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Abstract: In recent studies, self-supervised learning methods have been explored for monocular depth estimation. They minimize the reconstruction loss of images instead of depth information as a supervised signal. However, existing methods usually assume that the corresponding points in different views should have the same color, which leads to unreliable unsupervised signals and ultimately damages the reconstruction loss during the training. Meanwhile, in the low texture region, it is unable to predict the disparity value of pixels correctly because of the small number of extracted features. To solve the above issues, we propose a network—PDANet—that integrates perceptual consistency and data augmentation consistency, which are more reliable unsupervised signals, into a regular unsupervised depth estimation model. Specifically, we apply a reliable data augmentation mechanism to minimize the loss of the disparity map generated by the original image and the augmented image, respectively, which will enhance the robustness of the image in the prediction of color fluctuation. At the same time, we aggregate the features of different layers extracted by a pretrained VGG16 network to explore the higher-level perceptual differences between the input image and the generated one. Ablation studies demonstrate the effectiveness of each components, and PDANet shows high-quality depth estimation results on the KITTI benchmark, which optimizes the state-of-the-art method from 0.114 to 0.084, measured by absolute relative error for depth estimation.

Keywords: self-supervised; depth estimation; low texture; perceptual consistency; data augmentation

## 1. Introduction

Estimating depth from a single image is a significant computer vision task in enabling computers to comprehend real scenes. It has been widely applied in AR (augmented reality) [1], robotics navigation [2], and self-driving cars [3] for generating high-quality depth from color instead of using expensive LiDAR sensors. Although the monocular camera is cheap and light weight, the depth estimation task is still challenging for the traditional SfM/SLAM algorithm [4].

In the deep learning method of monocular depth estimation, it can be coarsely classified into two categories, supervised and self-supervised learning. For the supervised learning task, the original view to be estimated and its ground-truth depth data are both the input of the neural network [5]. The depth map is obtained by expensive LiDAR sensors [6], which is sparse compared with the original image on the pixel level. In the case of poor ambient light, the capability of LiDAR will be greatly affected. Therefore, the generalization ability of the prediction network trained by the ground truth depth map obtained by the LiDAR sensor is insufficient. When the trained model is applied to a new camera or to predict the depth of a new scene, the reliability of the prediction will be greatly reduced. Meanwhile, obtaining significant ground-truth depth data for the dataset is challenging. However, unsupervised learning methods utilize geometric constraints



Citation: Gao, H.; Liu, X.; Qu, M.; Huang, S. PDANet: Self-Supervised Monocular Depth Estimation Using Perceptual and Data Augmentation Consistency. *Appl. Sci.* **2021**, *11*, 5383. https://doi.org/10.3390/ app11125383

Academic Editor: Luís L. Ferrás

Received: 16 May 2021 Accepted: 8 June 2021 Published: 10 June 2021

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**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of stereo images as the only source of supervision, i.e., unsupervised learning takes the image obtained by a monocular camera as input and uses view synthesis to supervise the training network. This method is simpler than supervised learning and has a stronger generalization ability between different cameras and different scenes.

However, self-supervised methods still do not perform as well as supervised methods on the standard benchmarks. The reason lies in the weak supervision of the photometric consistency, which refers to the pixel-level difference between the image from a perspective and the reconstructed image generated by another perspective. Most of the previous unsupervised monocular depth estimation methods rely on the color constancy hypothesis, which assumes that if the original image and the reconstructed image are identical at corresponding pixels, then they should have the same RGB values at each other's positions. However, in reality, as Figure 1 shows, many factors will cause color fluctuation, which may affect the color distribution. For example, the light intensity, noise and the reflection of light, etc., are different in two different views. Therefore, the unsupervised loss we use is easily affected by these common factors that interfere with the color. When the reconstructed image is generated from another image disturbed by the above factors, the losses incurred in the undisturbed areas are inaccurate, so that causing unreliable results when generating a depth map. The problem caused by color constancy hypothesis is referred to as the color fluctuation problem.



# **Real-world Situation:** $I_{ij}^l = I_{ij+d_{ii}^r}^r \nleftrightarrow RGB(I_{ij}^l) = RGB(I_{ij+d_{ii}^r}^r)$

**Figure 1.** Illustration of the *color constancy hypothesis* problem in previous self-supervised depth estimation task, where  $I^l$  and  $I^r$  represent the left and right view,  $d^r$  represents the disparity map of the right view, ij represents the coordinates on an image, and RGB represents the RGB value on each pixel.

At the same time, the low texture problem also affects the depth estimation. In the low texture region of the two images, if the feature information is ambiguous, then the disparity map generated by the model may not be accurate. For example, when calculating the photometric loss in those regions, the loss values could be very small for the model to represent, but in fact, the two areas may not be the same.

To solve the above problems, we propose a novel self-supervised depth estimation network—PDANet, which contains two extra unsupervised signals: (1) the perceptual consistency uses the loss in the high-order semantics level rather than the pixel level, which brings abstract matching clues for the model to match the low texture and color fluctuation region. (2) The data augmentation consistency effectively enhances the robustness of the image to color fluctuation caused by illumination and other factors instead of applying color constancy hypothesis.

For the perceptual consistency, we use the outputs of several layers of the pre-trained VGG16 model to extract the abstract semantic information of the image and utilize the pyramid feature aggregation method to aggregate high-order and low-order features. Model training is supervised by minimizing the perceptual consistency loss between the generated image and the original image.

For the data augmentation consistency, we compare the unprocessed areas of two disparity maps, which are obtained by feeding the original image and the image after data augmentation on some areas into the network.

Table 1 shows the comparison between the above approaches and PDANet in terms of data acquisition, generalization ability, limitations, and prediction effect.

Table 1. Comparison of different approaches.

	Supervised	Unsupervised	PDANet
Ground Truth	Needed	Not Needed	Not Needed
Acquisition of Depth Map	Hard	-	-
Generalization ability	Low	-	-
Color Constancy Hypothesis Problems	No	Yes	No
low-texture regions Problems	No	Yes	No
Quality of Prediction	High	Low	Relatively High

An example result from our algorithm is illustrated in Figure 2. Specifically, our contributions are as follows:

- Based on the baseline monocular depth estimation network, we integrate data augmentation consistency and perceptual consistency as supervised signals to overcome the color constancy hypothesis and image gradient disappearance in low-texture regions for previous work.
- 2. We propose a new unsupervised loss based on perceptual consistency to excavate the deep semantic similarity before and after image reconstruction so that the model still obtains genuine image differences in low texture and color fluctuation regions.
- 3. We also innovatively propose a heavy data augmentation consistency to solve the color fluctuation problem and greatly enhance the generalization of the model.



**Figure 2.** Examples of PDANet's results on KITTI2015 [6]. From left to right: input images, ground truth disparity maps, and results of PDANet.

### 2. Related Work

# 2.1. Supervised Depth Estimation

Since the ground truth is used as the supervising signal for the network in the supervised approaches, the deep network directly learns the mapping between the RGB map and the depth map. Eigen et al. [5] first use CNNs for the monocular depth estimation, the architecture consists of two components: coarse-scale networks to extract global information, and fine-scale networks to refine local information. Based on [5], they propose a unified multiscale network for three tasks: depth estimation, semantic segmentation, and normal vector estimation [7]. Laina et al. [8] propose a fully convolutional network, using residual networks to extract global information and designing residual up-sampling blocks to improve the quality of depth estimations.

Many methods have converted depth estimation to a classification problem. Cao et al. [9] discretize continuous depth values into several bins and use a CNN to classify them. Fu et al. [10] propose a spacing-increasing discretization strategy to discretize the depth, reconstructing deep network learning as an ordered regression problem to prevent over-strengthened loss.

Supervised methods require annotated datasets, while the acquisition of these datasets are costly. To alleviate the burden of the annotation task, weakly supervised methods in the form of supervised appearance matching terms [11], parse ordinal depths [12], and

known object sizes [13] have been proposed. Since research on these tasks have reached a bottleneck, self-supervised monocular depth estimation has been explored in recent studies.

# 2.2. Self-Supervised Depth Estimation

The self-supervised approach is under photometric and geometric constraints instead of costly depth values. Many methods are developed in a supervised manner, either using stereo pairs or exploiting monocular sequences. Here, we concentrate on research related to self-supervised depth estimation based on stereo pairs.

Garg et al. [14] first present self-supervised depth estimation by converting the depth estimation problem into a left-right view stereo matching problem with photometric loss. Godard et al. [15] extend reconstruction constraints by disparity smoothness loss and left-right depth consistency loss. Tosi et al. [16] introduce a traditional depth estimation method, which generates proxy labels as supervised signals in the form of reverse Huber loss, image reconstruction loss, etc. Wong et al. [17] propose a new objective function to illuminate the bilateral cyclic relationship between the left and right disparity and introduce an adaptive regularization scheme to deal with the co-visible and occluded regions in stereo pairs.

To optimize the quality of depth estimation, various approaches have been developed, such as generating virtual three-eye views from stereo pairs [18], utilizing the adversarial learning framework [19,20], and designing a context-aware network [21].

### 3. Method

Here, we describe our PDANet for monocular depth estimation in detail. The PDANet is designed to require only a left view  $I^l$  as input and output a disparity map  $d^l$ , which is used to estimate depth on each pixel from the input image. As shown in Figure 3, the PDANet consists of three components: data augmentation consistency, photometric consistency, and perceptual consistency. It adopts the monocular depth estimation (Monodepth) model proposed by Godard et al. [13] as the baseline. The architecture is designed to enforce the depth estimation and robustness on low texture and color fluctuation areas. Moreover, it preserves spatial structures as much as possible.



**Figure 3.** Illustration of the overall architecture of PDANet, which consists of three components: data augmentation consistency, photometric consistency, and perceptual consistency.

### 3.1. Backbone of Depth Estimation

Most of the existing training methods are supervised learning methods. By comparing the real depth map with the predicted depth map, a loss is generated to reverse the prediction network and converge the model. However, due to different scenarios, it is challenging to obtain quantitative ground-truth depth data. However, Godard et al. [15] propose the Monodepth, which is a CNN-based model to calculate the disparity value of each pixel, a depth *D* is calculated by predicted disparity map *d*, as shown in Equation (1).

$$D = Tf/d,\tag{1}$$

where *f* represents focal length of binocular cameras, and *T* represents the baseline distance.

The model applies the reconstruction loss, the disparity smoothness loss, and the leftright disparity loss as unsupervised signals to replace the ground-truth depth data. This model produces state-of-the-art results and even outperforms some supervised methods. Hence, we used Monodepth [15] as the basis of our depth estimation work. Next, we introduce the above-mentioned losses of photometric consistency.

# 3.1.1. Reconstruction Loss

Similar to [15], we input two images at the training time; the purpose is to generate the disparity map of the left image and calculate its corresponding depth map, the right view is the same. As shown in Equation (2),  $\mathcal{L}_{\text{recons}}$  is the loss before and after image reconstruction,  $I^l$  is the original input image,  $\tilde{I}^l$  is the image generated by the network,  $\alpha$  is set to 0.15, which is used to balance two weights. structural similarity (SSIM) and *L1* are combined to generate  $\mathcal{L}_{\text{recons}}$  to ensure that the reconstructed image is consistent with the original input image in the spatial domain.

$$\mathcal{L}_{\text{recons}} = \frac{1}{N} \sum_{i,j} \alpha \frac{1 - \text{SSIM}\left(I_{ij}^l, \tilde{I}_{ij}^l\right)}{2} + (1 - \alpha) \left\|I_{ij}^l - \tilde{I}_{ij}^l\right\|_1.$$
(2)

# 3.1.2. Disparity Smoothness Loss

As discontinuities often occur at image gradients [15],  $\mathcal{L}_{smooth}$  is a smooth term on the disparity maps in Equation (3), which ensures the disparity maps are locally smooth. Here  $\nabla$  is a differential operator that computes the gradients of the disparity map and the input image. *d* represents the disparity map generated from the left view, and *i*, *j* are used to locate the coordinate of an image.

$$\mathcal{L}_{\text{smooth}} = \frac{1}{N} \sum_{i,j} \left| \nabla^1 \left( d_{ij}^l \right) \right| e^{-\nabla^1 \left( l_{ij}^l \right)}.$$
(3)

#### 3.1.3. Left–Right (LR) Consistency Loss

To generate more accurate disparity maps, our network predicts both left and right disparity maps, while only receiving input as the left view to the CNNs part. To ensure the coherence, *L1* left–right disparity penalty is applied to minimize the different between the left disparity map and the projected map. Equation (4) shows the LR consistency where  $d^l$  or  $d^r$  represents the left or right disparity map generated from the left view.

$$\mathcal{L}_{\rm lr} = \frac{1}{N} \sum_{i,j} \left\| d^l_{ij} - d^r_{ij+d^l_{ij}} \right\|_1.$$
(4)

## 3.2. Perceptual Consistency

In previous self-supervised depth estimation networks such as Monodepth, the reconstruction work is supervised by minimizing the difference between the input image and its reconstruction image on a pixel level. However, in the low texture and color fluctuation areas, the ignorance of high-level semantic information affects the photometric consistency.

For the low-texture areas, we present the reasons in detail by calculating the gradient through the reconstruction loss [22]. Assuming that  $\mathcal{L}$  is the direct loss of the original image and the generated image at the pixel level, the gradient of  $\mathcal{L}$  on  $d_{ii}$  is calculated as follows:

$$\frac{\partial \mathcal{L}}{\partial d_{ij}^{l}} = \frac{\partial \ell \left( I_{ij}^{l}, I_{ij+d_{ij}^{l}}^{r} \right)}{\partial I_{ij+d_{ij}^{l}}^{r}} \cdot \frac{\partial I_{ij+d_{ij}^{l}}^{r}}{\partial \left( ij+d_{ij}^{l} \right)} \cdot \frac{\partial \left( ij+d_{ij}^{l} \right)}{\partial d_{ij}^{l}}.$$
(5)

The gradient of reconstruction loss mainly depends on the  $\frac{\partial I_{ij+d_{ij}}^r}{\partial (ij+d_{ij})}$ . In the low texture

region, the gradient is close to zero so that the value of the entire equation closes to zero, resulting in the disappearance of the image gradient. However, high-level semantic features such as contours in the low-texture regions enhance the feature representation, greatly increase the image gradient, and improve the prediction capability of the model.

As for the color fluctuation regions, it is unreliable to use RGB values to evaluate the image reconstruction effect; however, the semantic features such as shapes and sizes of the objects in this region will not be affected by external factors; therefore, using high-level semantic features to evaluate the effect of image reconstruction will produce more reliable results.

Therefore, the perceptual loss is utilized to solve the above problems by ensuring multi-scale feature consistency. In this section, we describe our approaches, including feature extraction, feature aggregation, and computation of perceptual loss.

#### 3.2.1. Feature Extraction

The perceptual loss aims at calculating multi-scale image losses at the semantic level. The pre-trained VGG16 is capable of feature extraction, e.g., some CNN layers of VGG16 are exploited to evaluate semantic differences between images in the field of style transformation and single-image super-resolution issue [23], etc. To evaluate the feature-wise differences in the reconstruction work, we apply a pre-trained VGG16 to extract feature representations. The backpropagation is not necessary for the feature extraction to work.

As shown in Figure 4, the output of VGG16 is a feature pyramid, which consists of four scale features obtained by convolution layer 2, 4, 7, and 9 of VGG16. Each layer is followed by an activation function ReLU.  $F_l$  represents the feature maps extracted at level l ( $l \in (1, 2, 3, 4)$  for feature pyramid).



**Figure 4.** Visualization of a feature fusion sample result and a brief illustration of feature aggregation. For each level, each layer of the original feature pyramid is resized to specific size and the number of channels and fused with specific weights.

# 3.2.2. Feature Aggregation

Multi-scale feature maps generated by VGG16 are used to excavate perceptual differences. Meanwhile, to refine our loss functions, we draw inspiration from recent works that aggregate features [24,25] to weave context information from multiple scales. At each new level, the aggregation block is constructed to fuse feature maps at all the levels in the pyramid. In Figure 4, the three steps of feature aggregation are exhibited: feature resizing,  $1 \times 1$  filter, and feature aggregation. Here, the 1 × 1 convolution filter is utilized to modify the number of channels of  $F_i$  to that of  $F_l$ . For level l, we resize the feature maps  $F_i$  at the other level i ( $i \neq l$ ) to the same size as that of  $F_1$ . Feature maps at four levels in the feature pyramids have different shapes, so we apply the up-sampling and down-sampling strategies to modify the shape at each level, e.g., at level 3,  $F_1$  and  $F_2$  need to be upsampled by transposed convolution and  $F_4$  needs to be downsampled by a 3 × 3 convolution layer with a stride of 2.

To achieve better fusion for feature maps of the different receptive fields, we assign different weights to create stronger representative feature maps instead of using the sum of pixel wise.

$$F_{agg}^{l} = \alpha_1 \cdot F_{1 \to l} + \alpha_2 \cdot F_{2 \to l} + \alpha_3 \cdot F_{3 \to l} + \alpha_4 \cdot F_{4 \to l}.$$
(6)

The adjustment in weight parameters represents the contribution of feature fusion. Here, set  $\alpha_i = 0.7(i = l)$  and  $\alpha_i = 0.1(i \neq l)$ , for  $F_{agg}^3$ ,  $F_1$ ,  $F_2$  and  $F_4$  are suppressed, and  $F_3$  is enhanced by feature aggregation.

## 3.2.3. Perceptual Loss

At last, the multi-scale feature maps  $F_{agg}$  are generated by the VGG16 and the aggregation block, which contain contextual information from low-level to high-level representation, so we propose the perceptual loss based on  $F_{agg}$  to integrate self-supervision constraint to depth estimations.

Finally, the perceptual loss is measured by calculating *L*1 loss between  $F_{agg}^l$  generated by the input left image *I* and  $\tilde{F}_{agg}^l$  generated by the reconstruction.

$$\mathcal{L}_{per} = \frac{1}{N} \sum_{i,j} \sum_{l=1}^{4} \left\| F_{agg}^l, \tilde{F}_{agg}^l \right\|_1.$$
(7)

### 3.3. Data Augmentation Consistency

Some works [26,27] in contrastive learning show the benefits of data augmentation in self-supervised learning. The purpose is that data augmentation creates challenging samples, which interfere with the reliability of unsupervised learning losses and provide robustness towards different inputs. However, data augmentation is rarely used in unsupervised methods because it brings natural color interference to the original image. Therefore, we conduct the unsupervised learning by comparing the original data with the augmented sample output, rather than optimizing the original objective of view synthesis.

$$\mathcal{L}_{\text{aug}} = \frac{1}{\|M_{\theta}\|_{1}} \sum \|\left(d - \bar{d}_{\theta}\right) \odot M_{\theta}\|_{2}.$$
(8)

Assuming that a random vector  $\theta$  is used to parameterize any data augmentation operation to the input image I, so an image  $I_{\theta}$  is generated after  $\theta$  operates on the original image I. As Equation (8) shows, the disparity map obtained by inputting an original image I generated by the disparity estimation network is d. Relatively, the disparity map obtained by inputting an augmented image  $I_{\theta}$  through network prediction is  $d_{\theta}$ . We compare the disparity maps obtained before and after data augmentation through L1. Under the real-world situations, if we exclude the augmentation part of the two images, the remaining part of the disparity map generated by the two images should be the same. The disparity prediction is not interfered with by the changes of other pixels, which indicates that the prediction model generated in this case is robust. Therefore, the data augmentation consistency is guaranteed by minimizing the difference between d and  $d_{\theta}.M_{\theta}$  is a three-dimensional (1 × Height × Width) matrix containing only a true or false value, which represents the unoccluded mask generated by augmentation operation  $\theta$ .  $\odot$  is an operation used to obtain unprocessed parts in two images. The epipolar constraints between views confine us not to change the spatial location of pixels.

### 3.3.1. Random Masking

To simulate the possible occlusion problem in two images, we randomly add a binary mask to some areas of the original image to block these areas and project these masks to the corresponding positions of the right image. Assuming that the unoccluded regions are not affected by the occluded area when predicting the depth, we compare the unoccluded area of the original sample and the data augmentation sample to generate a loss that affects the model prediction. The random masking operation is presented by  $\theta_1$ .

### 3.3.2. Gamma Correction

Gamma correction is a nonlinear operation to change the light intensity of the image. In this way, we strengthen the robustness of the interference caused by different scenes of light, so that the model adapts to the interference under different ambient light. The gamma correction operation is in form of  $\theta_2$ .

# 3.3.3. Color Jitter and Blur

Many transformations on the image bring color interference to the image, such as color jitter, random blur, and so on. Owing to the color fluctuation, the loss generated by the regular training method based on color constancy may generate inaccurate results. Therefore, our method creates difficult scenes to strengthen the robustness of the model for color fluctuation problem under unsupervised training. The color jitter and blur operation is  $\theta_3$ . Therefore, the final data augmentation transformation  $\theta$  is combined by the abovementioned three augmentations:

$$\theta = \theta_1 \circ \theta_2 \circ \theta_3, \tag{9}$$

where  $\circ$  represents function composition.

### 3.4. Overall Architecture and Loss

Above all, we use the additional perceptual consistency and data augmentation consistency as unsupervised signals on the baseline structure to solve the color constancy hypothesis problems mentioned above and enforce robust prediction towards low-texture regions. Hence, the final loss is defined as follows:

$$\mathcal{L} = \lambda_1 \mathcal{L}_{\text{recons}} + \lambda_2 \mathcal{L}_{\text{smooth}} + \lambda_3 \mathcal{L}_{lr} + \lambda_4 \mathcal{L}_{per} + \lambda_5 \mathcal{L}_{\text{aug}}, \qquad (10)$$

where the weights are set as:  $\lambda_1 = 1, \lambda_3 = 1, \lambda_4 = 0.2, \lambda_5 = 0.1$ . To have the same smoothness at each scale, *r* is used to rescale the disparity smoothing term  $\alpha_{ds}$ . Thus  $\lambda_2 = 0.1/r$ , where *r* is the downsampling factor of this layer relative to the input image.

# 4. Results

Comprehensive experiments were conducted to evaluate our PDANet model. Firstly, we describe the experimental process and parameter settings of the baseline monocular depth estimation model using a single image as input. Secondly, the superior performance of the model on low texture and color fluctuation regions are presented by comparing our PDANet with the existing supervised and unsupervised models. Meanwhile, to demonstrate the effectiveness of each part of PDANet, we perform an ablation experiment by evaluating the performance of the Monodepth with and without perceptual consistency or data augmentation consistency.

#### 4.1. Implementation Details

Our PDANet was implemented in PyTorch with about 31 million parameters and trained on a Titan RTX. The parameter setting and the split of dataset were the same as [15]. We trained the model for 30 epochs using the Adam optimizer; the batch size was set to 8. The initial learning rate was set to  $\lambda = 10^{-4}$ , which keeps constant for the first 10 epochs, then halved every 10 epochs until the end. Initially, we tested the reverse transmission of

data augmentation loss separately; the model takes a single image as an input using the reconstruction loss as supervised signal, then serially uses another augmented image to acquire data augmentation loss as another supervision to the network. However, we notice that the results were not as expected by training in a serial way. Finally, we parallelized the data augmentation component and the depth prediction component and minimized the reconstruction loss combined with the data augmentation loss together to achieve promising experimental results.

To optimize the prediction results, we applied two extra operations that enforces the detail representations of images to our model. The operations are as follows.

# 4.1.1. Post Processing

To reduce the impact of stereo occlusion, we adopt a post-processing step similar to [15]. For the input image I, we calculate the disparity map  $d^{l'}$  of the image after its mirror flip I'. A disparity map  $d^{l''}$  is obtained by turning this image  $d^{l'}$  back. The final disparity map is generated by assigning the first 5% on the left from  $d^{l''}$  and the last 5% on the right of the image using  $d^l$ . The central part is the average of  $d^{l''}$  and  $d^l$ . This post-processing improves accuracy and reduces visual artifacts, which is called *pp*.

#### 4.1.2. Low-Texture Regions

Based on the perceptual consistency, we add a regularization  $\mathcal{L}_{lt}$  to ensure the loss to provide a large gradient on low-texture regions. In formula,  $\nabla^1$  is a differential operator that computes the gradients of the feature map and the orignal image.

$$\mathcal{L}_{lt} = -\frac{1}{N} \sum_{i,j} \left\| \nabla^1 F_{agg}^l \right\|_1.$$
(11)

# 4.2. KITTI

Following the Eigen split mentioned in [5], the KITTI dataset [6] was divided into two subsets: one contains 22,600 images from 32 scenes for training and the other contains 697 images from 29 scenes for testing. We limited the maximum prediction value of all networks to 80 m.

To compare with existing works, estimation on the KITTI 2015 was performed, including the models trained on different datasets. The evaluation indicators of monocular depth estimation generally contains root mean square error (rmse), absolute relative error (abs\_rel), and square relative error (sq\_rel). So we compared PDANet with some typical supervised and unsupervised models based on these indicators; the comparison results are shown in Table 2. We quantitatively analyzed that our model performs better than other models in most of the indicators. Supervised models tend to have better performance on accuracy indicators, while unsupervised models usually achieve better performance on integrity indicators. It can be concluded that the proposed method is similar to the supervised model in terms of indicator tendency. At the same time, compared to the model with better performance using photometric consistency as the main supervision method, our architecture struggled to fall into local minimum. Instead, it optimizes the whole training process.

In addition to quantitative comparative tests, we also present a qualitative analysis, as shown in Figure 5. Our method not only deals with low texture pixels but also obtains a clear contour when encountering billboards and landmarks. The blue patches show the differences in detail between our model and the baseline model. As for challenging scenes, our method still effectively segments the depth information of each instance, with fewer overlaps and blurry contours. The depth assessment indicators are calculated as Table 3:



**Figure 5.** Comparison between PDANet and Monodepth on KITTI 2015 [6]. PDANet achieves much better performance than Monodepth in terms of edges, land marks, and low-texture areas.

**Table 2.** Comparison between PDANet and other models. Best results in supervised methods are in bold, and in unsupervised methods are <u>underlined</u>. For metrics with  $\downarrow$  the lower the better, for metrics with  $\uparrow$  the higher the better. All models are tested on KITTI 2015 [6]. Our PDANet outperforms the other models in all metrics; specifically, the abs\_rel error is significantly lower than the other models.

Methods	Strategy	$ategy \qquad abs\_rel \downarrow  sq\_rel \downarrow  rmse \downarrow$		$\mathbf{rmse}\downarrow$	<b>1.25</b> ↑	$\mathbf{1.25^2} \uparrow$	$1.25^3$ $\uparrow$
Eigen [6]	supervised	0.190	1.515	7.156	0.692	0.899	0.967
Cao [28]	supervised	0.180		6.311	0.771	0.917	0.966
Xu [29]	supervised	0.122	0.897	4.677	0.818	0.954	0.985
Zhang [ <mark>30</mark> ]	supervised	0.101		4.137	0.890	0.970	0.989
Amiri [31]	supervised	<u>0.096</u>	<u>0.552</u>	<u>3.995</u>	<u>0.892</u>	<u>0.972</u>	<u>0.992</u>
Garg [12]	unsupervised	0.169		5.104	0.740	0.904	0.958
Godard [13]	unsupervised	0.114		4.935	0.861	0.949	0.976
Zhan [11]	unsupervised	0.151	1.257	5.583	0.810	0.936	0.974
Ranjan [32]	unsupervised	0.140	1.070	5.326	0.826	0.941	0.975
Poggi [18]	unsupervised	0.126	0.961	5.205	0.835	0.941	0.974
Wong [14]	unsupervised	0.133	1.126	5.515	0.826	0.934	0.969
Chen [33]	unsupervised	0.118	0.905	5.096	0.839	0.945	0.977
Zhou [34]	unsupervised	0.121	0.837	4.945	0.853	0.955	0.982
Casser [35]	unsupervised	0.108	0.825	4.750	0.873	0.957	0.982
Chen [36]	unsupervised	0.099	0.796	4.743	0.884	0.955	0.979
Tosi [16]	unsupervised	0.111	0.867	4.714	0.864	0.954	0.979
PDANet	unsupervised	0.084	0.961	4.701	0.916	0.970	0.988

**Table 3.** Evaluation indicators for depth estimation models, where  $d^*$  presents the ground-truth depth, *d* denotes the predicted depth, and |D| is the number of predicted depth.

Abs Rel : 
$$\frac{1}{|D|} \sum_{d \in D} |d^* - d| / d^*$$
 RMSE :  $\sqrt{\frac{1}{|D|} \sum_{d \in D} ||d^* - d||^2}$   
Sq Rel :  $\frac{1}{|D|} \sum_{d \in D} ||d^* - d||^2 / d^*$  RMSE log :  $\sqrt{\frac{1}{|D|} \sum_{d \in D} ||\log d^* - \log d||^2}$   
 $\delta_{t} : \frac{1}{|D|} |\{d \in D \mid \max(\frac{d^*}{d}, \frac{d}{d^*}) < 1.25^t\}| \times 100\%$ 

# 4.3. Other Datasets

To demonstrate the generalization ability of our network, we use Cityscapes dataset [37] and Make3D dataset [38] for testing. Although these datasets are different in camera parameters, image resolution, etc., our network still achieves reliable results.

# 4.3.1. Cityscapes

The CityScapes dataset [37] uses stereo video sequences to record 50 different city street scenes, including 22,973 stereo pairs. We also applied our model to the Cityscapes for testing and obtain a better test result, as shown in Figure 6. We preprocessed the images by removing the hood part of the car and retain only the top 80% of the image. Although KITTI [6] and Cityscapes differ in image size, scene content, and camera parameters, our model still achieves superior results. The low-texture areas such as billboards are clearly predicted, and good predictions are obtained for objects such as cars and streetlights. All of these show that the PDANet has a strong generalization ability.



**Figure 6.** Results on the Cityscapes dataset [37]. The strongly reflective Mercedes-Benz logo is removed and only the top 80% of the output image is retained.

### 4.3.2. Make3D

Make3D [38] consists of RGB monocular images and their depth maps, but stereo images are not available, so the unsupervised depth estimation model cannot be trained on this dataset. We therefore tested the Make3D with PDANet trained only on the KITTI, using the same camera parameters as those provided by the KITTI dataset. As a requirement of the aspect ratio of the images in the model, we cropped the input images. As shown in Table 4, the model achieves reasonable results, surpasses the baseline model, and even outperforms some supervised models in some metrics. The ground truth matrix of Make3D is too sparse, which prevents the supervised model from estimating the depth of each pixel properly. Our self-supervised learning method ignores this problem and obtains better depth results, as shown in Figure 7, although it cannot obtain numerically as good results as the supervised model.



Figure 7. Results and comparison on Make3D dataset [38].

### 4.4. Ablation Study

To present the impact of our data augmentation consistency and perceptual consistency improvement on the overall effect, an ablative analysis was implemented by combining different components. The quantitative results of different loss combinations are shown in Table 5. It shows that the prediction capability of the model improves with the addition of perceptual consistency and data augmentation consistency, and achieves the best results when the two components are integrated. Visualization of improvements by different components are shown in Figure 8, as shown in the blue patches, the edge performance, and detail representation significantly improve.

**Table 4.** Results on the Make3D dataset [38]. Losses are calculated on the cropped central images and the depth is lower than 70 m.

Method	Strategy	$\mathbf{sq\_rel} \downarrow$	$abs_rel\downarrow$	rmse ↓	$rmse\_log \downarrow$
Train set mean	supervised	15.517	0.893	11.542	0.223
Karsch [39]	supervised	4.894	0.417	8.172	0.144
Laina berHu [8]	supervised	<u>1.665</u>	<u>0.198</u>	<u>5.461</u>	0.082
Monodepth	unsupervised	9.515	0.521	10.733	0.238
Monodepth + pp	unsupervised	5.808	0.437	8.966	0.216
Ours	unsupervised	8.497	0.491	10.525	0.233
Ours + pp	unsupervised	5.054	0.418	8.671	0.212

4.4.1. Effect of Perceptual Consistency

Perceptual loss uses multi-scale high-level features to optimize the contrast effect of reconstruction loss. It not only considers the differences between pixels but also reflects the differences in semantics. Large numbers of the input images with uneven illumination and shadows appear in the datasets, and the effect of prediction is significantly improved. As shown in Table 5, compared with the network without perceptual loss, the model with perceptual loss has a significant improvement in each indicator. Both of them contain the same data augmentation and reconstruction loss function, and the abs\_rel error decreases from 0.0921 to 0.0846.

Table 5. Ablation study of different components in PDANet.

$\mathcal{L}_{per}$	$\mathcal{L}_{aug}$	pp	$abs\_rel \downarrow$	$sq\_rel \downarrow$	$rmse\downarrow$	d1_all $\downarrow$	<b>1.25</b> ↑	$\mathbf{1.25^2} \uparrow$	$\mathbf{1.25^3}\uparrow$
			0.1016	1.7372	5.581	17.200	0.905	0.964	0.983
		$\checkmark$	0.0920	1.2444	5.127	17.382	0.909	0.969	0.987
	$\checkmark$		0.1013	1.7183	5.539	17.154	0.905	0.964	0.983
	$\checkmark$	$\checkmark$	0.0921	1.2345	4.996	17.890	0.912	0.970	0.987
$\checkmark$			0.0948	1.3093	5.264	17.089	0.908	0.965	0.985
$\checkmark$		$\checkmark$	0.0855	1.0402	4.797	16.514	0.915	0.971	0.988
$\checkmark$	$\checkmark$		0.0916	1.1597	5.150	16.909	0.910	0.966	0.985
$\checkmark$	$\checkmark$	$\checkmark$	0.0846	0.9613	4.701	16.683	0.916	0.970	0.988

4.4.2. Effect of Data Augmentation Consistency

It is always necessary for unsupervised training to perform data augmentation, which improves the results of the self-supervised depth estimation network without affecting training time. As shown in Table 5, all indicators are better than the basic model. At the same time, data augmentation improves the generalization ability of the model and facilitates the migration of the model.



**Figure 8.** Visualization of ablation study. From left to right: input images, the result of Monodepth, the result of Monodepth with data augmentation consistency, the result of Monodepth with perceptual consistency, and the result of PDANet, where  $\mathcal{L}_{aug}$  is the data augmentation consistency loss and  $\mathcal{L}_{per}$  is the perceptual consistency loss. Blue patches show the different effects in detail when applying each module separately.

### 5. Conclusions

In this paper, we innovatively propose an unsupervised depth estimation method (PDANet) based on Monodepth. It aims at solving the problems of unreliable prediction caused by the color constancy hypothesis in the previous work of image reconstruction and the failure to obtain reliable image reconstruction loss in low-texture regions. The proposed method enforces the data augmentation consistency; consequently, the model has strong robustness in dealing with color interference. On the other hand, perceptual consistency solves the problem of image gradient disappearance in low-texture regions and enhances the consistency of reconstructed images at higher semantic levels. Experiments and ablation analysis on KITTI benchmark demonstrate the effectiveness of our unsupervised learning model. We also tested our model on Cityscapes and Make3D datasets and obtained superior results. Our model shows not only strong deep prediction capability but also generalization ability on different datasets.

Although our proposed perceptual consistency and data augmentation consistency improve the quality of the results, the method still has some limitations. Our model still fails to perform better when encountering transparent areas such as glass. Further, the training process of our model requires the input of two high-quality images with different perspectives to generate unsupervised signals, meaning that our model cannot be trained on a dataset with only a single perspective image.

In future work, we will extend our model to video by considering the relationship among multiple frames and ensuring the consistency of the same region among video frames. We will also further investigate the limitations mentioned above in subsequent research, improve the effectiveness of self-supervised depth estimation, bridge the gap between our method, and fully supervised learning methods.

**Author Contributions:** Conceptualization, H.G. and X.L.; methodology, H.G. and X.L.; validation, H.G., X.L., and M.Q.; writing—original draft preparation, H.G., M.Q., and S.H.; writing—review and editing, H.G., M.Q., and S.H.; visualization, H.G. and X.L.; supervision, M.Q.; funding acquisition, M.Q. All authors have read and agreed to the published version of the manuscript.

**Funding:** This paper was supported by the Shandong Provincial Natural Science Foundation, China (No. ZR2018MA032, ZR2020MA064).

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data are not availability for public.

Conflicts of Interest: The authors declare no conflict of interest.

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# Abbreviations

The following abbreviations are used in this manuscript:

SfM	Structure from Motion
SLAM	Simultaneous Localization and Mapping
VGG16	Visual Geometry Group Network with 16 convolutional layers
LiDAR	Light Detection and Ranging
RGB	Red Green and Blue
CNN	Convolution Neural Network
Monodepth	Monocular Depth Estimation Network proposed by Godard et al.
SSIM	Structural Similarity
L1	Least Absolute Deviation
PP	Post Processing
RMSE	Root Mean Square Error
abs_rel	Absolute Relative Error
sq_rel	Square Relative Error
GT	Ground Truth

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