



# **Progress in High-Precision Mass Measurements of Light Ions**

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Abstract: Significant advances in Penning trap measurements of atomic masses and mass ratios of the proton, deuteron, triton, helion, and alpha-particle have occurred in the last five years. These include a measurement of the mass of the deuteron against <sup>12</sup>C with 8.5 × 10<sup>-12</sup> fractional uncertainty; resolution of vibrational levels of H<sub>2</sub><sup>+</sup> as mass and the application of a simultaneous measurement technique to the H<sub>2</sub><sup>+</sup>/D<sup>+</sup> cyclotron frequency ratio, yielding a deuteron/proton mass ratio at  $5 \times 10^{-12}$ ; new measurements of HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup>, HD<sup>+</sup>/T<sup>+</sup>, and T<sup>+</sup>/<sup>3</sup>He<sup>+</sup> leading to a tritium beta-decay *Q*-value with an uncertainty of 22 meV, and atomic masses of the helion and triton at  $13 \times 10^{-12}$ ; and a new measurement of the mass of the alpha-particle against <sup>12</sup>C at  $12 \times 10^{-12}$ . Some of these results are in strong disagreement with previous values in the literature. Their impact in determining a precise proton/electron mass ratio and electron atomic mass from spectroscopy of the HD<sup>+</sup> molecular ion is also discussed.

Keywords: atomic mass; fundamental constants; precision measurement; Penning trap

### 1. Introduction

The atomic masses of the proton, deuteron, triton, helion, and alpha-particle (usually called the light ions) and their ratios enter into a broad range of physical science and so are considered to be fundamental physical constants. While for most of physics and chemistry, the values in the Committee on Data of the International Science Council (CODATA-2018) least squares adjustment [1] are more than sufficiently precise, there are applications where this is not the case. Specifically, improved mass ratios of the proton and deuteron to the electron are motivated by ongoing advances in the rotational and vibrational spectroscopy of diatomic molecular hydrogen ions; while improved masses of helium isotopes are required for measurements of *g*-factors (magnetic moments) of one-electron helium ions. Another important application is in the determination of absolute (anti)neutrino mass from tritium beta-decay, requiring a precise value for the mass difference between tritium and helium-3. From the purely metrological perspective, new measurements are also motivated by discrepancies involving previous measurements, as in the so-called "light-ion (or <sup>3</sup>He) mass puzzle" [2].

After more details on motivations, we give a brief review of techniques for precision Penning trap cyclotron frequency ratio (CFR) measurements as applied to light ions (Section 2), and of the measurements that have occurred since a previous review [3] (Section 3). We then discuss the results and their impact (Section 4), and finish with some indications for future work (Section 5).

When the distinction is important, we use M[x] for the mass of x expressed in u (1/12 the mass of an atom of <sup>12</sup>C), but otherwise we use  $m_x$ . We emphasize that it is only mass ratios, particularly relative to the electron, that are important for precision applications. For light ions and their molecular ions (and for carbon), it should be understood that any CFR can be converted into an equivalent mass ratio of any charge state of the respective isotopes (e.g., between their nuclei, or the neutral atoms). This is because the electron atomic mass and all the required binding energies are known to a more than adequate precision [1]. A precise mass ratio can also be converted to an equivalent mass difference without loss of precision. All uncertainties are one-standard deviation.



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#### 1.1. Spectroscopy of Molecular Hydrogen Ions

There has been considerable recent progress in the ab initio, quantum–electrodynamics (QED)-based theory of the rovibrational energies of diatomic molecular hydrogen ions, e.g., see [4–6]. While precision spectroscopy of two-photon and electric quadrupole transitions in H<sub>2</sub><sup>+</sup> and D<sub>2</sub><sup>+</sup> can be expected in the near future [7–9], all published measurements so far have been for HD<sup>+</sup> in which electric dipole transitions occur [10–13]. Since the theoretical predictions for HD<sup>+</sup> transitions depend on  $m_p/m_e$  and  $m_d/m_p$  (or  $m_d/m_e$ ), these mass ratios, as obtained from Penning traps, are necessary for testing the HD<sup>+</sup> theory. The agreement between theory and experiment, within their combined uncertainties, can then be used to put limits on beyond-standard-model physics, such as a Yukawa-type Angstromrange interaction between the nuclei [14]. Alternatively, the HD<sup>+</sup> spectroscopic results, combined with a Penning trap measurement of  $m_d/m_p$ , can be used to obtain  $m_p/m_e$  and hence M[e].

### 1.2. Measurements of g-Factors to Obtain M[e]

The CODATA-2018 value for the electron atomic mass, with uncertainty of  $2.9 \times 10^{-11}$  [1], is obtained from the combination of QED theory [15,16] and experiment [17,18] for the *g*-factor (magnetic moment in units of the Bohr magneton) of hydrogen-like carbon. (To obtain a precise  $m_{\rm ion}/m_{\rm e}$  from a CFR measurement is difficult due to the electron's relativistic mass increase [19]; it may be possible using a Penning trap at milli-kelvin temperature [20]). The *g*-factor measurement consists of measuring, in the same magnetic field, the microwave frequency that induces a spin-flip of the electron in the ground state of the hydrogen-like ion,  $f_{\rm sf}$ , and the ion's cyclotron frequency,  $f_{\rm c}$ . (The spin-flip is detected using the "continuous Stern-Gerlach technique" [21]). In a magnetic field *B*, the electron spin-flip frequency is given by  $f_{\rm sf} = g_{\rm ion}B\mu_{\rm B}/h = (g_{\rm ion}B/4\pi)(e/m_{\rm e})$ , where  $g_{\rm ion}$  is the *g*-factor and  $\mu_{\rm B}$ , *h*, *e*,  $m_{\rm e}$  have their usual meanings. By measuring the ion's cyclotron frequency,  $f_{\rm c} = eB/(2\pi)m_{\rm ion}$ , the magnetic field can be cancelled to give  $f_{\rm sf}/f_{\rm c} = (1/2) g_{\rm ion} (m_{\rm ion}/m_{\rm e})$ . Hence, by measuring  $f_{\rm sf}/f_{\rm c}$  and making use of a theoretical value for  $g_{\rm ion}$ , a value for  $(m_{\rm ion}/m_{\rm e})$  can be obtained. This yields M[e] provided M[ion] is known to sufficient precision.

### 1.2.1. <sup>4</sup>He<sup>+</sup>

A future measurement of the *g*-factor of <sup>4</sup>He<sup>+</sup> has advantages compared to <sup>12</sup>C<sup>5+</sup> as a route to obtaining M[e]. First, because the difficult-to-calculate two-loop QED corrections and the nuclear size corrections scale rapidly with nuclear charge, the theoretical uncertainty for the *g*-factor of <sup>4</sup>He<sup>+</sup>, currently at  $2.8 \times 10^{-13}$ , is two orders of magnitude smaller than for <sup>12</sup>C<sup>5+</sup> [15]. But, second, the measurements have the advantage of smaller image charge and relativistic corrections (see below). This motivates a precision measurement of M [<sup>4</sup>He].

### 1.2.2. <sup>3</sup>He<sup>+</sup>

Measurements of the shielded nuclear *g*-factor, hyperfine structure, and electronic *g*-factor have recently been carried out in <sup>3</sup>He<sup>+</sup> [22]. With application to absolute calibration of magnetic fields using the <sup>3</sup>He nuclear magnetic resonance (NMR) frequency, the helion nuclear *g*-factor was obtained with a relative precision of  $8 \times 10^{-10}$ . The electronic *g*-factor was measured to  $2.3 \times 10^{-10}$ . Although the electronic *g*-factor measurement is not competitive with <sup>12</sup>C<sup>5+</sup>, the experimental precision could be improved, motivating an improved *M* [<sup>3</sup>He].

### 1.2.3. Molecular Hydrogen Ions

The continuous Stern–Gerlach technique can also be applied to electron-spin-state detection in molecular hydrogen ions, and hence to measuring electronic *g*-factors, hyperfine structure, and shielded *g*-factors of the proton (and anti-proton), deuteron, and triton [23]. An initial experiment on HD<sup>+</sup> has been completed [24], while measurements on H<sub>2</sub><sup>+</sup> and other isotopologues are planned. As for one-electron atomic ions, the measurements yield  $g_{ion}(m_{ion}/m_e)$ , although here the *g*-factors depend on the rovibrational state and also the Zeeman sub-state. Although published theory for the electron *g*-factors has so far been developed only up to the lowest-order relativistic corrections [25], improvements could be made in the future. In this case, precise masses of hydrogen isotopes will be needed to test the theory.

### 1.3. Mass Difference between Tritium and Helium-3 for Neutrino Mass

Several large-scale studies of neutrino oscillations have confirmed that neutrinos created in weak interaction processes are superpositions of three mass eigenstates, and have produced increasingly accurate values for the mixing parameters and differences in the squares of the three masses [26]. However, they give no information on absolute neutrino mass, which is an outstanding question for both particle physics and cosmology. One of the most direct laboratory methods for determining absolute neutrino mass is the study of the beta-decay spectrum of tritium near the endpoint. The KATRIN tritium beta-decay experiment has already produced a limit on effective electron neutrino mass,  $m(v_e) < 0.8 \text{ eV}/c^2$ , (90% CL), and aims to improve this to  $0.2 \text{ eV}/c^2$  [27]. Currently under development, Project-8 uses the novel technique of cyclotron radiation emission spectroscopy [28] and has the goal of a limit on  $m(v_e) < 0.04 \text{ eV}/c^2$ . In addition to limits on  $m(v_e)$ , which are obtained from values for  $m(v_e)^2$ , these experiments produce a value for  $E_0$ , the "endpoint for zero neutrino mass".  $E_0$  can be directly related to the Q-value of tritium beta decay, which is directly related to the mass difference between atoms of tritium and helium-3. A precise value for  $M[T] - M[^{3}He]$  checks the value for  $E_{0}$  obtained in the beta-decay experiments. This tests the understanding of the energy loss processes in KATRIN and Project-8, hence validating the resulting limits on  $m(v_e)$ .

### 2. Methods for Atomic Mass Measurements on Light Ions

The current mass measurements of light ions at the highest precision all involve measuring CFRs of pairs of ions in cryogenic Penning traps [21,29,30]. The Penning trap consists of a set of electrodes producing a quadratic electrostatic potential, immersed in the uniform and stable field of a superconducting magnet. The electrostatic potential results in confinement of the ion along the direction of the magnetic field and a corresponding "axial" oscillation at frequency  $f_z$ , which is typically ~500 kHz. The quadratic potential slightly reduces the frequency of the cyclotron motion from that in the magnetic field alone,  $f_c$ , to the "trap-modified cyclotron frequency",  $f_{ct}$ . It also produces a second circular motion about the electrostatic center of the trap called the magnetron motion, which is at a frequency  $f_m$ , which is slightly above  $f_z^2/2f_{ct}$  and is a few kHz. The motions of the ions are detected, and their frequencies measured, by detecting image currents induced between electrodes of the trap. A precise value for  $f_c \equiv qB/(2\pi m)$ , corresponding to the magnetic field without the electrostatic potential, is obtained using the "invariance theorem"  $f_c^2 = f_{ct}^2 + f_z^2 + f_m^2$  [21]. This is exact in the limit of zero amplitudes of motion despite imperfections in the magnetic field and electrostatic potential. However, with such imperfections and due to special relativity, the three mode frequencies  $f_{z}$ ,  $f_{ct}$ , and  $f_{m}$  are each functions of the amplitude of the axial motion  $a_z$ , and of the radii of the cyclotron and magnetron motions  $\rho_{c}$ ,  $\rho_{m}$ . The most important magnetic field imperfections are the linear and quadratic gradients along the axis and are denoted by  $B_1$  and  $B_2$ ; while the most important imperfections to the electrostatic potential are the perturbations denoted by  $C_4$ and  $C_6$  [21,31]. Due to the extreme vacuum resulting from surrounding the Penning trap with surfaces at liquid-helium temperature, ion lifetimes against collisions with neutrals can be months or longer, enabling long measuring campaigns with a single ion pair.

Since the overall methods for Penning trap mass measurements have been described several times previously, e.g., [29,32], we focus on the developments of the last 5 years. In this period, there have been just two groups carrying out measurements on hydrogen and helium isotopes. These are a group at Florida State University (FSU), Tallahassee, and a group at the Max-Planck Institute for Nuclear Physics (MPIK), Heidelberg (with collaborators from J.-G. University, Mainz; GSI, Darmstadt; and RIKEN, Saitama). For

light ions, the MPIK group has developed the LIONTRAP apparatus [32], while the FSU group has further developed a Penning trap system that was operated at the Massachusetts Institute of Technology (MIT) prior to 2003, but with ions with higher mass-to-charge ratios. Although not further discussed here, methods closely related to LIONTRAP have been used by the BASE collaboration at CERN to compare the mass of the H<sup>-</sup> ion to that of the antiproton, as a test of matter–antimatter symmetry [33].

Compared to the Penning trap mass measurements on low-charged heavy ions with m/q around 30 or higher, measurements on light ions have the advantage of a high cyclotron frequency which reduces the relative importance of trap electrostatic imperfections. On the other hand, due to their smaller mass, relativistic effects are more significant. We also note that FSU has in general measured CFRs that are m/q and m "doublets", which has the advantage of suppressing many systematic errors (and it is the mass ratios, including the ratio compared to the electron mass, that are important for most applications.) However, this generally requires the use of molecular ions, which introduces the complications of rovibrational energy and polarizability. MPIK has instead measured light ions against highly charged carbon ions, hence directly obtaining atomic masses in u. Although the chosen ion pairs were m/q doublets (except for the proton), they were not m or q doublets, so the control of some systematic uncertainties was more challenging.

### 2.1. FSU Trap

The FSU Penning trap [29] consists of a ring and two endcaps, both with hyperboloidal internal surfaces, with polar and equatorial diameters of 12 mm and 14 mm, respectively. The electrodes are made of OFHC copper and are coated with powdered graphite on the inside to reduce charge patches. The magnetic field, which was 8.53 T, was shimmed to high-uniformity using a scanning NMR probe before installing the Penning trap. The changes in  $B_1$  and  $B_2$  due to the trap electrodes themselves were compensated using nickel wires wound around the vacuum can enclosing the trap.  $C_4$  can be nulled using a pair of compensation electrodes between the trap ring and end-caps. The main electrostatic imperfection is hence that characterized by  $C_6$ , which is typically  $1.3 \times 10^{-3}$ .

The trap has 0.5 mm diameter holes in the center of the upper and lower end-caps. Ions of hydrogen or helium isotopes are made directly in the trap by injecting a molecular or atomic beam of the appropriate gas through the hole in the upper end-cap as a few-ms pulse, simultaneously with a collinear, ~5 nA, 750 eV beam of electrons from a field-emission point (FEP), mounted below the hole in the lower end-cap. In order to minimize the amount of gas entering the trap, the molecular beam is produced in a 1 m long, cryogenically pumped "injector cryostat", mounted above the original Penning trap system. After the requisite ion or ions are made in the trap, the injector is valved-off from the vacuum space containing the trap and allowed to warm up.

The axial motion of the ion is detected, and also damped, via the image currents it induces in a superconducting coil connected between ground and the upper end-cap. The coil is made of pure niobium and is located 1 m above the trap and outside the strong field region. Together with the capacitance of the trap electrodes and stray capacitance, the circuit acts as an LCR resonant circuit, with a resonance frequency of 688 kHz and a *Q*-factor of 34,000. The coil is inductively coupled to a dc-SQUID which acts as a pre-amplifier. The ion's cyclotron and magnetron motions are addressed by coupling them to the axial motion using tilted quadrupolar oscillatory electric drives at  $f_{ct} - f_z$  and  $f_z + f_m$ , respectively [34].

The cyclotron frequency is measured using the "Pulse-and-Phase" (PnP) technique [35]. This proceeds by first cooling all three modes of the ion, then applying a few ms oscillatory voltage pulse near  $f_{ct}$  to one half of one of the compensation electrodes, to drive the cyclotron motion to a radius of typically 20 µm. The cyclotron motion is then allowed to evolve, unperturbed, for an evolution period  $T_{evol}$  up to 15 s (for light ions). A pulse at  $f_{ct} - f_z$  is then applied which couples the cyclotron motion to the axial motion. By applying this coupling pulse with the appropriate product of amplitude and duration, i.e., as a (classical) "pi-pulse", the cyclotron motion is effectively converted to axial motion,

with the final phase of the cyclotron motion,  $\phi$ , coherently mapped onto the axial motion. The axial motion is then read out using the axial detector. By repeating the PnPs with different  $T_{\text{evol}}$ ,  $f_{\text{ct}}$  can be obtained from  $f_{\text{ct}} = (1/2\pi)d\phi/dT_{\text{evol}}$ . Provided the intrinsic noise from the SQUID is small compared to the thermal noise from the detection circuit, it can be shown that both the signal power, and the noise power in a given bandwidth, fall by similar factors as a function of detuning from the coil resonance. Hence, since a narrower axial frequency signal is advantageous for determining  $\phi$  and  $f_z$ , the PnPs are typically carried out with  $f_z$  detuned from the coil resonance by four or five coil resonance widths, with sampling times of 4 or 8 s.

Since a major contribution to uncertainty in a CFR is the variation in the magnetic field between measurements of  $f_c$  on the two ions, it is essential to interchange the ions as rapidly as possible. With the FSU trap, most measurements have used a technique in which the two ions are simultaneously trapped, but alternated between the center of the trap and a large radius (usually 2 mm) "parking" cyclotron orbit [36]. The outer ion is re-centered using cyclotron-to-axial coupling with the axial motion damped by interaction with the detector resonance. The inner ion is swept out using a down-chirped cyclotron drive. In the re-centering process, which typically takes 5 min, the frequency synthesizer supplying the cyclotron-to-axial coupling drive, and the ring and compensation voltages, are adjusted in steps. This is to keep the drive close to  $f_{ct} - f_z$  ( $f_{ct}$  and  $f_z$  change due to special relativity and trap imperfections as  $\rho_c$  decreases), and  $f_z$  relatively independent of  $a_z$  and close to the detector resonance. In the sweep-out, which usually takes 10 s, the phase of the cyclotron motion follows the phase of the cyclotron drive. Hence, the cyclotron radius can be precisely set by matching the cyclotron drive frequency at the end of the sweep to the relativistically down-shifted  $f_{ct}$  corresponding to the desired  $\rho_c$ .

With an ion in a large cyclotron orbit, its lifetime was found to be essentially indefinite, while at the center of the trap it varied between months and a few days, depending on the length of time (weeks, months) since the last cool-down of the apparatus. Presumably, the lifetime of a centered ion is reduced due to the direct line of sight to room temperature through the 0.5 mm hole in the upper end-cap.

### 2.2. LIONTRAP

The "Light Ion Trap" (LIONTRAP), located at the J.-G. Universität, Mainz has been described in detail in [32]. In contrast to the single FSU trap, LIONTRAP consists of five inter-connected Penning traps, made up of a tower of 38 cylindrical electrodes [37] in a 3.8 T magnetic field. The five traps are a "creation" trap, a "reference" trap, two "storage" traps, and a "measurement" (or "precision") trap. The measurements of CFRs use the measurement trap and the two storage traps, which are above and below the measurement trap. The trap tower is completely enclosed in its vacuum chamber, so protons and highly charged ions of C and O were produced inside the creation trap, using a target made of carbon-fiber-loaded polyether ether ketone (PEEK,  $C_{19}H_{14}O_3$ ). The electron beam from the FEP initially passes through a hole in the target, but, after multiple reflections, expands due to space charge and hits the edges. Ablated atoms and molecules are then ionized and trapped. Trapped low-charged ions can then be multiply ionized to make highly charged ions.

The measurement trap has an internal diameter of 10 mm and a seven electrode design which, in principle, allows for the compensation of electrostatic anharmonicities up to  $C_{10}$ . This trap has a split central ring and split inner compensation electrodes for applying the cyclotron drives and quadrupole cyclotron–axial coupling, and also for detecting and cooling the cyclotron motion. Furthermore, (at least as used in the proton measurement discussed in [32]), it has four, high-quality-factor (Q) LCR-circuit image-current detectors, two for the axial and two for the cyclotron motions. These use coils wound from niobium–titanium (a type II superconductor) and transistor amplifiers, and are located in the strong magnetic field region. The doubling of detectors for each mode enables ions that are not m/q doublets to be brought to resonance with their corresponding detectors, at the same trap voltage and same magnetic field. This was especially important for the measurement

of the CFR of the proton to  ${}^{12}C^{6+}$ . In order to reduce the effects of magnetic field variation, the two ions in the pair whose CFR was to be measured were created and trapped, but with one ion in the measurement trap and the other in one of the storage traps. The ions were then shuttled, alternately, between the measurement trap and a storage trap; the interchange taking about 80 s.

The cyclotron frequency of an ion in the measurement trap was measured most precisely using the "Pulse-and-Amplify" (PnA) method [38]. The PnA is similar to the PnP method, but instead of applying the cyclotron-to-axial coupling as a pi-pulse at  $f_{ct} - f_z$ , which effectively converts the cyclotron motion to axial motion, the coupling pulse is applied at  $f_{ct} + f_z$ . This results in the phase-coherent parametric amplification of both the cyclotron and axial modes. Compared to the PnP method, this has the advantage of producing a final axial amplitude that is large enough for the phase measurement from a smaller initial cyclotron radius. This reduces amplitude-dependent systematic errors such as that due to special relativity. A disadvantage, unless  $B_2$  and  $C_4$  are small, is that the large cyclotron radius after the PnA results in a shift to  $f_z$ , so it must be measured independently. This was performed using the "dip technique", in which the spectrum of noise from the axial detector was recorded over several minutes with the ion near resonance. The ion's axial motion "shorts-out" the detector noise resulting in a dip in the noise at  $f_z$  [21].

### 3. Measurements

### 3.1. FSU Measurement of $H_2^+/D^+$ by Alternating between Large and Small Cyclotron Orbits [39]

With the aim of obtaining an improved result for  $m_d/m_p$ , the CFR of  $H_2^+$  to  $D^+$  was measured at FSU by simultaneously trapping a  $D^+$  and  $H_2^+$  and alternating them between the trap center and a 2 mm radius parking orbit [39]. The  $D^+$  was produced by injecting CD<sub>4</sub> while the  $H_2^+$  was produced by simply operating the FEP for a few seconds, which presumably desorbed  $H_2$  from either the FEP itself or the holes in the endcaps. Because  $H_2$  and  $H_2^+$  have different internuclear separations,  $H_2^+$  produced by ionization of  $H_2$  can be produced in any of the bound vibrational levels up to v = 19 [40]. The vibrationally excited levels are all highly metastable, with lifetimes against spontaneous decay, which occurs primarily by electric quadrupole transitions, between 7 days (for more excited levels) to 22 days (for v = 1), [41] (see Table I of [39]). The extra mass-energy due to the rovibrational energy is significant. For instance, the energy difference between v = 0 and v = 1 increases the  $H_2^+$  mass by approximately  $1.4 \times 10^{-10}$ . In a run of 7 h, 15 alternate measurements of  $f_c$  for each ion were obtained, resulting in a statistical precision per run for the  $H_2^+(v,N)/D^+$  CFR as low as  $4 \times 10^{-11}$ . Hence, different vibrational levels of  $H_2^+$  were partially resolved by their difference in  $f_c$ . This was the first mass spectroscopy of molecular vibrational energy.

Since the CFR resolution was not sufficient to determine the H<sub>2</sub><sup>+</sup> vibrational state in all runs, Stark quenching was used to increase the rate of rovibrational decay rate to the ground state [42]. In the large cyclotron orbit, the  $H_2^+$  ion experiences a  $v \times B$  motional electric field. This electric field mixes the ground and excited electronic states. This results in a small electric dipole moment which increases the rate of rovibrational decay. For  $\rho_c = 2 \text{ mm}$  and B = 8.5 T, the lifetime of v = 1 is reduced to 2.13 days, while the lifetimes of higher excited levels are reduced to a few hours. In this way, simply by placing the  $H_2^+$ in a 2 mm-radius cyclotron orbit for ~1 week, it was possible to measure the CFR with  $7 H_2^+$  ions that were almost certainly in the vibrational ground state. However, since the spacing between rotational energy levels was less than the CFR resolution, e.g., the spacing between N = 0 and N = 2 changes the CFR by only  $11.5 \times 10^{-12}$ , and because, even with Stark quenching, the mean lifetimes of the rotational levels are months or years, it was not possible to directly determine the  $H_2^+$  rotational state. Hence, estimates of the shift and uncertainty in the final CFR due to the rotational energy of the seven  $H_2^+$  ions were made by assuming a Boltzmann rotational distribution for the parent  $H_2$ , and then modeling the rovibrational cascade to the ground vibrational level. The resulting shift agreed with an estimate based on the spread of the measured CFRs. Including a contribution to allow for the possibility that collisions with neutrals might also change the rotational level, the overall correction applied to the  $H_2^+/D^+$  CFR due to  $H_2^+$  rotational energy was  $16(16) \times 10^{-12}$ .

The largest systematic correction and second largest uncertainty to the CFR was from the imbalance in the cyclotron radii used in the PnP measurements between  $H_2^+$  and  $D^+$ , coupled with special relativity (SR). To obtain this shift and its uncertainty,  $\rho_c$  of both ions were systematically varied by varying the length of the cyclotron drive pulse  $T_d$  at a constant amplitude, and then extrapolating the plot of CFR against  $T_d^2$  to zero. Except for a possible imbalance in the initial cyclotron energy, this extrapolation gives the CFR corrected for SR. The correction determined with this procedure was  $41(7) \times 10^{-12}$ . A third significant systematic correction resulted from the fact that the  $f_c$  measurements of the H<sub>2</sub><sup>+</sup> and  $D^+$  were carried out with the trap voltages set so that the  $H_2^+$  and  $D^+$  axial frequencies were, respectively, 80 Hz below and above the detector resonant frequency. This was done so that the change in trap voltage between the PNP measurements on the ions was reduced; hence, reducing the shift in the CFR due to the change in ion equilibrium position coupled with magnetic field gradient, i.e., the " $B_1 \Delta V$ " shift. Since the ions were on different sides of the detector resonance, their measured axial frequencies were "pushed" in opposite directions due to the ion–detector interaction, which shifts the  $f_{cs}$  obtained using the invariance theorem. The required "coil-pushing" correction was 8.2(1.0)  $\times$  10<sup>-11</sup>. The remaining systematic shifts were the residual  $B_1 \Delta V$  shift, needing a correction  $-0.6(0.6) \times 10^{-12}$ , and that due to the polarizability of the H<sub>2</sub><sup>+</sup> [43,44], needing a correction  $1.1(0.3) \times 10^{-12}$ . The resulting total systematic correction was 65(18)  $\times$  10<sup>-12</sup>. With a statistical uncertainty of 6.3  $\times$  10<sup>-12</sup>, the final result was  $M[D^+]/M[H_2^+(0,0] = 0.999\ 231\ 660\ 004(19)$ .

### 3.2. MPIK Measurement of the Atomic Mass of the Deuteron and HD<sup>+</sup> [45]

Using the LIONTRAP apparatus previously used to measure the proton against  ${}^{12}C^{6+}$  [32], the MPIK collaboration measured the CFR of the deuteron against  ${}^{12}C^{6+}$  and of HD<sup>+</sup> against  ${}^{12}C^{4+}$  [45]. Compared to the proton measurements, the quadratic magnetic field inhomogeneity was reduced from  $B_2/B_0 = -7.2(4) \times 10^{-8}$  to  $6.5(6.5) \times 10^{-10}$  mm<sup>-2</sup>. The stability of the magnetic field was also improved with the stabilization of the pressure of the liquid nitrogen and liquid helium reservoirs and by improved trap alignment. A single axial detector with resonance frequency near 461 kHz was used.

In order to load deuterons and HD<sup>+</sup> ions, a surface layer of a deuterated organic compound was printed onto the surface of the carbon-fiber-loaded PEEK target. Unlike the proton measurement, in both cases the ion pairs form a near m/q doublet, so the axial motion was detected using a single, tuned circuit. As for the proton measurement, the ions were shuttled into the measurement trap from the adjacent storage traps and measurements of  $f_c$  for each ion were obtained using the PnA method. Unlike the FSU procedure, where measurements were alternated between the ions, and a CFR measurement derived from a polynomial fit to both sets of  $f_c$  data for the entire run, in the LIONTRAP procedure a CFR measurement was considered to be the result of single measurements of  $f_c$  on each ion in the pair. The first ion was chosen at random, so successive measurements could be on the same ion. Each run typically produced 27 CFRs, which were then averaged to give a CFR for the whole run.

Over the D<sup>+</sup>/<sup>12</sup>C<sup>6+</sup> measurement campaign, 41 runs were obtained using 4 ion pairs, each trapped for 1 to 4 months. Analogous to the FSU H<sub>2</sub><sup>+</sup>/D<sup>+</sup> measurements, to allow for amplitude dependent shifts due to SR, the cyclotron drive amplitudes  $A_i$  of both ions were varied, and an extrapolation made to zero  $A_i^2$ . Due to the lower magnetic field and the smaller minimum  $\rho_c$  of 10 microns in the PnA, the relativistic shifts were an order of magnitude smaller than for the FSU H<sub>2</sub><sup>+</sup>/D<sup>+</sup> measurements. Feedback cooling was also used to reduce  $T_z$  to 1.2(5) K, which reduced the initial thermal  $\rho_c$  in the PnA. From the fit to the CFR data with different driven  $\rho_c$ , a D<sup>+</sup>/<sup>12</sup>C<sup>6+</sup> CFR with a statistical uncertainty of  $5.4 \times 10^{-12}$  was obtained.

Because the ions in each pair had a different mass, the initial thermal cyclotron energy did not cancel in the CFR, even if the ions had the same cyclotron temperature. This

required an SR correction of  $-2.9(1.2) \times 10^{-12}$  to the D<sup>+</sup>/<sup>12</sup>C<sup>6+</sup> CFR. Overall, the largest systematic correction was due to the unequal image charges (again, resulting from the ions' different mass), 82.1(4.1) × 10<sup>-12</sup>. However, the largest systematic uncertainty in the CFR overall,  $4.7 \times 10^{-12}$ , was in the determination of the axial frequency, which was performed with the dip technique. Since, on resonance with the detector, the FWHM of the dip due to the <sup>12</sup>C<sup>6+</sup> was 3 Hz, determination of the ion's  $f_z$  to sufficient accuracy required a subdivision of the linewidth by a factor of 500. Due to the ion detector's pushing effect, the measured  $f_z$  was also sensitive to uncertainty in the detector resonance frequency. Because of the small  $B_2/B_0$ , the correction to the CFR for magnetic field imperfections was only  $0.3(0.6) \times 10^{-12}$ . Between measurements of  $f_c$  on each ion, the detector resonance frequency was shifted using a varactor, so the measurements were carried out at the same trap voltage, hence eliminating any  $B_1\Delta V$  shift. The combined systematic uncertainty was  $6.5 \times 10^{-12}$ , and the final value for the mass ratio  $6M[D^+]/M[^{12}C^{6+}]$  was 1.007 052 737 911 7(85). This is the most precise result for a CFR directly relating to <sup>12</sup>C to date.

Similar techniques were used for the HD<sup>+</sup>/ $^{12}C^{4+}$  measurement. As in earlier work on HD<sup>+</sup> at FSU [2,46,47], due to its body-frame dipole moment the HD<sup>+</sup> was assumed to be in the rovibrational ground state, and a correction was made for its polarizability. From one ion pair trapped for 7 weeks  $4M[HD^+]/M[^{12}C^{4+}] = 1.007 310 263 905(19)(8)(20)$ (stat)(sys)(total) was obtained.

## 3.3. FSU Measurement of $H_2^+/D^+$ Using Simultaneous Measurement of Cyclotron Frequencies in Coupled Magnetron Orbits [48]

In order to eliminate the uncertainty in a CFR measurement due to variation in the magnetic field, in the 1990s the MIT mass spectrometry group developed a technique in which the modified cyclotron frequencies of a pair of ions were measured simultaneously [49,50]. In this method, which is applicable to ion pairs with fractional mass difference in the range  $10^{-4} < \Delta m/m < 10^{-3}$ , the ions are placed in coupled magnetron orbits, such that the ions orbit the center of the trap, 180° apart, with nearly equal radii of ~0.5 mm. In this configuration, due to the Coulomb interaction between the ions, the magnetron modes of the ions are strongly coupled, while the axial and modified cyclotron modes, though perturbed, remain largely independent. Simultaneous PnP measurements can then be performed on the two ions. In 2002–2003, this technique was applied at MIT to ions with m/q near 30, producing four CFRs with the then world record uncertainties of  $7 \times 10^{-12}$ . After a 20-year hiatus, the method was re-developed at FSU and applied to a second measurement of the H<sub>2</sub><sup>+</sup>/D<sup>+</sup> CFR, the first application to light ions.

More formally, the normal modes of the coupled magnetron motion are a "commonlike mode", which approximates the motion of the center-of-charge of the ions, and a "separation-like mode", which approximates the vector difference between the ions. The ideal configuration corresponds to minimizing the amplitude of the common-like mode, while setting the amplitude of the separation-like mode, i.e., the ion–ion separation  $\rho_s$ , to its optimal value. As shown in [31], the CFR can then be derived from a precise value for the difference in the modified cyclotron frequencies of the two ions,  $\Delta f_{ct} = f_{ct1} - f_{ct2}$ , combined with less precise values of  $f_{ct1}$  and  $f_{z1}$  (or  $f_{ct2}$  and  $f_{z2}$ ). The fractional uncertainties for  $f_{ct1}$  and  $f_{z1}$  can be larger than the fractional uncertainty in the CFR by factors of  $m/\Delta m$ and  $(f_{ct}/f_z)^2$   $(m/\Delta m)$ , respectively. (A precise measurement of  $\Delta f_z$  is not required since the ions follow similar paths in the magnetic and electrostatic fields. Hence, effectively,  $\Delta f_z$  is determined by  $\Delta f_{ct}$ .) Applying the PNP technique simultaneously to both ions, the CFR measurement is essentially reduced to a precise measurement of the phase difference  $\Delta \phi = 2\pi \Delta f_{\rm ct} T_{\rm evol}$ , as determined from the phases of the simultaneous axial ring-down signals. Importantly, the sensitivity to shifts to  $f_z$  that would otherwise affect the CFR is greatly relaxed. Implementing this method required re-developing the important tool of "phase-locked driven axial motion" [31]. This allowed the continuous measurement of an ion's  $f_z$  in real time, and was essential for monitoring the amplitudes of the common and separation modes of the ion pair, and for cooling the common-mode motion.

A run began with (typically) a 15 min period of "phase-lock" cooling of any commonmode motion that had been produced in the previous run. The actual CFR measurement then consisted of cycles of simultaneous PnPs on the two ions, with a longest  $T_{evol}$  of 10.1 s, interleaved with PnPs with  $T_{evol}$  of 0.1, 0.3, 1.1, and 3.3 s, which were needed for phase unwrapping the individual  $f_{ct}$ . Throughout the run, phase coherence was maintained between all synthesizers used for the PnPs. Hence, the phases for different  $T_{evol}$  could be averaged over the whole run, and phase unwrapping applied to the averaged phases. After trials with different ion–ion separations it was found that  $\rho_s = 0.8$  mm was optimum. This gave the best compromise between stability of the coupled magnetron motion, which improved with reduced  $\rho_s$  due to increased ion–ion coupling, and the need to minimize ion–ion induced axial anharmonicity, which could only be partially compensated by applying  $C_4$ .

The improvement in precision using the simultaneous technique was less dramatic than at MIT with m/q = 30. This was partly because the ambient magnetic field at FSU was more stable than at MIT, but also because, at low m/q, noise on  $f_{ct}$  due to fluctuations in  $\rho_c$  combined with SR was comparable in magnitude to noise due to magnetic field fluctuations. This SR noise on  $f_{ct}$  is given by  $\sigma(f_{ct})/f_{ct} = (2\pi f_{ct}/c)^2 \sigma(\rho_c^2)/2$ , where  $\sigma(\rho_c^2)$  is the rms fluctuation in  $\rho_c^2$  from PnP to PnP.  $\sigma(\rho_c^2)$  originates from the cyclotron motion at the start of the PnPs, which varies randomly from PnP to PnP, and which combines by phasor addition with the driven cyclotron motion, with the result  $\sigma(\rho_c^2) = 2^{1/2} \rho_c^{\text{th}} \rho_c^{\text{drive}}$ , where  $\rho_c^{th}$  is the rms value of the initial cyclotron radius, and  $\rho_c^{drive}$  is the radius produced by the drive (see Supplementary Materials of ref. [48]).  $\rho_c^{\text{th}}$  is given by  $\rho_c^{\text{th}} = (2k_B T_c/m)^{1/2}/(2\pi f_{ct})$ , where  $T_{\rm c}$  is the ion's effective cyclotron temperature resulting from cyclotron-to-axial coupling. In the ideal case,  $T_c = (f_c/f_z)T_z$ , where  $T_z$  is the ion's axial temperature. Hence,  $\rho_c^{\text{th}}$ , and the minimum  $\rho_c^{\text{drive}}$  (~5 $\rho_c^{\text{th}}$ ) for adequate phase initialization in the PnP, are essentially independent of the ion's mass. So, overall, this relativistic noise varies as  $f_{ct}^2$ and so is a more serious issue for light ions. In order to reduce this relativistic noise,  $T_z$  was reduced by a factor of 2 by applying electronic feedback to the axial motion of each ion, using the scheme described in [51]. This was executed with  $f_z$  shifted to resonance with the detector by changing the trap voltage. However, even with feedback, the overall gain in statistical precision in a 6 h run was only about a factor of two compared to a run with the alternating technique.

With  $\rho_s = 0.8$  mm, both ions were outside the axial line of sight to room temperature. Further, the ions were not in large cyclotron orbits during the measurement. Hence, the average ion lifetime against collision with neutrals was considerably longer than with the alternating technique and excited vibrational levels did not undergo Stark quenching. Combined with the factor-of-two improved resolution, this enabled the tracking of the rovibrational decay of three different H<sub>2</sub><sup>+</sup> ions to the vibrational ground state. The rovibrational decays manifested as discrete jumps in the H<sub>2</sub><sup>+</sup>/D<sup>+</sup> CFR between plateaus corresponding to a given rovibrational state. In one case, an H<sub>2</sub><sup>+</sup> was tracked from v = 9 to v = 0 over a period of more than two months. Taking account of the electric quadrupole selection rule for H<sub>2</sub><sup>+</sup> rovibrational decay,  $\Delta N = 0, \pm 2$ , it was possible to fit the plateaus in CFR to calculated shifts using the theoretical rovibrational energies [52], and so assign certain plateaus to unique rotational levels on a probabilistic basis. Hence, to the extent that the assignment was correct, the uncertainty due to rotational energy was eliminated. Moreover, because the fit averaged over more than 300 runs, a very small statistical uncertainty of  $2 \times 10^{-12}$  was obtained.

As with the alternating technique, in order to correct for the systematic shift due to SR and imbalance in  $\rho_c$ , CFR measurements were made with a range of  $\rho_c$  in the PnPs. This resulted in a correction of 29.5(1.4) × 10<sup>-12</sup>. In the simultaneous method, the trap voltage was set so that  $f_z$  of the H<sub>2</sub><sup>+</sup> and D<sup>+</sup> ions were symmetrically below and above the detector resonance frequency. To cool the axial motion before the PnP, each ion was shifted to resonance by changing the trap voltage. This process is necessarily asymmetric, and, because of possible noise spikes or other asymmetries in the detector noise, there was concern that  $T_z$ , and so  $T_c$ , at the start of the PnPs could be different between the ions,

leading to a systematic SR shift to the CFR. In order to estimate a possible difference in  $T_c$ , use was made of the fact that this would also result in a difference in the rms fluctuations in the individual cyclotron frequencies  $f_{ct1}$  and  $f_{ct2}$ , as discussed above. These frequency fluctuations were determined from the Allan deviation of the long  $T_{evol}$  phases of the individual ions. From this, a correction of 2.9(2.9)  $\times 10^{-12}$  to the CFR was derived, which was the largest source of systematic uncertainty.

Since  $f_z$  did not need to be known precisely, the detector-pushing effect, and in fact all effects that shift the individual  $f_z$ s, including ion–ion interaction, had negligible effect on the CFR. Because of the symmetry between the ions, the ion–ion interaction effects on  $\Delta f_{ct}$  and hence the CFR were  $<10^{-13}$  and so negligible. There was no  $B_1\Delta V$  shift. However, because the ions did not have identical mass, the magnetron radii of the two ions in the coupled magnetron motion were not identical. The resulting correction for trap imperfections and rms magnetron radius difference was  $-1.1(0.2) \times 10^{-12}$ . Finally, allowing for a possible difference in the rms axial amplitude of the ions due to a difference in  $T_z$  during the cyclotron phase evolution, which produces a shift by interacting with  $B_2$ , there was a correction of  $0.5(0.5) \times 10^{-12}$ . The final result for the mass ratio  $M[D^+]/M[H_2^+(0,0)]$  was 0.999 231 660 003 0(21)(37)(43), (stat)(sys)(total). This result is in excellent agreement with the alternating method. It is also the most precise mass ratio to date. A caveat is that the rotational state identification was probabilistic. If one of the two possible but less probable assignments is chosen, the mass ratio shifts down by 2.7 or 3.6 sigma.

### 3.4. LIONTRAP Mass of <sup>4</sup>He [53]

Following the measurement of the atomic mass of the deuteron, the LIONTRAP apparatus was used by the MPIK collaboration to measure the atomic mass of <sup>4</sup>He [53]. Since in LIONTRAP the trap is completely enclosed, a He source was developed that loads gas from a reservoir inside the trap chamber into the creation trap in front of the FEP. Although it was initially planned to measure <sup>3</sup>He to help resolve the light-ion puzzle, due to a technical issue only <sup>4</sup>He could be loaded, after which it was decided to measure the CFR <sup>4</sup>He<sup>2+</sup>/<sup>12</sup>C<sup>6+</sup>. This was serendipitous. Their result, using methods that have by now been well validated, was in more than 6-sigma disagreement with the previously accepted result, published by the University of Washington (UW) group nearly 20 years earlier.

As in the measurement of the  $D^+/{}^{12}C^{6+}$  ratio, the ions were trapped in different traps in the electrode stack, each shuttled into the measurement trap for the  $f_c$  measurements. The PnA method was used and a CFR measurement consisted of an  $f_{\rm c}$  measurement on each ion, with the first being chosen randomly, the complete CFR measurement taking 3800 s. A single axial detector with resonant frequency near 468 kHz was used, again shifted in frequency using a varactor to match the respective  $f_{zi}$  of the two ions at the same trap voltage, eliminating the  $B_1\Delta V$  shift. The correction for amplitude-dependent shifts due to SR and trap imperfections was obtained using  $\rho_c$  from 10 to 80  $\mu$ m and fitting the CFR versus the squares of the drive strengths of the respective ions. With a total data set of 482 cycles this gave a CFR with statistical uncertainty of  $9 \times 10^{-12}$ . By using feedback to reduce the ion's axial temperature to 1.7(3) K, the correction and uncertainty due to the ions' cyclotron energy before the cyclotron drive pulse was only  $-1.8(0.3) \times 10^{-12}$ . Again similar to the D<sup>+</sup>/<sup>12</sup>C<sup>6+</sup> measurement, the largest systematic correction, at  $-65.8(3.3) \times 10^{-12}$ , was due to image charge effects, while the largest contribution to the systematic uncertainty,  $7.1 \times 10^{-12}$ , was from the determination of  $f_z$ , by fitting the dip in the detector noise signal. Additional corrections due to magnetic field inhomogeneity and electrostatic anharmonicity were essentially negligible. The final result for  $3M [{}^{4}\text{He}{}^{2+}]/M [{}^{12}\text{C}{}^{6+}]$  was 1.000 650 921 192 8(90)(78)(119) (stat), (sys), (total).

# 3.5. FSU Measurement of $HD^+/{}^{3}He^+$ , $HD^+/T^+$ and $T^+/{}^{3}He^+$ for the Beta-Decay Q-Value of Tritium and Improved Masses of T and ${}^{3}He$ [54]

Previously, in 2014–2015, the FSU group measured the  $HD^+/{}^{3}He^+$  and  $HD^+/T^+$  CFRs and from the double ratio obtained a *Q*-value for tritium beta-decay, with an uncertainty

of 0.07 eV [46]. This was the first measurement of light ions by the FSU group and also the start of the so-called light ion (or <sup>3</sup>He) mass puzzle. This was the 4-sigma discrepancy between  $M[\text{HD}^+]/M$  [<sup>3</sup>He<sup>+</sup>] derived from the atomic masses of *p*, *d*, and *h* individually referenced to <sup>12</sup>C, and the same mass ratio as measured by FSU. Expressed as a mass difference, M[p] + M[d] - M[h] obtained using M[d] and M[h] from the UW group [55], and M[p] from CODATA-2010 [56] (itself mainly derived from earlier measurements by UW), was greater than that obtained from the FSU HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> mass ratio [46] by 0.79(18) nu. This was the first indication that some previously accepted values of light ion masses, obtained with single ion Penning trap techniques, might have significantly underestimated uncertainties.

Two years later, using a rebuilt set-up with an improved detector and a more homogeneous magnetic field, and an outer ion radius increased from 1.07 to 2 mm, the FSU group re-measured the HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> ratio, both directly [2] and also using H<sub>3</sub><sup>+</sup> as an intermediary [47]. This confirmed the original HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFR of [46] and reduced its uncertainty. (The measurements against H<sub>3</sub><sup>+</sup> were complicated by the mass shift due to highly excited, metastable rotational states of H<sub>3</sub><sup>+</sup>, and so only produced a lower limit for 2M[p] - M[d].) The discrepancy in M[p] + M[d] - M[h] was also partly resolved by the MPIK collaboration's measurements of M[p] [32] and M[d] [45] (see Section 3.2 above). If these replaced the CODATA-2010 [56] and UW [55] values, M[p] + M[d] - M[h] differed from the value from the HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> ratio of [46] by 0.35(15) nu, and from that of [2] by 0.26(9) nu. Nevertheless, given the remaining discrepancies, and the importance of the tritium *Q*-value, the FSU group decided to repeat the measurements with tritium using the improved apparatus.

Although the simultaneous method was considered, the measurements used the alternating technique. In the case of  $HD^+/{}^{3}He^+$  and  $HD^+/T^+$ , the ions in the pairs are separated in mass by a fraction of  $2 \times 10^{-3}$ , which resulted in an axial frequency difference of 670 Hz. Consequently, if the trap voltage was set so the ions were positioned symmetrically above and below the detector resonance as required for the simultaneous method, the ions would each be separated by 16 FWHM from the center of the coil resonance, significantly reducing the signal-to-noise for detection of the axial motion. Neither was the simultaneous method applicable to directly measuring  $T^+/{}^{3}He^+$  since the fractional mass difference is only  $6.6 \times 10^{-6}$ . At the optimum ion–ion separation of 0.8 mm, this would have caused the axial motions of the two ions to be strongly coupled, preventing application of the PnP method. However, with the alternating method, and with the outer ion in a 2 mm radius cyclotron orbit, the separation in  $f_z$  between the inner and outer ion was increased to close to 20 Hz due to the residual  $C_6$  and  $B_2$ . This enabled PnPs with negligible interference from ion-ion coupling. Compared to using HD<sup>+</sup> as an intermediary, the direct measurement of the  $T^+/{}^{3}He^+$  CFR reduced the time required to achieve a given statistical uncertainty by a factor of 4. The improved detector compared to [46] enabled the use of a smaller  $\rho_c$ , and in combination with a  $\times 30$  reduction in  $B_2$ , to  $-3.7(7) \times 10^{-9}$  mm<sup>-2</sup>, allowed  $\rho_c$  to be varied to quantify the systematic uncertainty due to special relativity and cyclotron radius imbalance. Additionally, with a parking radius of 2 mm, the effects of ion-ion interaction on the CFR were negligible.

Similar to the alternating D<sup>+</sup>/H<sub>2</sub><sup>+</sup> measurement, a run typically consisted of 7 h of data taking with 15 interchanges, and yielded a statistical uncertainty of  $4 \times 10^{-11}$  for the best runs. However, this statistical uncertainty was degraded for approximately 50% of the runs due to rapid changes in the ambient magnetic field due to the operation of a magnetic spectrograph in a nearby laboratory, and also due to electromagnetic interference on the detector signal. The final results were based on 84 runs of HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup>, 74 of HD<sup>+</sup>/T<sup>+</sup> and 79 of T<sup>+</sup>/<sup>3</sup>He<sup>+</sup>, with additional runs for calibrating the cyclotron drives and investigating systematic errors. From independent fits to the HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup>, HD<sup>+</sup>/T<sup>+</sup>, and T<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFRs vs.  $T_d^2$ , non-correlated statistical uncertainties of 11.4, 13.2, and 8.6 × 10<sup>-12</sup>, respectively, were obtained.

In contrast to the above  $H_2^+/D^+$  measurements, the PnPs were completed at the same  $f_z$ . Hence, it could be assumed that the thermal cyclotron energies were balanced,

eliminating any residual relativistic shift after the extrapolation to zero  $T_d^2$ . The detectorpushing effect on  $f_z$  between the ions was also balanced, and so had a negligible effect on the CFR. To calibrate the  $B_1\Delta V$  shift, measurements were carried out with a T<sup>+</sup>/H<sub>2</sub><sup>+</sup> pair, with the H<sub>2</sub><sup>+</sup> having been previously stored in a 2 mm cyclotron radius orbit for more than 3 days, so that it could be assumed to be in the v = 0 or v = 1 vibrational state. Making use of an adequately precise prediction for the T<sup>+</sup>/H<sub>2</sub><sup>+</sup> CFR, a systematic correction of -1.5(4)× 10<sup>-12</sup> to be applied to the HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> and HD<sup>+</sup>/T<sup>+</sup> CFRs was determined. A correction of 94.3(1) × 10<sup>-12</sup> was also applied to these two CFRs to allow for the polarizability of HD<sup>+</sup> [43,44]. All other systematics, including those due to ion–ion interaction were at the level of 10<sup>-13</sup> or less. After applying the systematic corrections and uncertainties, a least-squares adjustment (LSA) to the three ratios resulted in M [<sup>3</sup>He<sup>+</sup>]/M[HD<sup>+</sup>] = 0.998 048 085 131 8(92), M[T<sup>+</sup>]/M[HD<sup>+</sup>] = 0.998 054 687 290 2(97), and M [<sup>3</sup>He<sup>+</sup>]/M[T<sup>+</sup>] = 0.999 993 384 973 2(77), with correlation coefficients (labeling the three ratios as 1,2,3)  $r_{12} = 0.67$ ,  $r_{13} = 0.36$ , and  $r_{23} = -0.46$ .

### 4. Results and Discussion

In this section, the results of the above mass measurements are compared with each other and with other published values of comparable precision.

### 4.1. M[d], $m_d/m_p$ , and M[p]

4.1.1. M[d]

The deuteron is currently the most precisely measured light ion directly referenced to <sup>12</sup>C. In Table 1 *M*[*d*] from the LIONTRAP CFR of D<sup>+</sup> against <sup>12</sup>C<sup>6+</sup> [45] is compared with the result from CODATA-2018 [1], which is entirely based on the 2015 UW result [55]. As can be seen, the LIONTRAP result is over a factor of two more precise than the CODATA-2018 (UW) result and is lower by 210(43) pu. Also shown is the result of the LSA presented in Table 2 of [45], which incorporates the LIONTRAP *M*[*p*] [32], *M*[*d*] and *M*[HD<sup>+</sup>] [45], and the 2020 FSU  $m_d/m_p$  result of [39]. Also shown is the AME-2020 value [57], which is essentially identical to the LIONTRAP LSA result, the difference being due to the fact that the AME result also includes the H<sub>3</sub><sup>+</sup>/HD<sup>+</sup> result of [47].

Table 1. Results for the atomic mass of the deuteron.

| Source                       | Deuteron Mass (u)     |
|------------------------------|-----------------------|
| CODATA-2018 (UW 2015) [1,55] | 2.013 553 212 745(40) |
| LIONTRAP 2020 [45]           | 2.013 553 212 535(17) |
| LIONTRAP 2020 LSA [45]       | 2.013 553 212 538(16) |
| AME-2020 [57]                | 2.013 553 212 537(15) |

### 4.1.2. $m_{\rm d}/m_{\rm p}$

In Table 2 and Figure 1, we show values for  $m_d/m_p$  from CODATA-2018; the result of combining the direct LIONTRAP M[d] (second row of Table 1) with the previously measured LIONTRAP M[p] [32]; and the values for  $m_d/m_p$  from the two FSU measurements of H<sub>2</sub><sup>+</sup>/D<sup>+</sup> [39,48]. The two FSU results are in good agreement with each other. This is especially significant given the difference in techniques (alternating cyclotron radii versus couple magnetron orbits) and in the different allowance for rotational energy (from a model versus derived from the CFRs). They also agree with the ratio of the LIONTRAP results. However, it would be inappropriate to combine the FSU results to form a weighted average since the relativistic shift is a common systematic. Additionally, there is a non-negligible chance that the assignment of rotational levels made in [48] should be changed, which could lead to a value for  $m_d/m_p$  reduced by up to  $32 \times 10^{-12}$ . The decrease in all the values with respect to CODATA-2018 is consistent with the larger CODATA-2018 (UW 2015) M[d]as shown in Table 1. The recent results are in fair agreement with an earlier measurement of the H<sub>2</sub><sup>+</sup>/D<sup>+</sup> CFR by the SMILETRAP group [58], where neither rotational nor vibrational energy were resolved, which gave  $m_d/m_p = 1.999$  007 500 72(36).

**Table 2.** Results for  $m_d/m_p$ .

| Source                       | $m_{\rm d}/m_{\rm p}$ |
|------------------------------|-----------------------|
| CODATA-2018 [1]              | 1.999 007 501 39(11)  |
| LIONTRAP $M[d]/M[p]$ [32,45] | 1.999 007 501 223(68) |
| FSU 2020 [39]                | 1.999 007 501 274(38) |
| FSU 2021 [48]                | 1.999 007 501 272(9)  |



**Figure 1.** Results for  $m_d/m_p$  [1,32,39,45,48]. The data points correspond to Table 2.

### 4.1.3. M[p]

In Table 3, we show the results for M[p] from CODATA-2018; the direct LIONTRAP measurement against  ${}^{12}C^{6+}$  [32]; the LIONTRAP LSA as in Table 1 above; the AME-2020 result; and the result, at  $1 \times 10^{-11}$  fractional uncertainty, obtained by combining the second FSU value for  $m_d/m_p$  [48] with the above LIONTRAP result for M[d] measured directly relative to  ${}^{12}C$  (second row of Table 1). As can be seen, all these results agree. The CODATA-2018 value represented a compromise between the previously accepted value, mainly based on results from UW [59], and the 2019 LIONTRAP result [32], which was 3-combined-sigma below the UW result. The AME-2020 result is an LSA similar to that executed by the LIONTRAP group in [45], but has a smaller uncertainty by also including results of FSU measurements of  $H_3^+/HD^+$  [47]. The UW results for M[p] and M[d] were not used in the AME-2020 LSA for M[p].

**Table 3.** Results for M[p].

| [ <i>p</i> ] (u)    |
|---------------------|
| 007 276 466 621(53) |
| 007 276 466 598(33) |
| 007 276 466 580(17) |
| 007 276 466 587(14) |
| 007 276 466 574(10) |
|                     |

4.2. *Q-Value for Tritium Beta Decay,* M[p] + M[d] - M[h]*, and* M(h)*,* M(t)

4.2.1. Tritium Beta-Decay Q-Value

The T<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFR given in Section 3.5 (the result of the LSA of the measured HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup>, HD<sup>+</sup>/T<sup>+</sup> and T<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFRs) can be converted into the mass difference between atoms of T and <sup>3</sup>He. Expressed in  $eV/c^2$ , this gives the *Q*-value for tritium beta-decay. In Table 4 and Figure 2, this is compared with the previous measurements by the UW [60] and SMILETRAP groups [61], and also the 2015 FSU measurement [46], and the value derived from the "endpoint for zero neutrino mass" from the first two data-taking campaigns of the KATRIN

neutrino mass experiment [27]. The two FSU results are 2.2(1.0) eV above the average of the older UW and SMILETRAP results and agree with KATRIN.

**Table 4.** Tritium beta-decay *Q*-value (mass difference between neutral atoms) in  $eV/c^2$ .

| Source              | <i>M</i> [T] – <i>M</i> [ <sup>3</sup> He] |
|---------------------|--|
| UW 1993 [60]        | 18 590.1(17)                               |
| SMILETRAP 2006 [61] | 18 589.8(12)                               |
| FSU 2015 [46]       | 18 592.01(7)                               |
| KATRIN 2022 [27]    | 18 591.49(50)                              |
| FSU 2023 [54]       | 18 592.071(22)                             |



**Figure 2.** Results for the Tritium *Q*-value as in Table 4 [27,46,54,60,61]. Left, all results; right, last three results on an expanded scale.

4.2.2. M[p] + M[d] - M[h] and the Light Ion Mass Puzzle

In Table 5 and Figure 3, we compare results for M[p] + M[d] - M[h] obtained from the UW measurements of M[d] and M[h] [55], combined with the CODATA-2010 value for M[p] [56] (mainly derived from UW results); obtained using the LIONTRAP M[p]and M[d] [32,45], but still with UW M[h]; the FSU HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFR of [46]; the repeated HD<sup>+</sup>/<sup>3</sup>He<sup>+</sup> measurement with rebuilt apparatus [2]; and the result from the LSA of the recent FSU measurements [54]. (Figure 3 also shows the intermediate result of combining the UW M[d], M[h] [55] and the MPIK M[p] [32]). As can be seen, the three FSU results are in good internal agreement but disagree with results based on masses measured directly against <sup>12</sup>C. Specifically, using the latest FSU result as a reference, the UW 2015 plus CODATA-2010 result, and the result using the LIONTRAP M[p] and M[d] but UW M[h], are, respectively, 0.73(11) nu and 0.29(6) nu high. If one assumes that the discrepancies are due to the UW results, this implies that while the UW M[p] and M[d] are too high, the UW M[h] is too low.

**Table 5.** Results for M[p] + M[d] - M[h].

| Source   | M[p] + $M[d]$ – $M[h]$ (u) |
|--|----------------------------|
| UW <i>M</i> [ <i>d</i> ], <i>M</i> [ <i>h</i> ] [55], CODATA-2010 <i>M</i> [ <i>p</i> ] [56] | 0.005 897 432 889(107)     |
| LIONTRAP <i>M</i> [ <i>p</i> ], <i>M</i> [ <i>d</i> ] [32,45]; UW <i>M</i> [ <i>h</i> ] [55] | 0.005 897 432 450(50)      |
| FSU 2015 HD <sup>+</sup> / <sup>3</sup> He <sup>+</sup> [46]                                 | 0.005 897 432 097(145)     |
| FSU 2017 HD <sup>+</sup> / <sup>3</sup> He <sup>+</sup> [2]                                  | 0.005 897 432 191(70)      |
| FSU 2023 HD <sup>+</sup> / <sup>3</sup> He <sup>+</sup> [54]                                 | 0.005 897 432 161(28)      |



**Figure 3.** Results for M[p] + M[d] - M[h] as in Table 5 [2,46,54–56], but with the addition of the intermediate result of combining the UW M[d], M[h] [55], and the MPIK M[p] [32].

### 4.2.3. M[h] and M[t]

Using the (correlated) values for M[p], M[d] from the LSA carried out in [45] results in  $M[\text{HD}^+]$  of 3.021 378 241 561(26) u, which depends only on LIONTRAP and FSU results. Using this as a reference, the LSA CFRs for  $\text{HD}^+/^3\text{He}^+$  and  $\text{HD}^+/\text{T}^+$  of [54] then yield values for M[h] and M[t]. These are compared with CODATA-2018 and AME-2020 results in Table 6. The AME-2020 result, which is based on the FSU HD $^+/^3\text{He}^+$  and HD $^+/\text{T}^+$  CFRs from [46] and the LIONTRAP M[p] and M[d], is in good agreement; the CODATA18 result is similar but is shifted to higher mass since it uses the UW M[d]. Otherwise, neither the CODATA18 nor AME2020 use UW data.

**Table 6.** Results for M[h] and M[t].

| Source          | <i>M</i> [ <i>h</i> ] (u) | <i>M</i> [ <i>t</i> ] (u) |
|-----------------|---------------------------|---------------------------|
| CODATA-2018 [1] | 3.014 932 247 175(97)     | 3.015 500 716 210(120)    |
| AME-2020 [57]   | 3.014 932 246 960(60)     | 3.015 500 716 015(81)     |
| FSU 2023 [54]   | 3.014 932 246 957(38)     | 3.015 500 716 066(39)     |

### 4.3. *M*[*α*]

In Table 7, we compare results for the atomic mass of the  $\alpha$ -particle from the UW group and the recent LIONTRAP measurement [53]. The UW measurement was originally reported in [62], but was reduced by 22 pu following a re-estimation of the image–charge shift in 2006 [63]. The CODATA-2018 and AME-2020 results are the same as the later UW value, but the AME-2020 value has an uncertainty increased by a factor of 2.5, based on discrepancies for the UW results for *M*[*d*] and *M*[*p*] with LIONTRAP and FSU results. As can be seen, the UW result is smaller than the LIONTRAP result by more than six combined standard deviations.

Table 7. Results for the mass of the alpha-particle.

| Source                        | $M  [^4 { m He}^{2+}]$ (u) |  |
|-------------------------------|----------------------------|--|
| UW [62]                       | 4.001 506 179 147(64)      |  |
| CODATA-2018/AME-2020 * [1,57] | 4.001 506 179 125(63)      |  |
| LIONTRAP [53]                 | 4.001 506 179 651(48)      |  |

\* The uncertainty of the AME2020 value was increased by a factor of 2.5 to 158 pu.

### 4.4. $m_p/m_e$ and M[e] from HD<sup>+</sup> Spectroscopy Combined with $m_d/m_p$

As mentioned in the introduction, the remarkable progress in ab initio theory and precision laser and terahertz spectroscopy for rovibrational transitions in HD<sup>+</sup> provide a new route to  $m_p/m_e$  and M[e]. To lowest order, the rotational and vibrational frequencies of HD<sup>+</sup> are proportional to  $R_{\infty}(m_e/\mu_{p,d})$  and  $R_{\infty}(m_e/\mu_{p,d})^{1/2}$ , respectively, where  $R_{\infty}$  is the

Rydberg constant, and  $\mu_{p,d} = (1/m_p + 1/m_d)^{-1}$  is the proton–deuteron reduced mass. Since  $R_{\infty}$  can be obtained more accurately from hydrogen spectroscopy, the comparison between theory and experiment for HD<sup>+</sup> can be used to obtain  $\mu_{p,d}/m_e$ .

From a detailed analysis of experimental and theoretical results for the (v,N)-(v',N') = (0,0)-(0,1), (0,3)-(9,3), and (0,0)-(1,1) transitions, Karr and Koelemeij have obtained  $\mu_{p,d}/m_e = 1$  223.899 228 719(26) [64], which is in good agreement with . . .228 720(25) as obtained by Alighanbari et al. for the recently measured (0,0)-(5,1) transition [13]. Using either of these (the theory uncertainty is dominant and common, so little gain in precision is achieved by averaging), and the value for  $m_d/m_p$  of [48] (Table 2), gives the result for  $m_p/m_e$  shown in the last row in Table 8. Also shown is the CODATA-2018 value, which is mainly determined using M[e] from the *g*-factor of  ${}^{12}C^{5+}$  [16], combined with the averaged LIONTRAP and UW result for M[p]; and also the result of combining the  ${}^{12}C^{5+}$  *g*-factor M[e] [1,16] with the updated M[p] in the last row of Table 3. As can be seen, all the results are in reasonable agreement, although there is 1.5-sigma tension between the results obtained from Penning trap measurements only, and that from HD<sup>+</sup> spectroscopy and  $m_d/m_p$ .

**Table 8.** Results for  $m_p/m_e$ .

| Source   | $m_{\rm p}/m_{\rm e}$ |
|--|-----------------------|
| CODATA-2018 [1]                                    | 1836.152 673 43(11)   |
| M[e] [1,16], updated $M[p]$ (Table 3) [48]         | 1836.152 673 35(6)    |
| HD <sup>+</sup> spectroscopy [64] + $m_d/m_p$ [48] | 1836.152 673 46(4)    |

Alternatively, the value for  $\mu_{p,d}$  from HD<sup>+</sup> spectroscopy [64] can be combined with the FSU result for  $m_d/m_p$  [48] and the MPIK M[d] [45] to give M[e]. In Table 9, this is compared with M[e] obtained from the *g*-factor of C<sup>5+</sup> [1]. Presented this way, the fractional disagreement is 6.2(3.7) × 10<sup>-11</sup>.

### **Table 9.** Results for M[e].

| Source  | <i>M</i> [ <i>e</i> ]                   |
|---|---|
| CODATA-2018 (g-factor of C <sup>5+</sup> ) [1,16]               | $0.548~579~909~065(16) 	imes 10^{-3}$   |
| HD <sup>+</sup> spectroscopy [64] + $m_d/m_p$ [48] + $m_d$ [45] | $0.548\ 579\ 909\ 031(13)	imes 10^{-3}$ |

### 5. Conclusions and Outlook

### 5.1. Partial Resolution of the Light Ion Puzzle, Tritium Q-Value

As the above tables show, since CODATA-2018 there have been significant advances in the determination of masses and mass ratios for all the light ions, with quoted fractional uncertainties now close to or below  $1 \times 10^{-11}$ . There has been some clarification of the discrepancy for M[p] + M[d] - M[h] between FSU and UW results. If the LIONTRAP M[p]and M[d] replace UW values the discrepancy is reduced; also the LIONTRAP results agree with the FSU  $m_d/m_p$ . There is also strong disagreement between UW and LIONTRAP for  $M[\alpha]$ . This suggests that the UW M[p], M[d], and  $M[\alpha]$ , and so presumably M[h], had underestimated uncertainties. This is likely related to the method used at UW for measuring  $f_{ct}$ , which involved applying a frequency-swept modified cyclotron drive and observing the resonant excitation of the cyclotron motion. The cyclotron excitation was detected by monitoring the shift in  $f_z$  proportional to  $\rho_c^2$  due to trap imperfections such as  $B_2$ . The axial frequency was continually monitored by driving the axial motion with a phase-locked-loop. A study of these methods at MPIK [65] showed that the determination of  $f_{ct}$  from fits to the cyclotron resonance line shape, and also the determination of the unperturbed  $f_z$ , depended in detail on the parameters of the phase-locked-loop. This resulted in systematic errors at the few  $\times 10^{-11}$  level that were difficult to quantify.

Nevertheless, a measurement of M[h] by MPIK or another group is motivated to confirm the FSU measurements. Likewise, an additional, independent measurement of  $M[\alpha]$  is strongly motivated, especially since  $M[\alpha]$  is required for obtaining M[e] using the *g*-factor of He<sup>+</sup>. Although the consistency between the FSU T<sup>+</sup>/<sup>3</sup>He<sup>+</sup> CFR obtained directly and by using HD<sup>+</sup> as an intermediary, and between the 2015 and 2023 results (the latter being analyzed blind with respect to the former) are compelling, given the importance of the absolute neutrino mass experiments, there is still a case for measurements on tritium by another group.

### 5.2. Interplay of Penning Trap Mass Measurements, g-Factor Measurements, Molecular Hydrogen Ion Spectroscopy, and Electron Atomic Mass

Table 9 shows only a modest improvement in precision for M[e] from combining the new light-ion masses with the results of HD<sup>+</sup> spectroscopy. This is due to uncertainty in the QED theory for the (hyperfine-averaged) rovibrational transitions, and due to discrepancies between individual hyperfine components and hyperfine theory [64]. However, some of the measured hyperfine components of the HD<sup>+</sup> vibrational transitions have quoted uncertainties as small as  $1.5 \times 10^{-12}$ . In principle, if the hyperfine discrepancies can be resolved, and the uncertainty in the QED theory for the rovibrational transitions reduced, HD<sup>+</sup> spectroscopy and the current Penning trap  $m_d/m_p$  could result in  $m_p/m_e$  with a fractional uncertainty of only  $3 \times 10^{-12}$ , an order of magnitude improvement over CODATA-2018. Conversely, a factor of 10 improved Penning trap  $\mu_{p,d}/m_e$  would permit a test of the QED theory for HD<sup>+</sup>, and a search for beyond-standard-model physics at the few ppt level. The situation will become even more interesting when precision spectroscopic measurements of rovibrational transitions in  $H_2^+$ ,  $D_2^+$ , and possibly  $T_2^+$  become available, since these will yield  $m_p/m_e$ ,  $m_d/m_e$ , and  $m_t/m_e$  more directly. As discussed in [66], a rigorous treatment of all the experimental results requires an LSA in which theoretical uncertainties in the QED theory and perturbations due to beyond-standard-model interactions are treated in a consistent way. In any case, Penning trap measurements of M[p], M[d], and M[t] and their ratios, and also g-factor measurements for M[e], with sub- $10^{-11}$  fractional uncertainty, are motivated.

### 5.3. Future Developments

Observing that the LIONTRAP and FSU methods have much in common, single-ion cryogenic Penning trap techniques appear to have reached a level of maturity. In the case of the FSU work, the main limitations to precision are still variations in the magnetic field (except when the simultaneous method can be applied), detector noise, and, for light ions, noise on the cyclotron frequency due to fluctuations on the cyclotron radius and special relativity. Although in principle these issues all have technical solutions, e.g., improved magnetic shielding, the use of feedback and the PnA method, the goal of a mass ratio at  $1 \times 10^{-12}$  is still elusive.

It is possible to extend the coupled-magnetron-orbit, simultaneous method to poorer mass-doublets. This can be achieved by applying modulation to the ring-voltage to create sidebands on the axial motion close to the detector resonance. Alternatively, detectors resonant at two axial frequencies could be used. If the ions can be cooled to sub-kelvin temperatures, e.g., with a dilution refrigerator [20] or by sympathetic laser cooling [67], the SR noise and systematic SR shifts could be greatly reduced, but at the cost of greater experimental complexity. In the case of LIONTRAP, SR is somewhat less of an issue due to the lower magnetic field. The uncertainty due to measurement of the axial frequency could be reduced, e.g., using a phase-sensitive method, or working off-resonance to narrow the axial resonance. Perhaps surprisingly, the method of simultaneously measuring  $f_c$  of an ion pair with the two ions in adjacent precision traps, and then swapping them, or using a third ion as a reference [29,31,68,69] has not yet resulted in improved CFR measurements. This technique, combined with colder ions and more sensitive detection methods, could lead to a significant improvement in precision.

For a new  $m_d/m_p$  using  $H_2^+/D^+$ , it would be possible to achieve rovibrational state identification by combining a precision mass measurement trap with a trap used for *g*-factor measurement [23]. This could also be applied to CFRs involving  $D_2^+$ , e.g., for  $D_2^+/^4$ He<sup>+</sup>, and even  $T_2^+$ . There are also opportunities to provide additional cross-checks on light ion masses using mass doublets such as  $DH_2^+/^4$ He<sup>+</sup> and TH<sup>+</sup>/<sup>4</sup>He<sup>+</sup>. Here, since electric-dipole transitions are allowed due to the lack of molecular symmetry, the molecular ions can be assumed to be in the rovibrational ground state.

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