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**Abstract:** This study examined the differences of knee joint forces between lowering to, or rising from squat, and typical final postures of squatting and kneeling. A biomechanical model of the lower limb was configured considering large knee flexion angles, multiple floor contact points, and the soft tissue contact between the thigh and calf. Inverse dynamics were used to determine muscle and compressive joint forces in the tibiofemoral and patellofemoral joints. Data were obtained from a group of 13 male subjects by means of 3D motion capturing, two force plates, a pressure-sensitive pad, and electromyography. During lowering into the kneeling/squatting positions and rising from them, the model exhibited the anticipated high maximum forces of  $2.6 \pm 0.39$  body weight (BW) and  $3.4 \pm 0.56$  BW in the tibiofemoral and patellofemoral joints. Upon attainment of the static terminal squatting and kneeling positions, the forces fell considerably, remaining within a range of between 0.5 and 0.7 BW for the tibiofemoral joint and 0.9 to 1.1 BW for the patellofemoral joint. The differences of the knee joint forces between the final postures of squatting and kneeling remained on average below 0.25 BW and were significant only for the tibiofemoral joint force.

Keywords: knee joint forces; high knee flexion; thigh-calf contact; inverse dynamics

# 1. Introduction

Kneeling and deep squatting are common activities in daily living and typical postures in certain occupations, such as carpet layer or tile setter, and in social-cultural and religious contexts, specifically in Asia and the Middle East. Nevertheless, despite this common practice the knowledge about the biomechanics of squatting and kneeling, in particular with regards to the associated knee joint forces, is still low.

A couple of epidemiological studies suggest that prolonged squatting and kneeling is a risk factor for the development of osteoarthritis [1–6]. In recent years, some European countries (such as Denmark NBoII [7] and Germany BMAS [8]) have recognized osteoarthritis of the knee joint as an occupational disease caused by kneeling and squatting over a longer term. The mechanical stress of the knee joint cartilage during squatting and kneeling is under consideration as a potential pathomechanism [9–13]. The reasoning for this is based on the basic principle that knee bending in a standing position causes a strong increase in the tibiofemoral and patellofemoral compressive joint forces.

Based on inverse dynamics model approaches, several studies calculated peak tibiofemoral joint compressive forces from 4 to 7 body weight (BW) during lowering to or rising from squat [14–18]. Associated patellofemoral compressive peak forces ranging from 4 to 9 BW were reported [15,19]. Adopting these results to the final static postures of deep squatting and kneeling is critical because most of the studies focused on peak forces during the rising and lowering motion, which may entail a considerable inertial force component due to acceleration and deceleration. Further, peak loads may be associated with positions distinct from the final postures of static squatting and kneeling [14,15,17,18]. The major experimental issue, however, is the disregarding of the soft-tissue contact force of thigh and calf at full knee



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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). flexion [14,15,17,19–21]. Using a pressure-sensitive map, Zelle et al. [22] reported average thighcalf contact forces of  $31 \pm 9.3$  to  $34 \pm 9.7\%$  BW per leg during deep kneeling and squatting respectively. Based on their results from 2007, Zelle et al. [23] calculated compressive knee joint forces at full flexion as 3.07 BW during a theoretical estimated downward squatting movement. Using a similar experimental setup and pressure-sensitive map Pollard et al. [24] found overall higher soft-tissue contact forces. The thigh-calf contact forces observed were  $39 \pm 14\%$  BW for squatting and  $36 \pm 10\%$  BW for deep kneeling-including a possible gluteus-heel contact of  $11 \pm 6\%$  BW. Overall, the soft-tissue contact force on the dorsal side of the leg decreased the knee flexion moment by an average of 48%. However, Pollard et al. [24] did not calculate any muscle or articular knee joint forces.

In conclusion, the actual magnitudes of knee joint forces in final squatting and kneeling postures still seem unclear (Figure 1). The purpose of this study was to examine the differences of the knee joint forces between lowering to squat, or rising from squat, deep kneeling, and typical final postures of squatting and kneeling. Additionally, the differences within the final postures of squatting and kneeling regarding the knee joint forces were examined.



**Figure 1.** External forces to be considered in the analysis of (**a**) deep squatting, (**b**) upright kneeling, and (**c**) deep kneeling.

# 2. Materials and Methods

### 2.1. Participants

A total of 13 male subjects (age:  $39.2 \pm 11.0$  ys, height:  $1.84 \pm 0.06$  m, weight:  $80.5 \pm 10.5$  kg, BMI  $23.8 \pm 2.8$  kg/m<sup>2</sup>) with no history of knee pain or knee disease agreed to volunteer as subjects. During the selection process, the subjects were interviewed about their ability to perform different squatting and kneeling tasks without any feeling of pain or relevant discomfort. No further specific physical skills or participation in physical training programs were required for the candidates. All participants were employed on a regular basis in different jobs ranging from craftsman to scientific staff. The group size was selected, such as to provide at least some basic evidence that the data collected represent a typical scenario in kneeling and squatting. The study was conducted according to the guidelines of the Declaration of Helsinki and approved by the Institutional Review Board of the IFA (Prj-4145 2007-11-15). Prior to testing, each subject was informed of the study protocol and signed a consent form approved by the IFA.

### 2.2. Experimental Set-Up

A complex arrangement of measurement equipment was used to obtain all measurement parameters synchronously. An eight-camera Vicon system (Oxford Metrics Group, Oxford, UK) sampled the three-dimensional positions of the relevant anatomical landmarks at a frequency of 100 Hz. A standard lower-limb marker set as proposed from *Plug-in Gait Module* (version 1.7, Oxford Metrics Group, Oxford, UK) was applied to obtain the three-dimensional position and orientation of the pelvis, thigh, shank, and foot. The markers were placed on Velcro padded straps, which were tightly fastened at the subject's leg and pelvis region. The markers on the shoes were directly attached using double-sided adhesive tape.

The ground contact forces at the foot and knee of the right leg were measured by two force plates (type: 9286A, Kistler Instruments, Winterthur, Switzerland) flush-mounted in the floor. For kneeling, the measurement area of the relevant force plate was covered with a standard kneepad cushioning material to protect the knee from the hard surface.

The thigh-calf contact force was detected by a pressure-sensitive pad (dimensions:  $250 \text{ mm} \times 120 \text{ mm}$ , Paromed, Neubeuern, Germany) containing 24 piezo-resistive sensors (each with a respective area of 5 cm<sup>2</sup>) and fixed with elastic straps to the dorsal surface of the thigh. The resultant contact force was assumed to act normal to the bisector of the tibial and femoral longitudinal axes at the center of pressure with respect to the knee joint center and was updated at intervals of 20 ms. A threshold limit of 40 N was applied to the resultant pad force as an indication of thigh-calf contact.

In parallel, the surface electromyography (EMG) of the quadriceps muscle was recorded at 1000 Hz using two channels–rectus femoris and vastus medialis. Following band-pass filtering ( $f_c = 20/500$  Hz), the raw signals were RMS-filtered ( $\Delta t = 0.2$  s) and normalized with respect to a maximum voluntary contraction (MVC) (EMG-DSP V1.0, Biomed, Jena, Germany). The channel indicating the higher %MVC values was selected to represent the activity of the quadriceps muscle.

#### 2.3. Protocol

The test protocol covered the static postures of squatting, kneeling, and deep kneeling (ankle joint dorsal flexed, Kiza position) with three repetitions of each task. The motions of lowering into a squat and rising from a deep squatting posture adjacent to the aforementioned static posture were also analyzed. Three of the subjects were asked to perform additionally three 10-min endurance tests involving static squatting, kneeling and deep kneeling. These tests were intended to provide a qualitative indication of perceived musculo-physiological stress in order to verify the model predictions in terms of adding empirical evidence. Finally, these three subjects performed three repetitions of quasi-static knee bending to allow comparisons with other established knee joint models.

### 2.4. Procedures

The participants were asked to wear comfortable but not too loose clothing to easily allow the performance of the kneeling tasks and safety shoes or at least robust sports shoes. The laboratory in which the measurements were conducted was not air-conditioned and the temperatures varied in the relevant season between 22 and 24 °C. All measurements were recorded during normal office hours but at varying daytimes depending on the availability of the participant and free laboratory capacities. Prior to the measurements, all subjects performed familiarization exercises with the tests until they felt comfortable. The correct performance of the different tasks was observed by at least two researchers. Each trial was immediately inspected after the recording in terms of proper hitting of the force plates and achieving final static kneeling and deep squatting postures.

#### 2.5. Analytical Model

A 3-D model of the lower limb consisting of the three segments namely foot, shank, and thigh was configured for calculation of the knee joint forces arising during kneeling and squatting tasks (Figure 2). The sagittal plane was defined by the centers of the ankle, knee, and hip joints. The static knee joint axis was aligned with the line passing through the most prominent point of the lateral and the medial epicondyles. The midpoint between the most prominent point of the lateral and the medial epicondyles is referred to as the knee joint center. The ankle joint center was defined by the midpoint between the malleoli, and the hip joint center was derived by a procedure based on the geometry of the pelvic iliac spines according to the *Plug-in Gait* module (version 1.7, Oxford Metrics Group, Oxford, UK). The sagittal planes of the ankle and knee joints were defined by the planes orthogonal

to the medial-lateral axes between the malleoli and the epicondyles, respectively, fixed at the respective joint center. The mass and inertia properties were derived with respect to the segment length and body mass from the data of Winter [25].



**Figure 2.** Segments model of the lower limb and applied forces–foot ground contact ( $F_f$ ), knee ground contact ( $F_t$ ), thigh-calf contact ( $F_{tc}$ ), patellar ligament ( $F_{pl}$ ), quadriceps tendon ( $F_{qt}$ ), hamstrings tendon ( $F_{ht}$ ), tibiofemoral joint ( $F_{tf}$ ), and patellofemoral joint ( $F_{pf}$ ). The inertial and gravitational forces are not explicitly depicted. The local segment coordinate systems of the tibia and the femur are indicated by ( $x_t$ ,  $y_t$ ,  $z_t$ ) and ( $x_f$ ,  $y_f$ ,  $z_f$ ), respectively.

The model accounts for different external contact forces, such as the ground reaction force on the foot and, in the case of kneeling, on the proximal part of the shank. The resultant force due to thigh-calf contact during deep squatting or deep kneeling was also considered and applied to the shank-foot system.

Besides the static knee joint center, the instantaneous axis of rotation of the knee joint was derived from the guiding of the cruciate ligaments during knee flexion according to a crossed four-bar linkage [26]. All further kinetic calculations were based on this instantaneous center of rotation.

The orientation of the patellar ligament and the quadriceps tendon in the sagittal plane of the knee joint were derived by linearization and enhanced by linear extrapolation from the model of Eijden van et al. [27] and Hehne's data [28] (Figure 3). The variation in the moment arm length of the patellar ligament with respect to the knee joint flexion was derived from the data from O'Connor et al. [29] by piecewise linear approximation (Figure 4). The hamstring muscle group was introduced as being representative of all the knee flexors with a constant moment arm length ( $r_{\rm ht} = 35$  mm) acting parallel to the femoral longitudinal axis [20,29].







**Figure 4.** (a) Schematic representation of the patellar ligament mechanism and of the sloped tibial weight-bearing surface; (b) Piecewise approximation of the moment arm length of the patellar with respect to knee flexion angle compared the data of O'Connor et al. [29] and Zheng et al. [30]. The data from Zheng et al. [30] are depicted for comparison purposes only and have not been used in the IFA-model.

The knee joint moment was calculated by considering the moments of the ground reaction forces, of the thigh-calf contact force, of the gravitational and inertial forces of the shank, and of the foot. The knee-ground contact force was treated as a simple two case scenario due to the absence of further information on the areal force distribution. The knee-ground contact force was either applied entirely to the shank where its moment, with respect to the knee joint center, acted in the flexion direction; or it was applied entirely to the patella in terms of a worst-case assumption.

Assuming no antagonistic co-activation of the muscles, the flexion/extension knee joint moment was attributed to the patellar ligament, or the hamstring muscles, depending upon its sign. The vector of the tibiofemoral joint force was calculated by summation of the intersegment force at the tibiofemoral cross-section and the tendon forces. The compression component of the tibiofemoral joint force was aligned orthogonal to the tibial weight-bearing surface, which was set to decline by  $8^{\circ}$  in the posterior direction [31].

The leverage mechanism of the patella was formulated according to the findings of Eijden van et al. [27] The ratio between patellar ligament force and quadriceps force varies according to knee flexion from 1.1 to 0.5 (Figure 5). The curve was fitted by a piecewise linear approximation and extended by linear extrapolation up to a knee flexion of 150°. From this ratio, the quadriceps tendon force was calculated with respect to the patellar ligament force. The resultant patellofemoral joint force was determined by vector addition of the patellar ligament force, the quadriceps tendon force, and the knee ground contact force if relevant. The model was programmed in *BodyBuilder* (vers. 3.6, Oxford Metrics Group, Oxford, UK) and based originally on the *Plug-in Gait Module* (version 1.7).



**Figure 5.** Comparison of the ratio of  $F_{pl}/F_{qt}$  between patellar ligament force and quadriceps vs knee flexion angle from the IFA model with data presented by Eijden van et al. [27].

## 2.6. Statistical Analysis

To assess the investigated tasks, the maxima, defined as the maximum (max) value of the time history of the moving average of the parameter with a window size of  $\Delta t = 0.5$  s for the duration time of each repetition of the task, was used. The average of the max values of the trial repetitions of each task for each subject was used as input data for the statistical analyses. At first, the data was visually inspected for possible outliers using boxplots and checked for deviations from normal distribution based on Q-Q plots. There were no outliers in the data and the data was approximately normally distributed. The differences in the maxima of the dependent parameters (ground reaction force, tibiofemoral and patellofemoral joint forces) between the four tasks (within-subject factor) of lowering/rising, squatting, kneeling, and deep kneeling was separately tested using a one-way ANOVA with repeated measures. Due to violations of sphericity (Mauchly test) Greenhouse-Geisser adjustments were applied. For the dependent parameters with significant differences, a posthoc test in terms of a paired *t*-test with Bonferroni correction was applied to investigate the differences between the tasks. The level of significance was set at *p* < 0.05. All statistical analyses were performed with SPSS (version 15).

### 3. Results

The knee bending ( $\alpha_{max} \approx 80^{\circ}$ ) showed a steep increase in musculoskeletal loading as larger flexion angles were produced. The max knee flexion moments achieved were on average  $0.79 \pm 0.07 \text{ N} \cdot \text{m/kg}$  (Table 1). The max compressive joint forces were  $2.1 \pm 0.21$  BW and  $3.3 \pm 0.7$  BW for the tibiofemoral and patellofemoral joints respectively.

	Knee Flexion Angle (Degrees)	Ground Reaction Force of Right Leg (BW *)	Thigh-Calf Contact Force (BW)	EMG Quadriceps (%MVC **)	Knee Flexion Moment (N∙m/kg)	Quadriceps Force (BW)	Tibiofemoral Joint Force (BW)	Patello- Femoral Joint Force (BW)
Knee bends (quasi static) ***	$77\pm 6$	$0.52\pm0.08$	_ ****	$28\pm9$	$0.79\pm0.07$	$3.2\pm0.62$	$2.1\pm0.21$	$3.3\pm0.77$
Lowering to squat/rising from deep kneeling	-	$0.77\pm0.17$	$0.46\pm0.19$	$64\pm40$	$0.93\pm0.16$	3.7 ± 0.63	$2.6\pm0.39$	$3.4\pm0.56$
Squatting Kneeling Deep kneeling	$\begin{array}{c} 156 \pm 6 \\ 111 \pm 6 \\ 150 \pm 6 \end{array}$	$\begin{array}{c} 0.57 \pm 0.03 \\ 0.56 \pm 0.03 \\ 0.55 \pm 0.03 \end{array}$	$0.49 \pm 0.09$ - $0.47 \pm 0.05$	$9 \pm 8 \\ 11 \pm 8 \\ 5 \pm 2$	$\begin{array}{c} 0.28 \pm 0.05 \\ 0.23 \pm 0.09 \\ 0.23 \pm 0.05 \end{array}$	$\begin{array}{c} 1.0 \pm 0.16 \\ 0.9 \pm 0.38 \\ 0.9 \pm 0.17 \end{array}$	$\begin{array}{c} 0.7 \pm 0.14 \\ 0.5 \pm 0.20 \\ 0.5 \pm 0.12 \end{array}$	$\begin{array}{c} 0.9 \pm 0.15 \ 1.1 \pm 0.30 \ 0.8 \pm 0.20 \end{array}$

**Table 1.** Max external and internal loads during different activities and postures of the 13 subjects for the three repetitions (Mean  $\pm$  SD).

\* BW: force as expressed as a multiple of body weight; \*\* MVC: max voluntary contraction; \*\*\* The knee bends were only performed by three subjects; \*\*\*\* -: parameter not applicable.

In squatting and deep kneeling, the load bearing of the thigh-calf contact showed strong reciprocal correspondence to the quadriceps EMG activity. When the thigh-calf contact force increased during lowering, the quadriceps activity was reduced to approximately rest level at full contact (Figure 6).



**Figure 6.** A typical example of the time histories of dynamic parameters and quadriceps muscle activity (%MVC) during lowering into a squat.

The three subjects successfully completed the endurance tests of maintaining absolutely static kneeling, squatting, or deep kneeling postures for ten minutes. None complained of any kind of knee strain.

During upright kneeling, the average knee-flexion angle of the 13 test subjects was  $111^{\circ} \pm 6^{\circ}$ , compared to angles of  $150^{\circ} \pm 6^{\circ}$  to  $156^{\circ} \pm 6^{\circ}$  reached during squatting and deep kneeling. In the static postures, the weight tended to be distributed slightly more onto the right leg, at 0.52 to 0.57 BW.

The measured max thigh-calf contact forces during squatting and deep kneeling reached on average a range of 0.47 to 0.49 BW. This indicates that approximately 85% of the relevant ground reaction force was compensated for by the thigh-calf contact. Figure 7 depicts typical examples of force-vector graphics during squatting and deep kneeling. It illustrates the compensational effect of the thigh-calf contact force.



**Figure 7.** Examples of force vector graphics of (**a**) squatting and (**b**) deep kneeling. For further details see Figure 2.

In squatting, kneeling, and deep kneeling the knee flexion moment reached on average a range of 0.23 to 0.28 N·m/kg. Corresponding to this, the max quadriceps muscle activity was on average in a range from 5 to 11% MVC. Quadriceps muscle force calculations yielded on average maxima from 0.9 to 1.0 BW with a tendency for greater variability for kneeling. The max tibiofemoral compressive joint force achieved on average a range from 0.5 to 0.7 BW. The max patellofemoral joint forces ranged from 0.8 to 1.1 BW.

During lowering to squat or rising from squat and deep kneeling, the knee flexion moment peaked on average at  $0.93 \pm 0.16 \text{ N} \cdot \text{m/kg}$ . The max tibiofemoral compressive joint force achieved  $2.6 \pm 0.39 \text{ BW}$  and the patellofemoral joint force was  $3.4 \pm 0.56 \text{ BW}$ .

Significant main effects of the factor task were observed for all three parameters (ground reaction force p < 0.001, tibiofemoral p < 0.001 and patellofemoral joint force p < 0.001).

For the ground reaction force, the pairwise comparisons between lowering/rising and all three postures of kneeling and squatting showed significant differences between  $0.002 \le p \le 0.006$ . However, between the postures of kneeling and squatting, no significant differences were observed with respect to the ground reaction force.

For the tibiofemoral joint force, all pairwise comparisons between the tasks-excepted deep kneeling versus kneeling-showed significant differences (Table 2) for the mean absolute differences ranging from 0.18 to 0.25 BW. For the patellofemoral joint force, only between lowering/rising and the postures of kneeling and squatting significant differences were found.

Measure	(I) Task	(J) Task	Mean Differenc	p ***	
Tibiofemoral	LR	DK	2.10	(1.79, 2.41)	<0.001
joint force (BW)		KN	2.16	(1.85, 2.47)	< 0.001
,		SQ	1.92	(1.64, 2.19)	< 0.001
	DK	KN	0.06	(-0.16, 0.29)	>0.999
		SQ	-0.18	(-0.31, 0.06)	0.003
	KN	SQ	-0.25	(-0.40, 0.10)	0.001
Patellofemoral	LR	DK	2.55	(2.00, 3.10)	<0.001
joint force (BW)		KN	2.34	(1.76, 2.91)	< 0.001
		SQ	2.47	(2.03, 2.91)	< 0.001
	DK	KN	-0.21	(-0.59, 0.16)	0.603
		SQ	-0.08	(-0.27, 0.11)	>0.999
	KN	SQ	0.14	(-0.12, 0.39)	0.741

**Table 2.** Pairwise comparisons of the max knee joint forces between the different activities and postures (LR: lowering/rising; DK: deep kneeling; KN: kneeling; SQ: squatting).

\* Values are based on estimated marginal means. \*\* The mean difference is significant at the 0.05 level (highlighted in bold). \*\*\* Adjustment for multiple comparisons: Bonferroni.

# 4. Discussion

Among other studies on knee-joint loading, the study presented here explicitly addressed knee-joint loading in the terminal squatting and kneeling positions. In particular, the boundary conditions predominantly associated with squatting and kneeling, such as multiple ground-contact points and contact between the soft tissue of the thigh and calf, were taken into account.

#### 4.1. General Model Validation-Knee Bending

The results for the knee flexion angle in the range between 70° and 100° strongly correspond with other model analyses with regard to the strong increase in the sagittal knee-joint moment and the joint forces with rising knee flexion [15,30,32,33]. The average max of tibiofemoral joint force reached 2.6 BW. Comparison with direct measurements confirms the predictions of the present model with respect to quasi-static knee bends. For example, Kutzner et al. [34] identified max tibiofemoral joint forces of between 1.8 and 3.0 BW (average value: 2.5 BW) for knee flexion on five patients with instrumented knee endoprostheses.

# 4.2. EMG and Quadriceps Forces during Squatting and Kneeling

The model's prediction of the strongly falling force of the quadriceps muscle at the attainment of the terminal squatting and kneeling positions was confirmed by the EMG recordings and is in line with other studies [35,36]. The successful stamina tests during deep squatting and kneeling further demonstrate the low muscle activity of the quadriceps (max EMG range: 5 to 11% MVC). The model predicted a quadriceps force of around 1.0 BW for static postures, which is substantially lower than that predicted by other models (Table 3). In deep knee bending by normal subjects Sharma et al. [37] determined a quadriceps force of 1.8  $\pm$  0.1 BW at a knee flexion of approximately 150°; however, the thigh-calf contact was not taken into consideration.

Author	Activity	Model Approach	Measurement Equipment	Knee Flexion Angle (Degrees)	Knee Joint Moment (N∙m/kg)	Quadriceps Force (BW <sup>1</sup> )	Tibiofemoral Joint Force (BW)	Patellofemoral Joint Force (BW)
Dahlkvist et al. [15]	Slow lowering to/slow rising from squat	2D inverse, EMG	MOCAP <sup>2</sup> , 1 FP <sup>3</sup> , EMG <sup>4</sup>	140 <sup>8</sup>		$5.1\pm0.2$	$4.9\pm0.3$	$6.1\pm1.9$
Pollard et al.	Squatting	3D inverse	MOCAP, 2 FP, PSM <sup>5,9</sup>	$155\pm10^{\:8}$	$0.7\pm0.5$			
[24]	Deep kneeling Kneeling			$\begin{array}{r} 155 \pm 10 \ {}^{8} \\ 110 \pm 10 \ {}^{8} \end{array}$	$\begin{array}{c} 0.5\pm0.3\\ 0.2\pm0.1 \end{array}$			
Nagura et al. [17]	Full squat	2D inverse, EMG	MOCAP, 1 FP, EMG,	155	0.7 8	$4.5\pm1.1^{\ 8}$	$6.7\pm1.9^{\:8}$	
Smith et al. [20]	Squatting heels down	$2 \times 2D$ inverse	MOCAP, 1 FP	$135\pm10^{\;8}$			$2.0\pm0.5^{\:8}$	
	Squatting heels up			$145\pm5$ $^8$			$3.0\pm0.5^{\:8}$	
Thambyah [21]	Squatting	2D inverse	MOCAP, 1 FP	$142\pm5$	$0.8\pm0.3^{\ 8}$		$2.3\pm1.0$	
Sharma et al. [37]	Squat (normal knees)	3D inverse	FLIM <sup>6</sup> , 1 FP	150 <sup>8</sup>		$1.8\pm0.1$		$1.9\pm0.1$
Zelle et al. [23]	Squatting	2D inverse	KINSIM <sup>7</sup> , PSM <sup>9</sup>	155			3.1	
Bedo et al. [14]	lowering to/rising from squat	3D inverse	MOCAP, KINSIM	145			7.0	
Lu et al. [16]	lowering to/rising from squat	3D inverse	MOCAP, FP, EMG, KINSIM	$139.9\pm4.9$	$1.1\pm0.3^{\:8}$		$4.6\pm1.4^{8}$	

**Table 3.** Comparison of cited studies that investigated knee joint forces during kneeling and squatting postures—means and standard deviation.

<sup>1</sup> Force as expressed as a multiple of body weight; <sup>2</sup> Motion capturing system; <sup>3</sup> Force plate; <sup>4</sup> Electro myography; <sup>5</sup> Pressure-sensitive map; <sup>6</sup> Fluoroscopic imaging; <sup>7</sup> Kinematic simulation; <sup>8</sup> Estimated from graph <sup>9</sup> PSM for measuring thigh-calf contact force was used in a separate measurement.

### 4.3. Tibiofemoral Joint Forces during Squatting and Kneeling

The ANOVA test showed that the different forms of squatting and kneeling may not appear vastly different to lowering/rising with regards to the ground reaction force but is significantly lower regarding the tibiofemoral joint forces. The max tibiofemoral joint forces were more than 80% lower than those of rising from a squat.

The tibiofemoral joint forces of between 0.5 and 0.7 BW estimated in this study for squatting, kneeling, and deep kneeling are substantially lower than those of earlier studies (Table 3), [14–17,20,23]. This difference can be very likely explained by one or a combination of the factors of thigh-calf contact, multiple ground contacts, and moment arm of the ground reaction force with respect to the knee joint.

In contrast to the results presented in this paper, the higher knee joint forces of in-vitro knee testing studies can be expected to originate as a result of differences in the external specimen-loading geometry and in different levels of applied muscle pre-tensions [23,33]. In these in-vitro studies generally, no soft-tissue contact was considered [38,39].

# 4.4. Patellofemoral Joint Forces during Squatting and Kneeling

At 0.8 to 1.1 BW, the patellofemoral joint forces were also substantially lower for squatting, kneeling, and deep kneeling than in earlier studies (Table 3), [15,19]. This again can be explained by the aforementioned reasons. The lowest patellofemoral joint forces observed to date are  $1.8 \pm 0.1$  BW reported by Sharma et al. [37], even though the thigh-calf contact force was not considered in this case.

Even though some significant differences were observed for the max patellofemoral joint forces within the different forms of squatting and kneeling, these were only marginal. Opposed to this, notable is that the different forms of squatting and kneeling despite their distinctive characteristics with regards to leg position and floor support result in similar magnitudes of the knee joint forces.

### 4.5. Relevance of Thigh-Calf Contact Forces during Squatting and Kneeling

During kneeling, the relatively low compressive knee joint forces can be primarily attributed to the distribution of the ground reaction forces onto the knee and the foot,

during deep squatting and deep kneeling these forces are critically dependent on the soft-tissue contact forces at the posterior surface of the leg [23,24]. Our study indicates that approximately 85% of the relevant ground reaction force is compensated for by the thigh-calf contact. These boundary conditions drastically reduced the knee flexion moment and the consequent quadriceps muscle force, which are seen as a requirement for sustaining squatting and deep kneeling postures.

Zelle et al. [22] reported averaged thigh-calf contact forces of between 62 and 68% of the ground reaction force of one leg for deep kneeling and squatting, assuming an equal load distribution between the two legs. Considering their observation of a significant load shift to the dominant leg of approximately 20%, the soft-tissue contact forces yield 74 to 82% of the relevant ground reaction force. By applying these averaged thigh-calf contact forces to a simulated downward squatting movement, Zelle et al. [23] calculated tibiofemoral compressive joint forces of 2.9 BW and patella ligament forces of almost the same magnitude. This would correspond to a quadriceps force of 4.3 BW when applying the patellar model used in the present study. This is rated as a very high muscular load in the context of a static resting posture, and this overestimation may be explained in part by the assumption that the ground reaction force must always pass through the ankle joint.

Pollard et al. [24] reported soft-tissue contact forces 10% greater than those reported by Zelle et al. [23], whilst ultimately still obtaining flexion moments for squatting and deep kneeling more than twice as high as those in the present study. However, the large standard deviation, almost in the magnitude of the mean value, indicates that in some of the trials the knee joint moment was close to zero and that the quadriceps force could have been even lower than that found in this study.

#### 4.6. Limitations

This approach presented here delivered reasonable results, but some limitations need to be considered. The tibiofemoral joint was modeled with one degree of freedom only, which is a common issue of analytical knee models [16,40,41]. Consequently, neither knee abduction nor torsion moments have to be compensated by the surrounding muscles. In combination with the assumption of reciprocal muscle recruitment, the present model may underestimate the compressive joint force to some extent. The model does not consider side effects from the stress of the knee joint capsule, nor of the medial and lateral ligaments and the cruciate ligaments. It is assumed, however, that these effects do not contribute substantially to the compressive joint force at the tibiofemoral joint, provided full mobility in terms of flexion of the knee joint is guaranteed. The model would otherwise have overestimated the tibiofemoral joint force during knee bends compared to the direct measurements of Kutzner et al. [34]. However, the results can be expected to differ strongly in the case of persons with limited knee joint mobility. In particular, among patients with endoprosthetic knee joints, the conditions at max knee joint flexion may be completely different and prevent the results from being transferred.

Owing to the lack of suitable data, the anatomical model characteristics had to be gained by the extrapolation of existing models. The presence of shear forces, in particular, may lead to different knee joint articulation patterns which may have a considerable impact on the muscle force predictions. However, the EMG data indicated low muscular activity overall for deep kneeling and squatting which supports the legitimacy of the model.

Keeping the pressure-sensitive pad in place for a few exercises and covering the whole contact area of the thigh and calf presented difficulties at times. In a few cases in which the heels came into contact with the buttocks, the pad was too small and thus would have caused an underestimation of the thigh-calf contact force.

The small sample size of 13 participants might be seen as an issue. However, it was not the goal of the study to estimate the knee joint forces of the total working population or similar. Our study results will only be applicable for people who can easily achieve the final postures of squatting and kneeling. The large differences in the knee joint forces between lowering into or rising from the squat and the different postures of squatting and kneeling can be verified with such a sample size. If there might be undetected significant differences between the different squatting and kneeling positions these would be of marginal relevance from the biomechanical perspective.

### 5. Conclusions

In the debate on the knee joint loading associated with kneeling, squatting, and knee bending, the present study proposes certain quantitative measures for a comprehensive assessment method of these tasks. The study's outcome suggests that for the static postures of squatting, kneeling, and deep kneeling at rest the compressive knee joint forces are significantly lower than has been suggested by earlier studies. The model predictions were supported by the EMG measurements and the successful stamina tests. The results indicate the importance of simultaneous measurement of all kinematic and dynamic parameters in one trial. For future investigations, it might be interesting to verify if females achieve similar or even higher load reductions in final squatting and kneeling postures. In the discussion on the risk of developing knee osteoarthritis due to prolonged squatting and kneeling other mechanisms should be taken more into consideration, such as neuronal disturbance of knee proprioceptors which may affect the neuromuscular control during rising and walking [42]. This may pose an injury risk for the knee joint which could finally result in osteoarthritis of the knee joint.

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