

Article Digital Transformation of Production Planning and Control in Manufacturing SMEs-The Mold Shop Case

Kosmas Alexopoulos *^D, Nikolaos Nikolakis ^D and Evangelos Xanthakis

Laboratory for Manufacturing Systems & Automation (LMS), Department of Mechanical Engineering & Aeronautics, University of Patras, Rion, 26504 Patras, Greece

* Correspondence: alexokos@lms.mech.upatras.gr; Tel.: +30-2610910160

Abstract: This work describes an approach for the Digital Transformation (DT) of a manufacturing SME in the mold production industry. The phases for changing from manual and non-adding value labor-intensive practices to digital and smart manufacturing configurations are described. Initially, the needs of the SME are presented in terms of improving the planning and monitoring capabilities. Following the requirements analysis, a set of digital functionalities are proposed and mapped to the specific needs. The digital solution presented includes technologies such as the Internet of Things, data management, intelligent production planning and web technologies. The industrial pilot case has showed how the use of open-source off-the-shelf technology, integrated with the facility's legacy systems can reduce daily production management tasks and ease the burden of planning and monitoring. The engineers and management team of the SME have acquired first-hand experience on the benefits digitalization of process can bring to the organization that is valuable for proceeding with the DT of the organization.

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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). **Keywords:** digital manufacturing; digital transformation; industry 4.0; monitoring of manufacturing systems

1. Introduction

Planning and monitoring of manufacturing systems to achieve desired performance targets in terms of cost, quality, time and flexibility, is of paramount importance and has received significant attention from researchers [1]. Under this perspective, industrial processes could greatly benefit from the integration of Industry 4.0 digital solutions [2]. Industry 4.0 transition and the underlying Digital Transformation (DT) are believed to provide manufacturing SMEs with valuable advantages vital to their future competitiveness and survival, such as manufacturing productivity, reduced operating costs, improved product quality and product innovation [3]. Research results show that SMEs do not exploit all the resources for implementing Industry 4.0 and often limit themselves to the adoption of Cloud Computing and the Internet of Things (IoT). SMEs find it difficult to implement Industry 4.0 technologies due to a lack of economies of scale and their position in the value chain. Many SMEs are mid-tier suppliers, they usually have limited impact on the value chain and benefit from the digitalization of end products or implementation of 'manufacturing as a service concept. Hence the benefits of Industry 4.0 tend to accrue to OEMs [4]. SMEs seem to have adopted Industry 4.0 concepts only for monitoring industrial processes and there is still an absence of real applications in the field of production planning [5]. Significant advantages in the domains of ubiquitous connectivity, intelligent machines, IoT, adaptive user interfaces and intelligent user support have been achieved in recent years. Smart factory technology envisages factories that are adaptive and able to quickly react to changes either coming from internal factors (e.g., machine failure) or external factors (e.g., an urgent high-priority customer order). Modern Cyber-Physical Production Systems (CPPS) rely on the collaboration between production equipment and

parts to be produced. Parts, sub-assemblies and products will be produced by loosely defined production schedules that can be efficiently adjusted to the dynamic changes in the shop floor. In many cases, the production schedule needs to be adapted due to unpredictable situations such as machine break-downs, unplanned production orders, missing materials, human errors and so forth [6].

This work proposes an Industry 4.0 solution for DT and investigates the digital transformation of an SME that manufactures molds to ease the burden of planning and monitoring. In chapter 2 the literature review is presented with a focus on Industry 4.0 and Digital Transformation technologies. Chapter 3 describes a system for digitalizing production planning and monitoring. Chapter 4 presents the SME's DT pilot case and discusses the expected benefits as well as lessons learnt. Finally, chapter 5 concludes with a discussion of the key findings and the way ahead.

2. Literature Review

The digital transformation in manufacturing mandates a transition of manufacturing business models from "bolts" to "bits", as mentioned in [7], where a framework for measuring the digital transformation of manufacturing industries is presented. In particular, the application of cyber-physical features in production systems holds the promise of enabling a smarter production paradigm with higher productivity, efficiency, and self-managing production processes allowing for innovative control and increased levels of automation, as highlighted in [8,9]. Technologies such as cloud services and resource virtualization are considered important drivers [10] along with low-code development platforms [11]. Such a digital transition requires as well standardized implementation protocols and more important a realistic view of return on investment as highlighted in [12].

Recently, the COVID-19 pandemic has influenced dramatically the daily operations of manufacturing firms [13] mandating them to drastically increase their efforts in their digital transformation. Five industrial case studies showcasing the use of advanced information and operational technologies in manufacturing are presented in [14], demonstrating the increased automated support because of increased automation levels. Although the pandemic has intensified the need for manufacturers to incorporate Industry4.0 technologies, the barriers to this happening are still present.

However, the capability of a firm to pursue and accomplish its digital transformation is dependent on its capabilities and dynamic management profile. The authors in [15] propose a systematic model for SMEs to better understand their capabilities and digital maturity and identify their competence elements. In a similar approach, a framework for the digital transformation of SMEs is provided [16]. The framework is also suggested for benchmarking the capabilities relevant to the digital transformation of SMEs.

Considering that manufacturing companies including SMEs need digital solutions to demonstrate increasing levels of resiliency in today's market demands as well as disturbances, such as the COVID-19 pandemic, novel solutions for production planning are of major importance to improve their flexibility and adaptability. A literature review on production scheduling in Industry 4.0 is presented in [17] including the review of 53 papers. A conceptual framework for smart production planning and control in Industry 4.0 is discussed in [18], including scheduling, job sequencing, controlling and data management. A model for integrated planning and scheduling of engineer-to-order products is presented in [19] using a mixed-integer programming formulation. The results indicate a large computing time is required for large sized-instances. Also, a mixed integer linear programming extended with a Lagrange relation method is used [20] for energy-efficient scheduling under time-of-use pricing. The integration of industrial IoT with operational technologies to support the dynamic scheduling and rescheduling operations in a personalized production environment is proposed in [21]. Such approaches can enable data-driven decision-making and control facilitating the adoption of proactive strategies for a resilient and sustainable production system [22]. Regarding the knowledge that IoT data can reveal, and that traditional scheduling methods ignore, [23] propose a cyber-physical architecture

for dynamic scheduling using reinforcement learning techniques. Also in that direction, a context-aware scheduling and control architecture is proposed by [24] and [25]. A manufacturing ontology is adopted to formalize raw data into structured information while rule-based control approaches are being followed in both. A Markov decision-making process for scheduling in semiconductor fabrication is employed in [26], while a control algorithm based on Kalman filters is discussed in [27] for non-stationary batch service production, with promising results. In [28] they quantify the production recovery of SMEs in the post COVID-19 era according to their openness to Industry 4.0 technologies, indicating the importance of adaptive production planning and control in the management of the disruptive effect generated by the COVID-19 pandemic.

It becomes clear that the resiliency of a manufacturing company when it comes to production planning and scheduling is highly dependent on data acquisition and their conversion to meaningful information. This work discusses a unified approach for enabling the digital transformation of manufacturing companies, focusing on SMEs, regarding the aspects of production planning and monitoring.

3. Method Description

This chapter presents the proposed solution for the system for Digital Transformation (DT) of the mold production processes. As depicted in Figure 1, the proposed solution consists of two main parts: the backend and the front end.

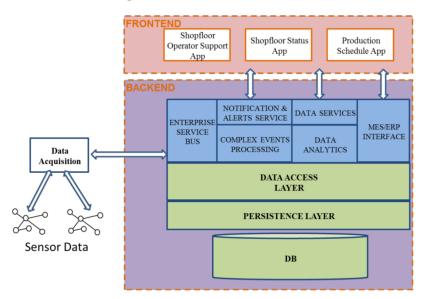


Figure 1. System architecture.

The "Data Acquisition" component of the proposed solution is an IoT stack supported by FIWARE components. The main components integrated into the solution are the ORION Context Broker to manage the application context and the IDAS IoT Agent (JSON-MQTT) to mediate between an MQTT-enabled sensor and the context broker. The architecture of the Data Acquisition solution is depicted in Figure 2. The main components are:

- The MQTT adapter (implementation of the MQTT client protocol) transforms non-MQTT devices into MQTT-enabled devices. It transforms data from arbitrary formats (XML, JSON, binary signals) into MQTT messages. The body of such messages follows a JSON format, and its model is dictated by the IDAS IoT Agent.
- MQTT Broker is an essential component for transferring data fast, efficient and reliable from shopfloor (connected IoT devices) towards an arbitrary number of client devices.
- An IDAS IoT Agent configured to serve as a proxy between the MQTT protocol (supported by the mosquito MQTT broker) and the NGSI protocol (supported by the ORION context broker).

- ORION Context broker supporting the NGSI standard.
- The context listener component is a client of Context Broker which uses the NGSI
 protocol to access context-related information and provide them towards the main
 backed application through its dedicated ESB.

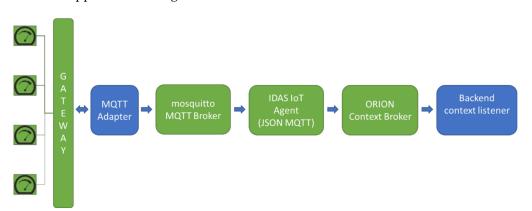


Figure 2. Data Acquisition Architecture based on FIWARE components.

In the backend system, the major layer is the business layer (represented in blue in Figure 2) which consists of the following services/components:

- *Enterprise Service Bus (ESB)*: The communication channel for data transfer from the "Data Acquisition" (see Figure 1) system towards the "Business Layer". (implemented using Apache's Camel and Active MQ)
- MES/ERP Interface: A generic Interface to communicate with MES/ERP systems. The solution provides an API for production schedule creation and management. The API is implemented through a set of Rest Services exchanging JSON formatted data.
- Data Services: This component provides the API for accessing the data managed by the backend system. Again, these services/API are implemented as Rest services (JSON) and are utilized to develop the "apps" in the Frontend layer.
- Notification & Alerts Service: This module provides the Frontend layer with simple and complex events generated by the CEP component. The CEP component is precond to fire complex events from the IoT data that each third-party application (in our case the Front-End apps) can be registered to receive and act upon.
- Complex Event Processing (CEP): This component is connected to the ESB to retrieve events from sensors and combined with historical information from the "Data Access Layer" generates alerts and notifications. The generated events are preconfigured through a rule-based system and upon their creation are propagated to the registered services through the HTTP protocol as JSON messages.
- Data Analytics: This component is a group of services that produce high-level information from the low-level shop floor and production data like business KPIs.

The other two layers, namely the "Data Access Layer" and "Persistence Layer" their roles are to make sure that data are securely stored and efficiently retrieved from the physical storage (RDBMS system).

The front end consists of the following apps:

- Shopfloor Operator Support App: This app (see Figure 3) is used to guide an Operator to perform a "task". Upon reaching a workstation, he scans his badge, and a list of "products" and corresponding "machines" are presented to select and start/finish a process. This application utilizes the assignments monitoring functionalities of the backend system along with the work order configuration to get a list of assignments and present the end user with the status of a product allowing them to advance it (further process the product). Functionalities include:
 - a. Production plan monitoring

- b. Location of products on the shopfloor (user interface only, it utilizes backend system functionality of sensor monitoring)
- c. User Interface for Operator Support (personalized production plan per operator)
- d. Alerts from the shop floor. Mainly information about machine breakdowns derived from installed sensors.

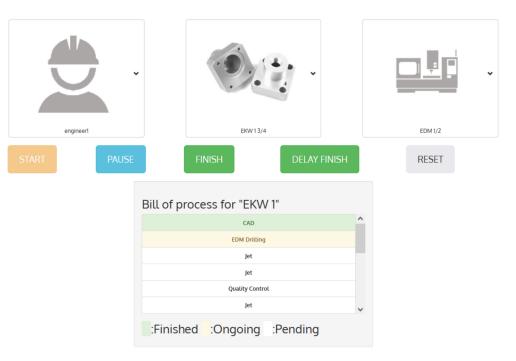


Figure 3. Operator Support App allows user to "Finish" the current process.

• *Production Schedule App*: This application (see Figure 4) creates a production schedule that is fed to the backend system services for monitoring. It provides capabilities for facility configuration, bill of processes configuration and finally workload definition. The core of the scheduling application has been based on advanced search [29] which has been utilized also in other cases (e.g., [30]). The output is a set of work orders to be released to the shop floor.

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Figure 4. Production Schedule App.

Shopfloor Status App: This application (see Figure 5) provides the management personnel with a view of the shopfloor activities. It provides information about where each product is located on the shop floor, what task each machine/resource is currently running and finally metadata for the product, like what processes each product has already finished (from the Bill Of Processes) and what would be the expected time of the product to be available to be shipped to the customer.

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Figure 5. Shopfloor status app–Orders monitoring view.

4. Industrial Pilot Case

4.1. Industrial Pilot Case Description

A mold is used for shaping materials into parts or products, and the mold production industry is essential for the mass production of various products such as plastic ones. Most mold manufacturing companies are SME companies (e.g., [1]), and therefore, it is important to investigate methods to improve the digital maturity of such companies. In molds' production, the core business activities can be classified into three business sectors: 1. Design and manufacturing of Injection Molds, 2. Design and manufacturing of Cutting and Forming Dies and 3. Manufacturing of High Precision Parts. All products and subcomponents are processed in the same resources and part flow covers most of the machining centers, depending on the particularities of each case. Common ground for all three of the above-mentioned business sectors is the demanding and complex manufacturing processes and the high precision required. The first two sectors, best fit the Engineer to Order business models, as the final products are unique, first-time-right complex assemblies, which vary greatly in terms of functionality, lead times and cost. Design, engineering and manufacturing start as soon as the customer demand comes in. While, the third sector, best fits the Make to Order business model, since the parts produced in single or very low-volume quantities, are engineered by the customer, and a detailed CAD drawing is provided. The industrial pilot case will focus on the third sector, Make to Order of High Precision Parts since it will allow for initial validation of the digital manufacturing solutions to be introduced as the significantly shorter lead times, and the more ordinary distribution of demand throughout the year, make it feasible to test and demonstrate the functionalities of the proposed digital manufacturing solutions in real conditions, via executing actual manufacturing orders. In addition, the company experts are convinced that the results of the testing and validation can be transferred to the other two business sectors. The basic differences are: (a) molds and dies are assemblies, but a high precision part can be considered a component of such an assembly in terms of manufacturing processes complexity and handling, and (b) mold and dies require design and engineering before being introduced in the shop-floor as manufacturing orders, while

for high precision parts, CAD drawings and engineering information are already available, which makes the pilot case easier to implement and allow for early validation results.

The overall manufacturing operations are sub-optimal, and identified weaknesses, described in this chapter, are also considered points of improvement, that can yield great benefits if addressed efficiently.

- Planning & scheduling: There is no planning or scheduling taking place other than the rough manual prioritization of the jobs in progress. Senior engineers and management have a deep understanding of each project and the efforts required to complete it and give instructions to the shopfloor personnel. Once a job is close to completion, or finished on a specific resource or department, a decision is made about which job is going to be processed next. Usually, to stay efficient and avoid resources staying idle, waiting for instructions, departments are assigned to be occupied with the same project, and engineers undertake projects personally so that they can manage them efficiently. All instructions to the shop floor, and work assignments, are orally provided, and the only information available is the order confirmation date and required delivery time.
- Monitoring & control: Since there is no schedule or production plan, following a project during its execution can be very demanding. There is no overview of which job is being processed in which machine since this information is dispersed among the operators and responsible engineers and requires person-to-person communication. Possible delays are identified only when it is already too late to respond. The timesheet-based system of documenting efforts spent on each project is considered of low quality and provides insight only after the completion of a task.
- Uninformed decision making: Given the lack of scheduling and monitoring of the manufacturing operations, a lot of personal and team efforts are required, to maintain the system functioning. Priority conflicts are daily resolved informally on a personal level or with short meetings of every stakeholder involved, and adaptations are being made constantly. The impact of those adaptations is impossible to consider in detail and to meet deadlines, a lot of extra working hours are required, leading to extra costs, which again cannot be quantified. The same applies to each new order coming to the shop floor since delivery times have already been agreed upon with little consideration of the available capacity of the current period.

Overall, the lack of systematic support for planning and monitoring activities hampers knowledge reuse and continuous improvement activities. Experience gained over the years remains as tacit knowledge in minds of the engineers, while valuable information—such as actual processing times—is not gathered, and thus cannot be used to plan an identical (rarely) or very similar job in the future. Lack of data also makes improvements in the manufacturing processes hard to implement and follow, since there is no hard evidence to compare and assess.

Table 1 summarizes the main challenges faced by mold production industry and their impact on the business side.

Following the analysis of the industrial needs, some Key Performance Indicators (KPIs) have been defined (see Table 2) for measuring the success of the DT project. Then KPIs are mapped to the new functionality to be provided. Table 2 below summarizes the main functionalities provided by the proposed solution and how they contribute to the expected benefit. This has helped in prioritizing the developments of the DT project and was also used during the validation phase to assess the impact of the solution.

4.2. Validation and Lessons Learnt

The solution presented in chapter 3 has been validated in the industrial pilot case. As presented in Figure 6 the digital solution has been deployed on the shop floor as follows:

 Two client workstations (PCs) to monitor the process execution by retrieving information from the operators of the machines close to each of the workstations. A touch screen was installed in each client workstation to allow for interaction with the operators. A standard web browser (Google Chrome) was used for providing access to the functionality of the apps.

- One Zigbee-enabled sensor for collecting energy consumption data from one production machine.
- A server for local, on-site installation of the proposed solution. The backend part of the solution was installed on the server side.

Table 1. Summary of main challenges and their impact on the business process.

#	Challenge	Business Impact
1	Planning, scheduling and rescheduling	 Sub-optimal resource utilization. Extra working hours. Non-added value activities for engineers. Delays in projects' delivery time
2	Shop-floor operator support	• Human errors lead to quality problems and delays.
3	Monitoring and control	 Increased cost for documenting efforts. Delays in projects' delivery time. Low-quality documentation of effort and time spent on each project. Non-added value activities for engineers
4	Uninformed decision making	 A lot of extra working hours are required, leading to extra costs as the decisions are not based on data. New orders coming to the shop floor, since delivery times have already been agreed upon with little consideration of the available capacity of the current period.

Table 2. The expected contribution of digital tools to the company's KPIs.

	KPIs								
– Functionality	Increase Resource Utilization	Decrease Extra Working Hours Spent on Schedul- ing/Rescheduling	Decrease Non-Added Value Activities for Engineers	Reduced Delays in Projects' Delivery Time	Reduce Human Errors that Lead to Quality Problems and Delays	Reduce the Cost of Doc- umenting Efforts	Improve the Quality of the Documentation of Effort and Time Spent on Each Project		
Production Plan- ning/Scheduling	High	High	High	Average	-	-	-		
Support of the employees working on the shop floor	Low	-	-	-	High	-	-		
Location of products on the shop floor	-	-	High	Average	Average	High	High		
Location of operators on the shop floor	-	-	High	Average	Average	High	High		
Production plan monitoring	Average	-	High	Average	Low	High	High		

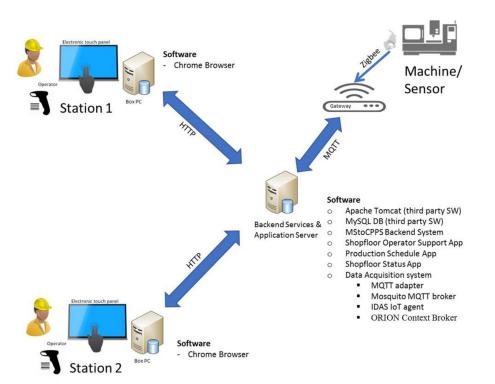


Figure 6. Solution deployment on the shop-floor.

All HW components, namely: server, client PCs and gateway were connected to the same LAN through Ethernet cabling. In Figure 7 the deployment of the solution in an operational shop-floor environment is presented.



Figure 7. Installation of HW/SW on the shopfloor during the experiments.

Both estimated measurements during the experiments and as well as experts' judgment have verified that the solution can bring a considerable impact on several business processes (production scheduling, shop-floor operators' activities/tasks and monitoring of the production process). The validation tests have verified that the proposed DT approach for supporting the operators on the shop floor and especially the interaction of the operator with the product/part as well as the interaction with the shopfloor's production plan is technically feasible and the required modification in the established business process is acceptable. The required modifications, which introduce additional verification steps taken by the operator through the solution, have been received by the operators and engineers without major concerns. Finally, the expected impacts of the solution to the mold-shop that have been identified in the early phases of the digital transformation project, such as increased resource utilization, decrease extra working hours spent on scheduling/rescheduling, decrease non-added value activities for engineers, reduce delays in projects' delivery time, percentage of total time spent on documenting efforts and time spent on a project and percentage of erroneous data records have been verified through the experiments. An exception was the human-errors reduction that had initially been overestimated, however during the experiments it was evident that the solution marginally contributes to quality improvements and that is mainly achieved by increasing the awareness of the shop-floor operators on the sequence of the production steps. Table 3 below summarizes the expected benefits for mold producers by applying the proposed DT solution.

Business BPI BPI **Business Business** BPI Process Process Target "To Be" Actual Value Objectives Comments "As Is" Value (BP) (BO) Indicator (BPI) Measured Value Increase in resource utilization due Increase Percentage of 95% resource 90% resource to efficient, Production 80% resource resource resource utilization utilization knowledgescheduling utilization utilization utilization (+20% increase) measured based, automated scheduling and rescheduling. Reduction of 6% of working extra working 0.8 h per 10-h time is expected Decrease extra hours spent on Percentage of 30% of working shift, which is working hours to be spent on schedul-Production time spent on time is spent on 8% of the total spent on scheduling ing/rescheduling scheduling schedulscheduling and time spent on schedulplanning tasks due to ing/planning planning tasks scheduling/rescheduling. (-80%)automated ing/rescheduling scheduling and decrease) rescheduling. Percentage of 3% of engineers' 0.5 h per 10-h time spent on 30% of shift \rightarrow 5% of time is expected non-added engineers' time Production Decrease to be spent on time spent for Reduction of value activities scheduling spent on non-added documenting documenting time spent in Monitoring of for engineers to documenting value activities production production documenting the production document production for engineers monitoring monitoring efforts. process production monitoring information information by monitoring information (-90%) the engineers information Reduction in projects failing to meet delivery Percentage of 10% of the Production 8.75% of the times because projects that 12.5% of the orders/ projects scheduling projects will of improved Monitoring of meet the projects meet are "delayed" Reduce delays meet the scheduling and the production delivery dates the delivery in the sense that in projects' delivery dates awareness of but with process dates with additional delivery time with additional the current Shop-floor additional effort additional effort (-30% status (e.g., operator effort effort (overtime) was decrease) identify activities/tasks (overtimes) utilized. machine delays when they occur)

Table 3. Business Performance Indicators (BPIs).

Business Process (BP)	Business Objectives (BO)	Business Process Indicator (BPI)	BPI "As Is" Value	BPI Target "To Be" Value	BPI Actual Value Measured	Comments
Shop-floor operator activities/tasks	Reduce human errors that lead to quality problems and delays.	Percentage of quality issues due to human error to the total quality errors	70% of human-related errors to the total number of quality issues	60% of human-related errors to the total number of quality issues are expected (-10%) decrease)	2 parts out of 32 parts have a forgotten step by the human, which corresponds to the 66.6% of the total quality issues measured.	Reduction in human-related production errors because operators will be operators be provided information about their workplan on the shop-floor
Monitoring of the production process	Reduce the cost of documenting efforts.	Percentage of total time spent on documenting efforts	5% of total time spent on documenting efforts	1% of total time spent on documenting efforts is expected (-80% decrease)	6 min per person, that is 1% of the total time spent on documenting efforts	Reduction to time spent on non-added value activities for engineers to document production monitoring information due to automated monitoring functionality
Monitoring of the production process	Improve the quality of the documentation of effort and time spent on each project.	Percentage of erroneous data records	10% of data records from monitoring the production process have errors	1% of data records from monitoring production process are expected to have errors (-90%) decrease)	1 erroneous record per 10 days has been measured which is less than 1%	Data is automatically recorded and not manually inserted.

Table 3. Cont.

From a technical and software systems perspective, it was clear to the development team that the open-source components used (ORION and IDAS IoTAgent) are mature solutions with straightforward integration steps within the backend system of the system. Moreover, the execution performance of the FIWARE tools was also within the expectation with no major issues identified in aspects like response times or stability.

On a technical scale, the solution demonstrates how a traditional manufacturing system can be transformed into a CPS system by integrating IoT technology with smart capabilities such as dynamic scheduling. In Table 4 key findings from the qualitative analysis from the technical point of view are presented.

Major Obstacles	No major obstacle has been identified.
Key Learning	 From a technical and software development perspective, it was clear to the development team that the FIWARE components used (ORION and IDAS IoTAgent) are mature solutions with straightforward integration steps. Moreover, the execution performance of the FIWARE tools was also within the expectation with no major issues identified in aspects like response times or stability.
Best Practices	 Active engagement of the shop-floor personnel and engineering department in the design process of the user interfaces from the early phases plays a crucial role in the user acceptance. Incremental deployment of the solution relieves managerial/engineering personnel of the frustration of changing business processes radically and communicating the radical change to the shop-floor personnel. 1st installation we introduced the shopfloor screens to operators allowing them to choose the task/part and machine/process at will, without the restriction of following a specific production schedule. 2nd installation we introduced the rules to be followed and the application feedback which would guide the operators in choosing the "planned" task/part for a predetermined process/machine.

Table 4. Experiment with qualitative analysis from a technical point of view.

5. Conclusions

This work demonstrates a feasible way for manufacturing SMEs to adopt digital manufacturing technologies that can bring benefits to their processes. Addressing real industrial cases helps understand the real-world implications and thus provides practical approaches to resolve them. The proposed technologies facilitate the digitization and use of production and operational resource data within a mold production environment. The DT project has brought improvements in capturing the production activity, both by the operators and the machines, offering the capability to the production manager for up-to-date information on the work-in-progress on the factory floor as well as the capability to schedule and re-schedule the production to address disturbances in the environment. Moreover, at the technical level, the DT project has shown that open-source solutions used from the FIWARE community are mature ones which can be successfully integrated into production environments. At an organizational level, the active engagement of the shop-floor personnel and engineering department from the early phases plays a crucial role in user acceptance. Moreover, incremental deployment of the solution relieves the frustration of changing business processes from the management personnel as it allows for smooth communication of the changes to the shop-floor personnel.

As the next steps in the DT project are under discussion, it is envisaged to enrich the functionality of the existing apps. The Production Schedule app should be enriched with functionality that will allow users to perform "quick" plans based on input scenarios for estimating capacity and capabilities of the production to manage it. The Shopfloor Status app will be enriched with additional views i.e., per department and WorkCentre as well as with visual indication of production status (i.e., ahead green light, behind orange light, overdue red light).

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