



Communication

High-Throughput DNA Analysis Platform Based on an Optofluidic Ring Resonator Laser

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Abstract: We demonstrate an optofluidic laser using DNA microdroplets, an intercalating dye, and a glass capillary ring resonator. Only the target DNA emits the laser signal, while the non-target DNA, including those with single-base mismatches, exhibits zero optical signals. Subsequently, a single laser emission detected within a few milliseconds can distinguish the target DNA from others, allowing for truly digital DNA sequence analysis from an optical point of view. In addition, the microdroplets could be individually investigated using our optofluidic system. These advances in laser-based DNA analysis make our proposed scheme a promising, rapid, and high-throughput DNA sequence analysis platform.

Keywords: DNA; optofluidic laser; intercalating dye; microdroplet; molecular detection



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1. Introduction

A DNA sequence holds the most fundamental information about an individual life form; thus, its analysis offers significant potential for a variety of studies related to biology and medicine [1–14]. Traditional DNA sequence analysis, such as high-resolution melting (HRM) [3,15], is widely used not only in lab-scale research but also in real-world applications for pathogen diagnostics. During the recent coronavirus disease of 2019 (COVID-19) pandemic, real-time polymerase chain reaction (PCR), which is based on HRM, has been utilized as the standard diagnostic tool since it is the most powerful and reliable technique available.

However, laser-based DNA analysis schemes have been introduced in the last few years, challenging the traditional PCR technique in simplicity, sample consumption, and particularly in detection speed [16–22]. Since a laser is a nonlinear optical phenomenon with a laser threshold, DNA sequences can be analyzed with a single laser emission. When compared with traditional DNA analysis, which takes at least a few hours, laser-based analysis has a huge advantage in rapid, high-throughput DNA sequential detection.

DNA detecting lasers that have been described mostly utilize optofluidic platforms, and laser gain has been obtained using dyes labeled with DNA or intercalating dyes. Similarly to traditional fluorescence-based analyses, dye-labeled DNA molecules, such as molecular beacons, have allowed for efficient DNA detecting lasers; however, they include complex DNA labeling sample preparation processes [16,18,20]. The use of intercalating dyes rendered laser-based DNA analysis simple and cost-effective, and lasers were obtained with several different laser cavities/schemes [17,21]. While an optofluidic platform with a Fabry–Perot cavity implemented laser-based DNA detection [22], a few different ring resonator cavities exhibited superior laser characteristics owing to an intrinsically higher Q factor. The very first form of the optofluidic ring resonator (OFRR)-based DNA laser was introduced in 2012 [17]. This technique utilizes a glass capillary filled with a DNA sample mixed with intercalating dye, demonstrating that DNA sequences can be simply and rapidly detected using DNA laser emission. However, since the non-target DNA still

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emits fluorescence, which does not contribute to the laser feedback, the analysis cannot be regarded as a "digital-like" scheme from an optical standpoint. DNA probe molecules functionalized on the surface of the OFRR enabled a truly digital-like optical detection of DNA sequences, suppressing the fluorescence background from the non-target DNA molecules [21]. A drawback of this novel method is that pretreatment of the OFRR with a DNA probe hinders the performance of a rapid, high-throughput DNA analysis platform, which is the most desirable trait of laser-based DNA analysis to replace existing traditional DNA analysis.

In this study, we propose an OFRR laser scheme using microdroplets of a DNA/ intercalating dye and investigate optically true, digital-like DNA sequence detection to yield a realistic, rapid, high-throughput DNA analysis platform. The nL-sized microdroplets filled with a simple mixture of DNA and intercalating dye are individual reaction chambers for high-throughput analysis, and the glass-capillary-based OFRR laser connected downstream detects the DNA laser by analyzing their sequences. The laser emission was subsequently detected by the fiber taper to reject the unwanted fluorescence background. This resulted in a truly digital optical signal similar to the DNA laser with the surface-functionalized OFRR.

2. Materials and Methods

The microdroplets were generated with plastic tubing (Upchurch, P1476, inner diameter (ID) = 150 μm) and T-junctions (Upchurch, P-890), as schematically shown in Figure 1a, in accordance with previous studies [23–25]. Silicon oil was introduced upstream as a hydrophobic carrier fluid, and an aqueous solution of DNA and intercalating dye (SYTO®-13 Green Fluorescent Nucleic Acid Stain, Life Technologies, Carlsbad, CA, USA) was injected into the T-junction. The flow rates of the carrier fluid and DNA samples were 10 $\mu L/min$ and 3 $\mu L/min$, respectively. The immiscible phase forms individual microdroplets, of which approximately 125 nL can be derived from a generation rate of 0.4 Hz.

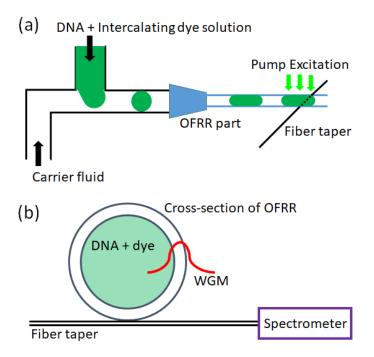


Figure 1. (a) Schematic of microfluidic system for DNA/dye microdroplet generation and optofluidic ring resonator (OFRR) laser experiments downstream. (b) When the microdroplets passing the glass capillary OFRR are optically pumped, the DNA/dye gain interacts with light confined in the WGM in the evanescent field, providing enough feedback for laser emission. The optical signal, excluding undesired fluorescence background, is coupled into a fiber taper and collected using a spectrometer at distal end.

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The fused silica glass capillary was fabricated using the existing drawing method with CO $_2$ laser irradiation and an inner diameter and wall thickness of approximately 70 μm and 7 μm , respectively. The microdroplets of DNA/dye were infused downstream into the capillary OFRR. In a capillary with a smaller diameter, the DNA/dye microdroplets filled the entire cross-section, placing the DNA/dye samples in contact with the capillary wall. When the microdroplets passed a point of interest, the OFRR was optically pumped using an optical parametric oscillator (continuum, 488 nm wavelength, 5 ns pulse width, 20 Hz repetition rate). As the evanescent field of light confined in the OFRR whispering-gallery mode (WGM) interacted with the DNA/dye solution, a laser was emitted and coupled into the fiber taper in contact with the OFRR. Only the laser emission was carried into the spectrometer (iHR550, Horiba Jobin Yvon) connected to the distal end of the fiber taper, excluding the undesired fluorescence background.

The microdroplets can also serve as high-Q OFRR cavities because they are natural spheres with smooth surfaces. However, the relatively low refractive index of the aqueous DNA/dye solution (~1.33) renders it impossible to confine light when the droplets are in carrier fluid with a higher index. Microdroplets in a carrier fluid are free from evaporation issues and are individual reaction chambers that can be easily manipulated. Thus, this platform is ideal for high-throughput biosensors and/or molecular detectors. However, a low water index significantly limits the choices of the continuous phase for light confinement in the WGM. This is one of the main reasons for utilizing a glass capillary in the laser cavity. Although most of the WGM-confined light resides inside the glass capillary, there is enough of an evanescent field inside, resulting in sufficient laser feedback from the laser gain, which is the DNA/dye mixture in this experiment.

The arbitrary DNA sequences used in this study are listed in Table 1. The target DNA, which was perfectly matched double-stranded DNA, had 21 base pairs. Sequences 1 and 2 of single-stranded DNA (ssDNA) forming the target double-stranded DNA were complementary pairs. In control experiments, base mismatches in the middle of the sequences were intentionally introduced to verify that our DNA analysis platform was capable of distinguishing the non-target DNA with only a single base mismatch. In this study, we classified the base mismatched DNA described above using the suggested microdroplet OFRR laser system. Therefore, our DNA detection platform could distinguish any kind of non-target DNA with a thermodynamic difference larger than a single base mismatch.

Table 1. DNA sequences of target and base mismatched samples.

ssDNA	Target	Base Mismatched
Sequence 1	5'-ACA ACA AAG AAC ATA CAT AGG-3'	5'-ACA ACA AAG AAC ATA CAT AGG-3'
Sequence 2	5'-CCT ATG TAT GTT CTT TGT TGT-3'	5'-CCT ATG TAT ATT CTT TGT TGT-3'

Both samples were mixed with SYTO 13 nucleic acid stain (Invitrogen), and the DNA intercalating dye was originally dissolved in dimethyl sulfoxide (DMSO) at a concentration of 5 mM. The final concentrations of DNA and dye were 250 μ M when dissolved in a buffer solution (Tris-acetate ethylenediaminetetraacetic acid [EDTA] buffer, pH = 8.3).

3. Results

The laser emission spectra from the target DNA assay and base mismatched DNA samples are shown in Figure 2. While microdroplets of each DNA sample were generated in separate fluidic tubing systems, both droplet samples were connected to the same OFRR and optically pumped with an energy density of 2.1 mJ/mm². The curves were shifted vertically for clarity. The target DNA samples exhibited a clear laser emission with typical multi-mode lasing from the OFRR cavity. However, the base mismatched DNA samples presented virtually zero optical signals. Although a free-space coupled fluorescence emission from the base mismatched samples can be observed with the naked eye, there is no observable optical signal from the detection portion. This is because our system, with a fiber taper coupler, only detects the laser emission, excluding the undesired

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fluorescence background. The pump energy density of 2.1 mJ/mm² is above the lasing threshold of the target DNA laser and below that of the base mismatched DNA laser. Thus, the target DNA was distinguishable from non-target DNA using only a single pulse of laser emission.

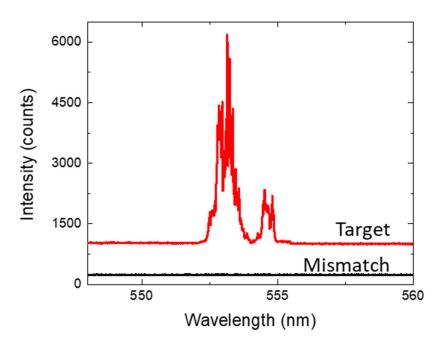


Figure 2. Laser emission spectra from the target DNA and base mismatched DNA when pumped with the same energy density. Note that the curves were vertically shifted for clarity.

To further investigate the lasing threshold difference between the target and base mismatches, the laser emission intensities were measured by varying the pump energy density. Figure 3 illustrates the spectrally integrated laser emission intensity as a function of pump energy density. The lasing threshold derived from the linear fit of the laser intensity was approximately 0.62 mJ/mm². This is slightly higher than that of the DNA laser with a continuous phase but comparable to previous reports. When microdroplets of the DNA sample solution are inserted into the OFRR portion, the droplets are elongated and the DNA solution comes into contact with the capillary OFRR wall. This is because the hydrophilic phase of the aqueous solution expels the hydrophobic continuous phase (silicon oil). Thus, this is likely not the main reason for the slight increase in the laser threshold. In fact, laser characteristics can be affected by several factors, such as the intrinsic Q factor of the cavity.

The most important caveat of this experiment is that lasing from the base mismatched DNA (non-target DNA) is not measurable, even at a high pump energy density. However, the laser threshold of the base mismatched DNA is significantly higher than that of the target at room temperature. Therefore, distinguishing the 21-base-pair target DNA from non-target samples is a straightforward process with our laser-based DNA sequence analysis system.

The most distinctive advantage of our DNA analysis system Is that it can rapidly detect the target DNA in different samples. To prove this concept, each microdroplet should be analyzed separately; thus, they can be regarded as individual reaction chambers with small volumes. We generated alternating microdroplets of the target DNA and base-mismatched DNA with two T-junctions in the fluidic tubing portion, as previously described. Figure 4 shows the optical signals observed using the spectrometer at the distal end of the fiber taper as a function of time. When the target DNA sample microdroplets passed the analysis point of the OFRR, clear laser emission was observed, and there was no optical signal when the base-mismatched microdroplets were analyzed. This directly proves that our OFRR DNA

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detection platform is suitable for rapidly analyzing multiple microdroplets with different DNA sequences.

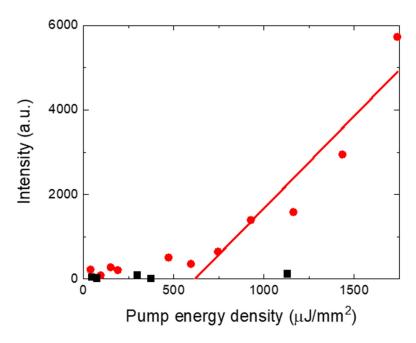


Figure 3. Spectrally integrated laser emission intensity as a function of pump energy density. Red dots indicate the target DNA laser emission, while black squares are from base mismatched DNA.

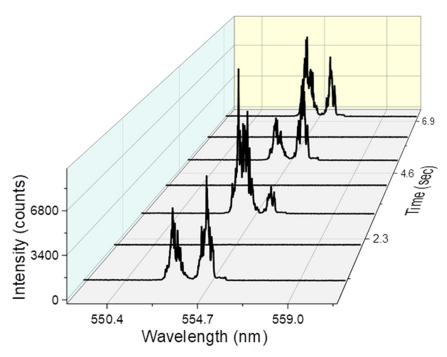


Figure 4. Laser emission from the OFRR as a function of time when alternating microdroplets of the target and the base mismatched DNA are introduced. Note that the optical signals from the target and base mismatched DNA are clearly distinguished. Thus, our platform allows for sequential analysis of individual microdroplets of different DNA samples.

4. Discussion

Using advanced microfluidic systems, microdroplets of various DNA samples can be simultaneously generated and manipulated, and each individual microdroplet can be read if the target DNA is present with a single laser emission. We propose an optofluidic system

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that generates microdroplets of DNA samples and detects the target DNA with only a single laser emission. This novel DNA analysis platform is based on a glass capillary OFRR laser cavity, which is capable of lasing in an aqueous DNA solution with a relatively low index. In addition, only the laser signal was observed in the detection portion despite the unwanted fluorescence background from the DNA/dye solution, which does not contribute to the laser emission. This is because the optical signal is coupled into the fiber taper and measured. These data suggest that our DNA detection scheme is truly digital from an optical perspective.

More importantly, our DNA analysis platform is capable of rapidly detecting the target DNA from multiple microdroplets because the DNA laser can be measured separately using the suggested OFRR system. Recent advances in microfluidics enabled the generation and manipulation of many individual microdroplets. Combined with this, our laser system is a promising, novel technology for high-throughput, rapid DNA sequence detection platforms, resolving the bottleneck of time-consuming traditional DNA analysis.

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