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High Temperature Anti-Friction Behaviors of a-Si:H Films and Counterface Material Selection

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Abstract: In the present paper, the influence of self-mated friction materials on the tribological properties of hydrogenated amorphous silicon films (a-Si:H films) is studied systemically at high temperature. The results are obtained by comparing the tribological properties of a-Si:H films under different friction pair materials and temperatures. The a-Si:H films exhibit super-low friction of 0.07 at a temperature of 600 °C, and ceramic materials are appropriate for anti-friction behaviors of a-Si:H films at high temperature. The results of tribotests and observations of the fundamental friction mechanism show that super-low friction of a-Si:H films and ceramic materials of the friction system are involved in high temperature oxidation; this also applies to the tribochemical reactions of a-Si:H films, steel and iron silicate in open air at elevated temperature in the friction process.

Keywords: hydrogenated amorphous silicon films; high temperature oxidation; super-low friction

1. Introduction

With the rapid development of military, aerospace and industrial robots, equipment have been run under harsh operating conditions such as high temperature. It is well known that the tribological problem is one of the scientific challenges of engineering applications in friction systems under high temperature conditions [1]. Moreover, high temperature evokes tribological properties of machinery parts that are complicated due to the tribological chemistry reaction at high temperature and friction heating [2–4]. Diamond-like carbon (DLC) films have been the subject of intensive studies in recent years and exhibit a number of attractive tribological properties such as super-low friction and DLC films being considered excellent candidate materials for tribological applications below 300 °C [5–7]. In open air, DLC films are confined to the environmental temperature above 300 °C where oxidation occurs. In a previous work, a superlubricity system relating to DLC films at high temperature was proposed. Moreover, the lubricious composite oxides are generated from the interlayer of hydrogenated amorphous silicon films (a-Si:H films) at the interface due to tribochemistry reactions in the friction process [8]. Therefore, it was concluded that a-Si:H films are beneficial to produce lubricious oxides and achieve high temperature super-low friction. It would be expected to resolve high friction problems of high temperature tribology in industrial applications [9,10]. The a-Si:H films were deposited on a steel substrate and the tribological properties of a-Si:H films were investigated under high temperature. The a-Si:H films exhibit super-low friction under high temperature [11].

The tribological properties of materials depends on many factors such as temperature, applied load, sliding velocity, and properties of mating materials. Due to the complexity of friction phenomena in composite oxides, the friction mechanisms are not fully understood. Therefore, it is necessary to build an anti-friction system and probe high temperature anti-friction behaviors of a-Si:H films and clarify high temperature anti-friction and oxidation mechanisms of the a-Si:H film-related friction systems

to extend the wide tribological applications of a-Si:H films under high temperature. The aim of the present work is to investigate the influence of friction-mated materials for high temperature antifriction behavior of a-Si:H films and select appropriate friction-pair materials for a-Si:H films in open air from 200 to 600 °C. High temperature super-low friction and the super-low friction mechanism of a-Si:H films are expected to achieve and clarify the potential engineering applications at high temperature.

2. Experimental Details

2.1. Preparation of a-Si:H Films

The a-Si:H films were deposited on high speed tool steel (HSS) flats in a plasma-enhanced chemical vapor deposition (PECVD) system, with a mix gas of Ar and SiH₄. Ar gas is introduced into a chamber to clean the ambient air for 5 min. Then, SiH₄ gas is used to deposit hydrogenated amorphous silicon films for 2 h. After deposition of films, Ar gas is introduced into the chamber again to clear the reaction gas of SiH₄. The deposition pressure is about 80 Pa. The thickness of the a-Si:H films is 1 μm. The deposition details of hydrogenated amorphous silicon films are given in our previous paper [11].

2.2. Characterization of a-Si:H Films

Raman spectroscopy (HR800, Horiba Jobin Yvon, Villeneuve d'Ascq, France) with 633 nm and a resolution of 1 cm⁻¹ was employed to estimate the microstructure of a-Si:H films. All Raman spectra were measured at room temperature to avoid heating the sample. As expected, the measurement of Fourier Transform Infrared Spectrometry (FTIR, Nicolet iS50, Thermo Fisher Scientific, Madison, WI, USA) was also performed to analyze the microstructure of a-Si:H films.

2.3. High Temperature Tribotests of a-Si:H Films

Tribotests of a-Si:H films were carried out by a high-temperature tribometer (Universal Tribometer, Rtec Instruments, San Jose, CA, USA) to investigate the friction and wear behaviors of a-Si:H films and influence of the friction-pair materials of a steel ball, ZrO₂ ball, Si₃Ni₄ ball and DLC films-coated steel ball on the tribological properties of a-Si:H films at the temperatures of 200, 400 and 600 °C in ambient air. The a-Si:H films-coated disc was slid against the ball at a speed of 0.05 m/s and applied load of 5 N. The friction pairs were cleaned by the ultrasound device in acetone and then blown by dry air before tests and measurements. The microstructure of the worn surface of ball and flat was investigated by Raman spectroscopy within the wear scar zone. Surface morphology of the worn surface of the ball and flat was observed by optical microscopy after tribotests.

3. Results and Discussion

3.1. Raman Spectra and FTIR of a-Si:H Films

Figure 1 shows Raman spectrum of a-Si:H films on a flat. The films exhibit a strong Raman peak at 480 cm⁻¹, showing amorphous silicon, and a weak peak at 220 cm⁻¹, showing hydrogenated amorphous silicon. The band at 2000 cm⁻¹ is assigned to the stretching vibration of Si–H bond and the peak at 630 cm⁻¹ is assigned to the bending vibration of Si–H bond in FTIR. It also indicates there is hydrogen in amorphous silicon films. In the FTIR spectrum of a-Si:H films, the strong bands at 630, 2018 and 2178 cm⁻¹ are the typical and characteristic peaks of a-Si:H films [12]. The band at 630 cm⁻¹ belongs to the Si–H bond. It is also found that the absorption peaks of SiH and SiH₂ are observed occurring to peaks at 2018 and 2178 cm⁻¹. According to FTIR measurements, the obtained a-Si:H films contain lots of hydrogen. There are asymmetric Si–O–Si stretching vibrations at 1040 cm⁻¹ and a Si–O–Si bending mode at 890 cm⁻¹ in FTIR spectrum.

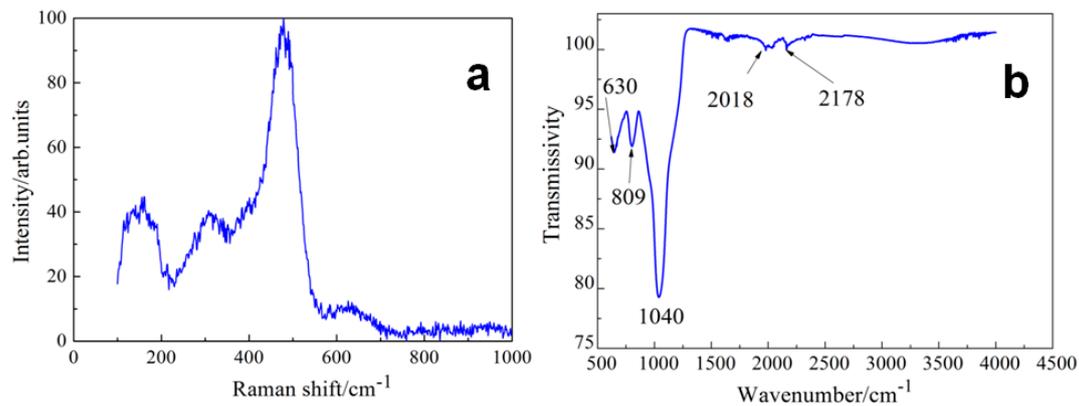


Figure 1. (a) Raman and (b) FTIR spectrum of a-Si:H films.

3.2. Tribological Properties of Steel Ball/a-Si:H Films under Different Temperatures

Figure 2 shows CoF of steel ball/a-Si:H films under different testing temperatures. At 200 °C, initial CoF is 0.4 and fluctuates subsequently to 0.47. At 400 °C, initial CoF is 0.26 and average CoF is 0.36. However, there is a big difference in the CoF curve between 400 and 600 °C. At 600 °C, initial CoF is 0.35 and then drops down to around 0.1 and average CoF is 0.09. It is found that a-Si:H films exhibit excellent high temperature anti-friction behavior at 600 °C.

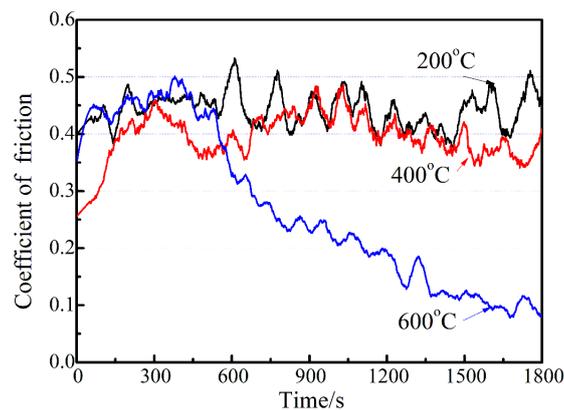


Figure 2. CoF of steel ball/a-Si:H films under different temperatures.

The topography of the worn surfaces was investigated by a non-contact profilometer (CX40M, Sunny Instruments Co., Ltd., Ningbo, China). Figure 3 shows surface topography of the worn surface of ball and flat at 600 °C. The wear scar width on flat is about 824.0 μm and the wear track width of ball is 1436.2 μm at 600 °C. There are lots of black materials on wear scar on disc and wear debris with circle scar on ball. It seems that there are chemical reactions due to environmental high temperature and friction heating and oxidation products on wear scar during sliding.

The a-Si:H films exhibit super-low friction at 600 °C. The structure and component of the worn surface of the friction pair are indispensable to be measured at 600 °C. Figure 4 shows SEM (S-3000N, Hitachi, Tokyo, Japan) image and Raman spectrum of steel ball/a-Si:H films friction pair at 600 °C. Figure 4a shows SEM image of the worn surface of disc. There are some small and circle particles in the wear scar on disc. Figure 4b shows Energy Dispersive X-ray Spectroscopy (EDS, S-3000N, Hitachi) of the worn surface of disc. There are Si, O and Fe elements in the wear scar, which indicates that there are tribological chemistry reactions and maybe SiO_2 and iron oxides after tribotests. Figure 4c shows a typical peak of Fe_3O_4 . The band at 665.2 cm^{-1} is the typical peak of Fe_3O_4 about A_{1g} model. The peak at 537.1 cm^{-1} is the typical peak of Fe_3O_4 for the $T_{2g}(2)$ model and the peak at 291.0 cm^{-1} is the typical peak of Fe_3O_4 about the E_g model. The peak of 1320.2 cm^{-1} is the characteristic peak of

α -Fe₂O₃. Figure 4d shows Raman spectrum of a-Si:H films. The peak at 660.3 cm⁻¹ is the main peak of Fe₃O₄. The band of 660.3 cm⁻¹ is the typical peaks of α -Fe₂O₃, and there are bands at 223.7 cm⁻¹ of α -Fe₂O₃, 290.2 cm⁻¹ of α -Fe₂O₃, 409.7 cm⁻¹ of α -Fe₂O₃ and 611.4 cm⁻¹ of α -Fe₂O₃ [13]. These measurements show these oxides include α -Fe₂O₃ and Fe₃O₄ except for a-Si:H films on the worn surface of ball and flat. The peak at 940 cm⁻¹ is actually the typical peak of Si–O–Si bond stretching. According to Raman measurements and tribotest results, there are iron oxides of α -Fe₂O₃ and Fe₃O₄ on ball and the composite oxides of α -Fe₂O₃ and SiO₂ with few Fe₃O₄ on flat. Therefore, the friction pair is iron oxides of α -Fe₂O₃ and Fe₃O₄ and self-generated composite oxides of α -Fe₂O₃ and SiO₂ on the flat through high temperature oxidation reaction, which results in high temperature super-low friction at the temperature of 600 °C.

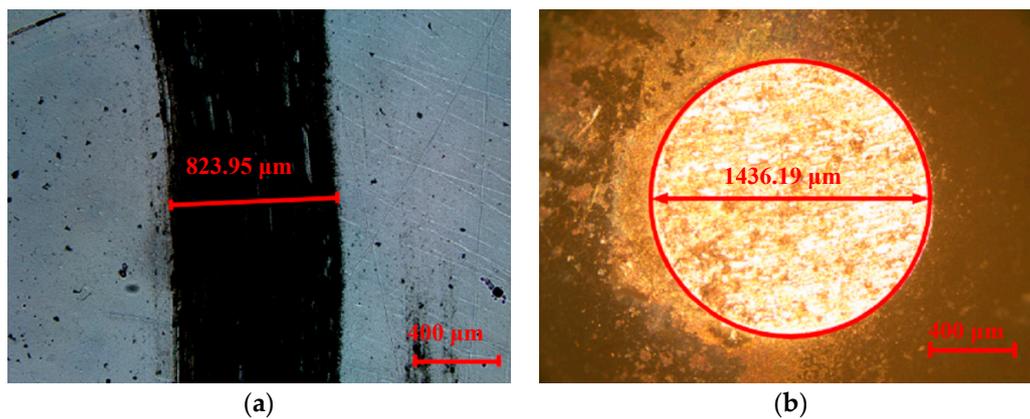


Figure 3. Images of wear scar of steel ball/a-Si:H films at 600 °C: (a) disc; (b) ball.

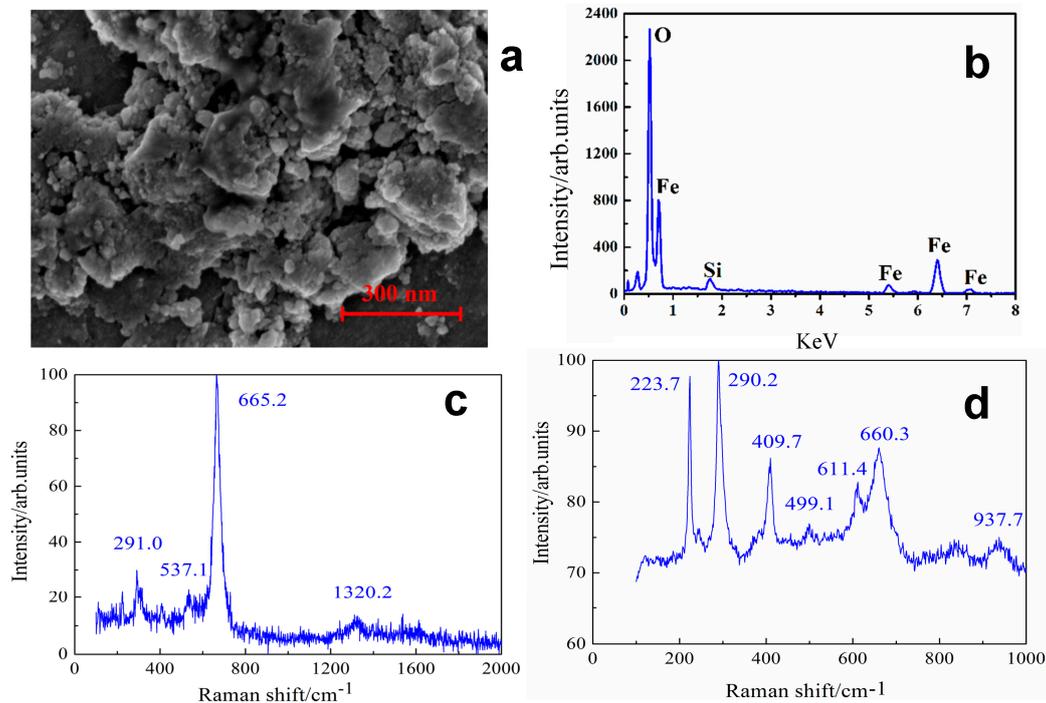


Figure 4. SEM images and EDS of wear scar of disc and Raman spectrum of steel ball/a-Si:H films: (a) SEM images and (b) EDS spectroscopy of wear scar disc at 600 °C. (c) Raman spectrum of wear scar on ball. (d) Raman spectrum of wear scar on disc.

3.3. Tribological Properties of DLC Films on Steel Ball/a-Si:H Films under Different Temperatures

Figure 5 shows CoF of DLC films on steel ball/a-Si:H films under different tribotest temperatures. At 200 °C, initial CoF is 0.1 and fluctuates to 0.38 until the end of tribotest. At 400 °C, initial CoF is 0.49 and then fluctuates to the maximum value of 0.56 and finally CoF decreases to below 0.1 after 1000 s. The average CoF is 0.08. At 600 °C, initial CoF is 0.36 and then goes down slowly to below 0.1 and average CoF is about 0.07. The antifriction behaviors of DLC films/a-Si:H films are different these of steel ball/a-Si:H films, especially in high temperature. At 400 °C, CoF of steel ball/a-Si:H films is low at the initial stage and fluctuates on a stable stage, however, CoF of DLC films/ a-Si:H films is high at the initial stage and then decreases slightly to low CoF after the maximum value. At 600 °C, CoF of steel ball/a-Si:H films reaches the maximum value and decreases slightly to low CoF at a stable stage, however, CoF of DLC films/a-Si:H films decreases to low CoF with the increase of sliding time.

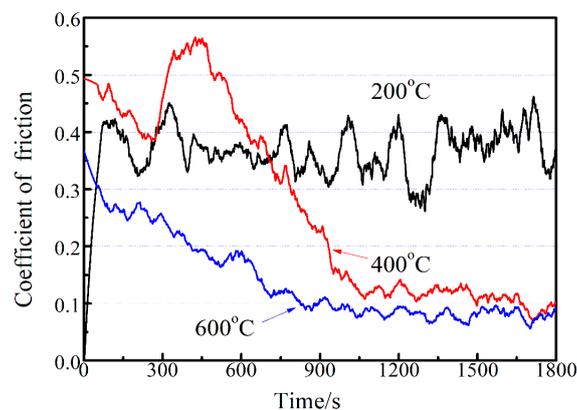


Figure 5. CoF of DLC films on steel ball/a-Si:H films under different temperatures.

Figure 6 shows the surface topography of the worn surface of ball and flat at 600 °C. The wear track width of flat is about 795.4 μm and the wear track width of ball is 1436.19 μm at 600 °C. There are also lots of black materials on the wear scar on the flat, however, there is not only wear debris in the wear scar but also few deep plough grooves in the circle wear scar on ball.

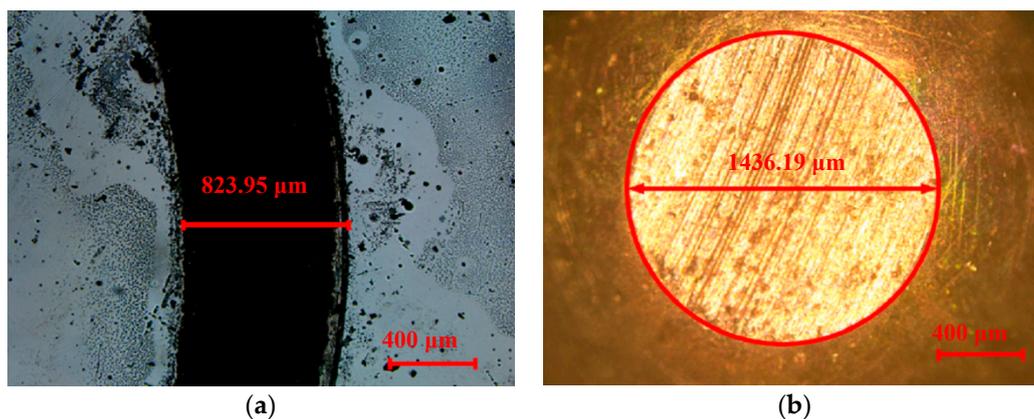


Figure 6. Images of wear scar of DLC films on steel ball/a-Si:H films at 600 °C: (a) disc; (b) ball.

Figure 7 shows Raman spectra of DLC films/a-Si:H films at 600 °C. For ball, the most representative bands of hematite (α phase) are around 228.6 and 295.2 cm^{-1} . The 507.5 cm^{-1} band is due to SiC lattice vibrations that were recorded in the sample. The region of the Raman spectrum in which spectral features associated with carbon inclusions could be expected. The spectrum contains one strongly resolved band (1324.8 cm^{-1}) band that is probably due to carbon present (graphitic phase) at this point in the sample in the sp^3 configuration. The Si–O–Si modes of silicate chains appear at 668.6 cm^{-1} . It

can be seen that transverse (TO) at 1049.6 cm^{-1} Si modes appear weak in the spectra. Figure 7b shows Raman spectrum of the disc. There are bands of 227.9 cm^{-1} of $\alpha\text{-Fe}_2\text{O}_3$ with A_{1g} model, 287.4 cm^{-1} of $\alpha\text{-Fe}_2\text{O}_3$ with $E_g(3)$ model. The temperature produced by the friction heating will result in the oxidation of the steel substrate. Hence Raman spectrum shows significantly intense modes at 287.4 and 405.2 cm^{-1} which correspond to $\alpha\text{-Fe}_2\text{O}_3$, but the Raman mode at 1308.2 cm^{-1} is not affected significantly. According to Raman measurements and tribotest results, there are mainly $\alpha\text{-Fe}_2\text{O}_3$ with few SiC and carbon on ball and the oxides of $\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 on flat. Therefore, the friction pair exhibits better anti-friction behavior than those for DLC films/a-Si:H films at $600\text{ }^\circ\text{C}$ because there are carbon-related materials on the contact surface.

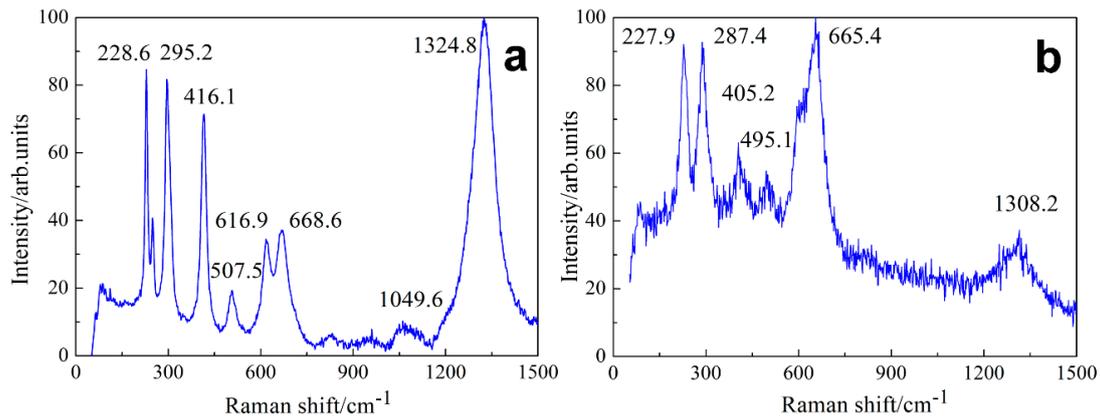


Figure 7. Raman spectrum of wear scar of DLC films/silicon films under different temperatures: (a) ball; (b) disc.

3.4. Tribological Properties of ZrO_2 Ball/a-Si:H Films under Different Temperatures

Figure 8 shows CoF of ZrO_2 ball/a-Si:H films under different tribotesting temperatures. At $200\text{ }^\circ\text{C}$, initial CoF is 0.35 and decreases to around 0.2 at the stable stage. At $400\text{ }^\circ\text{C}$, initial CoF is 0.3 and decreases to around 0.2. The average CoF is 0.2. At $600\text{ }^\circ\text{C}$, initial CoF is 0.22 and then goes down slowly to 0.1 and average CoF is about 0.07. It is found that CoF of ZrO_2 ball/a-Si:H films is relatively low at the initial stage and CoF is also low at the stage comparing other friction pairs, especially at high temperature.

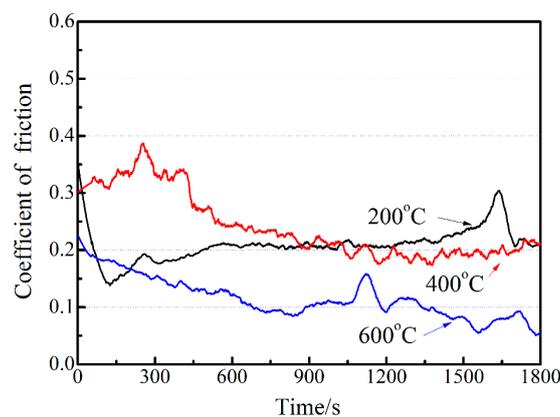


Figure 8. CoF of ZrO_2 ball/a-Si:H films under different temperatures.

3.5. Tribological Properties of Si_3N_4 Ball/a-Si:H Films under Different Temperatures

Figure 9 shows CoF of Si_3N_4 ball/a-Si:H films under different testing temperatures. At $200\text{ }^\circ\text{C}$, initial CoF is 0.3 and decreases to around 0.33 at the stable stage. At $400\text{ }^\circ\text{C}$, initial CoF is 0.3 and decreases to around 0.2. The average CoF is 0.21. At $600\text{ }^\circ\text{C}$, initial CoF is 0.24 and then decreases

slowly to below 0.1 and average CoF is about 0.07. It is found that CoF of Si_3N_4 ball/a-Si:H films is also relatively low at the initial stage and CoF is also low at the stage comparing other friction pairs, especially in high temperature. It means that Si_3N_4 is suitable for using as frictional mated material for a-Si:H films and the friction pair exhibits lower than that of ZrO_2 ball, which is important to get super-low friction and high wear-resistance.

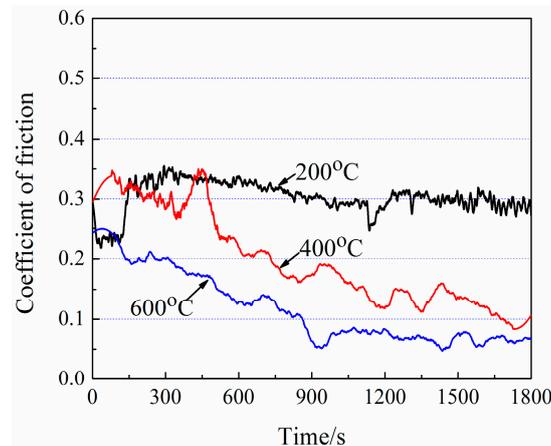


Figure 9. CoF of Si_3N_4 ball/a-Si:H films under different temperatures.

Figure 10 shows surface topography of the worn surface on ball and flat under different temperatures. The wear scar width of flat is about $775.7 \mu\text{m}$ and the wear scar width of ball is $889.5 \mu\text{m}$ at 600°C . It is found that the width of Si_3N_4 ball/a-Si:H films is low. There are some black materials on the wear scar on flat and there are wide plough grooves in wear scar of ball; it seems that the ball surface looks smooth and few wear debris, which means that the iron oxides adhered hardly to Si_3N_4 ball and reduce the friction and adhesion.

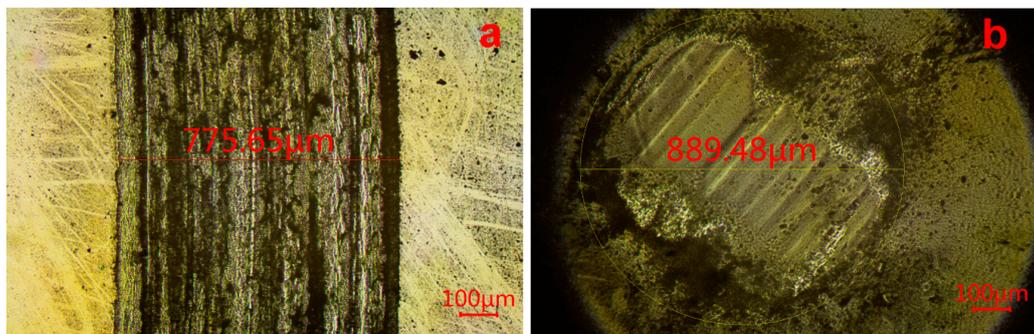


Figure 10. Images of wear scar of Si_3N_4 ball/a-Si:H films at 600°C : (a) a-Si:H; (b) ball.

Figure 11 shows Raman spectrum of Si_3N_4 ball/a-Si:H films at 600°C . Note the low frequency mode at 182.0 cm^{-1} because this is an external vibration model of Si_3N_4 . The shifts of the bands at 182 , 203 , 861.8 and 926.7 cm^{-1} have been observed. These bands are the characteristic bands of Si_3N_4 . The bands in the low frequency range at 615.8 and 658.8 cm^{-1} are associated with the overlapping of the symmetrical stretching vibrations and the bending vibrations of Si–O–Si bonds of silicate chains. Figure 11b shows the Raman spectrum of a flat. There are bands of 225.9 cm^{-1} of $\alpha\text{-Fe}_2\text{O}_3$ with A_{1g} model, 291.9 cm^{-1} of $\alpha\text{-Fe}_2\text{O}_3$ with $E_g(3)$ model. Therefore, the Raman spectrum shows a significantly intense mode at 409.9 cm^{-1} which corresponds to $\alpha\text{-Fe}_2\text{O}_3$. According to Raman measurements and tribotest results, there is mainly Si_3N_4 or few $\alpha\text{-Fe}_2\text{O}_3$ on ball and the composite oxide of $\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 on the flat. The friction pair exhibits better anti-friction behaviors than those for Si_3N_4 ball/a-Si:H films at 600°C .

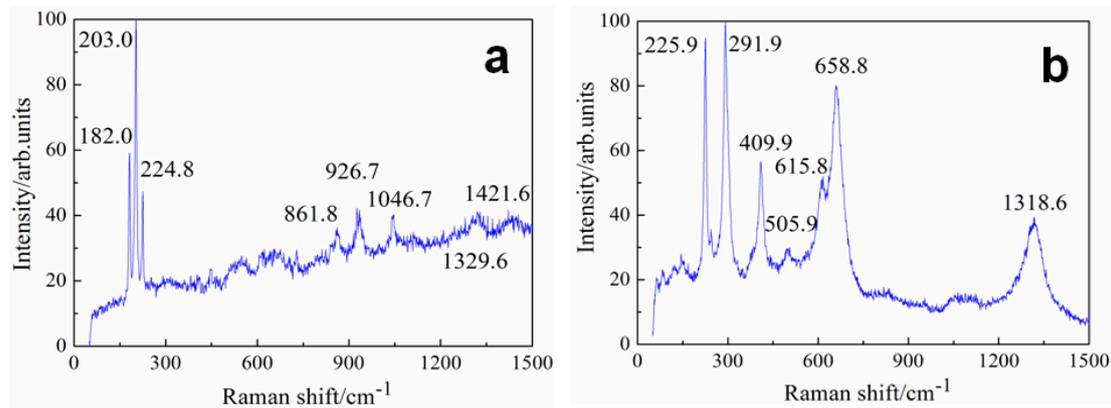


Figure 11. Raman spectrum of wear scar of $\text{Si}_3\text{N}_4/\text{a-Si:H}$ films under different temperatures: (a) ball; (b) disc.

3.6. High Temperature Anti-Friction Mechanism of *a-Si:H* Films

Figure 12 shows the CoF of different friction pair materials and *a-Si:H* films under different tribotest temperatures. At 200 °C, CoF is very high (around 0.5) during high temperature tribotests for steel ball and DLC films on steel ball sliding against *a-Si:H* films. However, CoF is relatively low (around 0.2) for Si_3N_4 and ZrO_2 balls sliding against *a-Si:H* films. The reason maybe that the difference in hardness of the friction pair is low. When the temperature increases to 400 °C, DLC films started to be graphitized, even oxidized and the steel was oxidized with oxygen and water vapor under high contact pressure and high temperature conditions, especially for *a-Si:H* films on the ball contacting with the flat all the time and resulting in high flash temperature during friction and wear tests. There are mainly iron oxides at 400 °C, thus, CoF is low at the initial and stable stage. At 600 °C, there is $\alpha\text{-Fe}_2\text{O}_3$ on the contact surface, and CoF is low even in the stable stage. There are iron oxides on the ball before the friction test and there are composite oxides of $\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 on flat, therefore, the friction pair is $\alpha\text{-Fe}_2\text{O}_3/\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 , which results in high temperature super-low friction [14]. There are self-generated composite oxides of $\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 on flat surfaces before the tribotest due to a high temperature oxidation reaction at 600 °C. For steel ball, DLC films on ball and Si_3N_4 ball, CoF decreases with an increase in temperatures. For ZrO_2 ball, CoF is almost the same below 600 °C, and then decreases to super-low friction at 600 °C. It is shown that Si_3N_4 is suitable for hydrogenated amorphous silicon films according to tribotest results and Raman observations. This is because CoF is higher for ZrO_2 ball (0.21) than for Si_3N_4 ball (0.09) at 400 °C and CoF is the same for ZrO_2 ball (0.07) than for Si_3N_4 ball (0.07) at 600 °C. Moreover, CoF is higher for steel ball (0.36) than and almost same for DLC ball (0.08) for Si_3N_4 ball (0.09) at 400 °C, and CoF is almost same for steel ball (0.09) and DLC ball (0.07) as for Si_3N_4 ball (0.07) at 600 °C. Raman measurements show that oxygen reacts with the steel surface and *a-Si:H* films during the tribological process and produces complex oxide films, which are composed of $\alpha\text{-Fe}_2\text{O}_3$ and SiO_2 on flat. However, not all tribological chemistry reaction products in this tribological process can be employed to improve high temperature anti-friction behaviors. There are different oxidation products due to tribological chemistry on the ball. There are $\alpha\text{-Fe}_2\text{O}_3$ and Fe_3O_4 , $\alpha\text{-Fe}_2\text{O}_3$ and SiC , $\alpha\text{-Fe}_2\text{O}_3$ and ZrO_2 and $\alpha\text{-Fe}_2\text{O}_3$ and Si_3N_4 on ball for steel ball, DLC films, ZrO_2 and Si_3N_4 ball respectively [15]. The friction pair exhibits high temperature super-low friction when there are hard materials such as Si_3N_4 and soft material such as $\alpha\text{-Fe}_2\text{O}_3$, which is important for the achievement of super-low friction of the friction system.

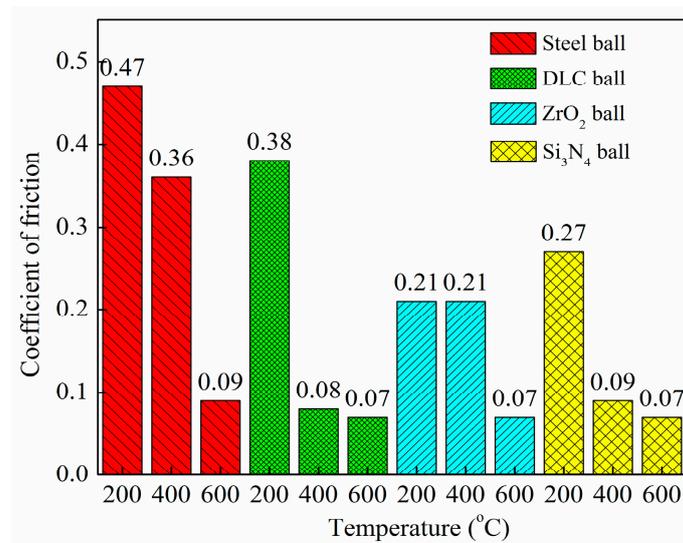


Figure 12. CoF of different friction pair materials and temperatures.

4. Conclusions

The effect of the friction pair materials on the antifriction behaviors of a-Si:H films is investigated under high temperature in open air and super-low friction mechanism of a-Si:H films-related friction system under high temperature is also discussed in the present paper. Conclusions can be summarized as follows.

- CoF of the friction system decreases from 200 to 600 °C independent of the friction pair materials. CoF of the friction system is as low as 0.07 at the stable stage at 600 °C in ambient air. The friction system exhibits excellent high temperature anti-friction behavior.
- The initial CoF is high for steel ball and DLC films on steel ball and low for ceramic ball due to high thermal stability of ceramic materials in ambient air event at 600 °C. The ceramic materials are suitable for tribological applications under high temperature due to the stable and initial low CoF of the friction system.
- Super-low friction of the friction system at the temperature of 600 °C is achieved independent of the friction pair materials. Moreover, Si₃N₄ is appropriate for a-Si:H films at a wide temperature, especially in high temperatures.
- Super-low friction of the a-Si:H films-related friction system is attributed to high temperature oxidation of a-Si:H films and the metal substrate and the tribochemical products including iron oxides and adhered to surface of ball. The tribochemical reaction generated between the contact surface and oxygen during sliding is beneficial to high temperature antifriction behaviors of hydrogenated amorphous silicon films.

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