



# Article Path Planning in the Case of Swarm Unmanned Surface Vehicles for Visiting Multiple Targets

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Abstract: In this study, we present a hybrid approach of Ant Colony Optimization algorithm (ACO) with fuzzy logic and clustering methods to solve multiobjective path planning problems in the case of swarm Unmanned Surface Vehicles (USVs). This study aims to further explore the performance of the ACO algorithm by integrating fuzzy logic in order to cope with the multiple contradicting objectives and generate quality solutions by in-parallel identifying the mission areas of each USV to reach the desired targets. The design of the operational areas for each USV in the swarm is performed by a comparative evaluation of three popular clustering algorithms: Mini Batch K-Means, Ward Clustering and Birch. Following the identification of the operational areas, the design of each USV path to perform the operation is performed based on the minimization of traveled distance and energy consumption, as well as the maximization of path smoothness. To solve this multiobjective path planning problem, a comparative evaluation is conducted among ACO and fuzzy inference systems, Mamdani (ACO-Mamdani) and Takagi–Sugeno–Kang (ACO-TSK). The results show that depending on the needs of the application, each methodology can contribute, respectively. ACO-Mamdani generates better paths, but ACO-TSK presents higher computation efficiency.

**Keywords:** ant colony optimization; fuzzy logic; multiobjective path planning; swarm USV; metaheuristics; clustering

# 1. Introduction

Robotic vehicles are integrated into the modern style of life to undertake challenging tasks, such as monitoring or navigation assistance [1]. An Unmanned Surface Vehicle (USV) is a type of autonomous robotic vehicle with various applications, including ocean monitoring [2,3], safety and rescuing [4] and swarm approaches combined with Unmanned Aircraft Vehicles (UAVs) and/or Unmanned Ground Vehicles (UGVs) for monitoring. The increased use and application of USVs impose the need for more autonomous functions/decisions in dynamic and complex environments without any human interference, such as the ability to find an optimal route and to avoid detected obstacles in real time [5].

Path planning problems can be found in various domains, such as air transportation and UAVs [6–9], robotic vehicles and USVs [5] and even for smart assistive systems for individuals with disabilities [1,10,11]. To address the USV path planning problem in complex and dynamic environments, multiple factors/objectives should be considered for generating an optimal path. Traditional approaches for path planning are based on single-objective metaheuristics for finding the shortest path or the most energy efficient or safest path, among others. For instance, A\* [12,13], Dijkstra [14] and Ant Colony Optimization (ACO) [15,16], among others, have been used to address the aforementioned single-objective path planning problems.

The path planning of unmanned or autonomous surface vehicles (USVs/ASVs) aims to use optimization algorithms to determine optimal paths/trajectories for a specific operation. The problem can be defined as a route identification between two positions in



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). a dynamic space. The target is to find a collision-free route, physically feasible within spatial constraints and certain optimization criteria/objectives [17]. The path planning approaches can be categorized to global and local path planning and to single- or multiobjective optimization. In general, classical approaches include visibility graphs and Voronoi diagrams [18], graph-based algorithms, such as Dijkstra and A\*, or Potential Fields [19]. On the other hand, intelligent path planning is based on Deep Reinforcement Learning [20], evolutionary algorithms, artificial intelligence or fuzzy logic [17,19,21].

In the case of single-objective unmanned or autonomous surface vehicles' path planning, commonly used objectives include the minimization of traveled distance, traveled time and energy consumption or the maximization of safety [17]. ACO has been applied for obstacle avoidance [22], hybridized with artificial potential field for adaptive early warning [23]; for global path planning combined with quantum computing [24], with Bayesian network [25] and with immune algorithm [26]; and for collision avoidance [16]. A\* was used as a stand-alone or hybrid approach in maritime environments with dynamic obstacles and ocean currents [13], as well as for path smoothing [12,27]. Other studies propose the use of a multilayer path planner for obstacle avoidance [28] and Voronoi diagram [29] or Particle Swarm Optimization algorithm (PSO) [30] for finding energy efficient paths.

When it comes to path planning with multiple objectives of unmanned or autonomous surface vehicles, limited studies have been proposed. The majority of them are based on common approaches, such as scalarization and Pareto optimality [31]. On the other hand, few methodologies employ fuzzy logic (FL) or develop novel approaches to address efficiently in terms of computational effort in the multiobjective path planning problem [32]. In the literature, the scalarization of the objective terms by using mostly the weighted sum has been proposed for multiobjective USV path planning to combine time, distance and energy consumption. To solve the aforementioned modeling, a hybrid A\* algorithm was developed [33]. In another study [34], the Pareto optimality was adopted with a particle swarm optimization algorithm for path planning of USVs with current effects. The Convention on the International Regulations for Preventing Collisions at Sea (COLREGs) with a hierarchical inclusion of constraints were integrated to form a multiobjective optimization framework. To solve this problem, a hierarchical multiobjective particle swarm optimization (H-MOPSO) algorithm was proposed for ASVs [35]. In ref. [36], FL has been integrated to the ACO algorithm for finding an optimal path among multiple objectives, distance, energy consumption and path smoothness. Another study on ASVs employs fuzzy decision making in a hybrid global-local path planning for collision avoidance by using the Theta\*-like heuristic [37]. A comparative study [32] among FL and Root Mean Square Error evaluation criterion was conducted for the novel swarm intelligence algorithm (SIGPA) [38]. Another comparative study for ASVs focuses on local path planners for monitoring applications including A<sup>\*</sup>, Potential Fields (PF), Rapidly Exploring Random Trees\* (RRT\*) and variations of the Fast Marching Method (FMM) [39].

The current literature on path planning for a swarm of USVs includes the use of the improved adaptive adjustable fast marching square method to meet the COLREGs requirements [40] and for collision avoidance in restricted waters [41]; the B-spline data framing approach for smooth operational area design [42]; a negotiation protocol based on ad hoc networks to solve the collision avoidance problem in the case of a swarm of USVs [43]; the particle swarm optimization based on obstacle dimension to optimize defense paths of USVs to intercept intruders in the context of a collaborative defense with USVs and UAVs [44]; and the Improved Salp Swarm Algorithm for a cooperative path planning of multiple USVs in the case of search and coverage in water environments [45]. Most approaches of swarm unmanned surface vessels for search and hunting are based on swarm intelligence, such as the PSO algorithm, ABC algorithm and ACO algorithm [46]. Based on ref. [46], ACO, over the other compared algorithms, has faster convergence and higher robustness and parallelism, with simple mathematical operations. However, it can easily fall to local optima.

This study focuses on the problem of multiobjective path planning of a USV swarm in the case of covering an operational area and visiting multiple points of interests. The proposed methodology consists of hierarchical steps (Figure 1). The problem is divided into two subproblems: (i) The management of the swarm by identifying collision-free suboperational areas for each USV in the swarm. This is implemented through a comparative evaluation of popular clustering algorithms. (ii) The design of the optimal path for each USV to implement the operation with respect to multiple objectives. To address this problem, a comparative evaluation of ACO enhanced with fuzzy logic is conducted.



Figure 1. Concept of this study and methodological steps.

Specifically, this study takes advantage of the state-of-the-art ACO-FS algorithm proposed in [36], enhanced with fuzzy logic to address the multiobjective path planning problem. To overcome the limitations of [36] and expand the methodology to a swarm of USVs to cover a certain area and visit multiple targets, this study employs a clustering approach to group the targets based on weather and geolocation data. For the clustering, three popular clustering methods, namely the Mini Batch K-Means, Ward's Hierarchical Agglomerative Clustering and Birch, were compared and evaluated based on the aggregation of three clustering evaluation methods. Then, a comparative evaluation of two popular fuzzy inference systems (FIS), Mamdani and Takagi–Sugeno–Kang (TSK), follows. Therefore, through a comparative evaluation process, the best suitable FIS and clustering algorithm for this application is identified (Figure 1).

## 2. Materials and Methods

In this section, the proposed methodology is presented. Following the hierarchy of the methodological steps in Figure 1, Section 2.1 is dedicated to the presentation of the swarm problem and the clustering approach used to address it. The multiobjective path planning problem is presented in Section 2.2. Specifically, the objective terms are described with their formulation. Then, in Section 2.3, the proposed optimization algorithm ACO is presented, followed by the presentation of the FISs that are employed for generating balancing paths among the objective terms.

#### 2.1. Swarm Approach of USV Path Planning Problem

To solve the swarm USV path planning problem, various clustering methods, namely Mini Batch K-Means, Ward's Hierarchical Agglomerative Clustering (Ward) and Birch, are tested and evaluated through a comparative evaluation process (described in Section 3) in order to identify the most effective one for this application. Mini Batch K-Means is an alternative clustering method to the K-Means algorithm. The advantages of this method include a reduction in the computational effort by using small random batches of a fixed size instead of all of the dataset in each iteration [47]. Ward's Hierarchical Agglomerative Clustering. It is based on the criterion of the sum of squares to produce groups that minimize within-group dispersion at each binary fusion [48]. Balanced Iterative Reducing and Clustering using Hierarchies (Birch) is an unsupervised data mining algorithm used to perform hierarchical

clustering. It generates a compact summary that retains as much distribution information as possible, and then clusters the data summary instead of the original dataset [49,50].

The clustering of the targets that need to be visited in an area by the swarm of the USVs is performed based on the geospatial coordinates and the wind information (velocity and direction). To this end, targets with similar characteristics are grouped. The number of clusters is defined by the number of the USVs that form the swarm, so that each USV will perform a mission.

### 2.2. Objective Terms of the USV Path Planning Problem

In this study, the multiobjective path planning problem with multiple targets is addressed in the case of a swarm of USVs. The formulation of the problem is based on [32,36]. The goal is to find the optimal path to cover the specified areas by minimizing (i) the distance (1); (ii) the brut turns along the route (2); and (iii) the energy consumption due to current velocity and direction (3).

Term 1 for the minimization of traveled distance.

$$minD = \sum_{i \in \mathcal{N}} \sum_{\substack{j \in N : \\ (i,j) \in \varepsilon}} d_{ij} = \sum_{i \in \mathcal{N}} \sum_{\substack{j \in N : \\ (i,j) \in \varepsilon}} \left( \sqrt{(j_x - i_x)^2 + (j_y - i_y)^2} \right)$$
(1)

where  $\mathcal{N}$  and  $\varepsilon$  are the sets of nodes and the edges of the graph, respectively;  $d_{ij}$  is the Euclidean distance metric between node *i* and node *j*.  $i_x$ ,  $j_x$  and  $i_y$ ,  $j_y$  are the geographical coordinates of nodes *i* and *j* on horizontal and vertical axes, respectively. Term 2 for the minimization of brute changes along the path (Figure 2).

$$min\theta = \sum_{i \in \mathcal{N}} \sum_{\substack{j \in N : \\ (i,j) \in \varepsilon}} \sum_{\substack{k \in N : \\ (i,k) \in \varepsilon}} \theta_{ijk}$$
(2)

where  $\theta_{ijk}$  is the angle that is formed from the edges (i, j) and (j, k).



**Figure 2.** Example of an angle  $\theta$  formed from 3 consecutive nodes i, j and k.

- Term 3 for the minimization of the fuel consumption of the USV.

$$minFC = \sum_{i \in \mathcal{N}} \sum_{\substack{j \in N: \\ (i, j) \in \varepsilon}} \frac{d_{ij}}{V + v_c} f$$
(3)

where *f* is the fuel consumption per unit time (kg/h), and *V* and *v<sub>c</sub>* are the velocities of the USV and of the currents, respectively. The term is included in the model, since if a USV is moving against the currents, more energy is needed to retain a certain velocity during a route [24,32,34,36,51,52].

## 2.3. Ant Colony Optimization Algorithm with Fuzzy Logic

The ACO algorithm is one of the most popular heuristic algorithms used to solve path planning problems formed as graphs for finding the shortest path [15]. To adapt the ACO

algorithm to solve the above-defined multiobjective path planning problem for USVs, ACO is enhanced with fuzzy logic. This enables the ability to evaluate the impact of multiple objectives and identify the optimal solution. ACO is inspired by the operation of ants to trace their food by depositing pheromones along the path [53]. ACO operation consists of two main steps: in the first step, the transition probability,  $p_{ij}$ , of each edge in the graph is calculated based on (4), and in the second step, the equation (5) is used to update the pheromones. This is achieved by recalculating the pheromone deposit,  $\tau_{ij}$ , on each edge for the ant population  $\mathcal{P}$ :

$$\nu_{ij} = \frac{(\tau_{ij})^{\gamma} (\eta_{ij})^{\beta}}{\sum_{(k,l) \in \varepsilon} \tau_{kl}} \tag{4}$$

$$\tau_{ij} = (1-\rho)\tau_{ij} + \rho \sum_{a \in \mathcal{P}} \frac{Q}{L_a}$$
(5)

where  $\rho \in [0, 1]$  is the evaporation coefficient,  $\eta_{ij} = \frac{1}{d_{ij}}$  where  $d_{ij}$  is  $\alpha$  distance metric,  $\gamma \ge 0$ and  $\beta \ge 1$  are the parameters to control the influence of  $\tau_{ij}(t)$  and  $\eta_{ij}$ , respectively.  $L_a$  is the cost of the path of ant *a* and *Q* is a constant that is associated with the remaining pheromone amount [53]. In the literature, in the case of single-objective optimization problems, the  $L_a$  corresponds to the objective cost/value. For example, in shortest distance problems, the cost is the length of the path found by the ant *a*. Bellow, more details are given for the calculation of this cost in our study.

The pseudocode of the ACO algorithm is shown below in Algorithm 1. In the initialization phase *InitializePheromoneValues*( $\tau$ ), the pheromone values ( $\tau_{ij}$ ) are all initialized to a constant value c > 0 at the start of the algorithm. In the phase of the solution construction, *ConstructSolution*( $\tau$ ), the construction of a solution starts with an empty partial solution  $\mathfrak{s}^p = \langle \rangle$ . Then, at each construction step, the current partial solution  $\mathfrak{s}^p$ is extended by adding a feasible solution component based on the transition probabilities and the heuristic information (4). Moreover, the pheromone update process follows *ApplyPheromoneUpdate*( $\tau$ ,  $\mathcal{G}_{iter}$ ,  $\mathfrak{s}^*$ ), based on (5).

Algorithm 1: ACO pseudoalgorithm

Input: variables of ACO InitializePheromoneValues( $\tau$ )  $\mathfrak{s}^* \leftarrow NULL //$  current best solution does not exist while termination criteria are not met do  $\mathcal{G}_{iter} \leftarrow \varnothing //$  the set of the path at the current iteration is empty for  $j = 1, ..., n_a$  do  $\mathfrak{s} \leftarrow ConstructSolution(\tau)$ if  $(f(\mathfrak{s}) < f(\mathfrak{s}^*))$  or  $\mathfrak{s}^*$  is NULL then  $\mathfrak{s}^* \leftarrow \mathfrak{s}$   $\mathcal{G}_{iter} \leftarrow \mathcal{G}_{iter} \cup \{\mathfrak{s}^*\}$ end for  $ApplyPheromoneUpdate(\tau, \mathcal{G}_{iter}, \mathfrak{s}^*)$ end while Output: current best solution  $\mathfrak{s}^*$ 

In this study, a path planning problem formulated as a multiobjective optimization problem is investigated. To this end, the cost of the path,  $L_a$ , used in (5), is defined in a way to reflect the objective cost derived from all the objectives ((1), (2) and (3)) of the problem presented in Section 2.1. Therefore, to calculate the  $L_a$  cost of the path of each ant, two popular FIS systems are employed. The FISs are used to aggregate the impact of the objective terms into a single value derived from the defuzzification process. The hybridization of ACO with Mamdani or TSK FISs has been successfully implemented in our previous studies, where more details on this process can be found [32,52].

# 2.3.1. FIS1 1: Mamdani Fuzzy Inference System (ACO-Mamdani)

In the hybridization of ACO with Mamdani FIS, the defuzzification value of the Mamdani FIS is used as the cost of the path ( $L_a$ ). This is because the defuzzification value denotes the optimality of the generated path after the aggregation of the objective terms and the defined fuzzy sets and rules. For this study, the following fuzzy membership functions (Figure 2) corresponding to each objective term and fuzzy rules (Table 1) are defined and used for the Mamdani FIS.

Path Length	<b>Path Deviations</b>	Energy Consumption	Path Optimality
Short	Smooth	Low	Very High
Short	Smooth	Medium	High
Short	Moderate	Low	High
Moderate	Smooth	Low	High
Short	Moderate	Medium	Medium
Moderate	Smooth	Medium	Medium
Moderate	Moderate	Low or Medium	Medium
Moderate	Moderate or Brut	Medium or High	Low
Moderate or Long	Moderate	Medium or High	Low
Moderate or Long	Moderate or Brut	Medium	Low
Long	Brut	High	Very Low

Table 1. Fuzzy rules.

2.3.2. FIS 2: Takagi–Sugeno–Kang Fuzzy Inference System (ACO-TSK)

In the second approach, where the ACO is hybridized with TSK FIS as the path cost ( $L_a$ ), the value of the TSK FIS is used. Similarly to Mamdani FIS, this value denotes the optimality of the generated path. TSK FIS calculates a crisp output value by using a weighted average of the fuzzy rules' consequent [54]. This makes the TSK FIS a less computationally demanding approach compared with Mamdani. For the TSK FIS, the same membership functions and rules (Figure 3, Table 1) are adopted.



**Figure 3.** Membership functions of (**a**) path distance; (**b**) path turns; (**c**) fuel consumption; and (**d**) path optimality.

## 3. Evaluation Methodology

## 3.1. Experimental Setup

Two case studies (CSs) in a simulation environment are performed to evaluate the presented methodology for a swarm of 3 USVs with the same characteristics by comparing the effectiveness of the clustering algorithms and the selected FISs in the specific application. To this end, a fully connected graph was randomly generated with 25 nodes. For each node, the values of current velocity and direction were set based on Gaussian distribution, a common approach to develop data in simulated environments [55]. The current velocity was set from 1 and 3 m/s. Moreover, the direction was set from 0 to 360 degrees clockwise. Nodes with yellow correspond to lower values of current velocity (close to 1 m/s), while dark blue nodes correspond to higher values of current velocity (close to 3 m/s). It is assumed that all the USVs have the necessary fuel and energy to perform the tasks. Regarding the parameter settings of ACO, the iterations were set to 20 with 5 size population. The evaporation coefficient was set to 0.5, and Q was set to 1. Regarding the USV characteristics, F was set to 2 kg/h and V to 3 m/s. The experiments were implemented in Python using Microsoft Windows 10 Environment operational system, with AMD Ryzen 7 3800X 8-Core Processor at 3.89 GHz and 32GB RAM. Figure 4 illustrates the evaluation steps followed in this study.

![](_page_6_Figure_5.jpeg)

Figure 4. Evaluation steps of this study.

## 3.2. Comparative Evaluation of Clustering Algorithms

The evaluation of the clustering methods is performed based on the aggregation of 3 evaluation methods, adopted from [56]. The chosen clustering evaluation criteria are the Silhouette Coefficient, the Calinski–Harabasz Index (CHI) and the Davies–Bouldin Index. The normalized scores of the evaluation criteria are summed for calculating a cumulative evaluation score (Figure 5).

![](_page_6_Figure_9.jpeg)

Figure 5. Clustering evaluation methodology.

Silhouette Coefficient is an evaluation metric that calculates the goodness of a clustering technique, and its value ranges from -1 to 1. The higher value 1 shows that the means clusters are well apart from each other and clearly distinguished. The lower value -1 shows that the means clusters are wrongly assigned, while the value 0 shows that the distance between the means clusters is not significant. For each point i, the distance to its own cluster centroid  $a_i$  and the distance to the nearest neighboring centroid  $b_i$  are calculated. The Silhouette score for the point *i* is calculated based on (6):

silhouette score = 
$$\frac{(b_i - a_i)}{max(a_i, b_i)}$$
 (6)

The Calinski–Harabasz Index, also known as the Variance Ratio Criterion, measures the similarity of a point *i* with its own cluster (cohesion) compared with other clusters (separation). The cohesion is calculated based on the distances from the data points within the cluster to their cluster centroid, while the separation is calculated based on the distance of the cluster centroids from the global centroid. A high score indicates better cluster compactness.

The cohesion, instracluster dispersion, or within-group sum of squares (WGSS) is calculated by the following expression (7), where  $n_i$  is the number of data points/elements in cluster i,  $X_{ji}$  is the j-th element of the cluster I and  $C_i$  the centroid of cluster i, and K is the number of clusters:

WGSS = 
$$\sum_{i=1}^{K} \sum_{j=1}^{n_i} ||X_{ji} - C_i||^2$$
 (7)

The separation, intercluster dispersion, or between-group sum of squares (BGSS) is calculated by the following expression (8), where C is the centroid of the dataset (barycenter):

$$BGSS = \sum_{i=1}^{K} n_i \times ||C_i - C||$$
(8)

The Calinski–Harabasz Index is defined as shown in (9), where N is the total number of data points/elements in the dataset:

$$CHI = \frac{\frac{BGSS}{K-1}}{\frac{WGSS}{N-K}} = \frac{BGSS}{WGSS} \times \frac{N-K}{K-1}$$
(9)

The Davies–Bouldin Index shows the average similarity of clusters, where similarity is a measure that relates cluster distance to cluster size. Comparing clustering algorithms, lower values of DBI means that a better separation between the clusters has been achieved. This reflects a function of intracluster dispersion and separation between the clusters.

The intracluster dispersion of cluster i is calculated by (10), where  $T_i$  is the number of elements in cluster i,  $X_j$  is the j-th element in the cluster i,  $C_i$  is the centroid of cluster i and q is a predefined value, usually set to 2 to calculate the Euclidean distance:

$$S_{i} = \left[\frac{1}{T_{i}}\sum_{j=1}^{T_{i}}|X_{j} - C_{i}|^{q}\right]^{\frac{1}{q}}$$
(10)

The separation measure is calculated based on (11), where K is the total number of clusters,  $c_{ki}$  and  $c_{kj}$  are the k-th component of n-dimensional centroid  $C_i$  of cluster i and  $C_j$  of cluster j, respectively, and p, similarly to q in (10), is a predefined value, usually set to 2 to calculate the Euclidean distance:

$$M_{ij} = \left[\sum_{k=1}^{K} \left| c_{ki} - c_{kj} \right|^{p} \right]^{\frac{1}{p}}$$
(11)

The DBI is calculated based on the following Equation (12), where

$$\overline{D} = \frac{1}{K} \sum_{i=1}^{K} \sum_{j=1}^{K} \max_{j \neq i} \left\{ \frac{S_i + S_j}{M_{ij}} \right\}$$
(12)

#### 3.3. Comparative Evaluation of Path Planning Algorithms

For the evaluation of the path planning of the swarm of USVs, the evaluation criteria are the objective terms.

The evaluation criteria among the solutions are as follows:

- The objective criteria: (i) distance; (ii) brute turns; and (iii) fuel consumption;
- Path quality based on the defuzzification value of Mamdani and TSK FISs;

- The computing time;
- The relative percentage deviation (RPD) adopted by [57,58]:

$$RPD = \frac{|Best_{sol} - Alg_{sol}|}{Best_{sol}} 100\%$$
(13)

• The relative deviation index (RDI) adopted by [57,58]:

$$RDI = \frac{|Best_{sol} - Alg_{sol}|}{|Best_{sol} - Worst_{sol}|} 100\%$$
(14)

where  $Best_{sol}$  and  $Worst_{sol}$  are the best and the worst solutions, respectively;  $Algo_{sol}$  is the path quality value of the examined solution. Based on Equations (13) and (14), it is obvious that the lowest values of RPD and RDI indicate the preferable solution based on the satisfaction of objective criteria.

Each case study was run 20 times. For the proposed ACO variations, the population size was set to 10 ants and the number of iterations to 20. Moreover, the evaporation coefficient  $\rho$  was set to 0.5, and Q was set to 1. The case studies were designed based on the evaluation methodology adopted in related works [13,22,38,59]. The experiments and the algorithms were implemented in Python 3.10, on Microsoft Windows 10 Environment operational system, with AMD Ryzen 7 3800X 8-Core Processor at 3.89 GHz and 32 GB RAM.

## 4. Results and Discussion

#### 4.1. Results

The clustering results of the two case studies (CSs) are illustrated bellow in Figures 6 and 7. In these figures, the color of the points of interest represents the velocity of the currents in this node. The lighter color (e.g., yellow) indicates a lower velocity value. For better visualization, the edges are not depicted, and each cluster is shown in different color and represents the operational area for each USV. In the CS1, all the clustering algorithms achieved the same result (Figure 6), since the nodes were scattered and the areas based on the clustering features were discrete enough. For this reason, a clustering evaluation was not performed. On the other hand, for more complex areas, such as the one of case study 2, the Mini Batch K-Means and Ward's Hierarchical Agglomerative Clustering generated the same clusters with a better evaluation score compared with Birch (Figure 7, Table 2). It can be observed that the clusters constructed by Mini Batch K-Means and Ward are more balanced in terms of distance, current velocity and number of targets that each USV has to visit in its operational area compared with Birch's clusters.

For the presented case studies, we evaluated the proposed hybrid ACO-FIS schemes. The best clustering results were used to determine the operational area of each USV. Tables 3 and 4 show the multiobjective path planning mean results with the standard deviation for the case studies after 20 runs solved with ACO-Mamdani and ACO-TSK approaches for the swarm of USVs and the selected operational areas for each USV. We should note that all the USVs have the same characteristics and, therefore, it is not important to identify which USV will perform a certain operation. The three operational areas are declared with different colors in the Figures 6 and 7. The mean results show that ACO-Mamdani is capable of generating more balanced paths (better overall path optimality in both cases, Table 4 with respect to the objective terms, while on the other hand, ACO-TSK, due to the lack of the defuzzification step, achieves lower computing times, an important factor in real-time applications. Moreover, the cumulative results over the swarm of USVs for each objective criterion and case study are depicted in Figures 8 and 9, respectively. The results show the different performance of each comparative algorithm. Indeed, based on the evaluation criteria, RPD and RDI for distance (Table 5), number of turns (Table 6) and consumption (Table 7), we observe that the paths derived from ACO-Mamdani are of better quality in almost all USVs and case studies, but the difference is not that significant, making the ACO TSK an adequate option when computing time is also important. Lastly, Table 8 shows the results of the Friedman test performed over the results of the compared algorithms for each case study and for both case studies. This statistical analysis methodology was adopted as a well-recognized approach for the comparison of swarm and evolutionary algorithms [60–62]. Friedman tests statistically prove the different performances of the algorithms in this set of experiments.

![](_page_9_Picture_2.jpeg)

Figure 6. Clustering results of case study 1 based on distance and current information.

![](_page_9_Figure_4.jpeg)

**Figure 7.** Clustering results of case study 2 with Mini Batch K-Means and Ward Clustering (**a**) and Birch (**b**).

Clustering Algorithm	Silhouette Coefficient	Calinski–Harabasz Index	Davies–Bouldin Index	Cumulative Evaluation Score
Mini Batch K-Means	0.82	1301.34	0.36	3
Ward	0.82	1301.34	0.36	3
Birch	0.77	1205.45	0.42	0

Table 2. Evaluation of clustering methods for CS2. The best evaluation score is shown in bold.

**Table 3.** Path planning mean results with standard deviation after 20 runs of the case studies for each ACO-FIS approach for the swarm of USVs. The number of turns are rounded. The best solutions are denoted in bold.

Case Study	ACO-FIS	Swarm USVs	Distance (km)	Number of Turns	Consumption (kg)
CS1	ACO-Mamdani	USV1 (red)	$17.61 \pm 1.02$	$8\pm1.48$	$3.75\pm0.25$
		USV2 (yellow)	$18.55\pm0.98$	$9\pm1.33$	$3.87\pm0.13$
		USV3 (blue)	$18.43 \pm 1.04$	$5\pm0.87$	$3.73\pm0.37$
	ACO-TSK	USV1 (red)	$17.63\pm0.79$	$8\pm1.08$	$3.78\pm0.12$
		USV2 (yellow)	$18.62 \pm 1.14$	$8\pm1.09$	$3.89\pm0.24$
		USV3 (blue)	$18.43 \pm 1.22$	$5\pm0.88$	$3.72\pm0.19$
CS2	ACO-Mamdani	USV1 (red)	$17.22\pm2.24$	$7\pm1.01$	$3.58\pm0.45$
		USV2 (yellow)	$15.76\pm1.95$	$6\pm1.03$	$3.32\pm0.54$
		USV3 (blue)	$19.04\pm0.88$	$5\pm0.86$	$3.64\pm0.15$
	ACO-TSK	USV1 (red)	$17.37 \pm 1.90$	$7\pm1.03$	$3.65\pm0.21$
		USV2 (yellow)	$16.05 \pm 1.46$	$6\pm0.92$	$3.38\pm0.17$
		USV3 (blue)	$19.18\pm2.19$	$6\pm0.88$	$3.79\pm0.52$

![](_page_10_Figure_5.jpeg)

**Figure 8.** Cumulative results of ACO-Mamdani and ACO-TSK over the objective criteria: (**a**) distance; (**b**) number of urns; and (**c**) consumption for Case Study 1.

![](_page_11_Figure_1.jpeg)

**Figure 9.** Cumulative results of ACO-Mamdani and ACO-TSK over the objective criteria: (**a**) distance; (**b**) number of urns; and (**c**) consumption for Case Study 2.

**Table 4.** Path planning optimality and computing time mean results with standard deviation after 20 runs of the case studies for each ACO-FIS approach for the swarm of USVs. The best solutions are denoted in bold.

Case Study	ACO-FIS	Optimality	Computing Time (ms)
CS1	ACO-Mamdani	$\textbf{0.82}\pm0.04$	$3.46\pm0.03$
	ACO-TSK	$0.80\pm0.05$	$\textbf{3.39}\pm0.02$
CS2	ACO-Mamdani	$\textbf{0.75}\pm0.03$	$4.12\pm0.02$
	ACO-TSK	$0.66\pm0.04$	$\textbf{4.01} \pm 0.01$

**Table 5.** Evaluation results of mean relative percentage deviation (RPD) and mean relative deviation index (RDI) for distance. The best solutions are denoted in bold.

Case Study	ACO-FIS	Swarm USVs	RPD	$\overline{RPD}$	RDI	RDI
CS1	ACO-Mamdani	USV1 (red)	0.00%	3.33%	0.00%	58.09%
		USV2 (yellow)	5.34%		93.07%	
		USV3 (blue)	4.66%		81.19%	
	ACO-TSK	USV1 (red)	0.11%	3.50%	1.98%	61.06%
		USV2 (yellow)	5.74%		100.00%	
		USV3 (blue)	4.66%		81.19%	
CS2	ACO-Mamdani	USV1 (red)	9.26%	10.03%	0.426900585	46.20%
		USV2 (yellow)	0.00%		0	
		USV3 (blue)	20.81%		0.959064327	
	ACO-TSK	USV1 (red)	10.22%	11.25%	0.470760234	51.85%
		USV2 (yellow)	1.84%		0.084795322	
		USV3 (blue)	21.70%		1	

Case Study	ACO-FIS	Swarm USVs	RPD	RPD	RDI	RDI
CS1	ACO-Mamdani	USV1 (red)	60.00%	46.67%	75.00%	58.33%
		USV2 (yellow)	80.00%		100.00%	
		USV3 (blue)	0.00%		0.00%	
	ACO-TSK	USV1 (red)	60.00%	40.00%	75.00%	50.00%
		USV2 (yellow)	60.00%		75.00%	
		USV3 (blue)	0.00%		0.00%	
CS2	ACO-Mamdani	USV1 (red)	40.00%	20.00%	100.00%	50.00%
		USV2 (yellow)	20.00%		50.00%	
		USV3 (blue)	0.00%		0.00%	
	ACO-TSK	USV1 (red)	40.00%	26.67%	100.00%	66.67%
		USV2 (yellow)	20.00%		50.00%	
		USV3 (blue)	20.00%		50.00%	

**Table 6.** Evaluation results of mean relative percentage deviation (RPD) and mean relative deviationindex (RDI) for brute turns. The best solutions are denoted in bold.

**Table 7.** Evaluation results of mean relative percentage deviation (RPD) and mean relative deviation index (RDI) for consumption. The best solutions are denoted in bold.

Case Study	ACO-FIS	Swarm USVs	RPD	RPD	RDI	RDI
CS1	ACO-Mamdani	USV1 (red)	0.81%	1.70%	17.65%	37.25%
		USV2 (yellow)	4.03%		88.24%	
		USV3 (blue)	0.27%		5.88%	
	ACO-TSK	USV1 (red)	1.61%	2.06%	35.29%	45.10%
		USV2 (yellow)	4.57%		100.00%	
		USV3 (blue)	0.00%		0.00%	
CS2	ACO-Mamdani	USV1 (red)	7.83%	5.82%	55.32%	41.13%
		USV2 (yellow)	0.00%		0.00%	
		USV3 (blue)	9.64%		68.09%	
	ACO-TSK	USV1 (red)	9.94%	8.63%	70.21%	60.99%
		USV2 (yellow)	1.81%		12.77%	
		USV3 (blue)	14.16%		100.00%	

Table 8. Results of Friedman test for each case study.

	Case Studies CS1 CS2 All				
<i>p</i> -value Chi-square	$\frac{1.05566 \times 10^{-5}}{305.97}$	$\begin{array}{c} 4.85828 \times 10^{-122} \\ 544.35 \end{array}$	$\frac{1.05266\times 10^{-128}}{603.97}$		

# 4.2. Discussion

To sum up, the problem of multiobjective path planning of a USV swarm in the case of covering an operational area and visiting multiple points of interests can be addressed with the proposed methodology of hierarchical steps (Figure 1). The problem is divided into two subproblems: (i) the division of the initial area into collision-free operational subareas via clustering and (ii) the design of the optimal path for each USV to visit multiple targets with respect to multiple objective criteria. ACO with fuzzy logic is employed for this step.

The clustering results show that Mini Batch K-Means or Ward clustering algorithms could divide the operational area uniformly (Table 2) even in more complex weather conditions (Figures 6 and 7). Indeed, both algorithms managed to find the same areas of operation with similar characteristics and the same number of targets in each area, compared with the Birch clustering algorithm that did not manage to cluster the more complex operational area effectively (Table 2, Figure 7). The cumulative evaluation criteria used to compare the effectiveness of the clustering algorithms justify the superiority of the Mini Batch K-Means and Ward over Birch, derived from the qualitative comparison shown

in Figures 6 and 7. Clustering algorithms have been used in the literature for addressing various applications of UAVs or GSVs when there is a need for dividing the operational area. Specifically, discussions and research on an efficient management of a swarm of UAVs conclude the use of hierarchical approaches to address complex task assignment problems, where clusters can be adopted for area allocation [63,64] or energy efficiency in a wireless network [65]. For instance, these approaches may integrate density-based clusters to find an area of maximum density of targets in the case of UAV swarm exploration [66] or to generate feasible paths among heterogeneous UAVs. These approaches can be extended to USV/ASV swarms. In our study, we aimed to adopt a similar methodology, and the results are aligned with the current literature.

The performance of both algorithms was significantly different (Table 8), with the ACO-Mamdani outperforming ACO-TSK in terms of solution optimality in both case studies (Table 4 CS1 0.82/0.80, CS2 0.75/0.66). It is proven that the ACO algorithm enhanced with Mamdani FIS is capable of balancing among the optimization criteria in order to assign the operational areas for each USV in the swarm. On the other hand, ACO-TSK presented a better computational efficiency compared with ACO-Mamdani in both cases (Table 4, CS1 3.39/3.46 ms, CS2 4.01/4.12 ms), a fact that is aligned with the literature regarding the computational efficiency of TSK FIS in solving multiobjective path planning problems in the case of a single USV [52]. Based on the results and the literature [32,36,38,67,68], Mamdani and TSK FISs can be considered as suitable solutions for real-time applications of swarm USV multiobjective path planning. Due to the advantages of Mamdani FIS, ACO-Mamdani has expressive power and interpretable rule consequents, while it can be widely used in decision support systems due to the intuitive and interpretable nature of the rules. However, it is less flexible in system design and needs more computational effort compared with ACO-TSK. ACO-TSK has advantages over Mamdani due to the weight calibration from using other algorithms, the design flexibility and the lower processing time. However, it is not as suitable as Mamdani FIS for decision making due to the lack of a defuzzification process that leads to a loss of interpretability [67,69]. Therefore, the best combination for addressing this problem depends on the needs of the application. These results are aligned with the current literature and comparative studies on intelligent path planning and fuzzy decision-making systems [52,70,71].

### 5. Conclusions

This study presents a methodology to address the swarm USV path planning problem for visiting multiple targets, formulated as a multiobjective optimization problem. To this end, a comparative study among two popular FISs and three popular clustering algorithms was conducted. The results show that in simple problems with highly discrete areas, in terms of weather conditions, all the clustering methods achieved similar results; however, in uniform weather data, Mini Batch K-Means and Ward presented slightly better performance based on the evaluation criteria. Regarding the performance of FISs for solving the USV path planning problem, the results are in accordance with the literature, where each FIS can be suitable depending on the need of the application. For instance, ACO enhanced with Mamdani FIS presents a better performance with respect to the quality of the solution, but on the other hand, ACO with TSK FIS decreases the computing time, which is also important in real-time applications.

The limitations of this study are the use of a simulation environment with not real weather data. To this end, future work will include the evaluation of the proposed methodology with real data for real case studies.

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