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Tailoring Bandgap and Electrical Properties of Magnesium-Doped Aluminum Zinc Oxide Films Deposited by Reactive Sputtering Using Metallic Mg and Al–Zn Targets

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Abstract: Bandgap enlarged Mg-doped aluminum zinc oxide (Mg-doped AZO) film is a potential transparent conducting oxide for applications in photonics devices. The oxide film normally deposited by sputtering, particularly using ceramic targets, while maintaining its pristine property for the film deposited using metallic targets is rarely addressed. This study investigated the optical and electrical properties of Mg-doped AZO films that were performed by a magnetron reactive co-sputtering method using metallic Mg and Al–Zn targets. Doping of Mg in the AZO significantly affects the electrical resistivity and optical transmission of the films because Mg tends to replace part of Zn lattice sites. The 1.2 at.% Mg-doped AZO film had an electrical resistivity of $7.9 \times 10^{-4} \Omega \cdot cm$, an optical transmittance of 92.6% in the visible light range, and a bandgap of 3.66 eV when the film was post-annealed at 600 °C. The Mg doping widens the bandgap and, thus, increases the transmittance of the AZO film. Because of the superior electrical and optical characteristics, the Mg-doped AZO films prepared using the metallic targets can be a reliable transparent conducting oxide for applications.

Keywords: Mg-doped AZO; transparent conducting oxide; bandgap engineering; optical transmittance; metallic targets

1. Introduction

Transparent conducting oxides (TCOs) have been widely used in many photonics applications, such as light-emitting devices, gas sensors, and laser diodes [1,2]. The most common materials used for TCOs are metal oxides based on In_2O_3 , ZnO, or SnO₂ that were doped with an extra metal element acting as substitute atom to replace part of the lattice sites. Among these metal oxides, ZnO-based oxides usually have high transparency in the visible light region. Moreover, the metal element doping in ZnO enhances its electrical conductivity because the doped atom affects the local atomic configuration of the lattice and, thus, generates oxygen vacancy-like defects [3]. A trace amount of Al doping is most frequently used to increase the electrical conductivity of the ZnO film. The doped Al³⁺ ions can replace part of Zn²⁺ lattice sites and create carriers owing to the valence number difference between Al³⁺ and Zn²⁺ [4–6]. Furthermore, Al₂O₃ is hexagonal in structure, which enables the structural stability of Al-doped ZnO (AZO) thin films in a wide range solubility of Al³⁺ in wurtzite ZnO structure [5,7]. As a consequence, AZO is potentially material used in high-temperature devices, especially for those aiming to replace indium tin oxide films whose cost has been manifestly increasing due to the scarcity of indium resources.



However, the transmittance of AZO is usually lower than that of pure ZnO because the absorption mostly occurs at a wavelength close to the bandgap edge [8,9]. One strategy to improve the transmittance is to dope the AZO with a suitable element while still maintaining comparable electrical properties. The transmittance can be achieved by enlarging the bandgap of the AZO by doping an extra metal element. MgO (bandgap of 7.3–7.8 eV) is one of the best candidates for increasing the bandgap energy of ZnO due to a small lattice mismatch between Mg (0.72 Å) and Zn (0.74 Å) [10–13]. Therefore, the bandgap of the AZO oxide can also be adjusted by doping moderate amounts of Mg atoms, and this, thus, leads to an increase in the transmittance. However, excess doping of Mg in AZO could degrade the electrical resistivities of resultant Mg-doped AZO films, mostly arising from lower carrier concentration, due to the same valence number between Mg and Zn [14]. Accordingly, it is necessary to systemically investigate AZO thin film doped with Mg to optimize electrical resistivity and optical transmittance.

This work studied the optical and electrical properties of Mg-doped AZO films deposited by reactive sputtering using metallic targets of Mg and Al–Zn. Comparing with conventionally used ceramic targets, metallic targets can be easily cast by melting at relatively low temperatures. Furthermore, the power density of the ceramic target is limited by the thermal loading of the target, which easily causes cracks in prolonged or high-power sputtering [15]. While the shapes and sizes of metallic targets are unlimited and are easier industrial implemented to alleviate this concern. Although Mg-doped AZO films deposited by sputtering using MgO and AZO ceramic targets have been widely studied [14,16–18], little information is available on the film prepared by reactive sputtering using metallic targets of Mg and Al–Zn. The results of this study may provide a useful reference for researchers attempting to fabricate the film for applications.

2. Materials and Methods

The AZO and Mg-doped AZO films were deposited on a Corning Eagle2000 glass substrate by magnetron reactive co-sputtering (MGS-500, Junsun Tech., New Taipei, Taiwan) using metallic targets of Mg (99.995% purity) and Al–Zn (Al 2.0 and Zn 98.0 wt.% prepared using elements of 99.95% purity). The base pressure in the chamber was 4×10^{-4} Pa and the working pressure was 1.3×10^{-2} Pa (measured by a Baratron 946 vacuum system controller, MKS, Andover, MA, USA) under a constant Ar and O₂ flow rates of 15 and 5 sccm, respectively. The sputtering power of Al–Zn was fixed at 50 W using a direct current power supply, and that of Mg was from 0 to 100 W using a radio frequency power supply. The distance between the cathode and the substrate was 6 cm. The substrate temperature was held at ambient temperature. Before the deposition, the targets were cleaned to remove the surface oxide layer by sputtering at the respective deposition power with Ar plasma for 5 min. After the cleaning process, the mixture of Ar and O₂ was then introduced into the chamber for the deposition process. The deposited films had a fixed thickness of 200 nm. The films were then annealed by a rapid thermal annealing system (ULVAC Mila 5000, Kanagawa, Japan) in an Ar–H₂ (5%) atmosphere at 600 °C for 5 min. The Ar–H₂ annealing is to stabilize the AZO films.

The thickness of the film was determined by a profilometer. The crystalline structure of the film was characterized by an x-ray diffraction system (XRD, Mac Science M03XHF22, Kanagawa, Japan) with Cu K_{α 1} radiation and surface morphology of the film was examined by a field emission scanning electron microscope (FESEM, JEOL JSM-7500F, Tokyo, Japan). The composition of the film was examined by an energy dispersive spectroscopy (EDS, Oxford INCA PentalFETx3, Tubney Woods, UK) that was attached to the FESEM. The surface roughness of the selected samples was performed by atomic force microscopy (AFM, Digital Instruments Dimension 3000, Milano, Italy). The average surface roughness, *Ra*, was calculated for quantitative evaluation of the surface roughness. Electrical resistivity (ρ), carrier concentration (n), and mobility (μ) were measured using a Hall-effect measurement system (Ecopia HMS-5000, Ecopia Anyang, South Korea). An ultraviolet-visible-near-infrared (UV-vis-IR, JASCO V-670, Tokyo, Japan) spectrophotometer was used to record the optical transmittance of the film.

3. Results and Discussion

3.1. Composition and Structural Changes of the Mg-Doped AZO Films

Compositions of the as-deposited films analyzed by EDS indicated that the films contained Mg from 1.2 to 3.9 at.% when the film was deposited at the deposition power of Mg target from 25 to 100 W, while fixing the Al–Zn target at 50 W, as presented in Table 1. Mg content increases with increasing the sputtering power of the Mg target.

Table 1. Composition of the studied films examined by energy dispersive spectroscopy (EDS).

Mg Deposition Power (W)	Mg (at.%)	Al (at.%)	Zn (at.%)	O (at.%)
0	0	1.8	51.7	46.5
25	1.2	1.7	52.7	44.4
50	1.6	1.8	50.6	46.0
75	3.6	1.6	44.5	50.3
100	3.9	1.5	42.9	51.7

Figure 1 shows XRD patterns of the as-deposited films and those isothermally annealed at 600 °C. The as-deposited film, which is shown in Figure 1a, had a wurtzite structure (JCPDS 75-0576) with ZnO (002) preferential orientation. No Al₂O₃ or MgO diffraction peak was observed within the detection sensitivity of the instrument. This preferential orientation implies that the *c* axis of the Mg-doped AZO grains perpendicularly towards to the substrate surface because the surface energy of (002) plane is the lowest in ZnO wurtzite structure [19]. A similar observation on preferred (002) wurtzite structure for the Mg-doped AZO film can be obtained when the film was deposited using the AZO ceramic target and metallic Mg target [17] although its (002) peak intensity decreases with Mg content. Mg addition seems to degrade the (002) preferential orientation. However, a non-preferential orientation of ZnO could be normally obtained when the film was deposited via reactive magnetron sputtering using a metallic Zn target pasted with Al and Mg foils [16]. Our result shows a strong (002) ZnO texture for the as-deposited film in the studied composition range. Further, the diffraction angle of ZnO (002) (from 33.6° for AZO film to 33.8° for 3.9 at.% Mg-doped AZO film) was slightly lower than that of standard wurtzite structure of ZnO (34.3° from JCPDS 75-0576). This fact indicates that the film may experience a large lattice distortion arising from the lattice expansion at the as-deposited state due to the incorporation of Mg and Al. The $Mg_xZn_{1-x}O$ film has been found to remain its wurtzite structure when x < 0.36 [20] and Mg-doped AZO films prepared using ceramic targets also show the structure remains unchanged with 4.8 wt.% Mg doping [21]. As a result, the wurtzite structure in this study remains unchanged because the studied concentration of Mg in AZO film ranges from 1.2 to 3.9 at.%.

The diffraction angle of ZnO (002) preferred orientation shifted toward a higher diffraction angle for the films that were annealed at 600 °C, as shown in Figure 1b. This shifted diffraction angle (from 34.3° for 0 at.% Mg to 34.5° for 3.9 at.% Mg) implies that the lattice constant of the Mg-doped AZO film reduces slightly due to the smaller ionic radius of Mg²⁺ in comparison with that of Zn²⁺. Furthermore, peak intensity and full-width-at-half-maximum of (002) diffraction gradually decreased with increasing Mg concentration. By using a Debye–Scherer's formula, the grain size of the film can be estimated to be 27.2 nm for AZO film and 14.5 nm for 3.9% Mg-doped AZO film upon annealing. More Mg atoms incorporated into the AZO seems to yield the film with a smaller grain. The crystallinity and grain size of Cu, Zn, and Ga doped NiO films also reduce with an increase in the doping concentration, due to the difference in the ionic size of the dopants in comparison with the ionic size of Ni²⁺ ion [22]. Moreover, the coordination number and geometry of ions also need to be taken into consideration. As mentioned, ZnO has a wurtzite structure. The ionic radius for Zn²⁺ is 0.74 Å and for O²⁻ is 1.40 Å, corresponding to a ratio between Zn²⁺ and O²⁻ ionic radius of 0.529. This ratio implies that the ZnO structure is in a tetrahedral ionic arrangement, in turns with four nearest neighboring atoms from the crystal structure, i.e., four oxygen atoms surrounded each zinc atom and four zinc atoms surrounded

each oxygen atom. The doping atom, Mg (0.72 Å), tends to replace the Zn lattice site and/or part of existing Al atoms (0.53 Å) that has previously replaced Zn lattice sites. Al-incorporation in the ZnO lattice tends to induce more lattice strain due to the difference in the ionic radii between the two ions. While Mg^{2+} has a comparable ionic radius with Zn^{2+} , Mg-doped is expected to release the lattice strain on replacing Zn atom and/or Al atom in the ZnO lattice.



Figure 1. X-ray diffraction system (XRD) spectra of the Mg-doped AZO thin films (**a**) before and (**b**) annealed at 600 °C for 5 min.

3.2. Electrical Properties of the Mg-Doped AZO Films

Hall effect measurement was used to investigate the electrical properties of the films at room temperature. All of the as-deposited films had a high electrical resistivity (~M Ω) beyond the limit of the instrument, while the electrical resistivity could be significantly reduced after the annealing. The obtained electrical properties of the films post-annealed at 600 °C are shown as a function of the added amount of Mg in Table 2. The variation of the electrical resistivity (ρ), carrier concentration (*n*), and mobility (μ) of the films is shown in Figure 2 as a function of Mg composition in the AZO film. For the AZO film, carrier concentration was 2.1 × 10²¹ cm⁻³, carrier mobility was 6.5 cm²/V

sec, and electrical resistivity was $4.6 \times 10^{-4} \Omega \cdot cm$, which are comparable with the results in previous studies [23,24]. Mg doping can reduce both of the carrier concentration and mobility, and, thus, increases the electrical resistivity of the film, as shown in Figure 2. The reduction in carrier mobility mostly arises from the high effective mass of Mg (effective mass of electrons in Mg, Al, and Zn is 1.3, 1.2, and 0.85, respectively) which also increases with increasing the Mg content [25]. As a result, a trace amount of 1.2 at.%, Mg-doped AZO film had the lowest electrical resistivity of $7.9 \times 10^{-4} \Omega \cdot cm$. Nevertheless, the resultant electrical resistivity in this study is still much lower than those of previously reported Mg-doped AZO films, electrical resistivities in a range of 1.55×10^{-1} – $1.00 \times 10^{-3} \Omega \cdot cm$ deposited using different approaches [2,20,26–28], and comparable with the Mg-doped AZO films in this study are significantly superior to the films deposited on a c-plane sapphire substrate using Zn-Mg and Al targets [27].

Table 2. Electrical properties of the films annealed at 600 °C.

Mg Composition (at.%)	Carrier Concentration (cm ⁻³)	Mobility (cm ² /Vsec)	Resistivity (Ω ·cm)
0	2.1×10^{21}	6.5	$4.6 imes 10^{-4}$
1.2	1.7×10^{21}	5.1	$7.9 imes 10^{-4}$
1.6	1.5×10^{21}	3.9	9.7×10^{-4}
3.6	$9.6 imes 10^{20}$	3.4	1.9×10^{-3}
3.9	6.9×10^{20}	3.0	3.0×10^{-3}



Figure 2. Variations of the electrical resistivity (ρ), carrier concentration (n), and mobility (μ) of the films annealed at 600 °C.

Electron mobility is known to be affected by grain boundary scattering, ionized impurity scattering, and alloying scattering. Thus, the effective electron mobility can be represented by the Equation (1) shown, as follows [17]:

$$\frac{1}{\mu_e} = \frac{1}{\mu_{gb}} + \frac{1}{\mu_i} + \frac{1}{\mu_a} \tag{1}$$

where μ_e is electron mobility, μ_{gb} is mobility caused by grain boundary scattering, μ_i is mobility due to ionized impurity scattering, and μ_a is mobility due to alloy scattering. Carrier concentrations of the studied films were ~10²¹ cm⁻³, as shown in Table 2, suggesting that the scattering mechanism affected by alloying scattering is negligible [27]. Besides, the films with Mg concentration ≤ 1.6 at.% have a carrier concentration of more than 10²¹ cm⁻³, implying that scattering is mostly dominated by ionized impurity scattering where Mg atom is incorporated in lattice site replacing part of the Zn lattice. As the mentioned, atomic radius of Mg (0.72 Å) is only slightly smaller than that of Zn (0.74 Å), suggesting that the doping of Mg, in comparison with Al, generates less lattice strain due to the small lattice mismatch. The structural analysis shown in Figure 1 also confirmed that using a small amount of Mg doping yields the same wurtzite ZnO phase, associated with a slight shift of ZnO (002) orientation toward a higher diffraction angle, corresponding to the smaller ionic radius of Mg²⁺ in comparison with that of Zn²⁺. Notably, a trace amount of Al-doped in ZnO also suggests that the substitutional replacing of Zn lattice site in ZnO, although ionic radius of Al³⁺ (0.53 Å), is much smaller than that of Zn²⁺ [4]. In this study. Mg atom is also possible to replace the existing Al sites or to occupy interstitial

 Zn^{2+} [4]. In this study, Mg atom is also possible to replace the existing Al sites or to occupy interstitial sites in the ZnO lattice. As a consequence, the effect of Al doping in ZnO can be partially offset by Mg because Mg is more atomic size comparable and valance identical. This fact supports that the reduction of carrier concentration with Mg doping shown in Table 2. For Mg concentration \geq 3.6 at.%, the carrier concentration of the film is slightly less than 10^{21} cm⁻³, indicating the scattering mechanism gradually changes to grain boundary scattering because the excess Mg atoms may accumulate at grain boundaries [17,27]. Crystallinity decreases with increasing Mg content, as the XRD pattern shows in Figure 1, also supports the scattering mechanism discussed.

Because of Zn^{2+} and Mg^{2+} have the same valence number, the substitution between Mg^{2+} and Zn^{2+} should not generate or consume carriers of ZnO [30]. However, Mg and Al may also exist at grain boundaries and retard carriers' conduction [11,12]. For Mg-doped AZO film, Mg, instead of Al, may replace part of the Zn lattice site in wurtzite ZnO. Doping more Mg leads to a lower carrier concentration because Mg^{2+} creates fewer carriers than Al^{3+} [31], and, thus, a higher electrical resistivity for the resultant Mg-doped AZO film.

3.3. Optical Transmittance and Bandgap of the Mg-Doped AZO Films

Figure 3 shows the transmittance in the UV-vis-IR regions (300–800 nm) for the films before and after annealing at 600 °C. Optical transmittance of the film, determined as an average value in the visible light range between 400 and 800 nm, was from 89.6% to 93.7% for the as-deposited films (shown in Figure 3a) and from 90.2% to 94.4% for the annealed films (shown in Figure 3b). The films had a higher transmittance in the visible light range than previously reported results (~85%) [16,17,21,28]. The average transmittance increases with Mg concentration due to the reduced carrier concentration from the annihilation of oxygen vacancies [32]. The decrease in carrier concentration also reduces the overlap of the conduction band and therefore widens the bandgap that will be discussed later. A sharp increase in the absorption coefficient occurred at a wavelength of ~400 nm, indicating that the electrons can be excited from a filled band to an empty band by the photoexcitation. Figure 3 also shows that the absorption in the wavelength ranging from 300 to 400 nm shifted toward a short wavelength for the film with a high Mg concentration. The phenomena can be explained by the Burstein-Möss effect [33], in which the bottom of the conduction band might be filled up by the released electrons from oxygen vacancies. ZnO is well known as an intrinsic *n*-type material and the Fermi level will be of the conduction band when it is doped with Al and Mg. Since the states below the Fermi level of the conduction band are filled, the absorption edge should shift to higher energy [19,34].

The corresponding energy at the onset of this rapid change in the absorption is defined as the optical energy bandgap, *Eg* [35,36]. To calculate the bandgaps of the films, the absorption coefficient was assumed corresponding to the direct bandgap of wurtzite structured Mg-doped AZO. Plots of $(\alpha h \nu)^2$ against the photon energy $h\nu$, i.e., Tauc's model equation, where α is the absorption coefficient, *h* is the Plank's constant, and *v* is the photon frequency [37,38], are shown in Figure 4. Sharp absorption edges shifted to a blue light region for the high Mg-doped AZO film, implying an increase in the bandgap energy. The optical bandgap energies determined from the obtained absorption spectra were from 3.33 to 3.54 eV for the as-deposited Mg-doped AZO thin films, as shown in Figure 4a, showing that it increased linearly with increasing Mg dopant concentration. After annealing at 600 °C, the bandgap further increased with increasing Mg concentration from 3.62 to 3.75 eV, as shown in Figure 4b. The obtained results show that the bandgap of Mg-doped AZO thin films is larger than that of pure ZnO thin film (3.27–3.37 eV) [39–41] and those of AZO films (3.25–3.51 eV) [4,5], depicting that the remarkable effect of bandgap enlargement by Mg doping.



Figure 3. Optical transmission spectra for the studied film (**a**) as-deposited state and (**b**) post-annealed at 600 °C.

The widening bandgap by Mg doping in AZO also suggests that Mg is successfully incorporated into the wurtzite ZnO matrix, replacing Zn lattice sites, due to the electronegativity effect and the small difference between the ionic radius. Furthermore, annealing the sample also widens the bandgap of the studied films. Thus, this study shows that the bandgap of AZO thin films can be enlarged through Mg doping for the film deposited by sputtering using metallic targets, whereas the transmittance of AZO films can also be improved simultaneously by Mg doping. The improvement makes the Mg-doped AZO thin film a potential material for applications in optoelectronics UV-light devices.



Figure 4. The $(\alpha h \nu)^2$ versus $h\nu$ plots of the studied films (**a**) as-deposited state and (**b**) isothermally annealed at a temperature of 600 °C.

Using the Vegard's law $Eg_{Mg:AZO} = (1 - x) Eg_{AZO} + x Eg_{MgO}$ and taking $Eg_{AZO} = 3.33$ eV for the as-deposited film, 3.62 eV for the 600 °C-annealed film (Figure 3), and $Eg_{MgO} = 7.8$ eV [28,42] reported previously, the expected variation of the energy band gap of the studied Mg-doped AZO films are consistent with those of the Eg obtained, as shown in Figure 5. Figure 5 also summarizes some of the results reported previously to demonstrate most of the measured bandgap in AZO films prepared by various processes. As shown, Eg of the as-deposited Mg-doped AZO in this study is comparable to the AZO, suggesting that poor crystallinity of the as-deposited film indeed affects the Eg. However, 600 °C-annealed Mg-doped AZO films have a higher Eg than that of the as-deposited state because the incorporated Mg atoms substitute part of the Zn lattice sites, and, thus, enlarge the bandgap energy of the AZO film. Notably, the Vegards's law needs to be modified with a bowing parameter in terms of $Eg_{Mg:AZO} = (1 - x) Eg_{AZO} + x Eg_{MgO} - bx (1 - x)$, where b is bowing parameter [43,44]. Since the modification from the bowing parameter is ~0.07 eV for the composition range studied due to lattice distortion and charge exchange by alloying of the ZnO crystal [13], the Eg predicted by Vegard's law due to modification of the bowing parameter should be negligible.



Figure 5. Summary of bandgap obtained for Mg-doped AZO films with different Mg doping concentrations. Solid lines present the bandgap trend predicted by Vegard's law; the red line is the bandgap of AZO referred to the literature.

3.4. Surface Morphologies of the Mg-Doped AZO Films

Figure 6 shows the surface morphologies of the representative films before and after annealing at 600 °C. The surface morphology of the as-deposited AZO film is shown in Figure 6a, wherein the film remained smooth after the annealing (inset in Figure 6a). As observed, no obvious grain boundary can be found on the surface of the film, suggesting that the grain is too small to be observed. Surface morphologies of the as-deposited 1.2 at.% Mg-doped AZO film and the film annealed at 600 °C are shown in Figure 6b, indicating that the films also remained smooth. The as-deposited AZO film had a small Ra of 2.3 nm and the annealed film had a Ra of 4.4 nm due to grain growth after the annealing, as AFM images shown in Figure 7a,b, respectively. The 1.2 at.% Mg-doped AZO film also had a smooth surface both for the as-deposited state, with a Ra of 3.4 nm (Figure 7c), and that being annealed at 600 °C, with a Ra of 5.3 nm (Figure 7d). The films have a smooth surface both on the as-deposited state and those isothermally annealed at 600 °C, which is in terms an essential factor for applications in TCOs industry.



Figure 6. Surface images of (**a**) as-deposited AZO thin film and (**b**) as-deposited 1.2 at.% Mg-doped AZO film; inset shows the surface morphology of the respective film post-annealed at 600 °C.



Figure 7. AFM images of (**a**) as-deposited AZO film, (**b**) AZO film that being annealed at 600 °C, (**c**) as-deposited 1.2 at.% Mg-doped AZO film, and (**d**) 1.2 at.% Mg-doped AZO film that being annealed at 600 °C.

4. Conclusions

Structural, optical, and electrical properties of Mg-doped AZO films prepared by the reactive co-sputtering method, using metallic targets of Mg and Al–Zn, were systematically investigated. Mg doping increased effectively in the bandgap energy of AZO from 3.62 to 3.75 eV. Besides, optical transmittances of all the films were over 90% in the visible light region, and all films showed a sharp absorption edge in the UV region. Meanwhile, Mg-doped AZO (002) preferential orientation still preserved after the 600 °C-annealing, demonstrating that dopant of Mg has substituted part of the Zn lattice site. The 1.2 at.% Mg-doped AZO film had the lowest electrical resistivity of $7.9 \times 10^{-4} \Omega \cdot cm$, the optical transmittance of 92.6% in the visible light range, and bandgap of 3.66 eV when the film was annealed at 600 °C. The results demonstrate that a superior transparent conductive Mg-doped AZO film can be deposited using metallic targets. This study may provide insight into a potential application of the Mg-doped AZO film as a transparent conducting oxide.

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