

## Article

# MicroRaman Study of Nanostructured Ultra-Thin AlGa<sub>x</sub>N/GaN Thin Films Grown on Hybrid Compliant SiC/Por-Si Substrates

Aleksandr Lenshin <sup>1,2,\*</sup>, Pavel Seredin <sup>1,3</sup> , Dmitry Goloshchapov <sup>1</sup>, Ali O. Radam <sup>1</sup> and Andrey Mizerov <sup>4</sup>

<sup>1</sup> Solid State Physics and Nanostructures Department, Voronezh State University, 394018 Voronezh, Russia; paul@phys.vsu.ru (P.S.); goloshchapov@phys.vsu.ru (D.G.); radam@phys.vsu.ru (A.O.R.)

<sup>2</sup> Physics Department, Voronezh State University of Engineering Technologies, Prospect of Revolution 19, 394000 Voronezh, Russia

<sup>3</sup> Scientific and Educational Center “Nanomaterials and Nanotechnologies”, Ural Federal University Named after the First President of Russia B. N. Yeltsin, Mir av., 620002 Yekaterinburg, Russia

<sup>4</sup> Physics Department, St. Petersburg National Research Academic University of the Russian Academy of Sciences, 8 Khlopin St., Building 3, lit. A, St. 194021 Petersburg, Russia; mizerov@mail.ru

\* Correspondence: lenshinas@mail.ru

**Abstract:** In our study, for the first time we demonstrate the advantages of using a compliant hybrid substrate of porSi/SiC to grow high-quality ultra-thin nanostructured Al<sub>x</sub>Ga<sub>1-x</sub>N/GaN heterostructures using molecular beam epitaxy with plasma-activated nitrogen. Comparison of our experimental results obtained by micro-Raman spectroscopy, deconvolution, and the fitting of the experimental Raman spectra and subsequent calculations with information from already established literature sources show that the use of such a hybrid SiC/porSi substrate has a number of undeniable advantages for the growth of ultra-thin Al<sub>x</sub>Ga<sub>1-x</sub>N/GaN nanoheterostructures without requiring the use of thick A<sub>III</sub>N buffer layers. Direct growth on a hybrid compliant substrate of SiC/porSi leads to a substantial relaxation in the elastic stresses between the epitaxial film, porous silicon, and silicon carbide, which consequently affects the structural quality of the ultra-thin Al<sub>x</sub>Ga<sub>1-x</sub>N/GaN epitaxial layers. The experimental and computational data obtained in our work are important for understanding the physics and technology of Al<sub>x</sub>Ga<sub>1-x</sub>N/GaN nanoheterostructures and will contribute to their potential applications in optoelectronics.

**Keywords:** porous silicon; A<sub>III</sub>N; Raman spectroscopy



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## 1. Introduction

The semiconductor industry has undergone a paradigm shift, from scaling the physical size of a single device to using new and innovative materials. Examples of such materials include the Al-Ga-N semiconductor compounds of group-III nitrides, which offer a unique combination of piezoelectric, electrical, mechanical, chemical, and optical properties. At present, they are one of the most promising systems of semiconducting materials that are used to develop and create various sensors and transmitters of physical quantities, including those suitable for harsh environments (elevated temperatures, radiation, and aggressive media) [1]. They became a basis for the creation of effective nanoscale quantum devices operating in the microwave range (e.g., transistors with high electron mobility (HEMT), tunneling diodes, resonant tunneling diodes, or phototransistors) [2,3], in high-power and high-frequency applications [4], one- or two-dimensional (1D/2D) hybrid systems applied in high-performance self-powered photodetection [5], as well as light-emitting diodes (LED) and photodetectors operating in the ultraviolet range of the spectrum.

The active development of epitaxial growth approaches for A<sub>III</sub>N-stressed heterostructures enables the design and fabrication of optoelectronic devices, covering a very wide range of applications. However, ever-increasing demands on the performance of designed optoelectronic devices, lower power consumption, and, consequently, higher efficiency

with, most importantly, lower manufacturing costs, require the integration of optical functional elements of  $A_{III}N$  with a silicon substrate. However, the development of  $A_{III}N$  metal-oxide-semiconductor (CMOS) structures has proved challenging because of the lack of a suitable strategy for  $A_{III}N$  integrating on a Si single substrate. The non-centrosymmetric wurtzite structure and appreciable electronegativity differences between nitrogen and group-III elements induce strong polarization effects in III-nitride compounds [6]. At the same time, significant differences between lattice constants and the thermal expansion coefficients of nitride  $A_{III}N$  compounds and silicon compounds make it very difficult to grow high-quality crystal instrumental heterostructures. Thus, the creation of structurally perfect  $A_{III}N/Si$  requires a growth technology that can provide effective relaxation of the residual stresses of the heteropair crystal lattice mismatch, as well as the filtration of dislocations sprouting into the active region of the device heterostructure.

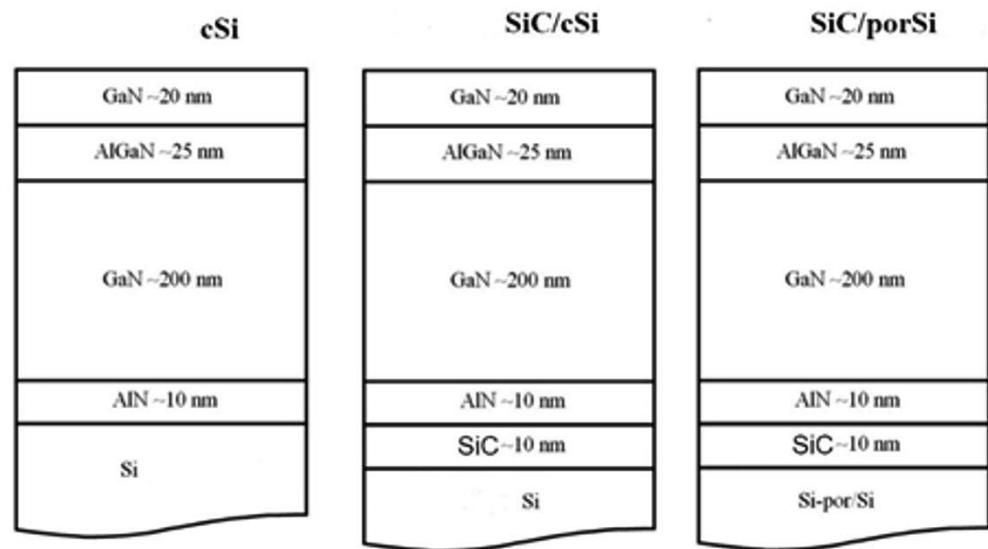
In recent years, buffer layers of nitrides [7,8], multi-period superlattices, layers with an alternating 2D and 3D morphology, layers with a composition gradient, etc., as well as combinations of these structures have mainly been used to solve this problem. Recently, there has been great interest in the use of more lattice-consistent SiC/Si hybrid substrates for the epitaxy of  $A_{III}N$  heterostructures [9–11]. In addition, as has been repeatedly demonstrated, one of the more promising approaches to the growth of hybrid  $A_{III}N/Si$  structures is the use of nanoporous silicon layers (porSi) or nanoprofiled silicon substrate surfaces (protoSi) [12–15]. Epitaxial  $A_{III}B_V$  compound semiconductor layers, grown by metal-organic chemical vapor deposition (MOCVD) or molecular beam epitaxy with plasma-activated nitrogen (PAMBE) using this approach, had better structural quality as well as optical properties compared to their counterparts that were grown under similar conditions on crystalline silicon, cSi. Prior research has shown that the introduction of a 3C-SiC layer created by the Kukushkin method [16] on a substrate with a previously formed nanoporous silicon sublayer also has a number of undeniable advantages over standard substrates. It results in a more uniformly high-quality GaN layer without visible extended defects and allows for unique optical and electrophysical characteristics to be achieved in the GaN/SiC hybrid heteroepitaxial structures.

However, it should be noted that the promising approach of using more lattice-consistent SiC/porSi hybrid substrates for the epitaxy of  $A_{III}N$  heterostructures has not been widely used so far, not least because of the lack of reproducible technology for producing defect-free silicon carbide layers on preformed porous silicon Si layers over a sufficiently large area at a reasonable cost. However, according to our data, there are no reports in the literature on the epitaxial synthesis of ultra-thin  $Al_xGa_{1-x}N/GaN$  nanostructures directly layered on substrates incorporating SiC and porSi layers without using thick  $A_{III}N$  buffer layers.

The aim of this study was a demonstration of the advantages of using a compliant hybrid substrate containing porous silicon (porSi) and silicon carbide (SiC) layers to grow high-quality ultra-thin nanostructured  $Al_xGa_{1-x}N/GaN$  heterostructures by molecular beam epitaxy using plasma-activated nitrogen, with the help of micro-Raman spectroscopy results.

## 2. Materials and Methods

Heterostructures based on layers of the Al-Ga-N system were grown using plasma-assisted molecular beam epitaxy (PAMBE) on a Veeco Gen 200 multi-wafer MBE reactor (Plainview, NY, USA) [17]. A schematic representation of the developed structures is shown in Figure 1.



**Figure 1.** Design of the investigated heterostructures.

Two-inch boron-doped cSi crystalline silicon wafers with an orientation of (111) and resistivity of  $<10 \Omega \times \text{cm}^2$  were used to grow heterostructures in a single PAMBE process. Three types of substrates were used: an initial crystalline silicon substrate, cSi, a silicon substrate on whose surface a silicon carbide layer, SiC/cSi, was formed using the Kukushkin method [18], and a silicon substrate with a porous sublayer and a silicon carbide layer obtained using the Kukushkin method—SiC/porSi.

Porous silicon samples were obtained by electrochemical etching. A solution of hydrofluoric acid and isopropyl alcohol was used as the etching solution, with an ECT current density of  $\sim 50 \text{ mA/cm}^2$ . After obtaining the samples, they were washed in distillate to remove the residual reaction products [19].

Before the PAMBE synthesis of  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  nanoheterostructures, the cSi substrate was prepared according to the Shiraki method. The substrates with the SiC layer were degreased. Afterward, all three types of substrates were loaded into one substrate holder of the PAMBE unit and were then annealed in the pre-annealing chamber at  $200 \text{ }^\circ\text{C}$ . Then, they underwent a final pre-epitaxial preparation in the growth chamber of the PAMBE unit at a temperature measured by a pyrometer at  $T = 850 \text{ }^\circ\text{C}$  for 30 min, in a flow of activated nitrogen, corresponding to an equivalent growth rate of GaN of the order of  $F_N \sim 0.05 \text{ } \mu\text{m/h}$ .

Afterward, to achieve the growth of  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  nanoheterostructures, the substrate temperature was reduced to  $T = 715 \text{ }^\circ\text{C}$ , i.e., to the temperature at which AlN decomposition in a vacuum is not observed and the decomposition rate of GaN (nitrogen desorption rate) becomes comparable to that of GaN, amounting, according to the authors of [20], to about  $F_{\text{des}}^{\text{N}} \sim 0.01 \text{ } \mu\text{m/h}$ .

The synthesis of  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  nanoheterostructures began with the formation of nucleated AlN layers of  $\sim 10 \text{ nm}$  thickness on the substrate surfaces at  $F_{\text{Al}} = F_N \sim 0.05 \text{ } \mu\text{m/h}$  for 20 min. Then, a GaN layer was grown on the AlN surface at  $F_{\text{Ga}} \sim 0.4 \text{ } \mu\text{m/h}$  and  $F_N \sim 0.05 \text{ } \mu\text{m/h}$  for 5 h. Considering GaN decomposition, the actual growth rate of GaN, in this case, is  $v^{\text{GaN}} = F_N - F_{\text{des}}^{\text{N}} \sim 0.04 \text{ } \mu\text{m/h}$ . Thus, it was assumed that a GaN layer of  $\sim 200 \text{ nm}$  thickness was grown.

After GaN growth, the in situ opening of the Al shutter was carried out, then  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  layer growth was started at  $F_{\text{Al}} \sim 0.02 \text{ } \mu\text{m/h}$ ,  $F_{\text{Ga}} \sim 0.4 \text{ } \mu\text{m/h}$ , and  $F_N \sim 0.05 \text{ } \mu\text{m/h}$  for 25 min. Once the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  layer had grown, the Al shutter was closed and an upper GaN layer with a thickness of  $\sim 20 \text{ nm}$  was grown.

It should be noted that the fluxes of Ga, Al, and activated nitrogen, expressed in terms of the units of GaN and AlN growth rates, were determined in the preliminary calibration experiments as follows. First, using the Bayard-Alpert gauge, the values of the

beam equivalent pressures (BEP) of Ga and Al were measured at the different temperatures of these sources in a standard way. After that, preliminary growth experiments were carried out in which GaN or AlN layers were grown under nitrogen-rich conditions at substrate temperatures of  $T \sim 650$  and  $T \sim 700$  °C, respectively. Therefore, the growth run took place at temperatures at which the desorption rate of Ga and Al adatoms is much lower than the growth rate of GaN and AlN layers. The rough surface morphology of the growing layers provided by nitrogen-rich conditions was monitored in-situ by a spotty reflected high-energy electron diffraction pattern. In each single growth experiment, the fixed temperatures of the Ga or Al sources were used, while in the subsequent experiment, the temperature values of the Ga or Al sources were set differently from the previous one. The nitrogen flow was constant in all experiments. After the growth experiments, the thicknesses of the layers were measured using scanning electron microscope (SEM) in order to determine the growth rate of each layer. Thus, the relationship between the growth rates of GaN and AlN, and the BEP of Ga and Al atoms, respectively, were determined. In order to determine the flux of activated nitrogen, GaN and AlN layers were grown and examined using SEM under metal-rich growth conditions with two-dimensional surface morphology.

At the same time, in the experiments described in the work, the higher growth temperatures of  $T \sim 715$  °C were used. This resulted in an increase in nitrogen desorption from GaN (GaN decomposition rate) on the value of  $F_{\text{des}}^{\text{N}} \sim 0.01$   $\mu\text{m}/\text{h}$  (according to Fernández-Garrido et al. [20]), which should be taken into account when calculating the thicknesses of the layers containing GaN, as well as when calculating the compositions of AlGaN solid solutions.

The reproducibility of layer thicknesses in heterostructures grown under the same conditions was evaluated using SEM measurements. In terms of the rather thin heterostructures that were grown, this technique did not show any significant differences in the thicknesses of the layers.

The samples were diagnosed using a set of structural spectroscopic methods of analysis.

Micro-Raman scattering spectra were obtained. All spectra were obtained in the range of  $100\text{--}4000$   $\text{cm}^{-1}$ , using the confocal RamMix 532 Raman microscope (InSpectr, Moscow, Russia) with a spectral resolution of  $1$   $\text{cm}^{-1}$ . Excitation was performed using a 532 nm wavelength laser with  $\sim 30$  mW of radiation power at the sample. The choice of the microregions and the mapping on the surface of the samples were realized using an automated motorized 2-axis stage, providing minimum step-by-step shifts of 300 nm. A signal from the surface of a sample was collected with a  $60\times$  objective. The area of the analyzed microregion was  $1 \times 1$   $\mu\text{m}^2$ .

### 3. Results and Discussion

Based on the results of X-ray diffraction (XRD) (figures not presented in this work) we confirmed that the GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  epitaxial layers included in the thin film nanoheterostructure have a wurtzite crystal structure. At the same time, the SiC layer formed by atomic substitution has the symmetry of a cubic 3C-SiC polytype, while the use of a nanoporous silicon porSi sublayer, obtained through electrochemical etching, hosted an oriented growth of silicon carbide.

The application of atomic force microscopy (AFM) in semicontact mode allowed the surface roughness of the heterostructures to be assessed, as well as the shape of the nanocolumns, depending on the type of substrate used. The average roughness values for the cSi, SiC/sSi, and SiC/porSi samples were 4.3, 6.6, and 10.9 nm, respectively. From the AFM data analysis, we determined the average lateral sizes of the nanocolumns to be  $\sim 70$ ,  $\sim 91$ , and  $\sim 107$  nm for the samples grown using cSi, SiC/cSi, and SiC/porSi substrates, respectively.

To obtain additional potentially useful and accurate information about the fine structural properties of the materials under study, we used Raman spectroscopy, which is based on the analysis of phonon spectra [21,22]. Since the lattice vibration spectra are very sensitive to the configuration of the nearby atoms, the use of Raman spectroscopy makes it

possible to study the features of the crystal structure of thin epitaxial layers and its imperfection rate on a scale of the order of magnitude of the crystal lattice parameters [13,23,24].

Figure 2 shows the Raman spectra for epitaxial heterostructures grown on three types of substrates.

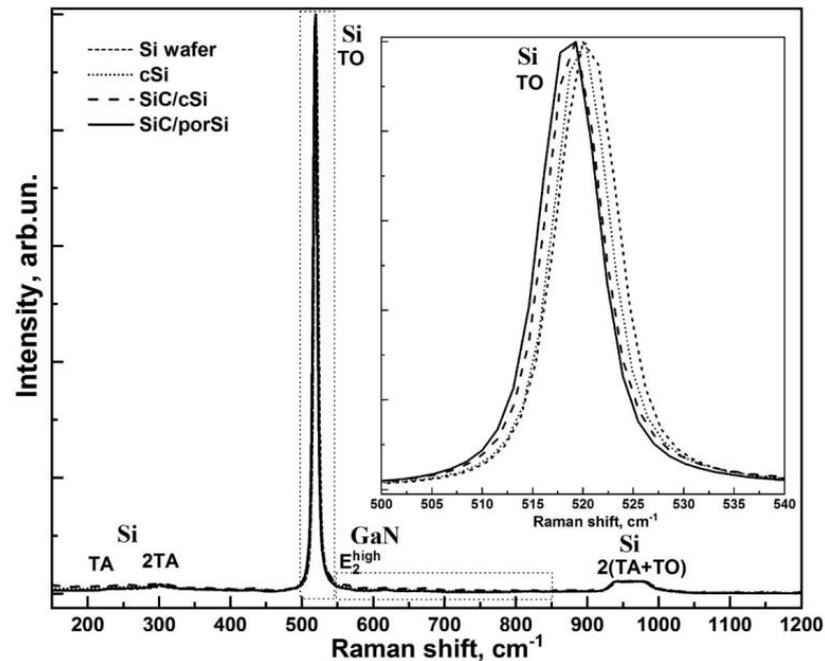


Figure 2. Raman spectra of epitaxial heterostructures grown on three types of substrates.

It follows from the data obtained that the most intense vibrational mode in the spectra of all heterostructures is the phonon mode, in the region of  $\sim 521 \text{ cm}^{-1}$ , correlated with the transverse optical (TO) phonon of the silicon substrate at the central point of the Brillouin zone and characterized by a small width at half the peaks' height of FWHM (see Figure 2). The second and less intense order for this reflection (2TO Si) is in the region of  $\sim 1000 \text{ cm}^{-1}$ . The analysis shows that in addition to the low-intensity oscillations of silicon, which are transverse acoustic phonons of the first ( $\text{Si}_{\text{TA}} \sim 240 \text{ cm}^{-1}$ ) and second ( $\text{Si}_{2\text{TA}} \sim 300 \text{ cm}^{-1}$ ) orders [25], longitudinal acoustic phonon-mode  $\text{Si}_{\text{LA}}$  ( $\sim 300 \text{ cm}^{-1}$ ) [25] are active in heterostructural spectra. The oscillations located around 620 and 680  $\text{cm}^{-1}$  are a combination of  $\text{Si}_{\text{TO}}(\chi)+\text{TA}(\chi)$  and  $\text{Si}_{\text{TO}}(\Sigma)+\text{TA}(\Sigma)$  phonons, respectively [26].

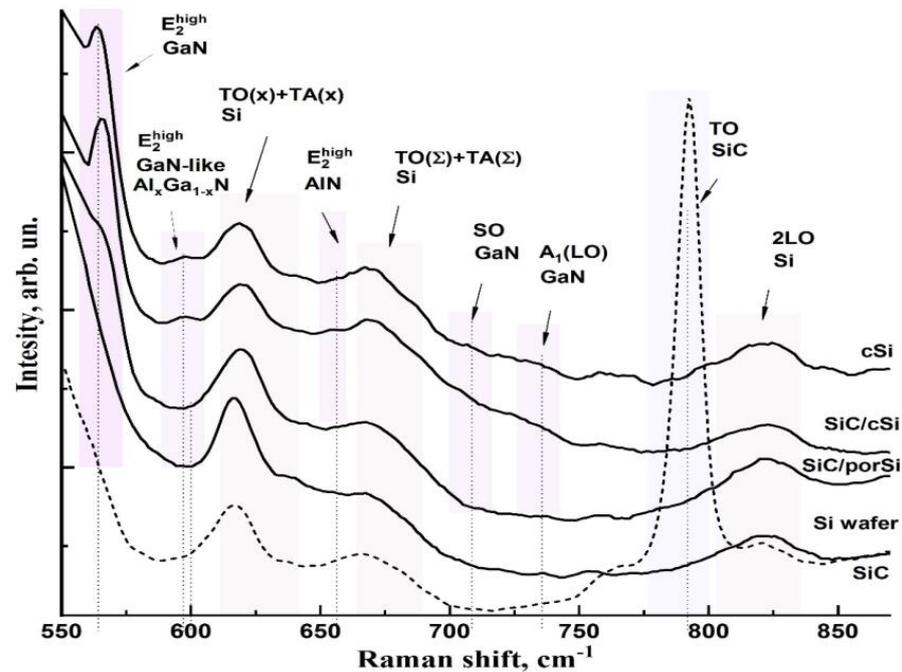
It should be noted that, as the inset to Figure 2 clearly shows, the TO mode of silicon experiences a characteristic low-frequency shift depending on the type of substrate used, relative to the TO mode position in the spectrum of the silicon wafer. It is clearly noticeable that this mode has the largest shift ( $\sim 2 \text{ cm}^{-1}$ ) in the spectrum of the SiC/porSi sample, while in the spectra of cSi and SiC/cSi samples this shift is  $\sim 0.5 \text{ cm}^{-1}$ . A significant shift ( $\sim 2 \text{ cm}^{-1}$ ) of the silicon TO mode for SiC/porSi sample relative to its position for Si single crystal is due to the occurrence of deformations in the crystal lattice of the porous layer [27,28]; this is typical in the formation of a porous interlayer with a porosity value of  $\sim 40\%$ , which correlates with the data reported by the authors of [29].

The relationship reported by the authors of [30] can be depicted thus:

$$\sigma_{xx} = \Delta\omega(232P - 190) \quad (1)$$

where  $\sigma_{xx}$  is the in-plane biaxial residual stress (MPa), and  $P$  is the porosity value of silicon (porosity is defined as the volume fraction of voids within the PS layer and can be determined easily via weight measurement). The residual stresses in the SiC/porSi substrate porous layer structure were estimated at 200 MPa for a given porosity value.

Figure 3 zooms in on the  $530\text{--}900\text{ cm}^{-1}$  region of the experimental Raman spectra of the investigated heterostructures shown in Figure 2. In addition, Figure 2 shows the Raman spectrum of a single crystal silicon wafer used for the three types of wafers, as well as the reference spectrum of silicon carbide, obtained using the Kukushkin method. The spectra are presented without baseline correction or the removal of the silicon-wafer TO mode from the spectrum, which allows us to visualize the low-intensity vibrations in the Raman spectra.



**Figure 3.** Region  $530\text{--}900\text{ cm}^{-1}$  in the Raman spectra of the investigated heterostructures, silicon wafer, and SiC reference.

According to the Raman scattering tensor, single crystals GaN and AlN with a wurtzite crystal structure (space group  $P3m1$ ) have a characteristic set of longitudinal optical (LO) and transverse optical (TO) phonon modes near the center of the Brillouin zone in their spectrum [31].

In our study, the Raman spectra were recorded in the  $z(xy)z^-$  geometry for the  $\text{Al}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  nanoheterostructures. Considering the orientation of the sample and in accordance with the selection rule, the Raman spectra of GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  contained characteristic maxima that can be correlated with the phonon modes  $A_1(\text{TO})$ ,  $E_1(\text{TO})$ , and  $E_2^{\text{high}}$ , which are characteristic of GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  crystals with a wurtzite structure [32].

The results of the Raman spectroscopy (see Figure 3) confirm the X-ray diffraction data regarding the wurtzite (hexagonal) structure of the layers of the investigated heterostructures, and are in good agreement with previously published works in which epitaxial GaN, AlN, and AlGaN films grown by different epitaxial methods (MOCVD, MBE, and CVD) were studied, for which the crystal plane [0001] of the epilayer was parallel to the [111] plane of the silicon substrate.

The most intense spectrum here is the Raman mode around  $565\text{--}566\text{ cm}^{-1}$ , attributed to the  $E_2^{\text{high}}$  phonon and the oscillation near  $730\text{--}735\text{ cm}^{-1}$ , which is the  $A_1(\text{LO})$  mode of wurtzite GaN. The  $E_2^{\text{high}}$  and  $A_1(\text{LO})$  phonon modes (labeled in Figure 3) represent the vibrations of the atoms in the  $c$  plane (parallel to the  $a$  axis) and along the  $c$  axis, respectively [33,34]. The feature in the spectrum around  $653\text{ cm}^{-1}$  corresponds to the  $E_2^{\text{high}}$  AlN mode, the strongest of the resolved modes in the wurtzite AlN films for the  $z(xy)z^-$  backscattering geometry used in our experiment [35].

Regarding the Raman response from the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  solid solution layer, and  $E_2^{\text{high}}$  GaN-like phonon mode localized at  $595\text{--}600\text{ cm}^{-1}$  is present in the spectra of the three heterostructures. The position of this mode in the Raman spectra is determined not only by the composition of the solid solution [34] but also depends on the stresses arising in the thin epitaxial layer.

Another peculiarity in the spectra of cSi, SiC/sSi, and SiC/porSi heterostructures is the presence of a broad maximum in the spectra at  $705\text{ cm}^{-1}$ . This oscillation, according to the authors of [36], can be attributed to the surface-active phonon mode of SO GaN.

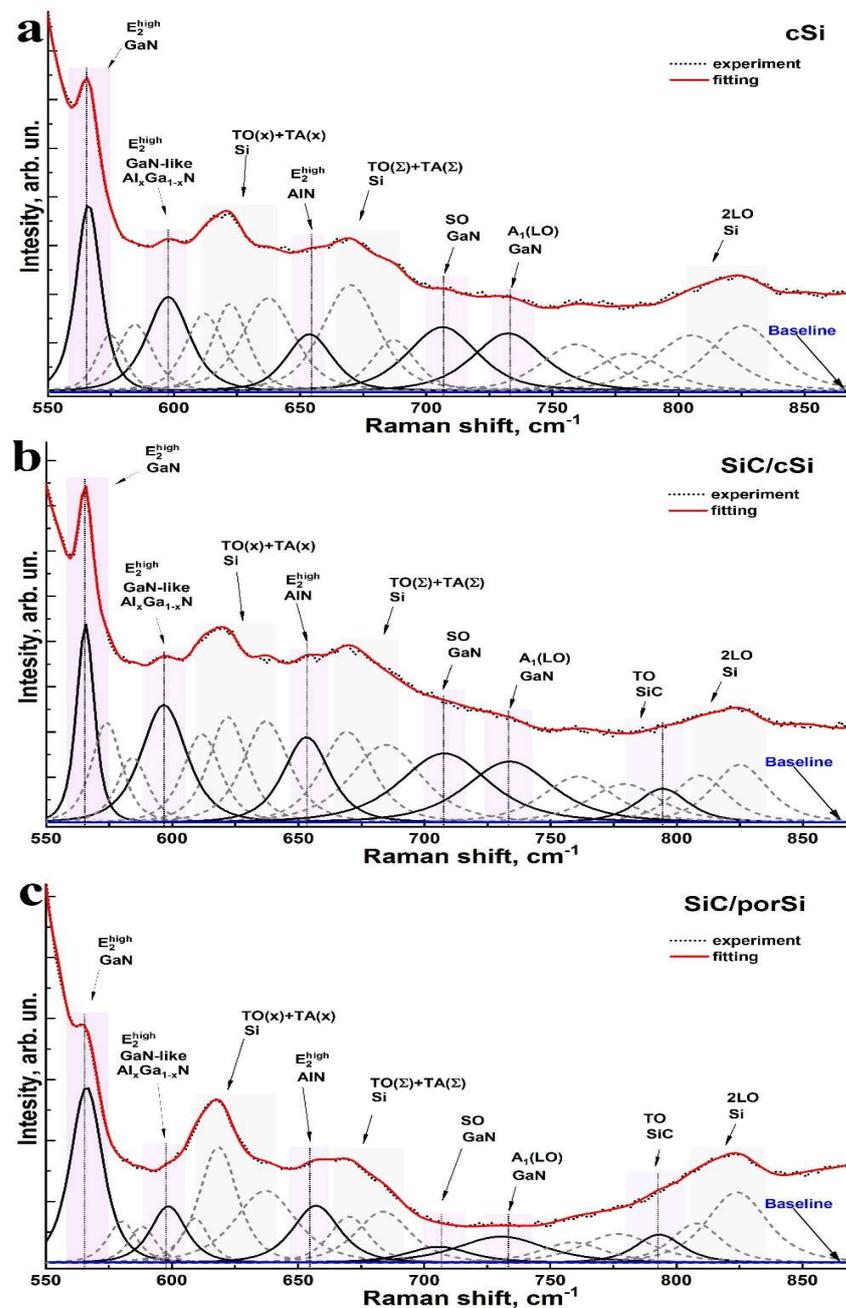
In order to accurately determine the composition and frequencies of the low-intensity vibrations in the Raman spectra of cSi, SiC/CSi, and SiC/porSi samples, we performed a decomposition of the experimental Raman spectra into their various components. Simulations were performed using the Fytk software environment designed for spectral signal deconvolution, in particular Raman scattering. The Pearson 4-parameter function was chosen as the approximating function because its use provides a better approximation of the narrow bands in the Raman spectrum. The decomposition was performed so as to include the contribution from the low-intensity bands present in the spectrum. During the deconvolution step of the experimental spectra, we did not correct the baseline and did not remove the most intense mode, attributed to the TO mode of silicon from the spectrum, since this could introduce an error in determining the exact position of the modes of the vibrations belonging to the epitaxial layers.

The results of the decomposition are shown in Figure 4 and Table 1, showing the frequencies of the main vibrations attributed to GaN, AlN, and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  (marked in color in the spectra). It should be noted that a number of modes presented in the decomposition (indicated by the dotted line) that are not attributed to a particular structure are presumably related to the vibrations of silicon.

**Table 1.** Frequencies of the fundamental vibrations attributed to GaN, AlN, and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  from the Raman spectra decomposition results.

Sample	Frequencies of Fundamental Vibrations, $\text{cm}^{-1}$						Biaxial Stress in GaN, GPa
	GaN $E_2^{\text{high}}$	GaN-Like $\text{Al}_x\text{Ga}_{1-x}\text{N}$	$E_2^{\text{high}}$ AlN-Like	GaN SO	GaN $A_1(\text{LO})$	SiC TO	
cSi	565.4	597.1	653.1	705.8	731.8	-	-0.456
SiC/cSi	565.2	596.2	652.7	707.4	733.2	794.0	-0.500
SiC/porSi	565.8	598.2	656.8	705.3	730.1	792.8	-0.370

It should be noted that deconvolution of the experimental spectra allowed us to isolate the vibrations occurring in the region of  $792.8\text{--}794.0\text{ cm}^{-1}$ , which are not clearly observed in the spectrum but can be attributed to silicon carbide. According to the X-ray analysis results, the silicon carbide layer formed in two images (SiC/cSi and SiC/porSi) is a cubic 3C-SiC modification. It is known that only two modes,  $796$  and  $972\text{ cm}^{-1}$ , are present in the Raman spectra of 3C-SiC [37]. They refer to the transverse optical (TO) and longitudinal optical (LO) phonons, respectively. A comparison of the data obtained (Table 1, Figures 3 and 4) for both the SiC reference sample and the SiC/cSi and porSi/SiC heterostructures confirms the cubic polymorphic type of silicon carbide formed in our structures, based on atomic substitution technology. The  $1.2\text{ cm}^{-1}$  shift in the TO mode position of SiC observed in the SiC/cSi and porSi/SiC samples is due to the different structural imperfections of the silicon carbide. It is known that if the silicon carbide symmetry decreases from perfect cubic symmetry due to the formation of other polytypes or due to the introduction of random packing defects, degeneracy is lost and the TO phonon mode shifts to a lower wavenumber. As the number of defects increases, the observed signal widens and additional peaks with a lower wavenumber appear due to Raman scattering caused by packing disorder [38], as observed in the spectrum of the reference sample (see Figure 3).



**Figure 4.** Results of the Raman spectra decomposition of heterostructures grown on cSi, SiC/cSi, and SiC/porSi substrates.

As for the GaN SO phonon, despite the fact that this oscillation is present in the spectra of all heterostructures, its intensity in the case of the SiC/porSi sample is much lower (see Figure 3). This is probably due to the surface morphology of the SiC/porSi sample, which is characterized by a lower coalescence of nanocolumns. Moreover, the frequency of the SO mode depends on the shape, size, and dielectric constant of the environment [36]. In our study, according to the atomic force microscopy data, the average size of the nanocolumns for the three samples lies in the region of 70–110 nm. According to the theoretical model [36], the SO phonon mode for GaN columns of an average diameter of 100 nm is expected to be about  $705\text{ cm}^{-1}$ , which is close to what we observed experimentally, assuming that this mode has a predominantly  $E_1$  symmetry.

It should be noted that the position of the  $E_2^{\text{high}}$  mode maxima for GaN and AlN in the Raman spectra of heterostructures differs from the frequencies of these modes that are

typical for unstressed pure bulk single crystals, which is a consequence of the appearance of deformation in the epitaxial layers of heterostructures due to the essential difference in parameters of the crystal lattice of these materials. Thus, the occurrence of tensile stresses in the epitaxial layer leads to a shift in the phonon mode to the low-frequency region of the spectrum with respect to the position of this maximum in the spectrum of completely non-tensioned material. Similarly, the shift of the phonon mode maximum to the high-frequency region corresponds to the appearance of compressive stresses in the epitaxial layer.

It is well known that in terms of linear approximation, the change in frequency (shift) of a particular phonon mode in the Raman spectrum of a particular material, under the influence of mechanical stresses that do not change the symmetry of the crystal, can be expressed as:

$$\Delta\omega = K_R\sigma_{xx} \quad (2)$$

where  $\sigma_{xx}$  is the biaxial stress value in the epitaxial layer, and  $K_R$  is the biaxial stress conversion factor, showing the degree of biaxial stress conversion to the Raman frequency shift.

Analysis of the current literature shows that the linear stress coefficient for the  $E_2^{high}$  mode of GaN takes a value of between  $2.77 \text{ (cm}^{-1}\cdot\text{GPa}^{-1}\text{)}$  [39,40] and  $4.3 \text{ (cm}^{-1}\cdot\text{GPa}^{-1}\text{)}$  [41], depending on the type of substrate [42]. However, as has been theoretically shown and experimentally confirmed [43,44], the conversion factor of the biaxial stress to the Raman frequency shift is significantly dependent on the crystal plane type of the substrate crystal, which is often neglected in calculations. In view of the above, in our calculations, we used a value of  $K_R = 4.596 \text{ (cm}^{-1}\cdot\text{GPa}^{-1}\text{)}$ , which is typical of epitaxial film growth on the (111) Si plane [43,44]. In terms of the linear voltage coefficient  $K_R$  for the  $E_2^{high}$  mode of AlN, this value is  $3.39 \text{ (cm}^{-1}\cdot\text{GPa}^{-1}\text{)}$  [35,42]. The characteristic value of the frequency position of the  $E_2^{high}$  mode for undeformed GaN is  $\sim 567.5 \text{ cm}^{-1}$  [39,45], while for the  $E_2^{high}$  mode of undeformed AlN, it is  $\sim 657.4 \text{ cm}^{-1}$  [35].

The value of biaxial stress in the GaN epitaxial layer, as calculated from Raman spectra, is presented in Table 1. The value of biaxial stress  $\sigma_{xx}$  in the AlN epitaxial layer, determined similarly from the  $E_2^{high}$  AlN mode shift, takes the values of  $-1.27$ ,  $-1.39$  and  $-0.18 \text{ GPa}$  for the samples of cSi, SiC/cSi, and SiC/porSi, respectively. The detected downward trend of this value for AlN, depending on the type of substrate, correlates with that calculated for the GaN layer.

In terms of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  solid solution, the phonon  $E_2^{high}$  GaN-like mode experiences a shift from its position characteristic of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  solid solution. Thus, according to the literature data, the position of this lattice oscillation, depending on the composition of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  solid solution for bulk [46] and thin films [34], can be estimated as:

$$\omega(\text{Al}_x\text{Ga}_{1-x}\text{N}) = (567 \pm 1) + (40 \pm 3)x, \text{ cm}^{-1} \quad (3)$$

Thus, according to the authors of (18), for  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  with  $x \sim 0.60$ , a shift of  $\sim 10 \text{ cm}^{-1}$  is observed with respect to the position of this vibration in the experimental spectrum. Assuming the validity of the elasticity theory and isotropy in the strain plane, the residual strain in the  $\varepsilon_{xx}$  plane and the axial strain  $\varepsilon_{zz}$  are related to the Raman shift value by a strain potential approximation, calculated as:

$$\Delta\omega = 2a\varepsilon_{xx} + b\varepsilon_{zz} \quad (4)$$

where  $a = 818.6 \pm 14 \text{ cm}^{-1}$  and  $b = 797 \pm 60 \text{ cm}^{-1}$ , which are the two phonon strain potential constants for the  $E_2^{high}$  GaN-like phonon mode, as reported in [47].

#### 4. Conclusions

Since many of the properties of nitride-based epitaxial devices are determined by the substrate used to create them, the data set obtained in our work using the micro-Raman spectroscopy technique allowed us to establish the features of a typical transistor ultra-thin structure formation on hybrid substrates, incorporating silicon carbide and porous silicon layers, and to compare these features with the growth seen on standard cSi substrates.

Epitaxial GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  epitaxial layers, in film grown by the proposed technology on cSi, SiC/cSi, and SiC/porSi substrates included in the thin film nanoheterostructure, have a wurtzite crystal structure, as confirmed by Raman spectroscopy data. At the same time, the SiC layer formed by atomic substitution has the symmetry of a cubic 3C-SiC polytype, while the use of a nanoporous silicon porSi sublayer, obtained by electrochemical etching, yields an oriented growth of silicon carbide on it.

Calculations of the biaxial strains in GaN and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  show that the lowest level of residual strain is observed in the GaN epilayers of a typical transistor with an ultra-thin structure, grown on a SiC/porSi hybrid substrate. Moreover, the GaN layer on the SiC/porSi hybrid substrate is characterized by an almost two-fold reduction of the residual stresses, in comparison with the growth seen on SiC/Si substrates.

Prior to our research, the success of technological approaches regarding the growth of nitrides on compliant substrates of porous silicon or silicon carbide has been repeatedly demonstrated. As noted above, the growth of gallium nitride layers on porous silicon has been achieved previously in a number of works, including our own. Nitride films were grown at various temperatures on porous silicon substrates via gas-phase epitaxy from organometallic compounds [48,49], molecular beam epitaxy [12,16], as well as using an RF magnetron sputtering system [50].

Additionally, a positive effect on the final properties of epitaxial nitride layers has been obtained by using SiC/Si and SiC/porSi/Si compliant substrates, which has also been noted in several other studies [16,51]. However, all the above-mentioned works refer to sufficiently bulky layers of gallium nitride, while in our current work, the growth of a thin-film nanoheterostructure is implemented on a hybrid SiC/porSi substrate.

Thus, a comparison of our experimental results and information from already published literature sources shows that the use of a hybrid compliant substrate containing a porous silicon interlayer and a silicon carbide layer has several undeniable advantages for the growth of ultra-thin AlGaN/GaN nanoheterostructures, without needing thick  $\text{A}_{\text{III}}\text{N}$  buffer layers. Direct growth onto a hybrid compliant substrate SiC/porSi leads to the significant relaxation of elastic stresses between the silicon and silicon carbide and, consequently, to the much lower defectiveness of the silicon carbide layers, which is ultimately reflected in the structural quality and optical characteristics of  $\text{A}_{\text{III}}\text{N}$  nitride-based ultra-thin transistor structures.

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