

## Article

# Numerical Study of the Transmission of Exhaled Droplets between the Instructor and Students in a Typical Classroom

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**Abstract:** Conducting physical attendance exams during pandemics is a challenge facing many educational institutes and universities. Our study's main objective is to numerically simulate the expected transmission of the harmful exhaled droplets of aerosols from an infected instructor to students in an exam room ventilated by a number of spiral diffusers. Several critical parameters, including the droplet size, the ventilation rate, and status of the entrance door were considered in the analysis. Two dimensionless indices, i.e., the specific normalized average concentration (SNAC) and the exceedance in exposure ratio (EER), were introduced to examine the effect of the said parameters on student exposure to the harmful droplets. The study revealed that the 5  $\mu\text{m}$  droplets were less hazardous as they resulted in an 87% reduction in exposure when compared with the small 1  $\mu\text{m}$  size droplets. We also found that when the ventilation rate ratio (VRR) increased above unity, an upward entrainment process, due to the swirl diffuser, of the aerosol droplets took place, and consequently the risk of student exposure was reduced. The results also demonstrated that increasing VRR from zero to 1 and then to 2 decreased the exceedance in the student exposure from 3.5 to 2.15 and then to less than zero, respectively. The study also showed that keeping the lecture room's main door open is recommended as this reduced the risk of exposure by 26% in the case of a VRR equal to 2.

**Keywords:** aerosols; COVID-19; aerodynamics; ANSYS-Fluent; ventilation; Discrete Phase Model (DPM); spiral diffusers



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## 1. Introduction

During the 2003 SARS outbreak, over 8000 people from more than 20 different countries were infected, and 774 died worldwide [1]. In 2009–2010, the swine flu pandemic lasted for about 19 months, where the WHO reported 18,500 laboratory-confirmed deaths. However, Dawood et al. estimated that, globally, about 284,000 (range from 150,000 to 575,000) deaths were caused by this pandemic [2]. Since 2012, the MERS-CoV outbreak has affected several countries worldwide but was concentrated mainly in the Middle East. Peck et al. reported in 2015 a total of 1227 confirmed human cases of MERS, resulting in 449 deaths [3].

In a few months since its appearance in 2019, the COVID-19 virus widely spread to all countries and became a worldwide crisis. The infection caused many countries to close their borders, suspend air flights, and force either extended lockdowns or semi-lockdowns on their residents. Almost all schools and colleges globally conducted virtual classes to prevent further spreading of the virus. Some countries ultimately canceled their schools' exams, and most universities worldwide conducted online exams for both semesters in 2020. Despite these measures taken, the global infected cases of COVID-19 exceeded two hundred and twenty-four million, the reported deaths approached five million by the end of 2021, and these numbers are still increasing [4].

Several sources suggested that viruses attacking the respiratory system are transmitted by three routes: (1) large droplets from the infected person that are exhaled with enough momentum to directly impact the mouth, nose, or conjunctiva (eyes) of the recipients; (2) physical contact with infected droplets that are deposited on any surface and subsequently transmitted to the recipient's respiratory mucosa by hand contact; and (3) inhalation by the recipient of infected nuclei (aerosol size droplets) delivered by ambient air currents. The first two routes are referred to as the "droplet" or "contact" routes of transmission, while the third is called the "airborne" transmission route [5–15]. Thus, safety measures should be applied to the airborne route.

One of these safety measures for mitigation is to keep sufficient social distance among people. Some researchers estimate that distance to be around 1.5 to 2 m [14]. It is assumed that this distance is feasible and practical, believing that the largest exhaled droplets will eventually settle by gravity or evaporate before moving this distance and affecting the other person. However, several studies have shown that exhaled droplets from breathing, coughing, and sneezing can sometimes travel up to 6 m and even further [16–20].

Several researchers have studied characterizations of exhaled air velocity, droplet size distributions, and their concentrations during different respiratory activities. However, only a few researchers measured these characters for exhaled air during speaking activities. Morawska et al. measured the size of droplets produced during speaking, where they found that the modes of droplet sizes were in the range of 3.5 to 5.5  $\mu\text{m}$ , with corresponding average concentrations in the range of 0.04 and 0.16 particles per cubic cm ( $\text{cm}^{-3}$  or  $\text{p}/\text{cm}^3$ ), respectively. For the entire size range examined of 0.3–20  $\mu\text{m}$ , the average particle concentration produced during speaking was  $1.1 \text{ cm}^{-3}$  [21].

Chao et al. measured an average expiration air velocity of approximately 4.6 m/s for men during speaking, where the geometric mean diameter of droplets was 16.0  $\mu\text{m}$  and the mode was 6  $\mu\text{m}$ . They estimated that droplet concentrations were in the range of 0.004–0.223  $\text{cm}^{-3}$  for speaking [22]. Kwon et al. found that the average initial speaking velocity was 4.07 m/s for men [23]. In their review, Zhang et al. mentioned that the speaking droplet size ranged between 0.1 and 16  $\mu\text{m}$ , while most researchers measured it below 8  $\mu\text{m}$ , while the concentration of the droplets reached  $1.2 \text{ cm}^{-3}$  [24]. Recently, Liu et al. measured the peak concentration of COVID-19 aerosols to appear in two size ranges; the first range in the submicron region with a diameter between 0.25 to 1.0  $\mu\text{m}$ , and the second peak in the supermicron region with a diameter larger than 2.5  $\mu\text{m}$  [25].

CFD simulation of exhaled droplets, during transmission indoors, has been studied by several researchers [17,26–39]. Most of the studied cases describe the transfer of exhaled droplets from an infected person to others under different locations, conditions, and ventilation systems. The most studied places were hospital rooms, offices, and conference rooms. The main concern in most of those publications was investigating the transmission of infectious droplets from patients to others by calculating different variables, such as the velocity distribution, particle concentrations, and deposition under different ventilation conditions and different room geometries. In all these simulations, the infectious droplets were generated by the infected person by coughing, sneezing, or breathing.

The education sector is one of the most challenging sectors that requires flexible and careful strategies to deal with pandemic situations. Such plans should be based on a deep understanding of the airborne diseases and how they are expected to disperse in classrooms and exam hall environments.

## 2. Scope and Objectives

As per the best of the authors' knowledge, only one study in early 2021, Shao et al. [40], investigated the case of speaking from an infected instructor. Shao et al. conducted experiments with an optical diagnosing technique. The integrated Schlieren imaging and digital inline holography systems were used to measure the exhaled gas flow field and the sizes/distribution of the generated particles through the normal breathing behavior of eight participants. They also conducted a CFD simulation (with coarse meshes

of 80,000 and 160,000 grid points in a classroom  $5 \times 10 \times 3$  m) using the open-source OpenFOAM-6 CFD package to evaluate the risk of students inhaling from an infected instructor during speaking.

The current research work is considered a step forward in studying the safety of the students during exam time. This study attempts to simulate exhaled droplet dispersion from an infected instructor to students during exam time in a real classroom at Imam Mohammad Ibn Saud University, Riyadh, under different real ventilation scenarios. The authors do not attempt to give a complete solution to the problem but rather provide initial guidelines to the minimum ventilation requirements and the student distribution in the classroom to minimize the transmission of the airborne infection from the instructor to students. Due to the urgency of the current situation and the lack of research on the transmission of infectious diseases in classrooms, this work attempts to provide an essential overview of challenges and required measures supplemented with a potential practical framework.

The main objectives of the study in-hand are:

- To numerically track the spreading of the aerosol particles with time-based on a calibrated CFD model with decent spatial resolution.
- To introduce some well-defined dimensionless parameters that could be used to assess the vulnerability to the exposure of the droplets of aerosols.
- To investigate the effect of adopting different ventilation schemes on the students' expected exposure to the aerosol droplets.
- To investigate the effect of keeping the entrance door opened or closed on the students' expected exposure to the aerosol droplets.
- To investigate the effect of droplet size on the students' expected exposure to the aerosol droplets.

### 3. Materials and Methods

#### 3.1. Problem Formulation and Assumptions

##### 3.1.1. Problem Formulation

The problem involves numerical investigation of the transmission of exhaled droplets from an infected instructor to the students in a typical classroom in a college or university institute during exam time. Some different ventilation scenarios will be considered to examine the ventilation scheme's effect on the transmission of airborne droplets and diseases in the studied room. Moreover, the impact of the room door's status (whether opened or closed) on the transmission will also be taken into consideration.

##### 3.1.2. Assumptions

This study assumes the following:

- The particles/droplets are single sized and uniformly distributed; however, the range of particle/droplet sizes from 1 to 5 microns was examined.
- The transmission scheme of exhaled droplets occurs through the speaking process between the infected instructor and the students.
- A simplified "prismatic" geometry for the body of a typical student (sitting on a desk in class) and a typical instructor (standing facing the students) is adopted to reduce computational resources.
- The temperature difference between the class and its surroundings is neglected, and the classroom air is considered isothermal. This assumption was previously adopted by some researchers, such as [26,27,41–43].
- The evaporation effect on the size of bioaerosols is neglected. Several researchers also considered this assumption, such as La and Zhang, 2019 [33].
- The respiratory behavior of the exposed students is neglected. The same assumption was considered by other researchers, an example of those researchers is Zhang et al. 2017 [34].

- The following basic properties of Fluent's standard air material were used for the continuous phase: air density ( $\rho$ ) = 1.225 kg/m<sup>3</sup> and dynamic viscosity ( $\mu$ ) =  $1.84 \times 10^{-5}$  Pa·s.

### 3.2. Definition of Assessment Indicators

For the purpose of comparison, the following terms will be defined and introduced for the discussion of the results:

- The normalized average concentration (*NAC*) is a dimensionless number that gives the average concentration of aerosol droplets received on average by all students normalized by the initial concentration ejected from the infected instructor's mouth.

$$NAC = \frac{C_{ave}}{C_o} \quad (1)$$

where  $C_{ave}$  is the average concentration for the whole students in the exam room, and  $C_o$  is the concentration ejected from the mouth of the infected instructor.

- The specific normalized average concentration (*SNAC*) is also a dimensionless number that gives the average concentration of aerosol droplets received by exposed students only divided by the concentration ejected from the infected instructor's mouth. *SNAC* can be calculated as per the following formula:

$$SNAC = NAC \times \frac{\text{Total No of students in class}}{\text{No of exposed students}} \quad (2)$$

- The ventilation rate ratio (*VRR*) is the ratio of the actual total ventilation rate divided by a reference ventilation rate. In this study, the reference ventilation rate was selected to be the minimum ventilation rate recommended by ASHRAE standards [44] (the reference case, R2):

$$VRR = \frac{AVR}{VR_{min}} \quad (3)$$

where *AVR* is the actual total ventilation rate supplied to the lecture room;  $VR_{min}$  is the minimum ventilation rate recommended by ASHRAE standards (equals 3.8 L/s/person for this study).

- The exceedance in exposure ratio (*EER*) is a dimensionless number that can be used to identify the location and degree of a student's risk of exposure to harmful droplets. In other words, it describes the exceedance or decadence of a student's exposure to the droplets for a given ventilation rate compared to the corresponding average exposure that is expected in case of a minimum ventilation rate:

$$EER = \frac{C_i - C_{ave}^*}{C_{ave}^*} \quad (4)$$

where  $C_i$  is the actual concentration received by a student *i* and  $C_{ave}^*$  is the average class concentration in applying minimum ventilation rate (the reference case, R2).

- Based on the definition mentioned earlier, the value of *EER* could be positive, zero, or negative. A positive value means the student exposure exceeds the average conditions, whereas a negative value implies vice versa. Therefore, *EER* could represent the spatial risk of exposure to the droplets among the students in the class based on their location and the applied ventilation rate.

### 3.3. Development of Numerical Model

#### 3.3.1. Governing Equations

The governing equations are written for the fluid and particle phases as follow [45]:

##### Fluid Phase

##### (a) The mass conservation equation

The equation for conservation of mass, or continuity equation, can be written as follows:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \vec{v}) = S_m \quad (5)$$

where  $\rho$  is the fluid density,  $v$  is the fluid velocity vector,  $t$  is the time domain, and  $S_m$  is a source term that represents the mass added from the particles/droplets.

##### (b) The momentum conservation equation

The conservation of momentum is described by:

$$\frac{\partial}{\partial t} (\rho \vec{v}) + \nabla \cdot (\rho \vec{v} \vec{v}) = -\nabla p + \rho \vec{g} + \vec{F} \quad (6)$$

where  $p$  is the static fluid pressure,  $\rho \vec{g}$  is the gravitational body force, and  $\vec{F}$  is the external body force by the action of the droplets/particles.

##### (c) The turbulence transport equations

The transport equations for the RNG-k- $\epsilon$  turbulent model are given as:

$$\frac{\partial}{\partial t} (\rho k) + \frac{\partial}{\partial x_i} (\rho k u_i) = \frac{\partial}{\partial x_j} \left( \sigma_k \mu_{eff} \frac{\partial k}{\partial x_j} \right) + G_k + G_b - \rho \epsilon - Y_M + S_k \quad (7)$$

$$\frac{\partial}{\partial t} (\rho \epsilon) + \frac{\partial}{\partial x_i} (\rho \epsilon u_i) = \frac{\partial}{\partial x_j} \left( \sigma_\epsilon \mu_{eff} \frac{\partial \epsilon}{\partial x_j} \right) + C_{1\epsilon} \frac{\epsilon}{k} (G_k + C_{3\epsilon} G_b) - C_{2\epsilon} \rho \frac{\epsilon^2}{k} - R_\epsilon + S_\epsilon \quad (8)$$

where  $k$  is the turbulence kinetic energy,  $\epsilon$  is the turbulence dissipation rate, and  $G_k, G_b$  represent the generation of turbulence kinetic energy due to the mean velocity gradients and buoyancy, respectively.  $Y_M$  represents the effect of compressibility on turbulence, and  $S_k$  and  $S_\epsilon$  are source terms for  $k$  and  $\epsilon$  equations, respectively.  $\sigma_k$  and  $\sigma_\epsilon$  are the turbulent Prandtl numbers for  $k$  and  $\epsilon$ , respectively.  $C_{1\epsilon}, C_{2\epsilon}$ , and  $C_{3\epsilon}$  are constants.  $\mu_{eff}$  is the effective eddy viscosity.

##### Particle Phase

The trajectory of a discrete particle is described by the following equation

$$\frac{d\vec{u}_p}{dt} = \frac{\vec{u} - \vec{u}_p}{\tau_t} + \frac{\vec{g}(\rho_p - \rho)}{\rho_p} + \vec{F} \quad (9)$$

where the Saffman's lift force  $\vec{F}$  is calculated using the following equation

$$\vec{F} = \frac{2K\nu^{1/2}\rho d_{ij}}{\rho_p d_p (d_{lk} d_{kl})^{1/4}} (\vec{u} - \vec{u}_p) \quad (10)$$

where  $d_p$  is the particle size,  $\rho_p$  is the density of the particles,  $d_{ij}$  is the deformation tensor,  $\vec{u}_p$ , is the velocity vector of the particles, and  $K$ , is a constant.

In this study, the FLUENT-ANSYS package was used to simulate the airflow and the transmission of the airborne particles for the two validation experiments and the classroom case study. 3-D unsteady state airflow models were developed. The models generally

resolve the details of eddies structures of the turbulent airflow field by using several two-equation turbulence models, such as the standard k-epsilon model, RNG k-epsilon model, and k-omega model.

The Discrete Phase Model (DPM), which follows a Eulerian–Lagrangian approach, was adopted to track the airborne particles' transmission and the bioaerosols via the airflow field. The RNG k-epsilon turbulence model has been used as the most suitable for the current study, following the findings by other researchers [17,35,36].

### 3.3.2. Numerical Model Characteristics

The characteristics of the numerical model are briefly summarized in Table 1.

**Table 1.** Characteristics of the CFD Model.

Item	Description	Selection
Software	CFD Package	Fluent-Workbench 18.2
Numerical Settings	Turbulence Model	k- $\epsilon$ (RNG) model
	Turbulent kinetics, momentum and rate of turbulent dissipation	Second order Upwinding scheme
	Gradient for Upwinding	Green-Gauss Node-based Scheme
	Pressure-Velocity Coupling Scheme	Simple-C
Meshing	Cell Shape	Tetrahedral
Boundary Conditions	Inlet	Velocity-inlet
	Outlet	Pressure-Outlet
	Wall	Standard log-law
Computing Resources	Processing speed	3.1 GHz
	No of used Cores	32
	No of used Core-hours	600

### 3.4. Model Validation

#### 3.4.1. Description of Physical Experiments

Before starting the numerical analysis, the numerical model should be verified and compared with a similar application. In this study, two physical experiments were selected from the literature to validate the CFD model before applying it to the current research. The first is the small-scale empty chamber experiment that was conducted by Chen et al. (2006) [35], whereas the second experiment is the bioaerosol deposition experiment that was performed by King et al. (2013) [27]. These two experiments are characterized by the availability of both airflow velocity measurements and the particle concentration profiles data.

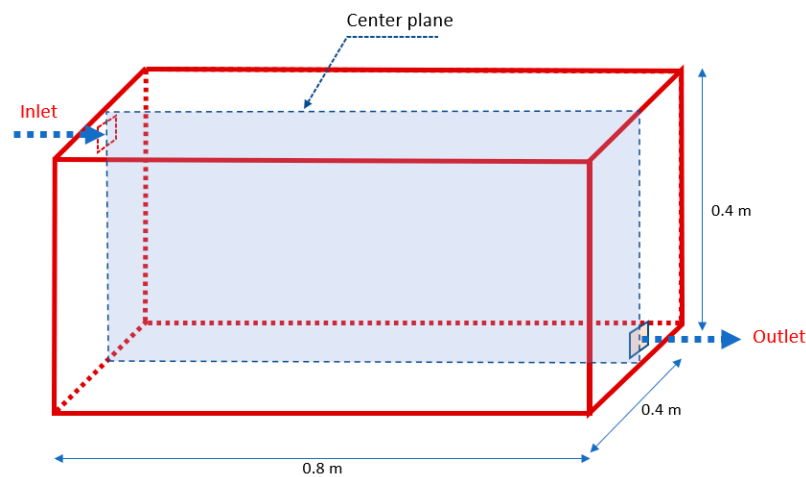
In the following paragraphs, a brief description of the two physical experiments for model validation is presented.

#### (a) First validation experiment

This experiment was conducted for a small-scale empty chamber. The chamber was prismatic in shape with dimensions of 0.8 m in length, 0.4 m in width, and 0.4 m in height. The inlet and outlet notches of the airflow and airborne particles were 0.04 by 0.04 m, and they were located concentric with the longitudinal vertical center plane ( $Y = 0.2$  m) as shown in Figure 1. The isothermal chamber was ventilated using an axial fan situated at the inlet where the airborne particles were also injected using a solid particle dispenser with injected particles of a mean particle size of 10  $\mu\text{m}$ . The inlet air velocity was equal to 0.225 m/s, which corresponds to a ventilation rate of 10 air change cycles per hour (ACH).

The spatial variation of the air velocity and the particle concentration profiles (within the center plane) were measured using a phase Doppler anemometer.

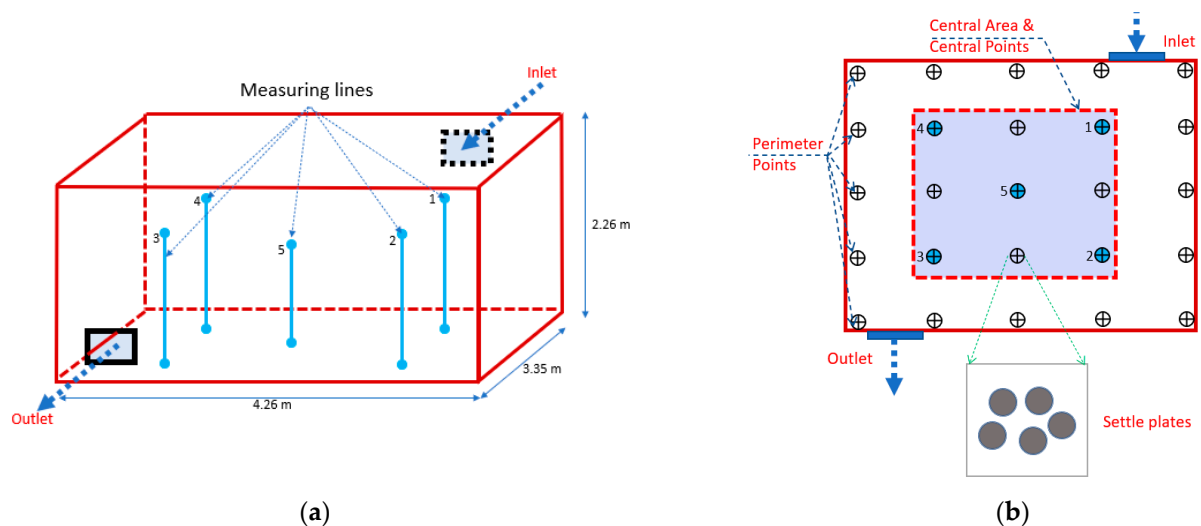




**Figure 1.** Geometric parameters of the first physical experiment.

(b) Second validation experiment

The second experiment focused on studying the spatial deposition of aerosolized *Staphylococcus aureus* in an aerobiology empty room. The room dimensions were  $4.26 \text{ m} \times 3.36 \text{ m} \times 2.26 \text{ m}$  with insulated walls, and the room was supplied by a HEPA filtered air through a high-level wall-mounted diffuser. The room geometry and the locations of the velocity measuring poles are shown in Figure 2a.  $2.5 \mu\text{m}$  bioaerosol particles from a pre-autoclaved six-jet collision nebulizer were injected at the room's geometric center, and the deposition was measured using groups of five 90 mm Petri dishes (settle plates) located around specified locations on the floor surface in the room, Figure 2b. The fractional bacteria count  $C_i$  representing the normalized deposition distributions at each collection point was used to compare the experimental measurements and the CFD results.



**Figure 2.** Locations of velocity measuring poles and settle plates (second validation experiment). (a) Locations of velocity measuring poles. (b) Locations of settle plates on the floor (plan view).

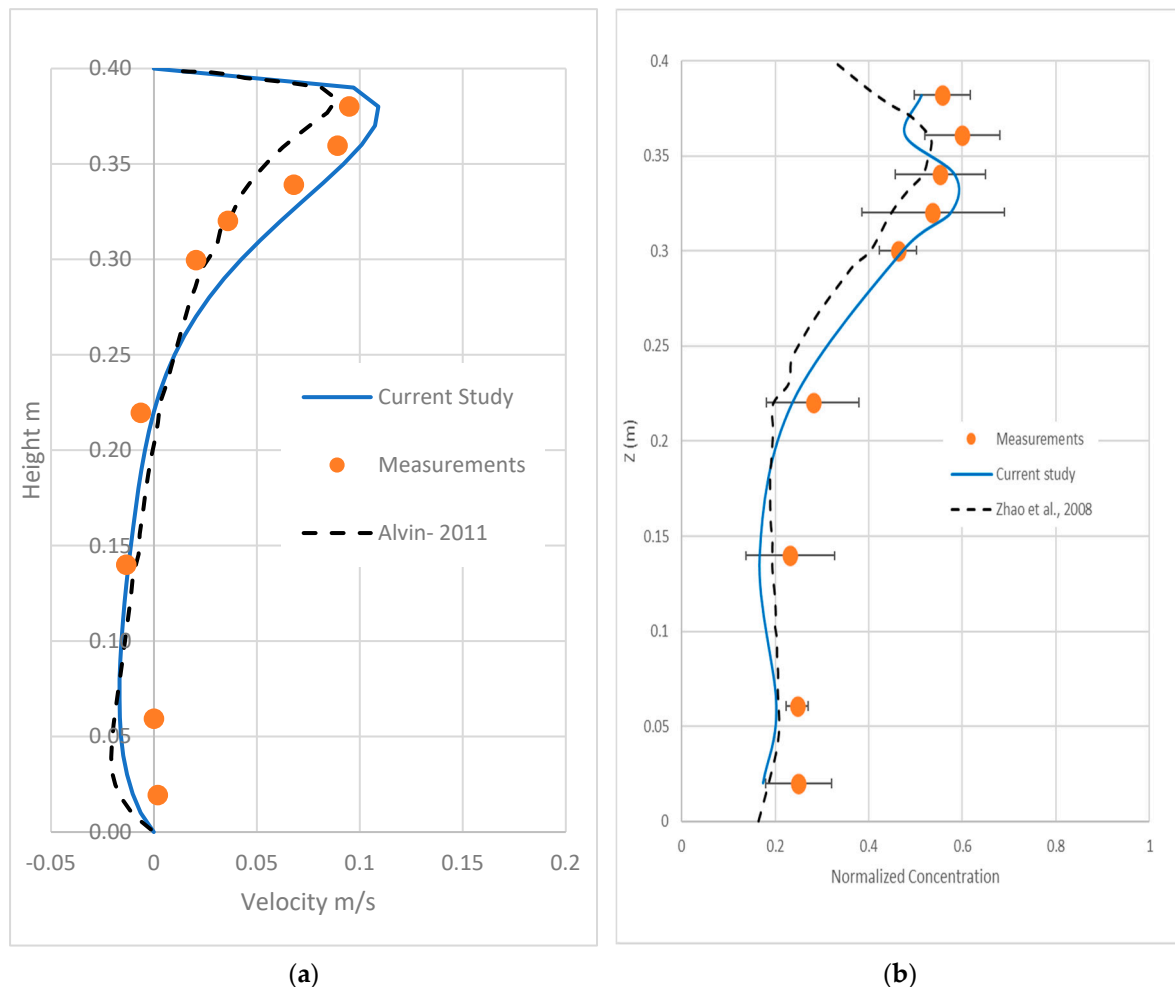
### 3.4.2. Results of Model Validation

(a) First validation experiment

Figure 3a shows the x-direction air velocity profiles at the chamber's central location ( $X = 0.4 \text{ m}$ ). The velocity profile produced by the numerical model from the current study is compared to the numerical model developed by Alvin, 2011 [37] and the experimental

data (measured by Chen, 2006) [35]. The experimental data are well predicted by the model developed in this study.

Figure 3b shows the simulated particle concentration's comparisons by the current CFD model, the CFD model by Zhao et al. [38], and the experimental data [35]. The developed model (in this study) reasonably agrees well with the experimental data. In the original data measurements [35], the range of error is only given for the concentration measurements, and the accuracy of the velocity measurements is not mentioned. However, it is expected that the range of errors in measuring the point air velocity are quite small compared to the corresponding errors in the concentration measurements.



**Figure 3.** Measured and calculated velocity and concentration profiles for the first validation experiment at the center plane at  $x = 0.4$  m. (a) Velocity profile. (b) Particle concentration profile.

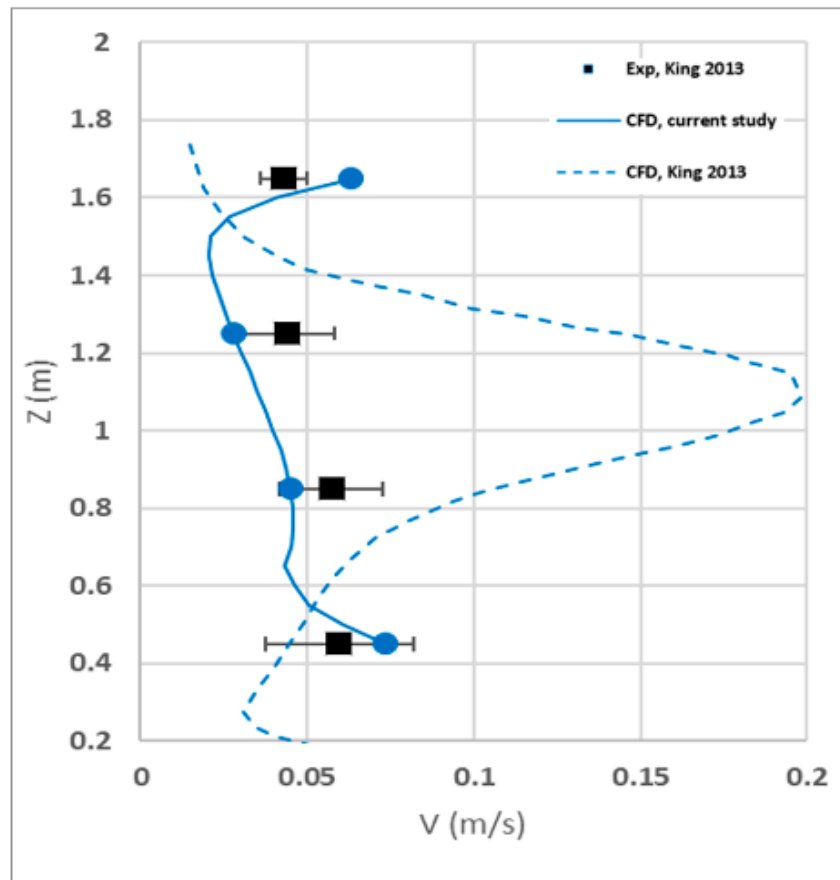
#### (b) Second validation experiment

Figure 4a shows the air velocity magnitudes' longitudinal profiles at pole number 2 in the room. The figure presents the experimental measurements from anemometry readings and compares the CFD results of models developed by the authors and other researchers in previous studies. The velocity measurements at the other poles are disregarded as they were significantly influenced by high noise to the extent that their readings fall below the Testo's measuring capability [46].

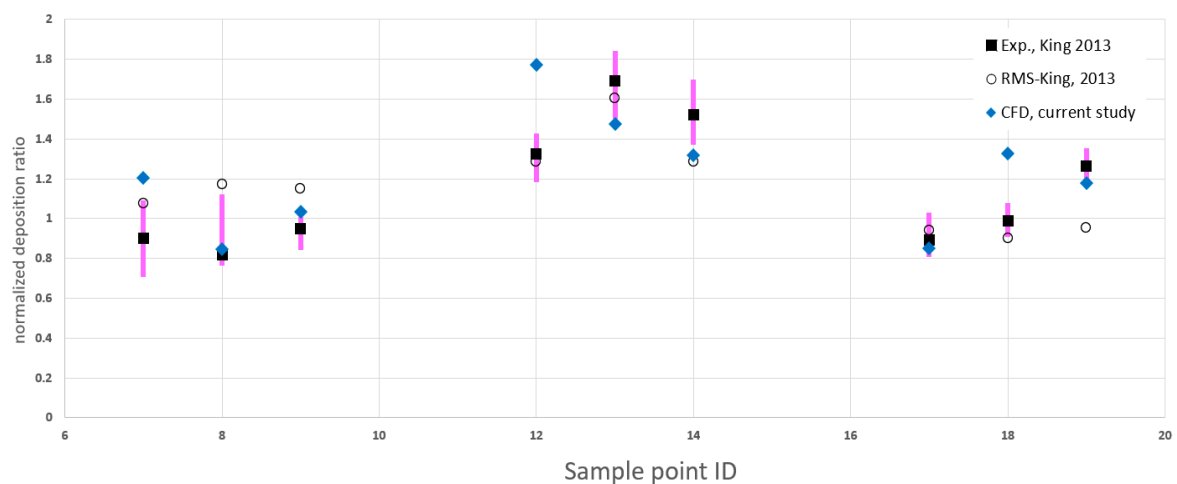
The recent CFD model by the authors generally gives a better match to the measurements, especially at pole 2; however, discrepancies between the developed CFD model and measurements are noticed at poles 1 and 4. Similar differences were also found in the previous CFD model developed by King et al., 2013. It is also found that the current



CFD model gives velocity predictions slightly better than the previous CFD RMS model provided by King, 2013 [27].



(a)



(b)

**Figure 4.** Comparison of the experimental and calculated values for the second validation experiment. (a) Velocity profile at pole 2. (b) Normalized deposition ratio at the room central locations shown in Figure 2b.

Figure 4b presents the normalized experimental deposition ratio and numerical predictions from the CFD models at the empty room's central nine points. Comparison with the simulation results shows some discrepancies between the CFD model results and the

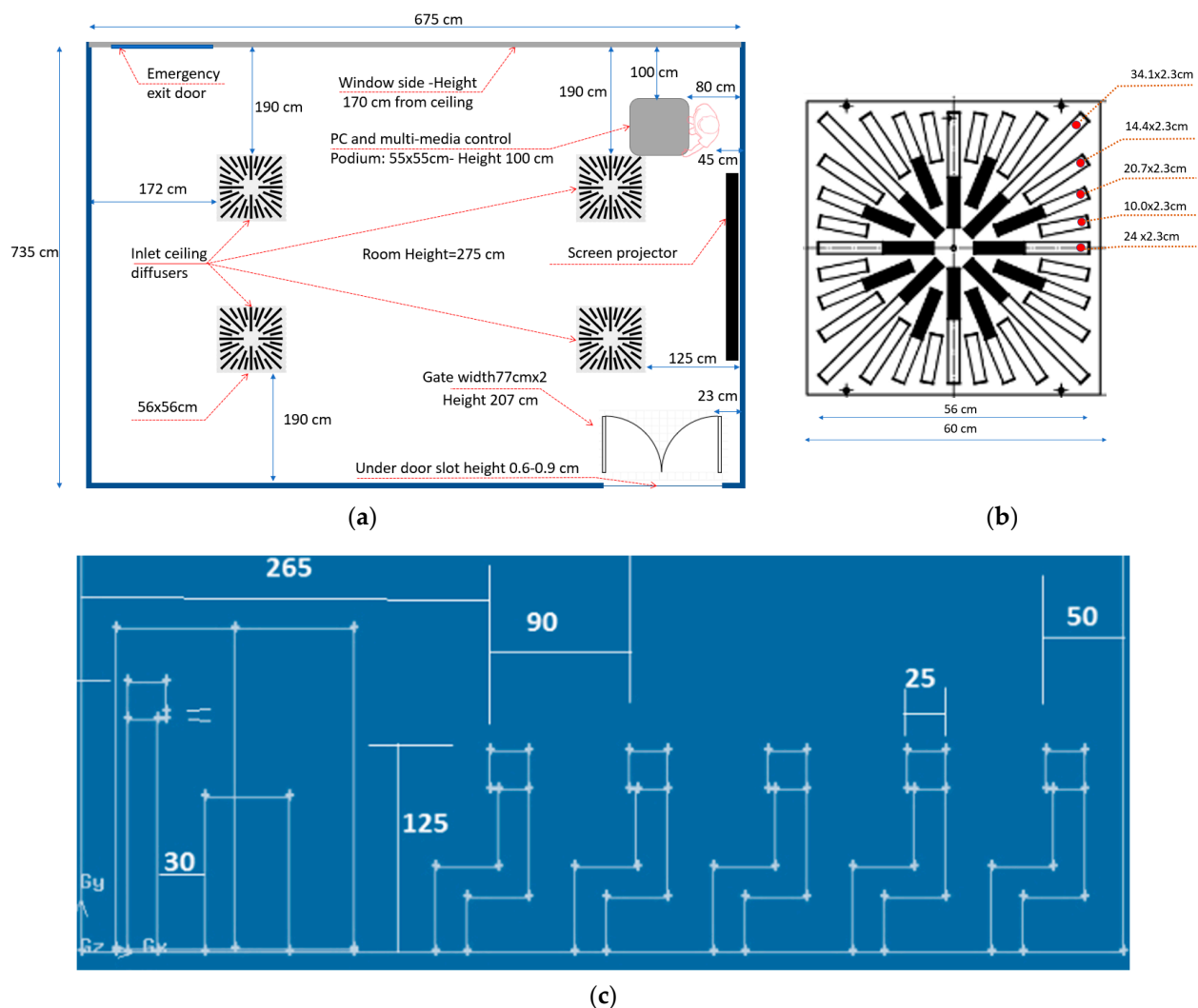
measurements; however, the CFD model generally predicted the spatial variations in the normalized deposition values reasonably well.

### 3.5. Classroom Case Description

#### 3.5.1. Classroom Layout and Facilities

The case study in-hand is for a typical medium-size classroom that can comfortably hold 25 students. The room dimensions were 735 cm in length by 670 cm in width, and 275 cm in height, Figure 5a. The room had double-leaf French doors of width equal to 77 cm per leaf and a height of 207 cm. The height of the underneath door slot varied from 6 to 9 mm. There was an emergency exit door located on the front wall of the entrance door. However, the emergency exit door is kept closed during regular use, and therefore this is not considered in the analysis.

The classroom furniture includes a multi-media control podium of dimensions 55 cm by 55 cm and the podium height of 100 cm from the room floor. It also contains 25 chairs (one chair for each student). Chairs are distributed in five rows, and each row includes five seats. The distance between each row is 90 cm, and the average height of students' faces while being seated is 125 cm, whereas the height of the instructor's face while standing is 175 cm.



**Figure 5.** Main geometric dimensions of the exam room. (a) The layout of a typical classroom. (b) Main dimensions of the inlet ceiling swirl diffuser. (c) Locations of students' seats.

In order to model the instructor and the students in class, a simplified geometry of the typical manikin (that represents the body of the instructor or the students) was adopted as shown in Figure 5c. The use of simplified shape of human body reduces the simulation time strongly. This simplification was applied by several researchers with the aim of reducing the number of nodes and simulation time [17,18,32,35]. Taghinia et al. [47] found that simulating a real manikin improved the velocity profile only by 3–10% compared to cubic manikin. Bonello et al. [48] and Cook et al. [49] concluded that real, complex modelling of the human body has negligible impact on the surrounding climate compared to simplified cuboids.

### 3.5.2. Ventilating System

Four ceiling inlet diffusers centrally ventilate the classroom. Each inlet panel is 56 cm square in shape, and it includes a swirl diffuser with manually adjustable vanes. Figure 5b shows the typical geometric details of the openings in the inlet ceiling diffuser found in the classroom.

To measure the inlet airflow that exits from the swirl diffuser, a cardboard skirt of dimensions 60 cm by 60 cm with an eccentric square notch of dimensions 10 cm × 10 cm was built and used with a hot wire anemometer (model: testo 435-4, resolution: 0.01 m/s and range: 0 to 20 m/s). The measured airflow from the ceiling swirl diffuser ranged from 45 to 50 l/s, and the total inlet airflow from the four diffusers was about 180 to 200 l/s, which is equivalent to an air change rate of about 5 ACH.

### 3.5.3. Development of Scenarios

Five scenarios were developed (R1 to R5) to study the effects of the ventilation rate and entrance door opening on the students' exposure to hazards. Moreover, two additional runs (R6 and R7) were conducted to study the effect of using different particle sizes. Table 2 lists the scenarios that we numerically analyzed. Four ventilation rates were examined. The 100% ventilation case (R3) reflects the classroom's current ventilation rate, of 200 l/s.

**Table 2.** Characteristics of the conducted numerical runs.

Scenario	Size (µm)	Ventilation Rate %				Status of Entrance Door		Comment
		No ventilation	50%	100%	150%	Opened	Closed	
R1	5	✓				✓		emergency case
R2 *	5		✓			✓		Reference case
R3	5			✓		✓		Current case
R4	5				✓	✓		
R5	5			✓			✓	
R6	2.5		✓			✓		
R7	1		✓			✓		

\* Scenario R2 will be considered as the reference scenario for comparison purposes.

According to ASHRAE standards [44], the minimum ventilation rate for a typical lecture room is 3.8 l/s/person, which results in a total ventilation supply of 98 l/s for 25 students in addition to the instructor. This minimum ventilation rate is reflected by scenario R2, and it will be considered the reference case for comparison with other scenarios. Scenario R1 assumes no-ventilation is included in the list to discuss when no ventilation is provided due to sudden power failure during an emergency situation.

### 3.5.4. Development of CFD Model for Lecture Room

#### (a) Model Description

In this study, the commercial package FLUENT-ANSYS was used to simulate the airflow and airborne aerosols for the lecture room shown in Figure 5. In this regard, a 3-D unsteady state airflow model was developed.

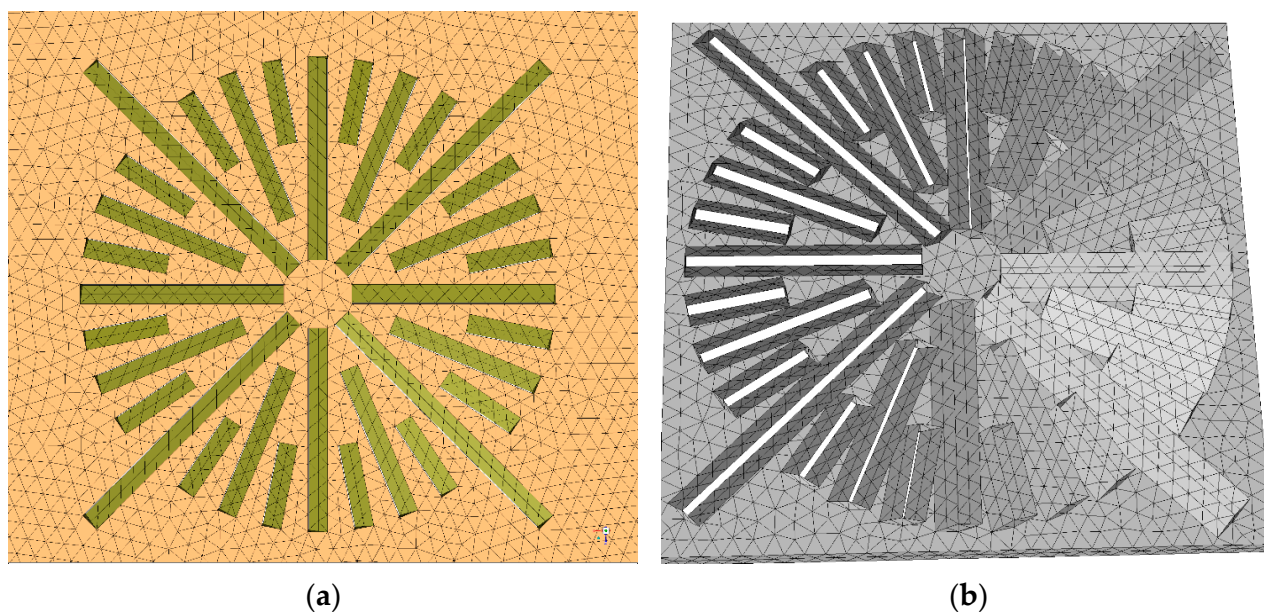
All the conducted scenarios assume isothermal conditions with a constant air temperature of the order of 20 °C. The effect of thermal variation was neglected, to reduce the computational time and focus on the other parameters to be investigated, such as the ventilation rate.

We assumed that the students entered the exam room to take a short-written exam or quiz in all simulations. An instructor who is unaware of being infected is supposed to give the students short verbal instructions related to the exam during the first 3 min while disclosing his/her face mask. We assumed that the oral instructions result in a spread of unified/single aerosol size droplets of 5, 2.5 or 1  $\mu\text{m}$  that will initially spread out with an initial air velocity of 4.4 m/s and were ejected from the mouth of the infected instructor. For model simplicity, the instructor's mouth was idealized to be rectangular in shape with 2 cm in width and 0.5 cm in height. Some assumptions were previously adopted by other researchers [27,50].

#### (b) Model Discretization and CGI Analysis

Figure 6 shows the mesh discretization of the swirl ceiling diffuser. The first layer of grid points on all the students is carefully taken in the near-wall mesh zone to keep the  $y^+$  value within an acceptable limit (maximum below 10).

For the whole class, a mesh of 1,600,000 cells was constructed.



**Figure 6.** Mesh discretization of the swirl ceiling diffuser. (a) 2D view. (b) 3D view.

Numerical models generally use discrete methods to convert the governing partial differential equations into algebraic equations. All discrete methods introduce discretization errors that might be significant enough to ruin the accuracy of the produced numerical solutions. Therefore, the computational fluid dynamics community and many other CFD-reputable journals require discretization error estimation as prerequisites for publishing any CFD paper.

The grid convergence index (GCI) is a new discretization error estimation technique. The GCI is calculated to answer whether the adopted mesh in the simulation is refined

enough or not [51]. A minimum of two mesh solutions is required, but three is recommended to calculate the GCI.

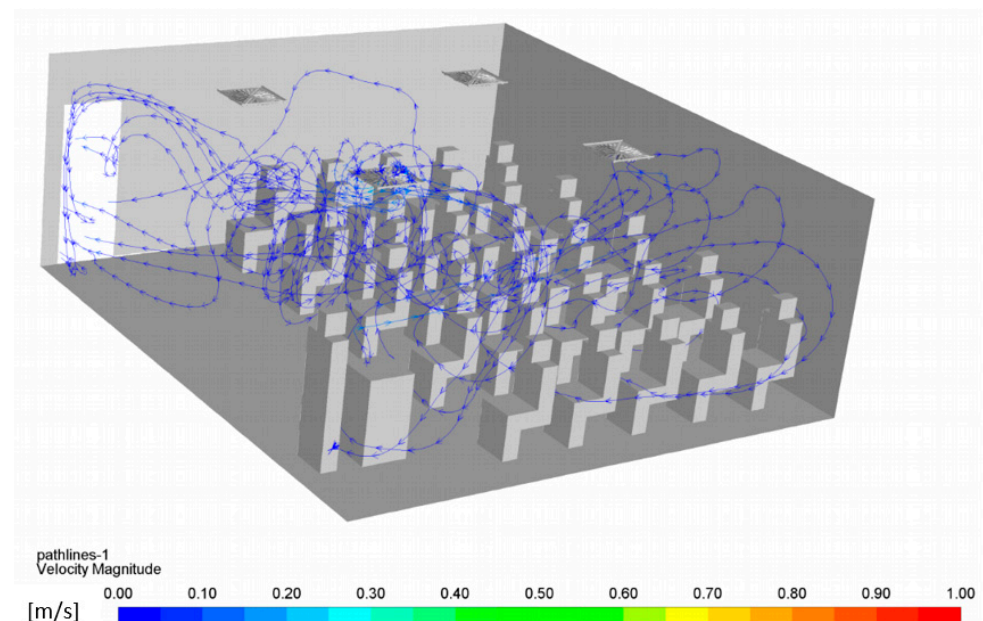
In the current study, four sizes of meshes depending on the side length of the cells on the students' faces and bodies were tested to calculate the GCI, which were: 10, 8, 6, and 5 cm, where the accuracy of the output results "the sum of the DPM concentrations on the bodies and the faces of all the students at 90 and 150 s" is checked. GCI was calculated using the method described in research [51] for the case of no ventilation. GCI fine was less than 1% for mesh 5 cm and less than 1.8% for the mesh of 6 cm. The approximate error was less than 2% for the mesh 5 cm and less than 3.5% for the mesh 6 cm. In this regard, the mesh 5 cm of tetrahedral cells was selected for all further analyses.

#### 4. Results and Discussion

##### 4.1. Induced Air Field by Swirl Ceiling Diffuser

Figure 7 shows the airflow pathlines from the ceiling diffuser for the 50% ventilation rate case. The figure shows only those pathlines emerging from the ceiling diffuser located just above the instructor. The pathlines from the other three diffusers were hidden, seeking figure clarity.

The figure shows the complicated airflow pattern that results from the swirl ceiling diffusers. It also shows that the typical average air velocity was less than 0.2 m/s.

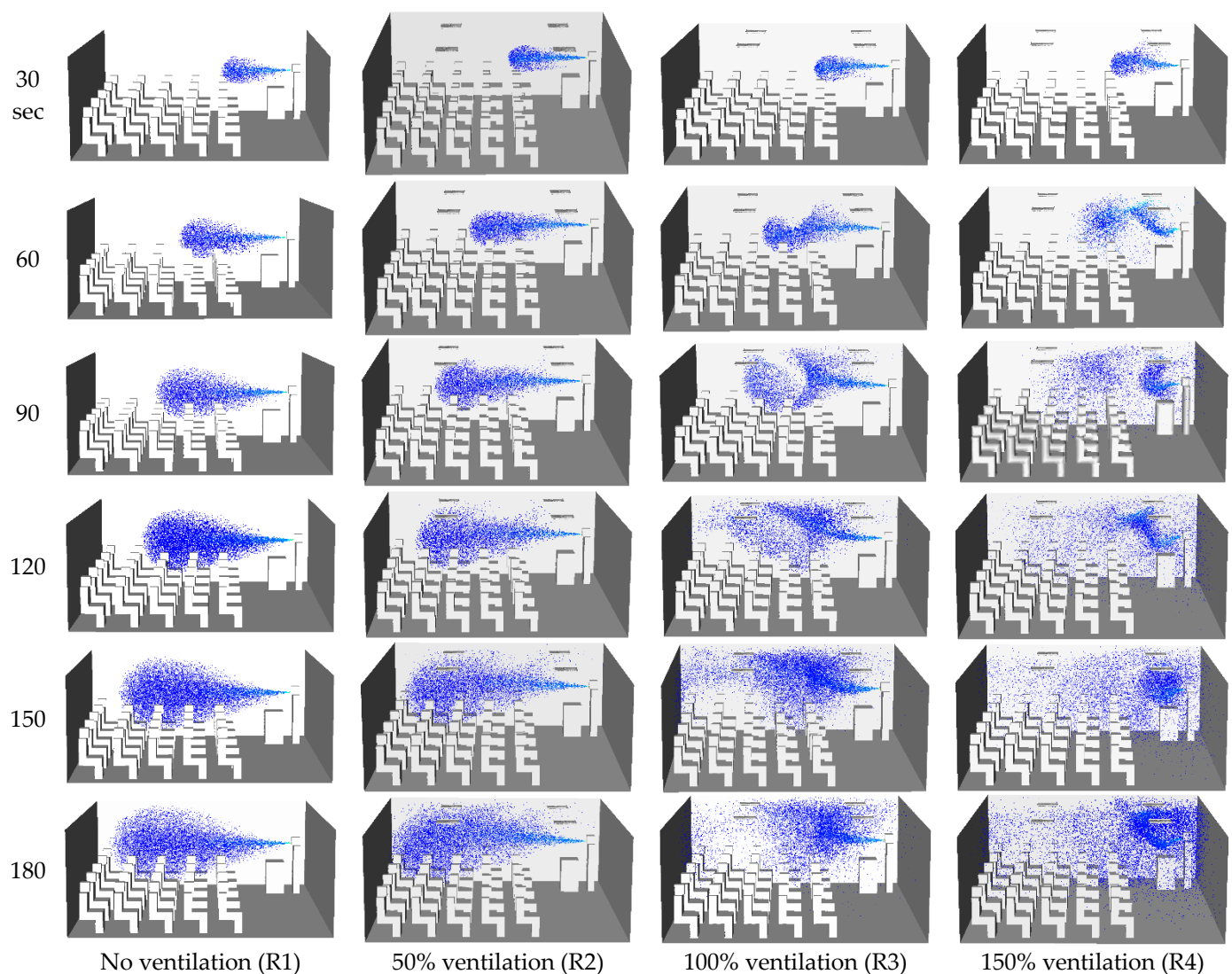


**Figure 7.** Pathlines emerging from the ceiling diffuser above the instructor (Run R2-Time 180 s).

##### 4.2. Aerosol Droplets Tracking

Figure 8 shows the aerosol droplet time tracking with the time course for the different ventilation rates.



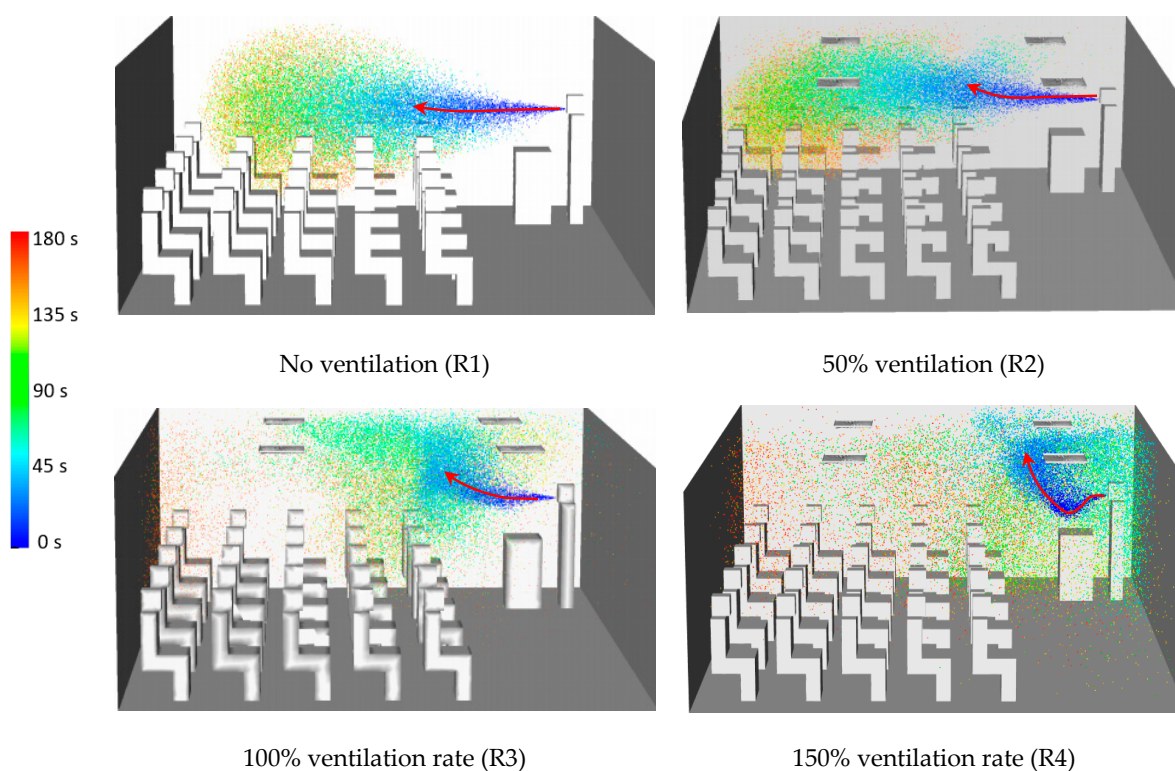


**Figure 8.** Time tracking of aerosol droplets with the time course and different ventilation rates (scenarios R1–R4).

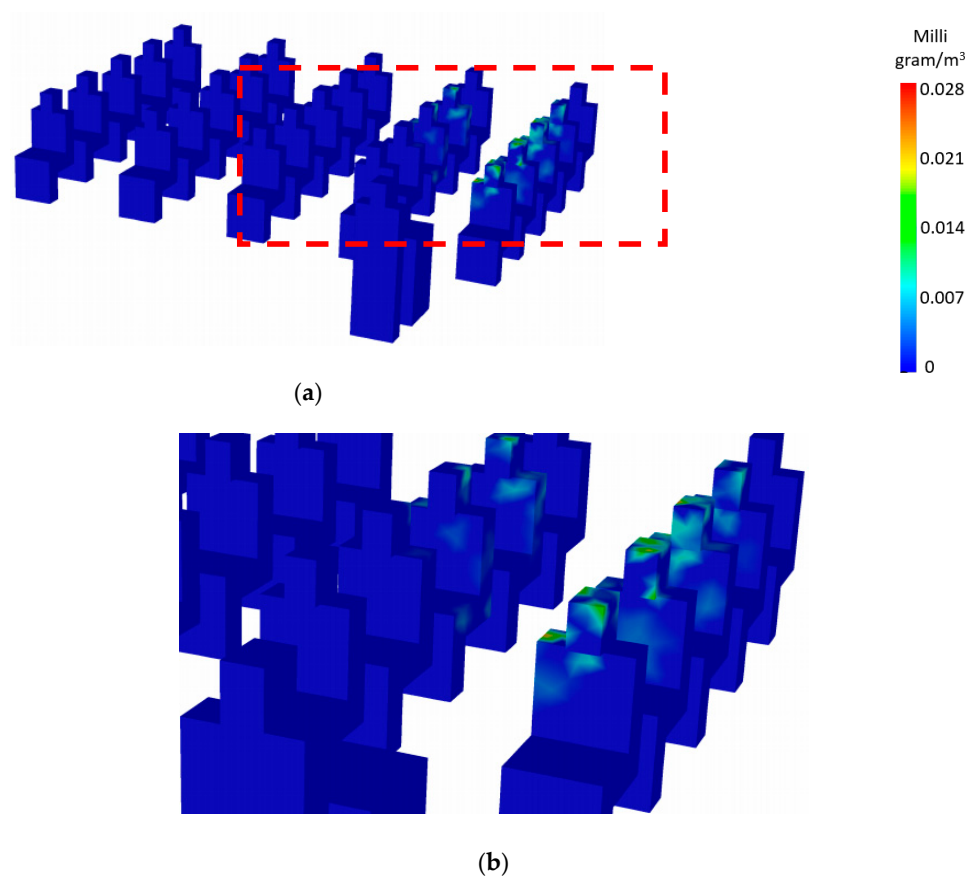
Figure 9 presents the time residence of the dispersed aerosol droplets after 180 s from the instructor's start for the different ventilation scenarios. It is noted that the velocity field for scenarios R3 and R4 is remarkably different from scenarios R1 and R2.

As the ventilation rate increases, the aerosol droplets' upward entrainment (induction) due to the generated swirl air flow by the swirl diffuser becomes more apparent and more robust. The entrainment process that is caused by the swirl diffuser was previously discussed by other researchers [17]. This entrainment process will help in reducing the risk of student exposure to harmful aerosol droplets.

Figure 10 shows an example of the students' exposed areas to the traces of aerosol droplets colored by the normalized concentration under no ventilation conditions. Some students were exposed to aerosol droplets, whereas others were not. Exposed students differed in the body's exposed location and whether it was the face, the body, or both, as shown in Figure 10.



**Figure 9.** Time residence for dispersed aerosol droplets after 180 s.

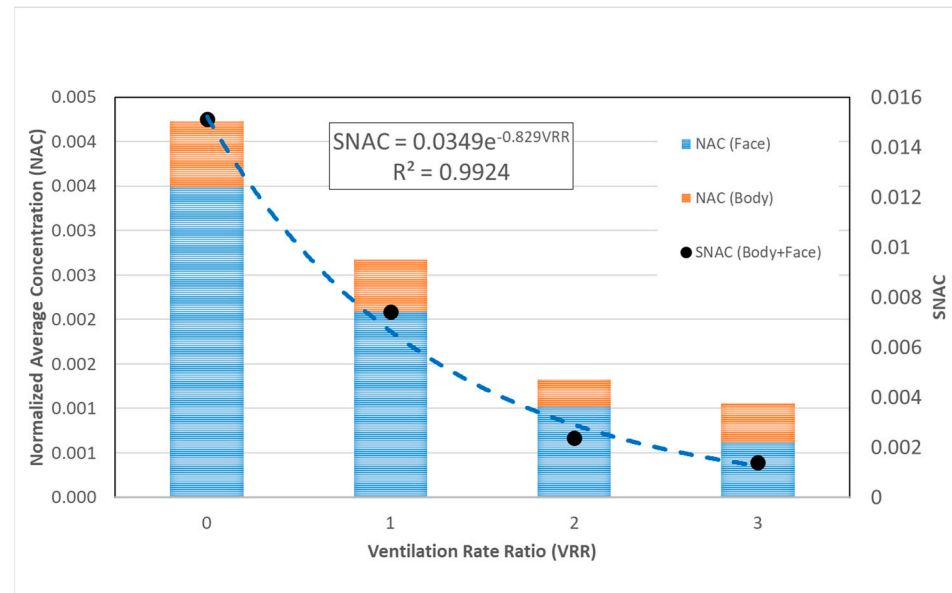


**Figure 10.** Students exposure areas after 180 s (no ventilation case-R1). (a) Full class. (b) Zoom-in of the dashed window shown in Figure 10a.

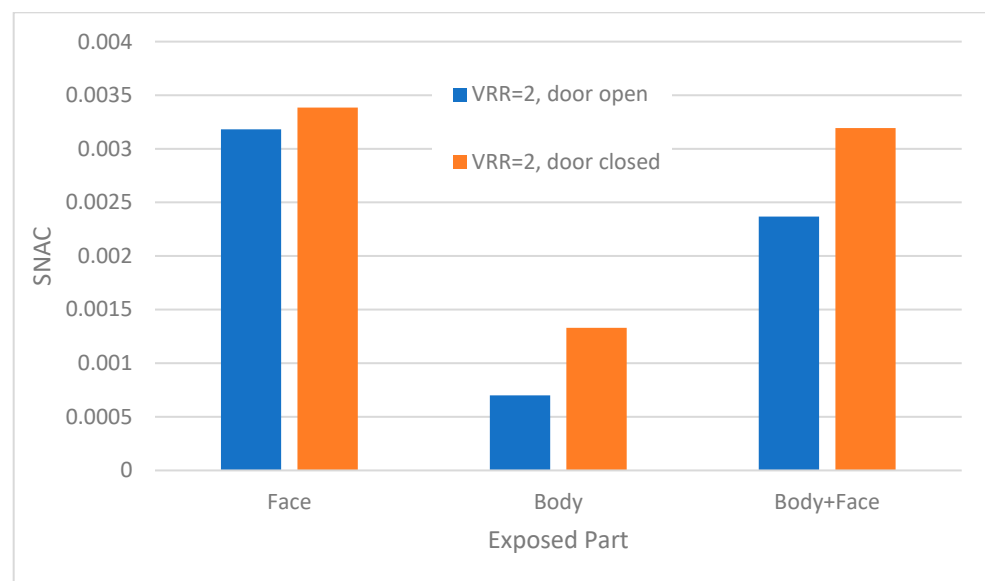


#### 4.3. Discussion

Figure 11a shows a double y plot for *NAC* and *SNAC* with the (*VRR*) in the *x*-axis. The figure shows the normalized average concentration (*NAC*) of aerosol droplets received by students' faces only and body only for the different ventilation rate cases.



(a)



(b)

**Figure 11.** Variation of (*NAC*) and (*SNAC*) with the ventilation rate and gate status. (a) Variation of (*NAC*) and (*SNAC*) with the ventilation rate. (b) Variation of the total (*SNAC*) (body+face) with door status (open/closed).

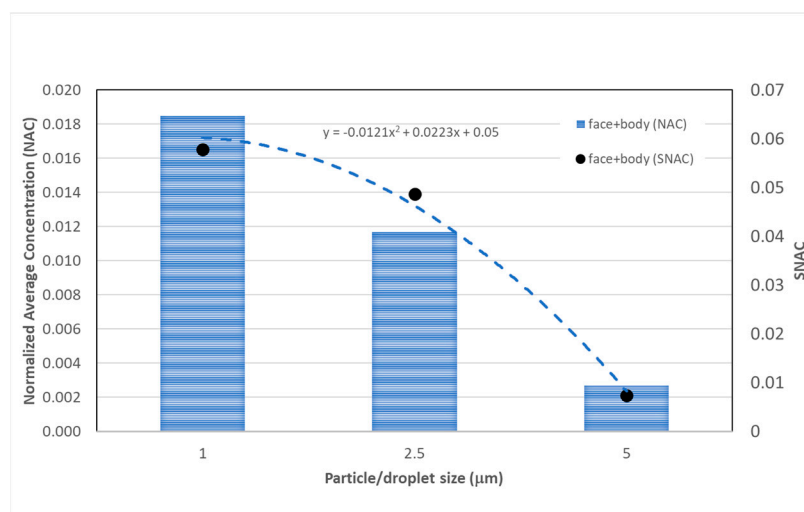
It is clear that, as ventilation increased, the average *NAC* received by students generally decreased. On the other hand, as the ventilation rate increased, the number of students exposed to the aerosol droplets increased as well. This finding is based on the model results; however, it is not depicted in Figure 11.

The *SNAC* was calculated and is also presented in Figure 11a. We found that, as the ventilation rate increased, *SNAC* decreased exponentially, and it tended to asymptotically reach zero *SNAC* at the theoretically infinite value of the ventilation rate. The results

indicate that increasing the ventilation rate ratio ( $VRR > 3$ ) is expected to have a marginal effect on reducing the *SNAC*.

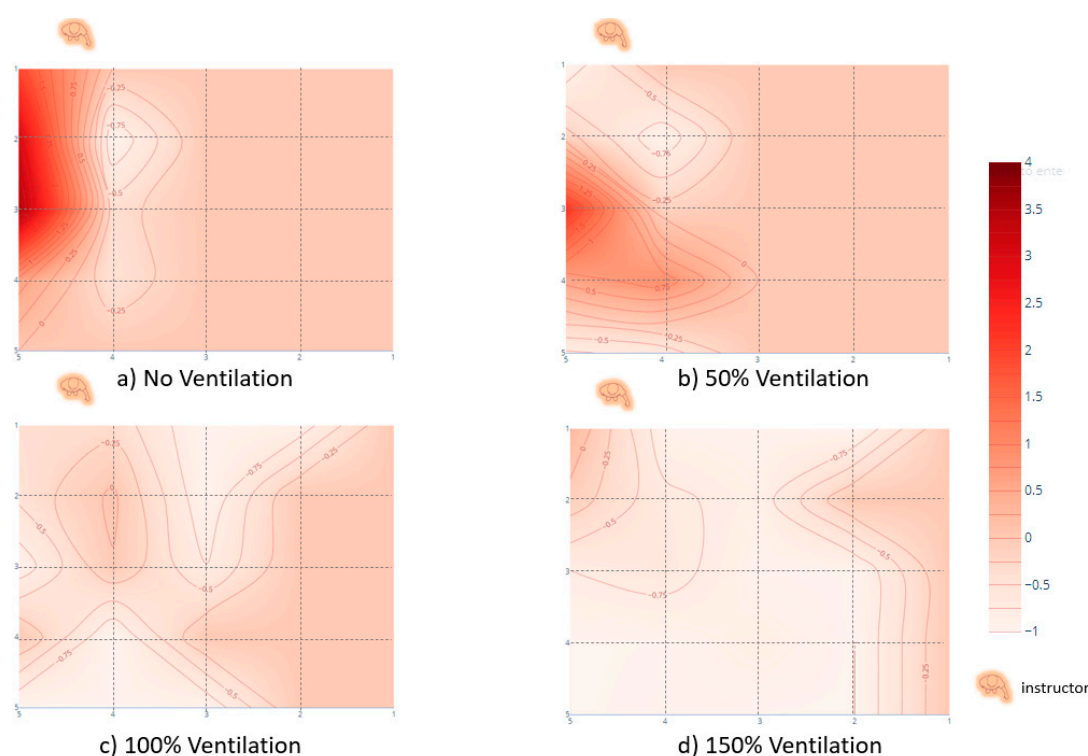
Figure 11b presents a comparison between the open and the closed-door cases, assuming a ventilation rate double the minimum value ( $VRR = 2$ ). The figure shows how *SNAC* varies for the different exposed parts of the body. It can be concluded that opening the classroom door helped reduce the *SNAC* and also reduce the risk of exposure to harmful aerosols by about 26 percent.

Figure 12 shows a double y-plot for the variations of *NAC* and *SNAC* with the particle/droplet size in the *x*-axis. Both *NAC* and *SNAC* decreased as droplet size increased, and *SNAC*'s trendline appears to be quadratic with the droplet size. Based on the *SNAC* values for the whole body, the 5  $\mu\text{m}$  size droplets appear to be less hazardous as they resulted in an 87% reduction in exposure when compared with the small 1  $\mu\text{m}$  size droplets.



**Figure 12.** Variation of (*NAC*) and (*SNAC*) with particle/droplet size.

Figure 13 shows how the exceedance in exposure ratio (*EER*) index varied with the different ventilation rates after 180 s. The figure indicates that the highest exposure to droplets location was not in the front row but in the third row (for the scenario cases: R1 and R2). It can also be noted that the maximum scored values of *EER* were 3.5 and 2.15 for the no-ventilation (Figure 13a) and 50% ventilation (Figure 13b) cases, respectively. This means that, for the case of  $VRR = 0$  (Figure 13a), the worst-case student had a risk of exposure to droplets that exceeded the reference average by 3.5 times, whereas the worst-case student for the case of  $VRR = 1$  (Figure 13b) (run R2), had a corresponding risk that exceeded the reference average by 2.15 times. When the ventilation rate reached  $VRR \geq 2$  (Figure 13c,d), the location points of high exposure were not distinguishable, and almost all students had a negative *EER*, which means the majority were exposed to risk less than the reference average.



**Figure 13.** The exceedance in exposure ratio (*EER*) after 180 s.

## 5. Conclusions

Our study numerically simulated the transmission of exhaled droplets in a typical 25-seat exam room. The study numerically tracked the ejected droplets from the instructor's mouth while giving 3-min exam guidelines. The model was built using ANSYS-Fluent-Workbench where the RNG k-epsilon turbulence model and the DPM module were chosen for the droplet transmission simulation. The exhaled droplets (of 5, 2.5, or 1  $\mu\text{m}$  in size) were initially spread out from the instructor's mouth with an initial air velocity of 4.4 m/s.

Two key parameters were considered for investigations to study their effects on the students' exposure to the harm droplets: the ventilation rate and the room's door status. Two dimensionless indices were introduced to facilitate the study assessment. The first was a number called the specific normalized average concentration (*SNAC*), which gives a lump-sum estimate for the average students' exposure for the exam room. The second dimensionless number was the exceedance in exposure ratio (*EER*), which provides insight into the spatial variability of the students' exposure to help identify the locations of high-risk spots within the room. The main findings of the study are as follows:

- The contour mapping of the *EER* within the exam room, after 180 s, revealed that the students sitting in front of the instructor, especially in the second and third rows, were more susceptible to infection if the ventilation rate does not exceed the minimum recommended ventilation rate by ASHRAE. On the other hand, and as expected, students sitting beside the wall far from the instructor were not affected.
- The dispersion of the exhaled droplets inside the room was improved significantly by increasing the ventilation rate.
- Increasing the ventilation rate increased the number of affected students. However, it decreased the concentrations to which they were significantly exposed.
- Increasing the ventilation rate decreased the specific normalized average concentration (*SNAC*) exponentially. Nevertheless, increasing the ventilation rate ratios above three is expected to have a non-tangible effect on reducing the harmful droplets' exposure. However, more analysis may be required in this regard.

- Keeping the room's main door open at a ventilation rate ratio of 2 reduced the risk of exposure (to the harmful aerosol droplets) by 26%.
- Based on the SNAC values for the whole body, the large size (5  $\mu\text{m}$ ) droplets appeared to be less hazardous as they resulted in an 87% reduction in exposure when compared with the small 1  $\mu\text{m}$  size droplets.
- Some students might be subjected to a high risk of exposure (to harmful droplets) that exceeded 3.5 times the average reference risk in the case of no ventilation.

In this paper, the normal speaking process of the polluting instructor was modeled. However, coughing or sneezing processes with high initial velocities may result in different transmission behavior of exhaled droplets. Having a student as a polluting source may need to be further studied when sitting at various locations. These points and other scenarios are essential to further investigate to enhance our understanding of droplet transmission in education facilities.

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