



# **Interactions of Self-Localised Optical Wavepackets in Reorientational Soft Matter**

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**Abstract:** The interaction of optical solitary waves in nematic liquid crystals, nematicons and vortices, with other nematicons and localised structures, such as refractive index changes, is reviewed. Such interactions are shown to enable simple routing schemes as a basis for all-optical guided wave signal manipulation.

Keywords: nematic liquid crystals; nematicon; soliton; modulation theory

# 1. Introduction

The solitary wave is a ubiquitous nonlinear dispersive wave form, originally arising in water waves [1-3] but subsequently found to exist in a wide range of areas, including nonlinear optics [4-8], plasma physics [9] and biology [10,11]. A solitary wave is an isolated, most often hump-shaped wavepacket, which generally emerges as the infinite wavelength limit of a (nonlinear) periodic wave solution [2]. A special case of a solitary wave is a soliton, which is a solitary wave solution of a nonlinear dispersive wave equation which is integrable in a Hamiltonian sense through the method of inverse scattering [2,3]. Solitons exhibit "clean" interactions in that *N* of them interact with no change of shape or velocity other than a phase shift. Conversely, solitary waves, in general, do not show "clean" interactions, with dispersive radiation generated upon collisions. The compact, humpshaped form of solitary waves allow them to be modelled as particles, especially in their collisions and particularly for the integrable case of solitons for which no radiation is generated on interaction [12].

Solitary waves stem from a balance between self-phase modulation (self-focusing) and dispersion (diffraction). As such, they can be generated in nonlinear optical media, such as optical fibres [4,6] and soft matter [7,13], for which nonlinear self-effects owing to an intensity-dependent refractive index or self-phase modulation balances diffraction or dispersion. Nematic liquid crystals (NLCs), a family of organic soft matter encompassing optical birefringence and positive uniaxiality in a fluid state with a large degree of orientational order, are an ideal medium in which to excite solitary waves due to the "huge" nonlinear response to optical forcing, many orders of magnitude larger than, e.g., in glass fibres [7,13,14]. This results in all-optical effects which can be observed at mW powers over millimetre distances [14], rather than the kilometers typical of communication fibres [4]. Since their indisputable demonstration in 2000 [14], there have been extensive studies, both experimental and theoretical/numerical, of nematicons (i.e., solitary waves in NLC) and other optical solitary-type waves in NLC, such as optical vortices—see [7,8,15–19] for reviews of this work. The present paper is a synopsis on the interaction of nematicons and



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**Copyright:** © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). optical vortices with each other and with regions of refractive index variations in nematic liquid crystal (NLC) samples. The motivation behind such studies is the all-optical control of optical solitary wave trajectories towards applications, such as signal processing and all-optical waveguiding/routing [20–30]. Before describing specific cases of solitary wave interactions in planar NLC samples, the equations governing nonlinear optical wavepacket propagation will be summarised to set the examples in context.

One of the main difficulties in the theoretical modelling of nematicon propagation and dynamics is the lack of any exact general solitary waves solutions of the NLC equations. The only exact solutions which exist are isolated ones for fixed parameter values [31], which are not suitable for modelling the general evolution of light beams in nematic liquid crystals. While the NLC equations can be solved using computational methods, analytical solutions give insight into the dynamics which are not available from numerical solutions. One powerful analytical tool is modulation theory [2], originally based on assuming a slowly varying wavetrain that is an exact solution of the underlying nonlinear dispersive wave equation but with slowly evolving parameters. The basic assumption is that the wavetrain evolves on a slower scale than its wavelength; for slowly varying solitary waves, modulation theory (MT) essentially treats them as particles in a potential [12]. To extend MT to nonlinear dispersive wave equations without exact general solitary wave solutions, variational methods have proved to be useful—see [32] for an overview of these. The idea is that the unknown beam profile is approximated by some functional form, often a Gaussian in the case of light beams as the input laser beam has a Gaussian profile. The MT equations describing the evolution of a slowly varying beam can then be derived either from a Lagrangian formulation of the governing equations or from conservation equations [32]. In this paper, theoretical results derived using this extension of MT will be presented with pertinent experimental and numerical results, where appropriate.

#### 2. NLC Equations

Let us consider the propagation of a linearly, extraordinarily polarised, coherent light beam of wavenumber  $k_0$ , wavelength  $\lambda_0 = 2\pi/k_0$ , through a planar cell filled with fully oriented nematic liquid crystals. The beam is assumed to propagate down the cell in the Z direction, with its electric field E initially oscillating in the Y direction. The coordinate X then completes the coordinate triad. The refractive indices of the medium are  $n_{\parallel}$  for light polarised along the molecular director  $\hat{\mathbf{n}}$  (long axis of the molecules) and  $n_{\perp}$  for fields polarised orthogonal to it. The molecular director  $\hat{\mathbf{n}}$  corresponds to the optic axis of the positive uniaxial medium with  $n_{\parallel} > n_{\perp}$ . A fundamental property of oriented NLC is the Freédericksz transition, whereby a threshold optical power (or electric voltage) is needed to rotate the NLC molecules and thus increase the refractive index when the initial  $\hat{\mathbf{n}}$  is orthogonally aligned to the electric field [13]. Since large optical powers are never desirable as they could lead to heating, two main approaches can be adopted to overcome the optical Freédericksz threshold and adjust (maximise) the nonlinear reorientation. One is to pre-tilt the NLC molecules in the (Y, Z) plane at an angle  $\theta_0$  with respect to the Z direction by the application of an external low-frequency electric field  $E_{LF}$  so that milliwatt power beams can rotate the molecular director  $\hat{\mathbf{n}}$  and induce self-focusing [14]. A typical planar glass cell for the study of NLC solitary waves in the presence of an external voltage bias is sketched in Figure 1a; in this case, a small pre-orientation  $\delta \theta_0$  of the order of 1–2° is ensured when the planar surfaces are treated for molecular anchoring in order to overcome the electric Freédericksz transition. The alternative method is to chemically treat or mechanically rub the planar walls of the cell (parallel to the (Y, Z) plane in Figure 1) so that the NLC molecules are anchored at a given orientation  $\theta_0$  with respect to Z. Elastic forces then transfer the rotation into the bulk of the fluid dielectric, providing the homogeneous orientation of the sample. A beam propagating through the NLC and polarised with electric field in the principal (Y, Z) plane can then reorient the molecules by an additional angle  $\phi$  from the pre-tilt so that the total orientation of the molecular director to Z becomes  $\theta = \theta_0 + \phi$ . With these assumptions and in the paraxial, slowly varying envelope approximation, the

dimensional equations governing the propagation of an extraordinarily polarised light beam (electric field in the (Y, Z) plane) in a biased NLC sample (Figure 1a) can be cast as [7,8,33]

$$2ik_0 n_e \frac{\partial E}{\partial Z} + 2ik_0 n_e \Delta(\theta) \frac{\partial E}{\partial Y} + \nabla^2 E + k_0^2 \Big[ n_\perp^2 \cos^2 \theta + n_\parallel^2 \sin^2 \theta - n_\perp^2 \cos^2 \theta_0 - n_\parallel^2 \sin^2 \theta_0 \Big] E = 0,$$
(1)

for the electric field of the beam and

$$K\nabla^2 \phi + \left[\frac{1}{4}\epsilon_0 \Delta \epsilon |E|^2 + \frac{1}{2}\Delta \epsilon_{LF} E_{LF}^2\right] \sin 2(\theta_0 + \phi) = 0, \tag{2}$$

for the reorientational nonlinearity [7,8,16,33]. Here, the  $\theta$ -dependent extraordinary refractive index of the NLC is

$$n_{e} = \left[\frac{n_{\perp}^{2} n_{\parallel}^{2}}{n_{\parallel}^{2} \cos^{2} \theta + n_{\perp}^{2} \sin^{2} \theta}\right]^{1/2}.$$
(3)

An extraordinary wave undergoes walkoff of the Poynting vector so that the energy flux propagates in the (Y, Z) plane at an angle  $\delta = \tan^{-1} \Delta$  to the wavevector (i.e., the *Z* direction in Figure 1), where  $\Delta$  is given by

$$\Delta(\theta) = \frac{\Delta(\theta)\epsilon \sin 2\theta}{\Delta(\theta)\epsilon + 2n_{\perp}^2 + \Delta(\theta)\epsilon \cos 2\theta}.$$
(4)

In the above equations,  $\Delta \epsilon = n_{\parallel}^2 - n_{\perp}^2$  is the optical anisotropy,  $\Delta \epsilon_{LF}$  is the lowfrequency dielectric anisotropy and  $\epsilon_0$  is the electrical permittivity of free space. In addition, *K* is the elastic (Frank) constant in the scalar approximation for which the strengths of bend, twist and splay deformations are taken to be equal [7,13]. Finally, the Laplacians  $\nabla^2 E$  and  $\nabla^2 \phi$  are in the transverse coordinates (*X*, *Y*).



**Figure 1.** Sketch of planar NLC glass cells in the two main configurations. (a) Biased cell: The orientation angle  $\theta_0$  is the pre-tilt induced by the external voltage *V* across the cell thickness, and  $\phi$  is the all-optical rotation of the induced dipoles (ellipses) in the plane of propagation (*Y*, *Z*).  $\hat{\mathbf{n}}$  is the molecular director (optic axis) parallel to the long axis of the elongated NLC molecules. (b) Bias-free cell: the background orientation  $\theta_0$  is obtained by anchoring the molecular director at the planar boundaries parallel to (*Y*, *Z*). In both arrangements (**a**,**b**), the input light beam undergoing nonlinear reorientation is an extraordinary-wave launched from the left with the electric field (double red arrow) polarised in the principal plane (*Y*, *Z*).

The NLC Equations (1) and (2) are highly nonlinear and thus difficult to analyse. However, for continuous-wave milliwatt power beams, the light-induced response  $\phi$  is small,  $|\phi| \ll |\theta_0|$ , so the model can be expanded in Taylor series around  $\theta_0$ . In addition, these equations can be recast in a dimensionless form to reduce the number of parameters involved by using typical length scales  $L_Z$  and W down and across the cell, respectively, as well as an amplitude scale  $A_b$  for the electric field of the beam. Then,

$$Z = L_Z z, \quad X = W x, \quad Y = W y, \quad E = A_b u.$$
(5)

Here, (x, y, z) is the non-dimensional coordinate system, and u is the non-dimensional electric field of the beam. Suitable scales are [8,34]

$$L_Z = \frac{4n_e}{k_0 \Delta \epsilon \sin 2\theta_0}, \quad W = \frac{2}{k_0 \sqrt{\Delta \epsilon \sin 2\theta_0}}, \quad A_b^2 = \frac{2P_b}{\pi \Gamma W_b^2}, \quad \Gamma = \frac{1}{2} \epsilon_0 c n_e \tag{6}$$

based on a Gaussian input wavepacket of power  $P_b$ , amplitude  $A_b$  and width  $W_b$ . The simplified non-dimensional equations governing beam propagation and the NLC response become

$$i\frac{\partial u}{\partial z} + i\gamma\Delta(\theta_0 + \phi)\frac{\partial u}{\partial y} + \frac{1}{2}\nabla^2 u + 2\phi u = 0,$$
(7)

$$\nu \nabla^2 \phi - 2q\phi = -2|u|^2. \tag{8}$$

Here, the dimensionless elasticity  $\nu$  and pre-tilt parameter q (when present) are given by

$$\nu = \frac{8K}{\epsilon_0 \Delta \epsilon A_b^2 W^2 \sin 2\theta_0} = \frac{\pi k_0^2 K \Gamma W_b^2}{\epsilon_0 P_b}, \quad q = \frac{4\Delta \epsilon_{LF} E_{LF}^2 \cos 2\theta_0}{\epsilon_0 \Delta \epsilon A_b^2 \sin 2\theta_0}.$$
 (9)

Finally, the non-dimensional walkoff factor  $\gamma$  is

$$\gamma = \frac{2n_e}{\sqrt{\Delta\epsilon \sin 2\theta_0}}.\tag{10}$$

Note that the bias-free case (Figure 1b) corresponds to q = 0. The model in Equations (7) and (8) is a focusing nonlocal, nonlinear Schrödinger (NLS) equation-type system with a refractive index increasing with the intensity  $|u|^2$ . Typical (reference) experimental values are a beam with power  $P_b = 2$  mW, half-width  $W_b = 1.5 \mu$ m and wavelength  $\lambda_0 = 1.064 \mu$ m in the near infrared, for which Rayleigh scattering (intrinsic to NLC, [13]) is lower [7,8]. For the standard NLC mixture E7, the elastic constant is  $K = 1.2 \times 10^{-11}$  N. These parameters give an elasticity  $\nu = O(100)$ , as in previous studies [8,35,36]. The high value of  $\nu$  indicates that the medium is operating in the highly nonlocal regime, in that the elastic response of the NLC to light extends far beyond the beam waist [7,16,33]. Of note, beams governed in (2 + 1) dimensions by local NLS models are unstable and undergo catastrophic collapse above a critical power [6]. However, a nonlocal response with a large  $\nu$  can stabilise (2 + 1)-dimensional light beams [7,8,16,33] because the NLC Equation (2) is elliptic, and so, its solution depends on u in the entire domain. This mathematical argument pairs with the physical concept of nonlocality due to the elastic response of soft matter.

It is of interest to note that equations of the same form as Equations (7) and (8) arise in other areas in nonlinear optics and physics. Beam propagation in thermo-optic media is governed by the nematic system with q = 0 [37], e.g., in self-focusing lead glass [38,39] and colloidal suspensions with nano-particles to enhance light absorption [40]. Finally, systems of equations resembling the NLC model in Equations (7) and (8) apply to astrophysics. Such systems include the Schrödinger–Newton equations, which are simple models of quantum gravitation [41,42]. Solitary wave solutions of the Schrödinger–Poisson system, i.e., the NLC model with q = 0 in the director Equation (8), have been used to describe dark matter [43–45], the interaction between ordinary and dark galactic matter [46,47], *N* body systems of identical bosons with nonlocal interactions and dilute cold atom Bose–Einstein

condensates, plasmas, electrons in semiconductors or metallic structures and water wave theory [43,48–50]. It should be noted that the NLC model (Equations (7) and (8)) with  $q \neq 0$  applies when the effect of boundary conditions in a finite thermo-optic cell is accounted for through the incorporation of a screened potential [43]. The Schrödinger–Newton equations also play a role in quantum hydrodynamics [43].

## 3. Interacting Beams

A simple manner in which to control a nematicon and its trajectory is to use a second one as a control beam, the interaction between the two being mediated by the nonlinear NLC response. Owing to the high nonlocality characterising the medium, the wavepackets can "sense" one another without an apparent collision [51,52]. Let us first consider the interaction of two incoherent nematicons, with two non-interfering beams of electric fields u and v in relative proximity; neglecting walkoff, the NLC Equations (7) and (8) can be extended to [53]

$$i\frac{\partial u}{\partial z} + \frac{1}{2}D_u\nabla^2 u + 2A_u\phi u = 0, \qquad (11)$$

$$i\frac{\partial v}{\partial z} + \frac{1}{2}D_v\nabla^2 v + 2A_v\phi v = 0, \qquad (12)$$

$$\nu \nabla^2 \phi - 2q\phi = -2A_u |u|^2 - 2A_v |v|^2.$$
(13)

If the two beams share the same wavelength, then the diffraction coefficients can be scaled to  $D_u = D_v = 1$ , as can the coupling coefficients  $A_u = A_v = 1$  [51,53].

The simplest collisional case is in-plane interactions [51,52,54–60], with solitary waves attracting and periodically interleaving as they propagate in the principal plane. While stable, steady multipole vector solitary waves can exist if the nonlocality  $\nu$  is large enough [55,56]; in most other cases, the long range attraction mediated by the medium results in the beams oscillating about each other in the plane, irrespective of their relative phase, at variance with NLS solitary waves [52,54,61]. In the local limit  $\nu \rightarrow 0$ , the coupled NLC Equations (11)–(13) reduce to coupled NLS equations. In-phase NLS solitary waves attract and out-of-phase NLS solitary waves repel [6], so the high nonlocality of NLC results in a significantly modified behaviour.

The in-plane interaction of nematicons can be modelled using modulation theory, with good agreement with full numerical solutions of the coupled nematic Equations (11)–(13), a notable exception being the period of oscillation of the beams about each other [51,57]. This period difference greatly affects the beam phase for increasing *z*. A major result of this modelling is that nematicon trajectories are essentially determined by momentum conservation. Moreover, in the highly nonlocal limit, nematicons shed minimal radiation as they evolve [62], contributing minimal momentum to diffractive radiation. Although standard MT approximates solitary waves as point particles interacting under a potential [12], this was also extended to account for the non-point form of solitary waves [63], resulting in improved agreement with numerical solutions, albeit at the cost of increased complexity in the derivation of the MT equations and the equations themselves.

As nematicons propagate in the bulk of a thick planar cell, typically with 100  $\mu$ m between parallel glass plates, two interacting nematicons of equal power and size can spiral about each other and form a rotating cluster in three dimensions, exhibiting an angular momentum as a whole due to their nonlocal interaction via the NLCs [54,64–66]. Spiralling nematicons can be generated by the initial conditions

$$u = a_u f(\rho_u) e^{i\psi_u}, \quad v = a_v f(\rho_v) e^{i\psi_v}, \tag{14}$$

where

$$\rho_{u} = \frac{\sqrt{(x - \xi_{u})^{2} + (y - \eta_{u})^{2}}}{w_{u}}, \qquad \rho_{v} = \frac{\sqrt{(x - \xi_{v})^{2} + (y - \eta_{v})^{2}}}{w_{v}},$$
$$\psi_{u} = \sigma_{u} + U_{u}(x - \xi_{u}) + V_{u}(y - \eta_{u}), \qquad \psi_{v} = \sigma_{v} + U_{v}(x - \xi_{v}) + V_{v}(x - \eta_{v})$$
(15)

at z = 0 in the two colour NLC Equations (11)–(13), where f is the electric field profile of the beams, noting that the input wavepackets are usually Gaussian. The variables  $(U_u, V_u)$ and  $(U_v, V_v)$  are related to the angles at which the beams are input into the nematic cell and can be considered the input (x, y) "velocities" of the beams, with  $(\xi_u, \eta_u)$  and  $(\xi_v, \eta_v)$  the input positions of the beams. The spiralling of two skew nematicons about each other due to nonlocal, nonlinear attraction is illustrated in Figure 2. This figure shows experimental results for two identical solitary waves launched and evolving out-of-plane and spiralling about one another for various input powers. The interacting beams are imaged in the observation plane (Y, Z), their output spots in the (X, Y) plane (Figure 2c,d,g,h) at the end of the sample after they evolved in the down cell Z direction. The output spots appear to rotate as the beam power goes up and the effective angular momentum of the "two nematicon molecule" cluster increases, with an augmented angular velocity [64].



**Figure 2.** Spiralling nematicons. The NLC planar cell is realised as in Figure 1b. Top left: Numerical solution of the nematic model in Equations (11)–(13), illustrating the mutual spiralling of two identical skew nematicons launched out-of-plane (Y, Z). Top right: Artist's rendering of the beam spots rotating about one another at the end of the sample for increasing excitation. (**a**–**h**): Experimental results; (**a**,**b**,**e**,**f**) acquired images of beam evolution in (Y, Z); (**c**,**d**,**g**,**h**) of the solitary wave cluster in the transverse plane (X, Y) at the sample output for input powers 2.1, 2.7, 3.3 and 3.9 mW, respectively.

Nematicon spiralling was theoretically investigated using MT based on the input beams (14) [66]. Both beam profiles were either Gaussian,  $f(\rho) = \exp(-\rho^2)$ , or sech,  $f(\rho) = \operatorname{sech} \rho$ . Good to excellent agreement was obtained between full numerical solutions of the NLC Equations (11)–(13) and MT for the trajectories, with the Gaussian and sech profiles providing comparable results in the highly nonlocal limit, the agreement improving for increasing angular momentum of the input cluster [66].

These findings confirmed that, in the highly nonlocal limit, nematicon trajectories are weakly dependent on the initial wavepacket profile [51,67]. It is important to underline that besides those based on the full nonlocal response of NLC, some studies rely on simplified models as the NLC Equation (13) can be solved in terms of a Green's function G as

$$\phi = -2 \int \int_{\text{cell}} G(x - x', y - y') \Big[ A_u |u(x', y', z)|^2 + A_v |v(x', y', z)|^2 \Big] dx' dy'.$$
(16)

Since in (2 + 1) dimensions, the Green's function *G* is the modified Bessel function of order 0,  $K_0$ , in general, the solution (16) is not useful for analytical studies. For this reason, much work on light beam propagation in nonlocal, nonlinear media has adopted simplified responses for *G*—the most common being Gaussian and exponential. Of particular relevance to the present review, the in-plane interaction of two solitary waves was investigated by means of a Gaussian [68,69] and an exponential response [70] and their spiralling with a Gaussian [71]; such studies were in qualitative agreement with those based on the actual NLC response.

At the end of this section, we deem it appropriate to mention that a range of experimental and numerical studies were undertaken on self-guided beams interacting in other nonlocal media [72], particularly those with a self-focusing thermo-optic response [39,73]. The understanding and intuitive perception of nonlinear phenomena in reorientational soft matter, in fact, may benefit from the insight afforded by solitary waves in thermal media. For the latter scenario, the governing equations are the Schrödinger–Poisson model shown in Equations (7) and (8), where  $\phi$  denotes the temperature, with the walk-off factor and the pre-tilt set to zero,  $\gamma = 0$  and q = 0. Employing lead-glass, it was reported in [39] that in (1 + 1)D, two propagating solitary waves attract each other; in (2 + 1)D, spiralling can occur, with orbits dependent on the nonlocality. In local media, the orbits of two interacting beams are elliptical, whereas in nonlocal media, circular orbits, with tangential velocity independent of separation, are possible. The final comment is that the trajectories of the interacting nematicons can be controlled and varied by adjusting the separation and power of the beams.

#### 4. Refraction and Reflection of Self-Guided Beams at Interfaces

A basic topic in optics is the refraction of plane waves of light at the interface between two dielectrics of different refractive indices, governed by Snell's Law in the linear regime. Nematicons can be similarly refracted at interfaces that delineate NLC regions with different background orientations of the optic axis, resulting in unequal refractive indices. However, in spite of their particle-like behaviour resembling plane waves with a principal wavevector, since nematicons are extended nonlinear wavepackets in a nonlinear medium, their refraction and reflection can depart from those of linear light beams [74].

Let us assume that two external low-frequency electric fields (voltages) are applied across two regions of a planar NLC cell, as in the experiments of [28] and sketched in the top panel of Figure 3. Two sets of electrodes apply the two bias voltages and are separated along the line  $y = \mu_1 z + \mu_2$ , so the beams refract in the (y, z) plane. The two biases generate a background director orientation  $\phi_b$ , which consists of the angle  $\phi_{bl}$  to the left and  $\phi_{br}$  to the right of the interface, respectively. The non-dimensional NLC Equations (7) and (8) are then modified to [28,75]

$$i\frac{\partial u}{\partial z} + i\gamma\Delta(\phi_b)\frac{\partial u}{\partial y} + \frac{1}{2}\nabla^2 u + \sin(2\phi_b)\phi u = 0$$
(17)

$$\nu \nabla^2 \phi - 2q\phi = -\sin(2\phi_b)|u|^2.$$
(18)

Here,

$$\phi_b = \begin{cases} \phi_{bl}, & \mu_1 z + \mu_2 < y, \\ \phi_{br}, & y < \mu_1 z + \mu_2 \end{cases}$$
(19)

and the non-dimensional bias q is

$$q = \begin{cases} q_1, & \mu_1 z + \mu_2 < y, \\ q_r, & y < \mu_1 z + \mu_2, \end{cases}$$
(20)

see Equation (9) and the top panel of Figure 3. Note that the basic nematic Equations (7) and (8) were modified as the background director orientation in the absence of the optical beam is now non-uniform, resulting in the sin  $2\phi_b$  coefficients, which cannot be scaled out.



Z

**Figure 3.** (**Top**): Sketch of nematic cell with dielectric interface. The two regions of different refractive indices are generated by two external voltages  $V_1$  and  $V_2$  applied across isolated sections of the cell. The top sketch shows the incident (red), refracted (purple) and reflected (light blue) nematicons at the interface. The beam path in the (y, z) plane is  $y = \xi(z)$ . (**Bottom**): Refraction/reflection of a nematicon at a dielectric interface in NLC. The beam propagates from a more to a less optically dense NLC region. 1. refraction: solid red line; 2. Goos–Hänchen-type reflection: long-dash green line; 3. total internal reflection with beam axis tangential at the interface: short-dash dark-blue line; 4. total internal reflection with beam axis in the denser medium: dotted pink line; 5. straight beam path: dash-dot light-blue line. The interface is indicated by the thick straight solid line in black.

For linear propagation from a more to a less optically dense medium, a light beam either refracts or undergoes total internal reflection (TIR). The refraction of a nematicon travelling from higher to lower refractive index regions shows a similar behaviour but has to account for the nonlinear, extended profile of a self-guided solitary wave. Various cases of nematicons at the interface are illustrated in the bottom panel of Figure 3 with the lines plotting the centre-of-mass of the propagating wavepacket (see [75]). The usual Snell's Law refraction is type 1. For angles of incidence larger than a critical value, the nematicon undergoes TIR types 2, 3 and 4. Type 3 is equivalent to linear TIR, with the beam reflected

and its centre tangential at the interface. However, since the nematicon transverse profile can exist on both sides of the interface, the beam can penetrate the less optically dense region, turn around and re-enter the denser NLC: this is denoted as Goos–Hänchen TIR [76] in Figure 3. Reflection type 4 illustrates TIR for which the nematicon bends towards the incidence region without its centre "touching" the interface, whilst its tail enters the less dense region. Figure 4 shows experimental results for the refraction from a less to a more optically dense medium and total internal reflection from a less to a more optically dense medium of a nematicon at an interface to illustrate these refraction and reflection regimes. The refraction of a nematicon going from a less to a more optically dense NLC region resembles that of linear waves [28,75].



**Figure 4.** Observation of a near-infrared 4.5 mW nematicon interacting with a voltage-controlled dielectric interface in NLCs as it travels from the left to the right. (**Left**): Refraction of the nematicon going towards a denser region; (**Right**): total internal reflection of the nematicon propagating towards a less dense region at an incidence angle exceeding the critical value. The opposite values of the applied voltage difference  $\Delta V$  marked above the panels are consistent with the opposite refractive index contrast imposed between the two dielectric regions in the two cases. Reproduced with permission from Springer Nature. All rights reserved [28], doi: https://doi.org/10.1038/nphys427.

Modulation theory was developed to model nematicon refraction at interfaces, as governed by the NLC Equations (17) and (18) [75,77]. This model essentially treats the nematicon as an equivalent particle in a varying medium (mechanical potential) [12,78], the essential concept behind the term "soliton". This work [75,77] found excellent agreement between these MT solutions and full numerical solutions of Equations (17) and (18), including the incidence angles separating the various refraction and reflection types illustrated in Figure 3. The pertinent initial conditions for this MT model are

$$u = a \operatorname{sech} \frac{\sqrt{x^2 + (y - \xi)^2}}{w} e^{i\sigma + iU(y - \xi)}, \quad \phi = \alpha \operatorname{sech}^2 \frac{\sqrt{x^2 + (y - \xi)^2}}{\beta}.$$
 (21)

As for the initial condition in Equation (14) for spiralling nematicons, U is related to the input angle of the beam in the (y, z) plane and can be considered the input y "velocity" of the beam, which is inputted at x = 0 and  $y = \xi$  at z = 0.

The analysis of NLCs' self-confined beams refracting at an interface was further extended to optical vortex beams (i.e., propagating vortices rather than those discussed in [79]) based on the input wavepacket [80]

$$u = are^{-r/w}e^{i\sigma + iU(y-\xi) + i\varphi},$$
(22)

which is a vortex of (topological) charge one [6], with  $(r, \varphi)$  being plane polar coordinates and U and  $\xi$  having the same meaning as for the nematicon initial condition in

Equation (21). In local media, optical vortices are unstable to a mode 2 azimuthal instability, but they stabilise in sufficiently nonlocal media, such as nematic liquid crystals [81–83] and thermo-optic media [73], including dye-doped NLCs [84]. Optical vortices share similar refraction/reflection at a NLC dielectric interface as nematicons, including Snell's Law type and TIR. The major difference is that a vortex is less stable than a nematicon; hence, its deformation upon refraction can destabilise it, so that it easily breaks up into stable nematicons [80], as illustrated in Figure 5. This figure shows snapshot cross-sections in the (x, y) plane of the evolving vortex at various downcell distances *z* as it crosses the refractive index interface given by Equations (19) and (20). The deformation of a vortex hitting the interface is clearly visible in Figure 5b and increases as more of the vortex. Figure 5d–f, and its break up into solitary waves. In later work, it was found that the stability of an optical vortex propagating through a dielectric interface can be enhanced by a co-propagating coaxial nematicon as the latter acts as a waveguide and helps to keep the vortex together by confining a large amount of its high amplitude field distribution [85].



**Figure 5.** Transverse profiles of |u| from the numerical solution of the model in Equations (17) and (18) and the initial conditions, as shown in Equation (22), with a = 0.15, w = 8.0 and V = 1.3 at z = 0, with v = 200,  $\psi_{bl} = 0.8$ ,  $\psi_{br} = 0.4$ ,  $q_l = 1.3$ ,  $q_r = 1.0$ ,  $\mu_1 = 1.5$  and  $\mu_2 = -20$ : (a) z = 0; (b) z = 20; (c) z = 40; (d) z = 120; (e) z = 150; (f) z = 200. The NLC cell is biased as in Figure 1a. ©IOP Publishing. Reproduced with permission. All rights reserved [80], doi: http://doi.org/10.1088/0953-4075/45/16/165403.

As we did earlier, we note that self-focusing thermal media have also been exploited for analytical, numerical and experimental studies of solitary wave–vortex interactions. In [86–88], the authors used orthogonally polarised beams in a cylindrical medium, illustrating the propagation of a coupled bell-shape beam with a higher-order ring or doughnut-like vortex. At a critical power ratio stationary vector solitary waves can be excited, as confirmed by experiments in lead glass [86–88].

#### 5. Interaction of Localised Beams with Dielectric Perturbations

The trajectories of optical solitary waves in NLCs can also be manipulated by localised perturbations—defects—of the refractive index, acting in a manner similar to a lens [7,89,90]. There are a number of techniques to generate refractive defects as the orientation of NLC molecules, and so the refractive index can be controlled via numerous mechanisms, including applied electric fields [21,23,25,30,91,92], dye-doping [24,93–96], polymer dispersion/doping [97,98], external magnetic fields [99–101], disinclinations and topological structures [102,103] and other (incoherent) light beams [22,24,89,104]. However, since a nematicon is a wavepacket with an extended profile, it can interact with the localised defect/lens even if its peak is somewhat distant from it-similar to the case of total internal reflection discussed in Section 4. As remarked before, NLCs are nonlocal, nonlinear media for which the reorientation extends well beyond the beam waist forcing it. Let us consider a refractive perturbation of the background director orientation  $\phi_b(x, y, z)$ about the uniform pre-tilt  $\theta_0$  in a region denoted by  $\Omega$ . As the director orientation is linked to the extraordinary refractive index  $n_e$ , see Equation (3), a light beam can be refracted when passing through, or near, such a localised defect in  $n_e$  and modify its trajectory. By adding this index perturbation, the NLC model in Equations (7) and (8) becomes

$$i\frac{\partial u}{\partial z} + i\gamma\Delta(\theta_0 + \phi_b)\frac{\partial u}{\partial y} + \frac{1}{2}\nabla^2 u + 2\phi_b u + 2\phi u = 0, \qquad (23)$$

$$\nu \nabla^2 \phi - 2q\phi = -2|u|^2. \tag{24}$$

Note that the nonlinear term in the electric field equation is of the form  $\Delta nu$ , where  $\Delta n$  is the light-induced change in refractive index from its pre-tilt value.

As stated above, the dielectric defect acts as a lens to modify the beam trajectory. Nematicon refraction by localised index perturbations induced by an external electric field (of constant value  $u_0$  inside the region  $\Omega$  and 0 otherwise) was studied by full numerical solutions of Equations (23) and (24) and MT [27] using circular, elliptical and rectangular domains  $\Omega$ . The input beam was taken as

$$u = af(\rho)e^{i\sigma + iU(y-\xi)}, \quad \rho = \frac{\sqrt{x^2 + (y-\xi)^2}}{w}$$
 (25)

with profile  $f(\rho)$  either Gaussian or sech, obtaining comparable results, as expected from previous studies on the role of the beam profile on nematicon propagation [67].

The example of an elliptical refractive index change can illustrate the findings in [27]. The defect can be generated by an applied low-frequency electric field of the form

$$u_b = \begin{cases} u_0, \quad \Gamma = \frac{(y - Y_\Omega)^2}{R_y^2} + \frac{(z - Z_\Omega)^2}{R_z^2} \le 1, \\ 0, \qquad \Gamma > 1, \end{cases}$$
(26)

providing the desired background orientation of the optic axis  $\hat{\mathbf{n}}$ . Figure 6 compares the nematicon trajectory  $y = \xi(z)$  as given by full numerical solutions of the NLC model in Equations (23) and (24) with the initial condition defined in Equation (25) and by the modulation theory. It can be seen that MT gives a good prediction of the nematicon trajectory as it is refracted by the index change. These results show that the trajectory of a nematicon can be controlled by adjusting the strength of the dielectric defect, similar to adjusting a lens controls the path of light.



**Figure 6.** Comparison of nematicon trajectories  $y = \xi(z)$  nearing an elliptical region  $\Omega$  of differing refractive indexes in an NLC cell prepared as in Figure 1b. The initial condition is Equation (25). Numerical solutions of Equations (23) and (24): red line; solution of MT equations [27]: dashed green line. The initial condition is  $f(\rho) = \operatorname{sech} \rho$ , a = 2.5, w = 2.0, U = -0.1,  $\xi = -10.0$  with v = 200 and q = 2. The defect parameters are  $u_0 = 1$ ,  $Y_{\Omega} = 0$ ,  $Z_{\Omega} = 20$  and  $R_y = 5$ ,  $R_z = 9$ . The boundary of  $\Omega$  is given by the pink line. Reproduced with permission from the American Physical Society. All rights reserved [27], doi: http://dx.doi.org/10.1103/PhysRevA.82.053843.

The study of nematicon refraction in proximity to dielectric defects was extended to nematicon paths interacting with the perturbation itself [105] based on the assumed additional orientation

$$\theta_b = a_b e^{-\left[(y - Y_b)^2 + (z - Z_b)^2\right]/w_b^2} \tag{27}$$

and the input wavepacket in Equation (25). If the beam waist is small compared with the defect width, then self-localisation is preserved and the solitary wave refracts similarly to the case of propagation around the defect [27]. However, if the beam and defect have comparable sizes, a large enough refractive index contrast can pull apart the nematicon, as is apparent in Figure 7, where the latter breaks up into two beams. These two beams are the counterparts of the caustics forming in the linear regime [105].



**Figure 7.** Evolution of |u| in the plane x = 0, as given by numerical solution of Equations (23) and (24) in a sample as in Figure 1b. The initial condition is Equation (25) with  $f(\rho) = \operatorname{sech} \rho$ , w = 3.0, U = -0.05,  $\xi = 2.0$  with v = 400 and q = 2. The defect parameters are  $a_b = 0.5$ ,  $w_b = 3.0$ ,  $Y_b = 0$  and  $Z_b = 30$ . Reproduced with permission from the American Physical Society. All rights reserved [105], doi: http://dx.doi.org/10.1103/PhysRevA.85.013804.

The work summarised above was extended to experimental and theoretical studies in unbiased planar samples with a background director orientation  $\theta_0$  varying across the transverse Y direction but uniform in the down cell Z direction [106,107]. The NLC

Equations (23) and (24) govern such propagation with q = 0 since in the experiments [106], the NLC molecules were pre-tilted by the physical treatment of the glass plates (Figure 1b). The modulation theory used to model the experiments was based on the beam and director distributions

$$u = af(\rho)e^{i\sigma + iU(z)(y - \xi(z))}, \quad \rho = \frac{\sqrt{x^2 + (y - \xi(z))^2}}{w}$$
  

$$\theta = \alpha f^2(\mu), \quad \mu = \frac{\sqrt{x^2 + (y - \xi(z))^2}}{\beta}.$$
(28)

Here, *a* and *w* are the amplitude and width of the beam and  $\alpha$  and  $\beta$  are the amplitude and width of the director response to the optical forcing. The variable  $\xi(z)$  is the *y* position of the nematicon peak as it travels down the cell with *z* increasing,  $y = \xi(z)$  as it evolves in the (y, z) plane, with fixed x = 0, and U(z) is the nematicon *y* "velocity"—physically, the angle it makes with the *z* direction in the (y, z) plane. We underline that the beam profile is not specified above. It was found that if the length scale of the refractive index change is larger than the beam width, the MT results are independent of the profile and the MT equations reduce to momentum conservation equations for the wavepacket trajectory  $\xi(z)$ , as for interacting nematicons [51]. This is important since, as stated in Section 4, there are no known exact nematicon solutions on which to base the modulation theory. Figure 8 compares experimental and MT results (momentum conservation) for the nematicon trajectory, with an excellent match. These investigations of nematicon refraction in a sample with a transverse varying background director orientation were also carried out in samples with a background director distribution  $\theta_0$  varying longitudinally (in the down cell direction *Z*), with perfect agreement between measurements and MT results [108].



**Figure 8.** (Left panel): Nematicon trajectories for an unbiased NLC sample (see Figure 1b) with background angle  $\theta_0$  varying in *Y* from  $0^\circ$  at *Y* = 0 µm to 90° at *Y* = 600 µm. Experimental data: symbols; MT results: solid lines. (**Right** panel): Extraordinary refractive index  $n_e$  and walkoff angle  $\delta = \tan^{-1} \Delta$  across nematic cell. The red squares are the experimentally measured walkoff. Reproduced with permission from Springer Nature. All rights reserved [106], doi: https://doi.org/10.1038/s41598-017-12242-5.

As discussed in Section 4, an optical vortex beam is a much less stable entity than a nematicon, so when it interacts with a dielectric defect, it can be destabilised and break up into individual beams [80]. A co-propagating coaxial nematicon can stabilise an optical vortex in a uniform NLC sample, as demonstrated both experimentally [36] and theoretically [109,110], as was also found experimentally and theoretically for co-propagating solitary waves and optical vortices in thermal nonlinear optical media [86–88]. It was found that a refracting vortex can also be stabilised by a co-propagating co-polarised nematicon which acts as a graded-index waveguide and routes the vortex [111,112]. Figure 9 illustrates the stabilising effect of such a coaxial nematicon on a vortex as the combined wavepackets propagate through an elliptically shaped dielectric defect of the form

$$\theta_b = a_b e^{-\left[(y - Y_b)\right]^2 + (z - Z_b)^2 \right] / w_b^2}.$$
(29)

Figure 9a shows the evolution of a vortex beam (charge 1) alone: its destruction by way of the index defect can be clearly appreciated. Figure 9b displays the evolution of the same vortex co-propagating with a co-polarised nematicon, resulting in vortex stabilisation despite its interaction with the defect.



**Figure 9.** Evolution in (x = 0, y, z) of coupled optical vortex and solitary wave near a Gaussian refractive defect (white ellipse) in an unbiased NLC sample. (a) Vortex beam alone and (b) vortex with co-propagating nematicon. Reprinted with permission from [112] ©The Optical Society.

# 6. Conclusions

In this review, we have tried to present a descriptive synopsis of recent results on the study of interacting self-confined optical solitary waves in reorientational nematic liquid crystals, outlining the main analytical approaches based on modulation theory. Despite the non-exhaustive character of the review, it is apparent that nematicons and other solitary waves in this specific type of soft matter have triggered a conspicuous amount of research interest and scientific effort, stimulating the development of theoretical, numerical and experimental approaches motivated by the sensitivity of liquid crystals to quite diverse perturbations, from light to voltage, magnetic fields, temperature, doping, etc. Beyond the specific topics summarised here, additional and recent endeavours have been carried out at the frontiers of self-localised light in nematic liquid crystals, including, e.g., Pancharatnam–Berry geometric phase and spin-orbit (spin-optical) solitary waves [113–116], thermo-reorientational nonlinear competition and multi-hump supermode solitary waves [117–125], to cite a few. Such phenomena involve the interaction of optical solitary waves in NLCs with polarisation evolution and scattering, competing self-focusing and defocusing responses, etc. Given the resultant complexity, they seem to deserve their own dedicated review, which is underway.

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