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Design and Realization of a Frequency Reconfigurable Multimode Antenna for ISM, 5G-Sub-6-GHz, and S-Band Applications

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Abstract: This paper presents the design and realization of a compact size multimode frequency reconfigurable antenna. The antenna consists of a triangular-shaped monopole radiator, originally inspired from a rectangular monopole antenna. Slots were utilized to notch the desired frequency while the PIN diodes were utilized to achieve frequency reconfigurability. The antenna can operate in wideband, dual-band, or tri-band mode depending upon the state of the diodes. To validate the simulation results, a prototype was fabricated, and various performance parameters were measured and compared with simulated results. The strong agreement between simulated and measured results along with superior performance as compared to existing works in the literature makes the proposed antenna a strong candidate for ISM, 5G-sub-6 GHz, and S-band applications.

Keywords: multimode antenna; frequency reconfigurable; ISM band; 5G-sub-6-GHz; S-band



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1. Introduction

Multifunctional antennas have gained considerable attention in the past decade due to advanced level technologies like 5G, Internet of Things (IoT), etc., along with a need for compact devices. The reconfigurable antennas are well-known due to the numerous advantages. These antennas can replace multiple antennas by showing switching frequency, polarization, and radiation patterns using various techniques [1,2]. The RF-PIN diodes, Micro Electrical and Mechanical Switches (MEMS), Optical switch, microfluids, meta-surface, and electrically phase change materials are widely used to achieve the of reconfigurability [3,4]. Among various types of antennas proposed for sub-6-GHz applications, most of the reported works are related to reconfigurable antennas where RF-PIN diode for frequency reconfigurability was widely studied by the researchers to achieve single band, dual-band, and multiple-band reconfigurable operations [5–17].

In [5], a wideband antenna was designed for Wireless Wide Area Network (WWAN) and Long-Term Evolution (LTE) applications. The antenna comprises of simple structure that shows good performance over a wide operational band. However, the antenna suffers from several drawbacks, including a bigger dimension, low gain, and it did not support

reconfigurability. In [6], a compact wideband monopole antenna is converted into tri-band antenna for ISM and 5G-sub-6-GHz applications. Although the antenna offers a compact size with the advantages of flexibility, it does not support frequency reconfiguration. Similarly another antenna by using the proximity-coupling feed to cover the WLAN and 5G-sub-6-GHz was presented in [7]. However, the proposed antenna has complex antenna structure that is the combination of two substrate separated by air gap. Several single band frequency reconfigurable antennas are proposed to operate in frequency band spectrum ranges 2–4.5 GHz [8–12]. Although the antennas reported in [8–10] are offering very high gain characteristics, they have multiple layered structures, which resulted in increased thickness and structural complexity. Although the work reported antennas in [11,12] offer compact size, they cover very limited bandwidth, making them not suitable for modern-day devices operates in wideband frequency spectrums.

Antennas with frequency reconfigurable characteristics for LTE and Wireless Local Area Network (WLAN) applications are presented in [13,14]. A conventional rectangular patch antenna was modified by using Defected Ground Structure (DGS) for wideband operation, and three PIN diodes were incorporate to switch the operation [13]. In [14], various slots and asymmetric feed is utilized along with two pin diodes, to achieve frequency reconfigurability. Although these antennas have compact and simple geometry, the operational bandwidth in single and dual band is narrow. A dual to tri-band and a dual-band to quad-band frequency reconfigurable antenna were presented in [15,16], respectively. Although, these antenna designs cover many bands, however, both works have impediment of narrow bandwidth, complex geometrical structures and lesser number of operational modes. In addition, the antenna presented in [15] suffers from the draw back of larger dimensions. A flexible frequency reconfigurable antenna was presented in [17] for sub-6-GHz applications. The presented work offers wideband and two dual-band operational modes with relatively simple structure. However, it has larger dimensions and limited operational bandwidth.

To overcome the aforementioned challenges, a compact frequency reconfigurable antenna is proposed in this paper. The antenna radiator is composed of a triangular radiator with V-shaped slots and two PIN diodes are utilized to achieve frequency reconfigurability. The presented work shows a good combination of compact size, multimode operation, simple geometrical configuration, wide operational region, and reasonable gain. The rest of the paper is organized as follows. Section 2 presents the theoretical analysis and design methodology of the proposed antenna. Section 3 illustrates the discussion on various performance parameters of the presented work, while the paper is concluded in Section 4.

2. Materials and Methods

2.1. Antenna Geometry

The geometrical configuration of the proposed antenna is illustrated in Figure 1. The antenna is designed using ROGERS RT/droid 6010 having dielectric loss tangent (tan δ) of 0.0023, relative permittivity (ε_r) of 10.2 and 1.9-mm thickness (H) [18]. The overall dimension of the reconfigurable antenna is $A_X \times A_Y$. It can be seen from Figure 1a that the proposed monopole antenna consists of a triangle-shaped radiator having a dimension of $P_X \times P_Y$ and the feed line dimension is $F_X \times F_Y$. Two V-shaped slots were etched to notch the desire bands from wide bandwidth, the total length of the long slot is $2 \times L_1$, whereas the length of the shorter slot is $2 \times L_2$. The width of both slots had a dimension of d while the gap between two slots is g. A truncated ground plane of length G_X was used to achieve wideband operational bandwidth. Table 1 shows the optimized parameters of the antenna.

2.2. Simulation Setup

The simulations of the proposed frequency reconfigurable antenna were performed using Higher Frequency Structural Simulator (HFSS). For frequency reconfigurability two RF PIN diodes D_1 and D_2 (Infineon, model # BAR50-02) were utilized. Two capacitors C_1 and C_2 were used to connect the pads with the radiator physically and to block current

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flow from biasing pads to the radiator. Capacitor C_{DC} is utilized to stop the flow of current toward the connector which may affect the performance of the antenna. The pads for diode are connected using a vias to biasing pads presented at the backside of the antenna, as depicted in Figure 1b. Inductor I is employed to stop the unwanted RF-current from the source V_{DC} . The biasing voltage is provided from the backside of the antenna to mitigate the effects of DC-wires on the performance of the antenna.

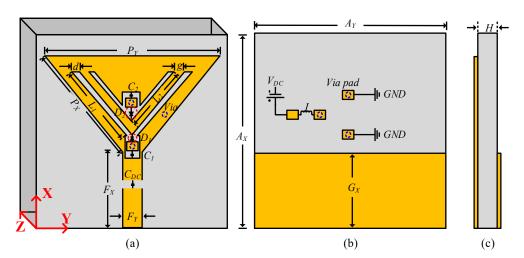


Figure 1. Geometrical configuration of proposed antenna: (a) top-view (b) bottom-view (c) side-view.

Table 1. Dimension of the various parameter length of the proposed antenna.

Parameter	Value	Parameter	Value	Parameter	Value
Ax	30 mm	A_{Y}	30 mm	Н	1.9 mm
G_X	12 mm	F_X	30 mm	F_{Y}	1.9 mm
P_X	15.55 mm	P_{Y}	30 mm	g	1 mm
d	1 mm	L_1	12.72 mm	$\tilde{\mathrm{L}_2}$	9.9 mm
C_1	100 pF	I	68 nH	C_{DC}	100 pF

2.3. Design of Wideband Antenna

The proposed reconfigurable antenna was originally inspired by a conventional quarter-wave rectangular monopole antenna, and the basic concept of the proposed antenna and designed methodology was already presented in [19]. The length (L_R) of the rectangular monopole antenna could be estimated by using the following equation provided in [20]:

$$L_R = \frac{C_o}{4f_c\sqrt{\varepsilon_{eff}}}\tag{1}$$

where C_o represents the speed of light in free space, f_c represents the central frequency; for the presented case it was selected to be 4 GHz, whereas, ε_{eff} is the effective dielectric constant of the substrate is calculated by using the following relation:

$$\varepsilon_{eff} \approx \frac{\varepsilon_r + 1}{2} + \frac{\varepsilon_r - 1}{2} \left\{ \left(1 + 12 \frac{A_Y}{H} \right)^{-0.5} \right\}$$
(2)

where H is the thickness of the substrate, and A_Y is the width of the substrate.

The resultant antenna resonates at 3.8 GHz with an impedance bandwidth of 850-MHz ranging 3.55–4.4 GHz, as depicted in Figure 2. Although the antenna offers a wide impedance bandwidth, still it does not cover the targeted 5G sub-6-GHz band (3.1–4.5 GHz) allocated globally for future wireless communications. Thus, perturbation techniques were utilized to widen the impedance bandwidth of the antenna. Different from common

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techniques including DGS and slots to achieve wideband behavior, this design employed truncation of radiator corners to improve the bandwidth. Both lower corners were truncated using an equilateral triangle which results in increasing the effective length of the radiator, thus shifting of resonance toward the lower frequencies, as depicted in Figure 2. Moreover, truncation of the corner causes more current to flow from the feedline to the radiator. Due to the triangular shape, the current uniformly distribute itself on the surface of the radiator. The increase in flow and uniform distribution of current resulted in improvement in impedance matching at lower frequencies to enhances the impedance bandwidth of the antenna. The resultant antenna exhibits a wide impedance bandwidth of 2.2 GHz ranging 2.35–4.55 GHz which corresponds to 62.8% of central frequency, as depicted in Figure 2. It is worthy to note here that besides 5G sub-6-GHz band spectrum the antenna also covers 2.4 GHz WLAN band, 2.45 GHz ISM band, 2.5GHz Wi-Max band, and more than 90% of S-band (2–4 GHz), thus making it suitable for multiple applications.

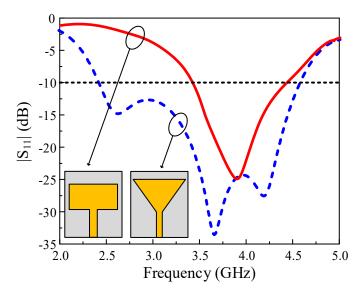


Figure 2. S₁₁ for rectangular shape monopole with and without truncated corners.

2.4. Design of Notch Band Antenna

To overcome the problem of band congestion due to the closely allocated band spectrums for various applications, the wideband antenna designed in the previous section is transformed into a notch band antenna. Usage of additional filters is the common way to notch the desire bands [21]. However, it may result in various drawbacks, including the requirement of additional matching circuits to match the impedance of the filter with wideband antenna [22]. Furthermore, it also requires a bigger area to fit the whole circuit allowing very little space for other components thus limits its usage in compact size devices [23]. Therefore, the researcher adopted various techniques including etching slots [24], loading metamaterials [25], insertion of Split Ring Resonator (SSR) [26], and stub loading techniques [27] to notch the desire bands.

Furthermore, to avoid complex geometrical configuration, a simple yet effective technique to achieve a notched band is adopted, i.e., etching the slot in the radiator. One of the key parameters is to select the shape and position to place the slot, therefore, the shape and position of the slot must be chosen carefully to achieve a high value of VSWR to minimize the interference of the notch bands [28]. For the said purpose two V-shaped slots were used to achieve dual notch bands, the effective length (L_S) of the slot for desire frequency (f_d) can be calculated by [29]:

$$L_{S(n)} = \frac{C_o}{2f_{d(n)}\sqrt{\varepsilon_{eff}}} \tag{3}$$

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where (n) = 1, 2 which shows the number of slot while $\varepsilon_{eff} \approx \frac{(\varepsilon_r + 1)}{2}$. Moreover, for the proposed work $L_{S1} = 2 \times L_1$ while $L_{S2} = 2 \times L_2$. Figure 3 illustrates the comparison between simulated values of S_{11} for wideband antenna and antenna with a single and dual notch. It could be observed that with a single V-shaped slot the antenna mitigates the band spectrum of 2.47–3.11 GHz having a maximum value of $S_{11} = -0.78$ dB at 2.82 GHz. Similarly, when two slots were etched, the antenna mitigates the two band spectrums of 2.48–2.96 GHz and 3.47–3.76 GHz. To have a better understanding of the slot to mitigate the desired frequency, current distribution plots were presented in Figure 4a,b. It could be observed from Figure 4a that for the first notch band the maximum current is around the bigger V-shaped slots, which causes the mitigation of the lower band. Similarly, the maximum current was observed along with a smaller V-shaped slot for the second notch band, which causes its mitigation from the resonating band spectrum.

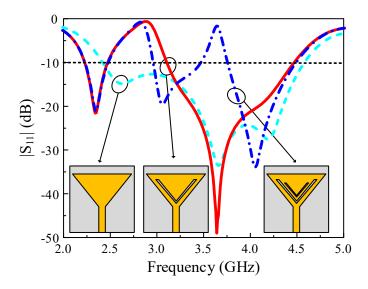


Figure 3. S₁₁ for wideband antenna and wideband antenna with single and dual-notch bands.

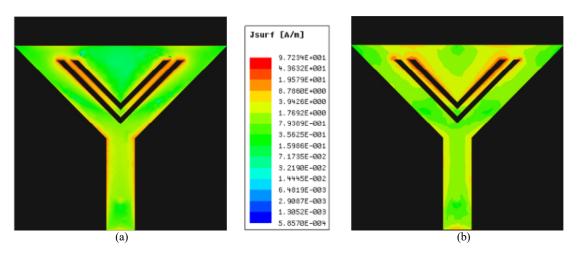


Figure 4. Current distribution of proposed antenna at notch-band of: (a) 2.82 GHz (b) 3.64 GHz.

2.5. Numerical Analysis

Figure 5 depicts the equivalent circuit of the UWB antenna along with the UWB antenna with a single and dual-notch band. The equivalent model of the UWB antenna was designed using a series-connected combination of RLC components, where each group of RLC components show the independent single resonance. The operational region of each resonance overlaps due to closely spaced thus results in a Wide Band Antenna

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(WBA) [30,31]. Similarly, the notch bands occurred due to the presence of V-shaped slots were also model using an RLC group, where the LC equivalent notch frequency f_{no} [32].

$$f_{no} = \frac{1}{2\pi} \sqrt{\frac{1}{L_{eq}C_{eq}}} \tag{4}$$

where C_{eq} is the total equivalent capacitance and can be obtained by summing up the capacitances generated by individual leg of the V-shaped slot. L_{eq} is the equivalent inductance generated by the etched slot and can be calculated by using the following relation:

$$L_{eq} = 0.0002E \left(2.303 \log_{10}(\frac{4E}{D}) - \theta \right) \mu H$$
 (5)

where E was assumed to be the finite length of a wire of rectangular cross-section equals to the notch area, D is the length approximately equals to the cross-section of the slot, and θ is the angle between the legs of the V-shaped slot.

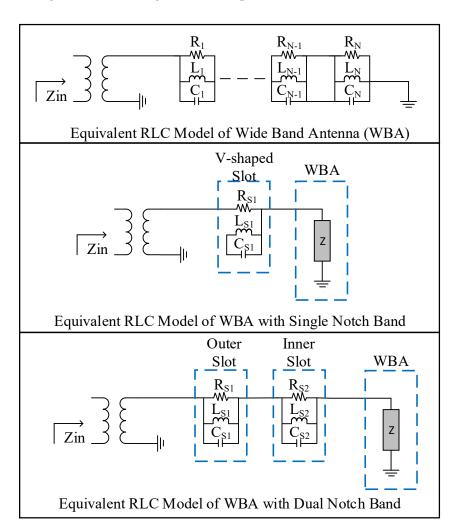


Figure 5. RLC equivalent model of wide band antenna with and without notch-bands.

As the current distribution is perturbed due to slots on the radiating patch, the path of the current is elongated. The length of the current path exhibits the series inductance, whereas the etched gaps of the slots accumulate the charge, hence determines series capacitance. Collectively, this behavior can be represented by the equivalent lumped element circuit with LC configuration. The equivalent lumped element parallel RLC circuit for the proposed antenna is illustrated in Figure 5. L_{S1} , C_{Si} , and R_{Si} represent the

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inductance, capacitance, and radiation resistance for *i*th radiating mode at each resonant frequency band, respectively. The lumped effect of the slots causing the resonant frequency is represented as a series combination of all lumped elements with an inductive patch antenna and ground plane as a capacitive load. Both inductive and capacitive effects as well as feeding effects are considered for higher-order modes generation and significantly contribute to the input impedance of the antenna.

2.6. Parametric Analysis

Parametric analysis of the key parameters of the antenna is performed to investigate their effects on the performance of the antenna, as depicted in Figure 6. As shown in Figure 6a, when the length of the truncated corner was changed from 15.55 mm to 12.73 mm, all the resonances were shifted toward the higher side. An increment in bandwidth was observed for the third while the bandwidth of the first resonance decreases considerably, as depicted in Figure 6a. On the other hand, when the value of P_X was increased from 15.55 mm to 18.35 mm, all resonances shift toward the lower side along with a decrement in the bandwidth of the third resonance. The increase and decrease in the bandwidth are due to the number of current passes from feedline to radiator while the shift in resonance toward lower and higher frequency is due to change in the effective length of the radiator, as discussed earlier in Section 2.2. It is worthy to note that the notched frequencies remain unchanged for both higher and lower variations, as depicted in Figure 6a.

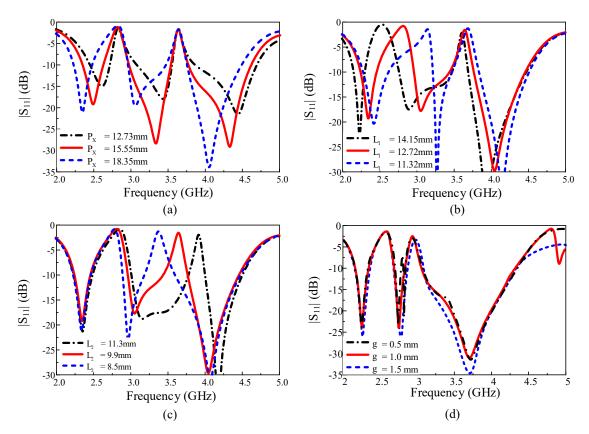


Figure 6. Parametric analysis of the various parameters of the antenna: (a) P_X (b) L₁ (c) L₂ (d) g.

Figure 6b illustrates the effects of variation in the dimension of L_1 . It could be observed that when the value of L_1 has increased from 12.72 mm to 14.15 mm the first notch band shifts from 2.8 GHz to 2.55 GHz, also the bandwidth of the first resonance decreases while the bandwidth of the second resonance increases as depicted in Figure 6b. On the other hand, when the value of L_1 was decreased to 11.32 mm, the notch band shifted to 3.1 GHz along with increased bandwidth at first resonance and decreased bandwidth at the second resonance. A similar phenomenon was also observed for the second notch band by varying

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the dimension of L_2 , as depicted in Figure 6c. It is worthy to note that the second notch band and third resonance remain conserved for all variation in L_1 while the first notch and first resonance remain conserved for all variation in L_2 . Moreover, from Figure 6d, it could also be observed that the gap between the slots (g) also has less impact of the performance of the antenna. Thus, the proposed antenna becomes a promising candidate for the application where independently controllable notch band antennas are required.

2.7. Design Methodology

Figure 7 depicts the flow chart of the design methodology of the proposed antenna. Initially, a quarter-wave rectangular monopole antenna was designed. Various parameters of monopole, including length and width of the radiator, feedline, and truncated ground plane, were optimized to get maximum bandwidth. Afterwards, lower corners of the monopole radiator were truncated by using an equilateral triangle such that the hypotenuse of the triangle is $P_{\rm X}$.

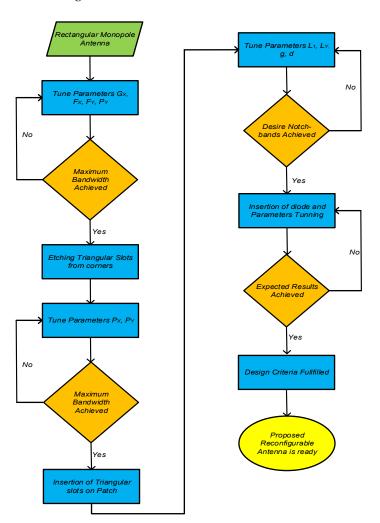


Figure 7. Flow chart of the design methodology of the proposed antenna.

The hypotenuse of the triangular slot and width of the radiator was further optimized to get the ultra-wide bandwidth. The resultant antenna shows an impedance bandwidth of 62.8%. In the next step, two triangular slots were etched from the radiator to achieve two notch bands from an already designed ultra-wideband antenna. The length and width slot along with separation between them were well-tuned to achieve the desire notch bands. The resultant antenna exhibits a tri-band operational mode along with two notch bands where the value of S_{11} is greater than -2 dB. Finally, two RF-PIN diodes were inserted at the center of the slots, such that when the diode is in ON-state the slot becomes inactive

while in OFF-state the slot becomes active and a notched band is achieved. Biasing circuits were designed at the backside of the antenna to minimize the effects of basing components and wires. Final tuning of various parameters was performed due to the addition of diodes, capacitors, and inductors to achieve the desired frequency reconfigurable antenna having dual-band, tri-band, and wideband operational mode.

3. Results and Discussion

3.1. Measurement Setup

To measure the S-parameters of the proposed antenna, the Anritsu S820E Antenna Analyzer is used. Figure 8 shows the fabricated antenna prototype. The far-field characteristics of the antenna are measured through a commercial far-field measurement system in a shielded RF anechoic chamber. The horn antenna (SGH-series) having a standard gain of 24 dBi is utilized as a transmitter, while the proposed antenna was measured as a receiving antenna. Power amplifiers were used to provide stable power reception. The antenna is rotated in 360° to measure the far-field results.

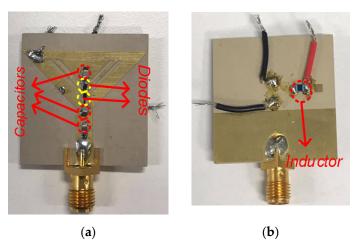


Figure 8. Fabricated prototype of the proposed antenna: (a) top-view (b) bottom-view.

3.2. S-Parameter

Figure 9 shows the simulated and measured S_{11} . It can be observed that the antenna either operates in wideband mode, two dual-band modes, and tri-band mode, as depicted in Figure 9a–d. The biasing voltage of the PIN diode is 3V. When both diodes D_1 and D_2 were in ON state, which refers to case-11, the antenna act as a wideband antenna having $S_{11} < -10$ dB impedance predicted bandwidth of 2.29–4.47 GHz whereas, the measured bandwidth was observed to be 2.25–4.53 GHz, as depicted in Figure 9a. When either the diode D_1 or D_2 was kept in On-state while keeping the other diode in Off-state the antenna exhibits dual-band mode, as depicted in Figure 9b,c. The predicted bandwidth of the proposed antenna for case—01 was observed to be 2.3–2.65 GHz and 3–4.5 GHz, while for case–10 it was observed to be 2.1–2.4 GHz and 2.92–4.37 GHz, respectively.

On the other hand, the measured $S_{11} < -10$ dB bandwidth for case-01 was observed to be 2.1–2.78 GHz and 3.09–4.57 GHz, respectively, as depicted in Figure 9b, while for case-10 it was observed to be 1.86–2.5 GHz and 3.03–4.47 GHz, as depicted in Figure 9c. At last, when both diodes were kept in Off-state, referring to case-00, the antenna exhibits triband operational mode having predicted passbands of 2.17–2.35 GHz, 2.64–2.85 GHz, and 3.09–4.34 GHz. The measured impedance bandwidths for case-00 were reported to be 1.93–2.4 GHz, 2.62–2.97 GHz, and 3.2–4.65 GHz, as depicted in Figure 9d. In general, a strong agreement was observed between simulated and measured results, while the small discrepancy between the results was owing to fabrication and measurement circuitry.

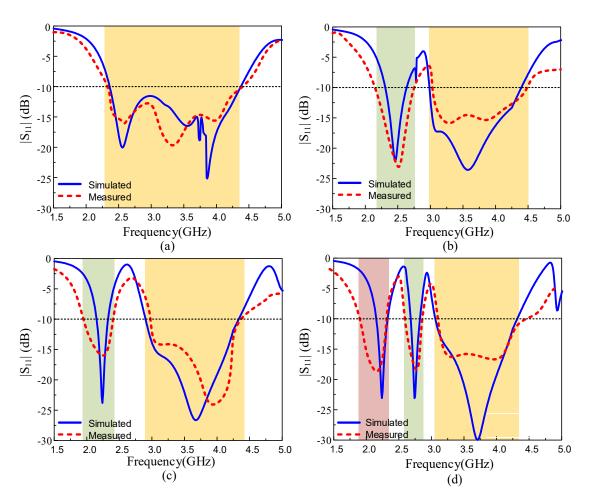


Figure 9. Simulated and measured results of S_{11} : (a) case-11 (b) case-01 (c) case-10 (d) case-00.

3.3. Radiation Patterns

Figure 10 illustrates the simulated and measured radiation patterns of the proposed antenna at resonating frequencies for various switching states. For all resonating frequencies, the antenna exhibits an omnidirectional radiation pattern in principle H-plane (θ = 90°). On the other hand, for all resonating frequencies, the antenna exhibits a monopole like bi-directional radiation pattern in principle E-Plane (θ = 0°). Moreover, it is observed for all switching states that the proposed antenna shows good agreement between simulated and measured results.

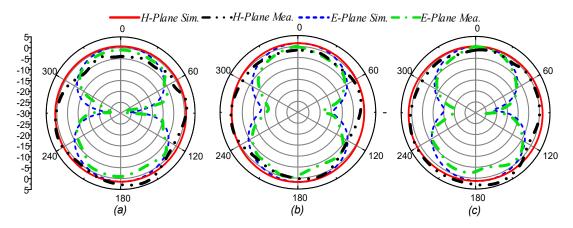


Figure 10. Simulated and measured radiation patterns for case-00: (a) 2.24 GHz (b) 2.74 GHz (c) 3.71 GHz.

3.4. Gain and Radiation Efficiency

Figure 11 shows the predicted and measured gain of the proposed reconfigurable antenna. It can be seen from Figure 11a, when both diodes are in ON-state the antenna exhibits a gain of <2.5 dBi in the operational band along with radiation efficiency of <90%. However, the antenna in multiband mode shows the gain of <2.4 dBi in passband regions along with radiation efficiency of <89% (Figure 11b–d), while for the band stop region the gain and radiation efficiency of the proposed antenna decreases up to -8 dBi and 22%, respectively. A strong agreement between simulated and measured results state the performance stability of the antenna. Table 2 shows the comparison between measured and simulated results for different states of PIN diodes.

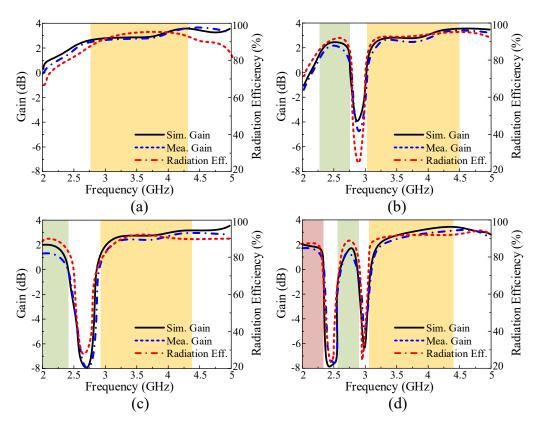


Figure 11. Simulated and measured gain along with radiation efficiency: (a) case-11 (b) case-01 (c) case-10 (d) case-00.

Table 2. Comparison among simulated and measured values for various switching states.

Switching State	Diode State	Simulated Bandwidth (GHz)	Measured Bandwidth (GHz)	Simulated Peak Gain (dBi)	Measured Peak Gain (dBi)
Case-11	D1-On and D2-On	2.29-4.47	2.25–4.53	3.86	3.8
Case-01	D1-On and D2-Off	2.3–2.65 3–4.5	2.1–2.78 3.09–4.57	2.44 3.91	2.39 3.82
Case-10	D1-Off and D2-On	2.1–2.4 2.92–4.37	1.86–2.5 3.03–4.47	2.21 3.89	1.89 3.82
Case-00	D1-Off and D2-Off	2.17–2.35 2.64–2.85 3.19–4.34	1.93–2.4 2.62–2.93 3.19–4.65	2.26 2.01 3.9	2.17 1.96 3.79

3.5. Comparison with State-of-the-Art Works

Table 3 presents the comparison of the proposed antenna with state-of-the-art works for similar applications. It could be observed clearly that the proposed antenna overperforms the existing antennas by providing the best combination of compact size, multi-mode operation, wide operational region, relatively high gain, and simple geometrical structure.

Table 3 Comparison of	the proposed antenna	with state-of-the-art work	e for cimilar applications
Table 3. Companison of	me proposed amemia	Willi State-Oi-the-art Work	s for similar applications.

Ref.	Dimension (mm ²)	Operational Mode	Reconfigurable Technique	Frequency Range (GHz)	Peak Gain (dBi)
[5]	65 × 10	Wideband	N. A	1.6–2.2	2.45
[6]	25×30	Tri-band	N. A	2.35-5.5	3.75
[8]	100×100	Single band	PIN-diode (2)	2.2-3.8	6.5
[9]	70×70	Single band	Varactor (1)	2.6-3.8	5.92
[10]	50×50	Single band	Mechanical	2-4.5	5.6
[11]	27×27	Single band	Varactor (1)	1.4–2.	2.48
[12]	14×36	Single band	PIN-diode (2)	2-2.6	N. R
[13]	25×27	Single and Dual Band	PIN-diode (2)	2–6	2.8
[14]	20×16	Single and Dual Band	PIN-diode (2)	2–6	3.13
[15]	50×45	Dual and Tri Band	PIN-diode (2)	2.5-5	5.8
[16]	30×28	Dual and Quad band	PIN-diode (1)	1.5-11	3.1
[17]	50×33	Wide and Dual Band	PIN-diode (2)	2-3.75	3.2
This Work	30×30	Wide, Dual and Tri band	PIN-diode (2)	1.8–4.5	3.72

4. Conclusions

A compact frequency reconfigurable antenna is proposed in this paper. Initially, to cover 5G sub—6 GHz band, a wideband triangle-shaped monopole antenna was designed, which was originally inspired from a rectangular monopole antenna. Afterwards, two V-shaped slots were utilized to achieve two notch bands, their length could be adjusted independently to achieve the desire notch bands. Finally, two RF-PIN diodes were inserted at the middle of the slots such that when diodes are in Off-state they active the slot and thus result in notching the band. The overall size of the antenna is $0.19\lambda_0 \times 0.19\lambda_0 \times 0.0081\lambda_0$, where λ_0 correspond to the free space wavelength at the lowest resonating frequency. The proposed antenna can operate in wideband mode, two different dual-band modes, or tri-band, depending upon the state of the diodes. Strong agreement between predicted and measured results, along with reasonable gain in passbands and very low gain in the band-stop region, makes the proposed antenna a suitable candidate for 2.1 GHz 4G-LTE band, 2.45 GHz ISM band, 5G-sub-6 GHz band, and S-band applications.

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