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**Abstract:** In this paper, a three-dimensional heterogenous-integrated (3DHI) wafer-level packaging (WLP) process is proposed, and a radio frequency (RF) front-end module with two independent ultrahigh frequency (UHF) receiving channels are designed and implemented, which covers 400 MHz– 600 MHz and 2050 MHz–2200 MHz respectively for unmanned aerial vehicle (UAV) applications. The module is formed by wafer-to-wafer (W2W) bonding of two high-resistivity silicon (HR-Si) interposers with embedded bare dies and through silicon via (TSV) interconnections. Double-sided deep reactive ion etching (DRIE) and conformal electroplating process are introduced to realize the high-aspect-ratio TSV connection within 290 µm-thick cap interposer. Co-plane waveguide (CPW) transmission lines are fabricated as the process control monitor (PCM), the measured insertion loss of which is less than 0.18 dB/mm at 35 GHz. The designed RF front-end module is fabricated and measured. The measured return loss and gain of each RF channel is better than 13 dB and 21 dB, and the noise figure is less than 1.5 dB. In order to evaluate the capability of the 3DHI process for multi-layer interposers, the module is re-designed and fabricated with four stacked high-resistivity silicon interposers. After W2W bonding of two pairs of interposers and wafer slicing, chip-to chip (C2C) bonding is applied to form a four-layer module with operable temperature gradient.

Keywords: RF front-end; 3D heterogenous integration; wafer level packaging; TSV; interposer

# 1. Introduction

The development of a highly integrated and high-performance RF module based on silicon technologies in recent decades has attracted more and more attention in modern communication systems. To deliver and receive data with different communication modes, multi RF channels are commonly integrated under one package, which increases the complexity and the size of communication systems.

In order to solve this problem, researchers focus on the attempts to integrate multi RF front-end devices into one compact module by using 3D packaging technology. Reference [1] introduces several multi-layer substrates which are commonly chosen for microwave or millimeter-wave 3D packaging process, like high temperature co-fired ceramic (HTCC) [2,3], low temperature co-fired ceramic (LTCC) [4–6], and organic substrate [7,8]. HTCC is often considered to be the lowest cost ceramic technology [9], but it suffers from a significant insertion loss due to the low conductivity of tungsten used as the metal of transmission, especially at mm-wave frequencies. LTCC shows remarkable RF performance from DC to 110 GHz. For example, Carlofelice et al [10] have provided an X-band 3D LTCC TR module for satellite phased-array radars, which is the first time 3D LTCC packaging is applied in space. The main drawback of 3D LTCC is its poor thermal conductivity [11]. This disadvantage also appears on organic substrates. Most importantly, all the processes face the same problems: RF chips can hardly be buried in substrate and stacked on profile direction because of the high temperature used in process flow (which may damage the embedded devices) and the large deviation of shrinking rates among substrate and chips.



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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). However, HR-Si has a reasonable price, compared with ceramic and compound semiconductor. The TSVs and redistribution layers (RDLs) fabricated on HR-Si interposer has high dimensional resolution and extraordinary performance of vertical RF transmission. Furthermore, vertically stacked HR-Si interposers with embedded chips have more advantages in flexible heterogenous integrations than in the traditional heterostructure semiconductor process [12,13]. Moreover, the thermal conductivity of HR-Si is high, which is about one third of copper. Most of the thermal dissipation produced from embedded power devices can be easily transferred through substrate and TSVs [14].

In this paper, an HR-Si based 3DHI WLP process is proposed, and an UHF 3DHI frontend module which is used in unmanned aerial vehicle (UAV) system is presented. The operation frequency of the module covers both the radio location band (400 MHz–600 MHz) and the data transmission band (2050 MHz–2300 MHz). Two interposer-stacking structures are provided to realize the 3DHI module. One is formed by two stacked surface-passivated HR-Si interposers with embedded chips and through silicon via (TSV) interconnections. This multi-layer wafer is diced and measured; after that the cap wafer and bottom wafer with different type of TSVs are bonded. The other one has four stacked HR-Si interposers, for which two double-stacked interposers are diced and stacked again through low-temperature C2C bonding. Besides these, insertion loss and return loss of CPW are measured using the same process. The design and implementation details will be discussed in Sections 2–4.

#### 2. Process Flow of the 3DHI WLP

In order to realize multi-layer vertical stacking of RF chips, a 3DHI WLP process with up to four stacked HR-Si interposers is designed and presented, the structural diagram of which is shown in Figure 1. The four-layer interposer structure with two-layer embedded RF chips can be divided into two assemblies; both assemblies are constructed with one 290  $\mu$ m-thick cap interposer wafer and one 190  $\mu$ m-thick bottom interposer wafer. These two wafers adopt different process flows due to different interposer thickness. The process of each interposer wafer and the assembly of the four-layer interposer structure will be discussed below in detail.



Figure 1. Structural diagram of the 3DHI WLP process with up to 4 stacked HR-Si interposers.

### 2.1. Process of the Bottom Interposer Wafer

Figure 2 shows the cross section of the bottom HR-Si interposer wafer with  $\varphi$ 30  $\mu$ m × 190  $\mu$ m TSV and double-side re-distribution layer (RDL). The fabrication process flow is shown in Figure 3.



(1)(2)(4)(3)**TSV** etching Oxide and barrier deposition TSV plating Cu CMP (8)(6)(7)(5)Front-side RDL Removing photoresist Back thinning and grinding Support wafer bonding (12)(9)(10)(11)

Figure 2. Cross section of the bottom HR-Si interposer wafer.

PI deposition and TSV exposing

Figure 3. Process flow of the bottom HR-Si interposer wafer.

Back-side RDL

The raw 8-inch HR-Si wafers are 710-µm-thick with resistivity above 2000  $\Omega \cdot \text{cm}$ . Based on Bosch process [15], interposer wafer fabrication starts with  $\varphi 30 \ \mu\text{m} \times 200 \ \mu\text{m}$ TSV etching from the front side by using deep reactive ion etching (DRIE). Secondly, TSVs are passivated with SiO2 and followed by Ti/Cu seed layer deposition. Thirdly, TSVs are completely filled with Cu by electroplating and the Cu layer on the front side surface is removed with chemical-mechanical polishing (CMP). After fabricating Cu RDL on the front side, chemical-plating of Ni/Pb/Au is applied on the Cu RDL to support latter wire bonding process. Fourthly, the front side of the interposer wafer is bonded with a support wafer by using temporary adhesive bonding [16]. Fifthly, TSVs are exposed from the backside of the interposer wafer with back-thinning and grinding to 190 µm thick, followed by PI deposition and TSV exposing. Finally, Cu RDL and Ni/Pb/Au plating are formed on the backside of interposer wafer, followed by support wafer debonding and wafer cleaning. The key fabrication parameters of the above process are given in Table 1.

Removing photoresist

Debonding and cleaning

Num in Figure 2	Layer/Via	Material	Thickness (µm)
1	φ30 μm TSV	Cu	190
2	SiO2 (PECVD)	SiO <sub>2</sub>	1.6
3	RDL1	Cu	5
		Ni/Pd/Au	3/0.1/0.05
4	PI	PI	4
5	RDL2	Cu	5
		Ni/Au	3/0.05

Table 1. Process parameters of the bottom interposer wafer.

The critical process of the bottom cap is the metal filling in the high-aspect-ratio TSVs. The common problems faced are unable to start electroplating or leaving voids after electroplating, especially in our TSV whose aspect ratio is larger than 6:1 with 30  $\mu$ m diameter and 190  $\mu$ m depth, which may lead to reliability, hermetic, and electrical issues. Therefore, the plating additives consisting of accelerator, suppressor, leveler, and other processing parameters, and also the plating current density and time, need to be considered carefully to achieve void-free full-via plating. The SEM of our TSVs is shown in Figure 4.



**Figure 4.** The images of the full-via-plated TSVs. (**a**) X-ray image, (**b**) SEM image, (**c**) optical microscope image.

# 2.2. Process of the Cap Interposer Wafer

Commonly, the thickness of the embedded RF chips is 100  $\mu$ m. After chips mounting on the bottom interposer, the height from the chip pads to the interposer is beyond 100  $\mu$ m. To perform wire bonding between them, the height of a  $\varphi$ 25  $\mu$ m gold wire above the chip surface is about 80  $\mu$ m. Therefore, a 290  $\mu$ m-thick cap interposer wafer with 200  $\mu$ m-deep cavities is desired to fulfill the chip embedding and ensure the mechanical strength during the latter W2W bonding process.

Double-side TSV process is presented to realize the ultra-thick silicon interposer. The cross section of the cap interposer wafer is shown in Figure 5. The fabrication process flow is shown in Figure 6.



Figure 5. Cross section of the cap HR-Si interposer wafer.



Figure 6. Process flow of the cap HR-Si interposer wafer.

The Bosch process is applied to etch the  $\phi$ 60 µm × 250 µm TSVs from the front side of a raw HR-Si wafer. Furthermore, the thick TSVs are filled by combing the conformal electroplating process and bottom-up electroplating process. After the front side process finished, the  $\phi$ 50 µm × 40 µm TSVs are etched from the backside with operable overlapping in the same location. The opening window on the insulating layer at the bottom of each front side TSV is completed by DRIE process. Then backside TSVs are filled by using the conformal electroplating. After the Cu/Ni/AgSn RDL plating, the 200 µm-deep cavity is etched from the backside. The thinnest cap structures produced so far had a thickness of 90 µm. Figure 7 shows the SEM images of the conformal-plated TSVs and the cavities. The key fabrication parameters of the above process are given in Table 2.



Figure 7. The SEM images of the cap wafer. (a) conformal-plated TSVs, (b) cavities.

Num in Figure 6	Layer	Material	Thickness (µm)
1	φ60 μm TSV	Cu	250
2	SiO <sub>2</sub> (Thermal oxidation)	SiO <sub>2</sub>	0.1
3	RDL1 -	Cu	5
		Ni/Au	3/0.05
4	φ50 μm TSV	Cu	40
5	SiO <sub>2</sub> (PECVD)	SiO <sub>2</sub>	1
6	RDL2	Cu	5
		Ni	1
		SnAg	5
7	Cavity		200

Table 2. Process parameters of the cap interposer wafer.

(a)

# 2.3. Chip Embedding with W2W Bonding

When all the processes on HR-Si interposer wafer are complete, bare dies are mounted on the front side of the 8-inch bottom interposer wafer by using conducting resin, and wire bonding is applied to connect the chip pad and the interposer with gold wire. Then, the cap interposer wafer is stacked onto the bottom interposer wafer with W2W bonding technology [17], which lasts about 30 mins inside vacuum chamber with temperatures up to 280 °C. Finally, the interface between Cu/Ni/Pb/Au and Cu/Ni/AgSn forms one stable intermetallic compound to create hermetic enclosures for the embedded chips. The SEM images of the wafer bonding interface are shown in Figure 8.



Figure 8. SEM images of the wafer bonding interface. (a)  $1000 \times$  with TSVs, (b)  $4000 \times$  without TSVs.

## 2.4. Assemblies Stacking with C2C Bonding

After W2W packaging, this 8-inch stacked wafer with embedded chips is diced to form hundreds of individual modules. Each module can be measured with the test fixture or be treated as an assembly to stack with another one to build up a four-layer stacked module. This four-layer structure broadens quite remarkably the style of 3DHI systems, increases the design flexibility, and decreases the size of multi-chips modules.

Before one of the double-stacked interposer wafers is diced into assemblies, the wafer is cleaned with standard process and electroplated Sn on one surface. Then, after wafer dicing, two assemblies are stacked again to form a four-layer stacked module by using C2C bonding technology [18], which lasts about 10 min inside vacuum chamber with temperatures up to 220 °C and bond force up to 30 Kg.

## 2.5. Process Verification by PCM Test

In order to assess the RF performance of the provided interposer process, the PCM structure, a 2 mm length CPW with ground on the bottom interposer, is measured on an MPIRF probe station (TS200SE) with Keysight vector network analyzer (N5230C). The PCM test setup and the device under test (DUT) are shown in Figure 9. The 2000  $\Omega$ ·cm HR-Si interposer is placed on the brass chunk of the probe station, which is connected to a DC bias source. A short-open-load-through (SOLT) calibration is done by using MPIRF probes (TITAN GSG-150-µm).



Figure 9. Photos of the PCM test setup and the DUT. (a) Probe station, (b) the CPW.

According to reported experiments [19–22], if any contamination, like mobile particles or ions, adheres on the surface of HR-Si, or material defects exist within the SiO<sub>2</sub>, during the interposer process, a low-resistivity layer is created at the interface between HR-Si and SiO<sub>2</sub>. This layer increases the equivalent dielectric loss of HR-Si interposers and leads to the insertion loss increasement of the CPW. Furthermore, external DC bias between the signal line and the GND region of the CPW structure can control the formation of the low-resistivity layer and affect the degeneration of the insertion loss. Figure 10 shows the measured return loss and the insertion loss of the CPW when the bias voltage is varied in the range between 0 V to +50 V. Even though the attenuation slightly changed, a variation trend cannot be clearly found. All the measured insertion losses show about 0.18 dB/mm at 35 GHz. These experimental results indicate that great RF performance is obtained by the provided interposer process, and the HR-Si interposer has little contamination and few defects which remains similar to high resistivity RF behavior under different bias voltage.



Figure 10. The measured result of PCM structure. (a) Return loss, (b) insertion loss.

#### 3. The Design of Double Layers Stacked RF Module

3.1. System Architecture of the RF Module

Based on the proposed 3DHI WLP process, an RF front-end module applied in UAVs is designed with two stacked interposers. The module has two receiving channels, the link budgets of which are demonstrated in Figure 11. The frequency band of the first RF channel is between 400 MHz and 600 MHz. According to the datasheet of selected low noise amplifiers (LNAs) and switches (SWs), the typical gain of the channel is about 21.2 dB and the noise figure (NF) is about 1.1 dB without considering the loss of the 3DHI package. Meanwhile, the second channel works between 2050 MHz and 2200 MHz. The gain is about 26.1 dB and the NF is about 1.4 dB.



Figure 11. System architecture and link budget of the two channel RF front-end module.

Figure 12 shows the 3D layout rendering of the receiving channel 2 of the double stacked RF module, whose package size is  $8 \text{ mm} \times 5 \text{ mm} \times 0.55 \text{ mm}$ . The exploded view of the 3D layout of the proposed structure is shown in Figure 12b, which consists of four RDL layers and two types of TSVs. The cap interposer structure is made up of RDL1, RDL2, and TSV1 whose fabrication parameters are shown in Table 1. Meanwhile, bottom interposer structure is made up of RDL3, RDL4, and TSV2, whose fabrication parameters are shown in Table 2. The layout simulations of these are introduced below.



Figure 12. 3D layout rendering of the receiving channel 2 of the double stacked RF module.

# 3.2. Simulation of the Key Structures

Figure 13 shows the top view of the module layout. The two LNAs, two SWs, and two decoupling chip capacitors are mounted on the GND pad of RDL3 layer, which are well grounded by TSV arrays. The inputs/outputs of these RF devices are connected with the bottom interposer through gold wire bonding. The 0402 lumped inductor is mounted on the RDL1 layer for the input impedance matching of LNA1. The thickness of this lumped inductance is about  $0.2 \pm 0.02$  mm. In order to prevent increasing the depth of cavities in the cap interposer wafer, the lumped inductance is mounted on the top surface of the module.



Figure 13. Top view of the layout of the double stacked RF front-end module.

Figure 14a shows the simplified model of the key structure for vertical RF connection (Structure A in Figure 13). As shown in Figure 14c, the 3D electromagnetic (EM) simulation provides larger than 30dB return loss and less than 0.1 dB insertion loss from 400 MHz to 2200 MHz.



**Figure 14.** Key RF structures of the module with 3D EM simulation results. (**a**)simplified model of A structure, (**b**) simplified model of B structure, (**c**) simulation of A structure, (**d**) simulation of B structure.

For the horizontal RF connection, 50-ohm CPW lines are used to connect different RF devices like LNAs and SWs (Structure B in Figure 13). Figure 14b shows the simplified 3D EM model. The simulated results of this 1.4mm length transmission line together with gold wires provides larger than 25 dB return loss and less than 0.2 dB insertion loss from 400 MHz to 2200 MHz.

# 3.3. Measured Result

Following the process flow introduced in Section 2, the double stacked RF frontend module is fabricated. Figure 15 shows the 8-inch HR-Si cap and bottom interposer wafers. Figure 16 shows the diced cap and bottom interposer units with mounted RF chips and the X-ray image of the double stacked module. The double stacked package size is  $8 \text{ mm} \times 9 \text{ mm} \times 0.75 \text{ mm}$ .



Figure 15. Cont.



Figure 15. Photos of the 8-inch HR-Si cap and bottom interposer wafers.



**Figure 16.** Photos of the diced bottom and cap interposer units and the X-ray of the double stacked module.

The fabricated 3DHI RF front-end module is tested on an evaluation PCB board at room temperature. RF measurements are performed by using the DC power supply (N6705B) and the Keysight vector network analyzer (N5230C). As shown in Figure 17a, the NF of receiving channel 1 is less than 1.3 dB and the linear gain is larger than 22dB from 400 MHz to 600 MHz. As shown in Figure 17b, the test results of receiving channel 2 show that the NF is less than 1.5 dB and the linear gain is about 26 dB from 2050 MHz to 2200 MHz, which are similar to the link budget.

As shown in Figure 16, two turns of bonding ring are designed both on RDL2 and RDL3 layers to realize gas proof. Helium leak rate measurements, which are based on the specifications described by the MIL-STD standard [23], are applied for hermeticity tests. Excellent helium leak rates of five samples, which are at least five times smaller than the reject limit, are shown in Table 3.

Sample Number	Measured Result (Pa.cm <sup>3</sup> )/s	Reject Limit (Pa.cm <sup>3</sup> )/s	
#01	$9.2 imes10^{-4}$	$-5 \times 10^{-3}$	
#02	$7.7 imes10^{-4}$		
#03	$7.5 imes10^{-4}$		
#04	$6.2 imes10^{-4}$		
#05	$2.2  imes 10^{-4}$		

Table 3. Fine helium leak rate measurements.



**Figure 17.** Measured results of the double stacked 3DHI RF front-end module. (**a**) Test result of channel 1, (**b**) test result of channel 2.

# 4. The Design of Four Layers Stacked RF Module

In order to validate the four-layer stacked process, the above double stacked module is re-designed as a four-layer structure, the layout of which is shown in Figure 18a,b. Same LNAs are embedded within the bottom two interposers. Meanwhile, the same RF switches are embedded within the top two interposers. The photo and the X-ray image of the fabricated four-layer stacked module are shown in Figure 18c,d and Figure 18e,f respectively.



Figure 18. Cont.



**Figure 18.** 4-layer stacked RF front-end module: (**a**) Layout of the bottom two layers, (**b**) layout of the top two layers, (**c**) photo of the bottom unit, (**d**) photo of the top unit, (**e**) X-ray of the bottom unit, (**f**) X-ray of the top unit.

Limited by matching the size of tooling for chip picking, the designed four-layer stacked RF module has the same size comparing to the above double stacked module, which can be further reduced due to the introduced second RF layer. Finally, the four-layer stacked package size is  $8 \text{mm} \times 9 \text{mm} \times 1.1 \text{mm}$ , shown in Figure 19.



Figure 19. The photo and the X-ray image of the 4-layer stacked RF front-end module.

The four-layer stacked RF front-end module is tested on an evaluation PCB board at room temperature. The measured results are shown in Figure 20. For receiving channel 1, the linear gain is 22.5 dB at 400 MHz and 21 dB at 600 MHz, respectively. This is smaller than tested data on double stacked module because of the relatively large insertion loss of the two additional TSVs connecting SWs and LNAs. For receiving channel 2, the linear gain is 25 dB at 2050 MHz and 24.5 dB at 2200 MHz, respectively.



Figure 20. Measured results of the 4-layer stacked 3DHI RF front-end module.

## 5. Conclusions

In this paper, a 3DHI WLP process is proposed, and a RF front-end module with two independent UHF receiving channels are designed and implemented, which covers 400 MHz–600 MHz and 2050 MHz–2200 MHz respectively for UAV applications. The module is formed by W2W bonding of two HR-Si interposers with embedded bare dies and TSV interconnections. Double-sided DRIE and conformal electroplating process are introduced to realize the high-aspect-ratio TSV connection within 290 µm-thick cap interposer. CPW transmission lines are fabricated as the PCM, the measured insertion loss of which is less than 0.18 dB/mm at 35 GHz. The designed RF front-end module is fabricated and measured. The measured return loss and gain of each RF channel is better than 13 dB and 21 dB, and the noise figure is less than 1.5 dB. In order to evaluate the capability of the 3DHI process for multi-layer interposers, the module is re-designed and fabricated with four stacked high-resistivity silicon interposers. After W2W bonding of two pairs of interposers and wafer slicing, C2C bonding is applied to form a four-layer module with operable temperature gradient. This four-layer 3DHI process increases the design flexibility and decreases the size of multi-chips modules.

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