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A Novel Swarm Optimisation Algorithm Based on a Mixed-Distribution Model

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Abstract: Many swarm intelligence optimisation algorithms have been inspired by the collective behaviour of natural and artificial, decentralised, self-organised systems. Swarm intelligence optimisation algorithms have unique advantages in solving certain complex problems that cannot be easily solved by traditional optimisation algorithms. Inspired by the adaptive phenomena of plants, a novel evolutionary algorithm named the bean optimisation algorithm (BOA) is proposed, which combines natural evolutionary tactics and limited random searches. It demonstrates stable behaviour in experiments and is a promising alternative to existing optimisation methods for engineering applications. A novel distribution model for BOA is built through research and study on the relevant research results of biostatistics. This model is based on a combination of the negative binomial and normal distributions, and the resulting algorithm is called NBOA. To validate NBOA, function optimisation experiments are carried out, which include ten typical benchmark functions. The results indicate that NBOA performs better than particle swarm optimisation (PSO) and BOA. We also investigate the characteristics of NBOA and conduct a contrast analysis to verify our conclusions about the relationship between its parameters and its performance.

Keywords: swarm intelligence; bean optimisation algorithm; mixed distribution; function optimisation

1. Introduction

Swarm intelligence was first proposed by Gerardo Beni and Jing Wang of the University of California in 1989. It was used in cell robots, and focuses on the behaviour and control of groups based on bio-intelligence theory and methods [1]. In the early 1990s, swarm intelligence algorithms were proposed that simulate the cooperative behaviour of groups of social animals. The core concept of swarm intelligence is that swarms composed of simple individuals can achieve more complex functions simply by cooperating with each other. Therefore, it is possible to solve complex distributed problems using swarm intelligence, which works on the premise of there being no centralised control and no global information or models.

Unlike the classical optimization algorithm using deterministic rules, the swarm intelligence algorithm lets individuals search in the solution space simultaneously by using the probability transfer mode and a variety of random factors combined with metaheuristic rules. Research has produced increasing numbers of swarm intelligence algorithms, such as particle swarm optimisation (PSO) [2], ant colony optimisation (ACO) [3], the artificial fish-swarm algorithm [4], the free search algorithm [5], the human evolution model algorithm [6], the group search optimisation algorithm [7], the bees algorithm [8], bacterial foraging optimisation [9], the shuffled frog leaping algorithm [10], the fireworks algorithm [11], information feedback models [12], evolutionary multi-objective

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optimization algorithms [13], the krill herd algorithm [14,15], the harmony search algorithm [16], monarch butterfly optimization [17], the moth search algorithm [18], and cuckoo search [19]. Because biological structures are sophisticated, they have high degrees of adaptive capacity and strong collaborative capabilities, both in terms of evolution and behaviour [20]. The swarm intelligence algorithm is generally coordinated by a group of individuals (social insects, or particles). It has the ability to communicate (directly or indirectly) and interactively adapt to the environment so that the individual's adaptability to the environment becomes better and better, and a good approximate solution is gradually obtained for the global optimal solution to the problem. Presently, because of its high scalability and flexibility, researchers have applied swarm intelligence algorithm to many areas, such as scheduling [21], parameter estimation [22], multi-robot systems [23], and feature selection [24]. However, many bionic swarm intelligence algorithms need to set strict working conditions, such as the need to set a large number of individual behavior parameters (speed, inertia weight, acceleration coefficient, pheromone volatilization factor and intensity, etc.), the need to provide the initial location [25], and so on. Only by knowing these parameters very well can one attain the optimal performance of the algorithm. In addition, some bionic swarm intelligence algorithms have high computational complexity, which requires a high computational cost [26]. Therefore, the swarm intelligence algorithm is still a hot research area. A large number of researchers are engaged in swarm intelligence research and actively apply the latest research results of swarm intelligence in application areas. Not only does the animal population have swarm intelligence, but the plant population in nature also has swarm intelligence.

In 2006, inspired by the spatial pattern of plants in nature, a swarm intelligence algorithm called the bean optimisation algorithm (BOA) was proposed. Its main optimization mechanism is the spatial pattern model of plants and the elite beans selection mechanism, which is different from the existing bionic swarm intelligence algorithm. For example, in most wild leguminous plants, when the beans mature, the dry and hard pods burst in the sun, and the seeds are ejected around the plants. Most of the seeds will be distributed near the plants, and some of them will go far away or away from the plants for external reasons. Then, the seed will grow and grow in the area where it descends. Some will grow strong and produce more seeds, indicating that the land is very fertile; some may soon be eliminated by nature, indicating that the area in which it is located is not suitable for plant growth. Over time, a large number of plants will be gathered in the fertile plots, and there will be no plants in the barren land. Based on this idea, the piecewise function model was proposed publicly in 2008 [27]. Afterward, the spatial pattern model based on normal distribution was proposed [28]. The experiments and analysis of BOA based on normal distribution were also carried out. At the same time, researchers began to apply BOA on the rank of post-disaster reconstruction project [29,30]. In 2011, the Markov chain model of the BOA algorithm was proposed. Based on this model, it is proved that BOA will converge to the global optimal solution with probability 1 [31]. In 2012, the idea of population migration and optimal information cross-sharing was proposed, and it helped the BOA algorithm to solve discrete optimization problems. The contrast experiment of solving the typical TSP with PSO was finished; it verified the effectiveness of this discrete BOA, and it expands the application field of BOA [32,33]. In 2015, the dynamic BOA algorithm was proposed and applied to solve two kinds of classic dynamic optimization algorithms [34]. In 2017, the chaotic BOA algorithm was proposed and improved the search efficiency and global optimization ability of BOA [35]. BOA has demonstrated stable, robust behaviour in experiments and stands out as a promising alternative to existing optimisation methods for engineering designs or applications.

2. Introduction to Population Distribution Patterns

Population distribution patterns are very important in research on population ecology. They show the long-term outcomes of a population's biological adaptation to its environment [36]. The main types of population distribution patterns include *random*, *regular*, and *contagious* distributions [37].

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2.1. Random Distribution

In this type of population distribution, there are no relationships between individuals or groups. The probabilities of each individual occurring in a given position are equal.

Previous studies have shown that random distributions are rarely seen in nature, mainly because organisms are always influenced by their environments. Groups of organisms rarely arise regularly in a large living space. Random distributions in nature may be found in extremely bad environments or where the dominant environmental characteristics are distributed randomly.

2.2. Regular Distribution

This type of distribution occurs when a population's individuals are distributed equidistantly in space. Studies have shown that regular distributions are very rare in nature. Regularly-distributed populations mainly occur where there is competition for limited resources or a self-poisoning phenomenon. Generally, natural regular distributions can be modelled as positive binomial distributions.

2.3. Contagious Distribution

A contagious distribution is also known as a *clumped*, *aggregated*, or *hyper* distribution. This type of distribution of biological communities is characterised by individuals being gathered or clustered into groups of high density. Although the sizes of the sub-populations and the separation distance between every individual are always different, there is a contagious distribution within each sub-population. In nature, plant populations generally have contagious distributions. In nature, contagious distribution patterns mainly occur when [37]:

- The distance between a plant and its seeds (fruits) is limited due to gravity;
- The environment has spatial heterogeneity;
- There are interspecific relationships.

A contagious distribution is usually modelled by a normal distribution [38], negative binomial distribution, Neyman distribution [36], or Polya-Eggenberger distribution. This paper proposes a two-stage mixed-distribution model of the BOA that takes advantage of normal and negative binomial distributions.

2.4. Overview of the Negative Binomial Distribution

The negative binomial distribution is a kind of contagious distribution. Suppose there is a sequence of independent Bernoulli trials, with each trial having two potential outcomes called "success" or "failure". In each trial, the probability of success is p and that of failure is (1 - p). This sequence is observed until a predefined number r of successes has occurred. Then, the random number of successes having been observed, f, will have a negative binomial distribution of the form:

$$f(k,r,p) = \binom{k+r-1}{r-1} p^r . (1-p)^k,$$
 (1)

It is possible to extend the definition of the negative binomial distribution to the case of a positive real parameter *r*. Although it is impossible to visualise a non-integer number of failures, the distribution can be defined formally through its probability mass function.

The main parameters of negative binomial distributions are shown in Table 1.

The negative binomial distributions are relatively common in the distribution of spatial distribution pattern of plants. It can be used to describe aggregations of individuals in biological populations (such as clusters or swarms). The spatial distributions of a large proportion of plants are often negative binomial distributions due to environmental heterogeneity and biological clustering in nature [36].

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Parameters	$ \begin{array}{c} r > 0 \\ 0 \le p \le 1 \end{array} $
Support	$k \in \{0, 1, 2, \ldots\}$
Mean	$r.\frac{(1-p)}{p}$
Variance	$r.\frac{(1-p)}{p^2}$

Table 1. Parameters of negative binomial distributions.

3. Population Distribution Model Based on a Mixed Distribution

The main idea of the population distribution model based on a mixed distribution is that each individual offspring distributes around a father "bean" according to the mixed distribution. In the mixed distribution model, we combine the negative binomial distribution model with the normal distribution model. The threshold of population reproduction should be set first. Before reaching the threshold value, the bean population will multiply in line with the normal distribution. Otherwise, it will multiply in line with the negative binomial distribution. The number of successes of the negative binomial distribution that the distribution pattern of individuals uses is dependent on the value of the current father bean's position. The setting of corresponding probabilities of success changes dynamically according to the domain of the target problem and its solving progress. If the number of successes is set as the value of the current father bean's position, the corresponding probability of success can be set as 0.5. Similarly, the worse the results of the father bean become, the smaller the population size of the corresponding offspring.

The flowchart for offspring generation by parent beans is shown as Figure 1 as follows:

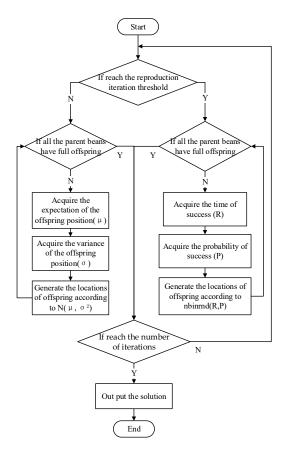


Figure 1. Flowchart for generating offspring.

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In our experiments, the negative binomial distribution function nbinrnd (R, P) in MATLAB is used, in which parameter R is the number of successes, and P is the probability of success in a single trial. Both R and P may be vectors, matrixes, or multidimensional arrays with the same dimension. Some preliminary experimental results have been published in the ICSI conference proceedings [39].

To generate offspring individual beans according to a negative binominal distribution, the following process should be followed:

$$R = abs(F^{th}Pop(1,:) \times (100/F^{th}Pop(1)))$$
(2)

Parameter P can be set as a random real number in [0, 1], theoretically, but it is better to set P according to the problems to be solved. In the experiments contained in this paper, P is set at 0.5, because the number of successes is set to the value of the current parent bean's position. The code of the population distribution model is described as follows:

```
for NumPop = 1:sizepop
  if (NumPop ~= F<sup>th</sup>Index(1) && NumPop ~= F<sup>th</sup>Index(2) && NumPop ~= F<sup>th</sup>Index(3))
  if NumPop <= sizepop*7/10
    pop(NumPop,:) = nbinrnd(abs(F<sup>th</sup>Pop(1,:)*(100/F<sup>th</sup>Pop(1))),pnbrnd)/(100/(F<sup>th</sup>Pop(1)));
    elseif NumPop > sizepop*7/10 && NumPop <= sizepop*9/10
    pop(NumPop,:) = nbinrnd(abs(F<sup>th</sup>Pop(2,:)*(100/F<sup>th</sup>Pop(2))),pnbrnd-0.1)/(100/(F<sup>th</sup>Pop(2)));
    else
    pop(NumPop,:) = nbinrnd(abs(F<sup>th</sup>Pop(3,:)*(100/F<sup>th</sup>Pop(3))),pnbrnd)/(100/(F<sup>th</sup>Pop(3)));
    end;
end;
end;
```

NumPop is a integer between 1 and sizepop; sizepop is the size of population; F^{th} Index(n) is the label of the nth father bean; and pop(NumPop,:) is the position vector of the individual whose label is NumPop.

4. Experiments and Analysis

4.1. Test Functions

In the experiments, ten benchmark mathematical functions (described in Table 2) are used to test the effectiveness of a BOA algorithm based on a mixed-distribution model (NBOA). The dimension of every benchmark mathematical function is set to be 30 (i.e., n = 30).

4.2. Experimental Parameter Settings

Experimental parameter settings is shown in Table 3. In Table 3, NBOA-3 is an NBOA with three parent beans; NBOA-6 has six parent beans, and BOA-6 is a BOA based on a normal distribution models with six parent beans.

The parameter settings of NBOA-6 and BOA-6 are shown in Table 4, in which $i \in \{2,3,4,5,6\}$, $j \in \{3,4,5,6\}$, $k \in \{4,5,6\}$, $m \in \{5,6\}$, and p_n is the threshold of population multiplication. The parameter settings of the PSO algorithm are shown in Table 5. The parameter settings of the NBOA-3 algorithm are shown in Table 6.

In Table 4, in which the value of generations in NBOA-6 is less than p_n , these settings are valid to BOA-6. The position values of 30% of the offspring beans generated are in line with the distribution normrnd (FthPop(1,:), 1). The position values of 20% of the offspring beans generated are in line with the distribution normrnd (FthPop(2,:), 2). The position values of 20% of the offspring beans generated are in line with the distribution normrnd (FthPop(3,:), 2). The position values of 10% of the offspring beans generated are in line with the distribution normrnd (FthPop(4,:), 3). The position values of 10% of the offspring beans generated are in line with the distribution normrnd (FthPop(5,:), 3). The position values of 10% of the offspring beans generated are in line with the

distribution normrnd (F^{th} Pop(6,:), 3). When the number of generations in NBOA-6 is no less than p_n , the offspring population will be generated according to the negative binomial distribution.

Table 2. Test functions.

Function Name	No.	Mathematical Representation $(f(X))$	Initial Range	Best Objective Function Value
Sphere	F_1	$F_1(x) = \sum_{i=1}^n x_i^2$	$[-100, 100]^n$	0
Schwefel 2.22	F_2	$F_2(x) = \sum_{i=1}^{n} x_i + \prod_{i=1}^{n} x_i $	$[-10, 10]^n$	0
Schwefel 1.2	F_3	$F_3(x) = \sum_{i=1}^n \left(\sum_{j=1}^i x_j\right)^2$	$[-100, 100]^n$	0
Schwefel 2.21	F_4	$F_4(x) = \max_i \{ x_i , 1 \le i \le n\}$	$[-100, 100]^n$	0
Rosenbrock	F_5	$F_5(x) = \sum_{i=1}^{n-1} (100(x_{i+1} - x_i^2)^2 + (x_i - 1))^2$	$[-30, 30]^n$	0
Quartic	F_6	$F_6(x) = \sum_{i=1}^{n} ix_i^4 + random[0,1)$	$[-1.28, 1.28]^n$	0
Schwefel 2.26	F_7	$F_7(x) = -\sum_{i=1}^n \left(x_i \sin(\sqrt{ x_i }) \right)$	$[-500, 500]^n$	-12,569.483
Rastrigin	F_8	$F_8(x) = \sum_{i=1}^{n} (x_i^2 - 10\cos(2\pi x_i) + 10)^2$	$[-5.12, 5.12]^n$	0
Ackley	F ₉	$F_9(x) = -20 \exp(-0.2 \sqrt{\frac{1}{n} \sum_{i=1}^{n} x_i^2}) - \exp(\frac{1}{n} \sum_{i=1}^{n} \cos 2\pi x_i) + 20 + e$	$[-32, 32]^n$	0
Griewank	F ₁₀	$F_{10}(x) = \frac{1}{4000} \sum_{i=1}^{n} (x_i)^2 - \prod_{i=1}^{n} \cos(\frac{x_i}{\sqrt{i}}) + 1$	$[-600, 600]^n$	0

 Table 3. Experimental parameter settings.

Experimental Algorithms	Population Size	Generations
NBOA-3 NBOA-6 BOA-6 PSO	50	500

Table 4. Parameter settings of BOA-6/NBOA6.

Experimental Functions	Distance Threshold
$\overline{F_1}$	$D_{i1} \in (0, 12]; D_{i2} \in (0, 15]; D_{k3} \in (0, 15]; D_{m4} \in (0, 15]; D_{56} \in (0, 15];$
F_2	$D_{i1} \in (0, 5]; D_{i2} \in (0, 5]; D_{k3} \in (0, 6]; D_{m4} \in (0, 6]; D_{56} \in (0, 6];$
F_3	$D_{i1} \in (0, 10]; D_{i2} \in (0, 10]; D_{k3} \in (0, 12]; D_{m4} \in (0, 12]; D_{56} \in (0, 12];$
F_4	$D_{i1} \in (0, 10]; D_{i2} \in (0, 10]; D_{k3} \in (0, 12]; D_{m4} \in (0, 12]; D_{56} \in (0, 12];$
F_5	$D_{i1} \in (0, 6]; D_{j2} \in (0, 6]; D_{k3} \in (0, 9]; D_{m4} \in (0, 9]; D_{56} \in (0, 9];$
F_6	$D_{i1} \in (0, 4]; D_{j2} \in (0, 4]; D_{k3} \in (0, 5]; D_{m4} \in (0, 5]; D_{56} \in (0, 5];$
F_7	$D_{i1} \in (0, 30]; D_{j2} \in (0, 30]; D_{k3} \in (0, 35]; D_{m4} \in (0, 35]; D_{56} \in (0, 35];$
F_8	$D_{i1} \in (0, 3]; D_{j2} \in (0, 3]; D_{k3} \in (0, 5]; D_{m4} \in (0, 5]; D_{56} \in (0, 5];$
F_9	$D_{i1} \in (0, 12]; D_{j2} \in (0, 12]; D_{k3} \in (0, 15]; D_{m4} \in (0, 15]; D_{56} \in (0, 15];$
F ₁₀	$D_{i1} \in (0, 60]; D_{j2} \in (0, 60]; D_{k3} \in (0, 80]; D_{m4} \in (0, 80]; D_{56} \in (0, 80];$

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Experimental Functions	$[V_{max}, V_{min}]$
F_1	[-1, 1]
F_2	[-1, 1]
F_3	[-1, 1]
F_4	[-1, 1]
F_5	[-0.5, 0.5]
F_6	[-1, 1]
F_7	[-30, 30]
F_8	[-0.5, 0.5]
F_9	[-1, 1]
F_{10}	[-15, 15]

Table 5. Parameter settings of PSO.

Except parameter settings in Table 5; other parameters of PSO are set as follows:

$$w = 1/(2 \times \log(2));$$

 $c1 = c2 = 2.05;$

Table 6. Parameter settings of NBOA3.

Experimental Functions	Distance Threshold
F_1	$D_{21} \in (0, 12]; D_{31} \in (0, 15]; D_{32} \in (0, 15];$
F_2	$D_{21} \in (0, 5]; D_{31} \in (0, 6]; D_{32} \in (0, 6];$
F_3	$D_{21} \in (0, 10]; D_{31} \in (0, 10]; D_{32} \in (0, 12];$
F_4	$D_{21} \in (0, 10]; D_{31} \in (0, 10]; D_{32} \in (0, 12];$
F_5	$D_{21} \in (0, 6]; D_{31} \in (0, 9]; D_{32} \in (0, 9];$
F_6	$D_{21} \in (0, 4]; D_{31} \in (0, 5]; D_{32} \in (0, 5];$
F_7	$D_{21} \in (0, 30]; D_{31} \in (0, 35]; D_{32} \in (0, 35];$
F_8	$D_{21} \in (0,3]; D_{31} \in (0,5]; D_{32} \in (0,5];$
F_9	$D_{21} \in (0, 12]; D_{31} \in (0, 15]; D_{32} \in (0, 15];$
F_{10}	$D_{21} \in (0, 60]; D_{31} \in (0, 80]; D_{32} \in (0, 80];$

In Table 6, the position values of 70% of the offspring beans generated are in line with the distribution normrnd ($F^{th}Pop(1,:)$, 1). The position values of 20% of the offspring beans generated are in line with the distribution normrnd ($F^{th}Pop(2,:)$, 1.2). The position values of 10% of the offspring beans generated are in line with the distribution normrnd ($F^{th}Pop(3,:)$, 1.5).

4.3. Experimental Results

Each function optimization experiment is repeated 50 times. Each algorithm runs 500 generations in each experiment. For unimodal functions F1–F6, Table 7 lists the optimal results, average results, and the corresponding standard deviations for all the algorithms. For multimodal functions F7–F10, Table 8 lists the optimal results, average results, and the corresponding standard deviations for all the algorithms.

The convergence curves (NBOA-3, NBOA-6, BOA-6, and PSO) of unimodal function optimisation are as follows:

The convergence curves (NBOA-3, NBOA-6, BOA-6, and PSO) of multimodal function optimisation are shown as follows.

4.4. Experiment Analysis

We will analyse the experimental results in terms of optimal and average results, as follows.

Table 7. Experimental results of unimodal function optimization.

Experimental Functions	Algorithms	Optimal Solutions	Average Results	SD
Г	BOA-6	1.29×10^{-14}	1.21×10^{-4}	4.09×10^{-4}
	NBOA-3	3.42×10^{-67}	1.04×10^{-14}	7.37×10^{-14}
F_1	NBOA-6	1.31×10^{-33}	3.84×10^{-8}	2.18×10^{-7}
	PSO	6.97×10^{-3}	3.09×10^{-2}	1.88×10^{-2}
	BOA-6	2.42×10^{-12}	3.45×10^{-4}	2.01×10^{-3}
F_2	NBOA-3	5.21×10^{-68}	8.44×10^{-17}	5.97×10^{-16}
1 2	NBOA-6	8.28×10^{-21}	1.81×10^{-7}	9.12×10^{-7}
	PSO	5.99×10^{-1}	1.99	1.04
	BOA-6	2.39×10^{-12}	2.10×10^{-3}	4.01×10^{-3}
F_3	NBOA-3	3.89×10^{-48}	3.49×10^{-5}	2.47×10^{-4}
1 3	NBOA-6	1.13×10^{-17}	1.24×10^{-4}	6.2×10^{-4}
	PSO	9.34×10^{-1}	3.67	1.74
	BOA-6	4.28×10^{-9}	2.16×10^{-3}	3.55×10^{-3}
F_4	NBOA-3	2.25×10^{-36}	1.88×10^{-17}	1.33×10^{-16}
r_4	NBOA-6	1.64×10^{-12}	1.71×10^{-7}	4.25×10^{-7}
	PSO	3.39×10^{-1}	8.77×10^{-1}	4.81×10^{-1}
	BOA-6	2.88×10	2.89×10	8.89×10^{-2}
F_5	NBOA-3	2.86×10	2.89×10	1.31×10^{-1}
	NBOA-6	2.86×10	2.92×10	6.79×10^{-1}
	PSO	2.28×10	3.92×10	2.93 × 10
F_6	BOA-6	4.79×10^{-5}	9.89×10^{-4}	6.63×10^{-4}
	NBOA-3	1.19×10^{-5}	7.15×10^{-4}	6.22×10^{-4}
	NBOA-6	5.62×10^{-5}	6.90×10^{-4}	6.28×10^{-4}
	PSO	2.64×10^{-2}	6.82×10^{-2}	3.38×10^{-2}

Table 8. Experimental results of multimodal function optimization.

Experimental Functions	Algorithms	Optimal Solutions	Average Results	SD
	BOA-6	-9.091×10^{3}	-7.94×10^{3}	6.41×10^{2}
F_7	NBOA-3	-8.99×10^{3}	-7.28×10^{3}	7.04×10^{2}
17	NBOA-6	-1.11×10^4	-7.80×10^{3}	7.55×10^{2}
	PSO	-7.69×10^{3}	-6.09×10^{3}	6.39×10^2
	BOA-6	1.61×10^{-8}	1.62×10^{-3}	3.07×10^{-3}
F_8	NBOA-3	2.11×10^{-7}	8.04×10^{-4}	1.97×10^{-3}
Г8	NBOA-6	1.36×10^{-9}	5.27×10^{-4}	1.41×10^{-3}
	PSO	9.63	2.79×10	8.74
	BOA-6	7.71×10^{-6}	2.58×10^{-3}	4.37×10^{-3}
F ₉	NBOA-3	1.15×10^{-13}	5.32×10^{-6}	3.76×10^{-5}
	NBOA-6	2.58×10^{-14}	5.60×10^{-9}	2.21×10^{-8}
	PSO	2.02	3.89	8.18×10^{-1}
F ₁₀	BOA-6	7.01×10^{-8}	3.90×10^{-4}	8.64×10^{-4}
	NBOA-3	1.52×10^{-8}	1.94×10^{-4}	4.57×10^{-4}
	NBOA-6	1.59×10^{-9}	1.18×10^{-4}	2.83×10^{-4}
	PSO	4.31×10^{-5}	1.65×10^{-4}	8.35×10^{-5}

4.4.1. Statistical Results

Optimal Results

The optimal result is the best result of an algorithm that runs 50 times. In Table 7, SD represents the standard deviation, which measures the amount of variation around the mean. We can see from the above results in Tables 7 and 8 that NBOA-3 obtains relative optimal results for six functions (F1, F2, F3, F4, F5, and F6). NBOA6 obtains relative optimal results for four functions (F7, F8, F9, and F10). The performance of BOA-6 is, relatively speaking, not very good but compared with the algorithms PSO and BOA-3, there is evidence of improvement.

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Average Results

Average results are the average of 50 algorithm runs. In the experiments, NBOA-3 obtained the best average results for five functions: F1, F2, F3, F4, and F5. NBOA-6 obtained the best average results for four functions: F6, F8, F9, and F10. BOA-6 obtained the best average results for functions F5 and F7.

4.4.2. Analysis of Results

1. Unimodal Functions Optimisation Analysis

We can see from the above statistics and convergence curves (Figure 2) that NBOA-3 obtained the best solution in most of the unimodal function optimisations. Our conclusions are that:

- (1) Because the population sizes of the four algorithms are same, the highest number of offspring dominated by parent beans occurred in algorithm NBOA-3. For example, the No. 1 parent bean in algorithm NBOA-3 dominated 70% of individual offspring and distributed them according to its wish. However, the No. 1 parent bean in algorithm NBOA-6 only dominated 30% of the offspring. Therefore, algorithm NBOA-3 can approximate the optimal solution (or the local optimal solution) more quickly in theory. This is largely consistent with the experimental results. Hence, better results appear to be given by algorithm NBOA-3.
- (2) Considering unimodal function optimisation, the mutation mechanism of individuals follows the method:

$$pop(rndi,:) = (rands(1,nn)) \times pop(ceil(rand \times nn)), \tag{3}$$

The random sowing method strongly increases the global optimisation ability in later periods of evolution. It makes the excellent algorithm even better.

- (3) The model based on the binomial distribution can effectively increase the optimisation ability of the algorithm. A decreasingly steep slope is evident in the comparative figures of algorithm convergence performance (Figure 2). It is the location of the steep slope that starts using the binomial distribution model in BOA. It is evident that the model based on the binomial distribution enhances the performance of NBOA-3 and NBOA-6.
- 2. Multimodal Functions Optimisation Analysis

In most of the multimodal-function optimisations, NBOA-6 obtained the best solutions. From the algorithm experiment results and the and convergence curves (Figure 3) shown above, we can analyse the results as follows:

- (1) Because the distances (Euclidean distances are used in the experiments) between the parent beans need to meet the threshold set before the experiments, the more parent beans there are, the more advantageously parent beans can explore the problem domain space. That is to say, there are more opportunities to quickly find better problem areas that effectively enhance the algorithm's ability to achieve global optimisation.
- (2) For multimodal function optimisation, the mechanism of individual bean variation adopts the following method:

$$pop(NumPop,:) = (rands(1,nn)) \times (popmax - popmin) \times rand)$$
 (4)

This method simulates the phenomenon of accidental seed migration in nature and further enhances the ability to achieve global optimisation.

(3) As mentioned above, because the model based on the negative binomial distribution is used in algorithm NBOA-6, its optimisation ability is better than that of algorithm BOA-6.

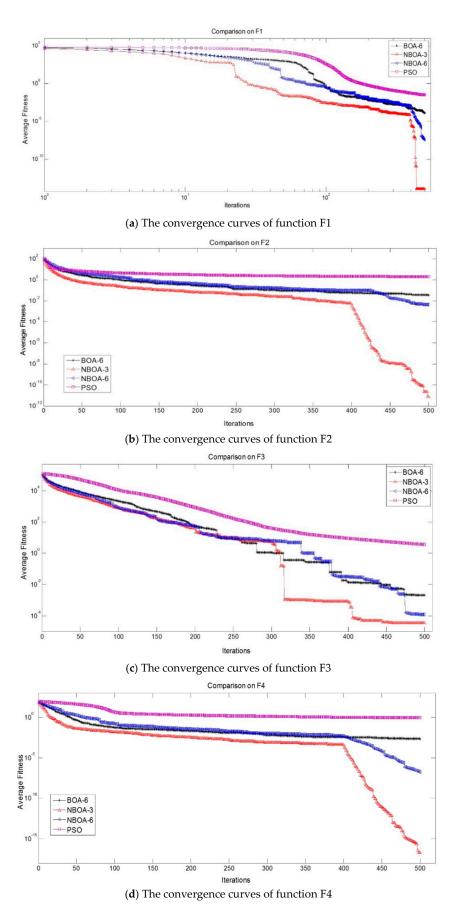


Figure 2. Cont.

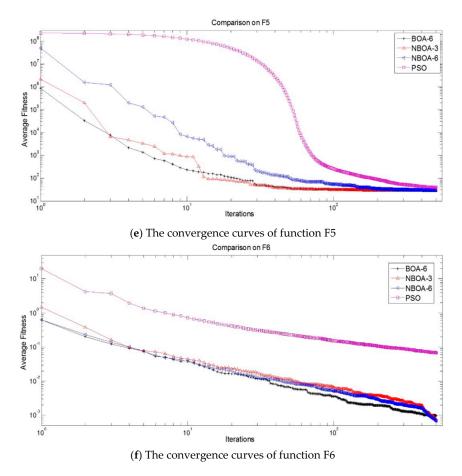


Figure 2. The convergence curves of unimodal functions: The curves of BOA-6 are black, the curves of NBOA-6 are blue, the curves of NBOA-3 are red, and the curves of PSO are purple. All the curves are average convergence curves for 50 computational iterations, and in order to show the curves more clearly, the coordinates were changed by logarithmic processing.

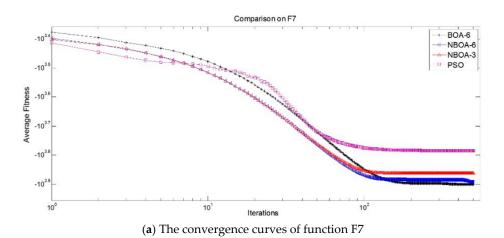


Figure 3. Cont.

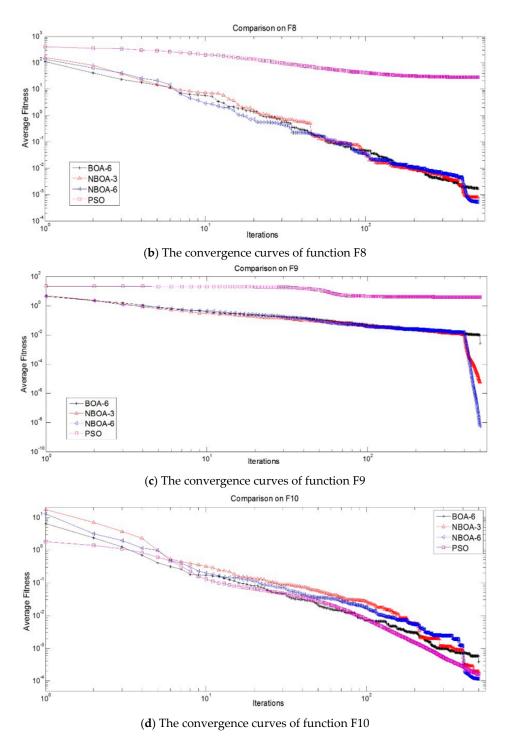


Figure 3. The convergence curves of multimodal functions: The curves of BOA-6 are black, the curves of NBOA-6 are blue, the curves of NBOA-3 are red, and the curves of PSO are purple. All the curves are average convergence curves for 50 computational iterations, and in order to show the curves more clearly, the coordinates were changed by logarithmic processing.

5. Conclusions

Inspired by the spatial distribution pattern and the adaptive phenomena of plants in nature, a novel evolutionary algorithm named BOA was proposed. This paper first introduced the negative binomial distribution. By processing the distribution's variables, the distribution can be converted into a model of a population distribution for solving continuous optimisation problems. In combination

with the normal distribution, a novel kind of BOA based on a mixed distribution model was proposed. Ten benchmark mathematical function optimisation experiments were carried out to test its effectiveness. By comparing and analysing the experimental results obtained from the experiments of four algorithms (BOA-6, NBOA-3, NBOA-6, and PSO), we can reach a conclusion that the negative binomial distribution model is effective.

In BOA research, three kinds of population distribution models have been proposed for improving the effectiveness of BOA algorithms. However, in the area of biostatistical research, this is only a very small number of the many possible biological spatial distribution patterns that occur in nature. There are still many distribution models that can be used to construct population distribution models for BOA, such as the Neyman distribution and Polya-Eggenberger distribution. In addition, there is currently research into combining these algorithms with other intelligent algorithms. However, it is not carried out in BOA, such as the crossover operator of Genetic Algorithm and information feedback models. In the future, we will continue the research of BOA and its applications. We also hope that other researchers will give more attention to BOA and carry out related research work.

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