

## Article

# Magnetic Resonance with Diffusion and Dynamic Perfusion-Weighted Imaging in the Assessment of Early Chemoradiotherapy Response of Naso-Oropharyngeal Carcinoma

Michele Pietragalla <sup>1</sup>, Eleonora Bicci <sup>1</sup>, Linda Calistri <sup>1</sup>, Chiara Lorini <sup>2</sup>, Pierluigi Bonomo <sup>3</sup>, Andrea Borghesi <sup>4</sup>, Antonio Lo Casto <sup>5</sup>, Francesco Mungai <sup>6</sup>, Luigi Bonasera <sup>6</sup>, Giandomenico Maggiore <sup>7</sup> and Cosimo Nardi <sup>1,\*</sup>

<sup>1</sup> Radiodiagnostic Unit N. 2, Department of Experimental and Clinical Biomedical Sciences, University of Florence—Azienda Ospedaliero-Universitaria Careggi, 50134 Florence, Italy

<sup>2</sup> Department of Health Science, University of Florence, 50134 Florence, Italy

<sup>3</sup> Radiation Oncology, University of Florence—Azienda Ospedaliero-Universitaria Careggi, 50134 Florence, Italy

<sup>4</sup> Department of Medical and Surgical Specialties, Radiological Sciences, and Public Health, University of Brescia, 25123 Brescia, Italy

<sup>5</sup> Department of Biomedicine, Neuroscience and Advanced Diagnostics (BIND), University Hospital of Palermo, 90127 Palermo, Italy

<sup>6</sup> Department of Radiology, University of Florence—Azienda Ospedaliero-Universitaria Careggi, 50134 Florence, Italy

<sup>7</sup> Department of Otorhinolaryngology, University of Florence—Azienda Ospedaliero-Universitaria Careggi, Via Taddeo Alderotti, 50139 Florence, Italy

\* Correspondence: cosimo.nardi@unifi.it



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**Abstract:** The purpose of this study was to differentiate post-chemoradiotherapy (CRT) changes from tumor persistence/recurrence in early follow-up of naso-oropharyngeal carcinoma on magnetic resonance (MRI) with diffusion (DWI) and dynamic contrast-enhanced perfusion-weighted imaging (DCE-PWI). A total of 37 patients were assessed with MRI both for tumor staging and 4-month follow-up from ending CRT. Mean apparent diffusion coefficient (ADC) values, area under the curve (AUC), and K(trans) values were calculated from DWI and DCE-PWI images, respectively. DWI and DCE-PWI values of primary tumor (ADC, AUC, K(trans)<sub>pre</sub>), post-CRT changes (ADC, AUC, K(trans)<sub>post</sub>), and trapezius muscle as a normative reference before and after CRT (ADC, AUC, K(trans)<sub>muscle pre</sub> and <sub>muscle post</sub>;  $AUC_{post/muscle post} : AUC_{pre/muscle pre}$  ( $AUC_{post/pre/muscle}$ );  $K(trans)_{post/muscle post} : K(trans)_{pre/muscle pre}$  ( $K(trans)_{post/pre/muscle}$ ) were assessed. In detecting post-CRT changes,  $ADC_{post} > 1.33 \times 10^{-3} \text{ mm}^2/\text{s}$  and an increase  $> 0.72 \times 10^{-3} \text{ mm}^2/\text{s}$  and/or  $> 65.5\%$  between  $ADC_{post}$  and  $ADC_{pre}$  values ( $ADC_{post-pre} ; ADC_{post-pre}\%$ ) had 100% specificity, whereas hypointense signal intensity on DWIb800 images showed specificity 80%. Although mean  $AUC_{post/pre/muscle}$  and  $K(trans)_{post/pre/muscle}$  were similar both in post-CRT changes ( $1.10 \pm 0.58$ ;  $1.08 \pm 0.91$ ) and tumor persistence/recurrence ( $1.09 \pm 0.11$ ;  $1.03 \pm 0.12$ ),  $K(trans)_{post/pre/muscle}$  values  $< 0.85$  and  $> 1.20$  suggested post-CRT fibrosis and inflammatory edema, respectively. In early follow-up of naso-oropharyngeal carcinoma, our sample showed that  $ADC_{post} > 1.33 \times 10^{-3} \text{ mm}^2/\text{s}$ ,  $ADC_{post-pre}\% > 65.5\%$ , and  $ADC_{post-pre} > 0.72 \times 10^{-3} \text{ mm}^2/\text{s}$  identified post-CRT changes with 100% specificity.  $K(trans)_{post/pre/muscle}$  values less than 0.85 suggested post-CRT fibrosis, whereas  $K(trans)_{post/pre/muscle}$  values more than 1.20 indicated inflammatory edema.

**Keywords:** naso-oropharyngeal carcinoma; magnetic resonance imaging; diffusion-weighted imaging; dynamic contrast-enhanced perfusion-weighted imaging; chemoradiotherapy

## 1. Introduction

Head and neck cancers represent the sixth most common cancer worldwide and a major cause of morbidity and mortality [1]. More than 90% of head and neck cancers are squamous cell carcinomas (HNSCC) arising from the mucosal surfaces of the oral cavity, naso-oropharynx, and larynx [2]. Crucial risk factors aligned with head and neck cancers include tobacco, alcohol consumption, and human papillomavirus (HPV) or Epstein–Barr virus infections [3].

Chemoradiotherapy (CRT) has become more popular over the past decade because the organ preservation possibilities are higher with CRT as compared to surgery [4]. The relapse rate is still 50% (35–65%) in patients with advanced HNSCC [5] and reaches 25% in early-stage cancers [6]. Almost 90% of HNSCC recurrences following CRT develop within 2 years [7]; the early detection of tumor recurrence prompts curative salvage treatment and may allow the preservation of organ functions [6].

The interpretation of post-treatment follow-up via imaging techniques is complicated by post-actinic edema, soft tissue necrosis, and fibrosis. Such post-treatment changes make it difficult to detect tumor recurrence within a distorted anatomy [8]. Biopsy with negative findings does not exclude HNSCC recurrence, and multiple biopsies may increase overall morbidity [6]. Therefore, in addition to clinical and histological parameters, other biomarkers are needed to stratify patients for optimal therapy [9].

Magnetic resonance imaging (MRI) is an accurate technique for the assessment of deep tumor invasion and morphological tumor features [10], but it is not able to identify early locoregional recurrences, predict tumor response to treatment and monitor post-treatment changes [11,12].

Metabolic imaging with 18F-fluorodeoxyglucose positron emission tomography/computed tomography (18F-FDG PET/CT) has evolved as a tool for the post-treatment evaluation of HNSCC, but it is generally delayed for at least 12 weeks due to the potential false-positive results in early post-treatment inflammatory changes [13].

Nowadays, a multiparametric approach employing MRI has been proposed with diffusion (DWI) and dynamic contrast-enhanced perfusion-weighted imaging (DCE-PWI) for the distinction between post-treatment changes and tumor persistence/recurrence [14,15]. Moreover, MRI is ideally suited to serial scanning, reducing the use of ionizing radiations commonly emitted by CT examinations [16–19].

DWI with apparent diffusion coefficient (ADC) maps can theoretically differentiate between inflammation and neoplastic tissues since the water molecule diffusion is increased into inflammatory tissues ( $T_2^*$  loss of signal and high ADC values), whereas water molecules have restricted diffusion within neoplastic tissues ( $T_2^*$  signal maintenance and low ADC values) [20].

DCE-PWI examines microvascular tumor tissue characteristics [21] and can potentially assess the reduction of tumor blood perfusion by means of  $K(\text{trans})$ , which represents the volume transfer constant from the vascular to the extravascular extracellular spaces [22–25].

We aimed to retrospectively differentiate post-CRT changes from tumor persistence/recurrence in the early follow-up of patients with primary naso-oropharyngeal carcinoma using multiparametric MRI with DWI and DCE-PWI sequences.

## 2. Materials and Methods

### 2.1. Inclusion Criteria

From January 2016 to December 2021, MRI examinations of 104 patients with histological diagnoses of nasopharynx or oropharynx carcinoma investigated in the radiology department of the Careggi Hospital of Florence (Italy) were retrieved. This study was approved by the research ethics committee (Protocol Number 21800\_oss), and informed written consent was obtained from all individual participants included in the study. All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards.

Patients who met the following criteria were included:

- Adult patients ( $\geq 18$  years);
- Histological confirmation of oropharynx or nasopharynx carcinoma through biopsy;
- Exclusive CRT;
- MRI examination for both tumor staging and 4-month follow-up after ending CRT;
- DWI and DCE-PWI MR sequences;
- Two years of clinical and cross-sectional imaging follow-ups including consecutive 18F-FDG PET/CT and MRI.

Patients were excluded in case of previous head and neck radiotherapy treatment (4), surgical treatment (5), MRI without both DWI and DCE-PWI sequences (14), MRI not performed for both tumor staging and follow-up (41), and follow-up lasting less than 2 years (3). We considered the first two years after completing CRT at a higher risk of neoplastic recurrence.

The patients that matched our inclusion criteria were 37 (19 males, 18 females) with a mean age of 59 years (median age: 58.5 years, range: 36–81 years); 26 patients were affected by oropharyngeal carcinoma (16 HPV positive, 4 HPV negative, and 6 unknowns for HPV status) and 11 patients by nasopharyngeal carcinoma. TNM staging—eighth edition of the American Joint Commission on Cancer—HPV status, and tumor locations were summarized in Table S1 in the Supplementary Materials.

## 2.2. DWI and DCE-PWI

MRI examinations for tumor staging and follow-up were performed with a 1.5 T MR device (Magnetom Aera, Siemens Healthcare, Erlangen, Germany) with a devoted head and neck coil. The MR acquisition protocol included pre- and post-contrast sequences (Table S2 in Supplementary Materials). An axial fat-saturated echo-planar imaging-based DWI with two different b-values ( $b50-800 \text{ s/mm}^2$ ) was acquired. ADC values of primitive tumors and residual tissues after CRT were calculated by positioning three regions of interest (ROI) with an average intratumoral area of  $0.30-0.40 \text{ cm}^2$  each on three contiguous axial sections. DCE-PWI was obtained through two volumetric interpolated breath-hold examination (VIBE) T1-w sequences characterized by 3.5 mm slice thickness, 0.7 interslice gap, FOV  $250 \times 226 \text{ mm}$ , matrix  $139 \times 192$ , flip angles  $5^\circ$  and  $15^\circ$ , and acceleration factor 3 for baseline T1-mapping acquisitions. After contrast agent administration, one VIBE T1-w lasting 350 s and with a temporal resolution of 5 s was acquired as follows: TR 4.65 ms, TE 1.66 ms, 3.5 mm slice thickness, FOV  $250 \times 226.6 \text{ mm}$ , matrix  $139 \times 192$ , flip angle  $30^\circ$ , acceleration factor 3, and peripheral K space sampling with time to center 2.2 s. Time/intensity curve, area under the curve (AUC), and K(trans) values of primitive tumor and tumor residual/relapse tissues after CRT were generated by using IntelliSpace software version 9.0 (Philips, Amsterdam, The Netherlands) from the native DCE-PWI images by drawing an ROI including at least 50% of the largest lesion diameter. Before lesion sampling, an ROI was placed on the internal carotid artery to obtain the arterial input function curve, defined as the contrast concentration in vessels feeding to tissue at each point in time during the contrast passage. Vessels, cystic areas within solid lesions, and necrotic, hemorrhagic, or proteinaceous areas detected on T1-w and T2-w sequences were excluded in both DWI and DCE-PWI analysis. ADC, AUC, and K(trans) values of the trapezius muscle on the same side of the tumor were also obtained.

## 2.3. Image Assessment

MRIs performed both for tumor staging and 4-month follow-up after the end of CRT were independently reviewed by two radiologists with 12 (CN) and 7 (MP) years of experience in head and neck imaging, respectively.

The following morphologic, DWI, and DCE-PWI features were assessed:

- Maximum size of the primitive tumor and submucosal thickness of the residual tissue after CRT on contrast-enhanced T1 images.

- Signal intensity (SI), hyper- or hypointense, of the residual tissue after CRT on DWIb800 images;
- Mean ADC values of the primitive tumor ( $ADC_{pre}$ ), residual tissue after CRT ( $ADC_{post}$ ), and ipsilateral trapezius muscle as a normative reference on both pre- and post-CRT ( $ADC_{muscle\ pre}$  and  $ADC_{muscle\ post}$ );
- Mean AUC and K(trans) values of the primitive tumor ( $AUC_{pre}$ ,  $K(trans)_{pre}$ ), residual tissue after CRT ( $AUC_{post}$ ,  $K(trans)_{post}$ ), and ipsilateral trapezius muscle as a normative reference on both pre- and post-CRT ( $AUC$ ,  $K(trans)_{muscle\ pre}$  and  $muscle\ post$ );
- Ratio between  $ADC_{pre}$  and  $ADC_{muscle\ pre}$  ( $ADC_{pre/muscle\ pre}$ );
- Ratio between  $ADC_{post}$  and  $ADC_{muscle\ post}$  ( $ADC_{post/muscle\ post}$ );
- Ratio between AUC values of the residual tissue after CRT and primitive tumor ( $AUC_{post/pre}$ );
- Ratio between K(trans) values of the residual tissue after CRT and primitive tumor ( $K(trans)_{post/pre}$ );
- Ratio between AUC and K(trans) values of the residual tissue after CRT and primitive tumor, standardized with respect to AUC and K(trans) values of the ipsilateral trapezius muscle as a normative reference ( $AUC_{post/pre/muscle}$  and  $K(trans)_{post/pre/muscle}$ ), as follows:

$$\frac{AUC_{post}}{AUC_{muscle\ post}} : \frac{AUC_{pre}}{AUC_{muscle\ pre}} \text{ and } \frac{K(trans)_{post}}{K(trans)_{muscle\ post}} : \frac{K(trans)_{pre}}{K(trans)_{muscle\ pre}}$$

where  $AUC_{muscle\ pre}$ ,  $AUC_{muscle\ post}$ ,  $K(trans)_{muscle\ pre}$ , and  $K(trans)_{muscle\ post}$  are the AUC and K(trans) values of the ipsilateral trapezius muscle measured on pre- and post-CRT, respectively.

The diagnosis of tumor response to CRT (post-treatment changes) or tumor persistence/recurrences (post-treatment residual cancer) was defined at the 2-year follow-up, with clinical examinations and cross-sectional imaging including MRI and 18F-FDG PET/CT. Post-treatment biopsy was performed only in case of positive 18F-FDG PET/CT during follow-up (12 patients). Clinical examinations and MRI were used to validate results as true negatives both in patients with negative 18F-FDG PET/CT (25) and in patients with positive 18F-FDG PET/CT and negative post-treatment biopsy (7).

#### 2.4. Statistical Analysis

Quantitative continuous variables are expressed as mean  $\pm$  standard deviation or median and range, whereas categorical values are reported as absolute counts and percentages. The interobserver reliability for MRI was calculated using the Cohen kappa coefficient. Kappa values of 0.01–0.20, 0.21–0.40, 0.41–0.60, 0.61–0.80, 0.81–0.99, and 1 represented slight, fair, moderate, substantial, almost perfect, and perfect agreement, respectively. Data were presented as a percentage or mean ( $\pm$  standard deviation) and median (interquartile range). Continuous variables were tested for normality using the Kolmogorov–Smirnov test. The association of each parameter and the diseased status at the follow-up (i.e., tumor persistence/recurrence or post-CRT changes) was tested using the Student's t-test or Mann–Whitney U-test for independent samples, as appropriate. For the parameters with statistically significant association with the diseased status at follow-up, a cut-off value to discriminate post-CRT changes with respect to tumor persistence/recurrence was calculated using receiver operating characteristic (ROC) curve analysis. In particular, sensitivity and specificity were calculated for the entire spectrum of values, and cut-offs were chosen as the values with the highest combination/multiplication of sensitivity and specificity. The area under the ROC curve was considered as a measure of the overall performance of each parameter (diagnostic accuracy) to discriminate the diseased status at follow-up. The analyses were performed using the SPSS® v. 27.0 statistical analysis software (IBM Corp., New York, NY, USA; formerly SPSS Inc., Chicago, IL, USA), considering an alpha level of 0.05 as significant.

### 3. Results

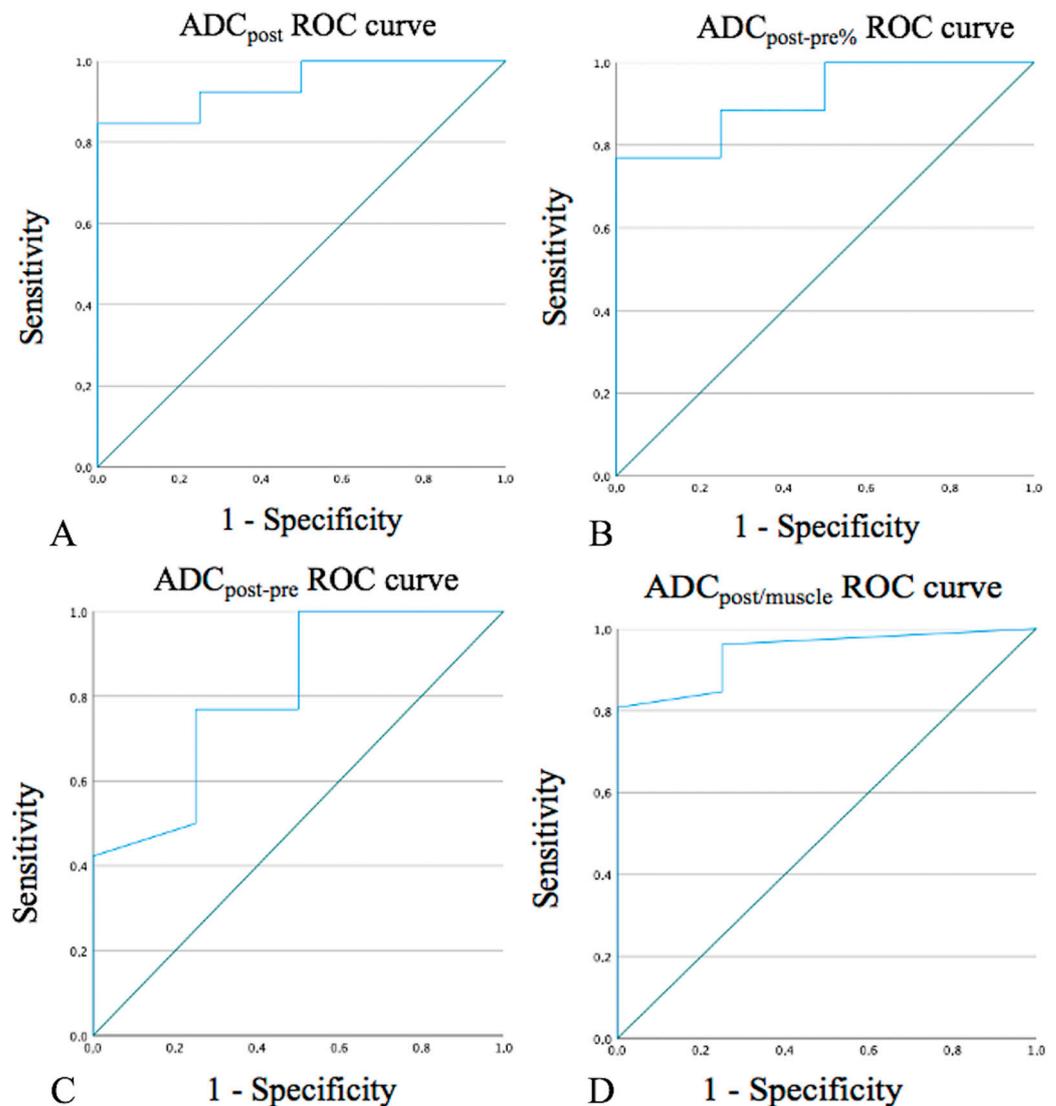
Post-CRT changes were found in 32 patients, whereas 5 patients had tumor persistence/recurrence. Results were summarized in Table 1 and Tables S3–S5 in Supplementary Materials. Cohen kappa values showed substantial agreement between the two observers for DWI and DCE-PWI assessments (K values 0.75 to 0.79).

**Table 1.** Mean, standard deviation, and range values of the post-chemoradiotherapy tissue changes and tumor persistence/recurrence. CRT: chemoradiotherapy. ADC: apparent diffusion coefficient. AUC: area under the curve. K(trans): the volume transfer constant from the vascular space to the extravascular extracellular space. *p*-value: probability value.

Magnetic Resonance Feature	Post-CRT Changes	Tumor Persistence/Recurrence	<i>p</i> -Value
	(32 Patients)	(5 Patients)	
	Mean ± SD (Range)	Mean ± SD (Range)	
Pre-treatment tumor maximum size (mm)	18.46 ± 7.22 (10.0–40.0)	20.50 ± 9.67 (7.0–30.0)	0.391
Pre-treatment tumor mean ADC value (×10 <sup>−3</sup> mm <sup>2</sup> /s) (ADCpre)	0.82 ± 0.15 (0.56–1.14)	0.89 ± 0.08 (0.80–1.0)	0.245
Pre-treatment tumor mean AUC value (AUCpre)	96.76 ± 44.15 (44.71–213.73)	101.61 ± 43.21 (55.66–159.70)	0.746
Pre-treatment tumor mean K(trans) value (×10 <sup>−3</sup> min) (K(trans)pre)	264.80 ± 196.75 (61.39–786.30)	157.44 ± 55.02 (113.35–231.28)	0.498
ADCpre/trapezius muscle			
:	0.69 ± 0.16 (0.50–1.0)	0.70 ± 0.08 (0.60–0.80)	0.746
ADCpre			
AUCpre/trapezius muscle			
:	3.70 ± 1.50 (1.53–6.73)	4.07 ± 1.23 (3.16–5.89)	0.536
AUCpre			
K(trans)pre/trapezius muscle			
:	5.62 ± 2.96 (1.52–12.92)	4.73 ± 0.38 (4.28–5.23)	0.702
K(trans)pre			
Post-treatment residual tissue maximum submucosal enhancement thickness (mm)	3.31 ± 4.13 (0–10.0)	22.75 ± 17.09 (7.0–45.0)	0.002
Post-treatment residual tissue mean ADC value (×10 <sup>−3</sup> mm <sup>2</sup> /s) (ADCpost)	1.54 ± 0.23 (0.96–1.96)	1.05 ± 0.26 (0.78–1.32)	0.002
Post-treatment residual tissue mean AUC value (AUCpost)	105.10 ± 51.14 (35.37–260.88)	123.62 ± 49.26 (59.77–162.90)	0.425
Post-treatment tumor mean K(trans) value (×10 <sup>−3</sup> min) (K(trans)pre)	181.80 ± 201.80 (25.54–787.92)	142.42 ± 67.63 (56.24–215.45)	0.659
ADCpost/trapezius muscle			
:	1.24 ± 0.18 (0.80–1.50)	0.87 ± 0.15 (0.8–1.10)	0.002
ADCpost			
AUCpost/trapezius muscle			
:	3.55 ± 1.24 (1.13–6.07)	4.34 ± 0.82 (3.52–5.43)	0.177
AUCpost			
K(trans)post/trapezius muscle			
:	5.15 ± 4.57 (1.17–23.07)	4.86 ± 0.65 (4.08–5.41)	0.359
K(trans)post			
ADCpost-pre	0.70 ± 0.26 (0.16–1.20)	0.26 ± 0.40 (−0.13–0.70)	0.052
ADCpost-pre%	92.12 ± 44.10 (21.0–209.0)	20.75 ± 36.48 (−13.0–65.0)	0.005
AUCpost/pre	1.26 ± 0.79 (0.40–3.70)	1.24 ± 0.37 (1.01–1.80)	0.659
AUCpost/pre/trapezius muscle	1.08 ± 0.11 (0.37–2.70)	1.09 ± 0.57 (0.92–1.18)	0.791
K(trans)post/pre	1.06 ± 1.41 (0.06–5.66)	0.96 ± 0.63 (0.48–1.90)	0.498
K(trans)post/pre/trapezius muscle	1.07 ± 0.91 (0.30–4.01)	1.02 ± 0.11 (0.86–1.14)	0.271

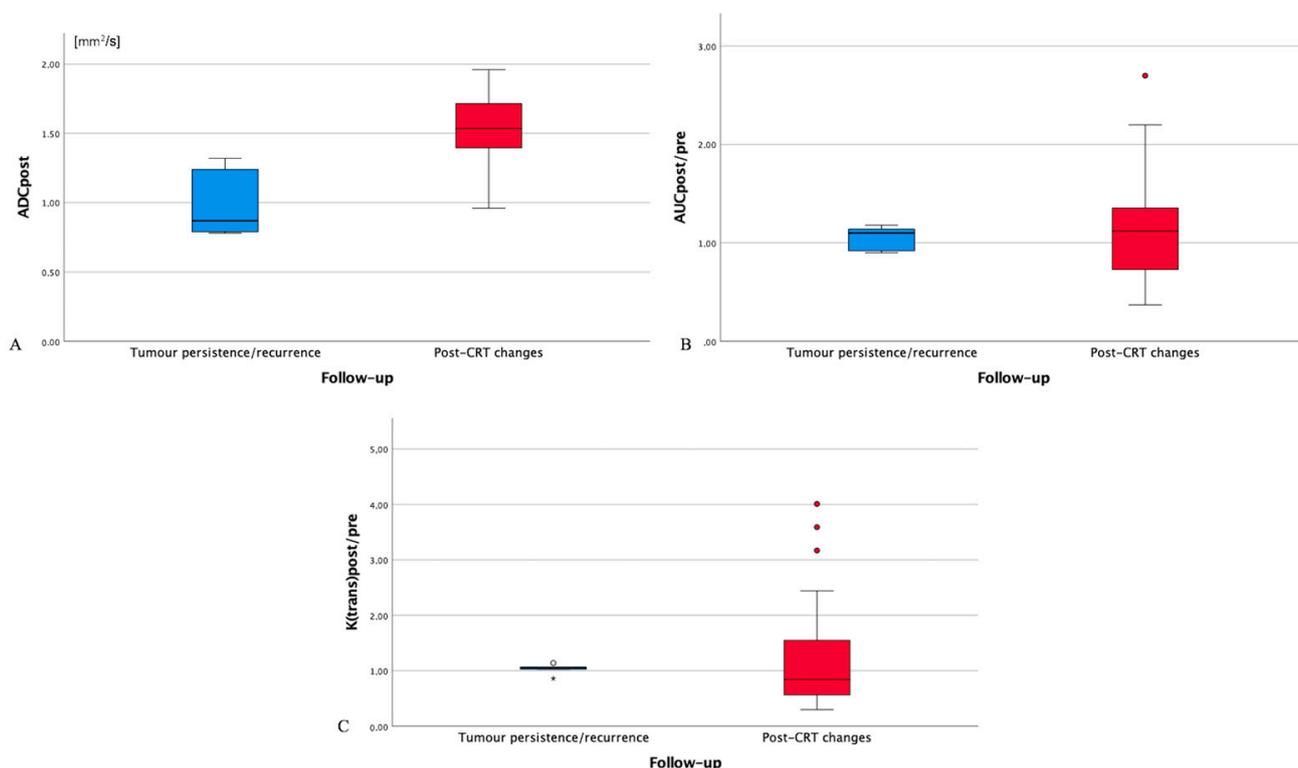
ADC<sub>post</sub> values > 1.33 × 10<sup>−3</sup> mm<sup>2</sup>/s, a percentage increase greater than 65.5% in mean ADC<sub>post</sub> values compared to mean ADC<sub>pre</sub> values (ADC<sub>post-pre</sub>%), and values > 0.72 × 10<sup>−3</sup> mm<sup>2</sup>/s in the difference between mean ADC<sub>post</sub> and ADC<sub>pre</sub> values (ADC<sub>post-pre</sub>) strongly correlated with post-CRT changes (100% specificity, Figure 1A–C). ADC<sub>post/muscle post</sub> values > 1.15 and >0.85 showed 96.2% sensitivity and 100% specificity in the detection of post-CRT changes, respectively (Figure 1D). Hypointense SI on DWIb800

images well identified post-CRT changes since it was found in 30 patients (93.7%) with no residual cancer and 1 patient (20.0%) with tumor persistence/recurrence (specificity 80%).



**Figure 1.** Receiver operating characteristic (ROC) curves for  $ADC_{post}$  values (A),  $ADC_{post-pre\%}$  (B),  $ADC_{post-pre}$  values (C), and  $ADC_{post/muscle}$  values (D). ADC: apparent diffusion coefficient.  $ADC_{post}$ : residual tissue mean ADC value.

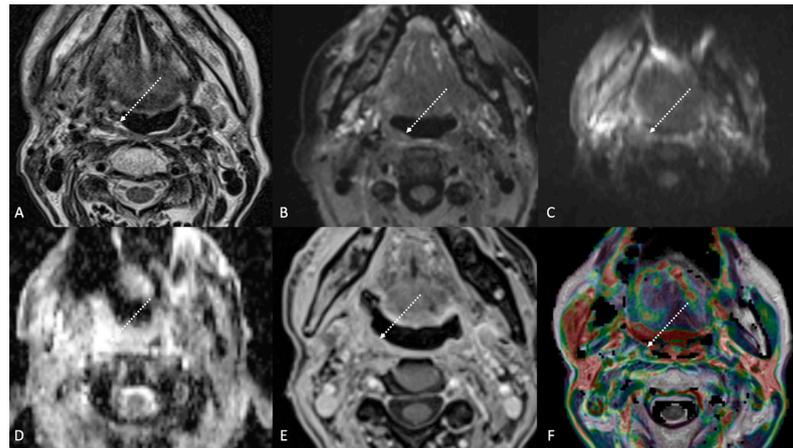
An overlap was found between mean  $ADC_{post}$  (Figure 2A),  $AUC_{post/pre/muscle}$ , and  $K(trans)_{post/pre/muscle}$  values of post-CRT changes and tumor persistence/recurrence (Figure 2B,C). However,  $K(trans)_{post/pre/muscle}$  values of 27 successfully treated patients (84.4%) were significantly different, higher or lower, than  $K(trans)_{post/pre/muscle}$  values of all 5 patients with tumor persistence/recurrence. In such 27 patients,  $K(trans)_{post/pre/muscle}$  values less than 0.85 suggested post-CRT fibrosis, whereas  $K(trans)_{post/pre/muscle}$  values more than 1.20 indicated inflammatory edema.



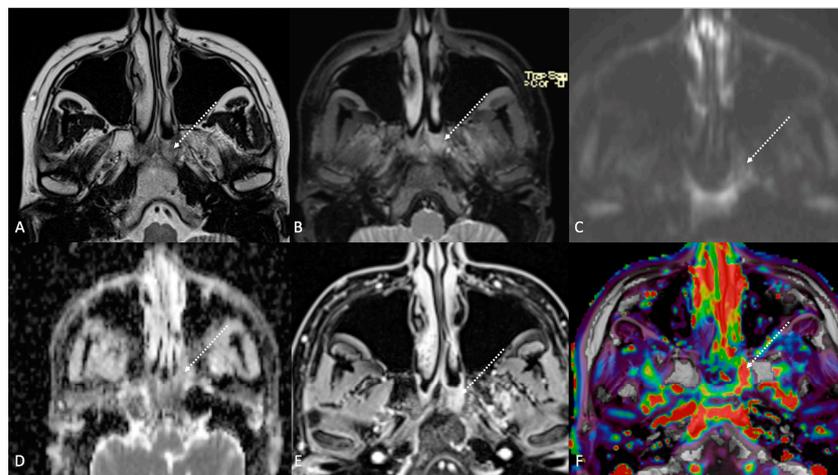
**Figure 2.** Box plot for post-treatment residual tissue ADC values ( $\text{ADC}_{\text{post}}$ , (A)),  $\text{AUC}_{\text{post}}/(\text{AUC}_{\text{muscle post}})/(\text{AUC}_{\text{pre}})/(\text{AUC}_{\text{muscle pre}})$  values ( $\text{AUC}_{\text{post/pre/muscle}}$ , (B)), and  $(\text{K}(\text{trans})_{\text{post}})/(\text{K}(\text{trans})_{\text{muscle post}})/(\text{K}(\text{trans})_{\text{pre}})/(\text{K}(\text{trans})_{\text{muscle pre}})$  values ( $\text{K}(\text{trans})_{\text{post/pre/muscle}}$ , (C)) in patients with tumor persistence/recurrence (blue box) and post-chemoradiotherapy (CRT) changes (red box). ADC: apparent diffusion coefficient. AUC: area under the curve. CRT: chemoradiotherapy.  $\text{AUC}_{\text{pre}}$ : AUC values of primitive tumor.  $\text{AUC}_{\text{post}}$ : AUC values of the residual tissue after CRT.  $\text{AUC}_{\text{muscle pre}}$ : AUC values of ipsilateral trapezius muscle on pre-treatment magnetic resonance imaging.  $\text{AUC}_{\text{muscle post}}$ : AUC values of ipsilateral trapezius muscle on post-treatment magnetic resonance imaging.  $\text{K}(\text{trans})_{\text{pre}}$ :  $\text{K}(\text{trans})$  values of primitive tumor.  $\text{K}(\text{trans})_{\text{post}}$ :  $\text{K}(\text{trans})$  values of the residual tissue after CRT.  $\text{K}(\text{trans})_{\text{muscle pre}}$ :  $\text{K}(\text{trans})$  values of ipsilateral trapezius muscle on pre-treatment magnetic resonance imaging.  $\text{K}(\text{trans})_{\text{muscle post}}$ :  $\text{K}(\text{trans})$  values of ipsilateral trapezius muscle on post-treatment magnetic resonance imaging. Circles: drawing of comparison circles is a way to display whether or not the mean values of boxes in the box plot are significantly different from each other. Asterisk: asterisk is an indication that an extreme outlier is present in the data.

#### 4. Discussion

Quantitative DWI and DCE-PWI analyses may portend the efficacy of CRT and early identification of potential treatment failure, resulting in an improvement in cancer management. In the current study, the quantitative analysis with DWI sequences allowed a reliable tumor assessment during the treatment phase. A low increase in  $\text{ADC}_{\text{post-pre}}$  and  $\text{ADC}_{\text{post-pre}\%}$  values was indicative of a high risk of residual cancer as directed by Wong et al. [26].  $\text{ADC}_{\text{post}/\text{muscle post}}$  values  $> 0.85$  and hypointense SI on DWIb800 images strongly correlated with post-CRT changes. Most of our patients with post-CRT changes (27/32, 84.3%) showed  $\text{K}(\text{trans})_{\text{post/pre/muscle}}$  values significantly lower ( $< 0.85$ , 19 patients) or higher ( $> 1.20$ , 8 patients) than all 5 patients with tumor persistence/recurrence. As for DWI [7], the aforementioned variations of DCE-PWI values could reflect the different tissue components, mainly fibrotic (Figure 3) or inflammatory (Figure 4) alterations, of post-treatment changes.



**Figure 3.** Post-treatment magnetic resonance imaging (MRI) of a 63-year-old female patient with human-papillomavirus-positive carcinoma of the right palatine tonsil with ipsilateral lymph node metastasis (T2N1) recently treated (3 months before) with chemoradiotherapy (CRT). Post-CRT MRI showed linear fibrotic tissues in the right palatine tonsil (white striped arrows) with hypointense signal intensity on T2-weighted (A), T2-weighted fat-saturated, (B) and diffusion-weighted b800 images (C), and intermediate apparent diffusion coefficient values ( $1.44 \times 10^{-3} \text{ mm}^2/\text{s}$ ) (D). After gadolinium contrast agent injection, post-CRT fibrotic tissue showed no submucosal enhancement (E) and low K(trans) value ( $48.36 \times 10^{-3} \text{ mm}^2/\text{s}$ ) on dynamic contrast enhancement-perfusion weighted imaging (F). Ratio between K(trans) values of the primitive tumor and residual tissue after CRT, standardized with respect to K(trans) value of the ipsilateral trapezius ( $K(\text{trans})_{\text{post/pre/muscle}}$ ), was 0.307. These findings are typical of post-CRT scar tissue.



**Figure 4.** Post-treatment magnetic resonance imaging (MRI) of a 36-year-old female patient affected by nasopharyngeal carcinoma with left lymph node metastasis (T3N3) and tumoral extension to bilateral Ronsemüller fossa, left nasal choana, and middle skull base, recently treated (3 months before) with chemoradiotherapy (CRT). Early post-treatment MRI demonstrated post-CRT inflammatory residual tissue (white striped arrows) in the left Ronsemüller fossa and ipsilateral nasal choana. Post-CRT inflammatory changes showed hyperintense signal on T2-weighted (A), T2-weighted fat-saturated (B), and diffusion-weighted b800 images (C); high apparent diffusion coefficient value ( $1.53 \times 10^{-3} \text{ mm}^2/\text{s}$ ) (D). After gadolinium contrast agent injection, post-CRT inflammatory residual tissue shows submucosal enhancement of 5 mm thickness (E), and very high K(trans) value ( $595.25 \times 10^{-3} \text{ mm}^2/\text{s}$ ) on dynamic contrast enhancement-perfusion weighted imaging (F). Ratio between K(trans) values of the primitive tumor and residual tissue after CRT, standardized with respect to K(trans) value of the ipsilateral trapezius ( $K(\text{trans})_{\text{post/pre/muscle}}$ ), was 3.17. These findings suggested an increase in capillary permeability caused by CRT.

Sherif et al. [27] found ADC values of  $1.42 \pm 0.23 \times 10^{-3} \text{ mm}^2/\text{s}$  and  $1.02 \pm 0.20 \times 10^{-3} \text{ mm}^2/\text{s}$  in post-therapy changes of patients treated for tongue carcinoma and tongue carcinoma recurrence, respectively. Taking as a reference such ADC values, in our study, 24 patients with post-CRT changes showed  $\text{ADC}_{\text{post}}$  values  $> 1.42 \times 10^{-3} \text{ mm}^2/\text{s}$  (mean =  $1.56 \times 10^{-3} \text{ mm}^2/\text{s}$ ), whereas in the remaining 8 patients with post-CRT changes,  $\text{ADC}_{\text{post}}$  values (mean =  $1.24 \times 10^{-3} \text{ mm}^2/\text{s}$ ; range =  $0.96\text{--}1.35 \times 10^{-3} \text{ mm}^2/\text{s}$ ) were similar to  $\text{ADC}_{\text{post}}$  values of all 5 patients with tumor persistence/recurrence (mean =  $1.05 \times 10^{-3} \text{ mm}^2/\text{s}$ ; range =  $0.78\text{--}1.32 \times 10^{-3} \text{ mm}^2/\text{s}$ ) (Figure 2A). Ailianou et al. [7] found that mean ADC values in post-treatment HNSCC highly differed between post-radiation therapy inflammatory edema ( $1.75 \pm 0.34 \times 10^{-3} \text{ mm}^2/\text{s}$ ) and late fibrosis ( $0.98 \pm 0.26 \times 10^{-3} \text{ mm}^2/\text{s}$ ). These results may justify overlaps of ADC values between post-CRT and tumor recurrence both in our study and in other papers [28–42].

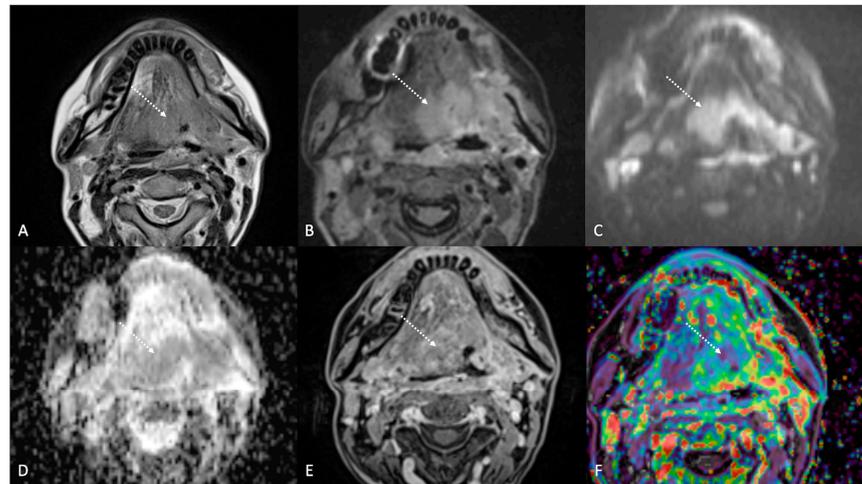
$^{18}\text{F}$ -FDG PET/CT is frequently used for treatment response assessment. It shows high sensitivity but low specificity [43], especially in the first 6 months after treatment due to inflammation, granulation, and scar tissues [44]. In the present study,  $^{18}\text{F}$ -FDG PET/CT performed 3–6 months after ending the treatment was positive in 12 patients, but only 5 of them had tumor persistence/recurrence at the 2-year follow-up. Compared to  $^{18}\text{F}$ -FDG PET/CT, ADC can be also performed in the first months after CRT to assess treatment response, but false positives and negatives cannot be fully excluded. However, studies that used ADC values without taking into account DWI SI underestimated the accuracy of diffusion-weighted MRI [45]. Scar tissue generally displays low ADC values in combination with the hypointense signal on high b value DWI images due to the low number of resonant protons. Residual cancer usually shows low values on ADC maps too, but together with the hyperintense signal on DWI images [46]. The combination of DWI and morphologic MRI features yields better results than DWI alone [7,31,44,47]. The evaluation of SI on T2 images in the current study agreed with the literature since masslike alterations with moderately high (i.e., intermediate) SI, diffuse alterations with high SI, and linear or triangular alterations with very low SI (similar to or lower than muscle) were suggestive for tumor persistence/recurrence, post-CRT inflammatory edema, and post-CRT fibrosis, respectively.

In the current study,  $K(\text{trans})_{\text{post/pre/muscle}}$  values less than 0.85 suggested post-CRT fibrosis, whereas  $K(\text{trans})_{\text{post/pre/muscle}}$  values more than 1.20 indicated inflammatory edema. Vascular changes associated with residual cancer represent neoangiogenesis; on the contrary, post-treatment non-tumoral alterations show vascular changes of continued successful therapy and fibrosis [48]. Post-treatment changes may lead to significant variations in DCE-PWI parameter values since  $K(\text{trans})$  is sensitive to angiogenic modifications [49]. Therefore, although with some degrees of overlap, little or no change in  $\text{AUC}_{\text{post/pre/muscle}}$  and mean  $K(\text{trans})_{\text{post/pre/muscle}}$  values, i.e., tumoral neoangiogenesis, may be considered a post-treatment indicator of tumor persistence/recurrence (Figure 5).

Some limitations need to be mentioned. The relationship among MRI and HNSCC stage, lymph node, distant metastasis, histological tumor grading, histopathological parameters, progression-free survival, HPV status, intravoxel incoherent motion, or tumoral  $^{18}\text{F}$ -FDG PET/CT standard uptake values were not performed. Moreover, we compared tissue changes between pre- and post-CRT without taking into account pre-treatment MRI features only as predictors of treatment response.

Another limitation of the present study was the relatively low sample size. Nevertheless, most papers regarding HNSCC and functional MRI did not consider both DWI and DCE-PWI for therapy assessment or did not include both pre- and post-treatment MRI examinations. Moreover, few papers exclusively recruited patients with pharyngeal cancer [11,28,50,51], and only two of these were performed with both DWI and DCE-PWI [11,51]. In addition, the small number of patients with tumor persistence/recurrence (5 individuals) needed to be related to the well-known excellent response to CRT treatment of oropharyngeal—especially when HPV positive—and nasopharyngeal carcinomas.

Furthermore, HPV+ and HPV– HNSCC generally differ in radiological imaging and prognosis [52], thus representing a possible bias in the current study.



**Figure 5.** Post-treatment magnetic resonance imaging (MRI) of a 47-year-old female patient with human-papillomavirus-negative carcinoma of the left palatine tonsil with ipsilateral lymph node metastasis (T4aN1) and buccal space and mandibular invasion, recently treated (4 months before) with chemoradiotherapy (CRT). Early post-treatment MRI showed tumor progression with wide extension to the extrinsic muscles of the contralateral tongue (maximum tumor thickness 45 mm). Post-CRT tumor residual/relapse disease (white striped arrows) showed moderately high (intermediate) T2-weighted signal intensity (A,B), high signal on diffusion-weighted b800 imaging (C), low apparent diffusion coefficient value ( $0.79 \times 10^{-3} \text{ mm}^2/\text{s}$ , (D)), and moderate enhancement after gadolinium contrast injection (E). K(trans) value of the tumor ( $56.24 \times 10^{-3} \text{ min}$ ) decreased on dynamic contrast enhancement-perfusion weighted imaging (F), compared to pre-treatment MRI ( $117.63 \times 10^{-3} \text{ min}$ ). However, the ratio between K(trans) values of the primitive tumor and residual tissue after CRT, standardized with respect to K(trans) value of the ipsilateral trapezius ( $K(\text{trans})_{\text{post/pre/muscle}}$ ), was 1.14. These findings suggested little or no reduction in tumor neoangiogenesis after CRT.

Moreover, our single-center results cannot be generalized until more evidence is gathered.

Finally, the study design did not allow the calculation of the outcome incidence. For this reason, a discussion of the appropriateness of the cut-off values with respect to the rate of false positives was not possible. Future studies with a different design should help in choosing appropriate cut-off values that balance the benefits to true positives (e.g., increased survival) versus the costs to false positives (e.g., unnecessary procedures).

To date, MRI evaluation in strictly morphologic terms represented by the SI on T1 and T2 images and grade of enhancement is still mandatory in HNSCC. Considering the relative complexity of DWI and DCE-PWI parameters that have been used and the low number of retrieved patients, the results obtained in our study are currently available for research purposes only. Further studies will be needed to establish whether or not multiparametric MRI examinations can be successfully used in clinical daily practice.

## 5. Conclusions

In early follow-up of naso-oropharyngeal carcinoma,  $\text{ADC}_{\text{post}}$  values  $> 1.33 \times 10^{-3} \text{ mm}^2/\text{s}$ ,  $\text{ADC}_{\text{post-pre}\%} > 65.5\%$ , and  $\text{ADC}_{\text{post-pre}}$  values  $> 0.72 \times 10^{-3} \text{ mm}^2/\text{s}$  identified post-CRT changes with excellent specificity. Although mean  $\text{AUC}_{\text{post/pre/muscle}}$  and  $K(\text{trans})_{\text{post/pre/muscle}}$  were similar in post-CRT changes ( $1.10 \pm 0.58$ ;  $1.08 \pm 0.91$ ) and tumor persistence/recurrence ( $1.09 \pm 0.11$ ;  $1.03 \pm 0.12$ ), in our sample  $K(\text{trans})_{\text{post/pre/muscle}}$  values less than 0.85 suggested post-CRT fibrosis, whereas  $K(\text{trans})_{\text{post/pre/muscle}}$  values more than 1.20 indicated inflammatory edema.

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/app13052799/s1>, Materials and Methods. Table S1: Patients' data retrieved in the study. M: male; F: female; O: oropharynx; N: nasopharynx; P: positive; N: negative; U: unknown; RT: post-chemoradiotherapy changes; PR: tumor persistence/recurrence. \*The eighth edition of the American Joint Commission on Cancer TNM staging; Table S2. Magnetic resonance acquisition protocol performed for the study of naso-oropharyngeal carcinoma staging and 4-month follow-up from ending chemoradiotherapy. Unenhanced scans included sagittal fat-saturated T1- and T2- weighted sampling perfection with application-optimized contrasts using different flip angle evolution (SPACE) sequences with axial, coronal, and sagittal multiplanar reconstructions; axial T2-weighted turbo spin echo; axial fat-saturated echo-planar DWI spectral attenuated inversion recovery (SPAIR) with two b-values (b50–800 s/mm<sup>2</sup>) and ADC maps; two axial T1-weighted volumetric interpolated breath-hold examination (VIBE) DCE-PWI with application of flip angles (FAs) 5° and 15°, respectively. Enhanced scans performed after intravenous gadolinium chelates contrast agent injection (gadobutrol, 1 mL/10 kg, flow 3 mL/s, followed by 20 mL saline flush) consisted of an axial VIBE DCE-PWI with application of FA 30° and peripheral K space sampling with time to center 2.2 s, an axial T1-weighted turbo spin echo, and an axial VIBE Dixon. Results. Table S3. Pre-treatment patients' data. ADC: apparent diffusion coefficient; AUC: area under the curve; k(trans): the volume transfer constant from the vascular space to the extravascular extracellular space; pre: values measured on magnetic resonance imaging performed for tumor staging; Table S4. Post-treatment patients' data. T2 signal intensity is referred to with respect to the muscle. Hypo: lower than muscle. Hyper+: similar or slightly higher than muscle. Higher++: clearly higher than muscle; ADC: apparent diffusion coefficient; AUC: area under the curve; K(trans): the volume transfer constant from the vascular space to the extravascular extracellular space; post: values measured on magnetic resonance imaging performed for 4-month follow-up; Table S5. Comparison between post-treatment and pre-treatment patients' data. ADC: apparent diffusion coefficient (expressed in  $\times 10^{-3}$  mm<sup>2</sup>/s). ADC<sub>post-pre</sub>: residual tissue mean ADC value—tumor mean ADC value. ADC<sub>post-pre</sub>%: residual tissue mean ADC value—tumor mean ADC value, expressed in percentage calculated as follows: (ADC<sub>post-pre</sub>  $\times$  100)/ADC<sub>pre</sub>. Negative percentages indicate that ADC<sub>post</sub> values are lower than ADC<sub>pre</sub>. AUC: area under the curve. AUC<sub>post/pre</sub>: ratio between the residual tissue AUC and tumor AUC values. AUC<sub>post/pre/muscle</sub>: ratio between residual tissue AUC and tumor AUC values, standardized with respect to AUC values of the ipsilateral trapezius muscle. K(trans): the volume transfer constant from the vascular space to the extravascular extracellular space. K(trans)<sub>post/pre</sub>: ratio between the residual tissue K(trans) value and tumor K(trans) value. K(trans)<sub>post/pre/muscle</sub>: ratio between the residual tissue K(trans) and tumor K(trans) values, standardized with respect to K(trans) values of the ipsilateral trapezius muscle.

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