

Review

# Long-Term Failure Mechanisms of Thermal Barrier Coatings in Heavy-Duty Gas Turbines

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**Abstract:** Thermal barrier coatings serve as thermal insulation and antioxidants on the surfaces of hot components. Different from the frequent thermal cycles of aero-engines, a heavy-duty gas turbine experiences few thermal cycles and continuously operates with high-temperature gas over 8000 h. Correspondingly, their failure mechanisms are different. The long-term failure mechanisms of the thermal barrier coatings in heavy-duty gas turbines are much more important. In this work, two long-term failure mechanisms are reviewed, i.e., oxidation and diffusion. It is illustrated that the growth of a uniform mixed oxide layer and element diffusion in thermal barrier coatings are responsible for the changes in mechanical performance and failures. Moreover, the oxidation of bond coat and the interdiffusion of alloy elements can affect the distribution of elements in thermal barrier coatings and then change the phase component. In addition, according to the results, it is suggested that suppressing the growth rate of uniform mixed oxide and oxygen diffusion can further prolong the service life of thermal barrier coatings.

Keywords: heavy-duty gas turbine; thermal barrier coatings; oxidation; diffusion; failure

## 1. Introduction

A heavy-duty gas turbine is an important device for power generation. Thermal barrier coatings (TBCs) serve as a thermal protection structure and protect the hot components in heavy-duty gas turbines [1]. TBCs are made up of a top ceramic coat (TC), intermediate metal bond coat (BC) and the underlying superalloy substrate. A layer of thermally grown oxide (TGO) forms between the TC and BC during the oxidation of TBCs [2,3]. Different from the frequent thermal cycling of aero-engines, a heavy-duty gas turbine continuously operates at high temperature over 8000 h [4,5], as shown in Figure 1, and the thermal stress, which mainly originates from the start and stop, is almost released by material creep in the long-term service [6,7]. Moreover, the inlet air of a heavy-duty gas turbine is filtrated and clean. Thus, the attack of calcium-magnesium-aluminum-silicate (CMAS) also almost cannot occur. Accordingly, the spalling of TBCs is mainly induced by oxidation and element diffusion during its long-term service.





**Figure 1.** Schematic diagram of the typical service conditions of aero-engines and heavy-duty gas turbines.  $T_0$  is the initial temperature.

Both oxidation and element diffusion involve long-term atomic exchange processes and exhibit the slow evolution behaviors of material. A typical BC composition is MCrAIY (M = Ni, Co, NiCo) [8]. During the long-term oxidation of TBCs, firstly, Al in the BC reacts with the inward O and then slowly forms a dense  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. Then, with the continuous proceeding of oxidation, Al near the reaction region is almost depleted. While other alloy elements, e.g., Ni and Co, continue to be oxidized, and the formed  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is consumed through the reaction  $Ni + O + \alpha$ -Al<sub>2</sub>O<sub>3</sub> = NiAl<sub>2</sub>O<sub>4</sub>, accordingly the porous mixed oxide (MO), which comprises NiO, Cr<sub>2</sub>O<sub>3</sub> and (Ni, Co)(Cr, Al)<sub>2</sub>O<sub>4</sub> [9], forms rapidly [10,11]. As a result, the mechanical performance of the BC changes significantly, which is detrimental for the reliability and durability of TBCs [12–14]. Thus, clarifying the long-term failure mechanism is helpful for us to evaluate the service performance and predict the lifetime of TBCs in heavy-duty gas turbines.

Generally, oxidation and element diffusion are a continuous and related process [2]. After TBCs operate at the elevated temperature, under the driving of the difference in chemical potential between the external reservoir, e.g.,  $O_2$  and coating, the guest atoms, e.g., O, leave the external reservoir and insert into coating at the boundary. Subsequently, the guest atoms continue to diffuse forwards in the coating until they reach the reaction region, as shown in Figure 2. When the guest atoms encounter the outward alloy elements, e.g., Al and Ni, new oxides form and significant stress occurs due to the surrounding constraint. The induced stress not only causes the failures of TBCs but also affects the diffusion and oxidation processes. Thus, oxidation and diffusion, as a whole, are responsible for long-term failure mechanisms of TBCs in heavy-duty gas turbines.



**Figure 2.** Schematic diagram of oxidation and the diffusion of guest atoms in the long-time service process of thermal barrier coatings (TBCs) in heavy-duty gas turbines.

Up to date, previous studies mainly focus on the fabrication, material, residual stress, cracking, sintering and thermal properties of TBCs [15–24]. For instance, Wang et al. [25] reviewed finite element method (FEM) research on thermal insulation, residual stress and the related failure problems of TBCs. Wang et al. [26] reviewed the research on the stress and crack problems in TBCs during the fabrication, oxidation, sintering and CMAS permeation. Lv et al. [27] also reviewed the FEM research on stress analysis, heat transfer as well as fracture and damage mechanisms in environment barrier coatings (EBCs). However, to our best knowledge, the long-term failure mechanism of TBCs still lacks attention, let alone a related review. For TBCs in heavy-duty gas turbines, the long-term oxidation and element diffusion are the dominant factors for its failure and change in performance. On one hand, the BC is continuously oxidized to form TGO, and the undulating TGO induces a tensile stress, which leads to the occurrence of cracks and interfacial delamination in TBCs. On the other hand, during the fabrication and service processes of TBCs, the diffusion of atoms, e.g., O and Al, affects TGO growth [2] and forms interdiffusion zone [28]. When element diffusion is promoted, TGO grows fast and the interdiffusion zone enlarges. While creep cannot release the induced stress timely, accordingly, stress increases remarkably and then accelerates the failures of TBCs. Thus, it is necessary to review and analyze the related research on long-time oxidation and element diffusion.

To clarify the long-term failure mechanisms of TBCs in heavy-duty gas turbines, in this work, we review TGO growth and element diffusion, respectively. We analyze the continuous growth process of TGO and the coupled stress-diffusion process of elements. Finally, a conclusion that highlights the roles of MO growth and element diffusion in the failures of TBCs in heavy-duty gas turbines is made. Our results can provide some guide for improving the fabrication process and developing the long-life TBCs.

#### 2. Long-Term Oxidation of TBCs

During the long-time service of heavy-duty gas turbines, thermal stress is almost released by material creep and then TGO growth becomes one important cause for failures of TBCs. Different from the short oxidation duration of aero-engines, TGO growth experiences a continuous change from  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> to MO stage during the long-term oxidation of TBCs in heavy-duty gas turbines. After improving and optimizing the fabrication process, the whole growth of uniform MO induces the large-scale spalling of TBCs, as shown in Figure 3. Thus, the continuous growth of TGO needs to be specially considered for the long-term failures of heavy-duty gas turbines.



Figure 3. The large-scale spalling of TBCs after oxidizing for 500 h at 1100 °C [11].

### 2.1. Research Advance on TGO Growth

Until now, TGO growth and the related failures of TBCs have attracted increasing attention [29–34]. Experimental results show that TGO exhibits the undulating morphology and cracks mainly occur around the convex region [35–39]. Hutchinson et al. [40] and Evans et al. [41] investigated the buckling of the TGO layer induced by residual stress and established the critical criterion of buckling deformation. Mumm et al. [42] and He et al. [43] studied the displacement instability and the induced cracking of TBCs through a thermal cycling experiment and numerical simulation, respectively. The results

revealed that the amplitude of the undulating TGO increases with the number of thermal cycling, which is also called ratcheting, and cracks initiate near TGO and gradually propagate. Moreover, Tolpygo and Clarke [44,45] found that the rumpling of oxide related to the grain orientation also affects the failures of TBCs. Su et al. [46] investigated the effect of TGO creep on the crack propagation in TC, and the results show that with the increase of TGO creep, the driving force for crack propagation gradually decreases, and even after the TGO creep is strong enough, crack propagation is suppressed, as shown in Figure 4. In addition, other researchers [47–52] investigated the influence of undulating TGO morphology on residual stress in TBCs during thermal cycling. For instance, Chen et al. [53] adopted the finite element method to study the effects of different TGO asperities on residual stress in TBCs, and the obtained results show that the rougher TGO is, the more significant the residual tensile stress around the peak region is. The above research mainly focuses on the undulating TGO growth and the induced stress during thermal cycling. However, heavy-duty gas turbines experience few thermal cycles, and the continuous growth of TGO is a key factor in the failure of TBCs.



**Figure 4.** The variation of energy release rate with thermal cycling for different thermally grown oxide (TGO) creep [46].

Nowadays, many researchers focus on continuous TGO growth and the induced stress evolution in TBCs [54-60]. Evans et al. [61] utilized Eshelby's elastic inclusion method to make TGO growth equivalent to the self-assembly process of the free expansive TGO shell, and then established a sphere model of TGO growth. Clarke [62] investigated the lateral growth of TGO at the grain boundary and obtained a linear relationship between the lateral growth strain rate  $\dot{\epsilon}_{1}^{g}$  and the thickening rate *h*, i.e.,  $\dot{k}_1^g = D_{ox}h$ , where  $D_{ox}$  is the scale coefficient related to the microstructure of oxide. Sun et al. [63] further set up a multilayer sphere model of the convex TBCs and considered TGO growth along with the thickness and in-plane directions, as shown in Figure 5a. The results showed that TGO growth generates the in-plane tensile stress in the TC, which can induce the occurrence of micro-cracks. Lin et al. [64] and Shen et al. [65] adopted the conception of oxidation front and considered the consumption of oxygen in the process of TGO growth, as shown in Figure 5b; the obtained results revealed that plasticity, creep and the irregular morphology of TGO affect the induced stress in TBCs. Moreover, TGO growth is more significant at the convex region relative to that at the concave region. Chai et al. [66] further investigated the stress development induced by "root-like" TGO growth, and the results showed maximum tensile stress changes from the interface to the inside of the BC. Loeffel et al. [67,68] established a coupled constitutive theory of visco-plasticity, thermal expansion and volumetric deformation induced by chemical reaction, and numerically described the flat and undulating TGO growth through the finite element method. However, the above research mainly considers the growth of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, which serves as the resistance to oxidation. Moreover, the induced

stress can be almost released by creep during the long-term service. Thus, the obtained results are not applicable to the oxidation failures of TBCs in heavy-duty gas turbines.



**Figure 5.** (a) The multilayer sphere model of TGO growth [63]; (b) the schematic diagram of oxidation front during the TGO growth [64].

Recently, much research shows that the growth of local MO induces the failures of TBCs after the oxidation for a period [69–71]. Busso et al. [72] investigated the effect of TGO growth around the convex region on the stress evolution in TBCs, and the results showed that the fast growth of non-protective oxide can enhance the development of stress. Li et al. [73] experimentally observed that the fast growth of local MO induces the cracking and interfacial delamination in the TC, as shown in Figure 6a. Zhang et al. [74] and Xu et al. [75] analytically and numerically model the growth of local MO, respectively, as shown in Figure 6b; the results showed that the suppression of local MO growth can prolong the service life of TBCs. Moreover, Zhang et al. [76] healed the spattering particles through subsequent heat treatment and then eliminated the growth of local MO; the experiment results showed that, after healing, the lifetime of TBCs is further extended.



**Figure 6.** The local growth of mixed oxide (MO); (**a**) the local MO induces the failures of top ceramic coat (TC) [73]; (**b**) the numerical model and results of the local MO growth [75]; Among, the thermal expansion mismatch of MO and TC induces the interfacial tensile stress and debonding at stage A, the growth of MO jacks TC up and induces the interfacial tensile stress at stage B, and the growth of local MO induces the interfacial delamination at stage C.

However, after local MO growth is suppressed, with the continuous proceeding of oxidation, cracking and interfacial delamination still occur in TBCs. Tang et al. [77] experimentally observed that after the composition of TGO changes from  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> to MO, the delamination occurs at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/MO interface. Bai et al. [78] observed through the oxidation experiment of the CoNiCrAlY BC

at 1100 °C that the whole growth of uniform MO still induces cracks in TBCs, as shown in Figure 7. Xie et al. [11] further modeled the whole growth of uniform MO and investigated the induced stress evolution, the obtained results revealed that the fast growth and large volumetric expansion of MO generate the catastrophic stress and then lead to the failures of TBCs. Lim and Meguid [79] adopted the coupled simulation of finite volume and finite element methods to numerically analyze the diffusion of Cr and O. The results showed that even if the supply of Al is enough, the growth of MO still occurs. Mahalingam et al. [80] experimentally investigated the effects of the composition and the growth rate of TGO on crack propagation in TBCs. The results showed that the appearance of MO can lead to the fast propagation of the crack, which is consistent with Xie et al.'s calculation results [11].



**Figure 7.** The oxidation results of CoNiCrAlY bond coat (BC) at 1100 °C for (**a**) 200 h; (**b**) 300 h; (**c**) 500 h and (**d**) 1000 h [78].

#### 2.2. Failure Mechanism of TBCs Induced by the Growth of Uniform MO

Just as mentioned above, a heavy-duty gas turbine experiences few thermal cycles and is continuously exposed to a high temperature for a long time, thus the whole growth of uniform MO is the dominant oxidation result. During TGO growth, the resultant stress in TBCs depends on the competition between the stress generated by TGO growth and stress relaxation caused by creep. Compared to the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> growth, MO grows much faster and expands more significantly, as shown in Figure 6; correspondingly, the induced stress is more remarkable. As material creep almost has no time to release the induced stress, stress in TBCs increases significantly and even changes from compressive stress to tensile stress, as shown in Figure 8. In addition, as  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> grows slowly, the induced stress is released by material creep significantly, thus, stress in TBCs is small and nearly compressive, which has been investigated by Sun et al. [63], and when TBCs experience few thermal cycles, the failures cannot occur.

During the growth of uniform MO, the out-plane tensile stress at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/MO interface can induce the delamination and then lead to the spalling of TBCs. As the TC is pushed by the expansive MO, the in-plane stress in the TC is also tensile, which can generate the cracks along with intersplat. Meanwhile, the in-plane tensile stress in  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> can lead to the occurrence of micro-cracks and then destroy its protective function. More O atoms and alloy elements diffuse along with the newly formed crack channel, and as a result, MO growth is further accelerated and even appears at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/BC interface, and spheroidization occurs around the crack, as shown in Figure 7. When MO grows faster, there is less time for creep to release the induced stress, thus the resultant stress in TBCs increases sharply, i.e., catastrophic stress develops.



**Figure 8.** The stress evolution at the typical locations during the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and MO growth stages; (a) radial stress; (b) hoop stress [11].

Different from the dense microstructure of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, MO exhibits a porous microstructure and is brittle, accordingly, cracks and interfacial delamination are prone to occur there. Thus, the growth of uniform MO and the induced catastrophic stress are responsible for failures of TBCs in heavy-duty gas turbines, e.g., the cracks in  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and TC layers, and the delamination at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/MO interface, as shown in Figure 9. According to the results, researchers can control the growth of uniform MO and suppress the diffusion of oxygen through improving material composition and fabrication processes to further prolong the service lifetime of TBCs.



Figure 9. Schematic diagram of the failures induced by the growth of uniform MO in TBCs [11].

#### 3. Element Diffusion in TBCs

Besides oxidation, element diffusion is also a key factor in the fabrication and long-term failures of TBCs. For instance, the diffusion of Al determines the performance of the as-sprayed NiAl bond coat in the alloying process of cold-sprayed Ni/Al coating [81]. During the oxidation, the diffusion of O atom controls the growth of TGO [82], as shown in Figure 10a. Even the interdiffusion of alloy elements in the BC leads to the formation of Kirkendall voids at the interface [83], as shown in Figure 10b. These element diffusions directly affect the service performance of TBCs and need to be paid special attention. Herein, as the TC does not involve the element diffusion, it is neglected in the following.

 $J_{GB}^{O}$ 200 h 1050 °C Outer BD Bond coat Kirkendall pores Inner BD nterface orosity measuremen area Substrate J<sup>ÁI</sup> GB 100 µm EDX scanning area (b) (a)

**Figure 10.** (**a**) The diffusion paths of O and Al atoms during the TGO growth [82]; (**b**) the interdiffusion of alloy element between BC and substrate [83].

#### 3.1. Research Progress on Diffusion

Nowadays, element diffusion has attracted increasing attention [84]. First, Fick [85] investigated the diffusion from a high concentration to a low one, and obtained a linear relationship between flux *J* and concentration gradient  $\nabla C$ , which is also called as "Fick's law", expressed as

$$J = -D\nabla C \tag{1}$$

where *D* is diffusivity. Darken [86,87] further considered that the gradient of chemical potential is the driving force for diffusion, and the flux is approximatively related to the gradient of chemical potential  $\nabla \mu$ , expressed as

$$J = -MC\nabla\mu \tag{2}$$

where *M* is the mobility of the atom, and satisfies the relationship D = MRT. Equation (2) can describe many diffusion phenomena, including the "uphill diffusion" which cannot be explained by Fick's law. When the chemical potential of atoms is only determined by their concentration, Equation (2) is the same as Fick's law, that is, the concentration gradient drives the diffusion of atoms.

However, element diffusion induces significant stress in TBCs and in turn affects the diffusion process. F.Q. Yang [88], Wang et al. [89], and Zhang et al. [90], respectively, investigated the effect of stress on diffusion in a plate, hollow cylinder as well as sphere model through considering the contribution of hydrostatic stress  $\sigma_m$  to the chemical potential  $\mu$ , and the results revealed that stress promotes diffusion of atoms; here the expression of chemical potential can be written as

$$\mu = \mu_0 + RT \ln X - \Omega \sigma_m \tag{3}$$

where  $\mu_0$  is the reference, *R* is gas constant, *T* is the absolute temperature. *X* is the fraction concentration,  $\Omega$  is the partial molar volume and herein assumed to be constant. Moreover, Suo and Shen [91] considered the contribution of chemical reaction to element diffusion, expressed as

$$\frac{\partial C}{\partial t} + \nabla \cdot J + \dot{r} = 0 \tag{4}$$

where  $\dot{r}$  is chemical reaction rate. Wang et al. [92] further investigated the transfer processes of O and Al atoms during the concave TGO growth.

Meanwhile, Haftbaradaran et al. [93] considered the effect of stress on the energy barrier of atomic jump during the diffusion and obtained a diffusivity with stress effect, expressed as

$$D = D_0 \exp\left(\frac{\alpha \Omega \sigma_b}{RT}\right) \tag{5}$$

where  $D_0$  is the pre-factor of diffusivity,  $\alpha$  is positive dimensionless factor, and  $\sigma_b$  is stress. Dong et al. [94] adopted the diffusivity with stress effect to investigate the influence of stress on the growth of metal oxide, the results showed that the induced stress slows down the thickening of oxide film through retarding the diffusion of oxygen. Fang et al. [95] further observed through a three-point bending experiment of MoCu alloy at 550 °C that the thickness of oxide film at the compressive region is significantly thinner than that at the tensile region, as shown in Figure 11. On the basis of the above research, we can see that stress significantly affects element diffusion through changing both the chemical potential and diffusivity. Whether stress accelerates diffusion or not depends on the actual service condition of TBCs.



**Figure 11.** The three-point bending experiment results during the oxidation of MoCu alloy at 55 °C [95]; (a) oxide film at the compressive region; (b) oxide film at the tensile region.

As a heavy-duty gas turbine operates at a high temperature for a long time, stress relaxation caused by creep is significant. Moreover, both creep and diffusion are the same time-scale atomic processes [96,97]. Thus, creep is the important stress relaxation mechanism along with element diffusion. Xie et al.'s [81] study revealed that the concentration of Al is low without stress relaxation during the alloying of Ni/Al coating. Sethuraman et al.'s [98,99] experimental results further verify that stress relaxation exists in the process of diffusion and the relaxation behavior of stress is more in accordance with creep. Brassart and Suo [100] considered the flow deformation of solids induced by the insertion of guest atoms as reaction flow, and proposed the corresponding rate-dependent and -independent constitutive relationships. Meanwhile, many researchers [101–103] consider plasticity in the process of element diffusion. Zhao et al. [104,105] and Di Leo et al. [106] introduced plasticity to accommodate the large volumetric expansion induced by diffusion, and the results showed that plasticity releases the induced stress significantly. Other researchers [107–110] adopted elastic softening, i.e., elastic modulus changes with the concentration, to be responsible for stress relaxation during the diffusion. However, Chang et al.'s [111] work revealed that compared to the diffusivity, elastic softening is not the dominant factor in stress relaxation. In addition, Lu et al. [112] and Xu et al. [113] considered the stress relaxation induced by creep in a low-melting-point Sn electrode during Li diffusion, the results showed that creep can improve the durability of electrode. While for the element diffusion of TBCs in heavy-duty gas turbines, there still lacks the related research to clarify the role of creep.

Furthermore, interface property and residual stress also affect the element diffusion in TBCs. In the fabrication and service processes of TBCs, the surface of the BC is inevitably affected by the external

reservoir, e.g., pre-oxidation, and then changes the interface property, as shown in Figure 12. Similarly, during the charging and discharging of Li-ion batteries, solid electrolyte interphase (SEI) forms at the surface of the electrode and then induces the capacity loss of the Li-ion battery [114]. In addition, sand blast, powder particle impact and quenching also generate residual stress in TBCs. The change of interface property and the generated residual stress can significantly affect the insertion of atoms at the boundary and the subsequent diffusion in TBCs. Zhang et al. [115] investigated the effect of the initial TGO thickness on its fracture contraction, the results showed that the thinner the initial TGO is, the easier the fracture contraction is. Xie et al. [81] modeled the alloying process of cold-sprayed Ni/Al coatings, and found that residual stress and interface property can significantly affect the diffusion of Al in the coating. However, the present research [116–119] mainly focuses on the improvement of interface property through adjusting the material composition and fabrication process. The effect of interface property on element diffusion in TBCs still lacks the related research.



**Figure 12.** The micromorphology of pre-oxidized BC [120]. (a) The surface morphology of the as-sprayed BC; (b) the micro cross-section of the as-sprayed BC.

#### 3.2. Failure Mechanism of TBCs Related to Element Diffusion

As a long-time evolution process, element diffusion is also closely related to the failures of TBCs in heavy-duty gas turbines [121–124]. Besides controlling TGO growth, element diffusion between the BC and substrate forms an interdiffusion region and changes the phase component [125]. The accumulation of vacancy near the interface leads to the appearance of Kirkendall voids [126] and then changes the interfacial strength, as shown in Figure 10b. Elsass et al. [83] investigated the effect of MCrAIY bond coat fabrication processes on the formation of Kirkendall voids, the results showed that compared to bond coats sprayed by high-velocity oxygen fuel (HVOF), bond coat fabricated by low pressure plasma spraying (LPPS) has the less Kirkendall voids during the oxidation, and the location of voids changes from bond coat to superalloy substrate. Texier et al. [127] carried out the micro-tensile experiment of the specimen taken from the interdiffusion region. The obtained results revealed that the existence of void decreases the mechanical property of the interdiffusion region. Qi et al. [128] investigated the cyclic oxidation behavior of  $\beta$ -NiAlHfCrSi coatings at 1150 °C, and found that the formation of voids under the oxide layer is the cause for its severe spalling. To evaluate the failures of TBCs induced by element diffusion, it is necessary to make clear the intrinsic mechanism of diffusion.

Herein, we take the alloying process of Ni/Al coatings as a typical case to clarify the diffusion process of atoms. When diffusion starts, the Al atom firstly inserts into the Ni splat at the boundary. The insertion of Al induces significant compressive stress and increases the chemical potential. Accordingly, the difference in chemical potential between the external Al reservoir and Ni splat, which serves as the driving force for the insertion, is decreased. Thus, the supply of the Al atom gradually

decreases until it vanishes, and then diffusion reaches equilibrium. During the insertion of Al, the flux at the boundary can be written as

$$J = -k(\mu - \mu') \tag{6}$$

where *k* is interfacial diffusivity and characterizes the interface property, and  $\mu'$  is the chemical potential of the external reservoir. When residual stress induced by cold spray is tensile, the chemical potential of Al is decreased, and then the flux at the boundary increases and the concentration of Al enlarges. Otherwise, the concentration of Al in Ni splat is decreased by residual compressive stress. Moreover, as shown in Figure 13, compared to the case without stress effect, the flux in the case with stress effect decreases more significantly. Thus, stress promotes the alloying but decreases the concentration of Al, and the obtained quantitative relationship between the thickness of Ni splat and the alloying time significantly deviates from the common parabolic law. While the previous research [90] shows that stress accelerates the diffusion, the reason is that the flux at the boundary is kept as a constant. For element diffusion in TBCs, the common constant concentration or flux condition at the boundary is not applicable, and the flux at the boundary is affected by the induced stress and interface property.



**Figure 13.** The fluxes at the boundary with and without stress effect, among which,  $\varepsilon_0$  is the residual strain [81].

After the Al atom inserts into Ni splat, the large chemical potential gradient drives the Al atom to diffuse forwards in Ni splat, as shown in Figure 14a. The insertion of Al distorts the surrounding atomic network, and then induces significant stress. Meanwhile, the exchange of atoms can release the induced stress and creep occurs. Thus, the resultant stress depends on the competition between the stress generation by atomic insertion and stress relaxation by creep. The occurrence of stress not only affects the chemical potential but also changes the energy barrier of atomic jump, thus, both stress and its gradient affect the diffusion process. With the proceeding of diffusion, the chemical potential gradient in Ni splat gradually reduces until it vanishes, and then the alloying process completes and Al distributes uniformly, as shown in Figure 14b.

During the fabrication and operation of TBCs in heavy-duty gas turbines, element diffusion, creep as well as the induced stress affect the mechanical performance and service lifetime of TBCs. The change of phase component and the occurrence of Kirkendall voids and oxidation, which is closely related to element diffusion, determine the long-term failure patterns and mechanisms of TBCs. According to the results, researchers are encouraged to specially focus and control element diffusion in TBCs through adjusting material composition, imposing external force and developing new interface designs, etc.



(b)

**Figure 14.** The diffusion of Al in Ni splat during the alloying of Ni/Al coating [81]; (**a**) the distribution of the variation of chemical potential; (**b**) the distribution of concentration of Al. Note that in this work, stress relaxation and the change of the energy barrier of atomic jump are not considered.

#### 4. Summary

Aiming to clarify the long-term failures of TBCs in heavy-duty gas turbines, in this work, the research on TGO growth and element diffusion are reviewed, which is mainly related to time effects. The failures of TBCs induced by the growth of uniform MO and the implied mechanism are summarized. The atomic process of element diffusion in TBCs and the induced change in performance are also analyzed. According to the results, the following conclusions are drawn:

- a. Oxidation and element diffusion are responsible for the long-term failure mechanisms of TBCs. Different from the failures induced by the frequent thermal cycles in aero-engines, for heavy-duty gas turbines, the initial thermal stress can be almost released by material creep, and the long-time oxidation and element diffusion determine the change in performance and service lifetime of TBCs.
- b. The catastrophic stress induced by the growth of uniform MO is a key cause for the long-term failure of TBCs. Compared to the slow growth of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, the fast growth and large expansion of MO induce the out-plane tensile stress at the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>/MO interface and the in-plane tensile stress in  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and TC layers. Accordingly, interfacial delamination and micro-cracks can appear in

TBCs. Especially, once crack occurs in  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> layer, its protective function is destroyed, MO growth is further accelerated and then the lifetime of TBCs is reduced significantly.

c. The formations of an interdiffusion region and Kirkendall voids induced by element diffusion also play the key roles in the long-term failures of TBCs, besides controlling TGO growth. The process of element diffusion in TBCs is affected by stress, creep and interface property, etc. Moreover, the interdiffusion of alloy elements, surface oxidation of the BC and residual stress affect the distribution of element in TBCs, and then change the phase component, which leads to change in mechanical performance.

Our results provide an insight into the failures of TBCs in heavy-duty gas turbines and clarify the long-term failure mechanisms. We also suggest that controlling the growth rate of uniform MO, oxygen diffusion and interface property of the BC can further prolong the service life of TBCs. On the basis of results, researchers can develop long-life TBCs through adjusting material composition, improving the fabrication process and optimizing the structure design.

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