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Influences of Substrate Temperatures and Oxygen Partial Pressures on the Crystal Structure, Morphology and Luminescence Properties of Pulsed Laser Deposited Bi₂O₃:Ho³⁺ Thin Films

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Abstract: Monoclinic Bi₂O₃:Ho³⁺ powder was synthesized using a co-precipitation method, followed by the deposition of Bi₂O₃:Ho³⁺ thin films on Si (100) substrates at various substrate temperatures (room temperature–600 °C) and oxygen partial pressures (5–200 mT) using pulsed-laser deposition. X-ray diffraction analysis showed a single α-Bi₂O₃ phase at temperatures of 400 and 500 °C, while a mixed α- and β-Bi₂O₃ phase was obtained at 600 °C. The films deposited at the different oxygen partial pressures showed an α-Bi₂O₃ and non-stoichiometric phase. The influences of different substrate temperatures and oxygen partial pressures on the morphology and the thickness of the films were analyzed using a scanning electron microscope. The root mean square roughnesses of the films were determined by using an atomic force microscope. The surface components, oxidation states and oxygen vacancies in all the deposited thin films were identified by X-ray photoelectron spectroscopy. The optical band gap of the Bi₂O₃:Ho³⁺ thin films was calculated using diffused reflectance spectra and was found to vary between 2.89 and 2.18 eV for the deposited films at the different temperatures, whereas the different oxygen partial pressures showed a band gap variation between 2.97 and 2.47 eV. Photoluminescence revealed that Ho³⁺ was the emitting centre in the isolated thin films with the ⁵F₄/⁵S₂ → ⁵I₈ transition as the most intense emission in the green region.

Keywords: Bi₂O₃:Ho³⁺ thin films; X-ray diffraction; scanning electron microscopy; X-ray photoelectron spectroscopy; photoluminescence

1. Introduction

The recent popularity of Bi₂O₃ as a p-type semiconductor as a research topic, is mainly due to its attractive physical properties, which include wide optical bandgap energies that range from about 2.0 to 3.9 eV, high dielectric permittivity, good photocatalytic activity, high refractive index, oxide ion conductivity and good photoluminescence (PL) [1,2]. Bi₂O₃ also has the potential to be used in a number of modern applications, including their applications as supercapacitors, optical coatings, optoelectronic devices, gas sensors, microwave integrated circuits, solar cells, etc. [3,4].

It is common knowledge that Bi_2O_3 has five different polymorphic forms that are denoted as α -Bi₂O₃ (monoclinic), β -Bi₂O₃ (tetragonal), γ -Bi₂O₃ (body centred cubic), δ -Bi₂O₃ (face centred cubic) and ϵ -Bi₂O₃ (orthorhombic) [3,4]. The α -Bi₂O₃ phase is the favourite research candidate amongst the different polymorphic forms, due to its high refractive index, good photocatalytic activity and



stability at room temperature, while the α -Bi₂O₃ nanostructure is well-known as a visible light active semiconductor. This makes it a very suitable candidate for solid-state white lightening optoelectronics, optical switches, ultra-short pulse generators and nonlinear optical fibre devices [1,5–7]. The β -Bi₂O₃ on the other hand, has shown tremendous potential in photo-electrochemical and photocatalytic applications [8].

Research literature indicates that the Bi_2O_3 films have been prepared by various methods, such as the vapor transport method [7], spray pyrolysis technique, pulsed laser deposition (PLD), chemical vapor deposition (CVD), sputtering, electro-deposition and sol–gel technique [6]. Reported results indicate that it is possible to grow good quality oxide films at comparatively low substrate temperatures with PLD without the need for annealing treatment. These films exhibit highly textured morphologies with improved orientation which increases the probability of growing better quality thin films with improved luminescence and optical properties. In addition, PLD also has the potential to deposit various thicknesses of the films, which opens up the opportunity for the simultaneous use of several distinct materials as targets and the opportunity for the in situ deposition of hetero-structures with atomic precision or with complex oxides. [9,10]. Recent articles on the use of PLD for Bi_2O_3 thin film deposition reported cost-efficient BK7 glass slide deposition with substrate temperatures ranging between 300 and 600 °C and included a report on the crystal structure, morphology and optical properties of the deposited material. Results which indicated the formation of various energy bandgap values for the different films may have useful applications in several optoelectronics industries [11].

PL is also a very useful technique to investigate the structure, the electronic band transitions and the intrinsic and extrinsic defects of these deposited materials [12], and has extensively been used to study the optical variations of α -Bi₂O₃ which can potentially be used in numerous applications [12–14]. Li et al. [15] reported the layer-by-layer assembly preparation of thin-film Bi₂O₃. The excitation spectra of these Bi₂O₃ films indicated a very characteristic and intense peak at 390 nm, which was very close to the 388 nm band (3.2 eV), which is normally attributed to the band gap of excited Bi₂O₃. The strong green emission of Bi_2O_3 is ascribed to intraionic transitions in Bi^{3+} (${}^{3}P_1$ - ${}^{1}S_0$ transitions) [16–18]. The weak emission intensity of Bi ions in Bi_2O_3 restricts its application as a light emitting phosphor [13,19,20]. Research, however, proved that the doping of Bi_2O_3 with rare earths (REs) enhances the PL properties of Bi_2O_3 nanocrystals in the visible to infrared region [1,2]. It is well known that the excitation of RE ions in the UV-visible region results in the emittance of sharp emissions bands in the ultraviolet to the far infrared region due to their 4f–4f or 5d–4f transitions [1,2,20]. Research confirmed that the inclusion of RE ions into the Bi₂O₃ crystal lattice improved the luminescence properties of the Bi nanocrystals [2]. Among the RE ions, holmium (Ho^{3+}) is one of the desirable candidates to be used as an activator because of its favourable energy level structure and multiple meta-stable energy levels [21]. Ho^{3+} ions are used for laser action at different wavelengths, from 550 nm to 3.9 μ m, in a variety of hosts [22]. Results obtained by Premkumar et al. [23] indicated that the inclusion of Ho^{3+} into a YAlO₃ nanophosphor produced multiple meta-stable Ho³⁺ energy levels due to the complexity of its 4f¹⁰ energy level system, which produced multiple emissions at various wavelengths ranging from the visible to the infrared region [1,23]. The green emission, which dominates the blue and red emissions, is attributed to the ${}^{5}F_{4}/{}^{5}S_{2} \rightarrow {}^{5}I_{8}$ transitions present in the Ho³⁺. This intense green luminescence can be used in the lamp industry, in cathode ray tube (CRT) manufacturing, as field emission displays (FEDs), in security printing and even in biologically-oriented applications [24–27]. Additionally, the NIR emissions may have applications in remote sensing, military applications and weather forecasting, or for atmospheric pollution detection [28,29]. In addition, the ionic radius of the Ho³⁺ ion (0.90 Å) is nearly equal to that of the Bi³⁺ ion (1.03 Å) in Bi₂O₃ [30]; therefore, the Ho³⁺ ions are easily substituted into Bi^{3+} in the Bi_2O_3 crystal lattice without any charge compensation. In our pervious study we reported on the structural, optical, photoluminescence and photocatalytic properties of the monoclinic Bi_2O_3 :Ho³⁺ nanopowder that was synthesized by the co-precipitation method, which showed a strong green emission with greater photocatalytic activity for degradation of organic dye under UV-Vis light [1]. There are only a few reports on optical and luminescence studies of RE-ion-doped Bi_2O_3

thin films [10,31]. Due to the strong green emission of the obtained powder [1], it was necessary to also try to obtain efficient thin films for the different applications, especially flat panel displays (FPDs). Thin film phosphor materials have some advantages over powders in the FED environment, such as the reduction of light scattering and the good thermal contact between the screen and the faceplate [32,33]. The laser deposition technique has advantages over other deposition methods, because it is a purely physical mechanism without the incorporation of carbon and the stoichiometry of the target material is maintained in the deposited films [34]. The thickness and the surface morphology can be controlled by varying, among the growth parameters, the number of laser pulses and the ambient gas pressure in the deposition chamber [35].

This study investigated the PLD deposition of Bi_2O_3 :Ho³⁺ thin films on silicon (100) substrates. The effects of deposition substrate temperatures and oxygen partial pressures on the as-prepared films' structural, morphological, compositional, optical and luminescence properties were systematically investigated. The surface chemical states were studied by X-ray photoelectron spectroscopy (XPS), while the resulting crystal features, morphology, surface contaminations and oxygen vacancies were correlated with the PL results. The first step was to understand the material characteristics and to determine the correlations between the deposition parameters and photoluminescence properties of the Bi_2O_3 :Ho³⁺ thin films. No work has not yet been reported on the luminescence studies of Ho³⁺-doped Bi_2O_3 thin films. Optimization of the deposition parameters is very important in the study of luminescent thin films. Therefore, we examined the conditions under which strong PL from Bi_2O_3 :Ho³⁺ was observed.

2. Materials and Methods

For the pellet preparation, 1 mol.% Bi_2O_3 :Ho³⁺ powder was prepared by co-precipitation. The detailed preparation of Bi_2O_3 :Ho³⁺ was described in a previous study [1]. The prepared powder was annealed at 300 °C for 2 h in air at the furnace heating rate of 5 °C/min in order to improve its stoichiometry and crystallinity [9,10]. Approximately 10 g of the annealed powder was pressed (12 ton) as a 25 mm diameter disk, using a hydraulic press. The disks were introduced into a PLD chamber and placed in a target holder which was rotated at 10 degrees/min to avoid laser-pinning.

Si (100) substrate was cut into pieces of $\approx 3 \text{ cm} \times 2 \text{ cm}$. The substrates pieces were ultrasonically cleaned for about 5 min using acetone, ethanol and then distilled water. The substrates were then dried with a stream of nitrogen gas and placed in the PLD chamber. The temperature in the PLD chamber was kept constant at RT, 200, 300, 400, 500 and 600 °C during the ablation process while a vacuum base pressure of $\approx 2.6 \times 10^{-5}$ T was maintained in the PLD chamber during the deposition of the films. A 266 nm Nd:YAG laser was used for the ablation and the substrate–target distance was maintained at 5 cm for all samples. The laser energy, time of deposition and ablated area were fixed at 50 mJ, 15 min and 1.5 mm, respectively. The chamber was also back filled with oxygen at partial pressures of 5, 20, 100 and 200 mT while the substrate temperature was kept constant at 400 °C.

The crystal structural properties of the Bi₂O₃:Ho³⁺ thin films were analysed with a Bruker D8 Advance X-ray diffractometer (XRD, Billerica, MA, USA) using Cu K α radiation (λ = 0.154 nm). The surface morphologies of the deposited films were analyzed by a field emission scanning electron microscope (FESEM) using a JEOL JSM-7800F microscope (Tokyo, Japan) and a Shimadzu atomic force microscope (AFM) Model SPM-9600 (Tokyo, Japan). The FESEM was equipped with an energy dispersive X-ray spectrometer (EDS) and was used for the quantification of elements present in the deposited films. The diffuse reflectance (DR) spectral measurements were recorded using a Perkin Elmer Lambda 950 UV–Vis spectrophotometer (Waltham, MA, USA) with an integrating sphere. The XPS measurements were performed using a PHI 5400 XPS spectrometer (Chanhassen, MN, USA) with a non-monochromatic Mg K α X-ray source (1253.6 eV), operating at 300 W and 15 kV, and a hemispherical sector analyser. The photoelectron take-off angle measurements were done at 45°. Survey scans were monitored with an energy sweep of 178.95 eV in steps of 0.25 eV and dwell time of 100 ms. High resolution scans used an energy sweep of 44.75 eV and a step size 0.125 eV. Charge

corrections were applied using the C–C bond with a binding energy of 284.7 eV as reference, and the high resolution XPS spectra were deconvoluted using XPS PEAK 4.1 software. PL measurements were carried out with an Edinburgh Instruments FLS980 system (Livingston, UK) equipped with dual monochromators and excitation was achieved with a 450 W steady-state Xe lamp.

3. Results

The XRD patterns in Figure 1 show the as-deposited Bi₂O₃:Ho³⁺ films on the substrates at different temperatures (T_S) which ranged between RT and 600 °C. The maximum diffraction peaks of the RT film indicated the presence of monoclinic α -Bi₂O₃ (JCPDS card 71-2274), with the main one situated at $2\theta = 27.3^{\circ}$ (121) and a non-stoichiometric of Bi₂O_{2.3} phase peak at 29.2° (JCPDS card number 76-2477). However, the XRD pattern of the film obtained for the T_S at 200 °C consisted of a mixture of Bi metal diffraction peaks at $2\theta = 22.6^{\circ}$, 38.03° and 39.71° (JCPDS card number 85-0051), and β -Bi₂O₃ peaks at 2θ = 31.6° and 44.5° (JCPDS card number 74-1331) indicating the presence of the non-stoichiometric Bi₂O_{2.3} phase and the predominant presence of the α -Bi₂O₃ phase. The XRD pattern of the T_S at 300 °C showed the presence of α -Bi₂O₃ phase accompanied by peaks attributed to Bi metal and non-stoichiometric phases. At this temperature, the β -phase peaks and some Bi metal peaks at $2\theta = 38.03^{\circ}$ and 39.71° disappeared, and a new α -Bi₂O₃ peak appeared at 57.9°. At 400 and 500 °C only a single α -Bi₂O₃ phase product was observed, suggesting the complete oxidation of the bismuth thin films [36,37]. A further increase of T_S to 600 °C again led to the formation of mixed α -, β -Bi₂O₃ phases with the β -Bi₂O₃ peaks positioned at $2\theta = 11.5^\circ$, 23.0° and 29.3° (JCPDS card number 74-2351). The results also indicate that the β -Bi₂O₃ peak positioned at 11.5° which corresponds to the (200) index plane becomes more prominent at 600 °C. The growth of the tetragonal Bi_2O_3 phase at 600 °C was also confirmed by the FESEM image (Figure 4). No additional peaks related to the presence of Ho or any other impurities were detected, and these results indicated that α -Bi₂O₃ is thermodynamically stable between 400 and 500 °C [38].



Figure 1. XRD patterns of as-deposited Bi₂O₃:Ho³⁺ thin films deposited at the different substrate temperatures.

The XRD results indicated that the synthesis of α -Bi₂O₃ and mixed α , β phases can be achieved by controlling the substrate temperature in vacuo. It is concluded that the high T_S is not suitable for obtaining pure α -Bi₂O₃ thin films. Similar results were reported by Ahila et al. [39], who prepared Bi₂O₃ films via a constant potential anodization method, a process by which they thermally evaporated "Bi" layers onto a stainless steel substrate. These thin films were annealed at temperatures between 300 and 600 °C. The resulting layers obtained at 300, 400 and 500 °C showed diffraction peaks that correlated well with those of monoclinic α -Bi₂O₃. The results obtained for the films annealed at 600 °C, however, indicated the transformation of the α -Bi₂O₃ into the β -Bi₂O₃ phase [39].

The diffraction peak intensity increase in Figure 1, with the increase of the T_S , is accompanied by a decrease in the peak broadening (better defined), suggesting improvement of the crystallinity of the film at higher temperatures. The crystallite sizes "D" for the Bi₂O₃:Ho³⁺ films were calculated using the Scherrer formula [13]. The most prominent peak at 27.3° ($\overline{12}$ 1) was used for calculating the crystallite sizes for the different temperatures (RT-500 °C) and peak at 11.5° (200) was used for 600 °C calculation. The results indicated that the crystallite size increased from RT to 400 °C and values of 47, 48, 49 and 49.5 nm were obtained at RT, 200, 300 and 400 °C respectively. This enhancement of the lattice plane orientation with an increase in temperature is attributed to an increase in the surface diffusion of the adsorbed species, resulting in an improvement in the crystal growth [40,41]. Similar results were also observed for ZnO [42], ZnO:Al [43] and ZnO:Ga [44]. Further increases in T_S to 500 and 600 °C led to decreases of the crystallite size to 49 and 48.8 nm respectively. A possible reason may be due the poor plane orientation as a result of monoclinic Bi₂O₃ [45].

The XRD patterns of the Bi₂O₃:Ho³⁺ films deposited on the Si substrates at 400 °C and in the presence of different oxygen partial pressures (5–200 mT), are reported in Figure 2. All the diffraction peaks of the films (5–100 mT) indicate mixed α -Bi₂O₃ and non-stoichiometric phases of Bi₂O_{2.3} with different peak intensities. Most of the observed peaks match those of the α -Bi₂O₃ phase, (JCPDS card number 71-2274), while the diffraction peaks of the non-stoichiometric phase of Bi₂O_{2.3} (JCPDS card number 76-2477) were situated at 2 θ = 29.2 (most intense) and 30.5°. There was also no evidence of the presence of Bi in metallic phase in these then oxide films. The crystallite sizes of the films also increased stepwise from 40 to 41, 43, and finally 45 nm as a function of the increases in oxygen pressures from 5 to 20, 100 and finally 200 mT and is attributed to a decrease in intrinsic crystal defects with an increase in the oxygen pressure, resulting in the improved crystallinity [46]. Similar results were reported by Jian et al. [47], who also observed improved ZnO thin film crystallinity at higher oxygen partial pressures.



Figure 2. XRD patterns of Bi_2O_3 :Ho³⁺ thin films deposited at the different oxygen partial pressures with constant substrate temperature at 400 °C.

The lattice strain (ε) was calculated using Equation (1) [48]:

$$\varepsilon = \frac{\beta \cos \theta}{4} \tag{1}$$

where, β is the full width at half maximum (FWHM) in radians and θ is the Braggs angle. The value of dislocation density (δ) is calculated using the Equation (2) [49]:

$$\delta = \frac{1}{D^2} \tag{2}$$

The results in Table 1 indicate that the increase in crystallite size is associated with decreases in the strain and dislocation density, resulting in the improvement in the crystallinity of the Bi_2O_3 :Ho³⁺ thin films. The decrease in strain indicates a decrease in crystal defect concentration and the subsequent formation of high quality films. The products isolated at 500 °C and above indicated a decrease in crystallite size with an increase in strain and dislocation density which could be due to the phase transformation [48].

Table 1. Structural parameters for the Bi_2O_3 :Ho³⁺ (1 mol.%) film deposited at different substrate temperatures.

Ts	Crystallite Size (D) nm	Strain (ϵ) $ imes$ 10 ⁻⁴	$\sigma_{\rm Stress}~{ m GPa} imes 10^{-3}$	Dislocation (δ) × 10 ⁴ Lines/m ²	TC _{hkl}
RT	47.4	22.78	31.89	4.45	0.041
200 °C	48.3	6.50	9.10	4.29	0.078
300 °C	49.3	6.19	8.67	4.11	0.272
400 °C	49.5	4.95	6.93	4.08	1.495
500 °C	49.1	6.23	8.72	4.15	1.447
600 °C	48.8	8.33	11.66	4.20	0.017

The stresses of the prepared films were calculated using the Equation (3) [48]:

$$\sigma_{\text{stress}} = \frac{\varepsilon}{2} E \tag{3}$$

where E is the Young's modulus of the Bi₂O₃ thin film (28 GPa) [6,49] and ε is the lattice strain. The estimated values of the stress in the films which were grown at the different substrate temperatures are tabulated in Table 1, with a positive sign indicating that the films are in a state of tensile stress. The results clearly indicate that an increase in substrate temperature increased the atomic mobility, and subsequently reduced structural defects and thus caused a decrease in the crystal stress [48]. An increase in stress was observed for the films obtained at 500 °C and above, possibly due to a change in the morphology of the film [50].

The reflection intensities for each XRD pattern contain extra information related to the preferential or random growth of the polycrystalline thin films. Quantitative information concerning the preferential orientation and texture coefficient (TC_{hkl}) was calculated with Equation (4) [48] and the results are reported in Table 1.

$$TC_{hkl} = \frac{I_{(hkl)} / I_{0(hkl)}}{\frac{1}{n} \sum I_{(hkl)} / I_{0(hkl)}}$$
(4)

where $I_{(hkl)}$ is the measured relative intensity of a plane (hkl) and $I_{o(hkl)}$ the standard intensity of the plane (hkl) as taken from JCPDS data, and n is the total number of reflections. The gradual change of the film texture in a ($\overline{121}$) plane was used to evaluate the texture coefficient of each isolated film. The results in Table 1 indicate an increase in texture coefficient which is associated with the substrate temperature increase and reaches a maximum at 400 °C, and then decreases moderately with a further increase to 500 °C, but decreases substantially when the temperature is increased to 600 °C. The calculated texture coefficient for the film deposited at 400 and 500 °C exceeded one (unity) and is an indication that the film was preferentially oriented along the ($\overline{121}$) plane [6,51].

The crystal size, strain, stress and dislocation of the deposited films as functions of O_2 partial pressure, are reported in Table 2. The results indicate that the crystallite size increase which is observed is associated with a decrease in the strain, in the stress and in the dislocation density with an increase in the O_2 partial pressures. This is indicative of improved crystallinity in the newly formed Bi_2O_3 :Ho³⁺

thin films and a decrease in crystal defect concentrations. TC_{hkl} values <1 were obtained for all the different O₂ partial pressures, which implies a lack of grained growth in the hkl direction ((121) plane) considered [6].

Table 2. Structural parameters for the Bi_2O_3 :Ho³⁺ (1 mol.%) films obtained at different O_2 partial pressures with constant substrate temperature at 400 °C.

O ₂ Partial Pressure (mT)	Crystallite Size (D) nm	Strain (ε) $\times10^{-4}$	$\sigma_{\rm Stress} { m GPa} imes 10^{-3}$	Dislocation (δ) × 10 ⁴ lines/m ²	TC _{hkl}
5	39.6	8.75	12.25	6.38	0.126
20	41.0	8.46	11.84	5.95	0.069
100	42.8	8.09	11.33	5.46	0.058
200	44.9	7.77	10.88	4.96	0.120

In Figures 1 and 2, the relative XRD peak intensities of certain crystal planes are noticeably different, indicating a variation among the growth rates of certain crystal planes [52]. The different deposition conditions (different substrate temperature and pressure) lead into the anisotropic growth of crystals, random orientation of crystal planes and different shapes and thicknesses of the thin films. Similar results were observed on the XRD patterns of indium tin oxide thin films that were grown by direct current magnetron sputtering at different O₂ partial pressures [53]. Dev et al. [6] reported on the structural and optical properties of undoped and B doped Bi₂O₃ thin films. They observed variations in the intensities of the (112) and (022) diffraction peaks in the α -Bi₂O₃ due to the enhancement of stacking defects in the Bi₂O₃ lattice structure, the segregation of the B dopant around the grain boundaries and the change of intrinsic strain through doping. Kumari et al. [18] studied Bi thin films that were deposited by thermal evaporation at varying substrate temperatures and they found that the substrate temperature strongly affected the surface morphology and crystalline orientation of the Bi thin films.

The FESEM images of the Bi_2O_3 :Ho³⁺ films deposited at different temperatures (T_S = RT, 200, 300, 400, 500 and 600 °C,) are reported in Figure 3a-f respectively. The images clearly indicate a change in surface morphology which is associated with an increase in substrate temperature and that the morphologeis of the thin films were strongly dependent on the deposition conditions. The images reveal non-uniform morphology without any cracks. At lower substrate temperatures (Figure 3a for RT), the films had small grains sizes and poor crystallinity. At higher temperatures (200, 300 and 400 °C), the surfaces appeared rough, and agglomerated particles were distributed inhomogeneously on the substrate surface Figure 3b–d. The aggregation of small crystalline grains was also evident under these conditions. At a substrate temperature of 500 °C (Figure 3e) the film consisted of small crystallites which were well distributed over the entire substrate area and clearly revealed a clear "step-and-terrace structure" [54,55]. At 600 °C, the film showed the same "step-and-terrace structure" along with a few tetragonal bipyramidal crystals which are highlighted with red circles in Figure 4. The appearance of the tetragonal crystals at 600 °C is attributed to the dominance of the β -Bi₂O₃ phase, which is also confirmed by the XRD results. The Bi and O atoms contain a lot of kinetic energy when they arrive at the Si substrate surface during the deposition process. At lower substrate temperatures (RT), the atoms have low mobility and the total energy (\equiv kinetic energy plus thermal energy) rather supports a diffusive force which is unable to overcome the interaction or attractive force between the atoms and the substrate surface. This results in a decrease in grouping which is needed for nucleation and island formation, which ultimately leads to the growth of small grains on the substrate [56,57]. An increase in substrate temperature increases the total thermal energy of the atoms, which increases the surface diffusion of the Bi and O atoms. These atoms also do not have sufficient time to nucleate since they arrive on the surface of the substrate with high kinetic energy [58]. The collisions among the diffusing atoms are therefore greater than those between the atoms and the substrate surfaces, and tend to adhere to one another, resulting in the nucleation of tiny nanoclusters at the higher substrate temperatures [56,58]. At 500 and 600 °C, the kinetic energy of the atoms is even higher, resulting in an increase in atom mobility and enhanced surface diffusion which ultimately results in differences in



Figure 3. FESEM images (a-f) and cross-sections (g-l) of Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures.



Figure 4. FESEM image of Bi_2O_3 :Ho³⁺ thin film deposited with substrate temperature 600 °C, and the tetragonal bipyramids are marked with red circles.



Figure 5. FESEM images of the sample deposited at RT with different magnifications (**a**) \times 20,000 (**b**) \times 30,000 (**c**) \times 60,000 (**d**) \times 150,000, as pointed out on the scale bars.

Average particle size was calculated by choosing isolated particles from the FESEM using ImageJ software (version 1.53e). Histograms of the particle size distribution pattern were fitted with Gaussian distributions to calculate average particle sizes of the Bi₂O₃:Ho³⁺ thin films deposited at different substrate temperatures. The average particle size was 50 nm for RT, 57 nm for 200 °C, 81 nm for 300 °C, 66 nm for 400 °C, 56 nm for 500 °C and 74 nm for 600 °C, as shown in Figure 6a–f. The average particle size increased until the substrate temperature of 300 °C due to the effects on nucleation, crystal growth and the aggregation of small grains. The decrease in the average particle size with a further increase in the substrate temperature up to 500 $^\circ C$ was due to less agglomeration of the small grains. The average particle size again increased at a higher substrate temperature ($T_S = 600$ °C), possibly due to the partial phase transformation to the β -Bi₂O₃, resulting in nucleation along the (200) plane of β -Bi₂O₃. The cross-section images of the Bi₂O₃:Ho³⁺ films at different substrate temperatures are given in Figure 3g-l and the average thickness of each thin film was calculated using ImageJ software. These calculations indicate a decrease in thickness as a function of temperature increase, and thickness was calculated as 1380 ± 13 , 855 ± 15 , 319 ± 16 , 307 ± 13 , 303 ± 12 and 218 ± 10 nm for the substrate temperatures of RT, 200, 300, 400, 500 and 600 °C, respectively. This may have been due to densification, crystallization and the formation of large grain sizes, as previously reported by Tay et al. [60]. Additionally, at the high substrate temperatures (500 and 600 °C), interdiffusion of the

Bi, O and Si may have occurred, which could have led to the formation of the spherical particles at the thin film interface, as shown in Figure 3k–l. This is supported by the higher density and larger spherical particles which were present at 600 °C (Figure 3i) compared to those obtained at 500 °C.



Figure 6. Histograms for particle size distribution and Gaussian fitting curves of the Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures: (**a**) RT, (**b**) 200 °C, (**c**) 300 °C (**d**) 400 °C, (**e**) 500 °C and (**f**) 600 °C.

The EDS elemental analysis of the Bi_2O_3 :Ho³⁺ (1 mol.%) film deposited at different substrate temperatures indicated the presence of Bi, O and Ho, as displayed in Figure S1 (Supplementary Materials). The results also indicate the presence of Si, which is attributed to the substrate used, while the presence of Al in the EDS analysis may have originated from the sample holder used in this study. The elemental analysis clearly indicates significant changes in the wt.% of Bi, O and Ho with an increase in T_S. At 500 °C the elemental content of the thin layer indicated wt.% of Bi and O which were close to the theoretical stoichiometry of Bi_2O_3 , indicating that the product deposited at this temperature consisted of only the α -Bi₂O₃ phase, which is in agreement with the XRD result.

The FESEM cross-section image of the Ho³⁺-doped Bi₂O₃ film from T_S = 600 °C is presented in Figure 7a. To examine the elemental compositions in the three different regions indicated, typically selected spots 1, 2 and 3 represent the coated film surface, substrate (Si) and the Bi₂O₃/Si interface respectively, where the EDS measurements were performed. The elemental analysis of spot 1 and spot 3 exhibited the stoichiometry of the expected Bi₂O₃ product, while the elemental analysis of spot 2 showed a maximum wt.% Si present (as expected) as indicated in the Figure 7b–d. The presence of a substantial amount of Si in the interface region at spot 3 clearly confirms that interdiffusion of the deposited material and the Si substrate occurred, with the subsequent formation of spherical type of particles. The FESEM image of the Ho³⁺-doped Bi₂O₃ film with T_S = 600 °C is presented in Figure S2a. The EDS spectra of the Ho³⁺-doped Bi₂O₃ film with T_S = 600 °C at two distinct regions were obtained as shown in Figure S2b,c, and are represented as spot 1 and spot 2. The EDS spectra indicate that the two different regions consist of the elements Bi, O, Ho and Si, which confirms that both the regions have nearly the same atomic wt.% of Bi, O and Ho elemental composition.



Figure 7. SEM cross-section. (a) EDS taken at spot 1 (b), spot 2 (c) and spot 3 (d) of Bi₂O₃:Ho³⁺ (1 mol.%) deposited at $T_S = 600$ °C.

The subtle changes in the surface morphologies of the Bi_2O_3 :Ho³⁺ PLD thin films deposited in the different oxygen partial pressures are shown in Figure 8a–d. The thin film deposited at 5 mT in Figure 8a indicate uniform sized, needle-shaped grains interrupted by a few spherical shaped grains. The needle-shaped grains disappeared with an increase in oxygen pressure to 20 mT Figure 8b, and only aspherical shaped grains were observed. At 100 mT oxygen (in Figure 8c) the small spherical grains became agglomerated, resulting in the formation of larger bigger grains that were randomly distributed on the film's surface. A further oxygen pressure increase to 200 mT resulted in the formation of finely packed and uniformly sized grains on the surface (see Figure 8d). FESEM images with two different magnifications of the film obtained at an oxygen partial pressure of 200 mT are presented in Figure S3. The uniformity of the film is clear.



Figure 8. Cont.



Figure 8. FESEM images (**a**–**d**) and cross-sections (**e**–**h**) of Bi_2O_3 :Ho³⁺ thin films deposited in the different oxygen partial pressures.

Li et al. [61] observed similar behaviour for $SrBi_2Ta_2O_9$ thin films. At low oxygen pressure (5 and 20 mT), the plume components avoid condensation due to the low probability of these molecules interacting with the chamber oxygen gas molecules and thus reached the substrate surface with relatively high kinetic energy to form the distinct grains observed [62]. As the oxygen pressure increased to 100 mT, the collision probability among the plume components with the chamber oxygen increased, which decreased their kinetic energy. As a result, the surface diffusion of the atoms slows down, condition which favours grain growth, resulting in a bigger grain size due to agglomeration [63]. Further increasing the oxygen partial pressure to 200 mT increased the possibility of more frequent collisions between the atoms, decreasing the kinetic energy even more. This reduces the ability of the atoms to move on the substrate surface, which reduces the degree of agglomeration, resulting in better results at a higher temperature [64,65]. Ali et al. [66] also observed similar results during the deposition of thin $Y_2O_2S:Eu^{3+}$ films using PLD. The deposition at higher oxygen partial pressure led to nucleation and growth of smaller nanoparticles with less agglomeration. The changes in the surface morphology might also be due to the orientation of the crystal [67]. The likelihood of this was observed in the XRD result reported in Figure 2. At 5 mT pressure, the product on the substrate surface was dominated by α -Bi₂O₃ in relation to the non-stochiometric Bi₂O_{2.3}; when the oxygen partial pressure was increased to 100 mT, the non-stochiometric $Bi_2O_{2,3}$ phase dominated; and at the highest oxygen partial pressure (200 mT), α -Bi₂O₃ became the dominated phase when compared to that of the 100 mT oxygen partial pressure. Figure 9 shows the histograms for the particle size distribution and the Gaussian fitting curves of the Bi₂O₃:Ho³⁺ thin films deposited in the different oxygen partial pressures. The average particle size was found to be 82 nm for 5 mT, 117 nm for 20 mT, 153 nm for 100 mT and 177 nm for 200 mT. It significantly increased with an increase in the oxygen partial pressure and conformed that an almost uniform particle distribution occurred at the 200 mT oxygen pressure.



Figure 9. Histograms for particle size distribution and Gaussian fitting curves of the Bi_2O_3 :Ho³⁺ thin films deposited in the different oxygen partial pressures: (**a**) 5 mT, (**b**) 20 mT (**c**) 100 mT and (**d**) 200 mT.

The FESEM results were also used to study the film thickness on the substrate surface. This indicated that the average film thickness remained almost constant at 226 ± 10 nm when the oxygen partial pressure was varied between 5 and 20 mT. The film thickness increased to 248 ± 37 and 515 ± 19 nm when the partial pressure was increased to 100 and 200 mT, respectively. The deposition rate was calculated to be 15 nm/min for the 5 and 20 mT oxygen partial pressures. Further increases in the oxygen partial pressure accelerated the film deposition rate to 16.5 and 34 nm/min for 100 and 200 mT, respectively. The increase of the thin film thickness at higher the oxygen partial pressures is therefore attributed to the increase in the deposition rate. At low oxygen pressure, the ablated species have a high kinetic energy, and the mean free path is long and can easily reach the substrate. Some of the incident ablated species with high kinetic energy tend to desorb from the substrate due to the effect of re-sputtering. That leads to a decrease in the deposition rate. With an increase of oxygen pressure, the mean free path of the ablated species becomes shorter, which leads to an increase in collisions between the ablated species and the background oxygen molecules. As a result, they lose some of their partial kinetic energy before reaching the substrate, resulting in an increasing number of adatoms which manifests itself in an increase in deposition rate at higher oxygen pressures [65,68]. Zhang et al. [68] and John et al. [66] observed a similar result for the Bi₂O₃–ZnO–Nb₂O₅ thin films and BaSnO₃ thin films deposited by the PLD method. The EDS elemental analysis of the Bi_2O_3 :Ho³⁺ (1 mol.%) films deposited at different O₂ partial pressures with T_S = 400 °C indicated the presence of Bi, O and Ho elements and are reported in Figure S4.

AFM analysis is a power technique to quantitatively measure the nanometric dimensional surface roughness and for visualizing the surface nano-textures of the deposited films. The 3D-AFM images of the Bi₂O₃:Ho³⁺ (1 mol.%) films deposited at different T_S in vacuo are given in Figure 10a–f. The films exhibited agglomerate grains at low T_S (RT, 200, 300 and 400 °C) and decreases in agglomeration at 500 and 600 °C. The plot of the surface root mean square (RMS) roughness values of the films as a function of substate temperature is shown in Figure 10g. The calculated RMS roughness value decreased with an increase in the T_S: 131.0, 124.9, 73.9, 50.7, 32.1 and 10.8 nm at RT, 200, 300, 400, 500 and 600 °C, respectively. The results in Figure 10a–d indicate indistinct grain boundaries and very rough surfaces for the thin films produced. At higher T_S the adatoms initiated the formation of more crystalline particles with defined boundaries, resulting in smoother film surfaces. At 500 and 600 °C, however, the number of the adatoms decreased, resulting in a decrease in the RMS roughness value of the film. The reduction in the surface roughness at higher T_S can be attributed to the increase in atom mobility,

resulting in improved atom diffusion rates, resulting in atoms reaching their equilibrium positions very easily and quickly [58]. Both XRD patterns and AFM images of the as-deposited Bi₂O₃ films indicated that the substrate temperature has a significant effect on the crystallite size and the roughness of the film's surface.



Figure 10. Three dimensional AFM images of Bi_2O_3 :Ho³⁺ (1 mol.%) films deposited in vacuo for 15 min at (a) RT, (b) 200 °C, (c) 300 °C, (d) 400 °C, (e) 500 °C and (f) 600 °C; (g) RMS roughness values of Bi_2O_3 :Ho³⁺ (1 mol.%) thin films deposited at different substrate temperatures.

The surface morphologies of the as-deposited Bi_2O_3 thin films as a function of O_2 partial pressures were also investigated by AFM analysis. The AFM images are shown in Figure 11a–d for the various O_2 partial pressures. The results in Figure 11b (20 mT) show a thin film with well-defined grain boundaries with small grains. The RMS roughness values were calculated as 105.7, 53.3, 68.3 and 114.4 nm at 5, 20, 100 and 200 mT, respectively. The results in Figure 11e indicate an initial decrease in the RMS roughness value with an inflection point at 20 mT O_2 partial pressure and then a continued increase in RMS roughness with an increase in O_2 partial pressure.



Figure 11. Three dimensional-AFM images of Bi_2O_3 :Ho³⁺ (1 mol.%) film deposited in O_2 partial pressures for 15 min at (**a**) 5 mT, (**b**) 20 mT, (**c**) 100 mT and (**d**) 200 mT. (**e**) RMS roughness values of Bi_2O_3 :Ho³⁺ (1 mol.%) thin films deposited at different O_2 partial pressures.

The XPS survey spectrum of a 1 mol.% Ho-loaded Bi_2O_3 thin film obtained at a substrate temperature of 500 °C reported in Figure 12. The XPS spectrum indicates the presence of Bi (Bi 4f, Bi 4d, Bi 4p, Bi 5p and Bi 5d), oxygen (O 1s) and carbon (C 1s), as indexed in Figure 12. Interestingly, the spectrum shows no traces of Ho oxide or the metal ion, which can only be attributed to its low concentration, or maybe due to its low atomic sensitivity factor, i.e., 2.469 [69]. Survey and

core-level XPS spectra were corrected for the charge shift using the C 1s peak of adventitious carbon (BE = 284.7 eV), which was used as a reference [2,69].



Figure 12. XPS survey spectra of Bi₂O₃:Ho³⁺ thin film at a substrate temperature of 500 °C.

The high-resolution spectra of the different films obtained at the different substrate temperatures show that the Bi $4f_{5/2}$ and Bi $4f_{7/2}$ peaks were separated with a binding energy of 5.33 eV and along with small peaks at the low binding energy side of each peak, as shown in Figure 13a. The binding energies of the peaks and the corresponding full-width at the half maxima of the peaks (FWHM) are tabulated in Table 3. No shoulder peaks were observed at 500 °C and the peak was symmetric in nature. The spectra of Bi 4f deposited on the substrate at the other temperatures, however, displayed small peaks on the lower-binding energy side of each of the larger peaks and were asymmetric in nature, suggesting that each peak consists of at least two different peaks. A similar phenomenon was observed for the O 1s high resolution spectra (Figure 13b).



Figure 13. High-resolution XPS spectra of (**a**) Bi 4f and (**b**) O 1s for Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures.

Table 3. Peak positions and FWHM of the 4f Bi and O 1s XPS peaks of the Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures.

Ts	Bi 4f _{7/2}		Bi O 1s			
	Binding Energy (eV)	FWHM	Binding Energy (eV)	FWHM		
RT	158.7	1.38	530.4	3.22		
200 °C	158.6	1.48	530.5	3.57		
300 °C	159.5	1.44	530.8	3.27		
400 °C	158.6	1.43	530.5	3.15		
500 °C	158.6	1.38	530.6	3.07		
600 °C	158.4	1.68	531.0	3.74		

The Bi 4f peak in Figure 14a–f was deconvoluted into two separate peaks with binding energies equal to 158 ± 0.3 eV and 163.6 ± 0.3 eV, respectively, and those are assigned to Bi³⁺ 4f_{7/2} and Bi³⁺ 4f_{5/2},

respectively [69,70]. The shoulder peaks which appeared at the lower binding energies of 156.2 ± 0.6 and 161.5 ± 0.6 eV are assigned to the Bi²⁺ 4f_{7/2} and Bi²⁺ 4f_{5/2}, respectively [71,72]. The presence of Bi in a lower oxidation state may be due to the presence of oxygen deficiencies within the samples [71]. The deposited film at a substrate temperature of 500 °C showed only a single oxidation state of Bi (Bi³⁺), indicating that this sample is well defined as only α -Bi₂O₃, which correlates well with the XRD results. At 600 °C, there was a mixed phase product (α and β) which was dominated by β -Bi₂O₃ which is also consistent with the XRD results. The results in Table 4 confirm that the Bi²⁺/Bi³⁺ peak ratio decreased with an increase of substrate temperature as far as 400 °C and then increased slightly at 600 °C. This is proof that a well-defined α -Bi₂O₃ thin film was achieved at a substrate temperature of 500 °C.



Figure 14. High-resolution XPS spectra of Bi 4f for Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures: (**a**) RT, (**b**) 200 °C (**c**) 300 °C, (**d**) 400 °C, (**e**) 500 °C and (**f**) 600 °C.

Table 4. Peak positions and areas of the 4f Bi XPS peaks of the Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures.

Samples	Binding Energy (eV)			Binding E	nergy (eV)		A D:2+/D:3+
	Bi ²⁺ (4f _{7/2})	Bi ²⁺ (4f _{5/2})	Area (Arb. Units)	Bi ³⁺ (4f _{7/2})	Bi ³⁺ (4f _{5/2})	Area (Arb. Units)	Area DI- '/DI''
RT	156.6	161.9	3636	158.6	163.9	33,346	0.109
200 °C	156.9	162.2	1732	158.6	163.9	38,933	0.044
300 °C	156.8	162.1	1543	158.4	163.7	43,201	0.036
400 °C	156.7	162.0	1067	158.6	163.9	38,847	0.027
500 °C	-	_	-	158.6	163.9	30,680	-
600 °C	155.6	160.9	1259	158.1 (α)	163.4 (α)	10,414	0.121
-	-	_	-	158.5 (β)	163.8 (β)	20,042	0.063

The O 1s spectra for the deposited Bi_2O_3 :Ho³⁺ thin films, which are reported in Figure 15a–f, were fitted and the energies of the four peaks were calculated as 529.0 ± 0.2, 530.0 ± 0.3, 530.9 ± 0.3 and 531.7 ± 0.4 eV respectively. These peaks correspond to lattice oxygen (Bi–O); oxygen vacancies (V_O); chemisorbed H₂O or –OH; and O–C=O, respectively [2]. The V_O may be due to the non-stoichiometric formation of the Bi_2O_3 (i.e., Bi_2O_{3-x}). The presence of water molecules and carbonate species were possibly due to the samples' exposure to atmospheric conditions or probably due to the absorption of these species during the product's atmospheric synthesis [2]. The T_S 500 °C show three peaks with the absence of V_O, while the T_S 600 °C showed an addition peak with binding energy at 529.5 eV, which

is attributed to the lattice oxygen that belonged to the β -phase [1,13]. The calculated V_O:Bi–O peak area's ratios decreased with increasing T_S and are reported in Table 5.



Figure 15. High-resolution XPS spectra of O 1s for Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures: (a) RT, (b) 200 °C (c) 300 °C, (d) 400 °C, (e) 500 °C and (f) 600 °C.

Table 5. O 1s peak positions and peak areas of the Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures.

Samples	Bi–O		Vo		-OH		0-C=0		V. /P: O Area Patio	
	BE (eV)	Area	BE (eV)	Area	BE (eV)	Area	BE (eV)	Area	VO/DI-O Alea Katio	
RT	529.0	3528	529.9	2631	530.8	5206	531.7	2339	0.746	
200 °C	528.9	6106	530.3	4854	531.1	6304	532.1	965	0.795	
300 °C	529.0	4395	530.1	3391	530.8	6448	531.7	2928	0.772	
400 °C	529.0	4948	529.8	3332	530.6	6741	531.7	2966	0.673	
500 °C	529.2	4037	-	-	530.6	5615	531.4	4194	-	
600 °C	528.8 (α)	1542	530.3	1000	531.1	5423	532.1	3008	0.648	
_	529.5 (β)	2895	-	-	-	_	-	_	0.345	

The high-resolution spectra of Bi 4f and O 1s as functions of different partial oxygen pressures (5, 20, 100 and 200 mT) are shown in Figure 16a,b. The Bi $4f_{5/2}$ and Bi $4f_{7/2}$ peaks were separated with a binding energy of 5.33 eV. The Bi 4f peak was fitted as two isolated peaks, and their binding energies and corresponding peak areas are summarized in Table 6. The fitted Bi 4f peaks are displayed in Figure 17a–d, and the results agree with the presence of Bi in both of its 3+ and 2+ oxidation states in the product [2]. The calculated Bi²⁺:Bi³⁺ peak ratios increased up to an O₂ partial pressure of 100 mT, and thereafter decreased with increases in the O₂ partial pressure (Table 6). The O 1s high resolution spectra were fitted to four isolated peaks and the results are displayed in Figure 18a–d. The calculated binding energies and peaks areas are tabulated in Table 7. All four samples exhibited the non-stoichiometric nature of the deposited Bi₂O₃ product. The peak ratios of –OH:Bi–O–Bi and V_O: Bi–O–Bi are plotted as a function of different O₂ partial pressures, which indicated the surface contamination increased till 100 mT and was attributed to –OH to lattice oxygen (Bi–O–Bi) bonding and V_O to lattice oxygen bonding (Bi–O–Bi) (Figure 19).



Figure 16. High-resolution XPS spectra of (**a**) Bi 4f and (**b**) O 1s for Bi_2O_3 :Ho³⁺ thin films deposited at different O₂ partial pressures with constant substrate temperature (400 °C).

Table 6. Bi 4f peak positions and peak areas of the Bi_2O_3 :Ho³⁺ thin films deposited at different O_2 partial pressures (T_S = 400 °C).

6 amm los	Binding Energy (eV)			Binding E	nergy (eV)	Area (Arth Ilmite)	A D:2+/D:3+	
Samples	Bi ²⁺ (4f _{7/2})	Bi ²⁺ (4f _{5/2})	Area (Ard. Units)	Bi ³⁺ (4f _{7/2})	Bi ³⁺ (4f _{5/2})	Area (Arb. Units)	Area DI- /DI-	
5 mT	158.2	163.5	14,953	158.9	164.2	26,686	0.560	
20 mT	158.5	163.6	16,166	159.0	164.3	14,071	1.148	
100 mT	158.1	163.4	11,630	158.6	163.9	10,055	1.157	
200 mT	158.0	163.3	11,404	158.6	163.9	22,322	0.510	



Figure 17. High-resolution XPS spectra of Bi 4f for Bi_2O_3 :Ho³⁺ thin films deposited at different O_2 partial pressures ($T_S = 400 \text{ °C}$): (**a**) 5 mT, (**b**) 20 mT (**c**) 100 mT and (**d**) 200 mT.

Table 7. O 1s peak positions and peak areas of the Bi_2O_3 :Ho³⁺ thin films deposited at different O_2 partial pressures (T_S = 400 °C).

Samples	Bi–O–Bi		Vo		-OH		0-C=0		V _O /Bi–O–Bi	-OH/ Bi-O-Bi
	BE (eV)	Area	Area Ratio	Area Ratio						
5 mT	529.0	5046	529.9	2826	530.9	3637	532.1	1651	0.560	0.721
20 mT	529.4	3875	530.8	4414	531.8	4292	532.9	1858	1.139	1.108
100 mT	528.9	2930	530.1	4513	530.9	4748	531.7	867	1.540	1.620
200 mT	529.0	4429	530.1	2174	531.0	4121	532.0	2685	0.491	0.930

10 0 1s

9

8

7

Counts ×10³ (arb. units)





Figure 18. High-resolution XPS spectra of O 1s for Bi_2O_3 :Ho³⁺ thin films deposited at different O_2 partial pressures (T_S = 400 °C): (**a**) 5 mT, (**b**) 20 mT (**c**) 100 mT and (**d**) 100 mT.



Figure 19. The variation in XPS peak area ratio with the deposition O₂ partial pressures.

The diffuse reflectance characteristics of the Bi_2O_3 :Ho³⁺ films deposited at different substrate temperatures are reported in Figure 20a–f. A sharp absorption peak at 406 nm was observed for the product isolated at RT temperature and is attributed to band edge absorption to the host. The band edge absorption shifted to the higher wavelength side (red shift) with an increases of the T_S till 300 °C (Figure 20b,c), and thereafter changed to a blue shift with a further increase of T_S (Figure 20d–f). This temperature increase may induce the formation of localized oxygen vacancies [73] within the band gap as a result of formation of mixed α - and β -Bi₂O₃ phases. In addition, the small absorption at the 495 nm is assigned to the structural defects [2,74]. Figure 20 shows the diffused reflectance spectra oscillated and the lowest reflectance was due to the non-homogeneity of the films and non-uniformity particle distributions, resulting in multiple reflections from the interfaces and to their interference [11,75]. The results in Figure 20 clearly indicate that the reflectance is dependent on the film thickness, and the highest reflectance was achieved for the films grown at the RT that coincided with the thickest film observed.

The optical band gaps of thin films were estimated using the Kubelka–Munk (K–M) model [1]. The values of the direct optical bandgaps were obtained from the plots of $[F(R_{\infty})h\vartheta]^2$ as a function of energy (h ϑ). The direct allowed band gaps were obtained by extrapolating the linearly fitted regions of $[F(R_{\infty})h\vartheta]^2$ to zero and are shown in the inset of Figure 20a–f. These calculations indicated that

the direct allowed bandgaps for the Bi_2O_3 :Ho³⁺ thin films were 2.89, 2.20, 2.18, 2.33, 2.36 and 2.56 eV for T_S of RT, 200, 300, 400, 500 and 600 °C, respectively. These values correspond well with those reported previously [11] and with the 2.85 eV [1] that was reported the optical band gap for a 1 mol.% Bi_2O_3 :Ho³⁺ powder. The obtained optical band gap values for T_S of 200 to 600 °C are relatively smaller than the previous reported values for the powder sample, and that may have been due to the high density of structural defects and presence of the hetero α - and β -phases present in the current products.



Figure 20. DR spectra of Bi_2O_3 :Ho³⁺ thin films deposited at different substrate temperatures and the inset figures are represented plots of $(F(R_{\infty})h\vartheta)^2$ versus photon energy.

The DR spectra of the Bi₂O₃:Ho³⁺ thin films deposited at the different O₂ partial pressures are displayed in Figure 21a. The band edge absorbance appeared at approximately 390 nm for the 5 mT oxygen partial pressure, and a shift was observed with an increase in the O₂ partial pressure. Additionally, a small peak observed at 495 nm may have been due to the oxygen vacancies in the structure [1]. The bandgap value decreased up to an O₂ partial pressures of 100 mT and then increased at 200 mT (Figure 21b). The decrease of the bandgap value was ascribed to the creation of localized states within the band gap due to an increase of the oxygen vacancies until 100 mT [73]. The increase in the bandgap value at 200 mT may have been due to the reduction of these oxygen vacancies. This indicates that both the α -Bi₂O₃ and a suboxide Bi₂O_{2.3} (oxygen deficiency) phase were formed during the Bi₂O₃:Ho³⁺ films' deposition on the Si substrates at 400 °C and in the presence of different oxygen partial pressures (5–200 mT), and this is confirmed by XRD results (see Figure 2). The variations of bandgap for the thin films are well supported by XRD (Figure 2) and XPS (Figure 19) results. The reported bandgap value of stoichiometric α -Bi₂O₃ was ≈2.85 eV [1,13]. Figure 2 showed a mixed phase of α -Bi₂O₃ with a strong reflection at 27.3° (α) and the non-stoichiometric/ oxygen deficiency $Bi_2O_{2.3}$ phase with a strong reflection at 29.2° (#). The oxygen pressure increase from 5 to 100 mT resulted in the decrease of the strong α -Bi₂O₃ XRD reflection at 27.3°, and the oxygen deficiency phase XRD peak intensity at 29.2° increased, as a result of the increase of the oxygen vacancies in the film [76]. At 200 mT the stoichiometric XRD peak intensity at 27.3° increased, and the oxygen deficiency phase XRD peak intensity decreased (see Figure 2) due to the backfilled oxygen atoms occupying the oxygen vacancies, hence a reduction in the oxygen vacancies [77]. The obtained bandgap values are consistent with previously reported results [11].



Figure 21. (a) DR spectra and (b) plots of $(F(R_{\infty})h\vartheta)^2$ versus photon energy of Bi₂O₃:Ho³⁺ thin films deposited at different O₂ partial pressures (T_S = 400 °C).

The PL excitation spectra of the isolated Bi₂O₃:Ho³⁺ thin films deposited at the different substrate temperatures were obtained at λ_{em} = 548 nm and are displayed in Figure 22a. The obtained PL indicated intensity maxima at 360, 419, 450, 466, 473, and 485 nm and are attributed to the ${}^{5}I_{8} \rightarrow {}^{3}H_{5}$, ${}^{3}H_{6}$, ${}^{5}I_{8} \rightarrow {}^{5}G_{5}$, ${}^{5}I_{8} \rightarrow {}^{5}G_{6}$, ${}^{5}F_{1}$, ${}^{5}I_{8} \rightarrow {}^{3}K_{8}$, ${}^{5}I_{8} \rightarrow {}^{5}F_{2}$ and ${}^{5}I_{8} \rightarrow {}^{5}F_{3}$ transitions of Ho³⁺ ion. The peak at 450 nm appeared to be the strongest excitation peak and corresponds well to previously obtained results [1,78]. The ${}^{5}I_{8} \rightarrow {}^{5}G_{6}$, ${}^{5}F_{1}$ excitation peak has two peak maxima at 450 and 454 nm. The second peak at 454 nm became more prominent with an increase of the substrate temperature to 600 °C compared to the peak at 450 nm It might be due to the α -Bi₂O₃ oriented crystallographic planes that were reduced at the substrate temperature of 500 °C and partially transformed into the β -Bi₂O₃ phase with a further increase of the substrate temperature to 600 °C, and as a result the oriented crystallographic planes were changed, which is consistent with the XRD results (see Figure 1). Zhang et al. [79] studied the optical absorption spectra of MgWO₄:Ho³⁺ crystal for light polarizations E||a, b, c, where a,b,c are the orientations in the crystallographic frame {a,b,c}. The main absorption peak at about 448 nm due to the ${}^{5}I_{8} \rightarrow {}^{5}G_{6}$, ${}^{5}F_{1}$ transition of Ho³⁺ consisted of two peaks at about 448 and 452 nm due to the stark splitting [21]. When the light polarization was $E \parallel c$, the ≈ 452 nm peak intensity was dominant. For light polarizations Ella and Ellb, the 448 nm peak intensity was dominant. The PL emission spectra of all the films deposited at different substrate temperatures and excited at 450 nm are reported Figure 22b. A broad and highly intense green emission peak was found at 548 nm, and is ascribed to the ${}^{5}F_{4}/{}^{5}S_{2} \rightarrow$ ${}^{5}I_{8}$ Ho³⁺ transition; the relatively weak red emission peaks at 655 and 756 nm are attributed to the ${}^{5}F_{5}$ \rightarrow ⁵I₈ and ⁵S₂ \rightarrow ⁵I₇ transitions of Ho³⁺ ion [1]. The variations in the ratio of the emission intensities between the green and red transitions of the Ho³⁺ ions may be due to the phonon energy effects. It is well known that Ho³⁺ has several meta-stable states which are situated between its ${}^{5}F_{5}$, ${}^{5}I_{4}$, ${}^{5}I_{5}$ and ⁵I₈ energy levels, and small energy gaps between these state give rise to the cross-relaxation effects which can lead to a high phonon energy effect. This phonon energy will diminish the intensity of the emitted red light, and the weak red intensity emission, compared to the green emission intensity, is well documented [80]. The intensity of the green emission increased with an increase in substrate temperature from RT to 500 °C (see Figure 22c) possibly due to improved crystallinity and the formation of the stoichiometric α -Bi₂O₃ product. These results correlated well with those obtained from the XRD and XPS studies. These results indicate that the surface morphology changed, and the RMS results indicated a decrease in surface roughness attributed to the increase of the substrate temperature, which

ultimately improved the PL properties. The structural defects and oxygen vacancies also played vital roles in the PL intensity and emission profiles, and these defects acted as luminescence quenchers and/or enhancers [81]. In the present study the oxygen vacancies acted as a Ho³⁺ emission quencher, and the PL intensity increased with the reduction of the oxygen vacancies, which was confirmed from the XPS results. In addition, the maximum PL excitation intensity appeared at 454 nm with an increase in the substrate temperature to 600 °C. The emission maxima with excitation at 450 nm, therefore, appeared lower than those at 500 °C, possibly due to the fact that the emission intensity was measured at a slightly lower value. The broad excitation observed in the 360–420 nm region for the substrate temperature 600 °C indicated the presence of crystal defects, lattice disorders that also contributed

the Ho^{3+} excitation peaks, which was also evident in the XRD, surface morphology and XPS results.



Figure 22. PL spectra of Bi_2O_3 :Ho³⁺ films: (**a**) excitation and (**b**) emission spectra of the films deposited in vacuo at the substrate temperatures of RT, 200, 300, 400, 500 and 600 °C. (**c**) The variations in PL emission intensity of the 548 nm peaks and the corresponding film thicknesses for the different substrate temperatures.

The excitation and emission spectra of the Bi_2O_3 :Ho³⁺ thin films were studied using the films deposited at constant substrate temperature ($T_S = 400$ °C) and at various oxygen partial pressures, and the resultant spectra are reported in Figure 23a,b. It is clear from the results in Figure 23 that the PL excitation and emission spectra were very similar to those of the films obtained at the lower substrate temperatures (<500 °C (Figure 22a,b). The results indicate PL intensities were higher for the films deposited at the different oxygen partial pressures (see Figure 23c), compared to the films created in vacuo, and may be attributed to a decrease in the agglomeration of the particles. The results indicate an initial decrease in intensity with the increase of oxygen partial pressures (5–100 mT), since it depends on the surface morphology of the substrate. At 5 mT (uniform), needle-shaped grains were observed, but the increase of the PL intensity. At 200 mT the PL intensity increased, possibly due to the uniform distribution and reduction of the agglomeration of the particles on the film surface. That was also confirmed by the formation of fewer oxygen vacancies and surface contamination in the form of –OH, as indicated by the higher PL intensities. The obtained PL results are well consistent

with the XRD and XPS results. The decrease in PL intensities in the Ho^{3+} transitions in the Bi_2O_3 thin films is attributed to the presence of oxygen vacancies and -OH groups. In the present study, the PL intensity of the Bi₂O₃:Ho³⁺ films deposited at the different substrate temperatures in vacuo and different oxygen partial pressures significantly changed with the film thickness, and the obtained results are given in Figures 22c and 23c. Figure 22c indicates that the PL intensity of the 548 nm peak increased with a decrease of the film thickness up to the substrate temperature of 500 °C, and thereafter the PL intensity decreased at the substrate temperature of 600 °C. This is the opposite of what was expected: that a thinner layer would give a lower intensity. Other secondary effects, such as the changes in crystal structure and crystallite orientation, therefore, played more pronounced roles in the increase of the intensity. An increase of the PL intensity at the higher substrate temperature was due to the formation of the mixed α - β Bi₂O₃ phase with the dominated β -Bi₂O₃ phase. Additionally, the value of the texture coefficient in the (121) plane of the α -Bi₂O₃ was a minimum (see Table 1). In Figure 23c, the PL emission intensity of the 548 nm peak increased with increases of film thickness and with increases in the O2 partial pressure. The increase of the PL intensity at 200 mT was due to the uniform distribution of the particles, which was well consistent with the texture coefficient in the (121) plane of the α -Bi₂O₃ (see Table 2).



Figure 23. PL spectra of the Bi_2O_3 :Ho³⁺ films: (a) excitation and (b) emission spectra of the films deposited at oxygen partial pressures of 5, 20, 100 and 200 mT at a substrate temperature of 400 °C. (c) The variation in PL emission intensity of the 548 nm peaks and the corresponding thickness for the different O_2 partial pressure.

4. Conclusions

Holmium-doped Bi₂O₃ thin films were successfully deposited on Si (100) substrates using the PLD technique. The films were deposited at different substrate temperatures in vacuo at different oxygen partial pressures. The XRD showed that the crystallinity of the films increased with substrate temperatures, with the highest degree of crystallinity being obtained at 400 °C. The XRD confirmed a single α -Bi₂O₃ phase at substrate temperatures of 400 and 500 °C, whereas with the substrate temperature of 600 °C, there was a mixed phase of α - and β -Bi₂O₃. The XPS results showed that a close to stoichiometric α -Bi₂O₃:Ho³⁺ film was synthesized at a substrate temperature of 500 °C in vacuo. Interdiffusion between the Si substrate and the deposited material was observed at substrate

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temperatures of $T_S = 500$ and 600 °C. The optical band gap of the Bi₂O₃:Ho³⁺ thin films varied from 2.89 to 2.18 eV for the films deposited at different substrate temperatures, whereas the band gap varied between 2.97 and 2.47 eV for the different oxygen partial pressures. The thicknesses of the films varied between 218 and 1380 nm and 226 to 515 nm for different substrate temperatures and O₂ partial pressures. The photoluminescence emission increased with an increase in the substrate temperature. Furthermore, the films deposited in an O₂ atmosphere gave much higher emission intensities than those deposited in vacuo at different substrate temperatures. Emission peaks associated with f–f electronic transition for Ho³⁺ were observed at 548, 655, and 756 nm.

Supplementary Materials: The following are available online at http://www.mdpi.com/2079-6412/10/12/1168/s1, Figure S1: EDS of Bi2O3:Ho³⁺ (1 mol.%) deposited at different substrate temperatures (RT–600 °C) in vacuum, Figure S2: FESEM image (a) EDS taken at spot 1 (b) and spot 2 (c) of Bi₂O₃:Ho³⁺ (1 mol.%) deposited at T_S = 600 °C, Figure S3: FESEM images of the sample deposited with O₂ partial pressure of 200 mT (T_S = 400 °C) with different magnifications as indicated by the scale bars in (a) and (b) of the Bi₂O₃:Ho³⁺ thin film, Figure S4: EDS of Bi2O3:Ho³⁺ (1 mol.%) deposited at different O₂ partial pressure (5–200 mT) with T_S = 400 °C.

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