

## Article

# Biotransformation of Lactones with Methylcyclohexane Ring and Their Biological Activity

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**Abstract:** The aim of the study was to obtain biological active compounds during biotransformation. Three bicyclic halolactones with methylcyclohexane ring (2-chloro-4-methyl-9-oxabicyclo-nonan-8-one, 2-bromo-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one and 2-iodo-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one) obtained from the corresponding  $\gamma,\delta$ -unsaturated acid were subjected to a screening biotransformation using 22 fungal strains. Two of these strains (*Cunninghamella japonica* AM472 and *Fusarium culmorum* AM10) were able to transform halolactones into 2-hydroxy-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one by hydrolytic dehalogenation with good yield. The biotransformation product was structurally different from its synthetically prepared analog. All halolactones and hydroxylactones were tested for their biological activity. The chlorolactone inhibited growth of *Staphylococcus aureus* (max  $\Delta OD = 0$ ), *Escherichia coli* (max  $\Delta OD = 0.3$ ) and *Candida albicans* (max  $\Delta OD = 0$ ) strains. Bromolactone caused inhibition of growth of *Staphylococcus aureus* (max  $\Delta OD = 0$ ) and *Fusarium linii* (max  $\Delta OD = 0$ ) strains. Iodolactone limited growth of *Staphylococcus aureus* (max  $\Delta OD = 0$ ), *Escherichia coli* (max  $\Delta OD = 0.25$ ), *Candida albicans* (max  $\Delta OD = 0.45$ ) and *Pseudomonas fluorescens* (max  $\Delta OD = 0.42$ ) strains. Hydroxylactone caused inhibition of growth of *Staphylococcus aureus* (max  $\Delta OD = 0.36$ ) and *Pseudomonas fluorescens* (max  $\Delta OD = 0.39$ ) strains only. The test performed on aphids *Myzus persicae* (Sulz.) showed that chloro- and bromolactone exhibited deterrent activity after 24 h ( $ID = 0.5$  and  $0.4$ , respectively), while hydroxylactone was a weak attractant ( $ID = -0.3$ ).

**Keywords:** lactones; hydrolytic dehalogenation; antimicrobial activity; deterrent activity

## 1. Introduction

The compounds containing the lactone moiety are one of the most interesting groups in terms of biological properties. They are found in every ecosystem because they are the products of the secondary metabolism of microorganisms [1], insects [2], plants [3] and even marine organisms [4]. Lactones have a wide spectrum of biological activity and are quite a large group of compounds with bactericidal [5,6], fungicidal [7,8] or cytotoxic activities [9,10]. Tetrenoline, a lactone with the polyene

bond system, is primarily bactericidal, particularly against Gram-positive bacteria [11]. Acetomycin was isolated from *Streptomyces ramulosus* and inhibited the growth of colonies of protozoans [12–14]. Sesquiterpene lactones, costunolide and dehydrocostuslactone from *Laurus* spp. had anti-tuberculous activity against *Mycobacterium tuberculosis* [15]. One of the best known deterrents to storage pests were allantolactone, izoallantolactone and *ent*-izoallantolactone [16]. Studies carried out in our department confirmed the deterrent activity of bicyclic lactones against pests of grain storage [17]. The natural sesquiterpene lactone 8-deoxylactucine, which was isolated from *Cichorium endivia*, absorbed UVB radiation and partially protected the formation of pyrimidine dimers and IL-6 mRNA in the human keratinocyte cell line HaCaT [18]. Lactones isolated from *Rolandra fruticosa* were used to treat arthritis and diabetes [19]. Monoterpenoid lactone liguhodgcin was isolated from *Ligularia hodgsonii* and was used in traditional Chinese medicine in the treatment of flu, coughs, ulcers and tuberculosis. In addition, this compound proved to be moderately active against the cell lines HL-60 (leukemia), SMMC-7721 (hepatoma) and HeLa (cervical carcinoma) [20].

These interesting biological properties made them the subject of research. In order to recognize the properties of lactones, such compounds may be isolated from natural sources, chemically synthesized and also prepared by biotransformation. One of the most desirable processes is the obtaining of new lactones and their additional functionalization involving the regioselective hydroxylation. Our team is working on obtaining the lactones by biotransformation and their microbiological functionalization in order to develop compounds of higher biological activity [21,22]. In this paper, we present the results which we have received for the group of bicyclic lactones with a methyl group.

## 2. Materials and Methods

### 2.1. General Information

GC analysis was carried out on an Agilent Technologies 6890N instrument (Varian, Agilent Technologies, Santa Clara, CA, USA) using a DB-17 column (cross-linked methyl silicone gum, 30 m × 0.32 mm × 0.25 µm), (J & W Scientific, Folsom, CA, USA), retention times were as follows: 3.7 min for chlorolactone (SI, Figure S1) 4.1 min for bromolactone (SI, Figure S2), 4.7 min for iodolactone (SI, Figure S3) and 4.0 min for hydroxylactone (SI, Figure S4). The enantiomeric excesses of the products obtained during biotransformation were determined by GC analysis using the chiral column Supelco β-DEX 325 (30 m × 0.25 mm × 0.25 µm) (Supelco Bellefonte, PA, USA) under the following conditions: injector 200 °C, detector (FID) 250 °C, column temperature: 140 °C (hold 45 min), 140–200 °C (rate 20 °C/min), and 200 °C (hold 1 min), retention times of hydroxylactone were as follows:  $R_t(+)$ -5: 12.78 min and  $R_t(-)$ -5: 13.29 min,  $R_s = 2.89$  (SI, Figures S5–S8). Enantiomeric excess was calculated:

$$ee = \frac{E1 - E2}{E1 + E2} 100\% \quad (1)$$

$E1$ —peak area of compound one;  $E2$ —peak area of compound two.

The molar masses of the new products were confirmed by high resolution mass spectrometry analysis using a Waters LCT Premier XE instrument (ESI ionisation) (Waters Division, Milford, MA, USA).

$^1\text{H}$  NMR spectra were recorded in a  $\text{CDCl}_3$  solution on a Bruker Avance DRX 300 MHz spectrometer (Bruker, Billerica, MA, USA) or on a Bruker Avance<sup>TM</sup> 600 MHz spectrometer (Bruker, Billerica, MA, USA). IR spectra were recorded on a Thermo-Nicolet IR300 FT-IR spectrometer (Waltham, MA, USA). Optical rotations were determined on a P-2000 polarimeter (Jasco, Easton, PA, USA) in chloroform solutions. The melting points were determined on a Boetius apparatus. The refractive index was measured on Carl Zeiss Abbe and Pulfrich refractometer (Jena, Germany).

## 2.2. Synthesis of Substrates

### 2-Chloro-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one (2)

Chlorolactonization of acid **1** (1.71 g, 0.011 mol), according to the known procedure [22] gave chlorolactone **2** (1.23 g, 0.0065 mol) in 59% yield. The physical and spectral data of this product are as follows:  $n_D = 1.4900$ ,  $^1\text{H}$  NMR (600 MHz,  $\text{CDCl}_3$ ): 0.93 (d,  $J = 6.5$  Hz, 3H,  $\text{CH}_3$ -9), 1.61 (ddd,  $J = 14.8, 11.8$  and  $3.2$  Hz, 2H,  $\text{CH}_2$ -5), 1.71–1.79 (m, 1H, one of  $\text{CH}_2$ -3), 1.88–1.94 (m, 1H, one of  $\text{CH}_2$ -3), 1.98–2.04 (m, 1H, H-4), 2.23 (d,  $J = 16.4$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.68 (dd,  $J = 16.4$  and  $6.2$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.69–2.75 (m, 1H, H-6), 4.47–4.49 (m, 1H, H-2), 4.55 (dd,  $J = 5.5$  and  $2.7$  Hz, 1H, H-1),  $^{13}\text{C}$  NMR (151 MHz,  $\text{CDCl}_3$ ): 21.5 (C-9), 23.2 (C-4), 32.1 (C-6), 35.6 (C-3), 35.8 (C-5), 38.1 (C-7), 55.2 (C-1), 79.8 (C-2), 175.9 (C-8), IR (KBr,  $\text{cm}^{-1}$ ): 2954, 1787, 1457, 1161, 1019, 976, ESIHRMS: calcd for  $\text{C}_9\text{H}_{13}\text{ClO}_2\text{Na}$ ,  $m/z$  211.0502 ( $\text{M} + \text{H}$ )<sup>+</sup>, found 211.0508. (SI, Figures S9–S14)

### 2-Bromo-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one (3)

Bromolactonization of acid **1** (1.71 g, 0.011 mol), according to the known method [23] gave bromolactone **3** (2.1 g, 0.0090 mol) in 82% yield. The physical and spectral data of this product are as follows:  $n_D = 1.5150$ ,  $^1\text{H}$  NMR (600 MHz,  $\text{CDCl}_3$ ): 0.93 (d,  $J = 6.3$  Hz, 3H,  $\text{CH}_3$ -9), 1.66 (ddd,  $J = 15.0, 11.9$  and  $3.3$  Hz, 2H,  $\text{CH}_2$ -5), 1.69–1.79 (m, 1H, one of  $\text{CH}_2$ -3), 1.93–2.00 (m, 1H, one of  $\text{CH}_2$ -3), 2.01–2.03 (m, 1H, H-4), 2.23 (d,  $J = 16.4$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.68 (dd,  $J = 16.4$  and  $6.3$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.74–2.84 (m, 1H, H-6), 4.58–4.60 (m, 1H, H-2), 4.65 (dd,  $J = 5.3$  and  $2.6$  Hz, 1H, H-1),  $^{13}\text{C}$  NMR (151 MHz,  $\text{CDCl}_3$ ): 21.4 (C-9), 24.3 (C-4), 32.1 (C-6), 35.7 (C-3), 36.0 (C-5), 38.4 (C-7), 47.6 (C-1), 80.1 (C-2), 176.1 (C-8), IR (KBr,  $\text{cm}^{-1}$ ): 2957, 1799, 1457, 1158, 1016, 973, ESIHRMS: calcd for  $\text{C}_9\text{H}_{13}\text{BrO}_2\text{Na}$ ,  $m/z$  254.9997 and 256.9977 ( $\text{M} + \text{H}$ )<sup>+</sup>, found 254.9986 and 256.9917. (SI, Figures S15–S20)

### 2-Iodo-4-methyl-9-oxabicyclo[4.3.0]nonan-8-one (4)

Iodolactonization of acid **1** (1.36 g, 0.0088 mol) according to the known procedure [24] gave iodolactone **4** (2.1 g, 0.0075 mol) in 83% yield. The physical and spectral data of this product are as follows:  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ): 0.80–0.88 (m, 1H one of  $\text{CH}_2$ -5), 0.94 (d,  $J = 6.5$  Hz, 3H,  $\text{CH}_3$ -9), 1.44–1.54 (m, 1H one of  $\text{CH}_2$ -5), 1.69–1.74 (m, 1H, one of  $\text{CH}_2$ -3), 1.90 (d,  $J = 16.3$  Hz, 1H, one of  $\text{CH}_2$ -3), 1.98–2.00 (m, 1H, H-4), 2.21 (d,  $J = 16.7$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.66 (dd,  $J = 16.7$  and  $6.4$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.80–2.90 (m, 1H, H-6), 4.66 (m, 1H, H-2), 4.80 (m, 1H, H-1), IR (film,  $\text{cm}^{-1}$ ): 2972, 1792, 1164, 960.

## 2.3. Microorganisms and Growing Conditions

The fungal and yeast strains used for biotransformation came from the collection of the Department of Chemistry, Wrocław University of Environmental and Life Sciences. There were: *Fusarium culmorum* AM10, *Fusarium avenaceum* AM11, *Fusarium oxysporum* AM13, *Fusarium tricinctum* AM16, *Fusarium semitectum* AM20, *Fusarium oxysporum* AM21, *Fusarium equiseti* AM22, *Fusarium solani* AM203, *Penicillium vermiculatum* AM30, *Penicillium camembertii* AM83, *Penicillium chermesinum* AM13, *Penicillium frequentans* AM351, *Aspergillus wentii* AM413, *Aspergillus ochraceus* AM456, *Absidia corerulea* AM93, *Syncephalastrum racemosum* AM105, *Cunninghamella japonica* AM472, *Pleurotus ostreatus* AM482, *Pleurotus ostreatus* AM600, *Mucor hiemalis* AM450, *Yarrowia lipolytica* AM71, *Rhodotorula marina* AM77.

The microorganisms were cultivated on Sabouraud agar slants containing aminobac 5 g, peptone K 5 g, glucose 40 g and agar 15 g dissolved in distilled water (1 L) at a temperature of 28 °C. The slants were stored in refrigerator at 4 °C and subcultured periodically.

## 2.4. Screening Procedure

Biotransformations were performed in two Erlenmeyer flasks of 300 mL volume with a medium consisting of 3 g glucose and 1g peptone dissolved in distilled water (100 mL) at pH 6.8. The shaken cultures (150 rpm) of microorganisms were cultivated at a temperature of 24 °C. After 72 h of shaking

cultures were in stationary phase, 10 mg of the substrate dissolved in 1 mL of acetone was added to each flask. For the next seven days, the shaken cultures with substrate were incubated. After 3, 5 and 7 days, the aliquots were taken, extracted with dichloromethane ( $2 \times 15$  mL) and analyzed.

### 2.5. Preparative Biotransformation

To 10 Erlenmeyer flasks (300 mL) containing 3-day cultures was added substrate (100 mg) in acetone (10 mL). The biotransformation was conducted in the same conditions as the screening ones. The entire content of these flasks was extracted with dichloromethane ( $3 \times 40$  mL) after seven days. The combined organic fractions were dried ( $\text{MgSO}_4$ ) and concentrated. Pure product was separated on silica gel (hexane: acetone 3:1). The physical and spectral data of hydroxylactone 5 (2-hydroxy-4-methyl-9-oxabicyclo [4.3.0] nonan-8-one) are presented below:

Colourless oil,  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ ): 0.77–0.83 (m, 1H one of  $\text{CH}_2$ -5), 0.99 (d,  $J = 6.5$  Hz, 3H,  $\text{CH}_3$ -9), 1.17–1.27 (m, 1H one of  $\text{CH}_2$ -3), 1.43–1.50 (m, 1H, H-4), 1.64–1.72 (m, 1H, one of  $\text{CH}_2$ -5), 1.82–1.89 (m, 1H, one of  $\text{CH}_2$ -3), 2.27 (d,  $J = 16.7$  Hz, 1H, one of  $\text{CH}_2$ -7), 2.44–2.55 (m, 1H, H-6), 2.73 (dd,  $J = 16.7$  and 6.4 Hz, 1H, one of  $\text{CH}_2$ -7), 3.75 (ddd,  $J = 12.1, 4.4, 3.8$  Hz, 1H, H-2), 4.58 (t,  $J = 3.8$  Hz, 1H, H-1),  $^{13}\text{C}$  NMR (151 MHz,  $\text{CDCl}_3$ ): 21.7 (C-9), 29.1 (C-4), 35.4 (C-5), 35.4 (C-6), 37.1 (C-3), 38.4 (C-7), 70.0 (C-2), 81.7 (C-1), 176.3 (C-8), IR (film,  $\text{cm}^{-1}$ ): 3378, 2927, 1765, 1170, 952, calcd for  $\text{C}_9\text{H}_{14}\text{O}_3$ ,  $m/z$  193.0841 ( $\text{M} + \text{H}$ ) $^+$ , found 193.0851. (SI, Figures S21–S25)

### 2.6. Bioassays

The biological tests were made by using some bacteria strains: *Escherichia coli* ATCC 10536, *Staphylococcus aureus* DSM 799, *Pseudomonas fluorescens*, yeast strains: *Candida albicans* CBS 767 and filamentous fungi strains: *Aspergillus niger* KKBMZ X1, *Fusarium linii* KKBMZ 3A. The above strains came from the collection of the Faculty of Biotechnology and Food Microbiology, Wroclaw University of Environmental and Life Sciences. Bacterial cultures were incubated for 48 h in a broth (15 g of dry bouillon (Biocorp) and 10 g of glucose dissolved in 1 L of distilled water). Yeast and fungi were cultured in the YPG medium (10 g yeast extract, 10 g bacteriological peptone, and 10 g of glucose dissolved in 1 L of distilled water) for 48 and 96 h, respectively, in a microbiological apparatus Bioscreen C (Automated Growth Curve Analysis System Lab Systems, Finland) to test the effects of the tested lactones on the growth of microorganisms. The working volume in the wells of the Bioscreen was 300  $\mu\text{L}$  containing 280  $\mu\text{L}$  of culture medium and 20  $\mu\text{L}$  of cells or spores (final cell density was  $1 \times 10^6 \text{ mL}^{-1}$ ). Lactones were dissolved in dimethyl sulfoxide (10 mL) and used at a final concentration of 0.1% ( $w/v$ ). The temperature was maintained at 30  $^\circ\text{C}$  for bacteria and yeasts and 25  $^\circ\text{C}$  for the filamentous fungi. The optical density of the cell suspension was measured at 550 nm automatically at regular intervals of 30 min for 2–4 days. Cell cultures were placed on a continuous shaker. Each culture was carried out in 3 replications. Data were analysed using Excel spreadsheet (97). The mean values were used for the preparation of the growth curves for each test strain, representing a function of time of incubation and the absorbance of the medium. Curves of microbial growth were compared with the control culture medium containing dimethyl sulfoxide.

### 2.7. Aphid Settling Bioassay

The experiments were carried out using peach-potato aphid *Myzus persicae* (Sulz.) (1–7-day old apterous females) and *Brassica pekinensis* (Lour.) Rupr. (3-week old plants). Aphids and plants were reared in the laboratory under controlled conditions of 20  $^\circ\text{C}$ , 65% r.h., and long day photoperiod (L16:8D) in the growing chamber Sanyo MLR-352H. Due to the cryptic mode of aphid feeding (they feed on plant sap and need to penetrate plant tissues with sucking-piercing mouthparts in order to reach phloem elements that are the food source), it is not possible to monitor plant acceptance directly. Neither it is possible to directly monitor the effect of exogenously applied compounds. Therefore, according to the known facts on aphid feeding behaviour [25], aphid settling bioassay is the

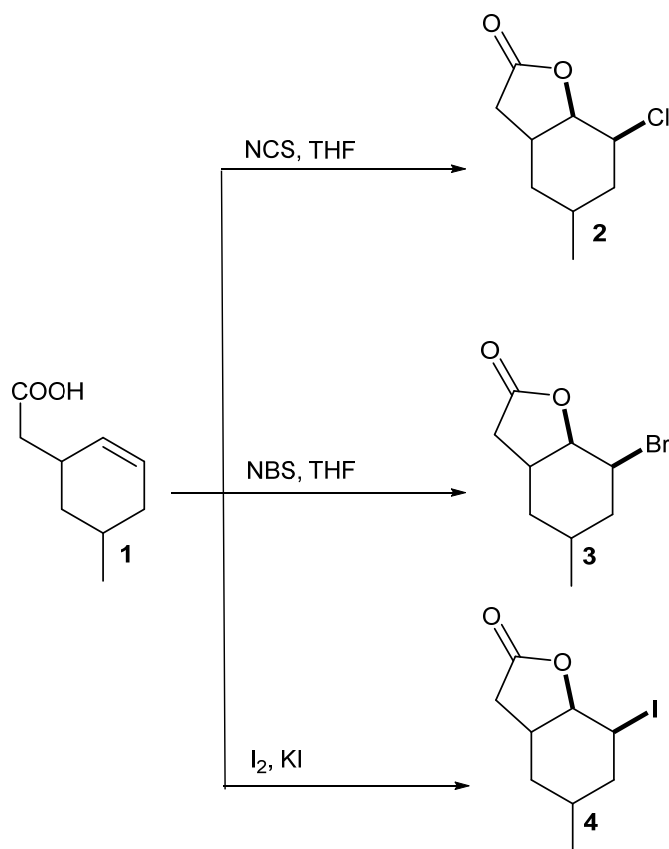
commonly applied method to determine the level of plant acceptance by these insects. The studied compounds were applied as 0.1% solutions in 70% ethanol by immersing plant leaves for 30 s in a given solution. Leaves immersed in pure 70% ethanol were used as controls. Treated leaves (both, control and lactone-treated ones) were offered to aphids after 1 h that followed the immersion. The time was necessary to let the solvent evaporate. During the experiment, aphids had a choice between treated and control leaves. The experiment was replicated 8 times for each substance and 20 aphids were used in each replicate. The number of aphids that settled, which was determined by the position of their antennae [26], was counted on each leaf 1, 2, and 24 h after the onset of the experiment. The numbers of aphids on control and treated leaves were compared using Student's *t*-test at  $p = 0.05$  to reveal significant differences in preference for control or treated leaves. Based on the number of aphids settled on the control leaf (*C*) and the number of aphids settled on the treated leaf (*T*), the relative index of deterrence (*ID*) was calculated, according to the formula:

$$ID = \frac{C - T}{C + T} \quad (2)$$

The values of *ID* ranged between 1 (ideal deterrent) and −1 (ideal attractant).

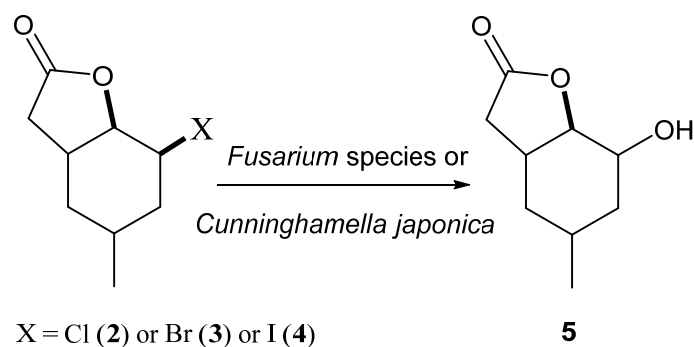
### 3. Results and Discussion

5-Methylcyclohexane-1,3-dione during the five-step synthesis was converted into the known  $\gamma,\delta$ -unsaturated acid [24]. This acid was then converted into chloro- **2**, bromo- **3** and iodolactone **4** according to the procedure described earlier [23]. Two of these compounds, chloro- **2** and bromolactone **3**, were the new ones, only iodolactone **4** was obtained earlier [26] (Scheme 1).



Scheme 1. Synthesis of halolactones 2–4.

Our earlier experience [23,27–31] indicated that the most common reaction for halolactones during biotransformation was the conversion into hydroxylactones. The mechanism of such reaction was similar to  $S_N2$  substitution and OH group was usually introduced in an equatorial position. This kind of transformation most often is catalysed by haloalkane dehalogenases during biotransformation. The research made by Gładkowski et al. [32] conducted on iodolactone **4** proved that this compound was transformed into hydroxylactone. During this biotransformation, only one strain—*Absidia cylindrospora*—was tested. This strain was able to transform iodolactone into hydroxylactone with high yield but without any enantiomeric excess. Our research assumed a comparison of 22 fungal strains of their ability to biotransform three halolactones. The iodolactone in these studies was the reference compound. During the experiments, the effect of the type of halogen on the reaction was compared (Scheme 2).



**Scheme 2.** The expected course of the biotransformation of halolactones **2–4**.

The progress of all screening transformations was monitored using standard techniques (TLC and GC). The chosen results of these tests are presented in Table 1. The others strains were not able to convert lactones **2–4** into any product.

**Table 1.** The positive results of screening biotransformation of halolactones **2, 3** and **4** after 7 days of incubation (in % according to GC).

Entry	Microorganism	Screening Biotransformation (%)					
		( <b>2</b> )	( <b>5</b> )	( <b>3</b> )	( <b>5</b> )	( <b>4</b> )	( <b>5</b> )
1	<i>F. culmorum</i> AM10	67.4	42.6	21.3	72.7	56.0	44.0
2	<i>F. avenaceum</i> AM11	100	-	77.7	22.3	100	-
3	<i>F. tricinctum</i> AM16	100	-	92.4	7.6	93.7	6.3
4	<i>F. semitectum</i> AM20	100	-	87.3	12.7	95.3	4.7
5	<i>F. oxysporum</i> AM21	100	-	89.7	10.3	100	-
6	<i>S. racemosum</i> AM105	100	-	72.7	27.3	100	-
7	<i>F. solani</i> AM203	87.7	12.3	67.1	32.9	61.7	38.3
8	<i>C. japonica</i> AM472	32.5	67.5	45.2	54.8	34.0	66.0

The results of the conducted experiments were very surprising. It turned out that of the 22 tested microorganisms, only some were capable of converting the chosen substrates. The best biocatalyst was *C. japonica* AM472 strain (entry 8). This strain was able to transform all three halolactones with a high degree of conversion (between 54.8% and 67.5%). Of the strains of genus *Fusarium*, only *F. culmorum* AM10 (entry 1) converted bromolactone **3** with a satisfying yield (almost 73%). Usually strains of genus *Fusarium* were very good biocatalysts for halolactones and transform halolactones independently of halogen. Contrary to the results described in our earlier papers [26,28,29,32] from five strains of genus *Fusarium*, only one was able to convert the chosen substrates into product with a good yield. Independent of the structure of the compound, the best substrate for biotransformation was

bromolactone **3**, which was converted by eight strains. A slightly worse situation was observed for iodolactone **4**, converted by five strains. The chlorolactone **2** was the least susceptible to biotransformation (three strains only). Only two strains, *C. japonica* AM472 and *F. culmorum* AM10, were able to transform all of the compounds in good yield. Both strains are known for the high activity of enzymes associated with cytochrome P450 [33], which explains their high tolerance in the dehalogenation reaction to various substrates. Taking into account the results obtained during the screening biotransformation, we decided to perform the preparative scale biotransformation on only two selected strains: The results of this step are presented in Table 2.

**Table 2.** Results of the preparative scale biotransformations of halolactones **2–4** after 7 days of incubation (in % according to GC).

Microorganism	Substrate (2)	Product (5)	Substrate (3)	Product (5)	Substrate (4)	Product (5)
<i>C. japonica</i> AM472	40.0	60.0	44.8	55.2	42.5	57.5
<i>F. culmorum</i> AM10	-	-	21.1	78.8	-	-

Both strains: *C. japonica* and *F. culmorum*, converted lactones **2–4** into the same product, which was hydroxylactone **5**. The best degree of conversion was observed for bromolactone **3** when the *F. culmorum* stain was used as a biocatalyst (78.8% of lactone **5**). In the case of *C. japonica*, the degree of conversion was similar for all three substrates, the amount of product ranging from 55% to 60%. In the next step, an isolated yield, the enantiospecificity and optical purity of hydroxylactone **5** obtained during all preparative biotransformation were determined. The results of this step are shown in Table 3.

**Table 3.** Results of preparative biotransformation of lactones **2–4**.

Substrate	Strain	Isolated Yield mg/%	ee (%)
chlorolactone <b>2</b>	<i>C. japonica</i> AM472	10/11.1	4.0
bromolactone <b>3</b>	<i>F. culmorum</i> AM10	27/37.4	14.9
bromolactone <b>3</b>	<i>C. japonica</i> AM472	16/21.9	17.7
iodolactone <b>4</b>	<i>C. japonica</i> AM472	16/25.7	6.4

As we can see in Table 3, the enantiomeric excesses of all hydroxylactones were low. Such a situation was probably caused by the structure of the substrates, in which only one steric hindrance was the methyl group at C-5 carbon. The hydroxylactone **5** with the highest enantiomeric excess (17.7%) was obtained from bromolactone **3**. In all cases, hydroxylactone was created with a slight excess of (+)-enantiomer.

During the conducted biotransformation, the strong impact of the structure of lactones **2–4** on the possibility of their conversion into hydroxylactone could be observed. Because of that, the structures of these compounds were established on the basis of their spectral data ( $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR, COSY, HMQC and IR) and confirmed by HRMS analysis. The absorption bands lying at 1787 (for **2**), 1799 (for **3**) and 1792 (for **4**)  $\text{cm}^{-1}$  on the IR spectra confirmed the presence of the  $\gamma$ -lactone ring in these molecules. Analysis of the  $^1\text{H}$  NMR spectra proved that the cyclohexane ring in these three lactones took a chair conformation. Wide multiplet coming from proton H-4 suggested the equatorial orientation of the  $\text{CH}_3$ -9 group. The shapes of signals coming from proton H-6 (wide multiplet) and proton H-1 (small coupling constant) indicated their axial (H-6) and equatorial (H-1) orientation. It means that the C-O bond of lactone ring is located in an axial position. Signal coming from proton H-2 looked like a wide singlet suggesting its equatorial orientation and thereby indicating that the halogen atom assumed an axial position.

Analysis of  $^1\text{H}$  NMR spectra of halolactones **2–4** and hydroxylactone **5** proved that the cyclohexane ring in these four lactones took a chair conformation. The only difference in the structure of the substrates and product was the location of the halogen atom and hydroxy group. In the molecules of

lactones 2–4, the halogen atom assumed an axial position. In the molecule of the hydroxylactone 5, the hydroxy group occupied an equatorial position (Figure 1).

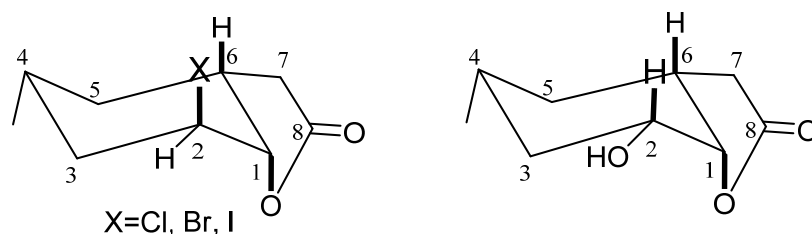


Figure 1. Structure of lactones 2–5.

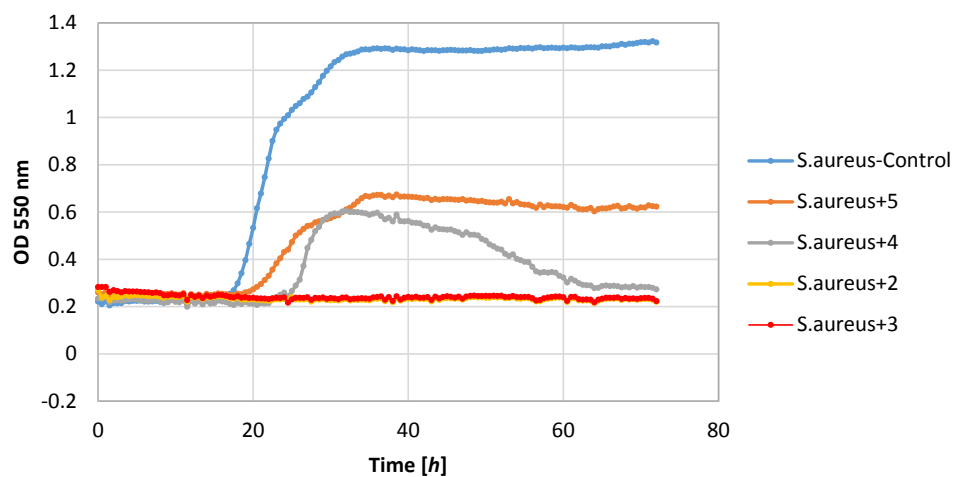
The above analysis shows that the hydroxylactone 5 obtained during the biotransformation presented here is analogous to the hydroxylactone obtained earlier from iodolactone 4 by Gładkowski et al. [27]. It is important to notice that hydroxylactone 5 obtained during biotransformation was structurally different to its analog obtained synthetically [31]. It was ascertained based on the comparison between  $^1\text{H}$  NMR spectra of both compounds. The main difference was the shape and position of signals coming from the protons H-1 and H-2. In the obtained microbiological hydroxylactone 5 signal coming from proton H-2 lying at 3.75 ppm there was a doublet of doublet, while the signal of proton H-1 was a triplet lying at 4.58 ppm. In the above cited synthetic hydroxylactone only one narrow multiplet coming from protons H-2 and H-1 lying at 4.27 ppm was observed. These differences were the consequence of the different position of a hydroxy group. In hydroxylactone 5 obtained by biotransformation, a hydroxy group was situated in an equatorial position, while in hydroxylactone obtained by chemical synthesis, it was situated in an axial one.

Unfortunately, we were not able to obtain hydroxylactone 5 with such high yield as described in the abovementioned article. However, use of bromolactone 3 for biotransformation gave hydroxylactone 5 with a small (17.7%) enantiomeric excess.

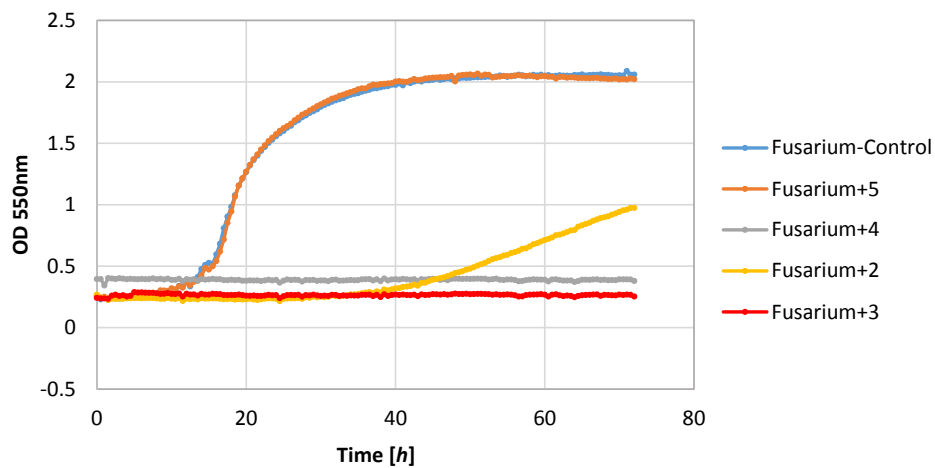
As it is known, the different bicyclic lactones were often endowed with interesting biological properties such as antifeedant activity against storage pests, Colorado potato beetle, and aphids [17,34,35] or the inhibition of the growth of some microorganisms [27,28,31]. Knowing that, the lactones presented here were tested in this respect. Halolactones 2–4 and hydroxylactone 5 were examined for their ability to inhibit the growth of some bacteria, yeast and fungal strains. The highest activity against the tested microorganisms showed bromolactone 3 and iodolactone 4. The smallest one was the product of biotransformation—hydroxylactone 5. The results of these tests are presented in Figures 2–7.

Of the tested bacteria strains, the highest sensitivity was demonstrated by the *S. aureus* strain. Its growth was completely inhibited by lactones 2–4. Also, lactone 5 showed a negative impact on the growth of this strain causing a significant extension of the adaptive phase (20–36 h) and a small increase in biomass expressed as  $\Delta\text{OD}$  in the range 0.36–0.62.

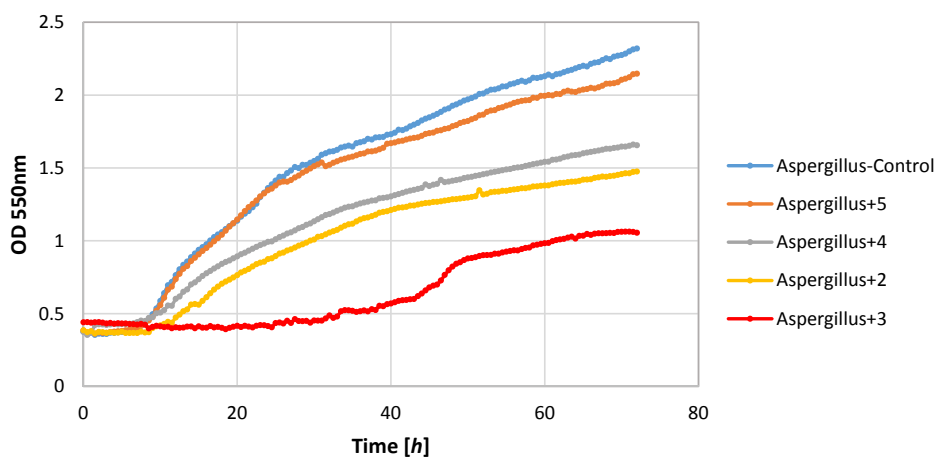
Also, filamentous fungi proved to be susceptible to the microbial activity of some test compounds. Lactones 3 and 4 completely inhibited the growth of the *F. linii* strain, and significantly limited the expansion of the *A. niger* strain. Fungi strains proved to be insensitive to the activity of lactone 5. This compound even stimulated the growth of the fungus *F. linii*, resulting in a greater increase in biomass of about 0.58, as compared to the control culture.



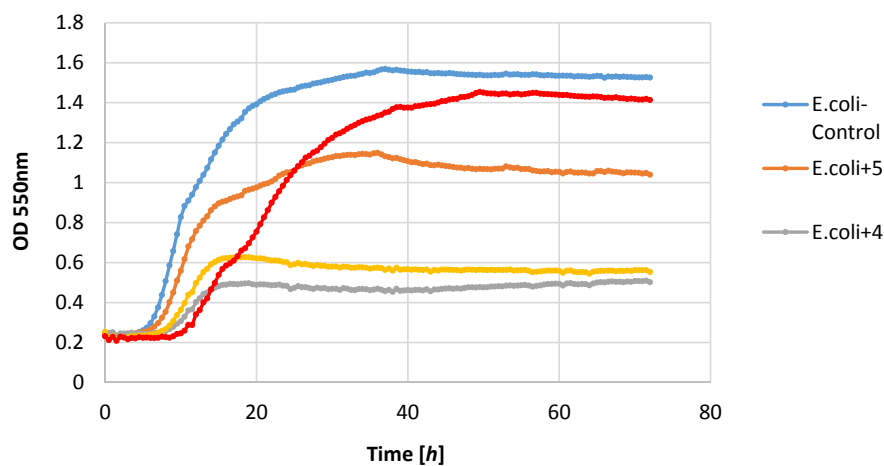
**Figure 2.** The assessment of the effects of the lactones 2–5 on the growth of *S. aureus*. (OD-Optical Density was measured for  $\lambda$  550 nm).



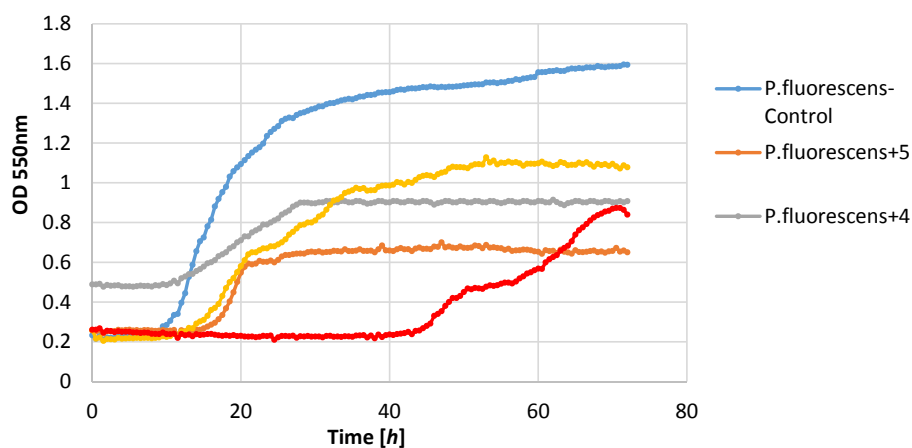
**Figure 3.** The assessment of the effects of the lactones 2–5 on the growth of *F. linii*. (OD-Optical Density was measured for  $\lambda$  550 nm).



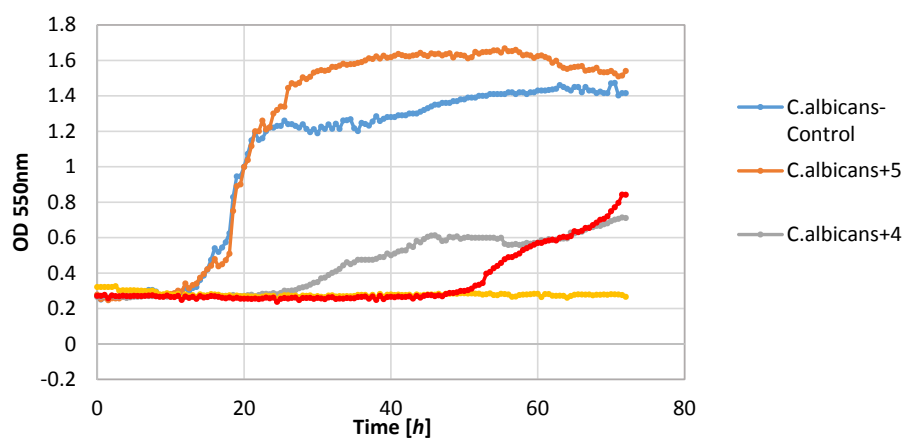
**Figure 4.** The assessment of the effects of the lactones 2–5 on the growth of *A. niger*. (OD-Optical Density was measured for  $\lambda$  550 nm).



**Figure 5.** The assessment of the effects of the lactones 2–5 on the growth of *E. coli*. (OD-Optical Density was measured for  $\lambda$  550 nm).



**Figure 6.** The assessment of the effects of the lactones 2–5 on the growth of *P. fluorescens* (OD-Optical Density was measured for  $\lambda$  550 nm).



**Figure 7.** The assessment of the effects of the lactones 2–5 on the growth of *C. albicans* (OD-Optical Density was measured for  $\lambda$  550 nm).

Most of the lactones tested caused the inhibition of growth of the *E. coli* strain. The highest growth inhibition,  $\Delta OD = 0.25$ – $0.57$ , was shown in compounds **2** and **4**. Lactone **5** limited the increase in the biomass.

*P. fluorescens* strain growth was limited by lactones **3**–**5**. The increase in the optical density of this strain was in the range  $0.39$ – $0.58$ , whereas in the control culture it was  $1.36$ . It is worth noting that in the culture of the *P. fluorescens* strain that was conducted in the presence of compound **4**, the diauxic growth was observed. A similar effect was observed earlier for bacteria strain *E. coli* and yeast strain *C. albicans* [21]. This phenomenon was also reported by other authors [36–38].

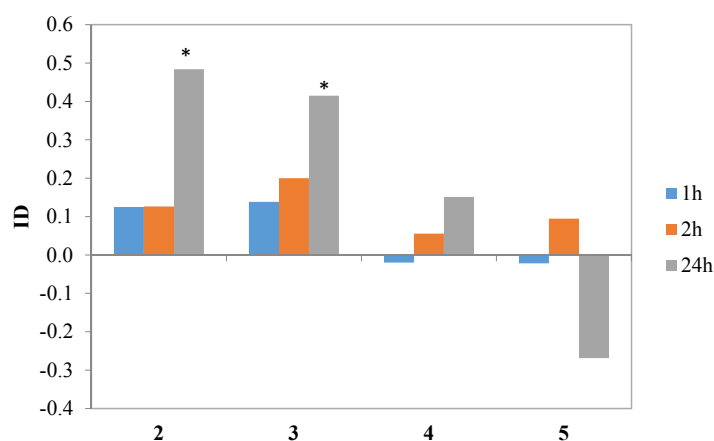
The yeast strain *C. albicans* was found to be relatively sensitive to lactones **2**–**4**, wherein only compound **2** completely inhibited growth. The other compounds limited the increase in the biomass. It should be noted that compound **5** stimulated the growth of this yeast resulting in the increase in biomass  $\Delta OD$  equal to  $1.26$ , whereas the control culture yeasts' value was  $1.14$ .

In the next step, halolactones **2**–**4** and hydroxylactone **5** were examined for their deterrent activity against the peach potato aphid *Myzus persicae* (Sulz.). The results of these tests are presented in Table 4.

**Table 4.** Deterrent activity of lactones **2**–**5**. Numbers for C = control and T = treated represent mean number ( $\pm$ SE) of aphids that settled on the control or the treated leaf (choice-test) 1 h, 2 h, and 24 h after exposure. *p*—significance level (Student's *t*-test; StatSoft, Inc. (2014) STATISTICA)—significant differences ( $p < 0.05$ ) between the number of aphids settled on either leaf are underlined.

Lactone	1 h			2 h			24 h		
	C	T	<i>p</i>	C	T	<i>p</i>	C	T	<i>p</i>
<b>2</b>	$6.8 \pm 0.9$	$5.3 \pm 0.8$	0.2100	$7.3 \pm 1.0$	$5.6 \pm 1.0$	0.2602	$14.4 \pm 0.8$	$5.0 \pm 0.7$	<u>0.0000</u>
<b>3</b>	$9.3 \pm 0.8$	$7.0 \pm 1.3$	0.1611	$9.8 \pm 1.0$	$6.5 \pm 1.2$	0.0507	$13.0 \pm 2.1$	$5.4 \pm 2.1$	<u>0.0219</u>
<b>4</b>	$6.1 \pm 1.1$	$6.4 \pm 1.2$	0.8811	$7.1 \pm 0.9$	$6.4 \pm 1.3$	0.6546	$7.6 \pm 1.5$	$5.6 \pm 1.9$	0.4304
<b>5</b>	$5.6 \pm 1.7$	$5.9 \pm 0.9$	0.8978	$6.5 \pm 1.7$	$5.4 \pm 0.7$	0.5571	$6.1 \pm 2.0$	$10.6 \pm 2.3$	0.1599

Iodolactone **4** and hydroxylactone **5** did not affect aphid behavior during settling in a significant way. Nevertheless, lactone **4** showed a tendency to inhibit aphid settling, while **5** appeared to be a weak attractant. Chlorolactone **2** and bromolactone **3** caused a significant avoidance of the treated leaves by the aphids later than two hours after exposure but the indices of deterrence (ID) calculated on the 24th hour reached the relatively high values of  $0.5$  and  $0.4$ , respectively (Table 4, Figure 8). Similar effects were obtained when studying the effect of piperitone-derived halolactones on *M. persicae* settling behavior: the incorporation of chlorine, bromine and iodine atoms in the lactone molecule caused a significant increase in deterrent activity [39]. In the cited study, the strongest effects were attributed to chlorine and bromine atoms while the effect of iodine atom on the activity was less pronounced [39].



**Figure 8.** Effect of lactones **2**–**5** on settling preferences of *Myzus persicae* in the choice test. ID—index of deterrence, \*  $p < 0.05$  (Student *t*-test).

#### 4. Conclusions

The 22 fungal strains were tested for their ability to transform three halolactones 2–4. Only two of these strains (*C. japonica* AM472 and *F. culmorum* AM10) were able to conduct the reaction of hydrolytic dehalogenation of the chosen substrates, giving hydroxylactone as a product. The best result was obtained when bromolactone 3 was transformed by the *F. culmorum* AM10 strain. Enantiomeric excesses were low, but in all cases the (+)-enantiomer was produced in excess. Chloro- 2, bromo- 3, iodo- 4 and hydroxylactone 5 were then subjected to biological tests. The growth inhibition tests were conducted on the chosen strains of bacteria, yeast and filamentous fungi. Complete inhibition of growth of *S. aureus* strain was caused by lactones 2, 3 and 4. Lactone 2 caused complete inhibition of growth of *C. albicans* strain. The same effect was observed for lactones 3 and 4 when *F. linii* strain was tested. Lactones 2, 3, 4 limited the increase in the biomass of *E. coli*, *P. fluorescens* and *A. niger* strains. Lactone 5 also limited the increase in the biomass of *S. aureus* and *P. fluorescens* strains. Other assays were performed on the peach potato aphid. These tests showed that lactone 4 was inactive against *M. persicae*. Lactones 2 and 3 showed deterrent activity. Indices of deterrence after 24 h reached the relatively high values of 0.5 and 0.4 for compounds 2 and 3, respectively. Lactone 5 showed a weak attractant activity after 24 h.

**Supplementary Materials:** The following are available online at [www.mdpi.com/2076-3417/7/1/12/s1](http://www.mdpi.com/2076-3417/7/1/12/s1).

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**Conflicts of Interest:** The authors declare no conflict of interest.

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