



# Article High-Efficiency Chemical-Mechanical Magnetorheological Finishing for Ultra-Smooth Single-Crystal Silicon

Zhifan Lin<sup>1,2</sup>, Hao Hu<sup>1,2</sup>, Yifan Dai<sup>1,2</sup>, Yaoyu Zhong<sup>3</sup> and Shuai Xue<sup>1,2,\*</sup>

- <sup>1</sup> Laboratory of Science and Technology on Integrated Logistics Support, National University of Defense Technology, Changsha 410073, China
- <sup>2</sup> College of Intelligence Science and Technology, National University of Defense Technology, Changsha 410073, China
- <sup>3</sup> National Innovation Institute of Defense Technology, Academy of Military Sciences, Beijing 100091, China
- \* Correspondence: shuaixue1991@163.com

Abstract: To improve the material removal efficiency and surface quality of single-crystal silicon after magnetorheological finishing, a novel green chemical-mechanical magnetorheological finishing (CMMRF) fluid was developed. The main components of the CMMRF fluid are nano-Fe<sub>3</sub>O<sub>4</sub>, H<sub>2</sub>O<sub>2</sub>, CH<sub>3</sub>COOH, nanodiamond, carbonyl iron powder, and deionized water. The novel CMMRF fluid can simultaneously achieve Ra 0.32 nm (0.47 mm × 0.35 mm measurement area), Ra 0.22 nm (5  $\mu$ m × 5  $\mu$ m measurement area), and 1.91 × 10<sup>-2</sup> mm<sup>3</sup>/min material removal efficiency. Comprehensive studies utilizing a scanning electron microscope and a magnetic rheometer show that the CMMRF fluid has a high mechanical removal effect due to the well-dispersed nanodiamond and nano-Fe<sub>3</sub>O<sub>4</sub> particles. The results of Fourier transform infrared spectra and Young's modulus test reveal the mechanism of the chemical reaction and the mechanical characteristics deterioration of the modified layer. Under co-enhanced chemical and mechanical effects, an ultra-smooth and highly efficient MRF technology for single-crystal silicon is realized.

**Keywords:** single-crystal silicon; chemical-mechanical magnetorheological finishing; ultra-smooth surface; magnetorheological finishing fluid

## 1. Introduction

An X-ray reflector is the essential part of the synchrotron radiation source [1–3]. Its manufacturing precision and surface quality have a decisive effect on the focusing of the X-ray beam [4–6]. X-ray reflectors are typically designed as complicated, curved surfaces. Due to the grazing incidence features of X-ray, the reflectors utilized in synchrotron radiation sources are often elongated, and their length in the direction of X-ray incidence can reach hundreds of millimeters, or even longer [7–9].

The preferred substrate material for X-ray reflectors is single-crystal silicon, which has exceptional thermal characteristics, mechanical properties, and processability.

Magnetorheological finishing (MRF) is a deterministic sub-aperture polishing technique based on a magnetically sensitive fluid that removes material via a shearing mechanism with minimal normal load [10,11]. Driven by a five-axis motion system, the MRF tool can improve surface quality [12,13], remove subsurface damage [14,15], and eliminate residual stress [16] when correcting surface form errors. Therefore, the MRF is frequently employed in the fine correction stages of the X-ray reflector manufacturing process [17,18].

X-ray reflectors require the roughness of the single-crystal silicon substrate to be Ra 0.3 nm or lower. Current MRF technology is still far from achieving this level, so an additional ultra-smooth process needs to be introduced to improve the surface quality after MRF [19]. In addition, the single-crystal silicon substrate after grinding may have form error ranging from submicron to several microns [20], making the polishing and surface correction cycle quite lengthy [21]. Improving the removal efficiency and the



Citation: Lin, Z.; Hu, H.; Dai, Y.; Zhong, Y.; Xue, S. High-Efficiency Chemical-Mechanical Magnetorheological Finishing for Ultra-Smooth Single-Crystal Silicon. *Nanomaterials* **2023**, *13*, 398. https://doi.org/10.3390/ nano13030398

Academic Editor: Jordi Sort

Received: 11 December 2022 Revised: 14 January 2023 Accepted: 16 January 2023 Published: 18 January 2023



**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). ability to process ultra-smooth surfaces of MRF is of significant benefit to the manufacture of X-ray reflectors, as well as large-aperture high-power laser optical components and ultra-large-aperture astronomical telescopes [22,23].

Numerous significant efforts have been devoted to enhancing the efficiency or surface quality of MRF. In terms of improving the efficiency of MRF, QED novel developments have been made with the fluid nozzle, magnetic field, and wheel geometry and wheel size to increase the removal rate. Custom nozzle shapes are used to create a very wide MRF ribbon resulting in a much wider removal function spot [24]. The polishing wheel with a 500 mm diameter has resulted in a significant increase in polishing speed and removal efficiency [25]. Ren substituted a belt for the large-diameter polishing wheel, which reduced the overall size of the device and increased the polishing contact area [26]. Jung sintered carbon nanotubes and iron powder as a new form of abrasive, which not only improved the durability of the abrasive, but also improved the material removal efficiency of MRF [27]. Jang incorporated the electrochemical corrosion effect into MRF for conducting hard materials; the formed oxide layer has 20% less hardness than the substrate, effectively enhancing the efficiency of MRF [28]. In terms of the ultra-smooth MRF process, Wang discovered that dual-rotor movement randomizes the material removal of MRF, prevents the formation of directional grooves on the surface, and considerably improves the surface roughness [29]. Zhang optimized the formulation of the MRF fluid and employed nanodiamonds as the abrasive for single-crystal silicon polishing, avoiding the comet tail phenomenon and the surface roughness of the aspheric single-crystal silicon after MRF achieved Ra 1.2 nm [30]. QED has reported a novel MRF fluid that can process an ultra-smooth surface of Ra 0.2 nm on glass-ceramics [31]. Sidpara developed a surface roughness prediction model based on the ratio of MRF fluid and process parameters, and optimized the process parameters to reduce the surface roughness of single-crystal silicon from Ra 1300 nm to Ra 8 nm [32]. Most studies do not simultaneously improve efficiency and surface quality. To address this challenge, it is preferable to modify the surface with external energy (chemical energy, light energy, or electric energy) and then remove the modified layer through mechanical action.

Chemical-mechanical polishing (CMP) is a process in which the material is removed by a chemical-mechanical synergetic mechanism, and it is regarded as the most effective method for achieving the sub-nano surface roughness [33,34]. Inspired by CMP technology, an eco-friendly chemical-mechanical magnetorheological finishing (CMMRF) fluid was developed. This fluid will be compatible with the principles of green and sustainable development and will not harm the environment or the operators.

With the advancement of the semiconductor industry, the CMP technology of singlecrystal silicon has reached a highly developed state. In an alkaline environment, the nano-SiO<sub>2</sub> abrasive can react with the surface of single-crystal silicon to generate a soft layer, which is then removed by the abrasive to reveal a smooth substrate [35]. However, compared with the wafer planarization process, the minimal normal load and the small polishing contact area of MRF cause the efficiency of MRF fluid configured with nano-SiO<sub>2</sub> is quite low. To achieve a high-efficiency and ultra-smooth CMMRF process for single-crystal silicon, it is important to find alternative chemical additives and abrasives.

The objective of this study is to configure a novel eco-friendly CMMRF fluid to achieve efficient ultra-smooth processing of single-crystal silicon. The CMMRF fluid is composed of nanodiamond abrasives, carbonyl iron powder (CIP), nano-Fe<sub>3</sub>O<sub>4</sub>, H<sub>2</sub>O<sub>2</sub>, CH<sub>3</sub>COOH, and deionized water. The novel polishing fluid can achieve a volume removal rate of  $1.91 \times 10^{-2}$  mm<sup>3</sup>/min and a surface roughness of Ra 0.22 nm (5 µm × 5 µm). The roughness is reduced by 51.2% and the efficiency has grown by 196.9% in comparison to the pure nanodiamond MRF fluid. These results demonstrate that the CMMRF fluid has an excellent performance in the high-efficiency process of ultra-smooth single-crystal silicon. In order to optimize and clarify the mechanism of the novel CMMRF fluid, a series of characterization experiments were carried out. The magnetic rheometer results demonstrate that nano-Fe<sub>3</sub>O<sub>4</sub> increases the shear yield strength of MRF fluid, and the nanodiamond abrasives with

the sharp cutting-edge lead to such a high material removal rate. A heterogeneous Fenton reagent composed of  $H_2O_2$ , nano-Fe<sub>3</sub> $O_4$ , and CH<sub>3</sub>COOH was characterized and optimized by spectrophotometer. The heterogeneous Fenton reagents generates a large number of hydroxyl radicals(·OH) which oxidize the surface and generate a silicon dioxide modified layer. The Young's modulus test and Fourier transform infrared (FTIR) spectra indicate that the hydroxyl group in the solution can enhance the hydrolysis reaction of the modified layer, destroy its network structure, and degrade its mechanical properties. Compared to the mechanical MRF fluid, the novel CMMRF fluid considerably improves chemical and mechanical effects, enabling a high-efficiency ultra-smooth single-crystal silicon process.

#### 2. Samples Preparation and Characterizations

The materials used to configure the MRF fluid in this paper include CIP with an average particle size of 2  $\mu$ m, Fe<sub>3</sub>O<sub>4</sub> with an average particle size of 20 nm, diamond polishing particles with an average particle size of 150 nm, deionized water, CH<sub>3</sub>COOH, PEG200, HCl, and NaOH. The details of different constituents used for the MRF are mentioned in Table 1.

Constituents	Purity	Supplier	
CIP	-	BASF, Ludwigshafen, Germany	
Nano-Fe <sub>3</sub> O <sub>4</sub>	-	Delta, Xiamen, China	
Diamond abrasive	-	Huanghe Whirlwind, Zhengzhou, China	
$H_2O_2$	AR	Sinopharm Chemical Reagent, Shanghai, China	
CH <sub>3</sub> COOH	AR	Sinopharm Chemical Reagent, Shanghai, China	
PEG200	AR	OKA, Beijing, China	
HCl	AR	Sinopharm Chemical Reagent, Shanghai, China	
NaOH	AR	Sinopharm Chemical Reagent, Shanghai, China	

Table 1. Constituents used for configuring MRF fluid.

Single-crystal silicon CZ-(111), with a diameter of 100 mm and a thickness of 10 mm, was utilized as the experiment sample for roughness and material removal efficiency. The initial surface roughness was approximately Ra 1 nm after fully pitched polishing, and the form error PV was less than 1  $\mu$ m. As shown in Figure 1, the self-developed MRF machine tool (KDUPF650-7, NUDT, Changsha, China) was utilized to process the single-crystal silicon. The electromagnet was installed inside the polishing wheel, and the intensity of the magnetic field was controlled by adjusting the current of the electromagnet coil. The polishing wheel has a diameter of 200 mm and is driven by a motor. The magnetorheological fluid is stored in the storage tank, flows out from the nozzle through the centrifugal pump, and is recovered to realize the circulation. The process parameters are as follows: the magnetic field current is 8A; the polishing wheel speed is 180 rpm; the flow rate is 200 L/h; and the ribbon penetration depth is 0.3 mm. The surface roughness results are obtained by MRF uniformly polishing. The uniform polishing path is a raster path with a step of 1 mm, and the feed rate of the MRF tool is 100 mm/min. The uniform polishing runs once on each sample. The MRF tool influence function (TIF) was obtained from the fixed-point experiment, and the volume removal rate (VRR) was calculated according to the TIF.

The sample roughness results were measured by an atomic force microscope (AFM, Dimension icon, Bruker, Billerica, MA, USA) and a white light interferometer (WLI, New view 7000, Zygo, Middleboro, MA, USA). The measuring area of the AFM is 5  $\mu$ m  $\times$  5  $\mu$ m, and the tapping mode was used for surface microscopic topography imaging. The WLI has a magnification of 20 times, and the field of view is 0.47 mm  $\times$  0.35 mm.

The content of ·OH in CMMRF fluid determines the oxidation effect of single-crystal silicon. Spectrophotometry was used to measure the content of ·OH in the CMMRF fluid. 2,3-dihydroxybenzoic acid and 2,5-dihydroxybenzoic acid produced by the reaction of salicylic acid with ·OH will have strong absorption peaks at 510 nm. We prepared a mixed solution of salicylic acid, ethanol, and deionized water as a catcher for ·OH, in which the concentration of salicylic acid was 1.8 mol/L, and the ratio of ethanol to water was 1:3. A



UV-VIS-NIR 3600(Shimadzu, Kyoto, Japan) spectrophotometer was used to measure the absorbance of mixed solutions containing different formulas of CMMRF fluid and catcher.

Figure 1. The self-developed MRF machine tool.

MRF base fluid refers to the combination of the liquid components in the MRF fluid. In the CMMRF base fluid environment, the dispersion condition of diamond abrasive and CIP with nano-Fe<sub>3</sub>O<sub>4</sub> was photographed using a scanning electron microscope (SEM, MIRA3 AMU, TESCAN, Brno, Czech Republic). The shear yield strength of the MRF fluid was measured by Anton Paar MCR302 rheometer with an MRD180 module.

To characterize the chemical-mechanical mechanism of CMMRF fluid on single-crystal silicon, Young's modulus and FTIR tests were conducted on single-crystal silicon CZ- (111) samples with a diameter of 10 mm and a thickness of 3 mm. After fully pitched polishing, the samples' surface roughness was approximately Ra 1 nm. Using a plasma processing system (Ion wave 10), we conducted 1000 W power Ar gas plasma cleaning for 60 s to eliminate organic pollution from the sample surface. Then, the original oxide layer on the sample surface was removed by soaking it in buffered oxide etch (BOE) solution for 3 min. In the BOE solution, the volume ratio of HF, NH<sub>4</sub>F, and deionized water was 1:10:50. The samples were immediately cleaned in a 270 kHz ultrasonic cleaner with deionized water for 5 min after the oxide layer had been removed. Transfer samples from which the oxide layer had been removed, was added to various CMMRF solutions and soaked for 24 h at 26 °C. We then took out the samples and cleaned them for 5 min in a 270 kHz ultrasonic cleaner with deionized water. The Young's modulus of the modified layer was measured with a Bruker Dimension Icon AFM equipped with a PeakForce QNM module, and the probe model used for the measurement was DNISP-HS. The measurement area was 5  $\mu$ m  $\times$  5  $\mu$ m, and the average of the three measurements was regarded as the Young's modulus of the modified layer. FTIR (Nicolet iS20, Thermo Scientific, Waltham, MA, USA) was employed to detect the chemical composition of the modified layer.

#### 3. Results and Discussion

The design idea of the CMMRF fluid is based on the uniform wet etching technique for single-crystal silicon. First, a powerful oxidant is chosen to uniformly oxidize the surface, followed by the removal of the oxide layer to obtain an ultra-smooth surface.

The Fenton reagent contains a large amount of  $\cdot$ OH, and the oxidation potential of  $\cdot$ OH has reached 2.80 V, which is suitable for oxidizing the single-crystal silicon. Meanwhile, the concentration of Fe<sup>3+</sup> or Fe<sup>2+</sup> in the homogenous Fenton reagent is too high for direct discharge without treatment.

In the heterogeneous Fenton reagent,  $H_2O_2$  reacts on the surface of the solid catalyst to generate  $\cdot OH$ , and the chemical reaction is as follows [36]:

$$\equiv Fe^{3+} + H_2O_2 \to Fe^{2+} + HO_2 \cdot + H^+$$
(1)

$$\equiv Fe^{2+} + H_2O_2 \rightarrow Fe^{3+} + \cdot OH + OH^-$$
<sup>(2)</sup>

where the  $\equiv$ Fe<sup>2+</sup> and  $\equiv$ Fe<sup>3+</sup> represent the iron ions on the solid catalyst surface, and Fe<sup>2+</sup> and Fe<sup>3+</sup> represent the iron ions in the solution. In an acidic environment, redox cycles occur on the catalyst surface and in the liquid. Ferrihydrite [37], goethite [38], and Fe<sub>3</sub>O<sub>4</sub> [39] are frequently utilized in heterogeneous Fenton reagents. These catalysts may be separated and reused through magnetic suction or sedimentation filtering, effectively preventing environmental contamination. Nano-Fe<sub>3</sub>O<sub>4</sub> has exceptional catalytic and magnetic properties, and is widely utilized in MRF fluid and heterogeneous Fenton reagent. In this article, nano-Fe<sub>3</sub>O<sub>4</sub> is used to develop a novel CMMRF fluid.

There are three factors affecting the oxidation effect of heterogeneous Fenton reagent, namely, pH value, concentration of  $H_2O_2$ , and concentration of the catalyst [40]. The concentration of  $\equiv$ Fe<sup>2+</sup> and  $\equiv$ Fe<sup>3+</sup> on the surface of the catalyst is the primary reason why pH influences oxidation activity. As the pH increases, the metal ions will precipitate, the oxidation potential of  $\cdot$ OH will decrease at the same time, and  $H_2O_2$  is easily degraded into oxygen and water, which influences the generation of  $\cdot$ OH. For the concentration of  $H_2O_2$ , when  $H_2O_2$  is inadequate, the amount of generated  $\cdot$ OH will be insufficient, whereas an excess of  $H_2O_2$  will lead to the annihilation of  $\cdot$ OH.

Within a certain concentration range, the active site increases with the concentration of the catalyst, but excessive catalyst will consume the generated  $\cdot$ OH. The reaction is shown in the following equation:

$$\equiv Fe^{2+} + \cdot OH \rightarrow Fe^{3+} + OH^{-}$$
(3)

To optimize these three factors, the absorbance of the mixture of CMMRF fluid and ·OH catcher was measured.

As pH regulators,  $CH_3COOH$  and NaOH are utilized, and the range of pH is between 2.5 and 9. As shown in the Figure 2, the reagent absorbance and the pH value have an obvious negative correlation. When the pH is greater than 6, the absorbance of the reagent is close to 0, which shows that the reagent contains almost no  $\cdot OH$ .

CH<sub>3</sub>COOH cannot be totally ionized since it is a monobasic weak acid. When pH = 2.5, the concentration of CH<sub>3</sub>COOH is 0.5 mol/L (1.5% vol), and when pH = 3 it is 0.05 mol/L (0.15% vol). The absorbance of the reagent is only 3.5 higher at pH = 2.5 than at pH = 3. Therefore, the pH of CMMRF fluid is set to 3, which saves CH<sub>3</sub>COOH, prevents the corrosion of processing equipment caused by high CH<sub>3</sub>COOH concentrations, and ensures the oxidation effect of CMMRF fluid.

The effect of  $H_2O_2$  concentration on absorbance is shown in Figure 3. As the concentration of  $H_2O_2$  rises, the absorbance of the reagent progressively increases, reaching a peak value at 5% vol  $H_2O_2$ , and then decreases as the concentration of  $H_2O_2$  continues to rise.

The effect of  $Fe_3O_4$  on absorbance follows the same pattern as that of  $H_2O_2$ , with an initial increase followed by a decline. Figure 4 shows that when the volume of  $Fe_3O_4$  is 1%, the reagent produces the maximum concentration of  $\cdot$ OH.



Figure 2. Effect of pH on absorbance.



**Figure 3.** Effect of  $H_2O_2$  concentration on absorbance.



Figure 4. Effect of Fe<sub>3</sub>O<sub>4</sub> concentration on absorbance.

The optimized CMMRF fluid composition is deionized water 60% vol,  $H_2O_2$  5% vol,  $CH_3COOH$  0.15% vol, CIP 25% vol, nano-Fe<sub>3</sub>O<sub>4</sub> 1% vol, nanodiamond abrasive 3% vol, and PEG200 5.85% vol. Nanodiamond abrasive consists of carbon atoms, and possesses ultra-high hardness and stable chemical characteristics at room temperature.  $H_2O_2$  is frequently used for disinfection and sterilization at low concentrations. CH<sub>3</sub>COOH is an organic acid that is the primary component of vinegar. A suitable amount of CIP can be used as a dietary additive to supplement the needs of the human body. PEG200 is polyethylene glycol with an average molecular weight of 200. It is a green organic solvent that can distribute particles in CMMRF fluid and adjust its viscosity. It is evident that the components of the CMMRF fluid are harmless to the environment and operators.

As a comparison of CMMRF fluid, the MRF fluid with pure mechanical material removal is configured as follows: deionized water 65% vol, CIP25% vol, nanodiamond 3%, PEG200 7% vol, and pH adjusted to 3 with HCl, which is consistent with the CMMRF fluid. Comparing the performance of these two kinds of fluid, Figure 5 shows the results of surface roughness Ra and VRR after different MRF fluid processing. From the surface roughness results measured by WLI and AFM, it can be seen that the surface texture after CMMRF is more uniform and the surface quality after CMMRF is also significantly improved. Furthermore, the VRR of the novel CMMRF fluid is  $1.91 \times 10^{-2} \text{ mm}^3/\text{min}$ , which increases by 196.9% compared with  $0.97 \times 10^{-2} \text{ mm}^3/\text{min}$  obtained by the mechanical MRF fluid. The peak removal rate (PRR) of CMMRF is  $1.32 \,\mu\text{m}/\text{min}$ , which is 186.6% greater than the PRR of the mechanical MRF fluid (0.71  $\mu\text{m}/\text{min}$ ). Therefore, it is demonstrated that the novel green CMMRF fluid has superior performances when polishing single-crystal silicon.

To characterize the performance of the CMMRF fluid, the nanodiamond abrasive and CIP mixed with nano-Fe<sub>3</sub>O<sub>4</sub> were photographed by a SEM in the CMMRF base fluid environment. It can be seen in Figure 6a that the nanodiamond has a good dispersion and homogeneous particle size, which is beneficial to the processing of a high-quality surface. The shape of the nanodiamond is an irregular polygon, and each side of the polygon can be regarded as a sharp micro-cutting edge, which is helpful to improve the material removal efficiency. In Figure 6b, it can be seen that both CIP and nano-Fe<sub>3</sub>O<sub>4</sub> are spherical, and the regular spherical shape is beneficial for preventing surface defects during processing.

Figure 7 shows the test results of the magnetic rheometer. The shear yield strength of MRF fluid increased by 5.7% at 250 s<sup>-1</sup> when nano-Fe<sub>3</sub>O<sub>4</sub> was added. Under the influence of an external directional magnetic field, the CIPs are arranged in a chain structure, and nano-Fe<sub>3</sub>O<sub>4</sub> is embedded in the gap between the CIP and enhances the magnetic dipole–dipole force, which is reflected as an increase of shear yield strength. With an increase in shear yield strength, the capacity of MRF fluid to hold nanodiamond polishing particles is enhanced, which is beneficial for improving the removal efficiency of materials.

To investigate the reaction mechanism of CMMRF on the single-crystal silicon, various chemical substances were added to configure the different MRF fluids, and three samples with its original oxide layer removed were immersed in it. As shown in Table 2, fluid No. 1 and No. 2 are adjusted to pH = 3 with HCl, while fluid No. 3 is adjusted to pH = 3 using CH<sub>3</sub>COOH.

Table 2. Components of different fluid.

Number	H <sub>2</sub> O <sub>2</sub> (vol%)	Fe <sub>3</sub> O <sub>4</sub> (vol%)	CH <sub>3</sub> COOH (vol%)	pН
1	5	-	-	3
2	5	1	-	3
3	5	1	0.15	3

Young's modulus is measured at three points on each sample, with a range of 5  $\mu$ m  $\times$  5  $\mu$ m at each point. The average of three points is regarded as the Young's modulus of this sample. The measurement results are shown in Figure 8. The Young's modulus of single-crystal silicon is 113 GPa [41]. As shown in Figure 8, after 24 h of immersion in MRF fluid, the Young's modulus of the single-crystal silicon sample decreased to 97.8 GPa,



and the Young's modulus of Sample No. 2 and Sample No. 3 decreased to 89.5 GPa and 81.6 GPa, respectively.



**Figure 5.** Polishing results of different MRF fluid:(**a**) Surface roughness after mechanical MRF process (measured by WLI); (**b**) surface roughness after CMMRF process (measured by WLI); (**c**) surface roughness after mechanical MRF process (measured by AFM); (**d**) surface roughness after CMMRF process (measured by AFM); (**e**) comparison of material removal rate of mechanical MRF and CMMRF.



Figure 6. (a) SEM image of nanodiamond abrasive; (b) CIP mixed with nano-Fe<sub>3</sub>O<sub>4</sub>.



Figure 7. The magnetic rheometer test results of different MRF fluid.

From Figure 9a, it can be seen that each sample has strong peaks at the position of  $471 \text{ cm}^{-1}$  (Si-O), 778 cm<sup>-1</sup> (Si-O), and  $1113 \text{ cm}^{-1}$  (Si-O-Si) [42], which are the characteristic peaks of SiO<sub>2</sub>, and the absorption peaks of Samples No. 2 and No. 3 are significantly stronger than that of Sample No. 1. In addition, compared with Sample No. 1 and No. 2, Sample No. 3 has a strong absorption band at 973 cm<sup>-1</sup> (Si-OH). It can be seen from Figure 9b, at the position of  $3417 \text{ cm}^{-1}$  (OH group), the absorption bands of Sample No. 1, Sample No. 2, and Sample No. 3 are intensified sequentially [43].



(c)

Figure 8. Young's modulus test results: The Young's modulus image of sample (a) No. 1; (b) No. 2; (c) No. 3; (d) average values of Young's modulus of different samples.

During the Young's modulus test, the degradation of the sample mechanical properties will be aggravated by a thicker modified layer. It can be inferred that the thickness of the modified layer of Sample No. 1 is less than that of other samples, and the absorption peak intensity of Sample No. 2 and Sample No. 3 is higher than that of Sample No. 1, confirming this conclusion again. This is because the heterogeneous Fenton reagent composed of nano-Fe<sub>3</sub>O<sub>4</sub> and H<sub>2</sub>O<sub>2</sub> has a better oxidation performance and generates a thicker modified layer within the same treatment time.

The modified layer of Sample No. 3 is attacked by the hydroxyl group in  $CH_3COOH$ , which destroys the structure of the oxidation product SiO<sub>2</sub>, resulting in a further decrease in Young's modulus [44–46]. According to the research, the VRR of MRF is proportional to the Young's modulus; hence, the soft modified layer leads to a significant increase in MRF removal efficiency.

As depicted in Figure 10, the nondiamond abrasive entirely removed the modified layer in the CMMRF process. The presence of the modified layer reduces the substrate penetration depth ( $\delta_3$ ) of abrasives, while the total penetration depth ( $\delta_2$ ) is greater than that of mechanical MRF ( $\delta_1$ ). The microscopic topography formed by abrasives will be



more uniform when the substrate penetration depth is lower, and an increase in the total penetration depth will improve the material removal efficiency.

**Figure 9.** FTIR spectra of different samples: (a) 400–1800 cm<sup>-1</sup>; (b) 3000–4000 cm<sup>-1</sup>.



Figure 10. Abrasive contact model of mechanical MRF and CMMRF.

Furthermore, it should be noted that the chemical-mechanical balance and electrostatic force between the abrasive and modified layer also play vital roles in the high-efficiency ultra-smooth process. Further research is needed to fill the gaps in the CMMRF material removal mechanism.

### 4. Conclusions

In this paper, a novel CMMRF fluid is developed and realizes high-efficiency ultrasmooth processing of single-crystal silicon. The novel fluid is harmless to the environment and the operators, and the solid components in the fluid can be recycled and reused.

The polishing mechanism of CMMRF was revealed by comprehensive characterizations. The nano-Fe<sub>3</sub>O<sub>4</sub> enhanced the shear yield strength of the fluid, and the employment of the hard nanodiamond abrasive was beneficial for improving the material removal efficiency. The heterogeneous Fenton reagent is composed of Fe<sub>3</sub>O<sub>4</sub> and H<sub>2</sub>O<sub>2</sub>; the ·OH can rapidly oxidize single-crystal silicon. The addition of CH<sub>3</sub>COOH considerably enhanced the material removal rate by destroying the modified layer with the hydrolysis reaction. In addition, the modified layer reduces the substrate penetration depth of the abrasives, resulting in a significant improvement in surface roughness. This article could be a reference for the high-efficiency ultra-smooth processing of silicon. After the optimization of processing parameters, it can also be applied to a variety of materials as far as the modified layer can be generated on the surface.

**Author Contributions:** Conceptualization, Z.L., H.H. and Y.D.; methodology, Z.L.; validation, Z.L., H.H. and S.X.; formal analysis, S.X.; investigation, Y.Z.; resources, Y.Z.; data curation, S.X.; writing—original draft preparation, Z.L.; writing—review and editing, Z.L.; visualization, Z.L. and Y.Z.; supervision, H.H., S.X. and Y.D.; project administration, H.H., S.X. and Y.D.; funding acquisition, H.H., S.X. and Y.D. All authors have read and agreed to the published version of the manuscript.

Funding: The National Natural Science Foundation of China (51835013, 51991371, 52105567).

Data Availability Statement: Data are contained within the article.

Acknowledgments: This research was supported by the National Natural Science Foundation of China.

Conflicts of Interest: The authors declare no conflict of interest.

#### References

- 1. Mimura, H.; Handa, S.; Kimura, T.; Yumoto, H.; Yamakawa, D.; Yokoyama, H.; Matsuyama, S.; Inagaki, K.; Yamamura, K.; Sano, Y. Breaking the 10 nm barrier in hard-X-ray focusing. *Nat. Phys.* **2010**, *6*, 122–125. [CrossRef]
- Fujisawa, T.; Inoue, K.; Oka, T.; Iwamoto, H.; Uruga, T.; Kumasaka, T.; Inoko, Y.; Yagi, N.; Yamamoto, M.; Ueki, T. Small-angle X-ray scattering station at the SPring-8 RIKEN beamline. J. Appl. Crystallogr. 2010, 33, 797–800. [CrossRef]
- 3. Spiller, E. Soft X-ray Optics. Opt. Photonics. News. 1993, 7, 60.
- 4. Siewert, F.; Buchheim, J.; Boutet, S.; Williams, G.J.; Signorato, R. Ultra-precise characterization of LCLS hard X-ray focusing mirrors by high resolution slope measuring deflectometry. *Opt. Express* **2012**, *20*, 4525–4536. [CrossRef] [PubMed]
- Pardini, T.; Cocco, D.; Hau-Riege, S.P. Effect of slope errors on the performance of mirrors for X-ray free electron laser applications. Opt. Express 2015, 23, 31889. [CrossRef]
- Shi, X.; Assoufid, L.; Reininger, R. How to specify super-smooth mirrors: Simulation studies on nano-focusing and wavefront preserving X-ray mirrors for next-generation light sources. In Proceedings of the 8th International Symposium on Advanced Optical Manufacturing and Testing Technology (AOMATT2016), Suzhou, China, 26–29 April 2016.
- Tatchyn, R.; Arthur, J.; Boyce, R.; Fasso, A.; Howells, M. X-ray optics design studies for the SLAC 1.5-15 Angstrom Linac Coherent Light Source (LCLS). Nucl. Instrum. Methods Phys. Res. 1999, 429, 397–406. [CrossRef]
- Guigay, J.P.; Morawe, C.; Mocella, V.; Ferrero, C. An analytical approach to estimating aberrations in curved multilayer optics for hard x-rays: 1. Derivation of caustic shapes. *Opt. Express* 2008, *16*, 12050–12059. [CrossRef] [PubMed]
- Morawe, C.; Guigay, J.P.; Mocella, V.; Ferrero, C. An analytical approach to estimating aberrations in curved multilayer optics for hard X-rays: 2. Interpretation and application to focusing experiments. *Opt. Express* 2008, *16*, 16138–16150. [CrossRef] [PubMed]
- Jacobs, S.; Golini, D.; Hsu, Y.; Puchebner, B.; Strafford, D.; Prokhorov, I.; Fess, E.; Pietrowski, D.; Kordonski, W. Magnetorheological finishing: A deterministic process for optics manufacturing. In Proceedings of the International Conferences on Optical Fabrication and Testing and Applications of Optical Holography, Tokyo, Japan, 2 August 1995.
- 11. Kordonski, W.; Gorodkin, S. Material removal in magnetorheological finishing of optics. *Appl. Opt.* **2011**, *50*, 1984–1994. [CrossRef] [PubMed]
- Dumas, P.; McFee, C. Novel MRF fluid for Ultra-Low Roughness optical surfaces. In Proceedings of the 7th International Symposium on Advanced Optical Manufacturing and Testing Technologies: Advanced Optical Manufacturing Technologies (AOMATT 2014), Harbin, China, 6 August 2014.
- 13. Dumas, P.; Golini, D.; Tricard, M. Improvement of figure and finish of diamond turned surfaces with magneto-rheological finishing (MRF). In Proceedings of the Window and Dome Technologies and Materials IX, Orlando, FL, USA, 18 May 2005.

- 14. Catrin, R.; Neauport, J.; Taroux, D.; Cormont, P.; Maunier, C.; Lambert, S. Magnetorheological finishing for removing surface and subsurface defects of fused silica optics. *Opt. Eng.* **2014**, *53*, 7. [CrossRef]
- Campbell, J.H.; Hawley-Fedder, R.; Stolz, C.J.; Menapace, J.A.; Borden, M.R. NIF optical materials and fabrication technologies: An overview. In Proceedings of the Optical Engineering at the Lawrence Livermore National Laboratory II: The National Ignition Facility, San Jose, CA, USA, 28 May 2004.
- 16. Ghosh, G.; Sidpara, A.; Bandyopadhyay, P.P. Experimental and theoretical investigation into surface roughness and residual stress in magnetorheological finishing of OFHC copper. *J. Mater. Process. Technol.* **2021**, *288*, 116899. [CrossRef]
- 17. Thiess, H.; Lasser, H.; Siewert, F. Fabrication of X-ray mirrors for synchrotron applications. *Nucl. Instrum. Methods Phys. Res.* 2010, 616, 157–161. [CrossRef]
- Seifert, A. New Products for Synchrotron Application Based On Novel Surface Processing Developments. In Proceedings of the Synchrotron radiation instrumention: 9th International Conference on Synchrotron Radiation Instrumentation, Daegu, Republic of Korea, 28 May–2 June 2006.
- 19. Liu, S.; Wang, H.; Hou, J.; Zhang, Q.; Chen, X.; Zhong, B.; Zhang, M. Combined processing strategy based on magnetorheological finishing for monocrystalline silicon x-ray mirrors. *Appl. Opt.* **2022**, *61*, 5575–5584. [CrossRef]
- Zhong, Y.; Dai, Y.; Xiao, H.; Shi, F. Experimental study on surface integrity and subsurface damage of fused silica in ultra-precision grinding. *Int. J. Adv. Manuf. Technol.* 2021, 115, 4021–4033. [CrossRef]
- 21. Yin, L.; Lin, Z.; Hu, H.; Dai, Y. Rapid polishing process for the X ray reflector. *Appl. Opt.* **2022**, *61*, 7991–7998. [CrossRef] [PubMed]
- 22. Burge, J.H.; Kim, D.W.; Martin, H.M. Process optimization for polishing large aspheric mirrors. In Proceedings of the Advances in Optical and Mechanical Technologies for Telescopes and Instrumentation, Montréal, QC, Canada, 28 July 2014.
- Menapace, J.A. Developing magnetorheological finishing (MRF) technology for the manufacture of large-aperture optics in megajoule class laser systems. In Proceedings of the Laser Damage Symposium XLII: Annual Symposium on Optical Materials for High Power Lasers, Boulder, CO, USA, 3 December 2010.
- 24. Messner, W.J.; Hall, C. High removal rate MRF[TM]. In Proceedings of the Optifab, Rochester, NY, USA, 28 October 2021.
- 25. Johnson, J.S.; Grobsky, K.; Bray, D.J. Rapid fabrication of lightweight silicon carbide mirrors. In Proceedings of the International Symposium on Optical Science and Technology, Seattle, WA, USA, 9 September 2002.
- 26. Kai, R.; Xiao, L.; Li, G.Z.; Yang, B.; Long, X.L. Belt-MRF for large aperture mirrors. Opt. Express 2014, 22, 19262–19276.
- Jung, B.; Jang, K.I.; Min, B.K.; Sang, J.L.; Seok, J. Magnetorheological finishing process for hard materials using sintered iron-CNT compound abrasives. Int. J. Mach. Tools Manuf. 2009, 49, 407–418. [CrossRef]
- Kyung, I.; Jang, E.N.; Chan, Y.L.; Jong, W. Mechanism of synergetic material removal by electrochemomechanical magnetorheological polishing. *Int. J. Mach. Tools Manuf.* 2013, 70, 88–92.
- 29. Wang, Y.; Zhang, Y.; Feng, Z. Analyzing and improving surface texture by dual-rotation magnetorheological finishing. *Appl. Surf. Sci.* **2016**, *360*, 224–233. [CrossRef]
- 30. Zhang, Y.; Fang, F.; Fan, W.; Huang, W.; He, J. Research on magnetorheological finishing of aspheric optics for single-crystal silicon. In Proceedings of the Second Symposium on Novel Technology of X-ray Imaging, Hefei, China, 10 May 2019.
- Bentley, J.L.; Stoebenau, S.; Maloney, C.; Oswald, E.S.; Dumas, P. Fine figure correction and other applications using novel MRF fluid designed for ultra-low roughness. In Proceedings of the Optifab, Rochester, NY, USA, 11 October 2015.
- Sidpara, A.; Jain, V.K. Nano–level finishing of single crystal silicon blank using magnetorheological finishing process. *Tribol. Int.* 2012, 47, 159–166. [CrossRef]
- Estragnat, E.; Tang, G.; Liang, H.; Jahanmir, S.; Pei, P.; Martin, J.M. Experimental investigation on mechanisms of silicon chemical mechanical polishing. J. Electron. Mater. 2004, 33, 334–339. [CrossRef]
- Wang, Y.G.; Zhang, L.C.; Biddut, A. Chemical effect on the material removal rate in the CMP of silicon wafers. Wear 2011, 270, 312–316. [CrossRef]
- Su, J.X.; Guo, D.M.; Kang, R.K.; Jin, Z.J.; Li, X.J.; Tian, Y.B. Modeling and Analyzing on Nonuniformity of Material Removal in Chemical Mechanical Polishing of Silicon Wafer. *Mater. Sci. Forum.* 2004, 471–472, 26–31. [CrossRef]
- Hartmann, M.; Kullmann, S.; Keller, H. Wastewater Treatment with Heterogeneous Fenton-type Catalysts Based on Porous Materials. J. Mater. Chem. 2010, 20, 9002–9017. [CrossRef]
- Georges, O.N.; Guillaume, M.; Yuheng, W.; Andrea, L.; Foster, F. XANES Evidence for Rapid Arsenic(III) Oxidation at Magnetite and Ferrihydrite Surfaces by Dissolved O<sub>2</sub> via Fe<sup>2+</sup>-Mediated Reactions. *Environ. Sci. Technol.* 2010, 44, 5416–5422.
- Chen, L.; Wang, Y.; Zhang, H.; Gao, Y. Goethite as an efficient heterogeneous Fenton catalyst for the degradation of methyl orange. *Catal. Today* 2015, 252, 107–112.
- 39. Huang, R.; Fang, Z.; Yan, X.; Wen, C. Heterogeneous sono-Fenton catalytic degradation of bisphenol A by Fe<sub>3</sub>O<sub>4</sub> magnetic nanoparticles under neutral condition. *Chem. Eng. J.* **2012**, *197*, 242–249. [CrossRef]
- 40. Chen, C.H.; Xie, B.; Ren, Y. The mechanisms of affecting factors in treating wastewater by Fenton reagent. *J. Environ. Sci.* 2000, *21*, 93–96.
- Wakaki, M.; Shibuya, T.; Kudo, K. Physical Properties and Data of Optical Materials, 1st ed.; CRC Press: Boca Raton, FL, USA, 2007; pp. 339–378.
- 42. Lucovsky, G.; Mantini, M.J.; Srivastava, J.K.; Irene, E.A. Low-temperature growth of silicon dioxide films: A study of chemical bonding by ellipsometry and infrared spectroscopy. *J. Vac. Sci. Technol. B* **1987**, *5*, 530–537. [CrossRef]

- 43. Kim, J.T.; Kim, M.C. Silicon wafer technique for infrared spectra of silica and solid samples (I). *Korean J. Chem. Eng.* **1986**, *3*, 45–51. [CrossRef]
- 44. Cook, L.M. Chemical processes in glass polishing. J. Non. Cryst. Solids 1990, 120, 152–171. [CrossRef]
- 45. MichalskeI, T.A.; Freiman, S.W. A Molecular Mechanism for Stress Corrosion in Vitreous Silica. *J. Am. Ceram. Soc.* **1983**, *66*, 284–288. [CrossRef]
- 46. Zhang, S.; Liu, Y. The molecular level dissolution mechanisms of quartz under different pH conditions. *Geochimica* **2009**, *38*, 549–557.

**Disclaimer/Publisher's Note:** The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.