



## Article

# Applying Statistical Models to Optimize the Weld Bead Geometry in the Vertical Oscillation Arc Narrow Gap All-Position GMAW

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**Abstract:** Vertical oscillation arc welding for narrow gap gas metal arc welding (NG-GMAW) has a relatively simple structure, and it is widely used in all-position pipeline field welding. However, it has some shortcomings, such as incomplete fusion defects on the sidewall and interlayer. Aiming at resolving these shortcomings, a mathematical model is proposed to obtain appropriate welding parameters in different positions. In this model, the response surface methodology (RSM) based on the central composite design (CCD) was developed to study the interactions between welding parameters and the weld bead geometry. Then the analysis of variance (ANOVA) was used to evaluate the accuracy and significance of the proposed model. Finally, experiments were carried out in flat, vertical, and overhead positions to obtain the optimal parameters. The macroscopic metallography of the transversal section of the weld bead under the optimizing welding parameters showed that the weld beads were free of defects in the sidewall and interlayers.

**Keywords:** NG-GMAW; vertical oscillation arc; all-position welding; incomplete fusion defects; statistical model; RSM



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## 1. Introduction

Compared to traditional welding methods, all-position narrow gap gas metal arc welding (NG-GMAW) has lower heat input, lower material consumption, small thermal deformation, and high productivity. Accordingly, this method has been widely used in diverse industries such as oil and gas and even the nuclear industry to weld large structural parts, pressure vessels, and pipelines [1–5]. In the all-position narrow gap welding process, when the welding position changes from flat to an overhead position, gravity affects the molten pool in the opposite direction gradually. The molten pool is also affected by other factors such as voltage, current, speed of welding, shielding gas composition, and flow rate. Because of these factors, incomplete fusion is prone to occur in the welding bead, sidewall, and interlayers [2,6,7]. Studies show that these defects generally originate from the lack of heat input control and the formation of the poor upper weld bead. Aiming at resolving this problem, numerous investigations have been carried out to improve the quality of narrow gap welding, and different methods, such as angular swing arc [8–10], rotating arc [1,11,12], tandem GMAW [13–15], laser welding [16–18], hybrid laser-arc welding [19–21], and cable-type wire welding [6,22,23] have been developed. Although these methods have remarkable achievements, they have high requirements for welding equipment in welding long-distance pipelines in the field environment, which limits the development of automatic welding for pipelines in the field. In order to resolve this shortcoming, vertical oscillation arc welding with relatively fewer requirements on equipment structure was developed, but the stability of the welding process and quality of the weld bead should be further promoted. A review of the literature indicates that mathematical models are powerful tools that can help find the relationship

between input and target parameters. Modensi [24] adopted the partial factorial design scheme to investigate the influence of input variables and their interactions in determining weld bead shape in NG-GMAW. Response Surface Methodology (RSM) has captured the interest of many researchers and engineers worldwide [25]. Xu et al. [2,26] used RSM based on central composite design (CCD) to predict and optimize weld bead geometry for angular swing arc NG-GMAW. Chang et al. [27] employed the optimized BP neural network to predict the penetration of asymmetrical fillet root welding in GMAW. Padmanaban et al. [28] developed an empirical relationship to predict the tensile strength of laser beam welded AZ31B magnesium alloy using response surface methodology. Li et al. [29] proposed a hybrid model to describe the groove sidewall penetration dynamics for the rotational arc NG-GMAW process, which includes a support vector machine (SVM)-based dynamic model and a cubic polynomial regression model to predict the penetration. Wang et al. [30] established the mapping model between weld parameters and process parameters under limited sample conditions based on a four-layer BP neural network optimized with a genetic algorithm. Li et al. [31] presented an integrated process-performance model, which is composed of a finite element-based process model to calculate the weld area and an artificial neural network-based performance model to predict the weld quality. Shi et al. [7] applied statistical models to study the interactions between welding parameters and geometry of a single bead and provided a guidance trajectory for automatic multi-pass narrow-gap laser welding. Pan et al. [32,33] optimized laser welding parameters on Mg alloy and its multiple quality characteristics via a Taguchi method-based grey analysis. Asit [34] performed the grey Taguchi analysis and optimized laser parameters in the laser welding of dissimilar materials to increase hardness and reduce HAZ during welding. The abovementioned methods show that statistical methods can be effectively applied to optimize the welding parameters.

In the present study, combined with previous research work [35], it was intended to combine the all-position NG-GMAW method with vertical oscillation arc welding. Then the welding parameters were optimized to achieve the desired sidewall penetration and weld bead shape and reduce incomplete fusion on the sidewall and interlayer. Statistical techniques were employed to design the experiments and analyze the generated data. The response surface methodology (RSM) based on central composite design (CCD) was employed to develop the statistical model of the weld bead shape and optimize the welding parameters.

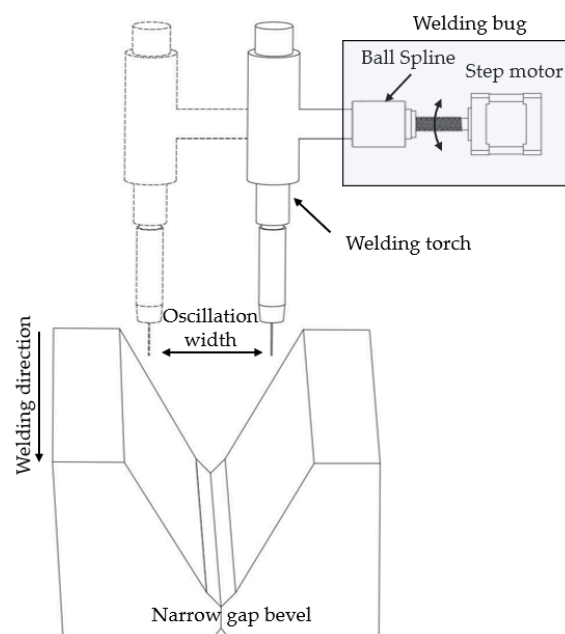
## 2. Materials and Methods

### 2.1. Experiment Process and Materials

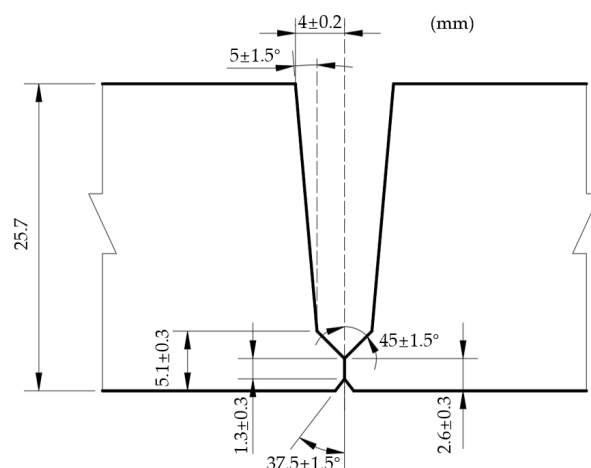
Figure 1 shows the schematic diagram of the vertical oscillation arc welding torch for NG-GMAW. The ball spline converts the rotary motion of the ball screw driven by the stepper motor into the reciprocating motion of the welding torch. Then the vertical oscillation arc forms with the welding torch movement, and it is used to weld the narrow gap bevel. A welding bug takes this set of oscillation mechanisms to move around the pipe in all positions for girth welding.

In the present study, plate specimens were used to simulate welding on the pipe surface on the positioner and analyze the effect of welding parameters on the weld forming at a fixed position. Figure 2 shows the geometry of the narrow gap on the welding plate with a double V bevel, which was spliced by two pieces of the specimen. The dimension of both specimens is 80 mm × 300 mm × 25.7 mm. During the experiment, specimens were fixed using a clamping device.

The transections of the test weld bead at flat, vertical, and overhead positions were polished, respectively. The cross-section face was corroded with 10% ammonium persulfate solution, and the macroscopic morphology of the welds was observed with a positive metallograph microscope MJ21 (Kunshan gaopin precision instrument Co., Ltd., Kunshan, China). The weld dimension was measured using high-accuracy software provided by the MJ21.



**Figure 1.** Schematic diagram of vertical oscillation arc welding torch.



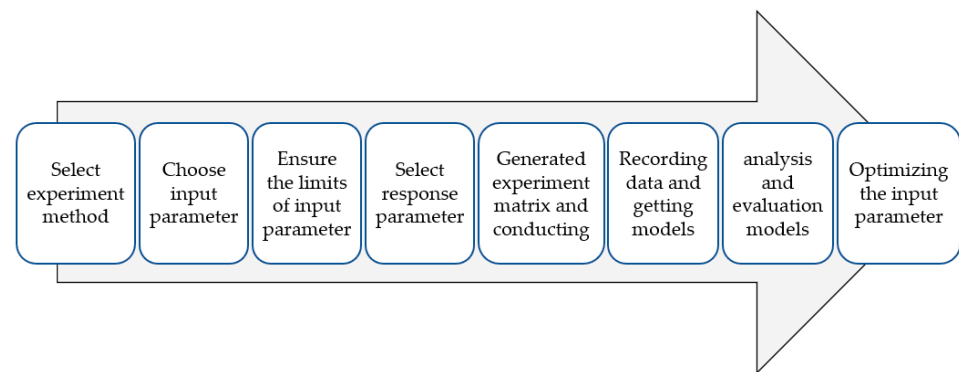
**Figure 2.** Geometry of the narrow gap with double-V type.

The specimens were made of X80, and the filler wire was a 1.0 mm diameter Lincoln 80Ni1. The chemical compositions of the specimen and the filler wire are listed in Table 1. The composition of the shielding gas was 80%Ar + 20%CO<sub>2</sub>, and the gas flow rate was 30 L/min.

**Table 1.** Chemical compositions of specimen and filler wire (wt-%).

	C	Mn	Si	S	P	Ni	Cu	Cr	Fe
Specimen	0.08	1.37	0.59	0.012	0.012	0.011	0.10	0.021	Bal.
Filler wire	0.063	1.83	0.28	0.0006	0.011	0.03	0.04	0.03	Bal.

The experiment was established by the Design-Expert software, which includes five factors, and each factor has five levels. All tests are designed in full type with one block. Then the statistical model was generated and checked to optimize the welding parameters and reach the desired weld bead geometry. Figure 3 shows the workflow of this process.

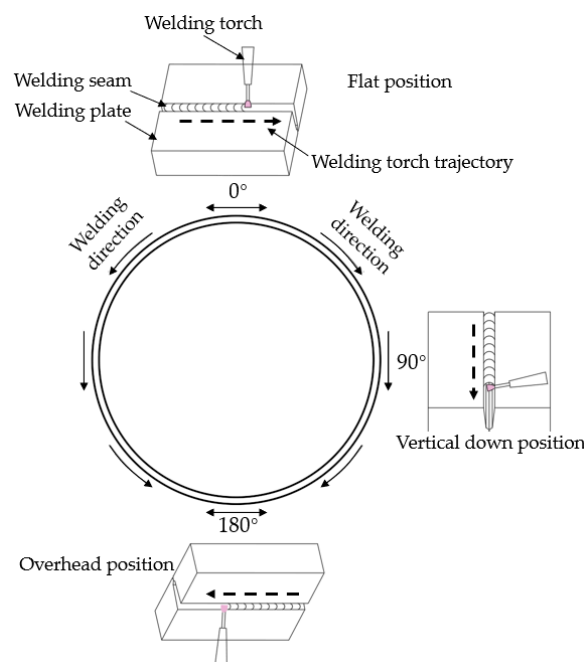


**Figure 3.** Overall process flowchart.

## 2.2. Method

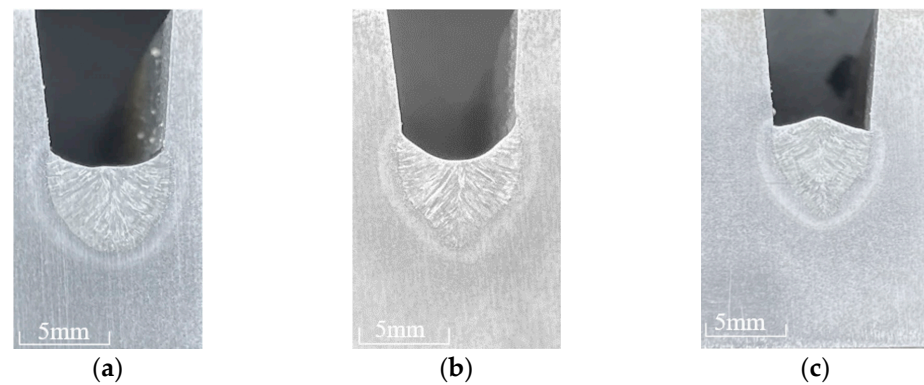
### 2.2.1. Selecting Input and Response Parameters

Figure 4 shows the welding position on the positioner. Based on the presented figure,  $0^\circ$ ,  $90^\circ$ , and  $180^\circ$  denote the flat welding, vertical down welding, and overhead welding positions, respectively. The welding direction is downward around the pipeline.



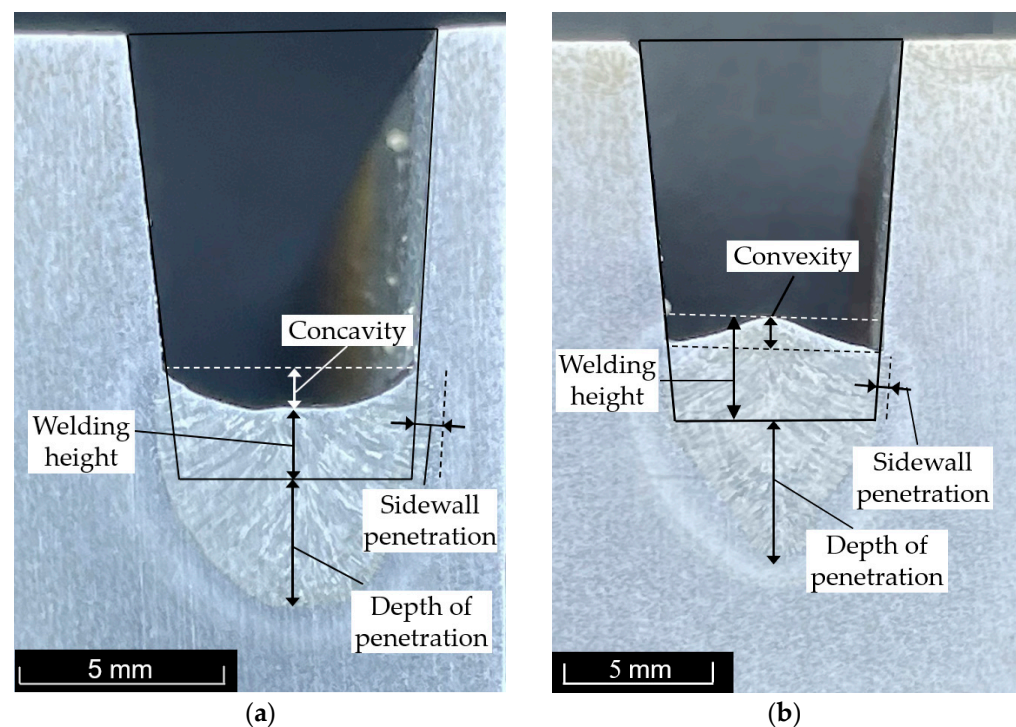
**Figure 4.** Schematic of NG-GMAW in different positions.

During welding from  $0^\circ$  to  $180^\circ$ , gravity has an opposite force on the molten pool, and the weld bead shows a different morphology. Figure 5 shows the typical weld bead morphology at different positions. It is observed that at the flat position, the cross-section of the weld bead is semioval, and the top of the weld bead is slightly concave. Moreover, Figure 5a shows that the weld pool is uniformly solidified in the weld groove. At the vertical welding position, Figure 5b shows that the weld-bead morphology changes greatly; the concave degree at the top of the weld bead increases sharply, and the bottom morphology of the weld bead changes from elliptic to conical form. Compared to the center of the weld bead, the molten pool is mainly solidified on both sides of the bevel. At the overhead position, the gravity is opposite to the solidification of the molten pool, which results in the convex shape of the welding bead, as shown in Figure 5c.



**Figure 5.** Transection of typical weld-bead morphology at (a) Flat position ( $0^\circ$ ), (b) Vertical position ( $90^\circ$ ), and (c) Overhead position ( $180^\circ$ ).

However, the concave and convex morphology, as shown in Figure 5b,c, are prone to forming defective weld beads in subsequent welding. Therefore, many researchers optimize welding process parameters to obtain as flat a weld bead as possible. Xu et al. [2] and Hao et al. [7] showed that in all-position NG-GMAW, wire feeding speed ( $W_f$ ), welding speed ( $W_s$ ), and welding position ( $\alpha$ ) have obvious influences on the welding bead formation. Zhang et al. [36] showed that the welding voltage ( $V$ ) affects the arc shape, thereby affecting the welding pass formation. As the vertical oscillation arc was used in this study, the effect of the oscillation width ( $O_W$ ) on the weld bead formation should be considered in the calculations; Accordingly, wire feeding speed ( $W_f$ ), welding speed ( $W_s$ ), welding voltage ( $V$ ), welding position ( $\alpha$ ), and oscillation width ( $O_W$ ) were selected as the input parameters. The geometric dimensions of the weld bead, including the sidewall penetration  $S_p$ , welding penetration  $D_p$ , welding height  $W_h$ , and the flatness degree of the weld bead surface  $C$  were introduced to the model as the response parameters. Measurement metrics of concave and convex weld beads are presented in Figure 6a,b, respectively.  $C$  is the flatness degree of the weld bead, and negative and positive values of  $C$  reflect concave and convex weld beads, respectively.



**Figure 6.** Definition measurement metrics of (a) Concave weld bead and (b) Convex weld bead.



### 2.2.2. Designing and Conducting the Experiment

In this section, the single-factor experiment scheme was used to determine the selection range of each input parameter separately. The criteria were used to determine a reasonable range of parameters so that the welding process was stable and had no defect on the weld bead. In order to ensure the sequential and rotational properties of the experiment, the CCD method was used to design the experiment matrix. It is worth noting that sequential properties ensure the consistency and extensibility of the experiment, while the rotational properties ensure whether the designed experiment points are on the same sphere as the central point. Moreover, the rotational properties also ensure the consistency of the prediction variance of the experiment point. In the present study, five levels were considered for each input parameter, and each level was defined with a code named  $-\beta$ ,  $-1$ ,  $0$ ,  $+1$ ,  $+\beta$ . The value of  $\beta$  can be calculated using the following expression:

$$\beta = (2^k)^{1/4} \quad (1)$$

In this article, five input parameters are considered in the experiments, so  $k$  is set to 5 and  $\beta = 2.38$ . Therefore, the upper limit and lower limit of the design code are  $+2.38$  and  $-2.38$ , respectively. The relationship between the actual value and code value for each parameter is shown in Table 2.

**Table 2.** Code value of the input parameters.

Factors	Unit	Code Value				
		$-2.38$	$-1$	$0$	$+1$	$+2.38$
$W_f$	$\text{m} \cdot \text{min}^{-1}$	7.6	8.7	9.5	10.3	11.4
$V$	V	19.7	21.5	24	22.75	25.7
$W_s$	$\text{mm} \cdot \text{min}^{-1}$	281	350	400	450	518
$\alpha$	$^\circ$	1.69	52	88.5	125	175
$O_W$	mm	0.74	1.5	2.05	2.6	3.36

The experiment matrix obtained from Design-Expert software is presented in Table 3. The experiment was carried out in accordance with the experimental matrix. The measured weld-bead geometry is presented in Table 3.

**Table 3.** Designed experiment matrix and measured responses.

Std	Run	Code Variables					Response Parameters			
		$W_f$	$V$	$W_s$	$O_W$	$\alpha$	$S_p$ (mm)	$D_p$ (mm)	$W_h$ (mm)	$C$ (mm)
1	31	$-1$	$-1$	$-1$	$-1$	$-1$	0.31	2.03	2.60	$-0.97$
2	47	$1$	$-1$	$-1$	$-1$	$-1$	0.42	1.92	3.46	$-1.21$
3	42	$-1$	$1$	$-1$	$-1$	$-1$	0.43	1.90	3.13	$-1.01$
4	4	$1$	$1$	$-1$	$-1$	$-1$	0.59	2.30	3.28	$-1.42$
5	12	$-1$	$-1$	$1$	$-1$	$-1$	0.28	1.85	2.15	$-0.77$
6	40	$1$	$-1$	$1$	$-1$	$-1$	0.25	2.44	2.53	$-1.00$
7	10	$-1$	$1$	$1$	$-1$	$-1$	0.34	2.38	2.00	$-1.11$
8	15	$1$	$1$	$1$	$-1$	$-1$	0.37	2.23	2.60	$-1.32$
9	39	$-1$	$-1$	$-1$	$1$	$-1$	0.43	1.73	2.84	$-1.09$
10	20	$1$	$-1$	$-1$	$1$	$-1$	0.40	1.93	3.31	$-1.42$
11	1	$-1$	$1$	$-1$	$1$	$-1$	0.53	2.63	2.10	$-1.48$
12	5	$1$	$1$	$-1$	$1$	$-1$	0.51	2.55	2.63	$-1.33$
13	46	$-1$	$-1$	$1$	$1$	$-1$	0.35	2.19	1.72	$-0.99$
14	44	$1$	$-1$	$1$	$1$	$-1$	0.26	2.92	1.94	$-1.07$

Table 3. Cont.

Std	Run	Code Variables					Response Parameters			
		$W_f$	$V$	$W_s$	$O_W$	$\alpha$	$S_p$ (mm)	$D_p$ (mm)	$W_h$ (mm)	$C$ (mm)
15	8	−1	1	1	1	−1	0.43	2.73	1.45	−0.99
16	36	1	1	1	1	−1	0.40	3.06	1.69	−1.40
17	30	−1	−1	−1	−1	1	0.32	2.93	2.28	−0.62
18	27	1	−1	−1	−1	1	0.26	3.31	3.05	−0.72
19	24	−1	1	−1	−1	1	0.40	3.33	2.56	−0.98
20	2	1	1	−1	−1	1	0.36	3.42	3.15	−0.95
21	41	−1	−1	1	−1	1	0.26	2.67	1.95	−0.39
22	23	1	−1	1	−1	1	0.27	3.22	2.50	−0.57
23	19	−1	1	1	−1	1	0.34	2.56	1.88	−0.77
24	38	1	1	1	−1	1	0.38	3.68	2.07	−0.70
25	18	−1	−1	−1	1	1	0.43	2.08	2.76	−0.89
26	7	1	−1	−1	1	1	0.38	3.10	3.31	−0.67
27	48	−1	1	−1	1	1	0.60	2.38	2.72	−0.89
28	22	1	1	−1	1	1	0.58	2.90	3.48	−0.85
29	28	−1	−1	1	1	1	0.31	2.22	2.14	0.58
30	13	1	−1	1	1	1	0.36	2.85	2.67	−0.84
31	25	−1	1	1	1	1	0.47	2.65	5.96	−0.85
32	50	1	1	1	1	1	0.49	2.95	2.60	−0.89
33	21	−2.37	0	0	0	0	0.33	2.06	2.10	−1.06
34	35	2.37	0	0	0	0	0.32	2.66	2.60	−1.58
35	29	0	−2.37	0	0	0	0.28	2.52	2.28	−1.11
36	43	0	2.37	0	0	0	0.49	2.54	2.44	−1.52
37	45	0	0	−2.37	0	0	0.38	1.92	3.29	−1.37
38	37	0	0	2.37	0	0	0.42	2.23	1.97	−1.01
39	33	0	0	0	−2.37	0	0.20	3.31	1.78	−1.04
40	9	0	0	0	2.37	0	0.53	2.83	1.70	−2.17
41	17	0	0	0	0	−2.37	0.51	2.51	3.08	−0.62
42	32	0	0	0	0	2.37	0.32	2.87	3.35	0.62
43	34	0	0	0	0	0	0.31	2.61	2.16	−1.14
44	26	0	0	0	0	0	0.42	2.34	2.39	−1.21
45	11	0	0	0	0	0	0.53	2.16	3.56	−2.76
46	6	0	0	0	0	0	0.49	3.75	3.13	−2.16
47	49	0	0	0	0	0	0.39	3.29	2.98	−1.85
48	3	0	0	0	0	0	0.35	2.87	2.64	−1.66
49	16	0	0	0	0	0	0.43	2.98	3.03	−2.46
50	14	0	0	0	0	0	0.51	2.46	2.62	−1.95

### 2.2.3. Verifying the Model

According to the experiment matrix and measured responses value shown in Table 3, a statistical model is generated that covers different parameters. The model is optimized using stepwise regression, in which forward and backward regression are combined. This method can be used to eliminate insignificant terms automatically. The significance of the model and the affecting terms are tested by the analysis of variance (ANOVA).

The ANOVA test results for the sidewall penetration  $S_p$  are presented in Table 4. The F value is  $25.77 > F_{0.01}(6,43) = 3.29$ , and the values of Prob > F is less than 0.0001, which implies that the model is significant. The “Lack of Fit” of 0.7966 implies that the Lack of Fit is not significant relative to the pure error, which means the model is accurate for sidewall penetration  $S_p$ . In addition, the value of Prob > F is less than 0.05, indicating that the model terms are significant, and if the value of Prob > F is less than 0.001, it means it is the most significant model term. In this case,  $S_p$ ,  $V$ , and  $O_W$  are the most significant terms in the

model. Moreover,  $W_s$  has a significant impact on  $S_p$ . The ultimate statistical model for sidewall penetration  $S_p$  in terms of actual factors can be expressed in the form below:

$$\ln(S_p) = -3.5273 + 0.117V - 0.00151359W_s + 0.24786O_W \quad (2)$$

**Table 4.** ANOVA test results for the sidewall penetration model.

Source	Sum of Squares	df	Mean Square	F Value	p-Value (Prob > F)	
Model	1.98	3	0.66	25.77	<0.0001	Significant
V	0.93	1	0.93	36.18	<0.0001	
$W_s$	0.25	1	0.25	9.69	0.0032	
$O_W$	0.80	1	0.80	31.43	<0.0001	
Residual	1.18	46	0.026			Not significant
Lack of Fit	0.93	39	0.024	0.68	0.7966	
Pure Error	0.25	7	0.035			
Cor Total	3.16	49				

Table 5 shows the ANOVA test result for the welding penetration  $D_p$ . The  $p$ -value (Prob > F) of the model is less than 0.0001, indicating that the model is significant, and the Lack of Fit indicates that the welding penetration model is accurate. Furthermore, the  $p$ -value (Prob > F) of model terms shows that  $W_f$ ,  $V$ , interaction items of oscillation width and welding position ( $O_W \times \alpha$ ), and second-order of  $W_s$  ( $W_s^2$ ) are significant terms in modeling the welding penetration  $D_p$ . After stepwise regression to the model,  $W_s$  should be added to the model as a hierarchical term to support the significant term  $W_s^2$ , and the same as  $O_W$ . The ultimate statistical models for welding penetration  $D_p$  in terms of actual factors is shown below:

$$\ln(D_p) = -4.82304 + 0.088931W_f + 0.033066V + 0.016112W_s + 0.31161O_W + 0.010437\alpha - 0.00385421O_W \times \alpha - 1.93449 \times 10^{-5}W_s^2 \quad (3)$$

**Table 5.** ANOVA test results for the welding penetration model.

Source	Sum of Squares	df	Mean Square	F Value	p-Value (Prob > F)	
Model	1.05	7	0.15	8.59	<0.0001	Significant
$W_f$	0.22	1	0.22	12.59	0.0010	
V	0.074	1	0.074	4.25	0.0455	
$W_s$	0.044	1	0.044	2.51	0.1203	
$O_W$	0.011	1	0.011	0.65	0.4233	
$\alpha$	0.37	1	0.37	21.30	<0.0001	
$O_W \times \alpha$	0.19	1	0.19	11.00	0.0019	
$W_s^2$	0.14	1	0.14	7.85	0.0076	
Residual	0.73	42	0.017			Not significant
Lack of Fit	0.50	35	0.014	0.42	0.9573	
Pure Error	0.24	7	0.034			
Cor Total	1.78	49				

The results of ANOVA for the welding height  $W_h$  model is presented in Table 6. The  $p$ -value of model terms shows that  $W_s$  has the most significant effect on the welding height. The interaction items of oscillation width and welding position ( $O_W \times \alpha$ ), and the second-order term  $O_W(O_w^2)$  are significant terms in modeling welding height  $W_h$ . The F value and  $p$ -value indicate that the model is significant, and the Lack of Fit item demonstrates that the model of the welding height  $W_h$  is adequate. The ultimate statistical model for the welding height  $W_h$  in terms of actual factors is as the following:

$$\ln(W_h) = 1.17036 + 0.074716W_f - 0.00245086W_s + 0.49667O_W - 0.010674\alpha + 0.00588373O_W \times \alpha - 0.24781W_s^2 \quad (4)$$



**Table 6.** ANOVA test results for the welding-height model.

Source	Sum of Squares	df	Mean Square	F Value	<i>p</i> -Value (Prob > F)	
Model	1.69	6	0.28	8.14	<0.0001	Significant
$W_f$	0.15	1	0.15	4.47	0.0403	
$W_s$	0.65	1	0.65	18.79	<0.0001	
$O_W$	$2.543 \times 10^{-5}$	1	$2.543 \times 10^{-5}$	$7.08 \times 10^{-4}$	0.9789	
$\alpha$	0.11	1	0.11	3.21	0.0803	
$O_W \times \alpha$	0.45	1	0.45	12.90	0.0008	
$O_w^2$	0.33	1	0.33	9.49	0.0036	
Residual	1.49	43	0.035			Not significant
Lack of Fit	1.31	36	0.036	1.41	0.3361	
Pure Error	0.18	7	0.026			
Cor Total	3.18	49				

Table 7 shows the ANOVA test results for the model of the flatness degree of the weld bead C. The F value and *p*-value indicate that the model is significant, and the Lack of Fit item reveals that the flatness degree of the weld pass C is adequate. The *p*-value of the model shows that the terms  $\alpha$  and  $\alpha^2$  have the most significant effect on the welding height. Meanwhile, the terms  $V$ ,  $W_f^2$ ,  $V^2$ , and  $W_s^2$  have a significant effect on the flatness degree of the weld bead. The statistical model for the flatness degree of the weld-bead surface C in terms of the affecting factors can be expressed as follows:

$$C = 69.42264 - 3.73275W_f - 3.67518V - 0.044209W_s - 0.040437\alpha + 0.18979W_f^2 + 0.078645V^2 + 0.0000577 + 0.000266\alpha^2 \quad (5)$$

**Table 7.** ANOVA test result for the model of flatness degree of the weld bead.

Source	Squares	df	Mean Square	F Value	<i>p</i> -Value (Prob > F)	
Model	12.31	8	1.54	11.81	<0.0001	Significant
$W_f$	0.44	1	0.44	3.41	0.0719	
$V$	0.63	1	0.63	4.87	0.0330	
$W_s$	0.43	1	0.43	3.28	0.0776	
$\alpha$	2.57	1	2.57	19.68	<0.0001	
$W_f^2$	0.83	1	0.83	6.40	0.0153	
$V^2$	0.85	1	0.85	6.55	0.0143	
$W_s^2$	1.18	1	1.18	9.04	0.0045	
$\alpha^2$	7.11	1	7.11	54.54	<0.0001	
Residual	5.34	41	0.13			
Lack of Fit	3.12	34	0.09	0.29	0.9934	Not significant
Pure Error	2.23	7	0.32			
Cor Total	17.65	49				

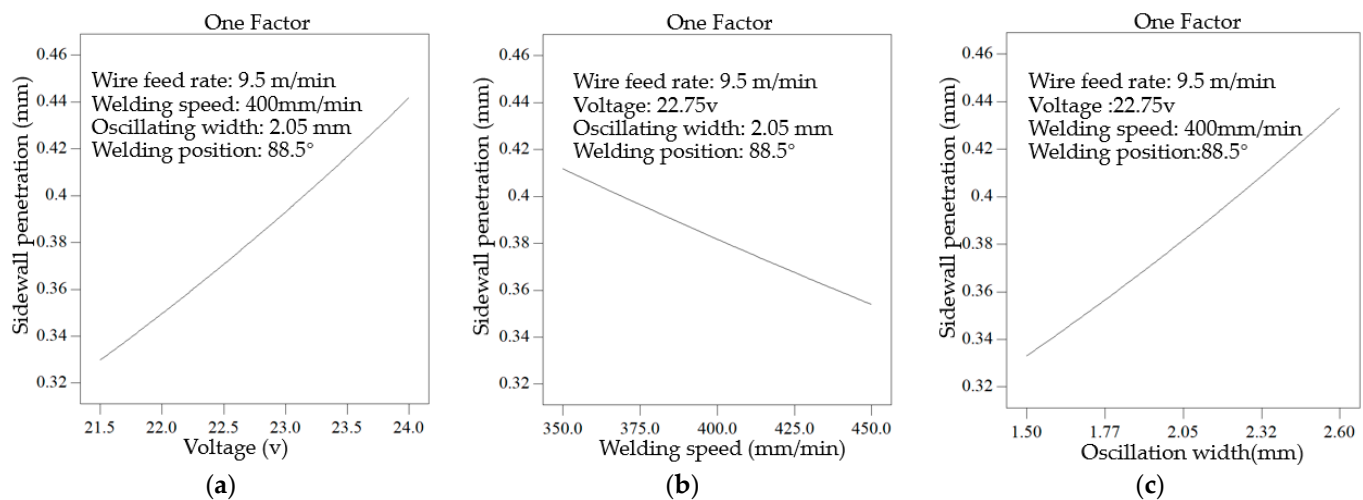
### 3. Results and Analysis

Based on the established generated model, the effect of input parameters and their relationship with the sidewall penetration model, weld penetration model, weld height model, and the flatness degree of the weld-bead surface model can be analyzed. These analyses are discussed in the following sections.

#### 3.1. Effects of Input Parameters on the Sidewall Penetration

Figure 7 exhibits the influence of welding parameters on the depth of the sidewall penetration. Figure 7a shows that as the welding voltage increases from 21.5 V to 24 V, the penetration depth of the sidewall increases from 0.33 mm to 0.44 mm. This is mainly attributed to the increase of the welding voltage that increases the heat input per unit time. Meanwhile, as the welding voltage increases, the influence range of the welding arc

increases. The influence range of the arc on the sidewall also increases, thereby increasing the penetration depth on the sidewall.



**Figure 7.** Effect of (a) Voltage, (b) Welding speed, and (c) Oscillation width on sidewall penetration.

Figure 7b shows that the sidewall penetration decreases with the increase in the welding speed. This phenomenon may be attributed to the decrease of the line energy originating from low welding speeds, which decreases the sidewall penetration depth.

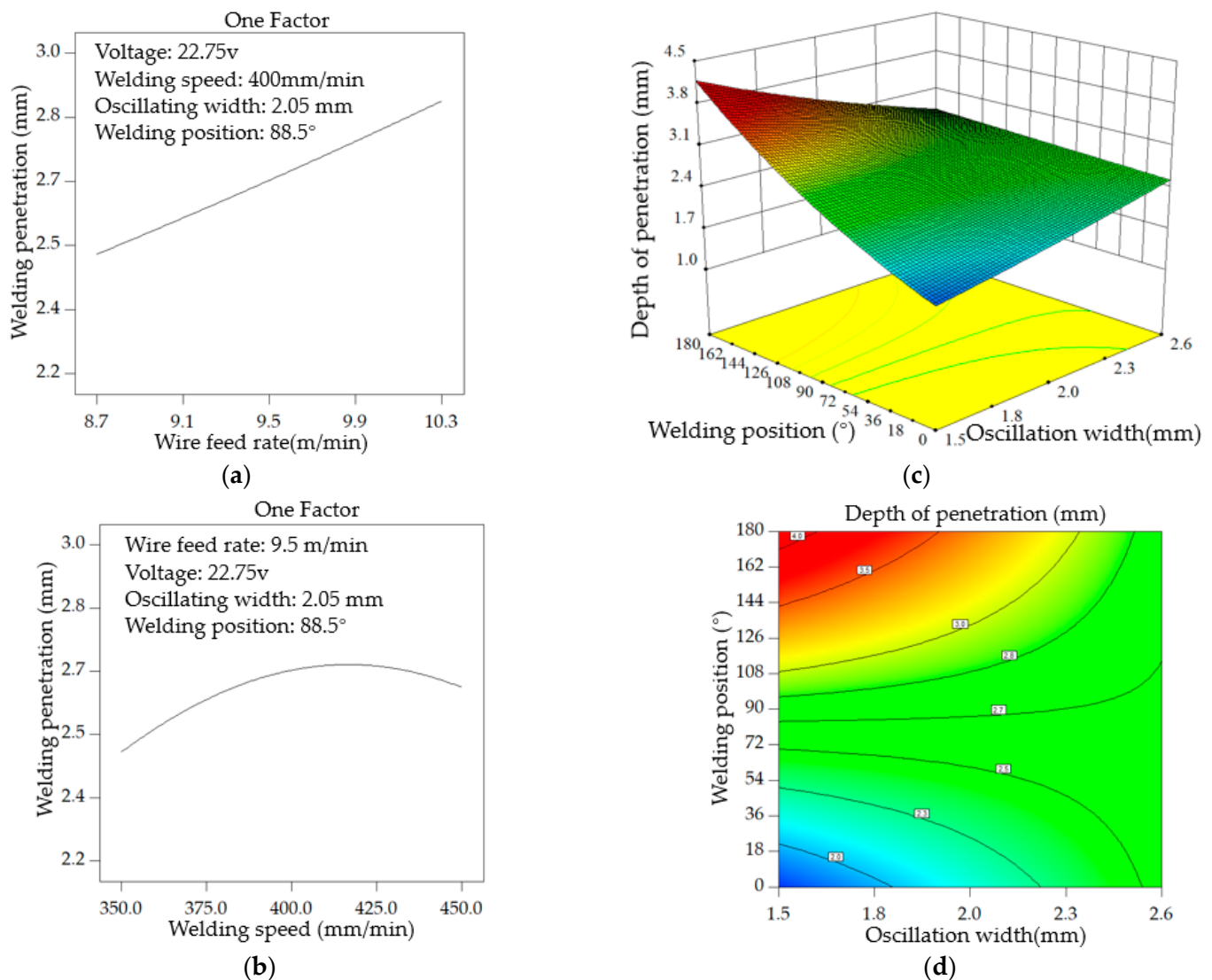
Figure 7c shows that the oscillation width of the welding torch obviously affects the sidewall penetration depth. It should be indicated that in a real welding process, an increase in the oscillation width directly expands the affected range of the welding arc on the sidewall. Subsequently, as the oscillation width of the welding torch increases, the corresponding penetration depth of the sidewall increases.

### 3.2. Effects of Input Parameters on the Welding Penetration

Figure 8a shows the effects of the wire feed rate on the welding penetration. It is observed that as the wire feed rate increases, the welding penetration increases. This is because the greater the wire feed rate, the greater the input heat into the molten pool and the deeper the welding penetration.

Figure 8b shows that the welding penetration increases gradually as the welding speed increases from 350 mm/min to 420 mm/min. However, when the welding speed increases further, the welding penetration depth decreases slightly.

Figure 8c,d show that the oscillation width and the welding position affect the welding penetration. When the oscillation width increases from 1.5 mm to the critical point, the welding penetration increases sharply with the increase in the welding position. Moreover, the oscillation width further increases until it exceeds the critical point. It is found that as the welding position increases, the corresponding welding penetration increases slowly. This may be attributed to the influence of the gravity force on the molten pool along the opposite direction, which results in different variation trends of the oscillation width and uneven stability of the molten pool.



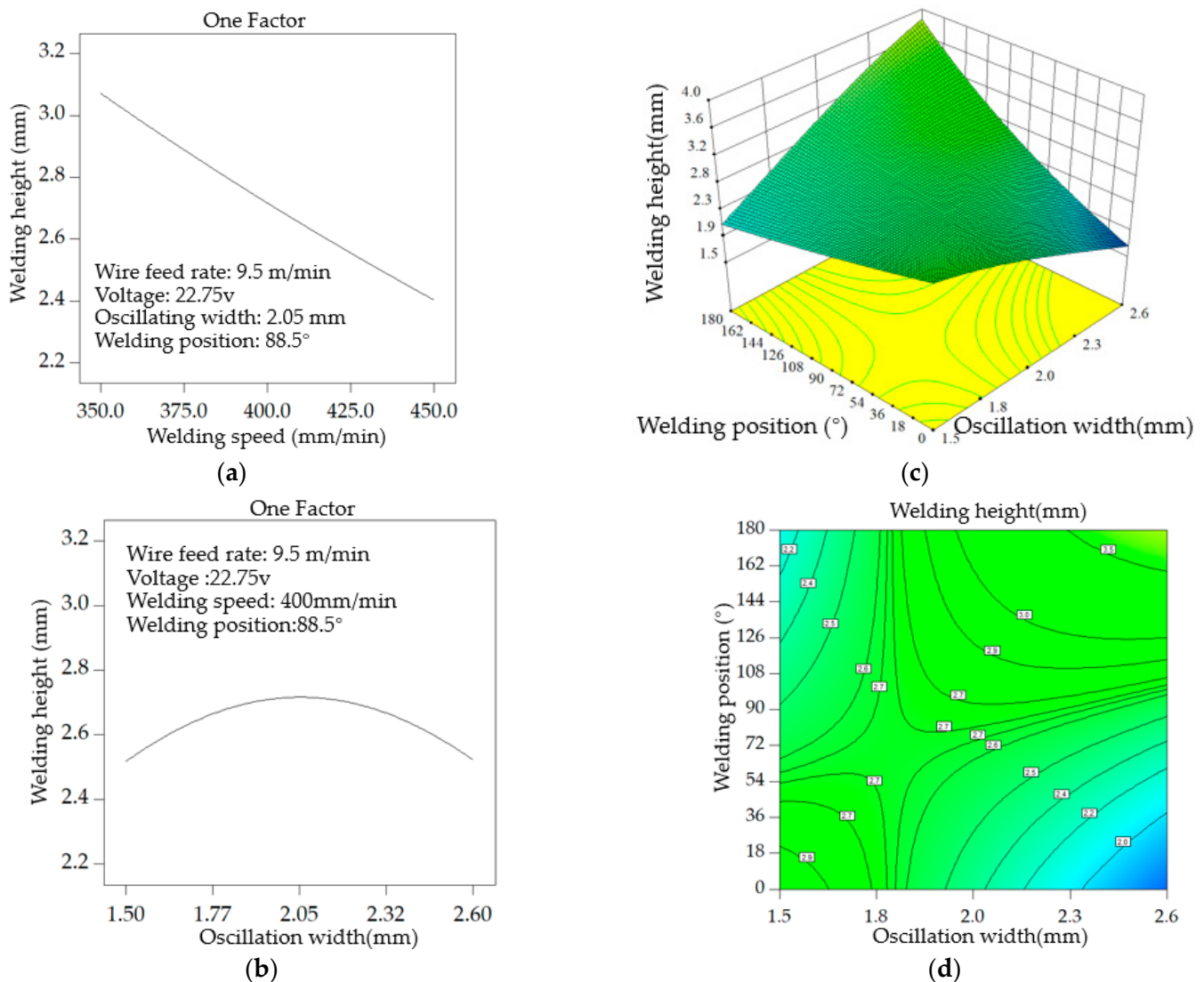
**Figure 8.** Effect of (a) Wire feed rate, (b) Welding speed, and (c,d) Oscillation width and welding position on the depth of penetration.

### 3.3. Effects of Input Parameters on the Welding Height

Figure 9a shows that the welding height decreases with the increase of the welding speed. This trend is mainly because the volume of the molten welding wire falling into the welding pass decreases with the increase of the welding speed, thereby decreasing the welding height.

Figure 9b shows the influence of the oscillation width on the welding height. When the oscillation width is between 1.5 mm and 2.05 mm, the welding height increases with the increase in the oscillation width. However, when the oscillation width exceeds 2.05 mm, the increase in the oscillation width reduces the welding height.

Figure 9c,d illustrates the influence of the oscillation width and the welding position on the welding height. It is observed that as the welding position  $\alpha$  changes from 52° to the critical point, the welding height decreases with the increase in the oscillation width. Once the welding position passes the critical point, the welding height increases with the increase in the oscillation width. This phenomenon mainly originates from the influence of gravity, resulting in the molten pool flocking together and solidifying in the center of the weld bead.



**Figure 9.** Effect of (a) Welding speed, (b) Oscillation width, and (c,d) welding position and Oscillation width on welding height.

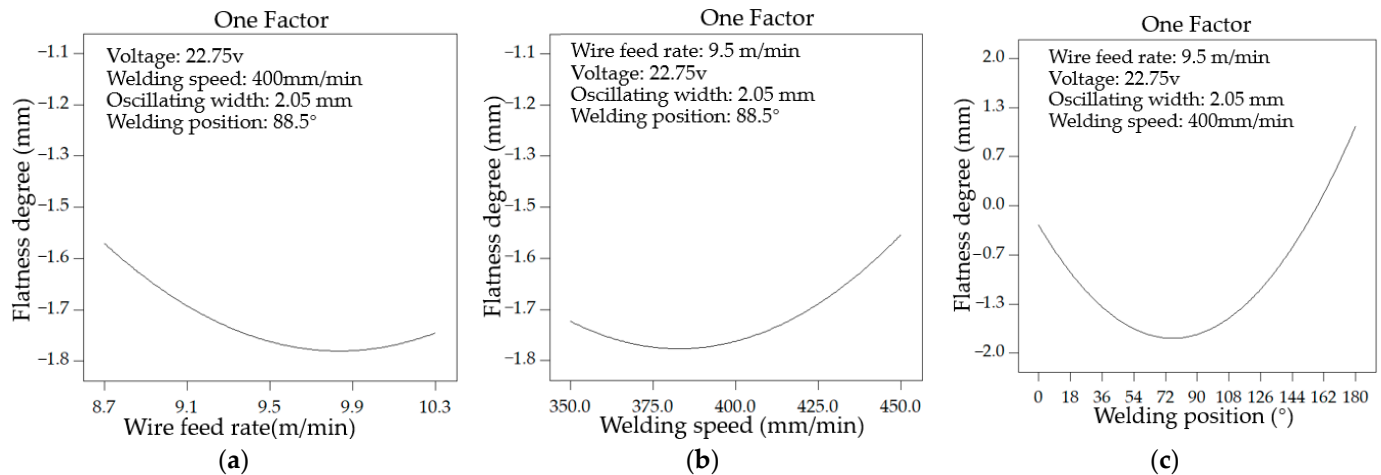
### 3.4. Effects of the Input Parameter on the Flatness Degree of the Weld Bead

Figure 10a displays the effects of the wire feeding rate on the flatness degree of the weld bead surface. When the wire feeding rate is between 8.7 m/min and 9.9 m/min, the concave degree of the weld-bead surface increases with the increase of the wire feed rate. As the wire feed rate further increases, the concave degree of the weld-bead surface reduces significantly. This is mainly because the welding current has a direct correlation with the wire-feed rate and the electromagnetic force of the welding arc. Meanwhile, as the welding torch oscillates, more molten metal is squeezed into the groove and solidifies on both sides, making a concaved weld bead. As the wire feeding rate increases continuously, the welding height on the unit length increases continuously, and the concave degree of the weld-bead surface decreases.

Figure 10b shows the influence of the welding speed rate on the concave degree of the weld-bead surface. It is observed that as the welding speed increases, the concave degree increases first and then decreases. In actual welding processes, the gravity force surges the molten metal to the front of the arc, so the volume of the molten metal behind the arc reduces significantly, and the molten pool solidifies with a concave shape. As the welding speed increases, the speed of the molten metal flow does not change as fast as the welding

speed, so the volume of the solidified molten pool behind the arc increases, and the concave degree of the weld-bead surface improves.

The influence of welding position  $\alpha$  on the flatness degree of the surface is especially apparent. As shown in Figure 10c, before  $80^\circ$ , gravity promotes the weld pool to fit into the weld groove; with the increase of the welding position  $\alpha$ , the gravity gradually makes the molten pool detach from the weld groove, which makes the weld bead surface change from concave to convex.

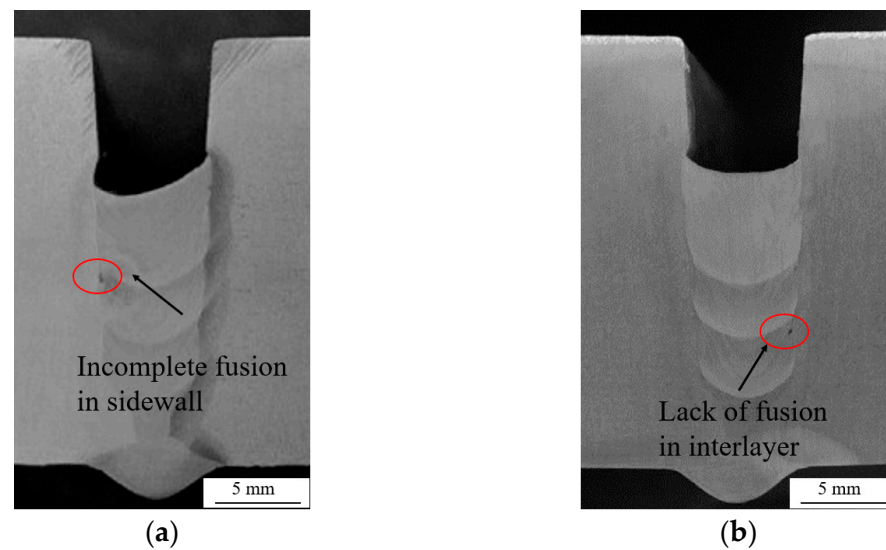


**Figure 10.** Effect of (a) Wire feed rate, (b) Welding speed, and (c) Welding position on the flatness degree.

#### 4. Discussion and Validation

Studies show that incomplete fusion in the sidewall and interlayer are very prone to form in NG-GMAW [2,7]. This is even more pronounced in vertical and overhead positions, where the same defect appears in the vertical oscillation arc NG-GMAW. As the welding position  $\alpha$  increases, the gravity force on the molten pool intensifies, so more molten filler metal flows to the rear of the weld pool and solidifies there. Subsequently, a convex shape forms in the middle of the weld bead. It is worth noting that the welding arc in the next layer cannot reach the lowest position of the convex weld, which results in incomplete fusion on the interlayer. Insufficient heat input on the sidewall of the groove will cause incomplete fusion on the sidewall. In this regard, the incomplete fusion in the sidewall and interlayer in NG-GMAW are shown in Figure 11a,b, respectively.

To ensure a qualified NG-GMAW weld bead, the flatness degree of the weld-bead surface and the depth of the sidewall penetration should be controlled precisely. To this end, the flatness degree of the weld bead and the penetration depth of the sidewall were taken as the target parameters to be optimized. The flatness degree of the weld bead was set to zero, which means the desired weld bead surface is flat, and the suitable bead geometry is more conducive to the control of the layer height so that the same layer of the weld in different welding positions can obtain the same layer height, which is meaningful for the later all-position automatic welding. It should be indicated that the deeper the sidewall penetration, the better the achieved results, which is necessary to ensure obtaining a high-quality weld at an arbitrary position. In the present study, the flat position ( $0^\circ$ ), vertical position ( $90^\circ$ ), and overhead position ( $180^\circ$ ) are selected as the optimized target positions. The goal of each input factor and the importance of the input factor for each response are listed in Table 8.



**Figure 11.** Typical incomplete fusion in NG-GMAW. (a) Incomplete fusion in sidewall. (b) Lack of fusion in interlayer.

**Table 8.** Criteria settings for numerical optimization.

Name	Goal	Lower	Upper	Importance
$W_f$	is in range	8.7	10.3	3
$\dot{V}$	is in range	21.5	24	3
$W_s$	is in range	350	450	3
$O_W$	is in range	1.5	2.6	3
$\alpha$	is equal to	0	180	3
$S_p$	maximize	0.3	0.601	4
$C$	is in range	−1.5	0.1	5

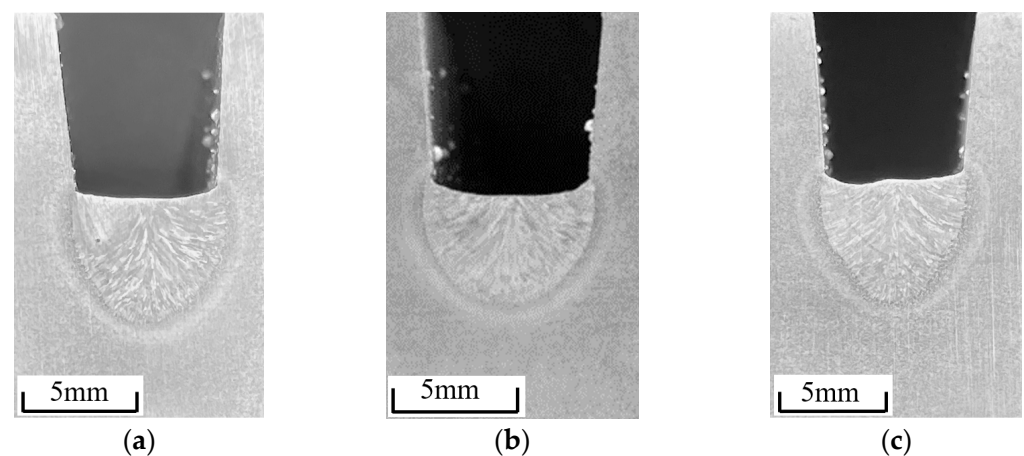
In step with the numerical optimization of optimization choices in software, after setting the optimization goal and the welding angle  $\alpha$ , a list of the recommended welding parameter generated in the solution. When  $\alpha = 0^\circ$ , there are 24 sets of welding parameters in the solution, and the suggested selection are a wire feeding speed of 9.24 m/min, welding voltage of 22.12 V, welding speed of 362.2 mm/min, and oscillating width of 1.7 mm. However, in order to improve welding efficiency, the maximum wire feeding speed should be considered. The welding speed of group 4 and group 11 was 350 mm/min and 450 mm/min, respectively, and the oscillating width was 1.5 mm. In order to ensure better welding efficiency, a welding speed of 450 mm/min was selected for subsequent actual tests. In addition, in order to improve the penetration depth of the sidewall, an attempt was made to increase the oscillation width to 2.0 mm. The results show that the welding process is stable, and there is no fusion defect. Therefore, the final determined welding parameters at the flat welding position are shown in Table 9 with  $\alpha = 0$ . In the vertical welding position, among the recommended parameters, considering the highest welding efficiency and the largest sidewall penetration, the 38th group of data is selected, which includes the 10.3 m/min for wire feeding speed, 24 v for welding voltage, and 450 mm/min and 2.07 mm respectively for welding speed and oscillating width. After the experimental test, the final determined parameters for the vertical welding position are shown in Table 9 with  $\alpha = 90$ . In the overhead welding position, the recommended parameters are 8.7m/min for wire feeding speed, 24 V for welding voltage, 350 mm/min for welding speed, and 2.6 mm for oscillating width. After experiment verification, when the voltage is reduced to 21.4 V, the arc has a better effect on maintaining the stability of the molten pool. The final welding parameters of the elevation welding position are shown in Table 9 with  $\alpha = 180$ . So far, with the help of mathematical, statistical methods, the welding parameters for the flat, vertical, and overhead positions were obtained in this study.



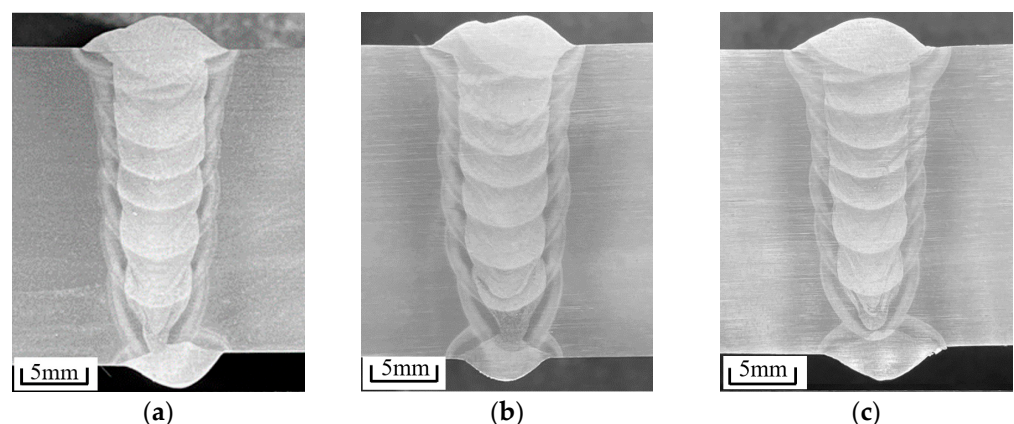
**Table 9.** Optimal welding parameters.

$W_f$ (mm/min)	$V$ (v)	$W_s$ (mm/min)	$O_W$ (mm)	$\alpha$ (°)
10.4	24.0	424	2.0	0
10.2	23.7	448	2.1	90
8.7	21.4	353	2.6	180

The macrograph of the weld bead transections for a single layer with optimal parameters in flat, vertical, and overhead positions are presented in Figure 12a,b and c, respectively. The Weld pool is uniformly solidified in the weld groove, and the surface of the weld bead is flat in each welding position.

**Figure 12.** Transection macrographs of a single-layer with optimized parameters. (a) Flat position (0°). (b) Vertical position (90°). (c) Overhead position (180°).

The macrograph of the weld bead transections for multi-layers with optimal parameters in flat, vertical, and overhead positions are presented in Figure 13a–c, respectively. There is no lack of fusion in the interlayer and sidewall in weld bead on 0°, 90°, and 180° welding positions.

**Figure 13.** Transection macrograph of multi-layer with optimized parameters. (a) Flat position (0°). (b) Vertical position (90°). (c) Overhead position (180°).

## 5. Conclusions

In the present study, a statistical model was proposed for all-position NG-GMAW with a vertical oscillation arc. The performed analyses revealed that the proposed model could

be applied to optimize the transection morphology of weld beads. The main achievements of this article can be summarized as follows:

- (1) A statistical model was established to find the correlation between the welding parameters and the weld-bead geometry with the CCD-based RMS. The ANOVA was applied to evaluate the significance and accuracy of the model. The obtained results indicate that the model can be applied to optimize the all-position narrow gap weld-bead geometry.
- (2) Welding voltage and oscillation width significantly positively affect the sidewall penetration, while the welding speed has the opposite effect. Therefore, it is necessary to reduce the welding speed and increase the oscillation width to ensure sidewall penetration after vertical welding. In addition, due to the influence of gravity, the groove surface gradually changes from concave to convex. The groove surface convexity can be suppressed by decreasing the welding speed and increasing the wire feeding speed. Moreover, the oscillation width and the wire feeding rate significantly affect the weld height. In order to ensure consistency of the weld height at all positions, the oscillation width should be appropriately reduced before vertical welding, while the oscillation width should be appropriately increased after vertical welding. Meanwhile, it is necessary to optimize the welding speed to ensure the penetration depth of the sidewall.
- (3) When the optimized parameters were used in automatic all-position NG-GMAW in pipeline welding, the macroscopic metallography of the weld bead in flat, vertical, and overhead positions shows that there is no incomplete fusion in sidewall and interlayers, demonstrating that the weld bead has deep sidewall penetration.

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