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# Thin Film Fabrication and Characterization of Layered Rock Salt LiCoO<sub>2</sub> on Quartz Glass Spray-Coated with an Aqueous Ammonia Solution Involving Metal Acetates

# Philipus N. Hishimone<sup>1</sup>, Kenta Watarai<sup>2</sup>, Hiroki Nagai<sup>2</sup> and Mitsunobu Sato<sup>2,\*</sup>

- <sup>1</sup> Applied Chemistry and Chemical Engineering Program, Graduate School, Kogakuin University, 2665-1 Nakano, Hachioji, Tokyo 192-0015, Japan; bd16002@ns.kogakuin.ac.jp
- <sup>2</sup> Department of Applied Physics, School of Advanced Engineering, Kogakuin University, 2665-1 Nakano, Hachioji, Tokyo 192-0015, Japan; s415050@ns.kogakuin.ac.jp (K.W.); nagai@cc.kogakuin.ac.jp (H.N.)
- \* Correspondence: lccsato@cc.kogakuin.ac.jp; Tel.: +81-42673-1492

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Abstract: A LiCoO<sub>2</sub> thin film on a quartz glass substrate was fabricated by a wet process involving heat treatment of a precursor film spray-coated with an aqueous ammonia solution containing LiCH<sub>3</sub>COO and Co(CH<sub>3</sub>COO)<sub>2</sub>. The precursor film formed onto the substrate at 180 °C in air, and was heat treated at 500 °C in air for 0.5 h. The obtained film was spin-coated further with an ethanol-based precursor solution containing identical metal acetates, and heat treated at 500 °C in air for 0.5 h. The X-ray diffraction pattern of the resultant film showed only peaks assignable to the layered-rock-salt LiCoO<sub>2</sub>. Raman spectroscopy measurements revealed vibrational modes assignable to layered rock salt LiCoO<sub>2</sub>, with minor content of less than 5 mol% of spinel-type Co<sub>3</sub>O<sub>4</sub>. The field emission scanning electron microscopy images indicated that the resultant film was 0.21 µm thick, had no voids, and was a combination of small rounded grains measuring 18 nm in diameter and hexagonal grains larger than 0.2 µm in length. The Hall effect measurements indicated that the resultant thin film was a *p*-type semiconductor with electrical resistivity of 35(2)  $\Omega$ ·cm and a carrier concentration and carrier mobility of 8(2) × 10<sup>16</sup> cm<sup>-3</sup> and 2(1) cm<sup>2</sup>·V<sup>-1</sup>·s<sup>-1</sup>, respectively.

Keywords: layered rock salt LiCoO2; aqueous solution; spray-coating

## 1. Introduction

Layered rock salt LiCoO<sub>2</sub> (LCO) has been extensively studied and successfully applied as an Li intercalation compound in lithium-ion batteries (LIBs), due to its high specific energy-density and structural stability that promotes extended battery cyclability [1–6]. LIBs with electrodes that employ thin films of active materials, including LCO, are preferred over their bulk counterparts due to the much improved electronic and ionic conductivity, increased specific surface area, and ease of controlling the morphology. Additionally, the thin film electrodes are free from impurities such as binders and carbon fillers used in the fabrication of bulk electrodes.

Techniques such as magnetron sputtering and pulsed laser deposition (PLD) are capable of depositing high quality thin films of LCO [7,8]. However, they are associated with expensive and complicated experimental setups that require ultra-high vacuum systems. On the other hand, thin films of LCO have been fabricated via the sol-gel method [3,9–11] at high temperatures and prolonged annealing conditions, which are likely to lead to moderate lithium loss and compromise the electrochemical properties of the materials [3,12].



Recently, our group reported the fabrication of a novel thin film lithium-ion battery (LIB) that can be charged by light irradiation, thus functioning as a photovoltaic LIB (PV-LIB) [13]. The cathodic active material for this PV-LIB was a thin film of LCO deposited on fluorinated tin oxide (FTO) pre-coated glass substrate by the molecular precursor method (MPM). The MPM is an effective wet chemical process that was developed by the current authors for fabricating nanocrystalline thin films of metals and various metal oxides and phosphates [13–20]. The MPM is based on the design of metal complexes in coating solutions, offering many practical advantages, such as excellent stability, homogeneity, miscibility, and coatability. Very recently, our group fabricated a thin film of LCO on a (0001) oriented sapphire substrate by heat treating a spin-coated precursor film at 550 °C for 0.5 h in air, which is at least 300 °C lower than the annealing temperatures reported for the sol-gel method [21]. However, the LCO thin films were only successfully deposited on crystallized materials such as FTO and phase-oriented sapphire, because spinel-type  $Co_3O_4$  ( $Co_3O_4$ ) was preferentially formed on a quartz glass substrate.

Aqueous precursor solutions were successfully developed in our recent studies [22,23], and these solutions can be easily applied for the fabrication of thin films via spray-coating under ambient conditions. The studies involved the fabrication of a carbonate-containing apatite film deposited on a Ti substrate and a highly conductive and well-adhered thin film of copper on a quartz glass substrate. The spray-coating method has thus emerged as a coating technique of choice due to its relatively simple and inexpensive instrumentation setup and reduced material losses [24]. The method is also ideal to scale up for mass production.

The fabrication of LCO thin films on quartz glass substrate via a wet chemical process is quite limited, as mentioned in the case of MPM. In this study, we attempted to fabricate and characterize an LCO thin film on a quartz glass substrate by heat-treating a spray-coated precursor film using an aqueous precursor solution, and the results are presented here.

#### 2. Materials and Methods

#### 2.1. Materials

Lithium acetate dihydrate and 2-propanol were purchased from Kanto Chemical Co., Inc. (Tokyo, Japan). Cobalt(II) acetate tetrahydrate, butylamine, and 4A molecular sieves were purchased from Wako Pure Chemical Industries, Ltd. (Osaka, Japan). Powders of layered rock salt lithium cobalt (III) oxide (99.8%) and spinel-type cobalt (II, III) oxide (99.995%) as references of Raman spectra were purchased from Sigma-Aldrich Co. (St. Louis, MO, USA). Ethanol was purchased from Ueno Chemical Industries, Ltd. (Osaka, Japan), and was dried with 4A molecular sieves before use. Concentrated aqueous ammonia (NH<sub>4</sub>OH, 28 wt.%) was purchased from Taisei Chemical Co. Ltd. (Tokyo, Japan). Deionized water was purchased from Kyoei Co. Ltd. (Chiba, Japan). All chemicals were used without further purification. Quartz glass substrate with dimensions  $100 \times 1.6 \text{ mm}^3$  (purchased from Akishima Glass Co., Ltd., Tokyo, Japan) was cut into  $20 \times 20 \text{ mm}^2$  pieces and ultrasonically cleaned with a water–detergent mixture for 0.5 h, then rinsed several times with deionized water and finally with 2-propanol.

#### 2.2. Preparation of Coating Solutions

Two coating solutions with different solvents were prepared as follows: the coating solution  $S_{aq}$  was prepared by mixing lithium acetate dihydrate (0.12 g, 1.21 mmol) with cobalt(II) acetate tetrahydrate (0.30 g, 1.21 mmol) in 10 g of deionized water, followed by the addition of 28% NH<sub>4</sub>OH (1.65 g, 24.20 mmol NH<sub>3</sub>). The mixture was then stirred with a magnetic stirrer for 1 h at room temperature.

The coating solution  $S_{EtOH}$  was prepared according to the procedure reported in [13], with a slight modification [21]: first, a lithium oxide precursor solution ( $S_{Li}$ ) was prepared by reacting 0.64 g (6.2 mmol) of lithium acetate dihydrate and 1.83 g (25.0 mmol) of butylamine in 10 g of ethanol by

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stirring for 1 h at room temperature. The concentration of Li<sup>+</sup> ions was adjusted to 0.50 mmol·g<sup>-1</sup>, then 1.47 g of 4A molecular sieves was added to the solution. Second, the cobalt oxide precursor solution ( $S_{Co}$ ) was prepared by reacting 1.90 g (7.6 mmol) of cobalt(II) acetate tetrahydrate and 3.35 g (45.7 mmol) of butylamine in 10 g of ethanol by stirring for 1 h at room temperature. The concentration of Co<sup>2+</sup> ions was adjusted to 0.50 mmol·g<sup>-1</sup>, then 3.63 g of 4A molecular sieves was added to the solution. Finally, the  $S_{EtOH}$  coating solution was prepared by mixing 0.50 g each of  $S_{Li}$  and  $S_{Co}$ . The mixed solution was stirred at ambient temperature for 20 min, and 0.16 g of 4A molecular sieves was added.

All the solutions prepared above were used with no further modifications.

## 2.3. Thin Film Fabrication by Spray-Coating, Spin-Coating, and Heat Treating

The spray-coating onto a 20  $\times$  20 mm<sup>2</sup> quartz glass substrate preheated to 180 °C was performed separately using newly prepared S<sub>aq</sub>, with identical parameters to those used in our previous work [23]. Using 3.0 g of the solution, S<sub>aq</sub> was spray-coated onto the preheated substrate by spraying for 5 s at 20 s intervals to allow the temperature of the substrate to recover. During the spray-coating procedure, the temperature of the substrate was in the range of 180–150 °C. The obtained precursor film, denoted as F<sub>as-sprayed</sub>, was then heat treated at 500 °C for 0.5 h in air. The resultant film is denoted as F<sub>spray</sub>.

A precursor film of LiCoO<sub>2</sub> was formed onto a  $20 \times 20 \text{ mm}^2$  quartz glass substrate by spin-coating 100 µL of the S<sub>EtOH</sub>. The spin-coating was done at ambient temperature and pressure via a two-step process: the first step was carried out at 500 rpm for 5 s, and the second step at 2000 rpm for 30 s. The precursor film was then heat treated at 500 °C for 0.5 h in air. The resultant film is denoted as F<sub>spin</sub>.

Another film was fabricated by spin-coating 100  $\mu$ L of S<sub>EtOH</sub> onto the F<sub>spray</sub> film. A two-step process identical to the one mentioned above was employed for the spin-coating. The spin-coated film that formed on top of the F<sub>spray</sub> film was then heat treated at 500 °C for 0.5 h in air. The resultant film is denoted as F<sub>ss</sub>.

#### 2.4. Measurements

## 2.4.1. UV-Vis Absorption Spectra of Saq and SEtOH

The absorption spectra of two precursor solutions were measured in the range of 300 to 800 with a double beam mode, using a Hitachi U-2800 spectrophotometer (Hitachi, Tokyo, Japan). The final concentration of metal ions in the precursor solutions was adjusted to 4  $\mu$ mol·g<sup>-1</sup>. Water and ethanol were used as the references for S<sub>aq</sub> and S<sub>EtOH</sub>, respectively.

#### 2.4.2. Structural Characterization of the Thin Films

## X-Ray Diffraction (XRD)

The crystal structures of all thin films were determined by means of XRD using a SmartLab X-ray diffractometer (Rigaku, Tokyo, Japan) with Cu K $\alpha$  radiation source at a power of 45 kV and 200 mA. Parallel beam optics at an incidence angle 0.3° were used in the 2 $\theta$  range of 10–80°, scanning at 0.05° step width and a speed of 5° min<sup>-1</sup>.

#### Raman Spectroscopy

The Raman spectra of  $F_{spray}$  and  $F_{ss}$  were measured at room temperature under dark conditions using the LaBRAM HR800 Raman microspectrometer (Horiba, Kyoto, Japan) with a charge coupled device (CCD) detector. An Nd:YAG laser (532 nm) was used as the excitation source, with an intensity of 10 mW and exposure time of 180 s. The spectra were measured in back-scattering geometry. The resolution was circa 1 cm<sup>-1</sup> and the spot size of the laser light was 100  $\mu$ m<sup>2</sup>. The content of Co<sub>3</sub>O<sub>4</sub> in the F<sub>spray</sub> and F<sub>ss</sub> films was determined using a calibration curve obtained from the peak area of the Raman spectrum for the mixture of pure LCO and Co<sub>3</sub>O<sub>4</sub> crystal powders [21]. 2.4.3. Surface Morphologies and Electrical Properties of F<sub>spray</sub> and F<sub>ss</sub>

Field Emission Scanning Electron Microscopy (FE-SEM)

The surface morphologies of  $F_{spray}$  and  $F_{ss}$  were observed by FE-SEM using a JSM-6701F (JEOL, Tokyo, Japan) at an accelerating voltage of 5 kV. The average grain sizes of the smallest observable grains on the FE-SEM image of  $F_{spray}$  and  $F_{ss}$  were calculated from ten different grains, randomly selected on the FE-SEM image of the corresponding thin film.

Film Thickness and Hall Effect Measurements

The film thickness of  $F_{spray}$  and  $F_{ss}$  was measured by a stylus profilometer (DEKTAK-3, Sloan Technology, Santa Barbara, CA, USA). Prior to coating, a portion of about 3 mm on the substrate edge was masked to leave an uncoated area. Thus, the thickness of  $F_{spray}$  and  $F_{ss}$  was measured as the level difference between the uncoated part of the substrate and the thin film.

The electrical resistivity, carrier concentration, and carrier mobility of  $F_{spray}$  and  $F_{ss}$  were measured at ambient temperature using an HL5500 Hall effect measurement system (Nanometrics, Milpitas, CA, USA) using a magnetic field of 0.517 T. The measurements were performed three times, and the average and standard deviation values were obtained.

#### 3. Results

## 3.1. UV–Vis Absorption Spectra of $S_{aq}$ and $S_{EtOH}$

The UV–Vis absorption spectra of the two precursor solutions are shown in Figure 1. The spectra of  $S_{aq}$  and  $S_{EtOH}$  show broad peaks at around 520 and 580 nm, respectively.



Figure 1. Absorption spectra of  $S_{aq}$  (dashed line) and  $S_{EtOH}$  (solid line).

#### 3.2. Structural Characterization of the Thin Films

#### 3.2.1. XRD

The XRD patterns of all films obtained in the present study are shown in Figure 2. The XRD pattern of  $F_{as-sprayed}$  indicates that the film is amorphous (Figure 2a), because only a hollow band can be observed in the 2 $\theta$  range of 15–35°. On the other hand, in the XRD pattern of  $F_{spin}$ , only peaks assignable to the (111), (220), (311), (400), (511), and (440) crystal phase of the Co<sub>3</sub>O<sub>4</sub> appeared at 19.1°, 31.3°, 37.0°, 45.0°, 59.7°, and 65.3°, respectively (ICDD card No. 01-073-1701). Figure 2b shows the XRD patterns of  $F_{spray}$  and  $F_{ss}$ . For both thin films, nine peaks at 19.0°, 37.4°, 39.3°, 45.2°, 49.5°, 59.6°, 65.6°, 66.6°, and 69.7° are assignable to the (003), (101), (012), (104), (015), (107), (018), (110),

and (113) crystal phases of LCO, respectively (ICDD card No. 00-050-0653). In the identical patterns, the additional two peaks at  $31.5^{\circ}$  and  $37.1^{\circ}$  are assignable to the (220) and (311) crystal phases of the Co<sub>3</sub>O<sub>4</sub>, respectively (ICDD card No. 01-073-1701).



**Figure 2.** (a) X-ray diffraction (XRD) patterns of  $F_{as-sprayed}$  and  $F_{spin}$ . (b) XRD patterns of  $F_{spray}$  and  $F_{ss}$ . Peaks assignable to LCO and Co<sub>3</sub>O<sub>4</sub> are denoted by  $\Diamond$  and  $\blacklozenge$ , respectively.

## 3.2.2. Raman Spectroscopy

The Raman spectra of  $F_{spray}$  and  $F_{ss}$  are given in Figure 3. Two peaks at 485 and 595 cm<sup>-1</sup> in both spectra are assignable to the LCO [3,25,26]. The additional broad peak at 1169 cm<sup>-1</sup> is assignable to the overtone of the  $A_{1g}$  mode of the LCO [27]. Four additional peaks assignable to the vibrational modes of  $Co_3O_4$  are observed in both spectra at 194, 522, 619, and 690 cm<sup>-1</sup> [28]. Using the calibration curve, the content of the  $Co_3O_4$  phase in both thin films was determined to be less than 5 mol%.



**Figure 3.** Raman spectra of  $F_{spray}$  and  $F_{ss}$ . Peaks assignable to the vibration modes for LCO and  $Co_3O_4$  are represented by  $\Diamond$  and  $\blacklozenge$ , respectively.

## 3.3. Surface Morphologies and Electrical Properties of F<sub>spray</sub> and F<sub>ss</sub>

The surface morphologies of  $F_{spray}$  and  $F_{ss}$  are given in Figure 4. On the surface of  $F_{spray}$ , a plurality of uniformly distributed cylindrical grains up to circa 0.1  $\mu$ m in size and smaller rounded

grains with a minimum average grain size of 31 nm can be observed. The surface morphology of  $F_{ss}$  reveals a combination of round grains with an average grain size of 18 nm, on top of extruding large and elongated grains circa 0.2  $\mu$ m in length.



**Figure 4.** Field electron scanning electron microscope (FE-SEM) images showing surface morphologies of (**a**) F<sub>spray</sub> and (**b**) F<sub>ss</sub>.

The thicknesses and electrical properties of  $F_{spray}$  and  $F_{ss}$  are given in Table 1. The average values are given along with their corresponding standard deviations.

Thin Film	Thickness (nm)	Electrical Resistivity (Ω·cm)	Carrier Concentration (cm <sup>-3</sup> )	Carrier Mobility $(cm^2 \cdot V^{-1} \cdot s^{-1})$
F <sub>spray</sub>	120	$>3  imes 10^6$	_	_
$\hat{F}_{ss}$	210	35(2)	$8(2) \times 10^{16}$	2(1)

Table 1. Thickness and electrical resistivity, carrier concentration, and carrier mobility of F<sub>sprav</sub> and F<sub>ss</sub>.

Standard deviations are given in parentheses (n = 3).

## 4. Discussion

#### 4.1. Stable Aqueous Solution for LCO Thin Film Fabrication

Aqueous coating solutions containing lithium and cobalt acetates, nitrates, or hydroxides have been utilized in the preparation of gels [29] and LCO precipitates [30] in order to fabricate LCO thin films and powders. In those cases, the LCO phases were obtained after annealing at 550 °C for 10 h and 850 °C for 5 h, respectively. In the present study, an aqueous ammonia solution  $S_{aq}$  containing both lithium and cobalt acetates was newly prepared and used to spray-coat onto a quartz glass preheated at 180 °C in air. The broad absorption peaks in the absorption spectra of  $S_{aq}$  and  $S_{EtOH}$  (Figure 1) are assignable to the d–d band of Co<sup>2+</sup> complexes. The solution  $S_{aq}$  was stable and could be easily used without forming any precipitate or clogging the nozzle of the airbrush. Additionally, the solution was suitable for coating a uniform precursor film onto a preheated quartz glass substrate with no powdery product. By heat treating the precursor film at 500 °C for 0.5 h, a thin film of LCO was easily obtained as the major product (Figures 2, 3 and 4a).

In addition, not only were the annealing conditions used in the present study lower in comparison to other studies available in the literature, but the LCO was easily fabricated on the quartz glass substrate. To the best of our knowledge, this is the first report on the fabrication of an LCO thin film on a quartz glass substrate by a wet chemical process.

#### 4.2. Fabrication of LCO on Quartz Glass Substrate via Spray-Coating

It is generally suggested that the nature of the substrate is vital in determining the microstructure and surface morphology of the thin film deposited on the substrate [31]. For example, in the fabrication of LCO thin films by the sol-gel method, the annealing temperatures and heating times depend on the crystalline substrates, an Si substrate (800 °C, 0.5 h) or a (0001) oriented sapphire substrate (700 °C, 5 h) [9,10]. Very recently, it was clarified that an LCO thin film on a (0001) oriented sapphire substrate can be fabricated at a lower annealing temperature of 550 °C for 0.5 h via the MPM, in contrast to the sol-gel method [21]. The LCO formation at low annealing temperature in the MPM is attributable to the fast appearance of fine LCO crystals associated with the simultaneous nucleation of metal oxides and elimination of ligands during the heat treatment procedure [14,21], and is a vital benefit of the MPM over other wet chemical methods such as the sol-gel method.

It is important to note that in all of the previous studies employing wet chemical processes, crystalline substrates were used. In the present study, the LCO on a quartz glass substrate was fabricated by the spray-coating technique. It can be accepted that, during the spray-coating procedure with a preheated substrate in a temperature range of 150–180 °C, evaporation of the solvent in the vicinity of the hot substrate is accompanied by the formation of very fine, rigid, and concentrated precursors with the Li/Co ratio of LCO. The layer-by-layer accumulation of these LCO precursors continues during the subsequent spraying cycles, thus forming an amorphous precursor film on the quartz substrate. In fact, the  $F_{as-sprayed}$  film before the heat treatment at 500 °C showed no peak in its XRD pattern (Figure 2a). This accumulation process of the LCO precursor film by low-temperature spray-coating can prevent undesirable reactions between Li<sup>+</sup> ion and the quartz glass substrate, because the precursor film spin-coated with  $S_{EtOH}$  cannot be converted to the LCO film due to the reaction of Li<sup>+</sup> ion with the quartz glass, leading to the formation of a film with Co<sub>3</sub>O<sub>4</sub> crystal phase only, as shown by the XRD pattern of  $F_{spin}$  in Figure 2a.

The evaporation of solvent and deposition of fine and dry precursors make up the basic mechanism of the spray-deposition method [22,32]. In the case of molecular precursor solutions involving metal complexes, the accompanying elimination of ligands, ammonia in this case, plays an important role in forming amorphous precursor films of the desired compound, LCO, in the Li/Co ratio of 1. Therefore, heat treating at 500 °C for 0.5 h readily transforms the amorphous LCO phase into the LCO one, without a detectable presence of the low-temperature spinel-type LCO (Figure 3). In addition, the surface morphology of the resultant film (Figure 4a) shows grains formed on top of each other, with a minimum observable grain size of 31 nm. This is in agreement with the proposed formation of rigid and fine particles of amorphous LCO during the spray-coating procedure.

#### 4.3. Versatility of MPM in Fabricating an Electrically Conductive Thin Film of LCO on a Quartz Glass Substrate

Generally, the electrical conductivity of LCO can be promoted by the diffusion of lithium ions. Although the results of the XRD and Raman measurements for the  $F_{spray}$  thin film reveal features attributable to the LCO, Hall effect measurements of this thin film were unsuccessful due to high electrical resistivity. This implies that the lithium ions in the matrix were immobile to ensure electrical conductivity, which was confirmed by the surface morphology of the thin film (Figure 4a) owing to the limited grain connection. The formation of LCO thin films on a crystalline substrate by annealing for a short duration at low annealing temperature was emphasized in our previous study [21]. In the present study, because a film of LCO had already formed on the quartz glass substrate during the heat treatment of the precursor film obtained via spray-coating, the heat treatment of a film spin-coated onto the  $F_{spray}$  film resulted in the nucleation of much smaller grains (18 nm) coexisting with the earlier formed grain connectivity, the lithium ions can diffuse easily within the matrix and the resultant thin film ( $F_{ss}$ ) is electrically conductive, with a carrier concentration and carrier mobility of 8(2) × 10<sup>16</sup> cm<sup>-3</sup> and 2(1) cm<sup>2</sup>·V<sup>-1</sup>·s<sup>-1</sup>, respectively. To confirm that the resultant electrical properties of the thin film  $F_{ss}$  were a result of improved grain connectivity by the introduction of the smaller and

well-connected grains, a thin film identical to  $F_{spray}$  was heat treated for a second time at 500 °C for 0.5 h. The twice heat-treated thin film was still not electrically conductive, and there was no significant change to the grain sizes as compared to the once heat treated  $F_{spray}$ . Although the  $F_{ss}$  film consists of the Co<sub>3</sub>O<sub>4</sub> phase (Figure 3), which may affect its electrical conductivity, the presence of an excess amount of Li<sup>+</sup> ions in the matrix would lead to improved carrier mobility.

One of the many identified benefits of the MPM is the ability to prepare coating solutions ideal for various appropriate coating techniques. In the present study, it was clearly revealed how various coating techniques can be used in combination to successfully achieve fabrication of the desired functional thin film on a specific substrate.

## 5. Conclusions

The fabrication of a thin film of LCO on a quartz glass substrate was easily achieved at low temperature and with a short annealing duration. The spray-coating technique was useful in obtaining an intermediate thin film of LCO on the substrate after heat-treating the spray-coated precursor film at 500 °C for only 0.5 h. As a result, it was possible to form a thin film of LCO by spin-coating the precursor solution on top of the film obtained via spray-coating, and the Hall effect measurements of the resultant thin film were successful.

By combining the abovementioned processes, it was possible to control the formation of an LCO thin film on a quartz glass substrate. The present study serves as an indication that the spray-coating and spin-coating techniques can be effectively combined in the MPM to achieve the fabrication of desired functional thin films.

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