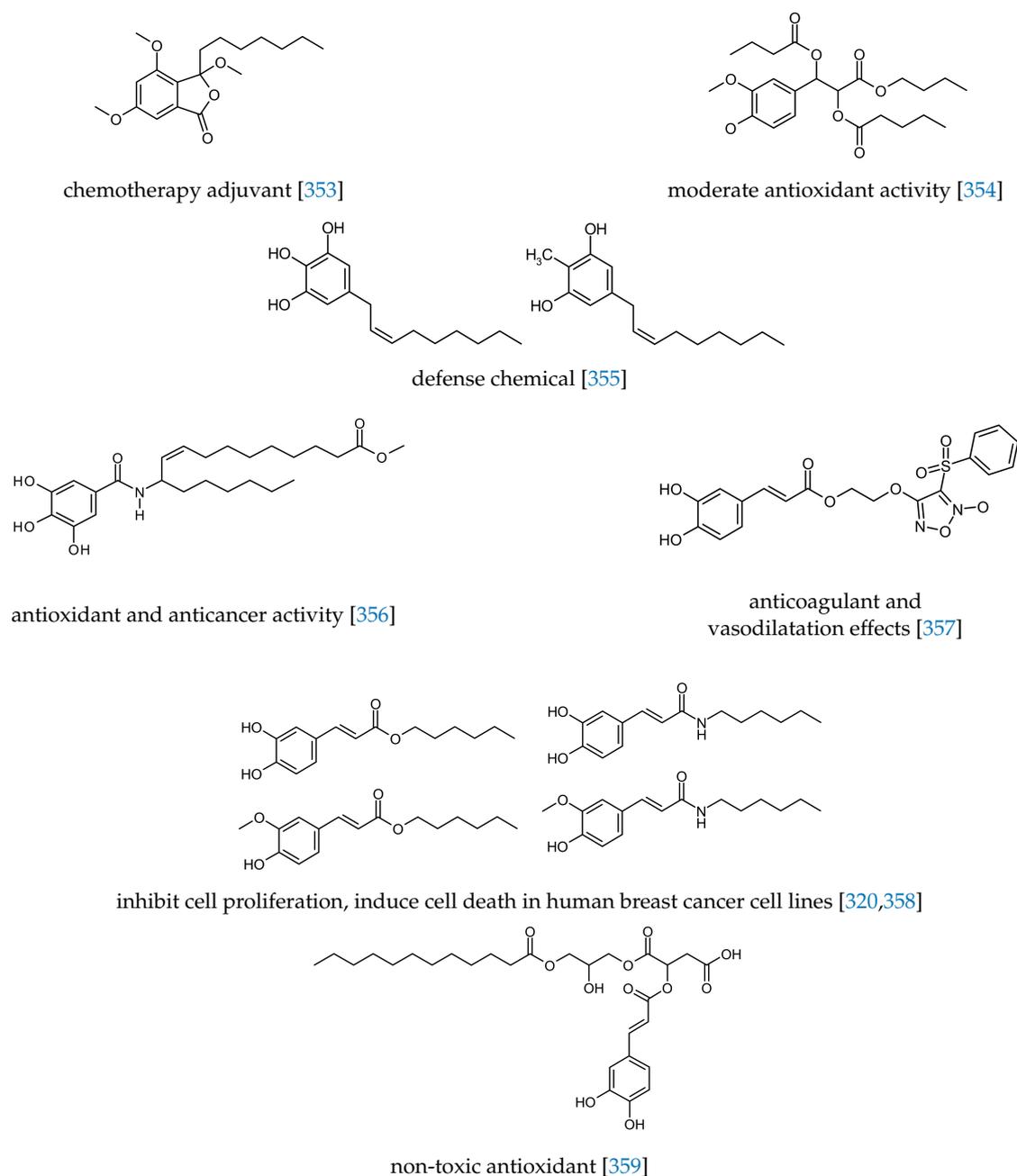
**Scheme 37.** Chemo-enzymatic approach for the synthesis of 1-[11-(ferulyloxy)undecanoyl]glycerol [351].

After characterization, the prepared 1-[11-(ferulyloxy)undecanoyl]glycerol underwent antimicrobial, antioxidant and cytotoxic studies. The antimicrobial activity was moderate, the antioxidant activity was excellent and activity against some cancer cell lines was promising, so the authors predicted potential cosmetic and biomedical applications.

Transesterification reaction using *Candida antarctica* lipase B was performed, treating 4-hydroxyphenylacetic acid with triolein and with fish oil, obtaining synthetic substances that have both antioxidant and antibacterial activity [352].

## 5.2. Chemical Syntheses of Lipidic Phenols

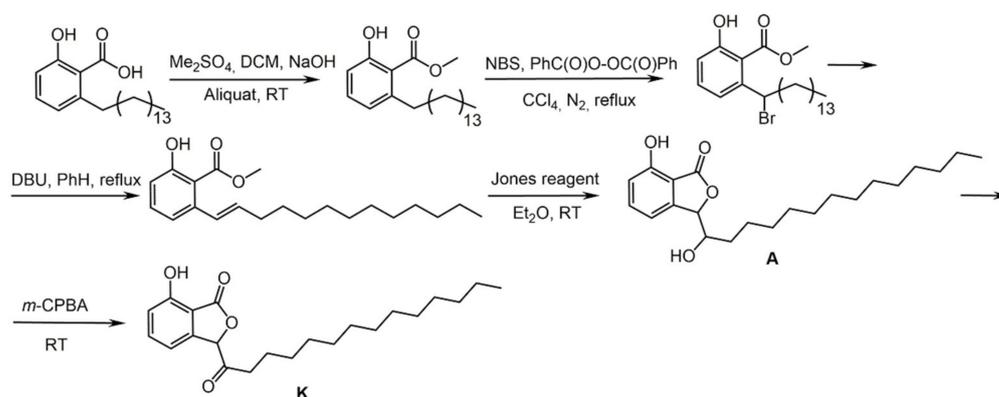
Lipidic phenols were prepared as esters, either from phenols with long-chain carboxylic acids, or from phenolic acids. Selected examples of synthetic lipidic phenols are reported in Table 1.

**Table 1.** Examples of chemically synthesized bioactive lipidic phenols.

Anacardic acid, from fresh and dry cashew nuts of *Anacardium occidentale*, was transformed into isobenzofuranones, as illustrated in Scheme 38, with an alcohol (A) or keto (K) functionality in the long chain [360].

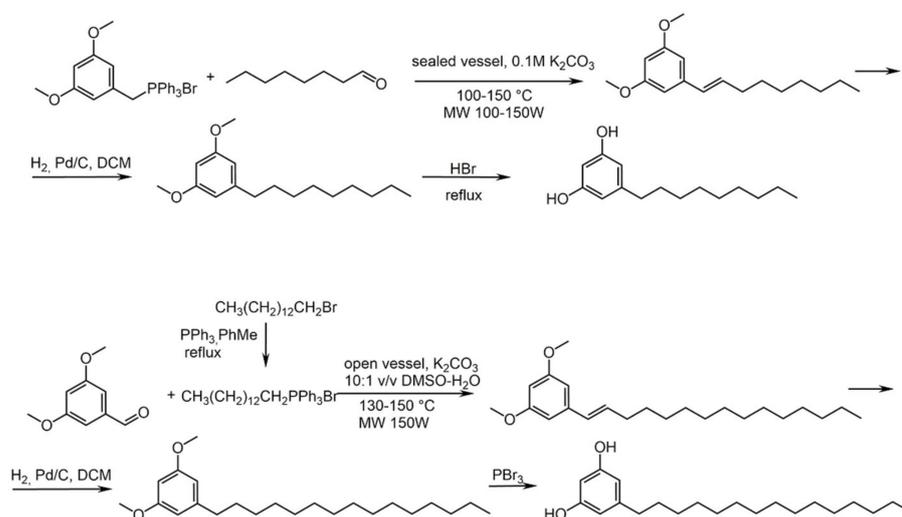
Both isobenzofuranones A and K, as well as the acyclic precursor, were from moderately to significantly active in cytotoxicity screening with different human cancer cell lines.

A good antioxidant ability to stabilize olive oil was observed with a family of phenolic fatty acid esters, prepared from 3,4-dihydroxybenzyl alcohol (protocatechuic alcohol) or hydroxytyrosol and fatty acids. The reaction was performed in anhydrous THF, in the presence of a carbodiimide and DMAP [361]. All twenty compounds were investigated as potential antioxidants in refined olive oil. The length of the alkyl chain attached to the phenyl ring seems to influence the activity.



**Scheme 38.** Synthesis of isobenzofuranones from anacardic acid [360]. Abbreviation: *m*-CPBA = *m*-chloroperoxybenzoic acid.

Following the finding that 5-alkyl- and 5-alkenylresorcinols, isolated from the mushroom *Merulius incarnates*, inhibited methacillin-resistant *Staphylococcus aureus* [362], a good synthetic method was necessary, because they are not readily available, but are important for analytical, metabolic and bioactivity studies. A general method, based on the Wittig reaction, was developed [363] to overcome the problem of alkyl chain introduction into the aromatic ring. The problem was solved reacting long chain alkanals, when available, with semi-stabilized benzylphosphonium ylids or, alternatively 3,5-dimethoxybenzaldehyde with alkylphosphonium ylids. The procedure delivered 5-alkylresorcinols with alkyl chains up to 25 C atoms. The reaction was performed in water or a water–DMSO mixture, with MW irradiation, in pressurized or in open vessel. One example for each route is shown in Scheme 39.



**Scheme 39.** Synthesis of bioactive 5-alkylresorcinols with long alkyl chains, by modified Wittig reaction [363].

Moderate to good in vitro antioxidant activity was shown by 1,2-dibutanoyloxy-2-(4-hydroxy-3-methoxyphenyl)ethyl butanoate, a lipidic phenol obtained from ferulic acid [354].

2-Methyl-5-[(2*Z*)-non-2-en-1-yl]benzene-1,3-diol and 5-[(2*Z*)-non-2-en-1-yl]benzene-1,2,3-triol were prepared as synthetic derivatives of the natural 5-[(2*Z*)-non-2-en-1-yl]benzene-1,3-diol (climacostol), a defence chemical in protozoan *Climacostomum virens* [355]. The structural modifications in the aromatic ring (a methyl and a hydroxyl group, respectively) increased the toxicity.

Long chain alkyl hydroxycinnamates were prepared from the corresponding monoesters of malonic acid and benzaldehyde derivatives by Knoevenagel condensation [364]. The observed antioxidant activity followed the order caffeic esters > sinapic esters > ferulic esters.

12-Hydroxy-9-octadecanoic acid (ricinoleic acid) was transformed in (*Z*)-methyl-12-aminooctadeca-9-enoate and then reacted with phenolic acids, forming the corresponding amides [356]. The investigated antioxidant activity indicated that modification of phenolic acids with lipophilic moieties improves their antioxidant and anticancer properties.

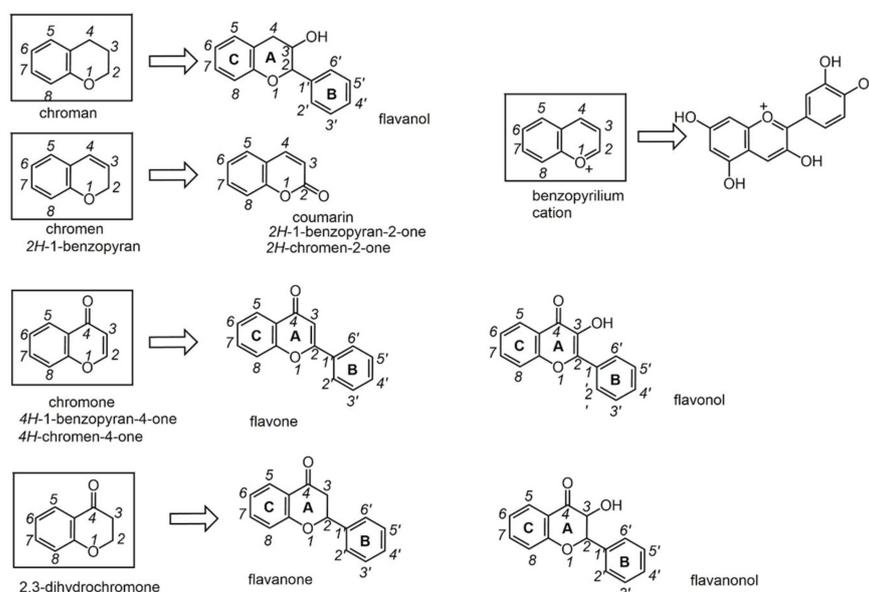
It is worth signaling a conceptually different type of lipidic phenols, namely the phenylsulfonylfuroxan derivatives of caffeic and other phenolic acids [357]. Besides good antioxidant activities *in vivo*, these compounds showed anticoagulant and vasodilatation effects that were attributed to the NO releasing ability.

Caffeic or 3,4-dimethylcaffeic acids were reacted with malic acid and then coupled with monoglycerids of fatty acids with chain lengths ranging from 8 to 18 C atoms [359]. The combinations of phenolic and fatty acids gave a series of six amphiphilic compounds that were tested for activity. They proved to be non-toxic and gave stable oil-in-water emulsions, with potential for food, pharmaceutical and cosmetic industry applications, according to the authors.

## 6. Polyphenols

Natural polyphenols constitute a numerous and largely distributed group of bioactive molecules in edible plants, with bioactivities ranging from cardiovascular protection to prevention of cancer [365–368].

Polyphenols are characterized by the presence of benzo-fused heteroaromatic ring of the pyrane or pyrilium type. They are usually named by a semi-systematic nomenclature, based on the parent heterocycle. Thus, benzopyrane derivatives with a phenyl substituent are named flavanes, while phenyl substituted benzopyranones are indicated as flavones. The structures of parent compounds and their phenyl derivatives are collected in Figure 9.



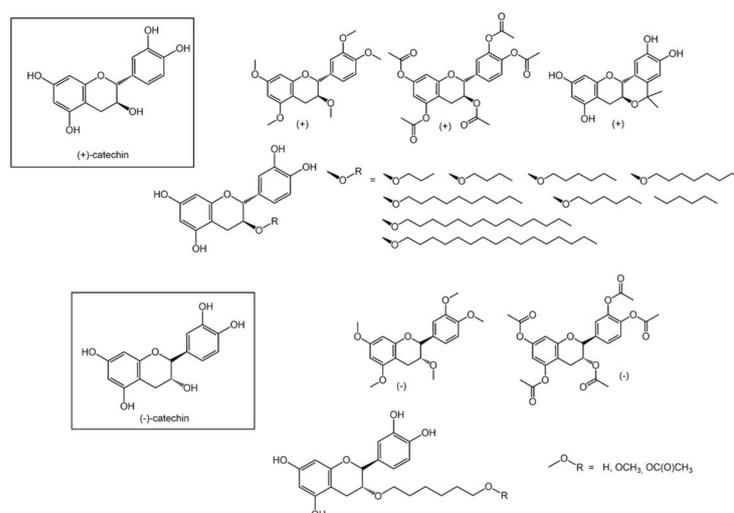
**Figure 9.** Structures of parent heterocyclic compounds and their phenyl derivatives present in polyphenols.

The synthesis of natural and semi-synthetic highly oxidized bioactive polyphenols was reviewed in 2008, discussing advances and challenges [369]. Alternatively, more efficient and sustainable production may come from microbial cell factories, as reviewed in 2018 [370].

Chemical transformations of natural phenols might lead to more effective species, if structural features at the basis of biological activity are understood. Thus, results of chemical modifications for representative polyphenols are reported in the following.

### 6.1. Phenols from Chroman

Catechin is a polyphenol of the flavanol family that can be found in green tea. Derivatives reported in Figure 10 were prepared from racemic catechin (tetramethoxy, pentaacetoxy, and cyclic) [371]. Catechin and the derivatives were tested for antimicrobial activity against root-colonizing fungi, which was maintained, although with a lower efficiency, in the less polar compounds.



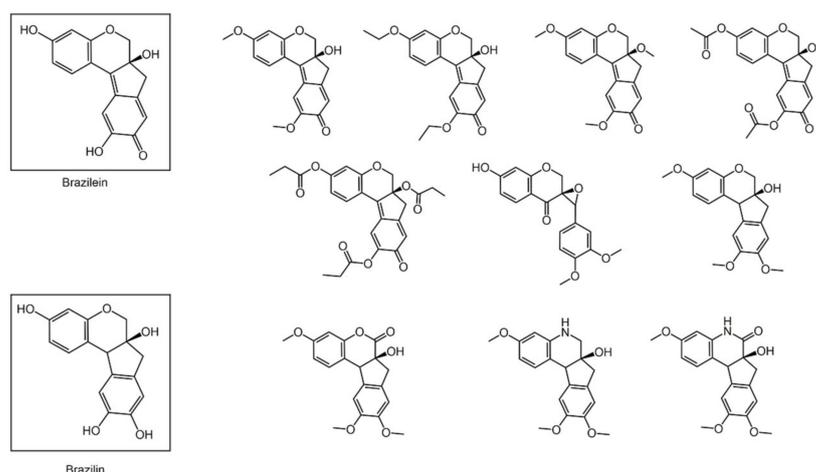
**Figure 10.** Synthetic derivatives of catechin with enhanced activity [371–373].

The antioxidative radical scavenging of (+)-catechin, probed against the galvinoxyl radical, is enhanced upon reaction with ninhydrin [374].

To address the problem of increasing resistance of microorganisms, studies were aimed at preparing and testing synthetic derivatives of catechin. The systematic etherification of 3-hydroxyl group with linear alkyl chains of different length or with substituted benzyl groups gave a library of 3-*O*-alkyl analogues of catechin [372] that were used to test the antifungal activity as a function of structure. Compounds with longer chains (C<sub>14</sub>–C<sub>16</sub>) exhibited weaker activities than compounds with C<sub>8</sub>–C<sub>12</sub> chains.

Moreover, varying the -OH functionality in 3, twelve derivatives of (-)-catechin were prepared [373]. Only three compounds showed antibacterial and antifungal activity higher than that of the standard drugs (neomycin and miconazole). Molecular docking studies agreed with experimental results.

Brazilin and the oxidized analog brazilein (Figure 11) are chromane derivatives found in plants (*Caesalpinia sappan* L.), known for their anti-inflammatory properties. Novel synthetic derivatives were prepared to explore their antitumor activity. The synthesis of brazileins [375] was achieved starting from 1,3-dihydroxybenzene (resorcinol) and 3-chloropropanoic acid, with formation of the key intermediate 7-hydroxy-4-chromanone. The synthesized brazileins (Figure 11) were tested for anti-inflammatory effects and against a number of human cancer cell lines, but only some of the synthetic derivatives showed some improvement with respect to the unsubstituted brazilein.

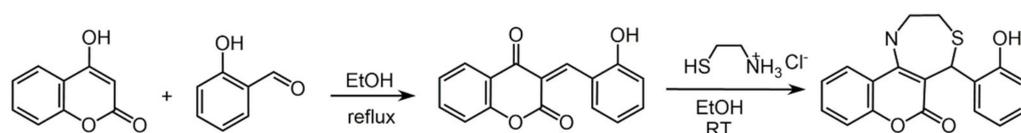


**Figure 11.** Selected examples of biologically active synthetic derivatives of brazilein [375] and heterocyclic analogues of brazilein [376].

### 6.2. Phenols from Chromen

Coumarin (2*H*-chromen-2-one), the most common derivative of chromen, and substituted coumarins are found in green plants, where they exert different actions [377].

Considering the versatility of reactions leading to coumarin heterocycles system [378], a number of substituted coumarins were synthesized and promising activity was found with coumarin-fused 1,4-thiazepines, synthesized starting from 4-hydroxycoumarins (Scheme 40) [379].



**Scheme 40.** Synthesis of 1,4-thiazepine derivative from 4-hydroxycoumarin [379].

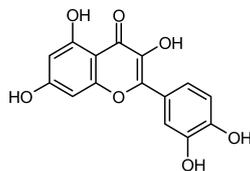
Coumarins and benzocoumarins substituted with hydroxyl group in 7- or 8- positions were prepared and tested *in vitro* for a number of biological activities [380]. Generally, they delivered potent superoxide anion scavengers and inhibited *in vitro* lipid peroxidation; on the other hand, they did not show significant lipoxygenase inhibitory activity.

### 6.3. Phenols from Chromon

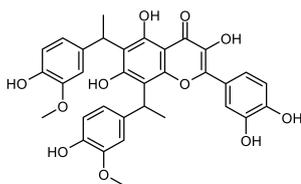
Quercetin (3,3',4',5,7-pentahydroxyflavone) is a flavonol largely present in plants, foods and beverages, often together with fisetin (3,3',4',7-tetrahydroxyflavone).

The interest in quercetin was prompted by its anti-cancer, anti-inflammatory and antioxidant roles. Particular relevance is offered by the anti-hypertensive effect [381]. Many synthetic derivatives were prepared with the aim to obtain anti-cancer candidates that might overcome quercetin problems: (i) low solubility in water, (ii) low bioavailability, and (iii) fast degradation. Studies on biological activity were not sufficient to assess the actual effectiveness of those derivatives, although some of them seemed promising [382]. On the other hand, simple complexation with Cu(II) gave a Cu(quercetin)(bipy) complex with enhanced antioxidant properties compared to free quercetin [383].

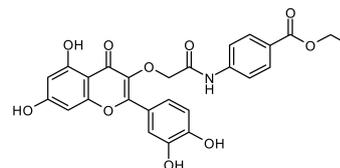
The interest in therapeutic properties of quercetin and derivatives is not limited to cytotoxicity, as it can be seen by the number of patents reported between 2010 and 2015 [384]. Selected meaningful examples are collected in Table 2.

**Table 2.** Selected examples of quercetin and derivatives with significant therapeutic properties [384].

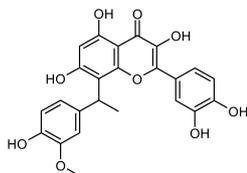
Quercetin  
antiviral activity against DENV-2  
used in treating a dengue virus infection



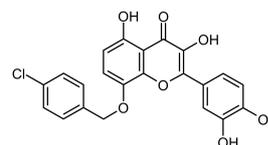
anticancer / antiproliferative activity  
(possible treatment of oral cancer)



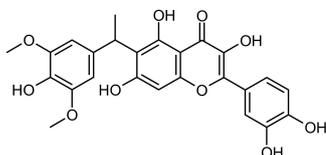
anticancer / antiproliferative activity  
(activity against human esophageal squamous cell EC109)



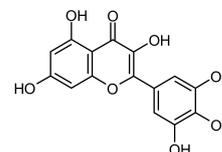
anticancer / antiproliferative activity  
(quasi-drugs to reduce the risk of developing cancer)



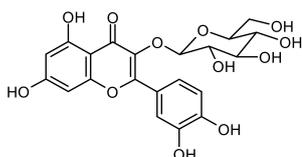
antiviral activity  
(prophylaxis or treatment of hepatitis C virus)



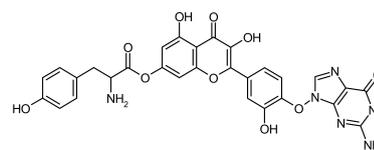
anti-inflammatory activity  
(inhibiting the production of the inflammatory mediator TNF- $\alpha$ )



cardioprotective activity  
(suppressing the activity of the human chymase)



cardioprotective activity  
(regulating the cholesterol synthesis)



skin protective activity

Most of such derivatives involved transformations at all the hydroxyl groups, with the modification at C-3-hydroxyl group resulting in enhancement of anticancer activity. Moreover, the bioactivity was significantly increased by nanotechnology.

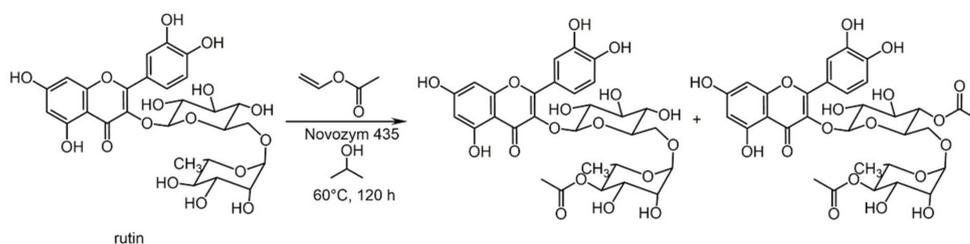
The problem of scarce solubility in water of flavonoids polyphenols was addressed considering derivatives with hydrophilic substituents, such as sulfate [385].

A different approach was to prepare conjugates of sugars with flavonoid being the aglycone. Glucose, galactose and rhamnose were used, inter alia.

Enzymatic synthesis was successful in modifying natural compounds yielding not only more soluble, but also more efficient species in medicinal [386] or cosmeceutical [387] applications.

It is interesting that rutin (2-(3,4-dihydroxyphenyl)-5,7-dihydroxy-3-[ $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 6)- $\beta$ -D-glucopyranosyloxy]-4H-chromen-4-one) was further transformed by enzymatic

transesterification reaction into mono- and di-acetate derivatives (Scheme 41) with maintained anti-oxidant properties and more efficient ability to penetrate the cell membrane of murine macrophages [388]. Moreover, acetoxy-substituted rutins were not toxic to mammalian cells and the enzyme could be reused.



**Scheme 41.** Enzymatic esterification of rutin [388].

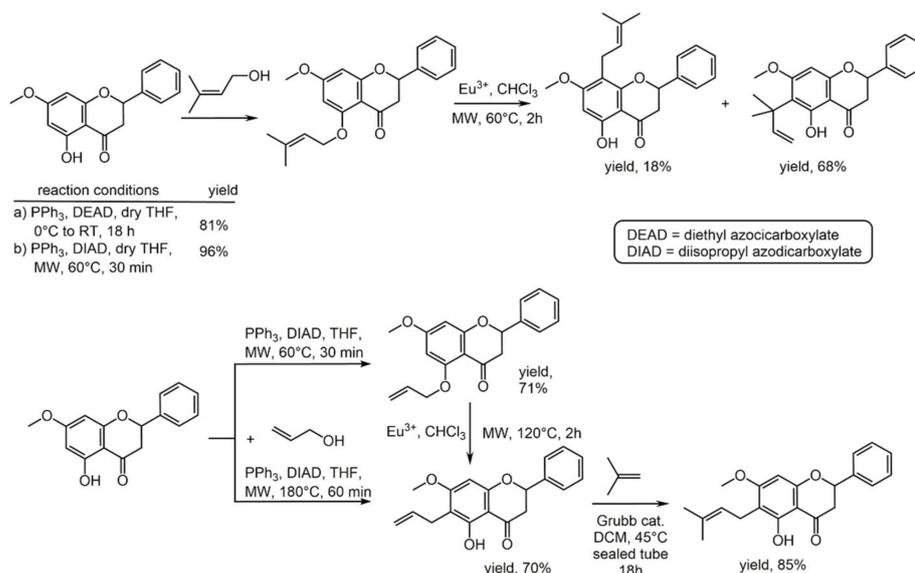
The importance of sugar-substituted flavonoids is exemplified by myricitrin (myricetin-3-*O*- $\alpha$ -L-rhamnopyranoside), whose anti-oxidant activity had a protective effect against DNA damage [389].

A novel flavonoid scaffold was prepared introducing salicylate and trimethoxybenzene groups in flavonoids [390]. All the compounds were evaluated for antiproliferative activity against three human tumor cells showing moderate to good activity.

#### 6.4. Phenols from 2,3-dihydrochromon

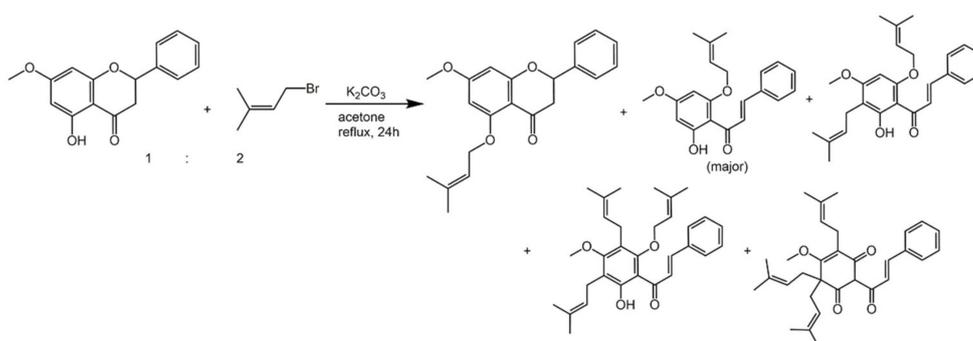
Among flavanones, secondary metabolites of plants with a broad spectrum of biological activities, pinostrobin (5-hydroxy-7-methoxy-flavanone) received interest because it is the major component in the rhizome of fingerroot (*Kaempferia pandurata*), used in Southeast Asian cooking, known to have several pharmacological activities, among which the antimicrobial one is promising.

Allylation and prenylation of pinostrobin were performed using MW irradiation (Mitsunobu and metathesis reactions, Claisen and Cope rearrangements) (Scheme 42), giving compounds that were tested against a number of cancer cell lines [391]. The derivatives were more reactive than pinostrobin, a result that the authors attributed to a better interaction with the biological targets, due to the increased lipophilicity provided by alkenyl substituents.



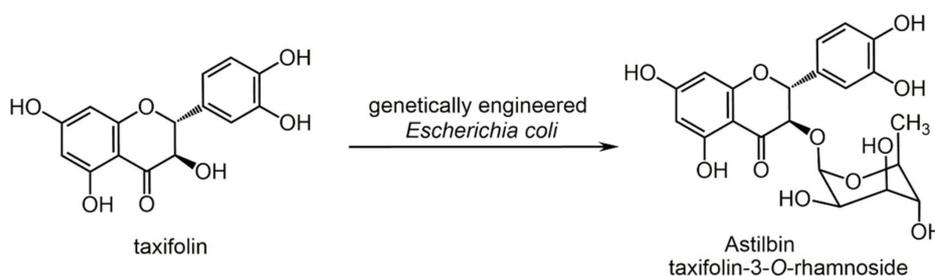
**Scheme 42.** MW-assisted prenylation of pinostrobin [391].

Pinostrubin was prenylated under simple  $S_N2$  conditions (Scheme 43), giving a mixture of products, most of which lost the flavanone structure. They were separated and tested for antimicrobial activity [392], showing a moderate effect. Interestingly, several prenylated coumarins and quercetins were isolated from the root bark of *Broussonetia papyrifera* as metabolites with, in some cases, cytotoxic activity [393].



**Scheme 43.** Prenylation of pinostrubin [392].

Astilbin, a sugar derivative of the flavanol taxifolin, is extracted from herbal medicinal plants, commonly used in traditional Chinese medicine. However, for their possible pharmaceutical use, the astilbin available from extraction is not sufficient. An efficient process to obtain astilbin from taxifolin relied on microbial fermentation in genetically-engineered *Escherichia coli* (Scheme 44) [394].



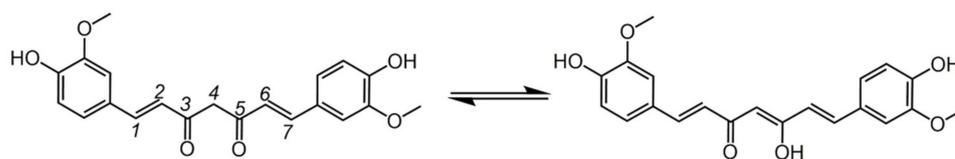
**Scheme 44.** Astilbin from taxifolin by microbial fermentation [394].

A cascade biocatalytic system was developed to prepare the 4'-*O*-glucoside derivatives of naringenin (5,7-dihydroxyflavanone), a flavonoid with several bioactive effects, found in grapes and oranges [395]. The method relies on regenerating uridine diphosphate from sucrose and reusing it, performing also preparative scale production. By the same method, quercetin 7-*O*- $\alpha$ -L-rhamnoside was obtained.

Interestingly, myricitrin (myricetin-3-*O*- $\alpha$ -L-rhamnopyranoside) [389] and naringenin, present in extracts of *Cynara cardunculus*, a powerful natural herbicide, exhibited phytotoxic effects on the leaves of *Trifolium incarnatum*, opening the way to natural herbicides, a field increasingly important due the increasing resistance of weeds to commonly used ones [396].

## 7. Curcumin and Curcuminoids

Curcumin, [1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione], a yellow pigment isolated from turmeric (*Curcuma longa* Linn), is a multifunctional compound that, at least from reading the literature of the past twenty years, seems a sort of panacea for all the illness of modern society, cancer and Alzheimer's disease included. Phenolic -OH groups ensure the anti-oxidant properties, whereas the extensive conjugation due to the keto-enol equilibrium (Scheme 45) is the basis of photodynamic activity.



**Scheme 45.** Keto-enol equilibrium of curcumin.

Several recent reviews discuss the aspects of biological activity [397–400] and possible medical applications [70,292,401–404] of curcumins and derivatives. Important aspects, as new delivery methods and synergistic effects with other compounds, were also discussed, together with the mechanism of action [405]. An increasing interest is devoted to curcumin-based drugs against neurodegenerative diseases [406], especially Alzheimer's [407] and cancer [408].

The quest for new curcumin derivatives is motivated by (i) the necessity of increasing the material availability, and (ii) the necessity of meliorating the solubility in aqueous solution.

Notably, just treating curcumin with Cu(II), a Cu(curcumin)(bipy) complex was isolated and it was a better antioxidant and DNA binding compared to free curcumin, while being less toxic, on the basis of its antifungal properties [383]. It was also reported that silver–curcumin nanoconjugates, prepared by a sonochemical method, were tested on skin cell lines and for antibacterial activity against *Escherichia coli*. Results indicated that silver nanoparticles were made biocompatible by curcumin, while they make curcumin more photostable and more active as an antibacterial [409].

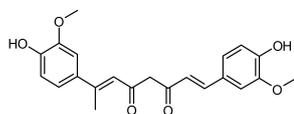
We discuss curcumin derivatives according to structural changes.

### 7.1. Minor Structural Changes

Small structural changes can alter the efficiency of curcumin bioactivity. For example, introducing one methyl group in position 2 or two methyl groups, as in 2,7-dimethylcurcumin, enhanced anti-angiogenesis activity and suppression of tumor growth [410] were observed, together with increased anti-inflammatory activity [411] and stability against enzymatic reduction [412], with respect of curcumin.

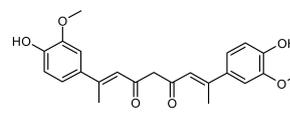
Diacetylcurcumin, easily prepared by acetylation of the parent compound, showed excellent antibacterial activity [413] and it was effective in antiarthritic activity in mice (Table 3) [414].

**Table 3.** Selected examples of curcumin derivatives with enhanced biological activity.

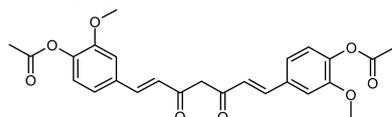


*2-methylcurcumin*

enhanced antiangiogenesis activity, increased anti-inflammatory activity and stability against enzymatic reduction [410–412]

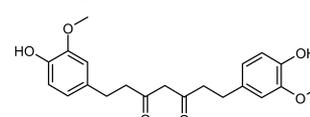


*2,7-dimethylcurcumin*



*diacetylcurcumin*

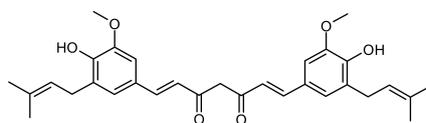
antiarthritic activity in mice [413,414]



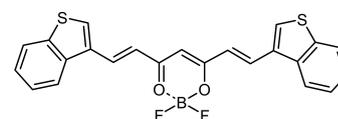
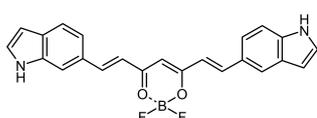
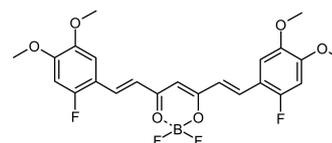
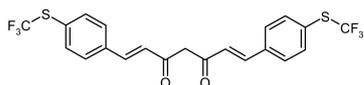
*tetrahydrocurcumin*

increased antioxidant activity [415]

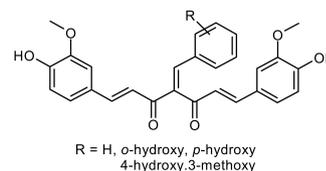
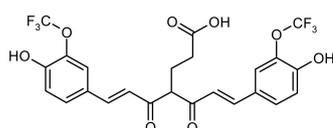
Table 3. Cont.



*diprenylcurcumin*  
antioxidant properties [416]

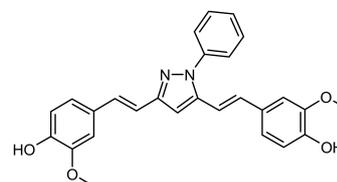
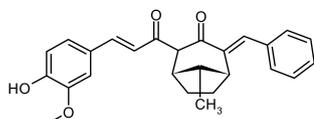


anti-proliferative in vitro bioassay studies [417]



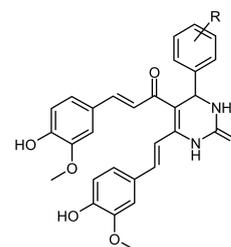
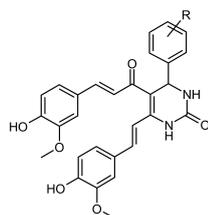
inhibition of TXNIP, protein associated with multiple diseases  
[418]

*4-benzylidenecurcumin*  
[419,420]

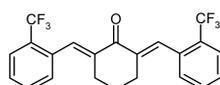


versatile synthesis of 18 dicarbonyl curcumins containing  
tropane  
[421]

neuroprotective activity in cell culture; enhances memory;  
anti-neuroinflammatory effects [422–424]

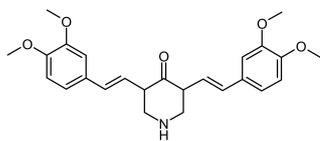


antibacterial and antioxidant activity [425]

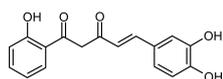
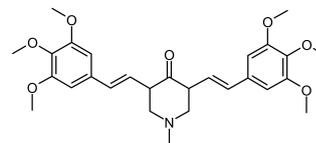


(2*E*,6*E*)-2,6-bis(2(trifluoromethyl)benzylidene)cyclohexanone  
promising for healing diabetic wound in mice [426]

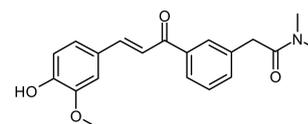
Table 3. Cont.



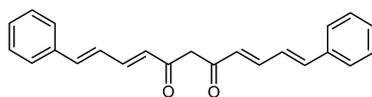
better anticancer activity than curcumin against melanoma cells [427,428]



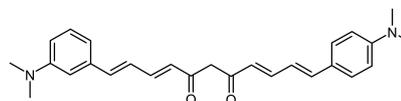
5-(3,4-dihydroxyphenyl)-3-hydroxy-1-(2-hydroxyphenyl)penta-2,4-dien-1-one  
anti-edematogenic/anti-granuloma [429]



effect on hepatic steatosis in mice  
with induced obesity [430]



efficient for photodynamic therapy [431]



The anti-oxidant activity of curcumin was compared with that of demethoxy metabolites and of hydrogenated derivatives [415]. Results indicated that the saturated derivatives (tetrahydro-, hexahydro- and octahydro- coumarins) have increased antioxidant activity with respect to coumarin (Table 3).

The derivative obtained by the introduction of prenyl substituents in both aromatic rings was tested against oxidative stress [416] (Table 3), showing equal or better antioxidant properties with respect to curcumin.

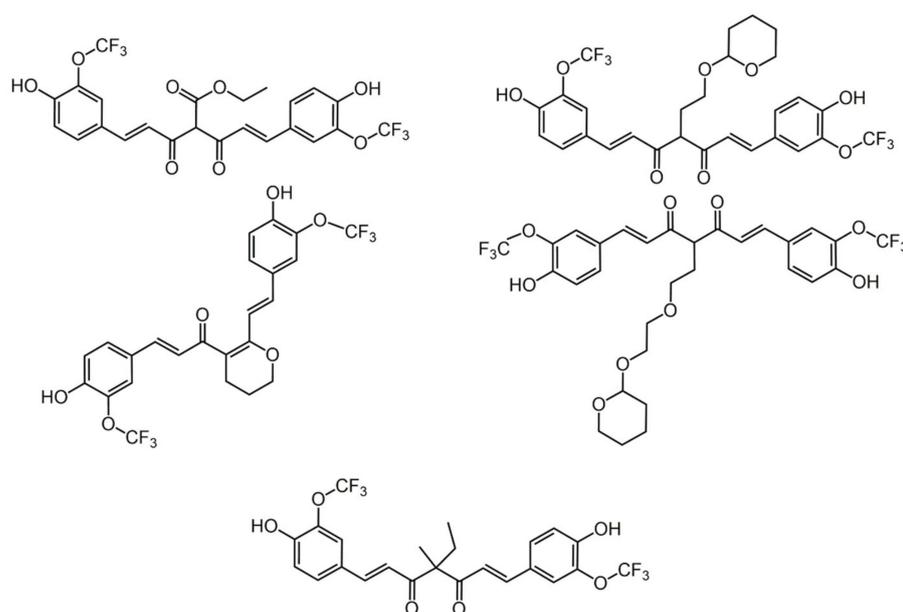
A more drastic substitution was performed, introducing electron withdrawing substituents into benzene rings, or even condensing heterocycles (Table 3) [417].

### 7.2. Substituents in the Unsaturated Chain

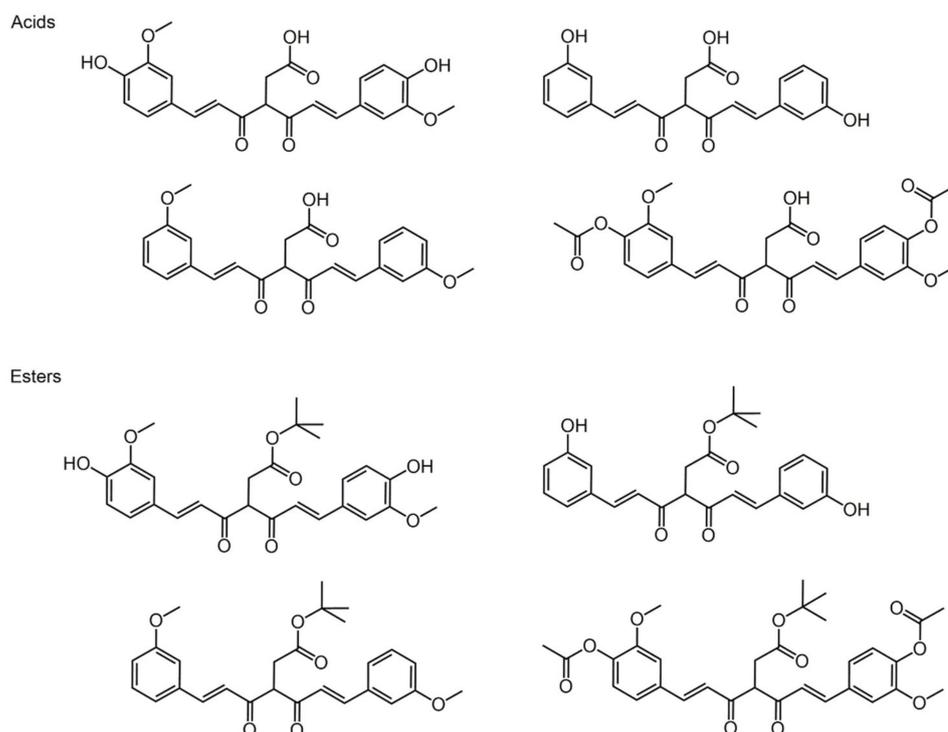
Most of synthetic derivatives come from the introduction of substituents in position 4, thus affecting curcumin tautomeric equilibrium. An important bioactivity linked to the keto-enol equilibrium is the interaction with amyloid  $\beta$  ( $A\beta$ ) aggregation, present in Alzheimer's disease. An extensive investigation of keto-enol tautomeric equilibria in substituted curcumins was performed [432–434]. Recently, it was reported that 4,4-disubstituted curcumin (Figure 12), where the keto form is the only possible one, binds non-fibrillar soluble  $A\beta$  oligomers, becoming, as the authors state, "a first-generation compound targeting  $A\beta$  oligomers" [435].

Fluorinated curcumin derivatives showed significant inhibition of the thioredoxin-interacting protein (TXNIP), which is associated with multiple diseases [418].

A number of curcumin derivatives substituted by acid or ester groups in position 4 were prepared [436] (Figure 13). Acidity, lipophilicity and kinetic stability were determined, together with free radical scavenging activity, in order to evaluate any relation between structure and activity. The ester derivatives exhibited selectivity against colon carcinoma cells, probably as a result of their higher lipophilicity than curcumin.



**Figure 12.** The 4-Substituted curcumins that inhibit the formation of large amyloid aggregates [434] and 4,4-disubstituted curcumin that binds amyloid oligomers [435].



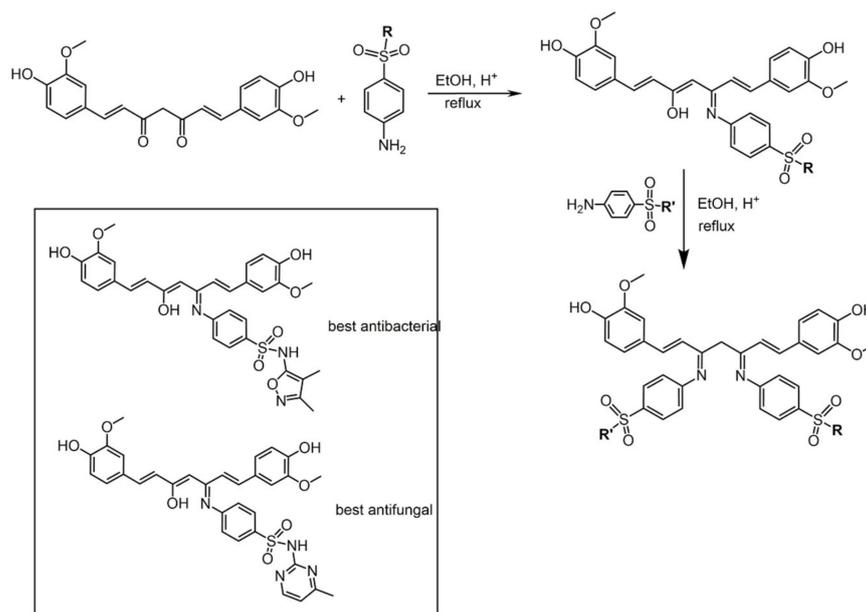
**Figure 13.** Curcumins 4-substituted with acid or ester groups [436].

A different approach was the introduction of an unsaturated moiety in position 4 (Table 3), by Knøvenagel reaction with benzenecarbaldehyde, 4-hydroxybenzaldehyde and 4-hydroxy-3-methoxy-benzaldehyde (vanillin) [419]. Obtained derivatives were tested for anti-malaria activity against *P. falciparum*, and the vanillin derivative was considerably potent. 4-Benzylidene curcumins, prepared from 2-hydroxybenzenecarbaldehyde [420] and 4-benzylidene curcumins, were investigated as anti-oxidant agents and both were effective in attenuating the cataractin cultured rat lenses.

### 7.3. Modification of the $\beta$ -dicarbonyl Moiety

Eighteen new derivatives, still featuring the hepta-1,6-dien-3,5-dione structure of curcumin, but with one of the carbonyl groups incorporated into the cycloheptanone moiety, were synthesized by a versatile synthetic strategy [421]. One example of substituted tropanone is reported in Table 3. The authors are confident that the family of dicarbonyl curcumins with the tropane ring will have important activity, since the simple monocarbonyltropanones were cytotoxic towards breast cancer cells.

A library of curcumin derivatives was obtained upon reaction with one or two equivalents of sulfonamides (chosen among sulfa drugs) (Scheme 46) [437]. Antibacterial and antifungal activities were evaluated against Gram-positive and Gram-negative microorganisms, with good results.



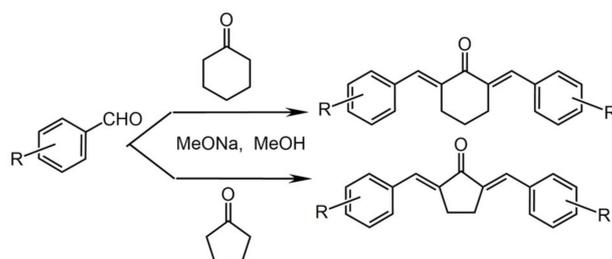
**Scheme 46.** Sulfonamido analogues of curcumin [437].

3,4-Dihydropyrimidin-2(1*H*)-one and thione analogues of curcumin (Table 3) were synthesized in good yield by a one-pot multi-component cyclocondensation under MW irradiation [425]. Antibacterial and antioxidant studies were performed *in vitro* with results considered by the authors “moderate” in the former case and “excellent” in the latter.

A pyrazole derivative of curcumin was prepared trying to incorporate in the same molecule the structural features of curcumin and of a steroid-like compound (cyclohexyl bisphenol A) [422]. The compound was found to be neuroprotective in cell culture assays, also against intracellular and extracellular amyloid. Moreover, it was found to possess memory enhancing properties in a rat object recognition test [423].

### 7.4. Partial Replacement of the $\beta$ -dicarbonyl Moiety

Partial replacing of the  $\beta$ -dicarbonyl moiety of curcumin was considered useful to overcome the problem of its unsatisfactory stability. A series of mono-carbonyl analogues of curcumin, synthesized from the opportunely substituted benzaldehyde and a cycloalkanone [438–440]. The stability of the substituted cyclopentanones and cyclohexanones was enhanced *in vitro*. The cytotoxic activity was also higher with cyclohexanones, with a remarkable importance of substituents electronic effects (Scheme 47).



**Scheme 47.** Synthesis of monocarbonyl curcuminoids [439].

The monocarbonyl curcumin analogs were tested against pro-inflammatory cytokines, exhibiting a more potent inhibitory ability than curcumin.

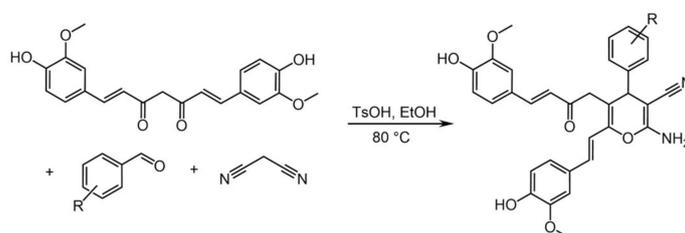
Symmetrical bis(arylidene)ketones were prepared by reacting cycloalkanones with substituted benzaldehydes, in an acid-catalyzed aldolic condensation. Most of the synthesized compounds showed inhibition of ovarian cancer cell growth, even with cells resistant to cisplatin [441].

Several synthetic monocarbonyl curcumin analogues were tested against *Trichomonas vaginalis* (considered the “most common non-viral sexually transmitted infection in the world”) [442]; 1,5-diphenylpenta-1,4-diene-3-one, 1,5-bis(2-chlorophenyl)penta-1,4-diene-3-one and 2,6-bis(2-chlorobenzylidene)cyclohexanone presented significant antiparasitic activity at effective concentrations lower than that of curcumin.

More recently, a first, but very promising, result was obtained with (2*E*,6*E*)-2,6-bis(2(trifluoromethyl)benzylidene)cyclohexanone, which was found to heal diabetic wound in mice [426] (Table 3).

A dozen curcumin monocarbonyl analogues were synthesized, in order to find compounds with increased chemical stability and, eventually, better anticancer activity against some human cancer cells [427]. Two of them (Table 3) met the requirements and were successively tested against melanoma cells, resulting selectively toxic [428].

New curcuminoids incorporating 4*H*-pyran heterocycles were prepared by one-pot condensation of curcumin with propanodinitrile and a substituted benzenecarbaldehyde (Scheme 48) [443]. The consequent modification of  $\beta$ -dicarbonyl moiety improved inhibition of the  $\alpha$ -glucosidase, one of the enzymes responsible for carbohydrate hydrolyses and therefore for postprandial hyperglycemia. This feature, together with antioxidant activity, has possible beneficial consequences against diabetes mellitus, especially because no toxic effect was observed on the common human intestine microflora.



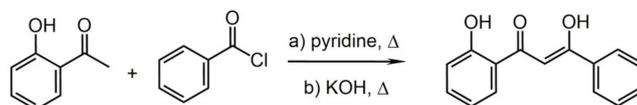
**Scheme 48.** One-pot synthesis of curcuminoids incorporating 4*H*-pyran [443].

### 7.5. Reducing the Length of Unsaturated Chain

A curcumin analogue, 5-(3,4-dihydroxyphenyl)-3-hydroxy-1-(2-hydroxyphenyl)penta-2,4-diene-1-one showed anti-inflammatory activity in mice (Table 3) [429]. Similar compounds with the same skeleton were used to establish the importance of reactive oxygen species upregulation in suppression of tumorigenesis [444]. According to the authors, these compounds are promising for the development of an anti-cancer drug with few side effects.

A similar but shorter compound, (*Z*)-3-hydroxy-1-(2-hydroxyphenyl)-3-phenylprop-2-ene-1-one, was prepared starting from 2-hydroxyphenyl methyl ketone and benzoyl

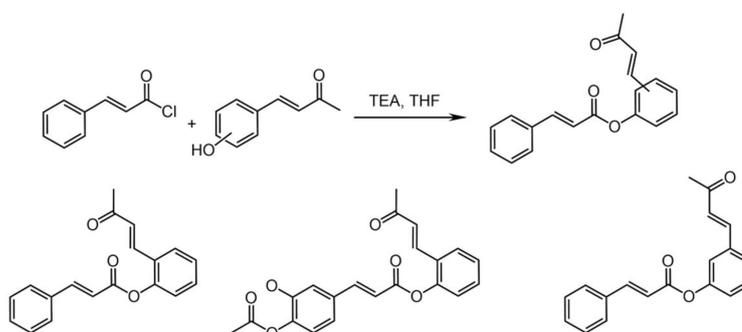
chloride (Scheme 49). The resulting molecule showed selective cytotoxicity on breast cancer MCF-7 cell [445], human colon cancer cell line [446] and human osteosarcoma cells [447].



**Scheme 49.** Synthesis of (Z)-3-hydroxy-1-(2-hydroxyphenyl)-3-phenylprop-2-en-1-one [445].

### 7.6. Derivatives with Only “Half” of the Curcumin Structure

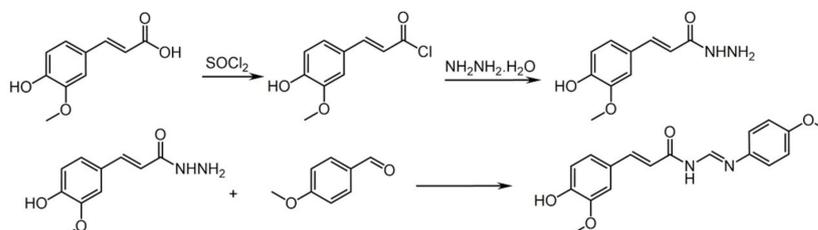
A family of compounds, named by the authors retro-curcuminoids, was prepared maintaining only “half” of the curcumin structure (Scheme 50), because the  $\beta$ -dicarbonyl moiety was considered responsible for curcumin scarce stability [448]. Resulting compounds showed relevant cytotoxic activity against human cancer cell lines, but they did not injure healthy cells.



**Scheme 50.** Synthesis of retro-curcuminoids and compounds with cytotoxic properties [448].

A synthetic amide analogue showed anti-oxidative and anti-inflammatory properties. It was tested with good results on hepatic steatosis in mice with induced obesity [430] (Table 3).

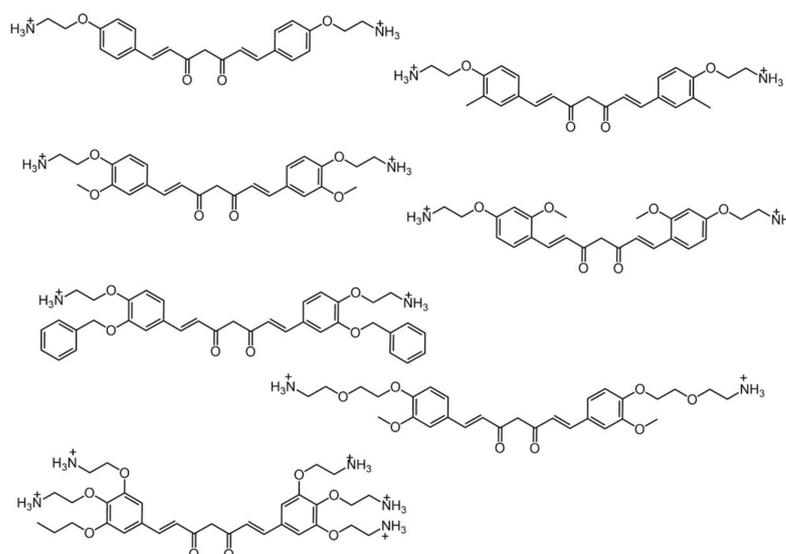
A library of curcumin–resveratrol hybrids was synthesized starting from the hydrazide derivative of substituted cinnamic acid and a series of substituted benzaldehydes [449]. The example illustrated in Scheme 51 refers to the most promising hybrid as an antitumor multi-target agent.



**Scheme 51.** Synthesis of a curcumin–resveratrol hybrid [449].

### 7.7. Photosensitizers

Curcumin might be an excellent photosensitizer, due to its good biocompatibility, but the practical use is strongly limited by its low stability and scarce solubility in water. A solution was searched preparing curcumin derivatives with cationic substituents [450] (Figure 14).



**Figure 14.** Cationic curcumin derivatives with interesting antimicrobial photodynamic activity [450].

All the derivatives showed high stability with pH and temperature. As to photodynamic properties, they were able to promote photodynamic inactivation of *E. coli*, with the hexa-cationic species being the most effective, probably because of the high hydrophilicity.

A comparative study was performed on different curcumin derivatives synthesized ad hoc, with the aim to increase the tissue penetration, increasing the absorption maximum. Thus, 1,11-diphenyl-1,3,8,10-undecatetraene-5,7-dione and 1,7-bis(4'-dimethylaminophenyl)-1,6-heptadienyl-3,5-dione presented promising characteristics in terms of generating reactive oxygen species and, therefore, of efficacy in photodynamic therapy [431].

## 8. Conclusions

Natural phenols and their derivatives with biological activities constitute a fast-growing research topic, in view of their many present and future applications. Their structural diversity offers many possibilities of chemical transformations, aimed at overcoming the drawbacks of natural phenols. However, apart from some guidelines that emerged from the huge number of publications, such as the need to meliorate stability and bioavailability of the bioactive compounds, the picture of structural requirements is not yet complete, in view of optimizing in vivo and in-field applications.

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