

## Article

# Designing a Vertical Handover Algorithm for Security-Constrained Applications

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**Abstract:** In heterogeneous networks (HetNets), the vertical handover (VHO) is an essential process for mobile users (MUs) aiming to secure ubiquitous connectivity and maintain the highest quality of service (QoS) across various types of radio access technology (RAT), such as wireless fidelity (Wi-Fi), the global system for mobile communication (GSM), and the universal mobile telecommunications system (UMTS). In the literature, many recent VHO research works have been proposed in which a number of critical issues still arise when performing seamless vertical handover, including VHO packet loss, VHO delay, and VHO throughput. Moreover, the security aspect of triggering VHO has not been carefully considered, particularly when an MU intends to use a payment application. In this paper, we present a comprehensive performance evaluation for a new secure VHO algorithm, taking into account all the above issues, for co-located Wi-Fi and UMTS networks. The simulation results are compared with the media independent handover (MIH) IEEE 802.21 standard, which facilitates the seamless transfer of connection among heterogeneous networks. We show that the proposed algorithm outperforms the MIH standard in terms of VHO delay, VHO packet loss, and VHO throughput in providing uninterrupted connection to security-constrained applications.

**Keywords:** vertical handover; mobile networks; wireless networks; heterogeneous wireless networks; security-constrained applications



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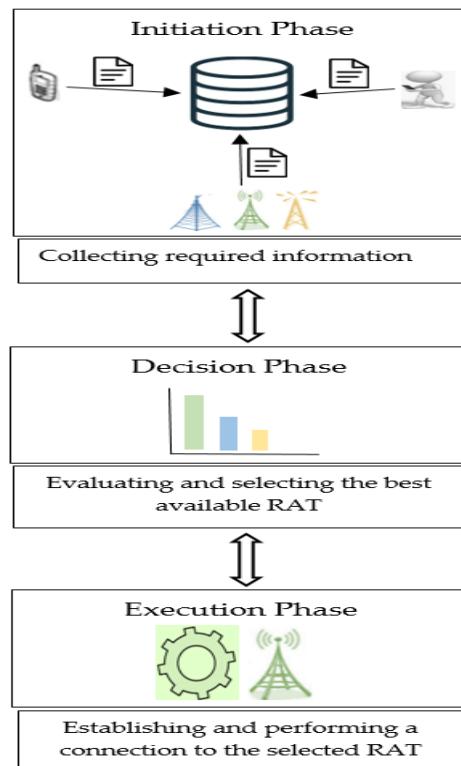
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## 1. Introduction

Recently, the number of mobile users (MUs) has exponentially increased. For example, according to the International Telecommunication Union (ITU) estimation in 2022, approximately 5.3 billion people, or 66 percent of the world's population, use the Internet [1]. This represents a 24 percent increase since 2019, with 1.1 billion people estimated to have gone online during that time [1]. Moreover, the MUs demand for services (e.g., voice, video) has been ever-increasing [2,3]. The primary goal of next generation wireless networks (NGWNs) is to provide high bandwidth at a low cost, while also supporting a variety of mobile applications. This can be effectively accomplished by vertical handover (VHO) [4]. VHO is a process that occurs when an MU moves from the coverage of one RAT to the coverage of another RAT in heterogeneous networks (HetNets) to ensure that the MUs are connected to the best RAT, such as wireless fidelity (Wi-Fi), the global system for mobile communication (GSM), and the universal mobile telecommunications system (UMTS), with a guaranteed quality of service (QoS) [5–8].

VHO has three phases as follows: initiation phase; decision phase; and execution phase [9–35], as shown in Figure 1. The first phase is responsible for collecting all required information, namely the network parameters (e.g., coverage and latency), MU's preferences (e.g., security, service cost), and terminal parameters (e.g., speed and battery). The second phase is responsible for evaluating and selecting the best available RAT according to the collected information [36–39]. The third phase is responsible for establishing and performing a connection to the selected RAT. The VHO decision is mainly based on the

input parameters as follows: received signal strength (RSS); cost; bandwidth; battery status; network load; and network coverage [8]. The final VHO decision is typically made based on QoS such as delay, jitter, and packet loss, perceived by the MU [40].



**Figure 1.** Vertical Handover (VHO) phases.

However, a number of critical issues still arise when performing seamless vertical handover, such as VHO packet loss, VHO delay, and VHO throughput. Moreover, the security aspect of triggering VHO has not been carefully considered particularly, when an MU's sensitive data are used via payment applications or relevant security applications. For instance, in references [41,42], the authors use a security-constrained application to countermeasure the attacks that arise when processing the medical images. If the same system is used in a mobile environment, the disruption in the connection may lead to the inefficient use of the algorithms. Therefore, this paper presents a comprehensive performance evaluation for a new secure VHO algorithm, taking into account all these issues, for co-located Wi-Fi and UMTS networks.

In this paper, we compared our work with the media independent handover (MIH) IEEE 802.21 standard, which facilitates the seamless transfer of connection among heterogeneous networks to enable VHO for security-constrained applications. The MIH standard considers each application with the same priority; therefore, we enhance the working of the MIH standard by assessing the security-constrained applications with high priority. In addition, the proposed system is tested in a networking scenario with a various number of Mus and mobility. The proposed system is experimented with using a various number of handovers and various applications in use. The accuracy of the proposed system shows the efficiency of VHO performance in cases where the MU switches on a security application.

The rest of the paper is organized as follows: In Section 2, related works are presented. In Section 3, a design of the proposed algorithm is presented. In Section 4, a performance evaluation and results discussion are presented. Finally, a conclusion is given in Section 5.

## 2. Related Works

Many recent research works have been considered in the literature [4,8,17,18,23,25,34,36,39,43–51]. In [4], the authors presented a VHO algorithm using simple additive weighting (SAW) and multiplicative exponential weighting (MEW) techniques, taking into account the following parameters: RSS; packet loss; delay; jitter; network coverage; and bandwidth. The results of the simulation show that the parameters are normalized, and appropriate weights are assigned to them based on their relative importance in the VHO decision process. In [8], the authors presented a VHO algorithm based on fuzzy logic (FL), taking into account the following parameters: RSS; QoS (latency, jitter, packet loss); network coverage; and bandwidth. The result of the simulation shows the best decision as to which RAT should be used to conduct VHO. In [17], a framework of the next generation VHO approach for a high-speed environment was proposed, taking into account RSS. It shows that minimizing the number of unnecessary VHOs and VHO failures leads to a reduction in the power consumption of the applications and maximizes the usage of microcell networks. In [18], the authors designed and simulated a new method based on a simple additive weighting (SAW) algorithm to combine several decision-making criteria to provide seamless VHO, taking into account the following parameters: RSS; signal to noise Ratio (SNR); and bandwidth. In [23], a framework was proposed to provide an effective strategy with the VHO process of initiation and the decision phases, taking into account the following parameters: large resource usage; packet loss; packet overhead; throughput; and time complexity.

In [36], the authors presented a VHO of the link going down (LGD) mechanism, which is a predictive event that occurs when link quality is expected to deteriorate in the near future, taking into account the following parameters: packet loss; delay; and throughput. The simulation results show different influences for different packet sizes and data rates for throughput, packet loss rate, and delay. In [39], a VHO algorithm based on an improved k-nearest neighbor (KNN) algorithm was proposed, taking into account the parameters of throughput and drop rate. The simulation results show that the proposed algorithm improves the resource utilization of the system. In [43], the authors designed a new algorithm for network selection using principal component analysis (PCA) to reduce multi-dimensional data into one-dimensional data, taking into account the following parameters: RSS; bandwidth; cost; and MU's speed. In [44], the authors designed and simulated a VHO algorithm based on the highest value of network fitness function (NFF), taking into account the following parameters: RSS; bandwidth; cost; and MU's speed. In [45], the authors proposed hybrid artificial neural networks (HANNs), taking into account the following parameters: RSS; bandwidth; and MU's speed. The simulation results show improvements in the number of VHOs, the number of blocked calls, the throughput, and the data latency compared with previous works.

In [46], the authors presented a utility function based on the Technique for Order of Preference by Similarity to Ideal Solution (TOPSIS) algorithm, which computes VHO decisions, taking into account the following parameters: (1) network-related (RSS, bandwidth, security, network condition, network performance, time to trigger, delay, QoS, speed); (2) terminal-related (power); and (3) service-related (cost, quality). The simulation results are better and use less energy than the previous works. In [47], the authors proposed a VHO algorithm, taking into account the following parameters: average number of VHOs; quality of experience (QoE); and packet loss. The simulation results show a fewer number of VHO, while maintaining a higher QoE and a lower packet loss. In [48], a secure procedure to enhance VHO security during the execution phase was presented, taking into account the following parameters: security and signaling cost. The numerical analyses results show that security is successfully improved by 20%, whereas signaling cost is maintained as in the non-proposed procedure.

The MIH and the IP multimedia subsystem (IMS) standards were established by the IEEE group and 3GPP, respectively, to facilitate the seamless transfer of connection among heterogeneous networks, such as Wi-Fi, GSM, and UMTS, by leveraging these intercon-

nected standards to aid and supplement their work [34]. In [25], the VHO approaches proposed in the literature were classified into four categories based on MIH and IMS standards: the MIH-based VHO category; the IMS-based VHO category; the MIP under the IMS-based VHO category; and the MIH and IMS combination-based VHO category, to show their objectives are performing seamless VHO. According to [25], the MIH standard is more flexible and has a higher performance when providing seamless VHO than the IMS standard; thus, the majority of techniques in the literature were based on the MIH standard. The MIH standard distinguishes two types of entities [34], namely point of service (PoS) and point of attachment (PoA). Using the MIH standard, the PoS is in charge of establishing communication between the network and the MU. The PoA is the RAT access point. Additionally, the MIH standard has three main services [34]: media independent event service (MIES); media independent command service (MICS); and media independent information service (MIIS). The MIES is responsible for reporting the events after detection, such as link up on the connection (established), link down (broken), and link going down (breakdown imminent) [34]. The MIIS is in charge of gathering the necessary information to determine the requirements for VHO and distributing it to the MUs, such as available networks, locations, capabilities, and cost [34]. The MICS is in charge of issuing orders based on the information gathered by the MIIS and MIES, such as MIH handover initiate, MIH handover prepare, MIH handover commit, and MIH handover complete [34]. The MIH standard is tested in NS2.29 v3. Initially, the MIH standard had many problems; however, with the passage of time, many of them were resolved and incorporated into the final version.

A VHO process is always affected by using inappropriate parameters for triggering and network selection phase. For instance, using the RSS value to trigger a handover process may lead to the wrong selection of the target network. For instance, in [49], the authors showed how a VHO process may be affected by using the RSS value to trigger a handover process. The authors suggested the use of data rate to trigger a handover process. For instance, if the author is moving on a high-speed train and not using mobile equipment, then there is no need for a handover. However, if the MU is using an application with high priority, then it is necessary to switch to a target network that provides a high data rate. Similarly, in [50], the authors use a neural network model incorporating a number of parameters, such as maximum transmission rate, minimum delay, signal to interference and noise ratio (SINR), bit error rate, user moving speed, and packet loss rate, to select the trigger and the target network. However, using a neural network may lead to exhaustive processing during the VHO process; therefore, it might increase the VHO delay. In [51], the authors suggest triggering the handover process based on the data rate of the current application running on the MU equipment. If the data rate for all the applications on the MU equipment drops below a particular threshold, the MU triggers a handover. Similarly, the selection of the target network is performed based on the end-to-end delay, jitter, bit error rate, and packet loss of the available networks. These parameters are obtained from each target network, and then the MU selects the one with highest value using the artificial bee colony (ABC) optimization approach. However, using the ABC algorithm in the minimum amount of time will always lead to a high amount of processing; therefore, it might not be possible for an MU to select the appropriate target network.

In conclusion, we summarize the schemes similar to the proposed algorithm in Table 1 below based on various parameters, such as VHO delay, frequent VHOs, VHO throughput, etc. We have seen that the proposed algorithm outperforms these schemes in terms of the abovementioned parameters.

**Table 1.** Comparative study of the proposed algorithm with the literature.

Reference	Failed Handovers	VHO Delay	Frequent VHOs	VHO Throughput	VHO Packet Loss
[8]	n/a	✓	n/a	✓	✓
[17]	n/a	n/a	n/a	✓	n/a
[36]	n/a	n/a	n/a	n/a	✓
[39]	n/a	✓	n/a	✓	✓
[43]	n/a	✓	✓	✓	n/a
[44]	n/a	n/a	✓	✓	n/a
[47]	✓	✓	n/a	✓	✓
[48]	n/a	n/a	n/a	✓	n/a
[49]	n/a	✓	n/a	✓	n/a
Proposed algorithm	✓	✓	✓	✓	✓

### 3. Design of the Proposed Algorithm

In Section 2, many recent VHO research works have been considered [4,8,17,18,23, 25,34,36,39,43–51]. It was noticed that the security aspect of triggering VHO has not been adequately studied, particularly when an MU plans to utilize a payment application. Therefore, we present a comprehensive performance evaluation for a new secure VHO algorithm, taking into account the following critical issues: VHO security; VHO packet loss; VHO delay; and VHO throughput. We consider two types of RAT, namely Wi-Fi (access point: AP) and UMTS (base station: BS), as shown in Table 2 and Figure 2. There are two types of thresholds, namely the distance threshold and speed threshold. However, our system is not compatible with high-speed trains and is only confined within 4G, 5G, and Wi-Fi technology.

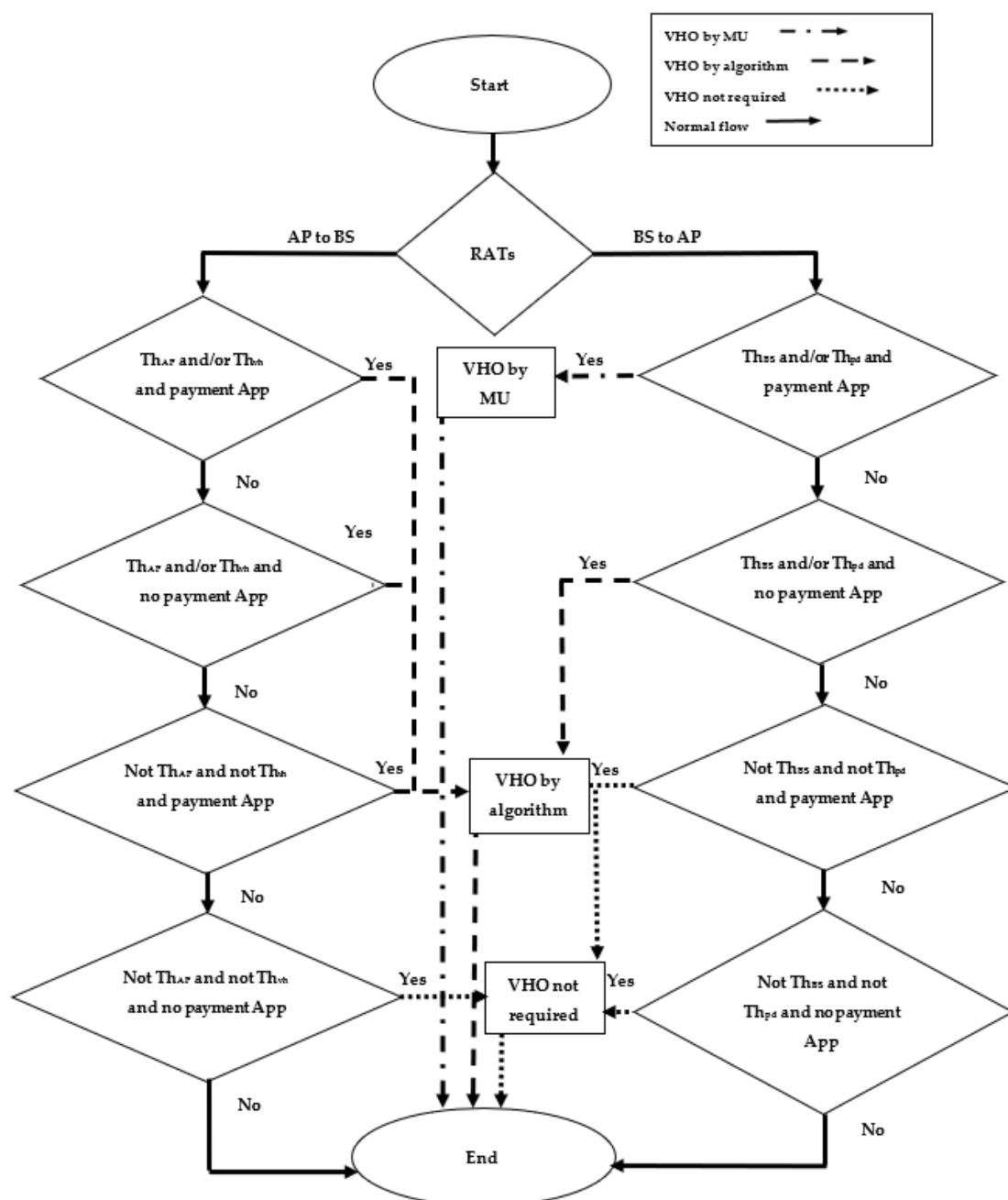
1. Distance threshold:  $Th_{BS}$  is 1 km for BS and  $Th_{AP}$  is 100 m for AP.
2. Speed threshold:  $Th_{pd}$  is 1–3 m/s for pedestrians and  $Th_{vh}$  is 10 m/s for the vehicle.

#### BS to AP

- If the MU reaches  $Th_{BS}$  and/or  $Th_{pd}$  and switches to a payment application, then VHO is triggered to an available AP to keep the sensitive session ongoing. It should be therefore considered MU's responsibility.
- If the MU reaches  $Th_{BS}$  and/or  $Th_{pd}$  and does not switch to a payment application, then VHO is triggered to an available AP to keep the non-sensitive session ongoing. It should be considered by the algorithm dynamically, without MU intervention.
- If the MU does not reach  $Th_{BS}$ ,  $Th_{pd}$  and switches to a payment application, then triggering VHO is not required.
- If the MU does not reach the  $Th_{BS}$ ,  $Th_{pd}$  and does not switch to a payment application, then triggering VHO is not required.

#### AP to BS

- If the MU reaches  $Th_{AP}$  and/or  $Th_{vh}$  and switches to a payment application, then VHO is triggered to an available BS to secure and keep the sensitive session ongoing. It should be considered by the algorithm dynamically, without MU intervention.
- If the MU reaches  $Th_{AP}$  and/or  $Th_{vh}$  and does not switch to a payment application, then VHO is triggered to an available BS to keep the non-sensitive session ongoing. It should be considered by the algorithm dynamically, without MU intervention.
- If the MU does not reach  $Th_{AP}$ ,  $Th_{vh}$  and does not switch to a payment application, then VHO is triggered to an available BS to secure the ongoing sensitive session. It should be considered by the algorithm dynamically, without MU intervention.
- If the MU does not reach the  $Th_{AP}$ ,  $Th_{vh}$  and does not switch to a payment application, then triggering VHO is not required.

**Figure 2.** The proposed algorithm.**Table 2.** Co-located AP and BS networks.

Scenario	$\text{Th}_{\text{BS}}$	$\text{Th}_{\text{AP}}$	$\text{Th}_{\text{pd}}$	$\text{Th}_{\text{vh}}$	Payment App.	VHO	MU's Responsibility (1) Algorithm's Responsibility (2)
BS-AP	/	n/a	/	n/a	/	/	1
	/	n/a	✗	n/a	/	/	1
	✗	n/a	/	n/a	/	/	1
	/	n/a	/	n/a	✗	/	2
	/	n/a	✗	n/a	✗	/	2
	✗	n/a	/	n/a	✗	/	2
	✗	n/a	✗	n/a	/	✗	2
	✗	n/a	✗	n/a	✗	✗	2

**Table 2.** Cont.

Scenario	Th <sub>BS</sub>	Th <sub>AP</sub>	Th <sub>pd</sub>	Th <sub>vh</sub>	Payment App.	VHO	MU's Responsibility (1) Algorithm's Responsibility (2)
AP-BS	n/a	✓	n/a	✓	✓	✓	2
	n/a	✓	n/a	✗	✓	✓	2
	n/a	✗	n/a	✓	✓	✓	2
	n/a	✓	n/a	✓	✗	✓	2
	n/a	✓	n/a	✗	✗	✓	2
	n/a	✗	n/a	✓	✗	✓	2
	n/a	✗	n/a	✗	✓	✓	2
	n/a	✗	n/a	✗	✗	✗	2
	n/a	✗	n/a	✗	✗	✗	2

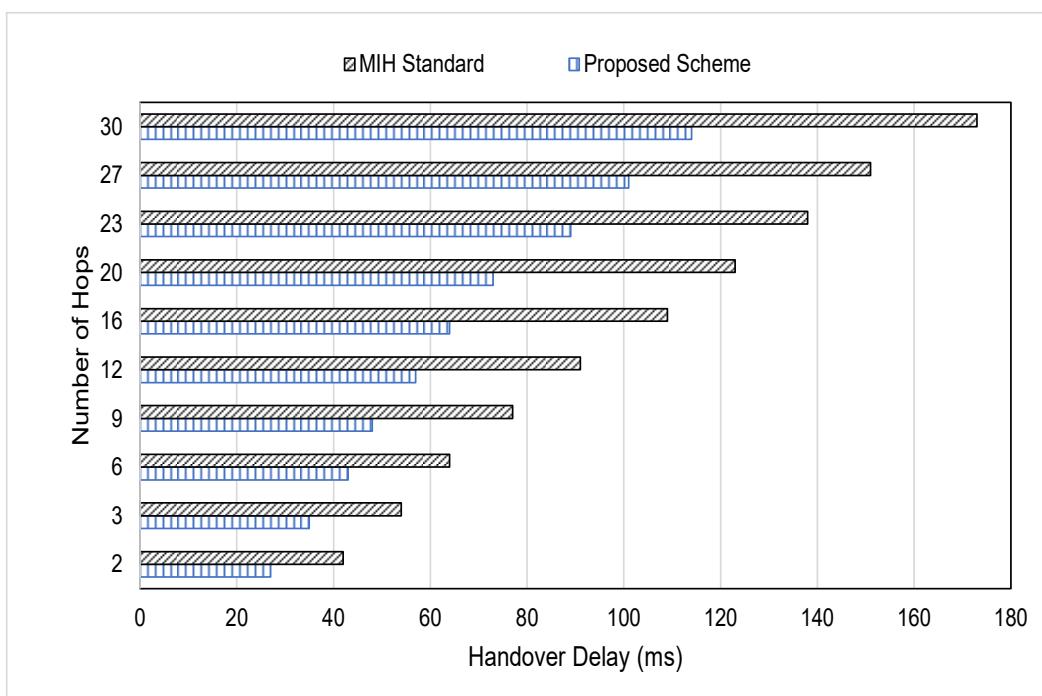
#### 4. Performance Evaluation and Results Discussion

The proposed algorithm was simulated in a number of networking scenarios compared to the MIH standard. In the simulation scenario, we considered a UMTS network with 10 BSs and a Wi-Fi network with a number of APs. The important simulation parameters are given in Table 3. In a normal VHO scenario, each MU is programmed to perform a VHO from one network to another based on the RSS value. However, if an MU initiates an application that requires a secure connection, such as a banking application, the MU switches to the UMTS, which is more secure compared to a Wi-Fi network. Similarly, the UMTS provides coverage to a larger area compared to the Wi-Fi network; therefore, it reduces the frequent handovers. Further, each MU randomly opens a new application as soon as an old application is closed. Additionally, the MU is initially assigned a random number of applications at the beginning of the simulation. In the simulation, we first tested the idea of providing a secure connection to critical applications using the MIH standard. Secondly, we used the same scenario for the proposed algorithm. The results from both tests are depicted in the section.

**Table 3.** Simulation parameters used in the experiments.

Simulation Parameter	Value
Number of MUs	15, 25, 50
MU movement model	Random waypoint mobility model (10 m/s)
Propagation channel model	Two-ray ground
UMTS network communication range	1 km
Wi-Fi network coverage	100 m
RSS threshold	-62 dBm
Traffic type	CBR
Packet size	512 Bytes

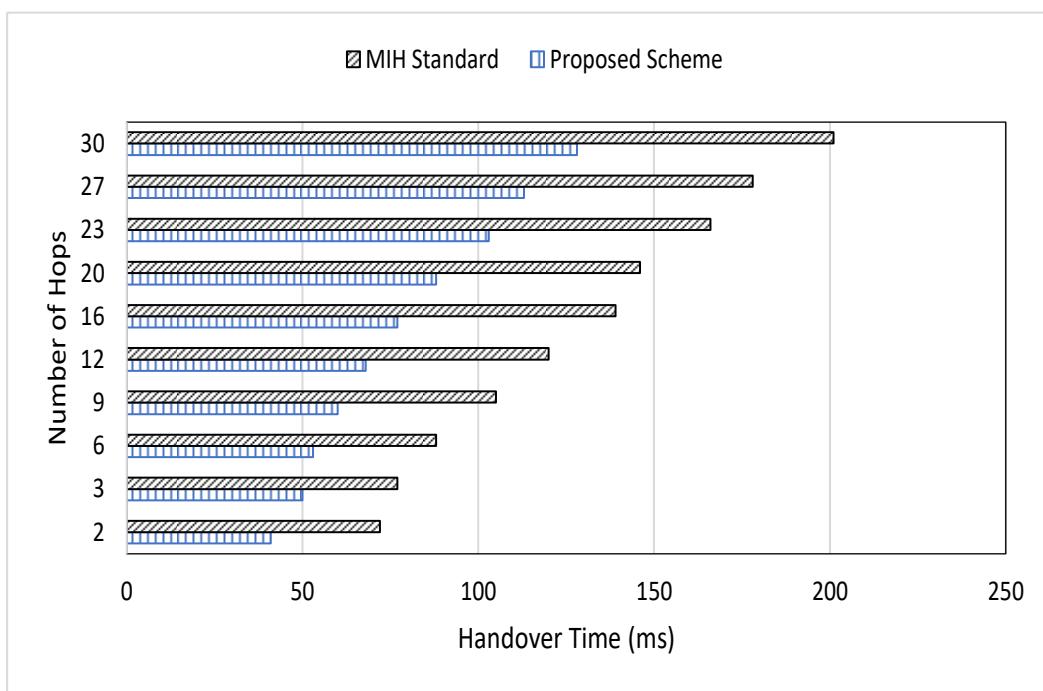
In Figure 3, the VHO delay of the proposed algorithm is compared with the MIH standard. We noticed that the MIH standard considered each application with equal priority; therefore, the VHO delay, in the case of security-constrained applications, always requires a high VHO delay compared to the proposed algorithm. In the case of a high VHO delay, there is a high possibility that the connection may drop, which will affect the overall performance of the system. On the other hand, in the case of the proposed algorithm, as soon as the MU opens a security-constrained application, the MU switches to the UMTS networks, even if the RSS threshold is not yet met. In the case of the proposed algorithm, the MU randomly opens the applications from a list of applications. In the experiments, we considered five different types of applications as follows: (1) background; (2) elastic applications; (3) video streaming; (4) audio streaming; and (5) security-constrained applications. Each application's type was assigned values from 1 to 5, which the MU used to recognize the priority of the applications. In the simulation, the MU, if using the proposed algorithm, always performs VHO if an application is selected from application type 5.



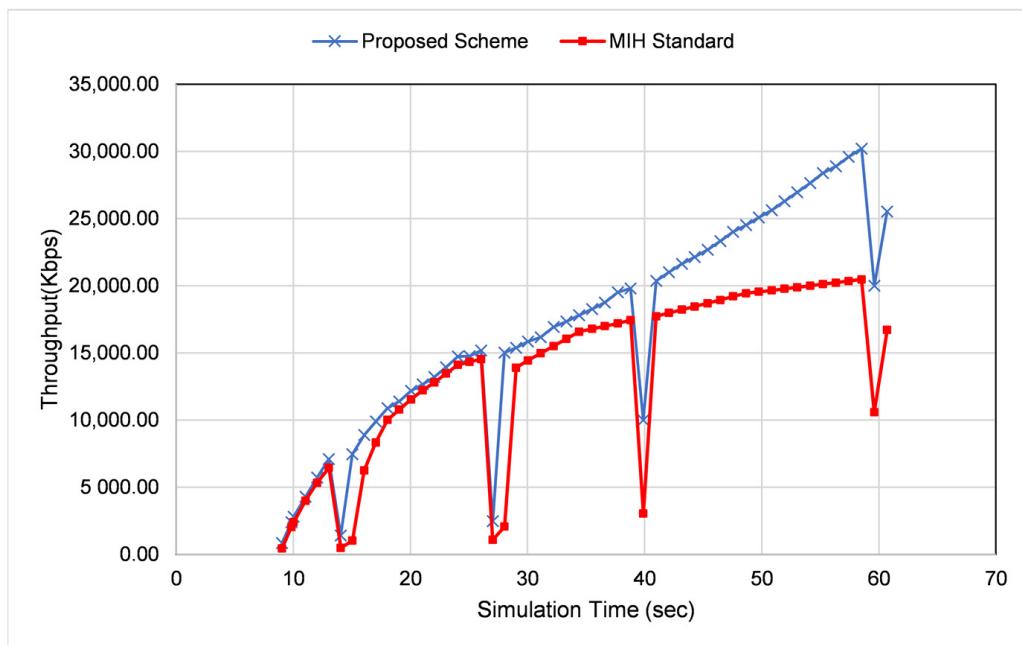
**Figure 3.** VHO delay is required by an MU during various handovers.

In Figure 4, we computed the total time required to complete the entire VHO process. A handover process consists of the following three phases: (1) handover initiation, which involves collecting all the required information, namely network parameters (e.g., coverage and latency), MU's preferences (e.g., security, service cost), and terminal parameters (e.g., speed and battery); (2) network selection, which involves evaluating and selecting the best available RAT according to the collected information; and (3) handover execution, which involves establishing and performing a connection to the selected RAT. To compare the proposed algorithm with the MIH standard, we therefore computed the total VHO time to show the performance of the proposed algorithm. The total VHO time in the case of the proposed algorithm was less compared to the MIH standard because frequent handovers during the transfer of connection to the UMTS network for security-constrained applications were avoided. As we see, the MIH standard does not consider the priority of the applications and is thus affected by frequent handovers. Additionally, breaking the connection during security-constrained applications is also risky and thus MUs may not trust the future of using security-constrained applications on such networks and connections.

The throughput during the VHO process is important to compute for critical applications. In the case of the MIH standard, the throughput is computed for an entire VHO process. Therefore, in the case of the proposed algorithm, we also computed the throughput to show the performance in comparison with the MIH standard. As we can see in Figure 5, the VHO throughput of the proposed algorithm is significantly high compared to the MIH standard. There are two main reasons for this significant VHO throughput, namely (1) less frequent handovers and (2) connection to a network for a longer time. We noticed, during the experiments, that the MU in the case of the proposed algorithm is connected for a longer time to a network. One of the reasons for this is that as soon as an MU opens a security-constrained application, it switches to the UMTS network, which provides a higher coverage area compared to the Wi-Fi network. However, in the case of the MIH standard, the MU switches frequently between the available networks and thus causes frequent disruption during the transfer of connection.



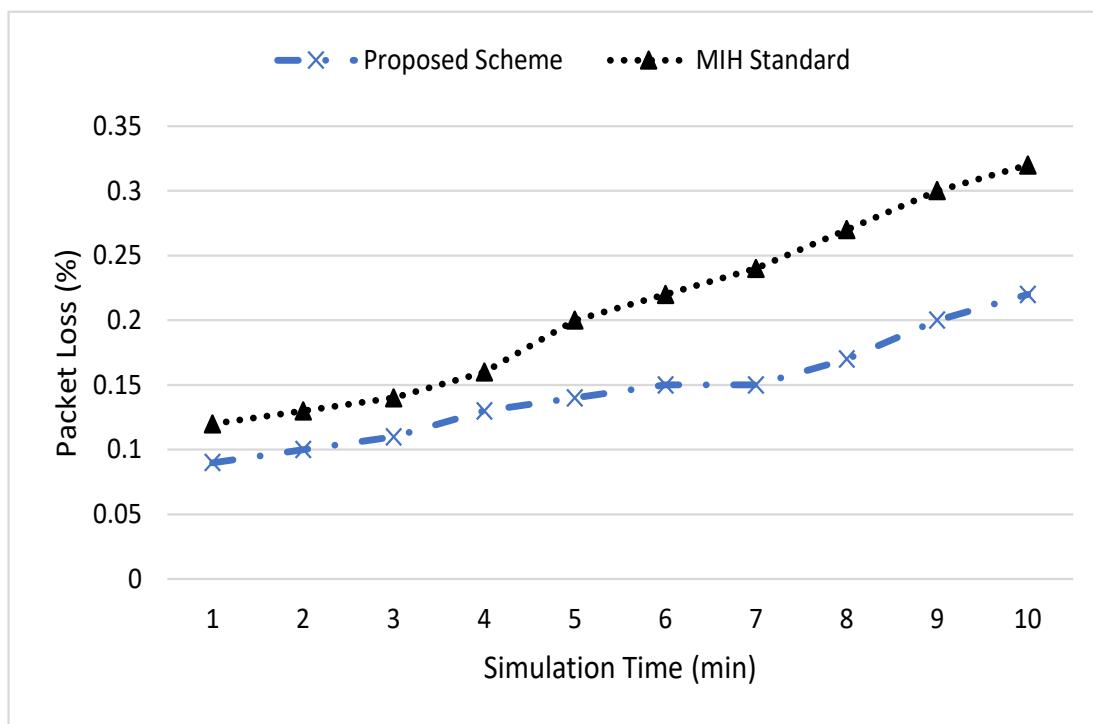
**Figure 4.** Total VHO time required by an MU during various handovers.



**Figure 5.** Analysis of throughput during VHOs.

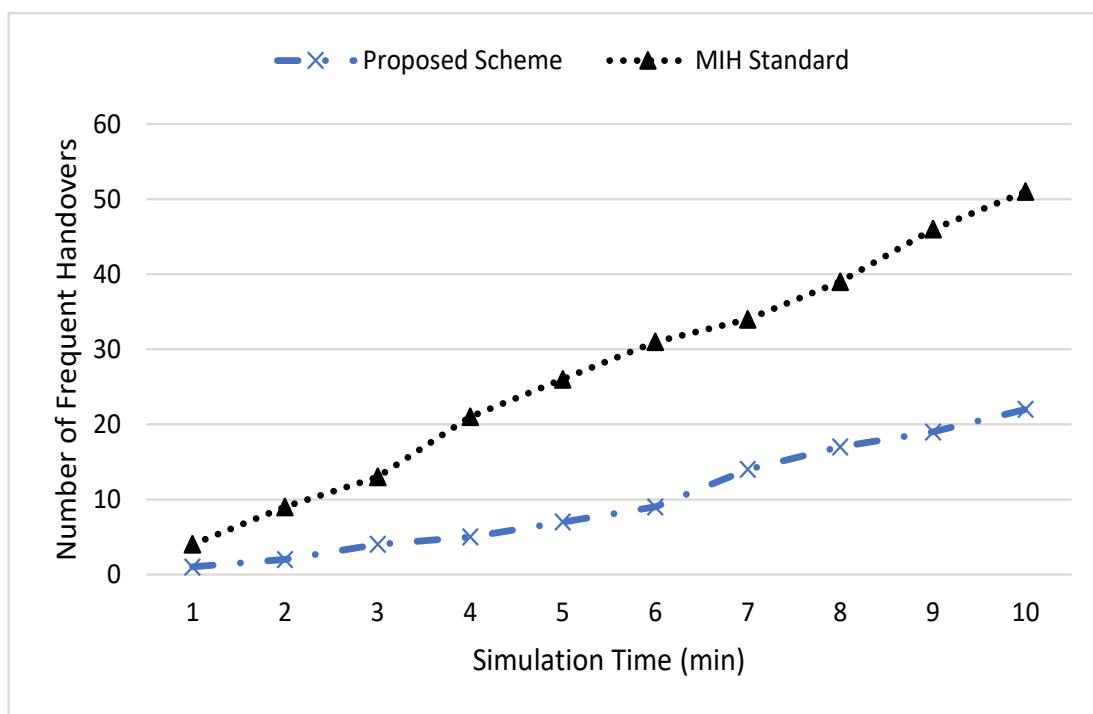
We showed the packet loss during the VHO process. The International Telecommunication Union (ITU) defines the standard of packet loss during the VHO process as less than 3%; otherwise, the connection will break between the two parties. Therefore, we computed the VHO packet loss to show the performance of the proposed system. In the case of the proposed algorithm, the VHO packet loss is always less than the defined threshold of 3%. This is shown in Figure 6. The proposed system is connected for a longer time to a network; therefore, the VHO packet loss is significantly less compared to the MIH standard. The disconnection of the network due to the frequent network always selects an inappropriate network for VHO. This rises the number of frequent handovers as the MU is

always searching for the best network. However, RSS-based VHO triggering will always select inappropriate networks due to the many reasons discussed in [52].



**Figure 6.** Analysis of packet loss during the mobility of MUs in the simulation scenario.

Frequent handovers always affect the VHO process. For instance, if an MU using a security-constrained applications experiences a sudden disruption to connection, this may cause delay and even reinitiate the entire process. Therefore, we tested both the MIH standard and our proposed algorithm for frequent handovers. As we can see in Figure 7, the number of frequent handovers is significantly less compared to the MIH standard. One of the main reasons for this significantly smaller number of frequent handovers is that the proposed algorithm always provides a stable enough connection time for a security-constrained application to finish. In general, security-constrained applications always require less time to complete compared to other applications, such as video streaming, etc. Additionally, in the case of frequent handovers, the MU always tries to establish connection to a new AP or BS, which is one of the main causes of high VHO delay in the MIH standard. Our proposed algorithm mainly considers user centricity during the VHO process. However, in the case of the MIH standard, the stability of the connection mainly relies on the RSS strength from the source AP or BS. Therefore, closed contact of the MU with the surrounding environment will always lead to frequent handovers if the VHO is based on the RSS value. Similarly, the number of failed VHOs will also increase if the RSS values from the target AP or BS change frequently. In conclusion, it is important to consider the important factors affecting the VHO process, such as the required data rate for a running application, bandwidth, velocity of the MU, RSS, SINR, user preferences, etc. These factors highly influence the VHO process.



**Figure 7.** Analysis of the number of frequent handovers during the mobility of MUs in the simulation scenario.

## 5. Conclusions

In performing seamless vertical handover, VHO packet loss, VHO delay, and VHO throughput remain major obstacles. Moreover, the security aspect of triggering VHO has not been adequately studied, particularly when an MU plans to utilize a payment application. We therefore present a comprehensive performance evaluation for a new secure VHO algorithm, taking into account these obstacles, for co-located Wi-Fi and UMTS networks. The performance of the proposed algorithm is tested in a number of scenarios with various numbers of MUs. We have seen that the proposed algorithm performed significantly compared to the MIH standard, which enables the seamless transfer of connection among heterogeneous networks in terms of various factors, such as VHO delay, VHO handover time, VHO throughput, and VHO packet loss. During the experiments, we saw that the MIH standard suffered from high VHO delay and VHO packet loss due to frequent handovers. However, the proposed algorithm always performs well, and the VHO packet loss is always less than the 3% packet loss threshold defined by the MIH standard. Finally, in the future, we will implement the proposed system on real a testbed for advanced networking technology, such as 5G and beyond.

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