



# **Classification and Segmentation of Diabetic Retinopathy: A** Systemic Review

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Abstract: Diabetic retinopathy (DR) is a major reason of blindness around the world. The ophthalmologist manually analyzes the morphological alterations in veins of retina, and lesions in fundus images that is a time-taking, costly, and challenging procedure. It can be made easier with the assistance of computer aided diagnostic system (CADs) that are utilized for the diagnosis of DR lesions. Artificial intelligence (AI) based machine/deep learning methods performs vital role to increase the performance of the detection process, especially in the context of analyzing medical fundus images. In this paper, several current approaches of preprocessing, segmentation, feature extraction/selection, and classification are discussed for the detection of DR lesions. This survey paper also includes a detailed description of DR datasets that are accessible by the researcher for the identification of DR lesions. The existing methods limitations and challenges are also addressed, which will assist invoice researchers to start their work in this domain.

Keywords: diabetic retinopathy; classification; segmentation; machine learning; review

# 1. Introduction

Diabetic retinopathy (DR) is a severe eye condition that results in visual loss. Unfortunately, this illness remains silent at the initial stages and is detected through routine eye checkups [1]. DR has become more common as diabetic patients' life expectancy has increased. Untreated and serious cases of DR might result in blindness, so regular retina screening is necessary for DR patients to avoid becoming visually impaired [2]. DR is a crucial symptom of blindness in those under the age of 50 years. According to some experts, 90% of diabetic people who receive an early diagnosis may be saved from the disease [3]. It is predicted that about 600-Millions of people would have diabetes by 2040, and one-third of them will have DR according to WHO [4]. People are becoming more prone to DR daily; the number has been estimated at 191.0 million by 2030. In the early stage, no symptoms are shown; hence, the detection of DR is a difficult task [5]. The back thin layer of the eye is known as the retina; it manages the light-sensing process and converts this light into signals and sends them to the brain [6]. The optic disc (OD) is a disc-like region on the retina created by axons of retinal ganglion cells, which transmit messages from the eye's photoreceptors toward the optic nerve; it provides assistance for vision.

All layers of the retina are supplied with blood by tiny blood capillaries, which are vulnerable to damage when blood sugar levels are elevated. When glucose level in blood is increased, the vessels start to disintegrate because the cells do not receive enough oxygen [7].



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Blockage in retina vessels might cause serious eye injury. Therefore, the metabolic rate decreases, allowing DR to enter through structural anomalies in vessels [8]. This can lead to blindness at advanced stages. In all over the world, 2.6% amount of DR is the main reason for visual deterioration [9]. DR identification is achieved due to the existence of numerous types of lesions like microaneurysms (MAs), hemorrhages (HMs), hard exudate (HE), soft exudate (SE), and representations of OD and blood arteries in the retina, as shown in Figure 1.

- MAs are the initial indication of DR, which appear as microscopic red circular marks on retina caused by the breakdown in the walls of vessel. Sharped margins with a size of less than 125 µm define the dots on retinal fundus images [11].
- Hemorrhages (HMs) show large patches on the retina with irregular edges that are greater than 125 μm. It appears when the leakage of blood from blocked retinal vessels impairs vision in the eyes. HMs are further classified into two categories, flame (superficial HMs) and blot (deeper HMs) [12].
- Hard exudate (HE) appears as waxy yellow patches on the retina due to plasma leakage. HE is caused by the production of lipoproteins, which flow from MAs and accumulate in the retina.
- Soft exudate (SE) appears as white fluffy patches on the retina with distracted edges caused by the swelling of nerve fibers [13].



Figure 1. (a) NPDR lesions, (b) optic disc and blood vessel [10].

Dark red lesions on the retina indicate the presence of MAs and HMs, while bright lesions on the retina indicate the presence of HE and SE. The DR process comprises two distinct stages; the first stage is proliferative-DR (PDR) and the second stage is nonproliferative-DR (NPDR), as mentioned in Table 1. PDR arises when pre-existing micro-blood vessels in numerous parts of the retina produce new abnormal blood vessels. NPDR develops when diabetes damages the retina's blood vessels, which causes blood to seep onto the retina's surface. The leakage of blood minimizes the sensitivity of the retina; therefore, the retina becomes swollen and wet [14]. The different lesions of DR, such as MAs, HMs, HE, and SE, occur at this stage [14]. Depending upon the presence of these lesions, NPDR is additionally classified into three phases: NPDR mild (MAs only), NPDR moderate (MAs and HE), and NPDR severe (intra-retinal HMs and intra-retinal microvascular abnormalities) [15].

DR increases with critically from normal to moderate then from moderate to critical PDR, which is potentially vision-threatening. Highly skilled ophthalmologists are required for the manual detection of DR, which is an inefficient and difficult task. As a result, implementing accurate machine learning methods to detect DR automatically can prevent such flaws. Automatic techniques and screening systems for DR detection are time-saving, cost-saving, and efficient as compared to manual diagnosis methods. CADs depend on machine learning methods and are utilized for DR screening to recognize the retina with

suspected DR from mild retina [15]. Figure 2 presents the overall framework of the survey while Table 2 shows a comparison of this study with other, already existing surveys.

**Table 1.** Levels of DR.

| Types of DR   | Lesions   |
|---------------|---|
| Normal        | No DR lesions   |
| Mild NPDR     | Develop MAs only  |
| Moderate NPDR | Increase in the number of MAs, HE, SE, and HMs in the retina. |
| Severe NPDR   | The unusual feature is visible in all four retinal quadrants. |
| PDR           | Irregular small vessels of blood present in the retina.       |



Figure 2. Framework of survey.

Table 2. Comparison between this study and other surveys.

| Contents   | Present Study | [ <mark>16</mark> ] | [17]         | [ <b>1</b> 8] | <b>[19]</b>  | [20]         |
|--|---------------|---------------------|--------------|---------------|--------------|--------------|
| Methods for Identification of DR                                 | $\checkmark$  |                     |              |               | $\checkmark$ |              |
| Preprocessing  | $\checkmark$  |                     |              | $\checkmark$  |              |              |
| Segmentation   | $\checkmark$  |                     |              | $\checkmark$  | $\checkmark$ | $\checkmark$ |
| Hand-Crafted Feature Extraction                                  | $\checkmark$  |                     |              |               | $\checkmark$ |              |
| Automated Classification of DR<br>Lesions by Using Deep Features | $\checkmark$  | $\checkmark$        | $\checkmark$ | $\checkmark$  | $\checkmark$ | $\checkmark$ |
| Benchmark Datasets   | $\checkmark$  | $\checkmark$        |              | $\checkmark$  | $\checkmark$ | $\checkmark$ |
| Performance Evaluation   | $\checkmark$  | $\checkmark$        |              |               | $\checkmark$ |              |
| Challenges and Discussion  | $\checkmark$  | $\checkmark$        | $\checkmark$ | $\checkmark$  |              | $\checkmark$ |

The graph in Figure 3 shows an overview of research strategies in terms of preprocessing, segmentation, and features for the classification of DR lesions.



Figure 3. Overview of research strategies/methods for DR detection.

# 2. Methods for Identification of DR

DR identification at an initial stage is crucial, and with the help of early treatment and diagnosis methods, the disease's progression can be slowed. Due to two significant vision-pressuring conditions, PDR and diabetic macular edema (DME), DR develops at varying rates in different people. As a result, researchers nowadays provide a wide range of techniques and methods for DR detection. Figure 4 depicts the process of the early identification of DR.



Figure 4. DR identification process [21].

# 3. Preprocessing

Preprocessing methods are utilized to convert the data into meaningful information. Pre-processing is used for low-contrast illumination, to removing noise, blurriness, and enhance the image. For better performance of the model, preprocessing techniques are used. Some pre-processing techniques are applied on Messidor dataset as shown in Figure 5. CLAHE is applied to increase picture contrast and features by emphasizing anomalies of Messidor and Kaggle datasets. It is a variant of histogram equalization used for the reduction of noise distortion in an image. This technique is applied to two benchmark datasets and achieved 98.50% and 98% accuracy on Messidor and Kaggle datasets respectively [22]. The APTOS dataset contains different sizes and background space images. First, resize all images into equal sizes then the deformable registration method that depends on B-Spline is applied to erase the image's background in a manner that the retina takes up all the area of images. These preprocessing approach are utilized on APTOS dataset for the classification of DR lesions and achieved 85.25% accuracy [23]. To balance the highly imbalanced Kaggle dataset different augmentation operations such as shearing, cropping, translating, flipping, zooming, rotating, GST, and Krizhevsky augmentation are used then the gaussian blur filter and the NLMD approach are employed to strengthen the quality of an image for the detection of NPDR and PDR lesions. The preprocessing technique gives the best accuracy of 97.10% for the classification of DR lesions [24–37]. The Morphological gradient (MG) technique is used to sharpen the edges of the image by applying dilation and erosion functions on it for better detection of DR lesions and obtained with an accuracy of 99.81% on Kaggle dataset [38]. The grayscale conversion and shade correction techniques are used to discriminate between optimal DR and no DR. The approach is applied on Drive dataset and gives an accuracy of 95.42% [39,40]. Two preprocessing operations were performed for OD and retina blood vessels segmentation on DIARETDB0 and DI-ARETDB1 datasets, first conversion of RGB into grayscale image, and then to minimize the consequence of noise and maintain the sharp edges in the retinal image is done by median filter [41]. Data augmentation approaches like flipping, cropping, and rotation are applied to each image of the Messidor-2 dataset for early DR detection. This technique improves the classification model accuracy of 99.2% [42]. Bounding box method is used to eliminate extra background parts from the fundus images. This method is performed on accessible datasets i.e., Messidor-1 and Kaggle datasets, and obtained 72.33% and 82.18% of accuracy respectively [43]. CLAHE is used to improve the image's visual appeal and enhance its quality by eliminating noise for the detection of mild NPDR [44]. The RGB image transforms into a greyscale image then adaptive histogram equalization is employed for changing image contrast and eliminate noise over the image. CLAHE is applied for the exudate's detection. These methods are applied on DIARETDB0 and DIARETDB1 datasets. The applied preprocessing technique improves the model accuracy 87.20% on DIARETDB0 and 85.80% on DIARETDB1 dataset [45]. After eliminating the image background and resizing the fundus image of the Kaggle dataset, gaussian blur is employed to remove the noise from fundus images for early detection of NPDR and PDR lesions and obtained an accuracy of 90% [46]. Gaussian filter is applied for eliminating the noise from digital fundus images and in the retinal dataset, some part of the images contains no information, so the process of cropping is applied to crop these type of regions that enhance the DR lesions of Kaggle and APTOS datasets [47]. To increase image robustness, gaussian filter and CLAHE is utilized to strengthen the contrast of retina fundus images due to indistinguishable appearance in color spaces of MAs, HMs and blood vessels [48]. For better contrast of an image cumulative histogram equalization and CLAHE were used. Cumulative histogram equalization alters the histogram intensity distribution to improve image contrast and the appearance of MAs in retinal images is enhanced by CLAHE [11,49]. The retinal capillaries are eliminated from the image using morphological techniques then perform the CLAHE operation on it. After applying the preprocessing technique to the IDRiD dataset the model gives an accuracy of 83.84% [50]. Gabor filters are used to extract textural information from fundus images to identify MAs [51]. High-pass and top-hat filter is used with the

morphological operator to create the binary images for blood vessel segmentation [52]. After resizing the image channel splitting approach is implemented on the retinal image to split image patches into red, blue, and green colors. This technique is applied to IDRiD dataset for the detection of exudates and to obtain an accuracy of 96.95% [53].



Figure 5. Image preprocessing techniques applied on Messidor dataset [54].

The result of preprocessing methods is visualized in Figure 5 in which different preprocessing techniques such as CLAHE, grayscale conversion, gaussian smoothing, Gabor filter and cumulative histogram equalization are applied on Messidor images [54]. The retinal images is transformed into a lab image then apply histogram equalization approach on it to improve the brightness of scans. The adaptive filter is employed to improve the segmentation of blood arteries [55]. The Gaussian blur mask is applied on the publicly accessible APTOS-2019 datasets for noise reduction. Later average color local filter is employed to enhance the retinal and obtained 90% accuracy [56]. The transformation of retinal images into grayscale images was performed after resizing the retinal images; then, the green channel was utilized for preprocessing. The top-hat-transform operation was utilized to improve the low-severity regions such as MAs, HMs, and blood vessels. The applied technique achieved 87% sensitivity on DIARETDB0 and 93% specificity on the DIARETDB0 dataset. Non-local mean filter (NLFM), CLAHE, 2D gaussian, and top-hat transform are applied to smooth the image quality that provides help for the recognition of the dark retinal lesions such as MAs and HMs. These preprocessing techniques are implemented on three publicly available datasets and give better accuracy of 96.95% on e-Ophtha, 97.95% on DIARETDB0, and 97.35% on DIARETDB1 dataset [57]. Average filter is utilized to eliminate the micro blood vessels from retina images. This technique is applied to the publicly available Kaggle dataset [58]. The wavelet transform approach is employed to strengthen and enhance the HE image [59]. The overview of the preprocessing techniques is described in Table 3.

| Ref # | Year | Methodology  | Preprocessing Methods   | Datasets                            | Results  |
|-------|------|--|---|-------------------------------------|--|
| [22]  | 2022 | CLAHE, Contrast-Enhanced Canny<br>Edge Detection (CECED)   | CLAHE   | Messidor, Kaggle                    | Accuracy (ACC) = 98.50%, Sensitivity<br>(SF) = 98.90%, Specificity (SP) = 98%,<br>ACC = 98%, SF = 98.70%,<br>SP = 97.80% |
| [23]  | 2022 | Deformable Transformation,<br>B-Spline Registration,<br>Xception, Inception-V3,<br>DenseNet-121, ResNet-50   | Deformable Registration   | APTOS                               | ACC = 85.28%   |
| [24]  | 2022 | Gaussian Scale Space (GST), Krizhevsky<br>Augmentation, Weighted<br>Gaussian Blur, NLMD  | Weighted Gaussian Blur  | Kaggle                              | ACC = 97.10%   |
| [38]  | 2022 | Morphological Gradient,<br>Atom Search Optimization  | Morphological Gradient  | Kaggle                              | ACC= 99.81%  |
| [39]  | 2022 | MTRO, WGA,<br>Grayscale Conversion,<br>Shade Correction  | Grayscale Conversion,<br>Shade Correction                                     | DRIVE                               | ACC = 95.42%, SF = 93.10%, SF = 93.20%   |
| [40]  | 2022 | U-Net,<br>Hybrid Entropy Model,<br>Gabor Filter, Median Filter   | Median Filter   | DIARETDB0,<br>DIARETDB1             | ACC = 95.90%<br>ACC = 95.48%   |
| [41]  | 2022 | Adaptive Histogram Equalization Filter,<br>CLAHE, Gamma Correction,<br>Morphological Reconstruction,<br>K-Means Clustering   | Adaptive Histogram<br>Equalization Filter,<br>CLAHE                           | Messidor                            | ACC = 97.60%,<br>SN = 98.40%,<br>SP = 90.70%   |
| [42]  | 2022 | Data Augmentation,<br>Cropping, Flipping, Rotation,<br>Multi-Inception-V4, Stochastic Gradient<br>Descent (SGD)  | Data Augmentation,<br>Cropping, Flipping,<br>Rotation                         | Messidor-2                          | ACC = 99.20%,<br>SF = 92.50%,<br>SP = 96.10%   |
| [43]  | 2021 | Blurring,<br>Bounding Box,<br>Inception-Resnet   | Bounding Box  | Messidor, APTOS                     | ACC = 72.33%,<br>ACC = 82.18%  |
| [44]  | 2021 | CLAHE, Green Channel, Erosion, Dilation,<br>Otsu Thresholding  | CLAHE   | Messidor, Messidor-2,<br>DRISHTI-GS | SF = 100%,<br>SF = 94.44%,<br>SF = 100%  |
| [45]  | 2021 | Grayscale Conversion, Binarization,<br>Adaptive histogram Equalization, CLAHE<br>Canny Edge Detection,<br>Green Channel, Dilation, Erosion                                   | Adaptive Histogram<br>Equalization, CLAHE                                     | DIARETDB0,<br>DIARETDB1             | ACC = 87.20%,<br>ACC = 85.80%  |
| [46]  | 2021 | Gaussian Blur,<br>Data Augmentation,<br>Global Average Pooling 2D,<br>Adam Optimization  | Gaussian Blur   | Kaggle                              | ACC = 90%  |
| [47]  | 2021 | Annotation's Bounding Box,<br>Region of Interest,<br>Gaussian Filter, Cropping,<br>Contrast Variations   | Gaussian Filter, Cropping   | Kaggle, APTOS                       | ACC = 97.20%   |
| [48]  | 2021 | U-Net, OTSU,<br>Region of Interest,<br>Gaussian Filter, CLAHE  | Gaussian Filter, CLAHE  | IDRID                               | SF = 87.55%  |
| [11]  | 2021 | UNet, MResUNet, CLAHE, Cropping,<br>Patching,<br>Cumulative Histogram Equalization,<br>Weighted Cross-Entropy Loss Function,<br>Mathematical Morphology                      | CLAHE,<br>Cumulative Histogram<br>Equalization,<br>Mathematical<br>Morphology | IDRID, DiaretDB1                    | SF = 61.96%, SF = 85.87%   |
| [50]  | 2021 | Green Channel, CLAHE, Morphological<br>Operation, Thresholding   | CLAHE, Morphological<br>Operation   | IDRiD                               | ACC = 83.84%   |
| [51]  | 2021 | Gabor Filter, SVM,<br>Candidate Region   | Gabor Filter  | IDRiD                               | ACC = 80.80%,<br>SF = 76.75%   |
| [52]  | 2021 | High-Pass Filter,<br>Morphological Operations, Top-Hat Filter,<br>Gaussian Mixture Model (GMM)   | High-Pass Filter,<br>Morphological<br>Operations,<br>Top-Hat Filter           | DIARETDB 0,<br>DIARETDB 1, IDRiD    | ACC = 94.19%,<br>ACC = 97.43%,<br>ACC = 93.18%   |
| [53]  | 2021 | Channel Splitting, Blue Channel<br>Hue Saturation Value (HSV),<br>Patch Segmentation, Grayscale<br>Conversion, SVM   | Grayscale Conversion,<br>Hue Saturation<br>Value (HSV)                        | IDRiD                               | ACC = 96.95%,<br>SF = 89%,<br>SP = 96%   |
| [55]  | 2020 | Threshold, Contrast-Enhanced, Adaptive<br>Average Filter, Meta-Heuristic Algorithm<br>(FP-CSO), Deep CNN, RGB to Lab,<br>Histogram Equalization, Convert<br>RGB to Lab, SIFT | RGB to Lab,<br>Histogram Equalization   | High-Resolution<br>Fundus (HRF)     | ACC = 93.30%   |

# Table 3. Overview of reported preprocessing techniques for retinal images.

| Ref # | Year | Methodology  | Preprocessing Methods   | Datasets                             | Results  |
|-------|------|--|---|--------------------------------------|--|
| [56]  | 2020 | Efficientnet-B5, Batch Normalization,<br>Rectified Adam Optimizer, Group<br>Normalization, Gaussian Blur Mask, CLAHE,<br>Local Average Color Filter  | Local Average Color Filter,<br>Gaussian Blur<br>Mask, CLAHE   | APTOS                                | ACC = 90%                                      |
| [60]  | 2020 | Grayscale Conversion, Morphological<br>Operations, Regional Minima (RMIN)<br>Operator, CLAHE, Marker-Controlled<br>Watershed Segmentation, Morphological<br>Gradient (MG), Top-Hat Transform | Top-Hat Transform,<br>Grayscale Conversion,<br>Morphological Operations,<br>CLAHE, Morphological<br>Gradient (MG) | DIARETDB0,<br>DIARETDB1              | SF = 87%, SP = 93%                             |
| [57]  | 2020 | Non-Local Mean Filter (NLFM), CLAHE, 2D<br>Gaussian Low-Pass Filter, Top-Hat<br>Transform, Green Channel   | Non-Local Mean Filter<br>(NLFM), CLAHE, 2D<br>Gaussian Low-Pass Filter  | e-Ophtha,<br>DIARETDB0,<br>DIARETDB1 | ACC = 96.95%,<br>ACC = 97.95%,<br>ACC = 97.35% |
| [58]  | 2019 | Local Average Filter, Clipping, Fractional,<br>SVM, TLBO, Max-Pooling  | Local Average<br>Filter, Clipping   | Kaggle                               | ACC = 86.17%                                   |
| [59]  | 2018 | Image Resize, Wavelet Transform, Maxpool<br>Operation, Batch Normalization, Drop Out,<br>Adam Optimizer  | Image Resize  | IDRiD                                | ACC = 98.60%                                   |

#### Table 3. Cont.

# 4. Segmentation

Segmentation is a crucial process used on fundus images because it helps to identify an area of interest that is frequently difficult to diagnose and greatly aids in DR detection. The retinal images are divided into several pixel groups or regions that each represent a different anatomical feature, such as fovea, OD, micro-blood vessels, and multiclass DR lesions including HMs, MAs, SE, and HE [61,62]. This allows the ophthalmologist to perform an eye-screening examination designed for the early detection of DR [63]. An ophthalmologist can manually identify DR by looking at the retinal fundus images and analyzing the morphological and macula changes in retinal blood vessels, HMs, HE, and MAs. This is a challenging, expensive, and time taking task. This task can be easily carried out by an automated system using artificial intelligence technology, particularly when testing for early DR [19]. Computerized techniques based on DL and other methods have aided early DR detection. Figure 6 shows the segmentation process of DR, in which images is taken from IDRiD dataset.



Figure 6. Segmentation process for DR [10].

Retina blood vessels and OD segmentation is done by the U-Net model. The approach is employed on open-access datasets and obtains an accuracy of 96.60% on EyePACS-1, 93.90% on Messidor-2, and 92.20% on DIARETDB0 [64]. To segment, the OD and retina blood vessels the morphological operation and 2D discrete wavelet are used. The methodologies are evaluated on the DIARETDB1 dataset and obtain 87.56% of specificity [65]. The U-Net model depends on CNN utilized to segment the HMs. The proposed experiment obtained an accuracy of 98% [66]. For OD segmentation watershed transform and adaptive active contour is used. These methodologies are evaluated on the IDRiD dataset and obtain 60% accuracy [67]. The MSRNet model is proposed for the segmentation of MAs. The model is evaluated on the e\_ophtha\_MAs dataset and obtains a sensitivity of 71.50% [68]. The EAD-Net architecture based on CNN has proposed to segment the multiclass DR lesions like MAs, HMs, HE, and SE. The experiment is tested on e\_ophtha\_EX, IDRiD, and local datasets [69]. The fusing U-Net was employed for OD segmentation [70]. The approach uses thresholding, edge-based and region-based segmentation to segment retina blood vessels [71]. An approach based on GA and FCM is presented for the segmentation of DR lesions. The model is tested on 224 retinal images with a sensitivity of 78% [72]. Mathematical morphology operations are utilized to extract blood vessels for the segmentation of OD by using watershed transform. The experiment is tested on 130 fundus images that are taken from DIARETDB0 and DIARETDB1dataset and perform well with a sensitivity of 87% and specificity of 93% [60]. The sliding band filter with adaptive threshold and region-growing approach is utilized for MAs segmentation. The experiment is performed on e\_ophtha\_MAs and SCREEN-DR datasets with sensitivities of 64% and 81% respectively [73]. A residual based U-Net model that used ResNet34 pre-trained model as the encoder is presented to segment the DR lesions like MAs and HE. The network was tested on e\_ophtha\_EX and IDRiD datasets and obtain 99.88% accuracy [74]. To segment the HE, we use k-means clustering based on automatic region-growing segmentation. The experiment is done on Messidor-2 and RF datasets and obtain 98.83% of accuracy [75]. The Otsu thresholding and region-growing technique are employed for OD segmentation. The proposed method improves model accuracy by 99% [76]. The modified deep convolution neural networks (DCNNs) model based on Segnet is employed on IDRiD dataset [77]. For the segmentation of DR lesions, the local-global U- Nets model is used. The model has been tested on the ISBI 2018 dataset [78]. The CNN based residual network is designed for exudates segmentation. The model performs well on e\_ophtha and DIARETDB1 datasets. The model achieves 98% accuracy [79,80]. To segment DR lesions such HMs, MAs, HE, and SE, the semantic segmentation model HEDNet is used. The proposed segmented model achieved a precision rate of 84.05% [81]. The deep CNN model that contains an encoder-decoder is proposed for the segmentation of OD, MAs, HMs, HE, and SE. The model is tested on Drishti-GS and IDRiD datasets and obtained with a Jaccard Index (IOU) of 85.72% [82]. The U-Net architecture is designed to segment the OD region. This model was employed on freely accessible datasets and achieved 95.80% of the dice coefficient [83]. DL model have been developed for MAs and exudates segmentation by using image patches. For experiment and performance analysis e-Ophtha is used as a dataset and achieved 95% of accuracy [84]. The methodology is proposed by using dynamic decision thresholding techniques for segment the exudates. The proposed segmented approach improve the model accuracy with 93.46% [85]. The bat algorithm [86] and threshold method is utilized for OD segmentation [87]. Adaptive-threshold and mathematical morphological operations have been utilized for exudates segmentation. The experiment is done on Messidor, DIARETDB1, E-Ophtha, and local datasets and gives a higher accuracy of 100% [88]. Circular Hough transform operation with morphological operations is utilized for the segmentation of OD Edge-based and morphological approaches are utilized for OD segmentation [89]. Region-growing techniques is proposed to segment the light and dark lesions of DR and assess the effectiveness of model. The methodology was evaluated on a local dataset and yielded 95% accuracy [90]. Table 4 summarizes the segmentation techniques.

Table 4. Overview of reported techniques for DR lesion segmentation.

| Ref # | Year | Methodology   | Segmentation Techniques                         | Datasets                               | Results                                     |
|-------|------|---|---|--|---|
| [64]  | 2022 | U-Net, VGG-Net, Image Resize,<br>Green Channel                      | U-Net   | EyePACS-1,<br>Messidor-2,<br>DIARETDB0 | ACC = 96.60%, ACC = 93.95%,<br>ACC = 92.25% |
| [65]  | 2022 | Morphological Operation, 2D Discrete<br>Wavelet, K-Nearest Neighbor | 2D Discrete Wavelet,<br>Morphological Operation | DIARETDB1                              | ACC = 95%,<br>SP = 87.56%,<br>SF = 92.60%   |
| [66]  | 2022 | CNN U-Net, AlexNet, VGGNet, Green<br>Channel, Adam Optimizer        | CNN U-Net                                       | IDRiD, DIARETDB1                       | ACC = 98.68%, Dice Score = 86.51%           |

# Table 4. Cont.

| Ref # | Year | Methodology   | Segmentation Techniques   | Datasets  | Results  |
|-------|------|---|---|---|--|
| [67]  | 2022 | Adaptive Active Contour, Otsu<br>Thresholding, Morphological Operation,<br>Median Filtering, Open-Close Watershed<br>Transform, GLCM, ROI, LTP  | Adaptive Active Contour,<br>Watershed Transform,<br>Otsu Thresholding                               | IDRiD   | ACC = 60%  |
| [68]  | 2021 | MSRNet, MS-EfficientNet, U-Net,<br>Adam Optimizer   | MSRNet, U-Net   | e_ophtha_MAs  | SF = 71.50%  |
| [69]  | 2021 | EAD-Net, U-Net, CAM, PAM  | EAD-Net, U-Net  | e_ophtha_EX, IDRiD,<br>local dataset                          | ACC = 97%, ACC = 78%, ACC = 84.86                      |
| [70]  | 2021 | U-Net, Model-Driven Bubble Approach,<br>Hough Transform, IRHSF Illumination<br>Correction, Logarithmic Transformation   | U-Net   | Messidor  | ACC = 91%  |
| [72]  | 2021 | Region Growing, Genetic Algorithm (GA),<br>FCM, Clustering Method, K-Means  | Region Growing  | Local Dataset   | SF = 78%   |
| [60]  | 2020 | Watershed Transform, Mathematical<br>Morphology Operation, CLAHE, RBF- NN,<br>Regional Minima   | Watershed Transform   | DIARETDB0,<br>DIARETDB1                                       | SF = 87%, SP = 93%                                     |
| [73]  | 2020 | Local Convergence Filters (LCFs), Sliding<br>Band Filter, De-Noising Techniques,<br>Image-Adapted Thresholds, Region Growing,<br>Non-Maximum Suppression (NMS)  | Image-Adapted<br>Threshold, Region<br>Growing   | e_ophtha_MAs,<br>SCREEN-DR                                    | SF = 64%,<br>SF = 81%                                  |
| [74]  | 2020 | U-Net, ResNet34, Initialized to Convolution<br>NN Resize (ICNR)   | U-Net   | IDRiD,<br>e_ophtha_MAs,<br>e_ophtha_HE                        | ACC = 99.88%, ACC = 99.98%,<br>ACC = 99.98%            |
| [75]  | 2020 | Region Growing, Gaussian and Gabor Filters,<br>Histogram Equalization, Grayscale<br>Conversion, K-Means, Wavelet (W), COM,<br>Histogram (H), RLM, LMT, SLg, Multi-Layer<br>Perceptron (MLP)                             | K-Means Clustering,<br>Region Growing<br>Segmentation   | 2D RF   | ACC = 99.73%   |
| [76]  | 2020 | Region Growing, Ellipse Fitting, Green<br>Channel, Morphological Dilation Operation,<br>Otsu Thresholding, Morphological Operation  | Otsu Thresholding,<br>Morphological Operation,<br>Region Growing                                    | Messidor,<br>DIARETDB1,<br>ONHSD, DRIONS,<br>DRISHTI, RIM-ONE | ACC = 99%  |
| [77]  | 2020 | Deep CNN, DeepLabV3, Segnet, Conditional<br>Random Field (CRF)  | DeepLabV3, Segnet   | IDRID   | ACC = 88%  |
| [78]  | 2019 | U-Nets, LocalNet, GlobalNet, Fusion<br>Module, Data Augmentation, Concatenate,<br>Global Supervision, Local Supervision   | U-Nets  | ISBI 2018   | ACC = 89%  |
| [79]  | 2019 | CNN, ResNet-50, Discriminative Restricted<br>Boltzmann Machines, OPF, KNN, SVM  | CNN   | DIARETDB1,<br>e_ophtha  | ACC = 90.60%, ACC = 89.10%                             |
| [80]  | 2019 | Random Forest Classifier, K-Means, Naïve<br>Bayes, Morphological Operation, Grayscale<br>Conversion, Gamma Correction,<br>Region-Based Features   | K-Means, Morphological<br>Operation   | DIARETDB0,<br>DIARETDB1                                       | ACC = 93.58%, ACC = 83.63%                             |
| [81]  | 2019 | U-Net, HEDNet, HEDNet+cGAN,<br>Conditional Generative Adversarial Network<br>(cGAN), PatchGAN, VGG16 Weighted<br>Binary Cross-Entropy, Loss, CLAHE,<br>Bilateral Filter   | U-Net, HEDNet,<br>HEDNet+cGAN   | IDRiD   | Precision = 84.05%                                     |
| [82]  | 2019 | Deep-CNN, Binary Cross Entropy, VGG16   | Deep-CNN  | IDRiD, Drishti-GS   | Jaccard Index (IOU) = 85.72%                           |
| [83]  | 2018 | CNN-Based U-Net, Bootstrapped<br>Cross-Entropy, Instance Normalization,<br>Atrous Convolutions  | CNN-Based U-Net   | Messidor,<br>DRIONS-DB,<br>DRISHTI-GS                         | Dice = 95.70%, Dice = 95.50%,<br>Dice = 96.40%         |
| [84]  | 2018 | CNN, GoogLeNet, Inception-V3, VGG16,<br>ResNet, AlexNet, Sliding Windows  | CNN   | Kaggle, e_ophtha  | ACC = 98%, ACC = 95%                                   |
| [85]  | 2018 | Dynamic Decision Thresholding, Adaptive<br>Contrast Enhancement, Canny Edge<br>Detection, Circular Hough Transform,<br>Morphological Filling  | Dynamic Decision<br>Thresholding  | Messidor,<br>DIARETDB1, STARE,<br>E_Optha_EX                  | ACC = 93.40%, ACC = 93.4%,<br>ACC = 93.4%, ACC = 93.4% |
| [87]  | 2018 | Bat Meta-Heuristic Algorithm, Optimum<br>Thresholding, Grayscale Conversion,<br>Morphological Operations, Ellipse Fitting   | Bat Meta-Heuristic<br>Algorithm, Optimum<br>Thresholding  | Messidor,<br>DIARETDB1  | ACC = 99%, ACC = 97%                                   |
| [88]  | 2018 | Adaptive Threshold, Local Contrast<br>Enhancement, Mathematical Morphology,<br>Grayscale Conversion, Gaussian Smoothing,<br>Histogram Equalization, ANN, KNN,<br>Geometric, Tree-Based,<br>and Probabilistic Classifier | Adaptive Threshold,<br>Mathematical<br>Morphology, Gaussian<br>Smoothing, Histogram<br>Equalization | DIARETDB1   | ACC = 100%   |

| Ref # | Year | Methodology  | Segmentation Techniques                                  | Datasets   | Results                  |
|-------|------|--|--|--|--------------------------|
| [91]  | 2018 | Circular Hough Transform, Morphological<br>Operations, Average Histogram, Contrast<br>Enhancement, CCA | Circular Hough Transform                                 | Messidor, DRIVE,<br>DIARETDB1, IDRiD,<br>Local Dataset | SF = 96.80%              |
| [89]  | 2015 | FSVM, Morphological Operations, Circular<br>Hough Transform  | Morphological<br>Operations, Circular<br>Hough Transform | Local Dataset  | SF = 94.10%,<br>SP = 90% |
| [90]  | 2012 | Naïve Bayes, Region Growing, and<br>Background Correction  | Adaptive Region Growing                                  | Local Dataset  | ACC = 95%                |

#### Table 4. Cont.

#### 5. Hand-Crafted Feature Extraction

Feature extraction methods are used for extracting information from an image. it is the process of reducing a huge amount of raw data into smaller relevant data [92,93]. Both the trained and handcrafted features are combined to get useful information. Based on characteristics it is distributed into two types such as deep feature extraction and handcrafted features extraction. For handcrafted features, the methods such as LBP, LTP, SIFT, SURF and HOG, and several others are utilized for DR classification [94,95]. Three handcrafted textural features such as GLRLM, GLDM, and GLCM are utilized for the analysis of the statistical texture of retinal images [27,96-129]. MAs feature extraction is done by GLCM for MAs diagnosis through fundus images. The method is estimated on the DIARETDB0 dataset and performs best with 99.90% of accuracy [130]. For the characterization of DR lesions encoded LBP(ULBPEZ) features are extracted from preprocessed images of Messidor-2 and EyePACS datasets and obtained accuracies of 97.31% and 93.86%, respectively [131]. GLCM features are utilized for the classification of DR on DIARETDB1 dataset obtain with an accuracy of 77.30% [132]. The FOS, HOG, and HOS features are utilized. In a grey-level image, HOG features are extricated from OD region. While HOS and FOS features are extricated from RGB channels to identify DR disease [133]. GLCM, GLRLM, and CRT are utilized to extract high-level texture feature through retina images for the classification of DR lesions on DIARETDB1 and Kaggle datasets with an accuracy of 97.05% and 91% respectively [134,135]. HOG and GLCM texture features are extricating through green channel images as the classification of glaucoma. This methodology is employed on the ODIR dataset with a 99.39% of accuracy [136]. For the detection of multiclass DR lesions HOG descriptive feature is utilized for the representation of each DR image [137]. Four types of features like LBP, LTP, HOG, and DSIFT are extracted for characterize the extracted region of interest [138]. SURF and spatial LBP are utilized to effectively represent the DR lesions for the automated grading of DR [139]. HOG and canny edge detectors are utilized on Messidor-2 and EyePACS datasets for DR lesions recognition and obtained an accuracy of 97.88% and 97.01% respectively [140,141]. For the detection of multiclass DR lesion three different types of handcrafted features like LBP, entropy based, and texture energy measurement (TEM) are extracted from retinal images. The approach is performed on DIARETDB1 dataset and achieved an accuracy of 94.30% [135,142]. To capture the information on DR lesions such as MAs, HE, and HMs for efficient classification SURF, HOG and LBP are utilized on Kaggle dataset and obtained 97% of accuracy [143]. The texture, shape, and transfer learning-based features such as HOG, LBP, GLCM, GLRLM, morphology, tamura, seven CNN based architectures are utilized for glaucoma classification. The GLCM with CNN perform best for detection of glaucoma with an accuracy of 93.16% [144]. For the classification of glaucoma, the texture-based feature extraction is done by HOG, LBP, GLCM, GLDM and transform domain-based features extraction is done by Wavelet and Shearlet transform from retinal images. The method is performed on local dataset that consists of total 60 images, out of 60 images the 30 images have no DR and other 30 images have glaucoma in nature and obtain an accuracy of 93.61% [145]. Hand crafted features SURF and LOG are used for the classification of DR lesions. For interest point detection and localization SURF are utilized on retinal fundus images. The second-order Gaussian kernel is estimated using LOG and a box filter [146]. For the classification of DR lesions feature

is extracted from retinal image is done by SURF descriptor. The technique is performed on Messidor data with an accuracy of 94% [147]. HOG and LBP features are utilized for extracted feature from gray scale and UWF images are used for the classification of DR lesions [148]. The summary of handcrafted features is show in Table 5.

**Table 5.** Hand-crafted feature techniques used for DR detection.

| Ref # | Year | Methodology  | Hand-Crafted Feature<br>Extraction Techniques | Datasets  | Results  |
|-------|------|--|---|---|--|
| [95]  | 2022 | RNN, Binary Image Extraction, Histogram<br>Equalization, Pseudo-Color Preprocessing, GLCM  | GLCM  | Messidor  | ACC = 97%,<br>SP = 99%,<br>SF = 95%            |
| [96]  | 2022 | KNN, SVM, DA, GLCM, GLDM, GLRLM, PSO   | GLCM, GLDM, GLRLM                             | Drive   | ACC = 100%                                     |
| [130] | 2022 | PBPSO Clustering, GLCM, PSO Algorithm, ANN,<br>Fuzzy Logic (FL), Neuro-Fuzzy, Fuzzy<br>Contrast Enhancement  | GLCM  | DIARETDB0   | ACC = 99.90%                                   |
| [131] | 2022 | SVM, CNN, Histogram Matching, Green Channel,<br>CLAHE, Unsharp Filter, Median Filter,<br>Run-Length Encoding, LBF(ULBPEZ)                            | LBF (ULBPEZ)                                  | Messidor-2, EyePACS                               | ACC = 97.31%, ACC = 93.86%                     |
| [132] | 2022 | GMM, K-Means, GLCM, PCA, MAP, Grayscale<br>Conversion, Morphological Operations, Average<br>Filter, Adaptive Equalization,<br>Histogram Equalization | GLCM  | DIARETDB1   | ACC = 77.30%                                   |
| [133] | 2021 | FOS, HOS, HOG, Decision Tree (DT), Naive<br>Bayes, KNN, Genetic Algorithm (GA)   | FOS, HOS, HOG                                 | High-Resolution<br>Fundus (HRF)                   | ACC = 96.67%                                   |
| [134] | 2021 | Sequential Minimal Optimization (SMO), GLCM,<br>GLRLM, CRT, Image Conversion,<br>Morphological Operations  | GLCM, GLRLM, CRT                              | DIARETDB1, Kaggle                                 | ACC = 97.05%, ACC = 91%                        |
| [136] | 2021 | HOG, GLCM, Green Channel, Grayscale<br>Conversion, Inception-V3, SVM, SqueezeNet,<br>Xception, DenseNet 201, ResNet50 v2                             | HOG, GLCM                                     | ODIR  | ACC = 99.39%                                   |
| [137] | 2021 | HOG, PCA, KNN, Hadoop DFS  | HOG   | DIARETDB0,<br>Messidor-2                          | SP = 80.77%,<br>SP = 96.42%                    |
| [138] | 2020 | LBP, LTP, HOG, DSIFT, SVM, Grayscale<br>Conversion, PCA, CLAHE   | LBP, LTP, HOG, DSIFT                          | Local Dataset                                     | SF = 96.40%,<br>SP = 96.90%                    |
| [139] | 2020 | SURF, Spatial LBP, CLAHE, ANN, ELM, KNN  | SURF, Spatial LBP                             | Local Dataset, Kaggle,<br>DIARETDB0,<br>DIARETDB1 | ACC= 89.89%                                    |
| [140] | 2020 | ResNet-50, Inception-V3, Canny Edge Detector,<br>HOG, Stochastic Gradient Descent (SGD)  | HOG   | MESSIDOR-2,<br>EyePACS                            | ACC = 97.01%,<br>ACC = 97.88%                  |
| [141] | 2020 | CNN, Median Filter, Adaptive Histogram<br>Equalization, Otsu Method, Radial Length (RL),<br>Discrete Fourier Transformation (DFT), HOG               | HOG   | Local Dataset 1, Local<br>Dataset 2               | Precision = 100%,<br>Precision = 95.16%        |
| [142] | 2020 | Green Channel, CLAHE, Watershed Transform,<br>Thresholding Method, Top-Hat Transformation,<br>Gabor Filtering, LBP, TEM, Entropy, DBN, NN            | LBP, TEM, Entropy-Based                       | DIARETDB1   | ACC = 94.30%                                   |
| [143] | 2019 | SURF, LOG, BoF, Box Filters,<br>K-Means Clustering, ANN, SVM   | SURF, LOG                                     | Messidor  | SF = 95.92%,<br>SP = 98.90%                    |
| [144] | 2019 | CNNVgg-s, CNN-Vgg-m, CNNVgg-f,<br>CNN-CaffeNet, GLRLM, GLCM, HOG, LBP,<br>Morphology, SVM, MLP, Random Forest  | GLCM, LBP, HOG                                | HRF, JSIEC, ACRIMA                                | ACC = 95.30%,<br>ACC = 98.10%,<br>ACC = 99.10% |
| [145] | 2019 | SVM, KNN, Green Channel, CLAHE, Wavelet<br>Transform, Shearlet Transform, HOG, LBP,<br>GLCM, GLDM  | HOG, LBP, GLCM, GLDM                          | Local Dataset                                     | ACC = 93.61%                                   |
| [147] | 2018 | Bag-of-Words (BoW), SVM, SURF,<br>Redial Basis Function (RBF)  | SURF  | Messidor  | ACC = 94%<br>SF = 91%<br>SP = 93%              |
| [148] | 2017 | HOG, LBP, Decision Tree (DT),<br>Random Forest (RF), SVM   | HOG, LBP                                      | Local Dataset                                     | ACC = 95.31%                                   |
| [142] | 2016 | SURF, LBP, HOG, SVM, CNN, Logistic<br>Regression, Random Forest, Crop and Resize,<br>Green Channel, CLAHE, Median Filter                             | SURF, LBP, HOG                                | Kaggle  | ACC = 97%                                      |

# 6. Automated Classification of DR Lesions by Using Deep Features

Deep learning (DL) models enhanced learning through the extraction of high-level features that might be missed through hand-crafted methods [149]. The DL-based classification performed very well and efficiently in terms of early detection of DR [150]. The

DL-based Densenet-264 with chimp optimization algorithm is utilized for feature extraction then these features are passes as input from SNN for the classification Of DR stages. This methodology is applied on Messidor dataset and obtained 99.73% of accuracy [151]. The DRNet is applied for classification with SVM [152]. For the categorization of DR lesions, the DAG network based on multi-feature fusion is proposed. The method is evaluated using the local dataset and DIARETDB1, and it achieves the accuracy of 98.70% and 98.50%, respectively [153]. The features are retrieved using the firefly Optimization (FFO) technique and optimization is done by iGWO to classify the DR lesions [154]. The DRNet is applied for classification with SVM [155]. The EyeNet and DenseNet (E-DenseNet) model is presented for DR classification [156]. VGGNet is employed to extract deep features from fundus images, and a transfer learning strategy is applied to increase classification performance [157]. Faster-RCNN with DenseNet-65 is utilized for the localization and categorization of multiclass DR lesions [48,158]. For better results of the classification of DR lesions first, the features are extracted from modified deep networks like Vgg19, ResNet101, InceptionV3 and selection from these features is done by four filter-based feature selection methods namely MRMR, ReliefF, and F-test then passes these features from SVM classifier [159]. DFTSA-Net model is presented for the detection of DR lesions, in which four pretrained deep-networks like GoogLeNet, SqueezeNet, ResNet-50, and Inception-v3 are utilized as feature extractors [160]. The ConvNet model are presented for deep feature extraction from retinal images for DR lesions identification. The proposed approach has experimented on APTOS 2019 dataset with an accuracy of 97.41% [161–164]. The DL approach name faster-RCNN are employed to retrieve features from fundus scans and classification of DR lesions is done by Softmax. The methodology is applied on two publicly datasets like DIARETDB1 and Messidor and get 95% accuracy [165]. The proposed CNN model utilized three pre-trained models namely VGG-16, SqueezeNet, and AlexNet as the classifier for classifying DR lesions. The model is assessed on Messidor dataset and achieved an accuracy of 98.15% [166]. The methodology is proposed that comprises of five deep CNN models are utilized for the identification of DR lesions [167]. AlexNet is used as a feature extractor, where feature reduction is done by PCA and Bow. At last, the classification of featured is done by SVM [168]. The DNN model is presented for the detection of DR, in which AlexNet is utilized for extracted features from retinal images and feature selection is done by PCA and LDA then passes these features from SVM classifier. The model is estimated on Kaggle dataset and accuracy of 97.93% [169]. The Residual network is applied for retrieving deep features and these extracted features are passed from the decision tree model to classify multiclass DR lesions [170]. A major goal of feature selection is to minimize the size of the feature space through dimensionality reduction while keeping important information preserved by choosing meaningful features. Better feature selection produces good classification results because DR classification performance relies on selected features [159]. In literature, much amount of work is done for the selection of prominent features and eliminate the noisy features using PCA, firefly algorithm [171], LDA [169], GA [172], PSO [173], wrapper-based methods [174], GWO [175], and FSAE [176]. The overview of deep features techniques is mentioned in Table 6.

Table 6. Reported deep feature and classification techniques used in various reviewed studies.

| Ref # | Year | Methodology  | Deep Feature<br>Extraction Method | Classifiers | Datasets                    | Results                                      |
|-------|------|--|-----------------------------------|-------------|-----------------------------|--|
| [151] | 2022 | Kapur's Entropy, COA-DN, SNN, Image<br>Rescale, Clipping   | COA-DN                            | SNN         | Messidor                    | ACC = 99.73%                                 |
| [152] | 2022 | AlexNet, VGG16, ResNet, Inception-V3,<br>SVM, DRNET, Few-Shot<br>Learning (FSL), GCAMs                       | DRNet                             | SVM         | APTOS2019                   | ACC = 99.73%,<br>SF = 99.82%,<br>SP = 99.63% |
| [153] | 2022 | DAG, Softmax, ReLU, Convolution,<br>Contrast Enhancement, CLAHE,<br>Binarization Threshold, Fuzzy Clustering | DAG Network                       | Softmax     | DIARETDB1,<br>Local Dataset | ACC = 98.70%,<br>ACC = 98.70%                |

| Ref # | Year | Methodology   | Deep Feature<br>Extraction Method                               | Classifiers  | Datasets                                   | Results   |
|-------|------|---|---|--|--|---|
| [154] | 2022 | CLAHE, Median Filter, Gaussian Filter,<br>Min–Max Normalization, RBT, iGWO,<br>FF0, CNN, IGWO-FFO | IGWO-FFO  | CNN Softmax  | APTOS2019                                  | ACC = 94.11%  |
| [155] | 2022 | KNN, XGBOOT, SVM, PCA, HHO, DT,<br>DNN-PCA-HHO  | DNN-PCA-HHO   | KNN, XGBOOT,<br>SVM  | UCI  | ACC = 97%   |
| [156] | 2022 | CNN, EyeNet, DenseNet E-DenseNet,<br>Average Pooling (GAP)  | E-DenseNet  | Softmax  | IDRiD, Messidor,<br>EyePACS,<br>APTOS 2019 | ACC = 93%,<br>ACC = 91.60%,<br>ACC = 96.80%,<br>ACC = 84% |
| [157] | 2022 | CLAHE, Weighted Gaussian Blur,<br>Average Pooling, Augmentation, VGGNet                           | VGGNet  | Average Pooling  | EyePACS                                    | ACC = 97.10%  |
| [48]  | 2021 | Faster-RCNN, DenseNet-65, Gaussian<br>Filter, VGG, AlexNet, ResNet                                | Faster-RCNN   | DenseNet-65  | Kaggle, APTOS                              | ACC = 97.20%  |
| [158] | 2021 | Random Forest, ResNet-50, MobileNet,<br>VGG16, VGG-19, Xception, Inception-V3                     | ResNet-50   | Random Forest  | Messidor-2,<br>EyePACS                     | ACC = 96%,<br>ACC = 75.09%                                |
| [159] | 2021 | Inception-V3, ResNet101, VGG-19, Naïve<br>Bayes, KNN, SVM   | Inception-V3,<br>ResNet101, Vgg19                               | SVM  | Kaggle,<br>Messidor-2, IDRiD               | ACC = 97.78%,<br>SF = 97.60%,<br>SP = 99.30%              |
| [160] | 2021 | CNN, SqueezeNet, ResNet-50,<br>Inception-V3, DFTSA-Net, CLAHE                                     | DFTSA-Net   | Softmax  | IDRiD                                      | ACC = 96.80%,<br>SF = 97.50%,<br>SP = 95.50%              |
| [161] | 2020 | DNN, KNN, SVM, MLP, VGG16,<br>Xception, ResNetV2, NASNET  | VGG16, Xception,<br>ResNetV2, NASNET                            | DNN, KNN, SVM,<br>Naïve Bayes<br>Classifier, Decision<br>Tree, Logistic<br>Regression, MLP | APTOS 2019                                 | ACC = 97.41%  |
| [163] | 2020 | CNN, Inception-V3, Softmax, GMM, ALR  | Inception-V3,   | Softmax  | e-Ophtha,<br>DIARETDB1                     | ACC = 98.43%,<br>ACC = 98.91%                             |
| [164] | 2020 | CNN, CLAHE, ResNet-50, SVM, KNN,<br>Random Forest, XGBoost  | ResNet-50   | SVM, KNN, Random<br>Forest, XGBoost  | DIARETDB1                                  | ACC = 99%   |
| [165] | 2020 | RCNN, Morphological Operation, RPN,<br>Softmax, Bounding Box                                      | Faster-RCNN   | Softmax  | Messidor                                   | ACC = 96.80%  |
| [166] | 2019 | Cropping, Resizing, Histogram<br>Equalization, CNN, VGG-16, SqueezeNet,<br>AlexNet                | Convolution Layers  | VGG-16, SqueezeNet,<br>AlexNet   | Messidor                                   | ACC = 98.15%  |
| [167] | 2019 | CNN, Deep CNN, Inception-V3,<br>Dense-169, ResNet-50,<br>Xception, Dense-121,                     | Dense-121,<br>Inception-V3,<br>Dense-169, Xception<br>ResNet-50 | Binary Classification,<br>Multi-Class<br>Classification                                    | Kaggle                                     | SP = 99%  |
| [168] | 2018 | PCA, Bag of Words (Bow), CNN, AlexNet   | AlexNet   | SVM  | SD-OCT                                     | ACC = 96.80%<br>SF = 93.75%<br>SP= 100%                   |
| [169] | 2018 | CNN, AlexNet DNN, SVM, PCA, LDA,<br>SIFT, Histogram Equalization, GMM                             | AlexNet DNN   | SVM  | Kaggle                                     | ACC = 97.93%  |
| [170] | 2017 | Augmentation, Image Transformation,<br>Contrast Enhancement, Decision Tree                        | Residual Network  | Decision Tree  | Messidor-2,<br>e-Ophtha,<br>EyePACS        | SP = 87%,<br>SP = 94%,<br>SP = 98%                        |

#### Table 6. Cont.

#### 7. Benchmark Datasets

For many years, fundus images are used to diagnose many retinal illnesses, including DR. The performance of the detection system can be evaluated to a large extent with a good, varied dataset. The researchers advise to performing experiments using benchmark datasets to obtain satisfactory outcomes. On some websites, researchers can access publicly available DR datasets that are essential for DR detection. Since the researchers collect images of the affected area using scanners, cameras, and other local resources, it is also possible that they build their own datasets. The researcher utilized these datasets for training, testing, and validating the system.

Messidor is the publicly accessible dataset including 1200 images. The datasets images were taking by 3CCD camera. These datasets are utilized for the detection of exudates, MAs, HMs and blood vessels [55]. Messidor-2 dataset provides 1748 images. The topcon digital camera takes these images. These datasets are used for the diagnosis of DR lesions [177].

The openly accessible E\_ophtha Ex dataset carries 47 exudate images, and 35 healthy images. E\_ophtha MAs consist of 233 images have no lesions and 148 images with minor HMs and MAs are included in the E\_ophtha MAs dataset [178]. The 400 images in STARE dataset were taken with a TOP-CON-TRV camera. It is also obtained by the general public and utilized for the detection of MAs, HMs, and irregular blood vessels [179]. DRIVE dataset gives 40 color images with a resolution of 786  $\times$  584. 20 of them are utilized for testing, while another 20 are used for training. These images were taken by 3CCD camera with  $45^{\circ}$  FoV [180]. Kaggle provides 88702 images with a high resolution of  $433 \times 289$  to  $5184 \times 3456$  pixels. The Kaggle dataset was gathered by EyePACS [181]. The whole count of color fundus images in DIARETDB0 dataset is 130 in which 20 images have no DR but the other 110 images containing DR lesions like HE, SE, MAs, HMs, and neovascularization. Images were taken using an unidentified camera setting on a digital camera with  $50^{\circ}$ FoV [182]. DIARETDB1 consists of total 89 images, in which 84 MAs images and 5 healthy images. The resolution of images is  $1500 \times 1152$  and was captured by a digital camera at 45° FoV. Researchers utilized this dataset for identification of damage blood vessels, MAs, HMs, and Ex [10,183]. The two databases such as DR1 & DR2 were introduced by federal brazil university to assist the researcher for DR detection. DR1 dataset consists of total 234 images and DR2 dataset consists of total 520 images. Researchers identified the DR with the help of DR1 and DR2, which were employed for HE detection [184]. CHASE DB1 is the freely accessible database allowed to segment the retina blood vessels. It has 28 images, each measuring  $1280 \times 960$  pixels and having a  $45^{\circ}$  FoV [185]. There are 100 publicly accessible retinal images in ROC that were shot at a 45° FoV. Size variations include 768  $\times$  576 to 1389  $\times$  1383 pixels. The images were marked up to identify MAs. This datasets contains only ground truth for training [186]. The segmentation of blood vessels was made possible by publicly accessible images in HRF dataset. Total 45 images with measuring  $3504 \times 2336$  pixels in size. In which 15 images contain glaucomatous, 15 normal, and 15 are DR images [187]. The publicly available dataset HEI-MED consists of 54 healthy images and 115 irregular images. The images of datasets were acquired by Zeiss VISUCAM PRO camera. This dataset are utilized for the detection of exudates [188]. DRiDB dataset is accumulated by the University of Zagreb to assist the researcher for DR lesions identification. The dataset consists of 50 retinal images [189]. Table 7 shows a comparison of the datasets.

| Ref # | Datasets                        | Image Resolution   | Image Acquisition                                     | Availability | No. of Images          | Use   |
|-------|---------------------------------|--|---|--------------|------------------------|---|
| [177] | Messidor-2                      | $\begin{array}{c} 1440 \times 960, \\ 2240 \times 1488, \\ 2304 \times 1536 \end{array}$ | Topcon Digital Camera with<br>45-Degree Field of View | Online/Free  | 1748                   | MA, HM, and Retinal<br>Vessel Detection             |
| [178] | E_ophtha Ex and<br>E_ophtha_MAs | $2048\times1360$   | Captured by OPHDIAT                                   | Online/Free  | 463                    | MA and Ex Detection                                 |
| [179] | STARE                           | $605 \times 700$   | Topcon TRV 50 35<br>Field of View                     | Online/Free  | 400                    | Irregular Blood Vessel, HM,<br>Ex, and MA Detection |
| [181] | Kaggle                          | $\begin{array}{l} 433\times289 \text{ to}\\ 5184\times3456 \end{array}$                  | Different Digital Cameras                             | Online/Free  | 88,702                 | Exudate, MA, HMs, and<br>Blood Vessel Detection     |
| [182] | DIARETDB0                       | $1500 \times 1152$   | Digital Camera with 50° FoV                           | Online/Free  | 130                    | HE, SE, MA, HM, and Neovascularization Detection    |
| [183] | DIARETDB1                       | $1500 \times 1152$   | Digital Camera with 45° FoV                           | Online/Free  | 89                     | Irregular Blood Vessel, MA,<br>HM, and Ex Detection |
| [10]  | IDRiD                           | $4288\times2848$   | Digital Camera with $45^{\circ}$ FoV                  | Online/Free  | 516                    | Exudate, MA, HM, and Blood<br>Vessel Detection      |
| [184] | DR1 and DR2                     | 857 × 569  | Digital Camera with 50° FoV                           | Online/Free  | 234 DR1 and<br>520 DR2 | HE, SE, MA, HM, and<br>Neovascularization Detection |
| [185] | CHASE DB1                       | $1280 \times 960$  | Digital Camera with 30° FoV                           | Online/Free  | 28                     | Segmentation of Retinal<br>Blood Vessels            |

Table 7. Description of DR datasets.

| Ref # | Datasets | Image Resolution   | Image Acquisition                    | Availability | No. of Images | Use                                  |
|-------|----------|--|--------------------------------------|--------------|---------------|--------------------------------------|
| [186] | ROC      | $\begin{array}{c} 768 \times 576 \text{ to} \\ 1389 \times 1383 \end{array}$ | Digital Camera with $45^\circ$ FoV   | Online/Free  | 100           | MA Detection                         |
| [187] | HRF      | 3504 	imes 2336  | Canon CR-1 Camera                    | Online/Free  | 45            | Retinal Blood Vessel<br>Segmentation |
| [188] | HEI-MED  | $2196\times1958$   | Zeiss VISUCAM Camera<br>with 45° FoV | Online/Free  | 169           | Exudate Detection                    |
| [189] | DRiDB    | 720 × 576  | Zeiss VISUCAM Camera<br>with 45° FoV | Online/Free  | 50            | Exudate Detection                    |

Table 7. Cont.

# 8. Performance Evaluation

To estimate the performance of the DL algorithm, various performance metrics are utilized for the diagnosis of DR [190]. The visual examination is not very efficient and there is no method to prove that the decision is correct. However, nowadays, to reduce the likelihood of errors, automated systems can take the place of visual examinations and are much more satisfactory, and some parameters can be used to check the system's performance. The performance measures commonly used in DL are accuracy (ACC) [191], specificity (SP) [191], sensitivity (SF) [191], precision [192], true-positive rate (TPR) [193], false-positive rate (FPR) [194], false-negative rate (FNR) [194], F-score [195], and G-means [196,197].

### 9. Challenges and Discussion

The analysis of DR diagnosis methods shows that DL has improved DR identification procedures and advanced methodologies, but it is still an unresolved issue that requires further study. Table 8 describes the limitations of existing approaches.

Table 8. Limitations in existing methods.

| Ref # | Year | Methods  | Datasets                                  | Results   | Limitations   |
|-------|------|--|---|---|---|
| [43]  | 2022 | Inception-V4, Image Flipping,<br>Image Rotation, SGD   | Messidor-2                                | 96.10% SP   | Using high-resolution and high-quality images<br>at the time of training increases the<br>performance rate.   |
| [152] | 2022 | DRNet, ResNeX, GAP, FC, FSL  | APTOS201                                  | 98.18% ACC  | Imbalanced and small dataset leads to overfitting and poor approximation problems.  |
| [198] | 2021 | DRNet, CNN, Regression, Image<br>Augmentation, Image Resize, Gaussian<br>Distribution, Euclidean Distance            | IDRiD,<br>DRIVE,<br>DRISHTI-GS,<br>RIMONE | 84.50% ACC,<br>92.10% ACC,<br>93.30% ACC,<br>90.10% ACC | <ul> <li>In some cases, DRNet fails to produce<br/>accurate outcomes for OD localization<br/>and segmentation.</li> <li>Low contrast and blurred edges make<br/>OD segmentation a challenging process.</li> </ul> |
| [199] | 2021 | CAE, Image Resize, Data Augmentation,<br>ReLU, Skip Connections  | DRISHTI-GS,<br>RIM-ONE                    | 96.70%<br>Dice score,<br>90.20%<br>Dice score           | The availability of a few manually annotated<br>images limits the reliability of supervised<br>learning systems.  |
| [200] | 2020 | CNN, VGG-16, Softmax, FC Layer, ReLU,<br>Transfer Learning   | OCTA                                      | 90.82% SP, 83.76% SF                                    | Large number of datasets and transfer learning<br>approaches are utilized for the training of CNN<br>model to overcome the overfitting problem.   |
| [201] | 2019 | Vessel Tree Structure, Circular Hough<br>Transform, Sliding Windows, Weighted<br>Colour Channels, Image Augmentation | Local Dataset                             | 88.80% ACC  | The presence of dust particles, reflection, and<br>flash, on the lens of the camera in retinal<br>images, leads to inaccurate results for the<br>detection of OD.   |
| [202] | 2019 | Inception-V3, CNN, CLAHE, Image Resize,<br>Cropping, Padding   | Messidor-2                                | 93.49% ACC  | Multiclass classification is a challenging task if<br>the patient dataset contains a variety of<br>retinal disorders.   |
| [190] | 2018 | CNN, SURF, Encoding, Max-Pooling,<br>ILT, BLT, SVM   | Messidor,<br>DR1, DR2                     | 90% ACC,<br>93% ACC, 96% ACC                            | Constructing DL approaches that rely on CNN<br>with a deep architecture means the addition of a<br>great volume of annotated images.  |

| Table 8 | . Cont. |
|---------|---------|
|---------|---------|

| Ref # | Year | Methods  | Datasets         | Results                   | Limitations  |
|-------|------|--|------------------|---------------------------|--|
| [46]  | 2017 | DLNN, SLDR, GLOH, DColor-SIFT, DFV   | DIARETDB1        | 92.18% SF, 94.50% SP      | Speech recognition, 3D object recognition,<br>dimensionality reduction, and deep color visual<br>features play a great role in the categorization<br>of DR.  |
| [125] | 2016 | SeS CNN, NSeS CNN, Circular Template<br>Matching, Image Resize, Image<br>Augmentation, Gaussian Filter | Kaggle, Messidor | 89.40% ACC,<br>97.20% ACC | It is required to develop innovative data<br>augmentation methods that generate new<br>samples from current samples that accurately<br>reflect real samples. |

After the complete survey, we observed that imbalance and small datasets lead the overfitting and poor approximation problem [152]. In several research, data augmentation has been employed to address the issue of class imbalance. The availability of few manually annotated images, limited the reliability of supervised learning systems. The solution of this issue to utilize the Generative Adversarial Networks (GANs) models [199]. The amount of datasets need to train the DL systems, as deep learning needs huge amount of data is one of the drawback of its use in medical field [200]. The addition of pre-processing stage in DL model for the better identification of DR lesions [201]. Transfer learning makes the procedure of building and designing of new model considerably simpler and faster than starting from scratch to create a new CNN architecture [190].

#### **10. Future Directions**

In medical image processing, a significant amount of research is done for the automated detection of DR. There are several areas in this field that could be done better such as the detection of OD boundary which is difficult due to blur edges. The segmentation of MAs is also a difficult task because these lesions are detected as a normal region. For DR lesion detection process, color and shape are significant factors due to the identical appearance of the OD and bright lesions in aspects of color and shape. As a consequence, no single method can address all of these challenges. The identification of retinal changes and structure associated with DR detection requires the development of more effective techniques. Manual diagnosis by ophthalmologists is a difficult process. Therefore, efficient deep DL approaches that can be trained on tiny retinal datasets are required. Preprocessing techniques play a crucial role for the better performance of model but still, there is a need to implement new preprocessing techniques to achieve good accuracy of model. It is necessary to develop innovative data augmentation methods that generate new samples from current samples that accurately reflect real samples. Transfer learning approaches are utilized for the training of CNN model to overcome the overfitting problem.

# 11. Conclusions

Recent literature has been conducted for the identification of DR, mainly focused on CADs based on classical/machine learning and deep learning methods. CADs used fundus imaging for the analysis of DR lesions based on the four major steps like preprocessing, segmentation, features extraction/selection and classification. In scope of the CADs system, preprocessing methods are used to enhance the sharpness of funds images that provide help in accurate detection of the DR lesions. In this work recent preprocessing methods are discussed on the benchmark datasets for the detection of MAs, HE, SE, and HMs DR lesions. The classical segmentation methods such as thresholding, region growing etc., as well as machine/DL methods based on convolutional neural networks are discussed with challenges and limitations. For the categorization of DR lesions, the features extraction/selection approaches are described in terms of hand crafted and DL strategies. Furthermore publicly/freely available DR detection datasets of fundus imaging are provided in detail with common performance metrics for the analysis of DR lesions. At last, the gaps, limitations, advantages, and challenges of the existing methods for DR detection are discussed. This research provided a thorough overview of existing methods for DR identification that will assist the researchers for further research in this domain.

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