



# Article Effect of the Lubrication Parameters on the Ceramic Ball Bearing Vibration in Starved Conditions

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**Abstract:** The thickness of the oil film in ceramic ball bearings varies greatly at starved lubrication conditions, thus leading to non-uniform contact between the balls and raceways in the circumference. The lubrication parameters have a direct impact on the thickness of the oil film and then affect the dynamic characteristics of the ceramic ball bearings. A nonlinear dynamic model of ceramic ball bearing with limited lubrication is presented in this paper, and parametric studies on the effect of lubrication parameters are conducted. In starved conditions, the uneven contact between the ball and ring leads to changes in vibration, and the inner ring vibration is applied to evaluate the degree of starved lubrication. The results show that as the oil quantity increases, the bearing stiffness increases and results in increased peak frequency. As the oil quantity decreases, the thickness of the oil film reduces, resulting in the bearing vibration increase. The research findings provide a theoretical reference for ceramic ball bearing design and have guided significance for improving the service performance of ceramic ball bearings.

Keywords: starved lubrication; ceramic ball bearing; bearing modeling; bearing vibration

## 1. Introduction

Ceramic ball bearings have excellent performance and perform well in high temperature and high-speed environments, which can ensure the normal operation of high-speed devices [1,2]. Bearings are important to support components for equipment. The vibration characteristics of ceramic ball bearings directly affect the accuracy and vibration characteristics of the equipment.

The mechanism of bearing vibration is very complex, involving many factors, such as process errors, structural parameters, and operating parameters [3–9]. Zhang et al. [10] established a dynamic model to analyze the influence of structural parameters on bearing vibration. Cui et al. [11] used the theory of rolling bearing dynamics to establish a dynamic analysis model of angular contact ball bearings. It is found that adjusting the structural parameters and the axial preload can reduce the vibration of the bearing. Bai et al. [12] developed a nonlinear dynamic model of full ceramic bearings, considering different diameters of the ball, oil film forces, and load. The dynamic response of each element of the full ceramic bearing is obtained by solving the model.

Bearing vibration is not just related to structural parameters, but is also affected by the working parameters, including lubrication [13–15]. The lubricating oil cannot enter the bearing smoothly under high-speed, heavy-duty conditions. The amount of oil inside the bearing gradually decreases and the starvation state occurs [16–22]. This phenomenon has attracted a lot of research interest in the field of starvation lubrication. Tanaka [23] studied the hydrodynamic performance of journal bearings in the

absence of lubrication from theoretical and experimental conditions. The reduction in film thickness along the bearing circumference can affect the static and dynamic performance of journal bearings. Maruyama and Saitoh [24] studied the relationship between oil supply flow and oil film thickness under stable starvation lubrication conditions. The flow rate of the supplied oil was precisely controlled in the experiment and the oil film thickness was measured. The relationship between the oil supply flow rate and the oil film thickness is determined. Ebner et al. [25] studied the effects of insufficient lubrication on the working behavior of elastohydrodynamic lubrication (EHL) contactors in gears. The results show that a small amount of initial oil can lubricate normally and have different operating performance on different surfaces. Results show that a very small amount of initial oil is sufficient for lubrication and different operating behaviors for different surfaces. Hamrock and Dowson [26,27] simulated the starvation phenomenon by moving the entrance to the contact center and calculated the actual distance of the entrance by the correction factor of the oil film thickness. We deven et al. [28] studied the effect of lubricant distribution on oil film thickness in the inlet area of EHL. The minimum meniscus length of the EHL inlet area is theoretically determined to prevent insufficient lubrication. Liu et al. [29] studied the sliding contact situation under various lubricant quantities. Theoretical results show that the starved film has a certain carrying capacity and the film thickness is a sensitive function of the amount of lubricant supplied. Venner et al. [30] proposed a model for predicting the change in the grease layer in a rolling bearing because of the centrifugal force of the ball and the EHL contact pressure. This model estimates the longest local replenishment interval to ensure the normal bearing operation and helps to improve bearing life predictions related to lubricants.

However, the currently developed models are based primarily on the theory of EHL to analyze the effect of the amount of lubricant on the operation of the mechanism. There are few studies on the impact of the amount of lubricant on the dynamic performance of bearings in dynamic models. Recently, Bai et al. [31] showed that uneven contact between the ball and ring would affect the vibration conditions of the entire ceramic bearing. In the starving lubrication, uneven contact becomes more apparent. Under starvation lubrication conditions, the thickness of the lubricant film that transfers the load between the ball and the raceway is very thin and unstable. Different oil film thickness will lead to different bearing load conditions and then affect the dynamic characteristics of the bearing. In this paper, a nonlinear dynamic model of ceramic ball bearings with starved lubrication is established. Considering detailed lubrication conditions with lubrication parameters, through numerical calculations, the influence of the oil amount on the vibration of the bearing inner ring was studied.

#### 2. Dynamic Model

#### 2.1. Contact Model between the Balls and Inner Ring

#### Model of the Inner Ring

In order to facilitate the analysis of the dynamic performance of ceramic ball bearings, the following assumptions were made: The working surface of the bearing parts has an ideal geometry and the center of mass coincides with the centroid; the outer ring of the bearing does not rotate and the inner ring rotates around the X-axis; each ball has the same diameter; the inertial coordinate system of the bearing is  $\{O; X, Y, Z\}$ ; the inner ring coordinate system of the bearing is  $\{O; X, Y, Z\}$ ; the inner ring coordinate system of the bearing is  $\{O; X_i, Y_i, Z_i\}$ ; and the coordinate system of the *j*th ball is  $\{O_{bj}; X_{bj}, Y_{bj}, Z_{bj}\}$ . When an angular contact ball bearing rotated at high speed, the inner ring was subjected to the normal contact force of the ball, the traction force, the friction of the lubricating oil, and the applied load. The force model of the inner ring is shown in Figure 1.

The inner ring dynamic nonlinear differential equations can be expressed as:

$$F_x + \sum_{j=1}^{z} \left( F_{R\eta ij} \cos \alpha_{ij} - Q_{ij} \sin \alpha_{ij} \right) = m_i \ddot{x}_i \tag{1}$$

$$F_y + \sum_{j=1}^{z} \left[ \left( F_{R\eta ij} \sin \alpha_{ij} - Q_{ij} \cos \alpha_{ij} \right) \cos \varphi_j + \left( T_{\xi ij} - F_{R\xi ij} \right) \sin \varphi_j \right] = m_i \ddot{y}_i$$
(2)

$$F_z + \sum_{j=1}^{z} \left[ \left( F_{R\eta ij} \sin \alpha_{ij} + Q_{ij} \cos \alpha_{ij} \right) \sin \varphi_j - \left( T_{\xi ij} - F_{R\xi ij} \right) \cos \varphi_j \right] = m_i z_i$$
(3)

$$M_y + \sum_{j=1}^{z} \left[ r_{ij} \left( -F_{R\eta ij} \cos \alpha_{ij} + Q_{ij} \sin \alpha_{ij} \right) \sin \varphi_j + \frac{D}{2} r_{ij} T_{\xi ij} \sin \alpha_{ij} \cos \varphi_j \right] = I_{iy} \dot{\omega}_{iy} - (I_{iz} - I_{ix}) \omega_{iz} \omega_{ix} \quad (4)$$

$$M_z + \sum_{j=1}^{z} \left[ r_{ij} \left( -F_{R\eta ij} \cos \alpha_{ij} + Q_{ij} \sin \alpha_{ij} \right) \cos \varphi_j + \frac{D}{2} r_{ij} T_{\xi ij} \sin \alpha_{ij} \sin \varphi_j \right] = I_{iz} \dot{\omega}_{iz} - (I_{ix} - I_{iy}) \omega_{iy} \omega_{ix} \quad (5)$$

where the contact surface between the ball and the raceway is approximately an ellipse,  $\eta$  and  $\xi$  are the short axis and the long axis of the contact ellipse between the ball and the raceway, subscript i represents the inner ring,  $F_x$ ,  $F_y$ ,  $F_z$ ,  $M_y$ , and  $M_z$  are externally applied forces and torques,  $\alpha_{ij}$  is the contact angle between the ball and inner raceway,  $\varphi_{ij}$  is the azimuth of the *j*th ball,  $Q_{ij}$  is normal contact force between the ball and inner raceway,  $T_{\eta ij}$  is the traction force of the contact surfaces,  $F_{R\eta ij}$  is a hydrodynamic frictional force at the inlet zone,  $m_i$  is the mass of the inner ring,  $\ddot{x}_i$ ,  $\ddot{y}_i$ , and  $\ddot{z}_i$ , are the acceleration of the inner ring in the inertial coordinate system,  $I_{ix}$ ,  $U_{iy}$ , and  $w_{iz}$  represent the angular velocity of the inner ring in the inertial coordinate system,  $\dot{w}_{iy}$  and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$ , and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $\dot{w}_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $w_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $w_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $w_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $w_{iz}$  are the angular accelerations of the inner ring in the inertial coordinate system,  $w_{iy}$  and  $w_{iz}$  are the angular accelerations of the inner ring in the inertial coordinat

$$r_{ij} = 0.5d - R_i \cos \alpha_{ij} \tag{6}$$

where *d* is the pitch diameter of the bearing and  $R_i$  is groove curvature radius of the inner race raceway.



Figure 1. The force model of the inner ring in the plane XOZ (a) and in the plane YOZ (b).

#### 2.2. Model of the Ball at Normal Lubricated Conditions

When fully lubricated, the oil filled the gap between the ball and the inner ring to allow the ball to be loaded. The force acting on the loaded ball is shown in Figure 2.

The dynamic differential equations of the fully lubricated ball are shown as:

$$Q_{ij}\sin\alpha_{ij} - Q_{ej}\sin\alpha_{ej} + T_{\eta ij}\cos\alpha_{ij} - T_{\eta ej}\cos\alpha_{ej} - F_{R\eta ij}\cos\alpha_{ij} + F_{R\eta ej}\cos\alpha_{ej} + P_{S\xi j} + P_{R\xi j} = m_b \ddot{x}_{bj}$$
(7)

$$Q_{ij}\cos\alpha_{ij} - Q_{ej}\cos\alpha_{ej} - T_{\eta ij}\sin\alpha_{ij} + T_{\eta ej}\sin\alpha_{ej} + F_{R\eta ij}\sin\alpha_{ij} - F_{R\eta ej}\sin\alpha_{ej} + F_{\eta j} - P_{S\eta j} - P_{R\eta j} = m_b \ddot{y}_{bj}$$
(8)

$$T_{\xi e j} - T_{\xi i j} - F_{R \xi e j} + F_{R \xi i j} + Q_{c j} - F_{D j} - F_{\tau j} = m_{\rm b} \ddot{z}_{{\rm b} j}$$
<sup>(9)</sup>

$$(T_{\xi e j} - F_{R\xi e j})\frac{D}{2}\cos\alpha_{e j} + (T_{\xi i j} - F_{R\xi i j})\frac{D}{2}\cos\alpha_{i j} - (P_{S\eta j} + P_{R\eta j})\frac{D}{2} - J_x\dot{\omega}_{xj} = I_b\dot{\omega}_{bjx}$$
(10)

$$(F_{R\xi ej} - T_{\xi ej})\frac{D}{2}\sin\alpha_{ej} + (F_{R\xi ij} - T_{\xi ij})\frac{D}{2}\sin\alpha_{ij} - G_{yj} - (P_{S\xi j} + P_{R\xi j})\frac{D}{2} - J_y\dot{\omega}_{yj} = I_b\dot{\omega}_{bjy} - I_b\omega_{bjz}\dot{\theta}_{bj}$$
(11)

$$(T_{\eta ij} - F_{R\eta ij})\frac{D}{2} + (T_{\eta ej} - F_{R\eta ej})\frac{D}{2}\cos\alpha_{ij} - G_{zj} - J_z\dot{\omega}_{zj} = I_b\dot{\omega}_{bjz} - I_b\omega_{bjy}\dot{\theta}_{bj}$$
(12)

where subscript e represents the outer ring,  $\alpha_{ij}$ , and  $\alpha_{ej}$  are the contact angles between the ball and raceway,  $Q_{ij}$ , and  $Q_{ej}$  are the normal contact forces between the ball and raceway,  $T_{\eta ij}$ ,  $T_{\eta ej}$ ,  $T_{\xi ij}$ , and  $T_{\xi ej}$  are traction forces of the contact surfaces,  $Q_{cj}$  is the collision force between the *j*th ball and the cage,  $F_{\eta j}$  and  $F_{\tau j}$  are components of the ball's center,  $P_{R\eta j}$  and  $P_{R\xi j}$  are the rolling frictional forces acting on the ball's surfacem  $P_{S\eta j}$  and  $P_{S\xi j}$  are the sliding frictional forces acting on the ball's surface,  $F_{R\eta ij}$ ,  $F_{R\eta ej}$ ,  $F_{R\xi ij}$  and  $F_{R\xi ej}$  are the hydrodynamic frictional forces at the inlet zone,  $J_x$ ,  $J_y$  and  $J_z$  are components of the ball's moment of inertia of  $x_{bj}$ ,  $y_{bj}$ , and  $z_{bj}$  directions,  $G_{yj}$  and  $G_{zj}$  are components of the ball's inertia moment of  $y_{bj}$  and  $z_{bj}$  directions,  $F_{Dj}$  is the aerodynamic resistance acting on the ball by the gas–oil mixture,  $\omega_{xj}$ ,  $\omega_{yj}$  and  $\omega_{zj}$  are the angular velocity of the *j*th ball in  $\{O_{bj}; X_{bj}, Y_{bj}, Z_{bj}\}$ , and  $\dot{\omega}_{xj}$ ,  $\dot{\omega}_{yj}$ ,  $\dot{\omega}_{zj}$  are the displacement accelerations of the *j*th ball in the inertial coordinate system,  $I_{bj}$  is moments of inertia of the *j*th ball,  $\omega_{bjx}$ ,  $\omega_{bjy}$ , and  $\omega_{bjz}$  are the angular velocities of the *j*th ball in the inertial coordinate system,  $\dot{\omega}_{bjx}$ ,  $\dot{\omega}_{bjy}$ , and  $\dot{\omega}_{bjz}$  are the angular accelerations of the *j*th ball in the inertial coordinate system, and  $\dot{\theta}_{bj}$  is orbit speed of the *j*th ball in the inertial coordinate system.



Figure 2. The forces acting on the loaded balls.

#### 2.3. Model of the Ball at Starved Lubricated Conditions

The lubrication regime in bearings was governed by EHL. In this application, when the amount of lubricant was enough to fill the inlet of the contact, the lubrication method was called full filling. However, in the process of bearing a high-speed operation, the oil could not be replenished quickly enough after the ball rolling. At this point, the lubricant supply at the inlet was insufficient and entered the stage of oil starvation.

When there was enough oil, the central oil film thickness  $h_c$  was generally a function of viscosity, load, contact material, and shape, regardless of temperature, and can be expressed as:

$$h_c = f(\alpha_0, \eta_0, u, R, Q, E)$$
 (13)

where  $\alpha_0$  is the pressure index of viscosity,  $\eta_0$  is the dynamic viscosity at normal pressure, *R* is the equivalent radius of curvature, *Q* is the normal contact force between the ball and raceway, and *u* is the average surface velocity and can be expressed as:

$$u = \frac{d^2 - D^2}{4d}\omega\tag{14}$$

where  $\omega$  is the angular velocity of the inner ring and *E* is the equivalent elastic modulus and satisfies the following equation:

$$\frac{1}{E} = 0.5 \left[ \frac{1 - \nu_1^2}{E_1} + \frac{1 - \nu_2^2}{E_2} \right]$$
(15)

where  $E_1$  and  $E_2$  are elastic moduli of the two contact materials and  $v_1$  and  $v_2$  are Poisson's ratios of the two contact materials.

In order to facilitate analysis, these parameters were dimensionless, as follows:

$$H_c = \varphi(U, W, G) \tag{16}$$

where  $H_c$  is a dimensionless central film thickness:

$$H_c = \frac{h_c}{R_x} \tag{17}$$

where  $R_x$  is the equivalent radius of curvature in the long axis direction.

The velocity parameter *U* is expressed as

$$U = \frac{\eta_0 u}{ER_x},\tag{18}$$

the load parameter W is expressed as

$$W = \frac{Q}{ER_x},\tag{19}$$

and the material parameter G is expressed as

$$G = \alpha_0 E. \tag{20}$$

Using these dimensionless parameters, Hamrock and Dowson [26] proposed a formula for calculating the numerical solution of point contact dimensionless elastohydrodynamic lubricating film thickness and dimensionless minimum film thickness:

$$H_c = 2.69 U^{0.67} W^{-0.067} G^{0.53} (1 - 0.61 e^{-0.72k})$$
<sup>(21)</sup>

$$H_{\rm min} = 3.63 U^{0.68} W^{-0.073} G^{0.49} (1 - e^{-0.68k})$$
<sup>(22)</sup>

where *k* is the ellipticity and can be expressed as:

$$k = \frac{a}{b} \approx 1.03391 \left(\frac{R_y}{R_x}\right)^{0.636} \tag{23}$$

where *a* is the long half axis of the ellipse, *b* is the short half axis of the ellipse, and  $R_y$  is the equivalent radius of curvature in the short axis direction.

Therefore, the corresponding central oil film thickness and minimum film thickness are shown as:

$$h_c = H_c R_x = 2.69 \alpha_0^{0.53} (\eta_0 u)^{0.67} R_x^{0.464} Q^{-0.067} E^{-0.073} (1 - 0.61 e^{-0.72k})$$
(24)

$$h_{\min} = H_{\min} R_x = 3.63 \alpha_0^{0.49} (\eta_0 u)^{0.68} R_x^{0.466} Q^{-0.073} E^{-0.017} (1 - e^{-0.68k})$$
(25)

where e is the constant of nature.

Hamrock and Dowson [26] simulated starvation by moving the inlet to the contact center and proposed a correction coefficient to show the change of oil film thickness with the inlet distance. They calculated the minimum length of the film inlet area to ensure adequate lubrication. Mohammadpour [32] obtained a photo–micrograph of the interferogram of the lubricant film under the same conditions as the isobaric curve, as shown in Figure 3. The actual distance  $X_b$  between the inlet and the Hertz contact center is marked in Figure 3.



Figure 3. The actual distance between the inlet and the Hertz contact center.

 $X_{\rm b}$  can be expressed as:

$$X_{\rm b} = b + \left(\frac{h_b/h_c - 1}{1.21}\right)^{\frac{2}{3}} (Rh_c)^{\frac{2}{3}} b^{\frac{-1}{3}}$$
(26)

where  $h_b$  is the oil film thickness at the lubrication inlet:

$$h_{b} = \left(\frac{3Q_{v}\tau}{2\pi\omega^{2}r^{2}}\right)^{\frac{2}{3}}$$
(27)

where  $Q_v$  is the supplied oil flow rate,  $\tau$  is the kinematic viscosity of the lubricating oil and  $\omega$  is the angular velocity of the inner ring, and r is the inner ring radius.

Let *L* be a dimensionless parameter of  $X_b$ , so the dimensionless distance *L* is determined by

$$L = \left(\frac{X_{\rm b}}{b}\right) \tag{28}$$

The boundary between fully flooded and starved  $(L^*)$  can be expressed as:

$$L^* = 1 + 3.06 \left[ \left(\frac{R_x}{b}\right)^2 H_c \right]^{0.56}$$
<sup>(29)</sup>

where  $H_c$  is dimensionless  $h_c$  ( $H_c = h_c/R_x$ ). Thus, the starvation condition can be determined by the boundary condition on *L*. When  $L > L^*$ , the lubricating oil completely flooded the inlet zone, and when  $L < L^*$ , the oil film thickness decreased and the bearing entered a starvation state. The actual central oil film thickness,  $h_{c1}$ , can be obtained as:

$$h_{c1} = \left(\frac{L-1}{L^*-1}\right)^{0.25} h_c \tag{30}$$

when fully lubricated, the thickness of the lubricant was sufficient to carry the load. When the oil decreased gradually, it was not enough to fill the gap, so the ball could not contact the inner race. At this time, the differential equations of the ball in the starvation lubrication state are shown as:

$$-Q_{ej}\sin\alpha_{ej} - T_{\eta ej}\cos\alpha_{ej} + F_{R\eta ej}\cos\alpha_{ej} + P_{S\xi j} + P_{R\xi j} = m_b \ddot{x}_{bj}$$
(31)

$$Q_{ej}\cos\alpha_{ej} + T_{\eta ej}\sin\alpha_{ej} - F_{R\eta ej}\sin\alpha_{ej} + F_{\eta j} - P_{S\eta j} - P_{R\eta j} = m_{\rm b}\ddot{y}_{{\rm b}j}$$
(32)

$$T_{\xi e j} - F_{R\xi e j} + Q_{c j} - F_{D j} - F_{\tau j} = m_{b} \ddot{z}_{b j}$$
(33)

$$(T_{\xi e j} - F_{R\xi e j})\frac{D}{2}\cos\alpha_{e j} - (P_{S\eta j} + P_{R\eta j})\frac{D}{2} - J_x\dot{\omega}_{xj} = I_b\dot{\omega}_{bjx}$$
(34)

$$(F_{R\xi ej} - T_{\xi ej})\frac{D}{2}\sin\alpha_{ej} - G_{yj} - (P_{S\xi j} + P_{R\xi j})\frac{D}{2} - J_y\dot{\omega}_{yj} = I_b\dot{\omega}_{bjy} - I_b\omega_{bjz}\dot{\theta}_{bj}$$
(35)

$$(T_{\eta ej} - F_{R\eta ej})\frac{D}{2}\cos\alpha_{ij} - G_{zj} - J_z\dot{\omega}_{zj} = I_b\dot{\omega}_{bjz} - I_b\omega_{bjy}\dot{\theta}_{bj}$$
(36)

# 3. Numerical Simulation

The main parameters of the bearing used in the calculation are shown in Table 1.

Table 1. The major parameters of the bearing.

Item	Value
Outer ring diameter (mm)	75
Inner ring diameter (mm)	45
Ball number	18
Nominal Ball diameter (mm)	8
Initial contact angle (degree)	15

The balls are marked 1–18 sequentially, as shown in Figure 4.



Figure 4. The marked balls.

The values of *L*,  $L^*$  can be obtained by calculating Equations (1)–(28), and the relationship between  $Q_v$  and *L* is obtained, as shown in Figure 5.



Figure 5. The trend of the dimensionless inlet distance at different oil flow rates.

The red line shown in Figure 5 is the calculated dimensionless inlet distance at the boundary between fully flooded and starved ( $L^*$ ), and L decreased with the decrease of  $Q_v$ . When  $L < L^*$ , it was the starved state.

In order to obtain the detailed variation of vibration, L = 1.1, 1.3, 1.5, 1.7, and 1.9 were selected to represent the amount of lubricant for analysis, and the bearing vibration conditions, in which different dimensionless inlet distances L are different, were studied. When the lubricating oil was sufficient with L = 1.9, the bearing vibration could be obtained from Equations (1)–(30). When the bearing ran in the starved conditions with L = 1.1 to 1.7, the vibration of the bearing could be obtained from Equations (1)–(6) and (13)–(36). The difference in the dynamic response under certain radial loads under different lubrication conditions is shown in Figures 6–10. Assuming the rotation speed of the inner ring is 15,000 r/min, the radial force ( $F_z$ ) was 10 N, 20 N, and 30 N, respectively.



**Figure 6.** The bearing vibration under different radial loads when L = 1.1.



**Figure 7.** The bearing vibration under different radial loads when L = 1.3.



**Figure 8.** The bearing vibration under different radial loads when L = 1.5.



**Figure 9.** The bearing vibration under different radial loads when L = 1.7.



**Figure 10.** The bearing vibration under different radial loads when L = 1.9.

With the increase in oil content, the friction coefficient between the ball and inner ring decreased gradually, and the contact load distribution became uniform. These changes caused the vibration of the bearing inner ring to decrease slightly. In Figure 6, when the dimensionless inlet distance was small (L = 1.1), the bearing vibration amplitude could reach 32 mm/s. In Figure 7, the dimensionless inlet distance was set to 1.3 and the bearing vibration amplitude was 24 mm/s, which was lower than Figure 6. In Figures 8–10, the maximum amplitudes were 19, 20, and 16. This shows that the amplitude of bearing vibration decreased slightly with the increase of oil amount. By comparison, the vibration amplitude of the bearing in Figure 6 was significantly smaller than that in Figure 10, which verifies the analysis results. As the oil amount increased, the trend of vibration amplitude

decreased. This shows that the applied load had a greater influence on the bearing of starved lubrication conditions. Furthermore, it can be seen in Figures 6 and 10 that the natural frequency of the bearing was considerably increased. This is explained by the fact that the natural frequency of the bearing is related to the stiffness. The oil film stiffness  $K_{oil}$  between the ball and the raceway is expressed as:

$$K_{oil} = \frac{dQ}{dh_{\min}} = 6.4066 \times 10^8 h_{\min}^{-14.6986} U^{9.31507} G^{6.7123} R_x^{-15.6986} E \left(1 - e^{-0.68k}\right)^{13.6986}$$
(37)

The contact stiffness  $K_c$  between the ball and the raceway is expressed as:

$$K_{\rm c} = \frac{dQ}{d\delta} = 1.5 \left( K^2 Q \right)^{1/3} \tag{38}$$

where *K* is the contact coefficient between the ball and the raceway.  $K_{rij}$  refers to the radial component of the comprehensive stiffness between the ball and the inner ring, and  $K_{aij}$  refers to the axial component of the comprehensive stiffness between the ball and the inner ring.

$$K_{\mathrm{ri}j} = \frac{(K_{oil})_{\mathrm{i}j} \times (K_c)_{\mathrm{i}j}}{(K_{oil})_{\mathrm{i}j} + (K_c)_{\mathrm{i}j}} \times \cos^2 \alpha_{\mathrm{i}j}$$
(39)

$$K_{\mathrm{ai}j} = \frac{(K_{oil})_{\mathrm{i}j} \times (K_c)_{\mathrm{i}j}}{(K_{oil})_{\mathrm{i}j} + (K_c)_{\mathrm{i}j}} \times \sin^2 \alpha_{\mathrm{i}j}$$

$$\tag{40}$$

$$K_{\text{ae}j} = \frac{(K_{oil})_{\text{e}j} \times (K_c)_{\text{e}j}}{(K_{oil})_{\text{e}j} + (K_c)_{\text{e}j}} \times \sin^2 \alpha_{\text{e}j}$$
(41)

$$K_{\text{re}j} = \frac{(K_{oil})_{\text{e}j} \times (K_c)_{\text{e}j}}{(K_{oil})_{\text{e}j} + (K_c)_{\text{e}j}} \times \cos^2 \alpha_{\text{e}j}$$
(42)

where  $(K_{oil})_{ij}$  is the oil film stiffness of the *j*th ball and the inner ring and  $(K_c)_{ij}$  is the contact stiffness between the *j*th ball and the inner ring. Bearing axial stiffness  $K_a$  and radial stiffness  $K_r$  can be expressed as:

$$K_{a} = \sum_{j=1}^{Z} \frac{K_{aij} K_{aej}}{K_{aij} + K_{aej}}$$

$$\tag{43}$$

$$K_{\rm r} = \sum_{j=1}^{Z} \frac{K_{\rm rij} K_{\rm rej}}{K_{\rm rij} + K_{\rm rej}} \cos^2(2\pi/Z(j-1))$$
(44)

The natural frequency of the bearing vibration can be expressed as:

$$f_{\rm x} = (0.5\pi)\sqrt{K_{\rm a}/M} \tag{45}$$

$$f_{\rm y} = (0.5\pi)\sqrt{K_{\rm r}/M} \tag{46}$$

where *M* is the mass of the bearing system.

When the thickness of the oil film was small, for example, L = 1.1, some balls could nor contact the raceway, and the contact stiffness  $K_c$  was 0. According to Equations (39)–(42), this shows that  $K_{rij}$ ,  $K_{aij}$ ,  $K_{rej}$ , and  $K_{aej}$  were also 0. Therefore, by calculating Equations (43) and (44), we can get the total stiffness. When the thickness of the oil film was large, for example, L = 1.9, all the balls could contact the raceway. By calculating (41) and (42), this shows that the stiffness at this time was greater than the stiffness at L = 1.1. According to Equations (45) and (46) that the greater the stiffness, the greater the natural frequency of the bearing. This phenomenon can be seen in Figures 6–10. It can also be seen from Figures 6–10 that the bearing vibration natural frequency increased with radial load. As a result, the increase in load increased the contact force between the ball and the raceway. As a result, the minimum oil film thickness was reduced, and the oil film stiffness increased.

Assuming the rotation speed of the inner ring was 15,000 r/min, the axial force ( $F_x$ ) was 10 N, 20 N, and 30 N, respectively, and the radial force ( $F_z$ ) was 0 N. Figures 11–15 show the relationship between the axial load of the bearing and the vibration of the bearing when the dimensionless inlet distance *L* was different.

![](_page_10_Figure_3.jpeg)

**Figure 11.** The bearing vibration under different axial loads when L = 1.1.

![](_page_10_Figure_5.jpeg)

**Figure 12.** The bearing vibration under different axial loads when L = 1.3.

![](_page_10_Figure_7.jpeg)

**Figure 13.** The bearing vibration under different axial loads when L = 1.5.

![](_page_11_Figure_1.jpeg)

**Figure 14.** The bearing vibration under different axial loads when L = 1.7.

![](_page_11_Figure_3.jpeg)

**Figure 15.** The bearing vibration under different axial loads when L = 1.9.

It can be observed from Figures 11–15 that as the amount of oil increased, the amplitude of bearing vibration significantly reduced. The dominant frequency of the bearing increased as the oil quantity increased. These characteristics were the same as the changes in Figures 6–10. As shown, during the increase, the bearing vibration decreased with a certain amount of force. According to Equation (1), the force generated in the bearing could be balanced when by applying a certain axial load, and the vibration of the bearing reduced. When the load continued to increase, it increased the bearing vibration. Therefore, for bearings with a given structure, there was a reasonable range of axial loads that made the bearings vibrate less during operation.

Figures 16 and 17 show the relationship between the inlet distance and the peak vibration frequency  $f_p$  of the bearing under different working conditions.

![](_page_11_Figure_7.jpeg)

Figure 16. Peak vibration frequency with different radial loads.

![](_page_12_Figure_1.jpeg)

Figure 17. Peak vibration frequency with different axial loads.

As the oil increased, the contact stiffness of the ball with the inner ring increased, resulting in an increase in the natural frequency of the bearing. When the amount of oil increased and  $L > L^*$ , the oil film thickness did not change substantially, and the influence of the increase of the oil quantity on the rigidity became small. Therefore, the natural frequency of the bearing did not change any more, as shown in Figures 16 and 17.

Figures 18 and 19 show the relationship between the inlet distance and the amplitude of axial and radial vibration velocity of bearing vibration under different working conditions.

![](_page_12_Figure_5.jpeg)

Figure 18. The vibration amplitude with different radial loads.

![](_page_12_Figure_7.jpeg)

Figure 19. The vibration amplitudes with different axial loads.

When the oil film thickness was very small, the ball and the inner ring could be regarded to be in direct contact. The contact surface friction was enhanced, causing the bearing to generate a large amplitude of vibration. As the amount of lubricating oil increased, the oil film separated the ball from the raceway. The contact surface friction was reduced, the contact load distribution was uniform, and the amplitude of the bearing vibration gradually decreased. In Figures 18 and 19, it can be obviously observed that as *L* increased, the vibration amplitude of the bearing greatly reduced. When the oil quantity increased to  $L > L^*$ , there was no substantial change in oil film thickness. At this time, the influence of the increase of oil amount on the friction of the contact surface became small. Therefore, the vibration amplitude of the bearing no longer changed.

### 4. Discussion

By considering the lubrication in the differential equation of bearing dynamics, the influence of the amount of lubricant on the dynamic characteristics of the bearing can be obtained, as well as the degree of influence of the amount of lubricant on the vibration of the bearing inner ring under different external loads. This paper is based on the critical inlet distance  $L^*$  defined by Hamrock [26] to determine whether the bearing is starved. According to Figures 16–19 the lines trend changed at the boundary between fully flooded and starved conditions. This indicates that the bearing vibration changed under starvation and full flooding. In the absence of oil, factors such as uneven contact between the ball and the inner ring can have a greater impact on bearing vibration. The degree of changing in bearing vibration with load was also more pronounced. The contact force and friction between the inner ring and the ball increased because of starved lubrication. The contact force between the ball and the inner ring was stronger, and the vibration of the inner ring increased. Lubrication increased the contact stiffness of the contact pairs in the bearing, and the natural frequency of the bearing vibration also increased. In the case of a sufficient amount of oil, the thickness of the oil film substantially unchanged. The contact load between the ball and the inner ring was evenly distributed, and the frictional force decreased to gradually reduce the bearing vibration and reach a steady state. The contact stiffness of the contact pair in the bearing tended to be stable, so the inherent frequency of the bearing vibration did not change.

#### 5. Conclusions

This paper proposes a bearing dynamic model that considers the change of the lubrication parameters and conducts investigations on the bearing vibration based on the models. The results show that the vibration conditions of the bearing under the starved lubricated conditions are different from those with enough oil. In the case of oil starvation, factors such as non-uniform contact between the ball and inner ring have a greater impact on bearing vibration. The bearing vibration decreases as the oil flow increases, and the bearing vibration can be minimized when the amount of lubricant is just enough to prevent a substantial reduction in the minimum film thickness. Furthermore, the peak frequencies also increase with the oil flow, and the growth flattens as the oil continues to increase towards fully flooded. This study obtained the impact of lubricant supply on bearing vibration and provided theoretical foundations to the application of full ceramic bearings.

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## Nomenclature

а	The long half axis of the ellipse
b	The short half axis of the ellipse
D	The normal ball diameter
d	The pitch diameter of the bearing
e	The constant of nature
Е	Equivalent elastic modulus
$E_{1}, E_{2}$	Elastic moduli of the two contact materials
$F_{x}, F_{y}, F_{z}$	Components externally applied forces of X, Y, and Z directions
$F_{Rnii}, F_{Rnoi}, F_{RSii}, F_{RSoi}$	Hydrodynamic frictional forces at the inlet zone
$F_{ni}, F_{\pi i}$	Inertial force component of the ball
FD:	Aerodynamic resistance acting on the ball by the gas-oil mixture:
$f_{\mathbf{x}}, f_{\mathbf{y}}$	The natural frequency of bearing vibration in axial and radial directions
G	Material parameter
Guis Gri	Components of the ball's inertia moment of $u_{\rm b}$ ; and $z_{\rm b}$ ; directions
$h_{1}$	The oil film thickness at the lubrication inlet
H <sub>a</sub>	A dimensionless central oil film thickness
h.	The central oil film thickness
h.	The minimum oil film thickness
h a	The actual central oil film thickness
<i>n</i> <sub>c1</sub>	Moments of inertia of the <i>i</i> th hall
<sup>1</sup> bj I. I. I.	The principal moments of inertia of the inner ring in the inertial coordinate system
$I_{1x}, I_{1y}, I_{1z}$	Components of the ball's moment of inertia of $y_{1}$ , $y_{2}$ and $z_{3}$ directions
jx, jy, jz	The <i>i</i> th ball
J K	The full ball
K <sub>oil</sub> V	The contact stiffness
K <sub>C</sub> V	The contact sufficient between the hall and the receiver
Κ	The radial component of the comprehencive stiffness between the ith ball and the
K <sub>rij</sub>	interaction of the comprehensive sumless between the jui ban and the
	The avial component of the comprehensive stiffness between the <i>i</i> th ball and the
K <sub>aij</sub>	incomponent of the comprehensive summess between the jur ban and the
,	The avial component of the comprehensive stifferess between the <i>i</i> th hall and the
K <sub>aej</sub>	The axial component of the comprehensive stillness between the jut ball and the
,	The self-decomposition of the second sector of the
K <sub>rej</sub>	The radial component of the comprehensive stiffness between the <i>f</i> th ball and the
	outer ring
$(K_{oil})_{ij}$	The oil film stiffness of the <i>j</i> th ball and the inner ring
$(K_{oil})_{ej}$	The oil film stiffness of the <i>j</i> th ball and the outer ring
$(K_c)_{ij}$	The contact stiffness between the <i>j</i> th ball and the inner ring
$(K_c)_{ej}$	The contact stiffness between the <i>j</i> th ball and the outer ring
Ka	Axial stiffness
Kr	Radial stiffness
ĸ	Ellipticity
L	The dimensionless distance between the inlet and the Hertz contact center
L*	The boundary between fully flooded and starved
$M_y, M_z$	Externally applied torques
m <sub>i</sub>	Mass of the inner ring
mb	Mass of the ball
M	Mass of the bearing system
$\{O; X, Y, Z\}$	The inertial coordinate system of the bearing
$\{O; X_i, Y_i, Z_i\}$	The inner ring coordinate system of the bearing
$\{O_{\mathbf{b}j}; X_{\mathbf{b}j}, Y_{\mathbf{b}j}, Z_{\mathbf{b}j}\}$	The coordinate system of the <i>j</i> th ball
$P_{\mathbf{R}\eta j}, P_{\mathbf{R}\xi j}$	Rolling frictional forces acting on the ball's surface
$P_{S\eta j}, P_{S\xi j}$	Sliding frictional forces acting on the ball's surface

Qij, Qej	Normal contact forces between the ball and raceway
Q <sub>cj</sub>	Collision force between the <i>j</i> th ball and the cage
$Q_v$	The supplied oil flow rate
Q	Normal contact force between the ball and raceway
r <sub>ij</sub>	The rolling radius
R <sub>i</sub>	Groove curvature radius of inner race raceway
R	The equivalent radius of curvature
$R_x$	The equivalent radius of curvature in the long axis direction
$R_y$	The equivalent radius of curvature in the short axis direction
r	The inner ring radius
$T_{\eta i j}, T_{\eta e j}, T_{\xi i j}, T_{\xi e j}$	Traction forces of the contact surfaces
и	The average surface velocity
U	Velocity parameter
$v_1$ and $v_2$	Poisson's ratios of the two contact materials
W	Load parameter
$\ddot{x}_i, \ddot{y}_i, \ddot{z}_i,$	The acceleration of the inner ring in the inertial coordinate system
$\ddot{x}_{bj}, \ \ddot{y}_{bj}, \ \ddot{z}_{bj}$	Displacement accelerations of the <i>j</i> th ball in the inertial coordinate system
X <sub>b</sub>	The actual distance between the inlet and the Hertz contact center
$\alpha_{ij}, \alpha_{ej}$	Contact angles between the ball and raceway
$\alpha_0$	The pressure index of viscosity
δ	The contact deformation between the ball and the inner ring
η	The short axis of the ellipse
$\eta_0$	The dynamic viscosity at normal pressure
τ	The kinematic viscosity of the lubricating oil
$\varphi_{ij}$	The azimuth of the <i>j</i> th ball
ξ	The long axis of the ellipse
$\dot{\theta}_{bi}$	Orbit speed of the <i>j</i> th ball in the inertial coordinate system
ω	The angular velocity of the inner ring
$\omega_{ix}, \omega_{iy}, \omega_{iz}$	Represent the angular velocity of the inner ring in the inertial coordinate system
$\dot{\omega}_{iy}, \dot{\omega}_{iz}$	The angular accelerations of the inner ring in the inertial coordinate system
$\omega_{xi}, \omega_{yi}, \omega_{zi}$	The angular velocity of the <i>j</i> th ball in {Ob <i>j</i> ; Xb <i>j</i> , Yb <i>j</i> , Zb <i>j</i> }
$\dot{\omega}_{xi}, \dot{\omega}_{yi}, \dot{\omega}_{zi}$	The angular accelerations of the <i>j</i> th ball in {Ob <i>j</i> ; Xb <i>j</i> , Yb <i>j</i> , Zb <i>j</i> }
$\omega_{\rm bix}, \omega_{\rm biy}, \omega_{\rm biz}$	The angular velocities of the <i>j</i> th ball in the inertial coordinate system
$\dot{\omega}_{\rm bix},  \dot{\omega}_{\rm biy},  \dot{\omega}_{\rm biz}$	The angular accelerations of the <i>j</i> th ball in the inertial coordinate system
Subscript i	Represents the inner ring
Subscript e	Represents the outer ring

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![](_page_16_Picture_26.jpeg)

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