

Review

Advances in Materials Design for All-Solid-state Batteries: From Bulk to Thin Films

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Featured Application: All solid-state lithium batteries, all solid-state thin-film lithium batteries.

Abstract: All-solid-state batteries (SSBs) are one of the most fascinating next-generation energy storage systems that can provide improved energy density and safety for a wide range of applications from portable electronics to electric vehicles. The development of SSBs was accelerated by the discovery of new materials and the design of nanostructures. In particular, advances in the growth of thin-film battery materials facilitated the development of all solid-state thin-film batteries (SSTFBs)—expanding their applications to microelectronics such as flexible devices and implantable medical devices. However, critical challenges still remain, such as low ionic conductivity of solid electrolytes, interfacial instability and difficulty in controlling thin-film growth. In this review, we discuss the evolution of electrode and electrolyte materials for lithium-based batteries and their adoption in SSBs and SSTFBs. We highlight novel design strategies of bulk and thin-film materials to solve the issues in lithium-based batteries. We also focus on the important advances in thin-film electrodes, electrolytes and interfacial layers with the aim of providing insight into the future design of batteries. Furthermore, various thin-film fabrication techniques are also covered in this review.

Keywords: lithium-ion batteries; solid electrolytes; all solid-state batteries; all solid-state thin-film batteries; nanostructured thin films; interfacial buffer layers; thin-film techniques; thin-film electrodes; thin-film electrolytes

1. Introduction

Lithium-ion batteries (LIBs) are one of the great successes of electrochemical energy storage devices utilized in diverse applications such as portable electronics, hybrid automobiles and even large-scale electrical power storage systems [1–4]. Since the first market emergence of LIBs in the 1990s, the performance of LIBs has been remarkably improved to meet the increasing demand for new energy storage systems with high energy density, high power density, long cycle life and a wide range of operating temperatures [5,6]. Moreover, rechargeable batteries are rapidly expanding to drivetrains [7,8], as can be seen from the quadrupled global sales of plug-in light vehicles from 0.55 to 2.21 million cars annually from 2015 to 2019 [9]. Thus, developing revolutionary energy storage systems is a critical task in today's energy-dependent society.

LIBs are composed of a cathode and an anode separated by an electrolyte. During discharging, the lithium ions (Li^+) migrate through the electrolyte from the anode to the cathode and a discharging current

flows through the external circuit, whereas the use of electrical energy pushes the electrons and Li^+ back to the anode during the charging process. Most of the commercial LIBs employ liquid electrolytes owing to their large electrochemical voltage windows, high ionic conductivities and great wettability with the internal components of LIBs [10]. Generally, the liquid electrolyte is a mixture of linear and cyclic carbonate-based organic solvents such as diethyl carbonate (DEC) [11], ethyl methyl carbonate (EMC) [11–13], dimethyl carbonate (DMC) [11,12], ethylene carbonate (EC) [12–14], propylene carbonate (PC) [11], and lithium salt such as lithium hexafluorophosphate (LiPF_6), lithium hexafluoroarsenate monohydrate (LiAsF_6), lithium perchlorate (LiClO_4) and lithium tetrafluoroborate (LiBF_4) [13,15,16]. However, these liquid electrolytes have severe drawbacks [17], including high flammability, narrow electrochemical stability windows, limited operating temperatures and irreversible decomposition. Due to their high flammability, organic liquid electrolytes are believed to be the main reason for fires and explosions in LIBs [18]. In addition, the formation of lithium dendrites with organic liquid electrolytes [19] leads to internal short circuits causing catastrophic failure of lithium-based batteries [20]. Therefore, developing alternative battery systems to prevent such issues of the liquid electrolytes as well as to provide high energy density and power is indispensable.

All-solid-state batteries (SSBs), which use non-volatile solid electrolytes, have emerged as an alternative battery system to replace the conventional LIBs with liquid electrolytes [21–23]. Not only are SSBs inherently safer owing to the lack of flammable organic components, SSBs also have a large electrochemical stability window, thus enabling a dramatic improvement in the energy density [24–26]. Furthermore, the SSBs have much higher power and energy characteristics compared with various batteries which are currently being developed as next-generation batteries [27,28]. The electrodes are required to have the following features in order to achieve high energy density: (i) high gravimetric capacity (in Ah/kg) and volumetric capacity (in Ah/L), i.e., a high number of electrons transferred per unit of reaction; (ii) high (cathode) and low (anode) standard redox potential of the respective electrode redox reaction, leading to high cell voltage. Moreover, electrochemical reactions in rechargeable cells at both anode and cathode electrodes must be highly reversible to maintain the capacity for thousands of cycles. Recent studies, therefore, have focused on developing new electrode materials [29,30] or engineering electrode architectures [31,32] to increase the energy density of SSBs. Among various attractive candidate materials for electrodes, the selection of cathode materials depends on the battery type, i.e., Li^+ or Li-metal batteries. In the case of LIBs, air-stable lithium-based intercalation compounds should be used as a cathode due to the absence of lithium in the anode [33–35]. On the contrary, for Li-metal batteries, the cathode does not need to be lithiated before cell assembly owing to the use of metallic lithium as an anode [36]. Among a large number of materials proposed for the cathode in LIBs, transition metal oxides have been recognized as one of the most promising cathode materials [37–39]. For the anode, graphitic carbon allotropes were mostly used in LIBs, but the use of lithium metal can significantly increase the volumetric energy density by up to 70% with respect to graphite (Figure 1) [40,41]. However, lithium metal electrodes encounter formidable challenges such as uncontrollable dendrite growth and high reactivity with solid electrolytes, which hampers the use of lithium metal electrodes [20]. Alternatively, recent studies of anode materials have focused on lithium transition metal oxides, vanadium oxides or lithium metal nitrides [42–44]. One of the key features of SSBs is replacing liquid electrolytes with solid electrolytes, which can dramatically enhance the safety of batteries. In order to replace the current organic liquid electrolytes, solid-state electrolytes need to possess high ionic conductivity, negligible electronic conductivity and good stability in contact with the anode and cathode electrodes [45,46]. Many different types of inorganic solid electrolytes—Na superionic conductor (NASICON) [47], perovskite [48], lithium phosphorous oxy-nitride (LiPON) [49–51], sulfide [27,52,53] and garnet [54–56]—are widely studied in SSBs.

With the development of SSBs, all-solid-state thin-film batteries (SSTFBs) have received significant attention in recent years [57–59] that can be used for low power microelectronic devices (e.g., implantable medical devices) and energy harvesting technologies [60]. Similar to conventional LIBs, SSTFBs consist of a cathode, an anode and an electrolyte. Owing to the difference in chemical potentials of lithium

in the two electrodes, the transfer of Li^+ from the anode through the electrolyte into the cathode (discharge) delivers energy, whereas the reverse lithium transfer (charge) consumes energy. One unique feature of SSTFBs is the usage of nanostructured thin films and thus SSTFBs can significantly reduce the transport distance of charge carriers, enhancing the kinetics of lithium storage [61,62]. Furthermore, the overall performance of SSTFBs can be controlled by modulating the physical and chemical properties of thin films. In order to make SSTFBs, all the battery components need to be fabricated into multilayered thin films by suitable thin-film techniques.

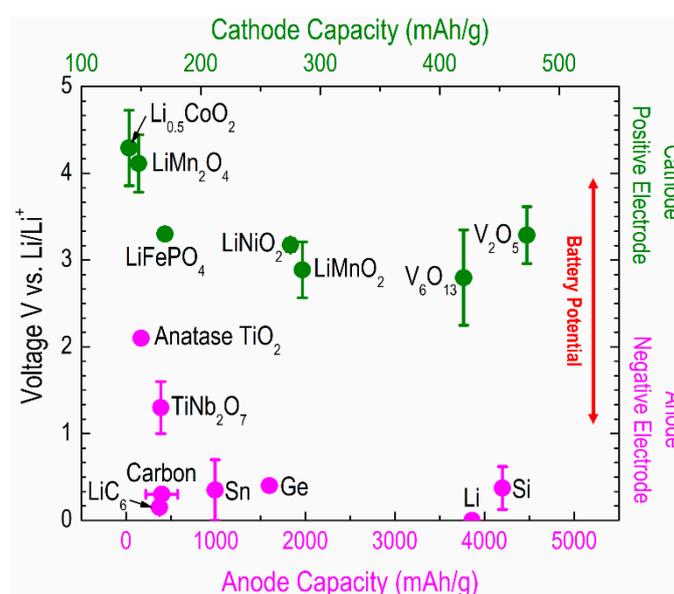


Figure 1. Capacities and voltage windows of various cathode and anode materials [40,41,63–74]. Battery potential is the relative difference between the voltage of the positive electrode and the negative electrode.

While SSBs and SSTFBs have shown their potential as the next major advances beyond LIBs, their performances have not yet been reached the practical level mainly due to the limits of intrinsic material properties. Therefore, understanding and controlling the properties of electrode and electrolyte materials will provide insight into the enhancement of the next-generation battery performances. Despite several available reviews of SSBs, most efforts have primarily focused on progress in the solid-state electrolytes [55,75–79]. Indeed, only a few comprehensive reviews [80–82] on thin-film electrodes and electrolytes for SSTFBs are available. In this review, we aim to provide a synopsis of the major developments and achievements in electrode and electrolyte materials in both bulk and thin-film forms for SSBs and SSTFBs, which can facilitate the development of high-performance electrochemical energy storage systems. First, we discuss recent progress in bulk-based cathodes, anodes and electrolytes for lithium-based batteries. We then cover in detail the interfacial phenomena between the two electrodes and the electrolyte, followed by approaches to resolve the interfacial issues by thin-film technologies. We also briefly review recent advances in SSTFBs, focusing thin film-based components. Finally, we conclude with an outlook on the opportunities in future research.

2. Electrodes and Electrolytes for Lithium-Based Batteries

As described earlier, the alleviation of safety concerns by using solid electrolytes is the key feature of SSBs. Moreover, solid electrolytes exhibit a large electrochemical window (up to five volts), which can enable the utilization of high voltage cathode materials as well as lithium metal anode. In this section, we discuss the merits and challenges of the bulk-based electrode and electrolyte materials for the next-generation SSBs. Electrode engineering and solid electrolyte development strategies are explained in detail.

2.1. Electrodes

Selecting electrode materials for the next generation of batteries needs careful considerations with regards to safety, energy density, cost, cyclability, crustal abundance and recyclability. Electrode chemistries which rely on the mining of rare elements and the utilization of complex ceramic processing greatly diminish the sustainability of an electrode material [83]. In addition to the extraction and processing of raw materials, a holistic approach considering the electrode synthesis and device fabrication must be taken into the actual energy cost of battery fabrications.

2.1.1. Anodes

Lithium is the most attractive anode material because of its high theoretical capacity (3860 mAh/g) and its lowest negative electrochemical potential (−3.040 V vs. SHE). Despite these advantageous intrinsic properties, there are massive challenges to the successful incorporation of lithium metal anodes into commercialized lithium-based batteries. The foremost obstacle is the formation of lithium metal dendrites during cycling, which can cause thermal run-away [84]. Since the first patent for a dichalcogenide lithium metal battery was awarded to Moli Energy in 1980, numerous strategies have been developed to manage the formation of dendrites [85]. Approaches such as electrolyte additives [86], artificial solid electrolyte interface (SEI) layers [87] and engineering of the interfacial layers and lithium host [88,89] have offered moderate successes. A very appealing solution is the use of a solid electrolyte mechanical barrier to inhibit dendrite growth, which will be discussed in detail later. With increasing interest in SSBs, recent investigations into lithium-metal anodes have focused on the nucleation kinetics, flow behavior and host–matrix type electrode supports in SSBs. For example, using conductive atomic force microscopy, Lushta et al. [90] described the characteristic temperature-independent energy barriers to lithium nucleation and growth on a $\text{Li}_{1+x}\text{Al}_x\text{Ti}_{2-x}(\text{PO}_4)_3$ (LATP) type solid conductive glass substrate. Interestingly, the nucleation was shown only to occur on the LATP and not on the interspersed insulating AlPO_4 .

Silicon (Si) with a specific capacity (3580 mAh/g) similar to lithium metal anode is an attractive anode material for the next generation of batteries [91]. The primary challenge to further implementation of the Si anode is the volume expansion in excess of 300% when fully intercalated with lithium. The associated stresses induce structural failure causing pulverization of the particles, which results in poor capacity retention and inferior coulombic efficiency [92]. Strategies to improve this bottleneck such as surface engineering (pores) [93], size and shape control (nanowires/tubes) [94–96], surface modification [97], component modulation (M–Si alloying) and encapsulation in a carbon-rich matrix (graphene, graphdiyne) are widely studied. Throughout these strategies, maintenance of mechanical and conductive networks and interfacial contact are the thematic challenges. Here, we introduce recent works on Si engineering to improve the performance of LIBs. Shang et al. [98] recently synthesized 2D-graphdiyne-wrapped silicon nanoparticles (Si-NPs), which showed a capacity of 4122 mAh/g at 0.2 A/g and 1988 mAh/g at 10 A/g. Zhou et al. [99] used a different approach to ensure transport across the carbon support by using an N-doped graphite coating (8–12 nm) of Si-NPs to facilitate Li^+ mobility. Xu et al. [100] reported the encapsulation of Si-NPs in a spiderweb-like carbon structure by using graphene oxide anchors. Hwang et al. [101] synthesized porous silicon nanowires by metal-assisted chemical etching of boron-doped p-type Si(100) wafers with Ag nanoparticles. By controlling the doping concentration through an acid-mediated synthesis, the authors achieved high surface area silicon nanowires (up to 260 m^2/g) with a low charge transfer resistance of 9 Ohms. Li et al. [102] demonstrated the creation of a Si-NP/C composite anode with high tap-density ($\sim 1.0 \text{ g}/\text{cm}^3$) anchored onto flake graphite.

Graphitic carbon is the most widely used anode material for commercial LIBs. Energy is stored via lithium intercalation between constituent graphene sheets with a fully lithiated formula of LiC_6 . Studies on the insertion of Li in carbon are still active. The super-dense phase of lithium intercalated between a graphene bilayer was reported by Kühne et al. [103]. Using in situ TEM imaging, they revealed an in-plane lattice constant of 3.1 Å, which is an unexpected result, compared to the

ordinarily required very low temperatures and high pressures for such dense packing. Although it may be thermodynamically unfavorable for ions with larger radii than lithium to form intercalation compounds in bulk graphite, Nandi and Das reported the use of exfoliated graphite (foam) used with Cu and Al cathodes in an aqueous electrolyte [104]. Yang et al. [105] reported the use of a graphite anode for use with a water-in-salt electrolyte. A specific capacity of 243 mAh/g at 4.2 V vs. Li/Li⁺ was reported for mixed lithium halide (Br, Cl). Graphdiyne with natural pores could be a high capacity carbon-based alternative to graphene-based anodes. Mortazavi et al. [106] predicted that P- and N-doped triphenylene-graphdiyne nanosheet monolayers could yield capacities well above graphite. From first principle calculations, they suggested capacities of 1979 mAh/g and 2664 mAh/g for P-TpG and N-TpG, respectively.

Ti-based compounds are promising anode materials known for their outstandingly high-rate capacity, negligible volume change and cycling stability, as well as improved safety over graphite [107]. Ti-based materials, however, suffer from low specific capacity, low electronic/ionic conductivity and relatively low gravimetric/volumetric energy density. To compete with commercial anode materials, several approaches have been studied including morphologic control, doping, surface modification, increasing the working voltage by using high-voltage cathodes and maximization of electroactive materials [108]. Among TiO₂-based anodes that exist as eight different phases (Figure 2), the predominant three phases of interest for lithium insertion anodes are rutile, anatase and bronze phase (TiO₂(B)) with typical operating potentials ~1.3–1.8 V vs. Li/Li⁺. Li et al. [109] reported the synthesis of porous nanospheres of TiO₂ (anatase and B phase) coated with graphene nanoribbons to have a high initial charge capacity of 390 mAh/g and 79.5% coulombic efficiency. Spinel Li₄Ti₅O₁₂ (LTO) has also received considerable attention because of its excellent cycling stability originating from small volume changes during Li insertion/extraction reactions. However, its low electronic and ionic conductivities required further engineering to enhance the power performance of batteries. For example, Bai et al. [110] reported the enhanced performance of batteries by substituting Cu for Li in LTO in which Cu_{0.8}LTO showed a capacity of 138.5 mAh/g at 40 C rate. Furthermore, capacity retention of 90.4% (132.3 mAh/g) was obtained after 2500 cycles at 10 C with Cu_{0.8}LTO.

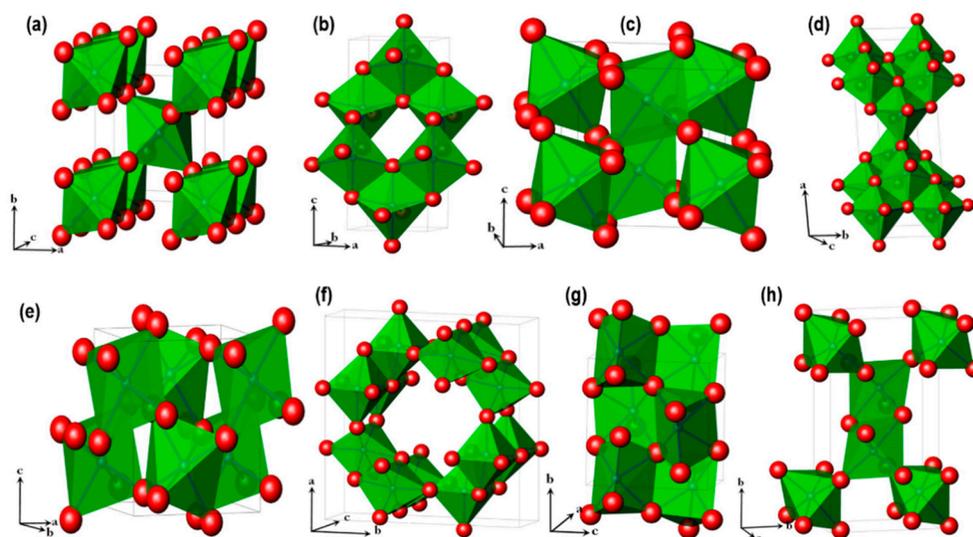


Figure 2. TiO₂ crystal structures of (a) rutile, (b) anatase, (c) bronze, (d) brookite, (e) columbite, (f) hollandite, (g) baddeleyite and (h) ramsdellite phases. Reprinted from ref. [111] with permission of Elsevier.

Recent progress on Ti-based anode was achieved by porosity control, structural water removal or composite formation. Liu et al. [112] studied the interface between a dual anatase/(B) phase nanoflower heterostructure (pore size < 10 nm) formed by a one-step hydrothermal processing method.

According to this study, TiO₂(B) doping of anatase can effectively improve the pseudocapacitive behavior lowering polarization. Using density functional theory calculations, the authors also suggested that the interface can act as a cationic site reservoir. The highest reported discharge capacity was 343.9 mAh/g attributed to vacancies in the Ti³⁺-O bonds, Ti-C bonds and high porosity. Wang et al. [113] reported a low-temperature (190 °C) multi-phase synthesis of layered lithium titanate hydrate (Li_{1.25}H_{1.63}Ti₂O_{5.44-σ}) by removing loosely bound water (such as adsorbed and crystallographic) but keeping tightly bound pseudohydrates (hydroxides and hydronium ions or -OH, -H surface terminations). This method avoided detrimental structural coarsening. Xu et al. [114] reported a strategy to extend the ion-insertion channels within a titanate anode by doping Li_{1.81}H_{0.19}Ti₂O₅·nH₂O with 3 nm MoS₂ quantum dots. A strong heterointerfacial effect between LTO and the coupled MoS₂ quantum dots created a space charge layer near the interface, reducing the diffusion distance due to the significantly altered redox potential distribution near the surface. Christensen et al. [115] reported that disordered nano-rutile transforms into a composite of ~5 nm domains of a layered Li_xTiO₂ α-NaFeO₂-type structure with ~1 nm Li_xTiO₂ grain boundaries with a columbite-like structural motif. Yang et al. [116] reported the synthesis of a TiO₂@α-Fe₂O₃ core-shell nanostructure to deliver a high capacity of 820 mAh/g after 1000 cycles at 500 mA/g.

Han et al. [117] reported a new insertion type anode material, TiNb₂O₇ (TNO), in 2011. TNO has a monoclinic structure (C2/m) utilizing the Ti (IV)/Ti (III), Nb (V)/Nb (IV) and Nb (IV)/Nb (III) redox couples to insert 5 Li⁺ per formula (theoretical capacity: 387.6 mAh/g). It is a high potential anode (1.3–1.5 V vs. Li/Li⁺); additionally, TNO has excellent cycling stability and its volumetric capacity is twice (1680 mAh/cm³) compared to that of graphite (837 mAh/cm³). Despite its high theoretical capacity, poor electronic and ionic conductivities [118] of TNO require additional materials engineering approaches such as doping [119], conductive layer coating [120] or nanomaterials formation [121] to improve the performance of batteries. Increasing the electrochemically active surface area by creating porous structures was effective in maintaining a long cycle life. Park et al. [122] synthesized porous TNO nanotubes by electrospinning. These nanotubes maintained a capacity of 210 mAh/g after 700 cycles at 1 C. Guo et al. [123] formed a porous TNO structure by using a sol-gel method and achieved a capacity of ~200 mAh/g after 1000 cycles at 5 C. Jo et al. [124] synthesized mesoporous TNO crystals using poly(ethylene oxide)-b-poly(styrene) block copolymers reporting 190 mAh/g of capacity after 2000 cycles at 10 C. Summary of the discharge capacity among anode materials are provided in Table 1.

Table 1. Comparison of the discharge capacity among different anode materials.

Material	Discharge Rate (C)	Current Density (mA/cm ²)	Initial Discharge Capacity (mAh/g)	Ref.
Si	0.05		2834	[93]
Si	0.2		2433	[95]
Si		0.2	3000	[96]
Si		200	4122	[98]
Si	0.5		4021	[100]
Si	0.05		3400	[101]
Li _{1.25} H _{1.63} Ti ₂ O _{5.44-σ}	35		130	[113]
TiO ₂	10		131	[109]
TiO ₂	0.1		343	[112]
TiO ₂	0.1		320	[115]
TiO ₂ @α-Fe ₂ O ₃		100	800	[116]
Cu _{0.8} LTO	0.1		209	[110]
TNO	100		184	[119]
TNO	1		195	[121]
TNO	50		230	[122]
TNO	0.1		281	[123]
TNO	0.1		289	[124]

2.1.2. Cathodes

Lithium transition metal oxides have an α -NaFeO₂-type structure, which is a distorted rock salt superstructure. The general formula of lithium transition metal oxides can be written as LiMO₂ (M = V, Cr, Fe, Co and Ni). With a theoretical capacity of 274 mAh/g, lithium cobalt oxide (LiCoO₂, LCO) is the first layered transition metal oxide cathode successfully commercialized. The success of this material as a cathode for LIBs is easily evidenced by its utilization in most portable electronic devices. Unfortunately, the theoretical capacity is unrealized due to the presence of phase transitions during the lithiation and delithiation processes [125–127].

Doping has been widely employed to suppress the order–disorder transitions of LCO, the transition from hexagonal to monoclinic structure [128]. Predominantly, Mg, Zr, Al, La, Ni, Fe, Cr, Mn and Ti have been used to suppress detrimental phase transitions which lead to crack formation due to the associated large mechanical stresses. Liu et al. [129] demonstrated that doping with La and Al enhances the capacity (190 mAh/g at 0.1 C) of LCO and maintains a 96% capacity retention after 50 cycles. According to this study, La doping increases the c-axis distance and the doped Al acts as a positive charge center, which is expected to suppress the order–disorder transition. The Li⁺ diffusion coefficients were shown to improve from 6.5×10^{-11} cm²/s (pristine) to 1.2×10^{-10} cm²/s (doped LCO) during the initial charge stage.

Manganese-based oxide (LiMnO₂ or LiMn₂O₄) cathodes are widely seen as an economical replacement of Co. Although these materials have merits in abundance, they suffer from first cycle irreversible capacity, low discharge capacity retention, low rate capability and substantial charge–discharge voltage hysteresis. Recently, Uyama et al. [130] reported a tetragonal phase of LiMnO₂ synthesized at high pressures (5–12 GPa) that shows a dramatic capacity increase during the initial cycles to 185 mAh/g. Tian et al. [131] showed that the Mn-ion migration into the Li layer significantly blocks Li⁺ diffusion in monoclinic LiMnO₂ by first-principle calculations. The cubic spinel LiMn₂O₄ (LMO) received more attention than other phases because the layered LiMnO₂ is shown to be a metastable phase [132]. However, manganese dissolution from the spinel phase is a challenge to achieve long cycle life batteries [133,134]. Using the LMO planar structure, Hirayama et al. [135] showed that the (111) surface is more stable than (110) in carbonate-based electrolytes. Tang et al. [136] observed the formation of the Mn₃O₄ phase, a good source of soluble Mn²⁺, on the LMO surface after cycling. Doping of the spinel phase with Al [137], Yt [138], Ce [139], Nb or PO₄ [140] is useful for stabilizing capacity and mitigating the discharge voltage decay (Figure 3).

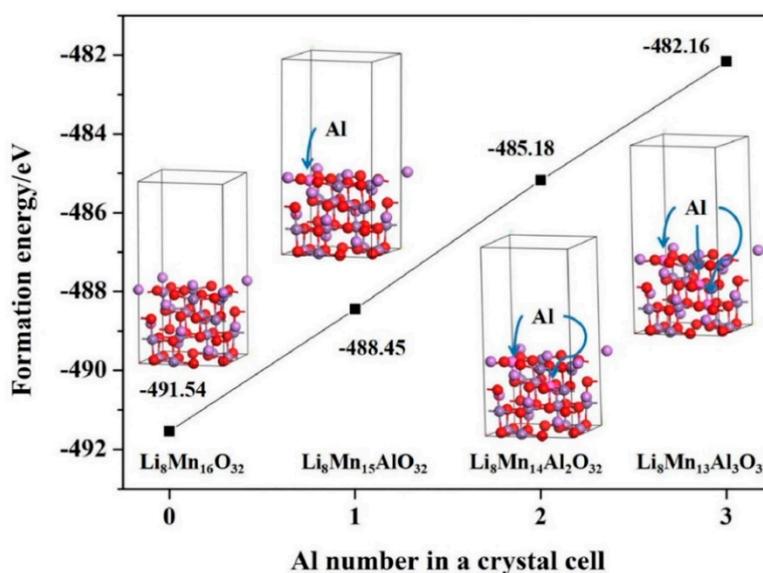


Figure 3. Calculated formation energy of Al-doped cubic spinel LiMn₂O₄ (LMO). Reprinted from ref. [137] with permission of Elsevier.

In 1994, a high voltage cathode, LiNiVO_4 (LNVO) with an inverse spinel structure and space group $\text{Fd-}3\text{m}$ (O_h^7) (Figure 4), was introduced, exhibiting an operating potential of 4.8 V (vs. Li/Li^+) with 1 M LiPF_6 PC/EC/DMC (25/25/50) electrolyte [141,142]. These high voltage materials are good candidates for SSBs cathodes as some of the solid-state electrolytes presented an electrochemical stability limit higher than 5 V [143]. A similar class of high voltage inverse spinel vanadate cathodes includes LiCoVO_4 and LiMnVO_4 [144]. LNVO has been prepared in several different ways [145]. Thongtem et al. [146,147] developed a synthesis method using malic and tartaric acid complexes to prepare nanocrystallites. The inverse-spinel structure has been prepared by a sol-gel method [148,149]. Qin et al. [150] reported the synthesis of porous LNVO powder using solution combustion.

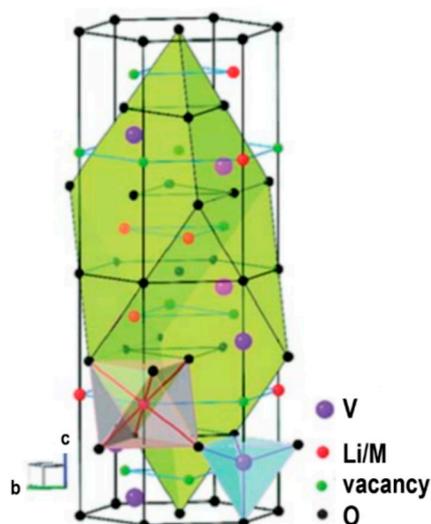


Figure 4. Crystal structure of LiMVO_4 ($\text{M} = \text{Ni}$ or Co). Reprinted from ref. [151] with permission of The Royal Society of Chemistry.

Similar to LMO, $\text{LiNi}_{1-x-y}\text{Mn}_x\text{Co}_y\text{O}_2$ (NMC) type cathodes are widely seen as alternatives to LCO. The first study of these cathode types reported by Ohzuku et al. [152] with stoichiometry $\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$ (NMC-333) exhibited good rate capability and practical capacity about 160 mAh/g. In alloys that maintain an equimolar ratio between Ni and Mn, only Ni and Co are electroactive, with Mn generally serving as a structural stabilizer [153,154]. Xu et al. [155] reviewed several nickel rich cathode phases with reduced cobalt content. Across 35 unique NMC stoichiometries from 47 different literature reports, the mean cutoff voltage range is 2.7–4.4 V vs. Li/Li^+ with an average first cycle discharge capacity of 173 mAh/g. At higher operating voltages (3.0–4.5 V vs. Li/Li^+), Jung et al. [156] reported the degradation of rhombohedral NMC-523 to be covered in a spinel phase $\text{Ni}_{0.45}\text{Mn}_{0.2}\text{Co}_{0.3}$ with spots of cubic NiO. The electrochemically inactive NiO rocksalt phase is shown to cover the NMC particles entirely when operating at 3.0–4.8 V. Most recent work to maintain the surface integrity of NMC particles has been focused on surface modification/doping [157], regulation of crystallinity [158,159] and addition of electrolyte additives [160–163]. Lv et al. [164] reported the doping of a nickel rich $\text{LiNi}_{0.83}\text{Co}_{0.12}\text{Mn}_{0.05}\text{O}_2$ phase by co-precipitation and sintering with Mg. The sample sintered at 770 °C demonstrated an initial discharge capacity of 201.8 mAh/g, with 74% capacity retention after 200 cycles. Wu et al. [165] reported NMC-811 doped with Ga^{3+} (Figure 5) to partially replace Mn^{4+} by co-precipitation, demonstrating that the Ga^{3+} doping contributed to the reduced polarization, lowered charge transfer resistance and increased diffusion coefficient. They observed that the best initial discharge capacity was lower than the undoped samples, but all doped samples showed much better capacity retention (~90%) after 100 cycles.

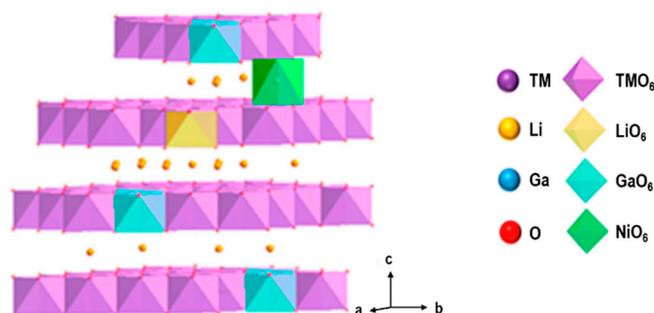


Figure 5. Crystal structure of Ni-rich $\text{LiNi}_{1-2y}\text{Mn}_y\text{Co}_y\text{O}_2$ (NMC) ($y \leq 0.1$). Reprinted from ref. [165] with permission of Elsevier.

Zhang et al. [166] reported doped NMC-811 with Ti using a solid-state synthesis method, demonstrating high initial discharge capacity (214.9 mAh/g at 0.1 C and 165.02 mAh/g at 1 C, 136.9 mAh/g at 5 C) and improved cyclic stability (77.01% after 150th at 1 C and 86.54% after 50th at 5 C) by increasing the interlayer spacing. They also reported a lithium diffusion coefficient of $6.8 \times 10^{-12} \text{ cm}^2/\text{s}$. Fluorine doping has also been used to achieve better performance with NMC cathode material. Calcination with LiF was shown to increase the initial discharge capacity of NMC-811 to 213.5 mAh/g with an 87.7% capacity retention after 50 cycles at 1 C [167]. Better retention was obtained after ball milling and annealing the fluoride-doped particles. After annealing, the initial discharge capacity slightly decreased (200.8 mAh/g), but the capacity retention was greatly improved (95.7% after 50 cycles at 1 C). Wang et al. [168] used the co-doping of La and F to improve surface stability. In this study, the capacity retention was 86.63% and 80.79% after 200 cycles and 300 cycles at 1 C and 8 C, respectively, with an initial discharge capacity of 205 mAh/g at 0.1 C. The highest discharge capacity was achieved by doping NMC with tungsten. Zhang et al. [169] report an initial high discharge capacity of 259.2 mAh/g for W-doped $\text{Li}_{1.2}\text{Mn}_{0.54}\text{Ni}_{0.13}\text{Co}_{0.13}\text{O}_2$ through a sol-gel method. The valence state of tungsten was determined to be 6, and the resulting structure was identified as hexagonal $\alpha\text{-NaFeO}_2$. Hashigami et al. [170] reported high capacity retention of 92.4% after 100 cycles with an initial discharge capacity of 186 mAh/g at 0.1 C rate for NMC-811 impregnated with 2% wt. lithium silicate. A summary of the discharge capacities among cathode materials is provided in Table 2.

Table 2. Comparison of the discharge capacity among different cathode materials.

Material	Doping	Discharge Rate (C)	Initial Discharge Capacity (mAh/g)	Ref.
LCO	Ba, Ti	0.2	190.5	[128]
LCO	La, Al	0.1	190	[129]
LMO	Y	0.5	120	[138]
LMO	Ce	1	101	[139]
LNVO		0.02	80	[141]
LNVO		0.1	30	[142]
NMC-811	Ta	0.067	212	[157]
$\text{LiNi}_{0.83}\text{Co}_{0.11}\text{Mn}_{0.6}\text{O}_2$		1	200	[158]
NMC-811	$\text{LiPO}_4\text{-AlPO}_4\text{-Al(PO}_3)_3$	0.1	218	[171]
$\text{Li}_{1.14}\text{Ni}_{0.14}\text{Co}_{0.14}\text{Mn}_{0.54}\text{O}_2$	$\text{La(PO}_3)_3$	0.1	286	[172]
NMC-811	LiTa_2PO_8	0.1	231	[173]

2.2. Solid Electrolytes

Due to the lack of liquid electrolytes with electrochemical windows above 5 V, until now, the selection of electrode materials has been limited. However, recent advances in developing inorganic solid electrolytes have demonstrated the possibility of using other electrode materials. Ideal inorganic solid electrolytes are required to have thermal/chemical stability, high ionic conductivity and long

cycle life. Table 3 shows a summary of ionic conductivities for several solid inorganic electrolytes. In particular, oxide materials, which present excellent stability in ambient conditions, are promising solid electrolytes for SSBs. In this section, we mainly focus on the chronological research progress of oxide electrolytes from their introduction to the field, in addition to two representative non-oxide solid-state electrolytes, anti-perovskites and sulfides.

Table 3. Comparison of the ionic conductivities of different solid electrolytes.

Category	Electrolyte	Ionic Conductivity (S/cm)	Activation Energy (eV)	Temperature (°C)	Ref.
NASICON	$\text{Li}_{1+x}\text{Al}_x\text{Ti}_{2-x}(\text{PO}_4)_3$ ($x = 0.2$)	3.4×10^{-3}	0.28	RT	[174]
NASICON	$\text{Li}_{1+x}\text{Al}_x\text{Ge}_{2-x}(\text{PO}_4)_3$ ($x = 0.2$)	10^{-4}	0.38	RT	[175]
NASICON	$\text{Li}_{1+x}\text{Al}_x\text{Ge}_{2-x}(\text{PO}_4)_3$ ($x = 0.5$)	4.22×10^{-3}	0.51	27	[176]
LISICON	$\text{Li}_{3.6}\text{Ge}_{0.6}\text{C}_{0.4}\text{O}_4$	4×10^{-5}	0.44	RT	[176]
LISICON	$\text{Li}_{10.42}\text{Ge}_{1.5}\text{P}_{1.5}\text{Cl}_{0.8}\text{O}_{11.92}$	3.7×10^{-5}	0.39	27	[177]
Thio-LISICON	$\text{Li}_{3.25}\text{Ge}_{0.25}\text{P}_{0.75}\text{S}_4$	2.2×10^{-3}	0.21	RT	[178]
LIPON	$\text{Li}_{3.3}\text{PO}_{3.9}\text{N}_{0.17}$	2.6×10^{-6}	0.56	25	[179]
LIPON	$\text{Li}_{3.2}\text{PO}_{3.0}\text{N}_{1.0}$	3.1×10^{-6}	0.57	RT	[180]
LIPON	$\text{Li}_{3.13}\text{PO}_{1.69}\text{N}_{1.39}$	4.9×10^{-6}	0.55	22	[181]
Perovskite	$\text{Li}_{0.34}\text{La}_{0.51}\text{TiO}_{2.94}$	2×10^{-5}	0.42	RT	[182]
Perovskite	$\text{Li}_{0.27}\text{Sr}_{0.063}\text{La}_{0.54}\text{TiO}_3$	4.84×10^{-4}	0.29	RT	[183]
Perovskite	$\text{Li}_{0.43}\text{La}_{0.56}\text{Ti}_{0.95}\text{Ge}_{0.05}\text{O}_3$	1.2×10^{-5}		RT	[184]
Perovskite	$\text{Li}_{0.38}\text{Sr}_{0.44}\text{Ta}_{0.75}\text{Zr}_{0.25}\text{O}_3$	2.0×10^{-4}	0.26	30	[185]
Perovskite	$\text{Li}_{3/8}\text{Sr}_{7/16}\text{Ta}_{3/4}\text{Zr}_{1/4}\text{O}_3$	2.7×10^{-4}	0.36	27	[186]
Perovskite	$\text{Li}_{3/8}\text{Sr}_{7/16}\text{Hf}_{1/4}\text{Ta}_{3/4}\text{O}_3$	3.8×10^{-4}	0.36	25	[187]
Perovskite	$\text{Li}_{3/8}\text{Sr}_{7/16}\text{Ta}_{3/4}\text{Hf}_{1/4}\text{O}_3$	5.2×10^{-4}	0.33	25	[188]
Garnet	$\text{Li}_7\text{La}_3\text{Zr}_2\text{O}_{12}$	2×10^{-5}	0.26	30	[189]
Garnet	$\text{Li}_5\text{La}_3\text{Nb}_2\text{O}_{12}$	10^{-6}	0.43	25	[190]
Garnet	$\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$	10^{-6}	0.56	25	[191]
Garnet	$\text{Li}_7\text{La}_2\text{Zr}_2\text{O}_{12}$	7.74×10^{-4}	0.32	RT	[55]
Garnet	$\text{Li}_{6.75}\text{La}_3\text{Zr}_{1.75}\text{Ta}_{0.25}\text{O}_{12}$	8.7×10^{-4}	0.22	25	[191]
Garnet	$\text{La}_3\text{Zr}_2\text{Li}_{6.55}\text{Ga}_{0.15}\text{O}_{12}$	1.3×10^{-3}	0.3	24	[192]
LiRAP	$\text{Li}_3\text{OCl}_{0.5}\text{Br}_{0.5}$	1.94×10^{-3}	0.2	RT	[193]
LiRAP	$\text{Li}_2(\text{OH})_{0.9}\text{F}_{0.1}\text{Cl}$	3.5×10^{-5}	0.52	RT	[194]
Sulfide	$\text{Li}_{10}\text{GeP}_2\text{S}_{12}$	1.7×10^{-2}	0.18	RT	[51]
Sulfide	$\text{Li}_{9.54}\text{Si}_{1.74}\text{P}_{1.44}\text{S}_{11.7}\text{Cl}_{0.3}$	2.5×10^{-2}	0.24	25	[27]
Sulfide	$\text{Li}_6\text{PS}_5\text{I}$	2.2×10^{-4}	0.26	RT	[195]
Sulfide	$\text{Li}_{22}\text{SiP}_2\text{S}_{18}$	3×10^{-3}			[196]
Argyrodite	$\text{Li}_{5.3}\text{PS}_{4.3}\text{Br}_{1.7}$	1.1×10^{-2}	0.18	25	[197]
Argyrodite	$\text{Li}_{5.5}\text{PS}_{4.5}\text{Cl}_{1.5}$	10^{-2}	0.27	25	[198]

RT = room temperature.

The NASICON structure, standing for Na^+ superionic conductors, was reported by Hangman et al. in 1968 [199]. It has a rhombohedral structure (space group R-3c) made of the framework of octahedra (MO_6 , M = divalent to pentavalent transition metal ions) and tetrahedra (XO_4 , X = P, Si, As). Two MO_6 octahedra and three PO_4 tetrahedra share oxygen atoms, which are assembled to form a 3D network structure. This structure provides a 3D interconnected conduction pathway for mobile ions, most commonly Na^+ or Li^+ [200]. The NASICON structure can have a wide range of compositional varieties, leading to varied ionic conductivities. The most promising NASICON-type Li^+ conductors are $\text{LiTi}_2(\text{PO}_4)_3$ and $\text{LiGe}_2(\text{PO}_4)_3$ with Al substitutions. Arbi et al. [174] reported the synthesis of $\text{Li}_{1+x}\text{Al}_x\text{Ti}_{2-x}(\text{PO}_4)_3$ (LATP) and $\text{Li}_{1+x}\text{Al}_x\text{Ge}_{2-x}(\text{PO}_4)_3$ (LAGP) conductors ($0 \leq x \leq 0.5$) giving conductivities of 3.4×10^{-3} S/cm (LATP, $x = 0.2$) and 10^{-4} S/cm (LAGP, $x = 0.2$) at room temperature. By enhancing the crystallization of LAGP, Thokchom et al. [175] reported a conductivity of 4.22×10^{-3} S/cm at room temperature. Although its high ionic conductivity is attractive, the instability of LATP in contact with Li metal due to the reduction of Ti^{4+} requires an additional protective

layer. Furthermore, expensive precursors to synthesize LAGP would require the substitution of Ge. As prototype cells, LAGP was used for a Li protection membrane for aqueous Li–air batteries [201,202].

By the 1980s, a considerable amount of work had been done on inorganic solid lithium superionic conductors, $\text{Li}_{4\pm x}\text{Si}_{1-x}\text{X}_x\text{O}_4$ ($\text{X} = \text{P}, \text{Al}$ or Ge , LISICON) [203]. LISICON is based on the $\gamma\text{-Li}_3\text{PO}_4$ structure that is expected to diffuse Li^+ through the vacancy mechanism in its pure state. The ionic conductivity of $\text{Li}_{14}\text{ZnGe}_4\text{O}_{16}$ was limited to 10^{-7} S/cm at room temperature and had reactivity with Li anode or atmospheric CO_2 [204]. Kuwano and West in 1980 reported much higher ionic conductivity for $\text{Li}_4\text{GeO}_4\text{-Li}_3\text{VO}_4$ systems with a total ionic conductivity of 4×10^{-5} S/cm at 18 °C with the addition of an interstitial diffusion mechanism [176]. The introduction of V^{5+} (e.g., $\text{Li}_{3+x}\text{Ge}_x\text{V}_{1-x}\text{O}_4$) contributed to stabilizing the structure in the presence of CO_2 in air. It is also reported that the $\text{Li}_4\text{SiO}_4\text{-Li}_3\text{PO}_4$ solid solution presented the ionic conductivity 10^{-6} S/cm at room temperature and had better stability against Li due to the absence of transition metal ions [75]. While maintaining the chemical stability in $\text{Li}_4\text{Si/GeO}_4\text{-Li}_3\text{PO}_4$ solid solution, the ionic conductivity could be further improved to $\sim 10^{-5}$ S/cm by substituting O with Cl, enlarging the four oxygen bottleneck size and lowering the diffusion barriers [177]. The most significant conductivity improvement of the LISICON structure was achieved with O replacement with larger and better polarizing ions, S, to form thio-LISICON. For example, the $\text{Li}_2\text{S-GeS}_2\text{-P}_2\text{S}_5$ system ($\text{Li}_{3.25}\text{Ge}_{0.25}\text{P}_{0.75}\text{S}_4$, called LGPS) reached a high ionic conductivity of 2.2×10^{-3} S/cm at room temperature [178]. However, the high sensitivity to moisture in air and difficulties in the synthesis of sulfide electrolytes remain as challenges.

In 1992, Bates et al. [179] reported the synthesis of lithium phosphorus oxynitride (LiPON, $\text{Li}_{3.3}\text{PO}_{3.9}\text{N}_{0.17}$) by RF-magnetron sputtering of Li_3PO_4 , which showed conductivity of 2×10^{-6} S/cm at 25 °C. Different from other electrolytes, LiPON has an amorphous structure, and its ionic conductivity is significantly affected by the amount of nitrogen [180,181,205]. Another route to improve the ionic conductivity of LiPON is to increase the Li concentration, as can be seen by the conductivity increase to 6.4×10^{-6} S/cm at 25 °C when LiPON was deposited with Li_2O in addition to Li_3PO_4 . Because of its easy deposition in thin films, LiPON can present a low resistance in the form of a thin film. Thus, LiPON is commonly used as the electrolyte for thin-film microbatteries (1–10 mAh) that can be used for smart cards, wearable devices, MEMS or implantable medical devices [205–207]. The deposition of LiPON and prototype battery performance will be discussed in detail later.

Among perovskite-type solid electrolyte materials, $\text{Li}_x\text{La}_{2/3-1/3x}\text{TiO}_3$ (LLTO) exhibited very high bulk ionic conductivity. The LLTO is composed of the ideal structure cubic phase $\alpha\text{-LLTO}$ with $\text{Pm}\bar{3}\text{m}$ symmetry and tetragonal phase $\beta\text{-LLTO}$ with a P4/mmm space group. In 1993, Inaguma et al. [182] showed the improved ionic conductivity of $\text{Li}_{0.34}\text{La}_{0.51}\text{TiO}_{2.94}$ compared to LISICON. The bulk and grain boundary activation energies of the cubic perovskite structure were reported to be $E_b = 0.40$ eV and $E_{gb} = 0.42$ eV, respectively. Importantly, the bulk ionic conductivity was reported to be 1×10^{-3} S/cm at room temperature, but the total ionic conductivity was 2×10^{-5} S/cm due to the high grain boundary resistance. Alonso et al. [208] identified the position of Li^+ in $\text{Li}_{0.5}\text{La}_{0.5}\text{TiO}_3$ using the neutron powder diffraction and suggested the Li^+ conduction pathway in LLTO. Jay et al. [209] proposed an additional diffusion pathway in the c-direction via a computational study that aligned more directly with experimental data. Lu et al. [183] synthesized $\text{Li}_{2x-y}\text{Sr}_{1-x-y}\text{La}_y\text{TiO}_3$ to enhance the Li^+ diffusion by increasing A site. With the composition of $\text{Li}_{15/56}\text{Sr}_{1/16}\text{La}_{15/28}\text{TiO}_3$ a total conductivity of 4.84×10^{-4} S/cm was achieved with an activation energy of just 0.29 eV.

Although its high bulk ionic conductivity is attractive, LLTO suffers from high grain boundary resistance and reactivity with Li metal. LLTO variants showed distinct discoloration when in contact with Li metal, and the Li intercalation at 1.7 V vs. Li/Li^+ into LLTO was observed, limiting their use with low potential anode materials [210–212]. To resolve the instability issue of LLTO with Li, Ti^{4+} was substituted with Ge^{4+} [184] or Zr^{4+} and Ta^{5+} [185] extending the cathodic stability limit of perovskites to 0–1 V vs. Li/Li^+ .

Studies that substitute Ti^{4+} were able to stabilize the electrolyte but showed lower ionic conductivities [75]. Inada et al. [185] managed to achieve viable ionic conductivities by Zr-substitution

with the composition of $\text{Li}_{3/8}\text{Sr}_{7/16}\text{Ta}_{3/4}\text{Zr}_{1/4}\text{O}_3$ (LSTZ). The newly formulated LSTZ produced a total conductivity value of 2.7×10^{-4} S/cm at 27 °C. The enhancement in conductivity relative to similar Ti-substitution studies can be attributed to the use of powder bed sintering. Powder bed sintering produced samples with fewer impurities, less Li^+ loss during high-temperature reactions and denser structure with larger grains. One unfortunate attribute of this LSTZ material is that it requires a sintering temperature of 1300 °C, which is too high to be compatible with electrode materials in cases of co-sintering. In an effort to reduce the processing temperature, Yu et al. [213] formulated $\text{Li}_{3/8}\text{Sr}_{7/16}\text{Nb}_{3/4}\text{Zr}_{1/4}\text{O}_3$ (LSNZ), which can be sintered at 1200 °C, but showed reduced total conductivity (2×10^{-5} S/cm at 30 °C). La doping to Sr sites could lead to a slight increase in the conductivity. For $\text{Li}_{3/8}\text{Sr}_{7/16-3x/2}\text{La}_x\text{Zr}_{1/4}\text{Nb}_{3/4}\text{O}_3$ ($x = 0.05$) the ionic conductivity reached to 3.33×10^{-5} S/cm at 30 °C. However, this value was still an order of magnitude lower than that of LLTO [183] and LSTZ [186]. Huang et al. [187] prepared $\text{Li}_{3/8}\text{Sr}_{7/16}\text{Ta}_{3/4}\text{Hf}_{1/4}\text{O}_3$ using a solid-state reaction, which exhibited a total conductivity of 3.8×10^{-4} S/cm at room temperature. Recently, the same authors prepared a sample with the same composition but used spark plasma sintering at 1250 °C for 10 min [188]. As opposed to conventional sintering methods, this sintering method improved the mechanical strength, enhanced the conductivity to 5.2×10^{-4} S/cm, and lowered the activation energy to 0.33 eV at room temperature.

Recently, oxides with garnet related structures have gained considerable attention as the potential solid-state electrolyte. The general chemical formula of garnet is $\text{A}_3\text{B}_2(\text{XO}_4)_3$ ($\text{A} = \text{Ca}, \text{Mg}, \text{Y}, \text{La}$ or rare-earth; $\text{B} = \text{Al}, \text{Fe}, \text{Ga}, \text{Ge}, \text{Mn}, \text{Ni}$ or V ; $\text{X} = \text{Si}, \text{Ge}, \text{Al}$) where A, B and X are 8-, 6- and 4-fold coordinated cation sites, which forms a face-centered cubic structure with the space group Ia-3d. Li^+ can diffuse through interconnected 3D pathways in the garnet structure [214]. Thangadurai et al. [190] reported a garnet-type electrolyte made with similar elements to LLTO but replacing Ti^{4+} to form $\text{Li}_5\text{La}_3\text{M}_2\text{O}_{12}$ ($\text{M} = \text{Nb}, \text{Ta}$). Its bulk conductivity was reported as 10^{-6} S/cm at 25 °C with activation energies of $E_{\text{Nb}} = 0.56$ and $E_{\text{Ta}} = 0.43$ eV. In 2007, garnet conductors with bismuth $\text{Li}_5\text{La}_3\text{Bi}_2\text{O}_{12}$ and $\text{Li}_6\text{SrLa}_2\text{Bi}_2\text{O}_{12}$ was reported to have comparable activation energies (0.4–0.5 eV) and total ion conductivity of 2.0×10^{-5} S/cm at room temperature [55]. In the same year, Murugan et al. [55] reported the synthesis of $\text{Li}_7\text{La}_3\text{Zr}_2\text{O}_{12}$ (LLZO) that has high ionic conductivity (10^{-4} S/cm at room temperature) as well as relatively good thermal and chemical stability compared to perovskite electrolytes. LLZO exhibits two crystallographic phases, a low conductive tetragonal phase and a high conductive cubic phase shown in Figure 6 [215,216]. Thus, it is essential to stabilize the cubic phase to maintain a high conductivity.

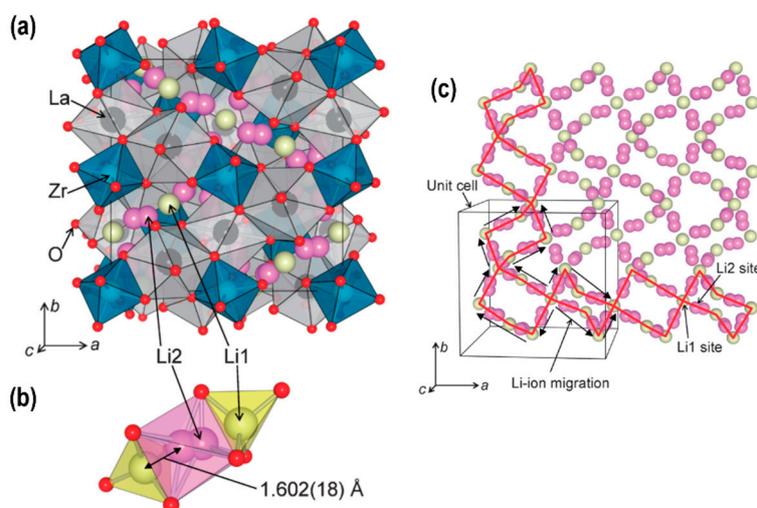


Figure 6. Crystal structure of (a) cubic $\text{Li}_7\text{La}_3\text{Zr}_2\text{O}_{12}$ (LLZO), (b) coordination polyhedra around the Li1 and Li2 sites and (c) Li^+ migration through the LLZO crystal structure. Reprinted from ref. [216] with permission of The Chemical Society of Japan.

Allen et al. [191] reported that Ta substitution for Zn ($\text{Li}_{6.75}\text{La}_3\text{Zr}_{1.75}\text{Ta}_{0.25}\text{O}_{12}$) could stabilize cubic LLZO, resulting in a conductivity of 8.7×10^{-4} S/cm at 25 °C. Li et al. [217] also reported 10^{-3} S/cm of conductivity at room temperature with the specific composition of $x = 0.6$ in $\text{Li}_{7-x}\text{La}_3\text{Zr}_{2-x}\text{Ta}_x\text{O}_{12}$. Ga-substituted LLZO, $\text{Li}_{6.55}\text{Ga}_{0.15}\text{La}_3\text{Zr}_2\text{O}_{12}$, also presented a similar conductivity 1.3×10^{-3} S/cm at 24 °C by introducing lithium vacancies through the substitution of Li by Ga [192]. These levels of ionic conductivities are close to that of the carbonate-based liquid electrolyte, making LLZO attractive enough to be used for SSBs. Although it was believed that garnets are stable in air previously, several studies indicated their sensitivity to moisture and CO_2 in air [189,218–221]. Even after 24 h of exposure in air, Al-substituted LLZO (~150 μm grain size) showed one order magnitude increase of area-specific resistance due to the Li_2CO_3 formation on the surface [189]. When in contact with Li metal, Ta containing LLZO was stable with Li, whereas Nb containing LLZO was discolored to black [222]. With cathodes, the garnet structure was stable with LCO up to 900 °C but became unstable when in contact with Mn, Ni, (Fe, Mn) and (Co, Mn)-based cathodes [223,224].

The anti-perovskite (Li_3OX , $X = \text{Cl}, \text{Br}, \text{I}$) has a general perovskite structure, but the positions of cations are filled with anions. In 2012, lithium-rich anti-perovskite (LiRAP) structures were reported by Zhao et al. by a molten salt synthesis method, showing a conductivity of 1.94×10^{-3} S/cm of conductivity with $\text{Li}_3\text{OCl}_{0.5}\text{Br}_{0.5}$ at room temperature [193]. The higher conductivity of the mixed-halogen phase ($\text{Li}_3\text{OCl}_{0.5}\text{Br}_{0.5}$) than Li_3OCl or Li_3OBr can be due to its topological property providing enough free space for Li^+ to move compared to the anti-perovskites with solely Cl^- or Br^- . Furthermore, Li et al. [194] showed an extended electrochemical stability window up to 9 V vs. Li/Li^+ with fluorine-doped anti-perovskites, $\text{Li}_2(\text{OH})_{0.9}\text{F}_{0.1}\text{Cl}$, that can be used in ultrahigh energy density storage systems. The high ionic conductivity, low cost, low melting temperature, and large electrochemical stability windows are the merits of anti-perovskites; however, their highly hygroscopic nature requires extreme care during sample fabrications and handling.

Minimizing the interaction between the mobile charge carriers and the anion framework is important to enhance the ionic conductivity of solid-state electrolytes. Sulfides generally offer higher ionic conductivities than oxides because the interaction between S^{2-} and Li^+ is weaker than that of O^{2-} and Li^+ . Another merit of sulfide electrolytes is that the grain boundary resistance is lower than that of the oxide-based electrolytes. There are glass, glass–ceramic and crystalline sulfide electrolytes (thio-LISICON and argyrodites). Many remarkable ionic conductivities at room temperature were reported with sulfide electrolytes including $\text{Li}_2\text{S}-\text{P}_2\text{S}_5$ glass–ceramic (1.7×10^{-2} S/cm) [52], $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ (1.2×10^{-2} S/cm) [53] and $\text{Li}_{9.54}\text{Si}_{1.74}\text{P}_{1.44}\text{S}_{11.7}\text{Cl}_{0.3}$ (2.5×10^{-2} S/cm) [27]. Argyrodites, named for the mineral Ag_8GeS_6 , are the class of solids that have F-43 m or P2_13 structure. Deiseroth et al. [225] reported lithium argyrodites ($\text{Li}_6\text{PS}_5\text{X}$, $X = \text{Cl}, \text{Br}, \text{I}$) that have conductivities ranging 10^{-2} – 10^{-3} S/cm at room temperature. Crystalline $\text{Li}_6\text{PS}_5\text{Br}$ samples prepared by mechanical milling followed by annealing showed the conductivity up to 6.8×10^{-3} S/cm at ambient temperature [195]. However, some concerns were raised with halogen atoms because they can potentially cause the corrosion of current collectors, in particular, Al. Schneider et al. [196] replaced the halogen atom with Si to form lithium argyrodite, $\text{Li}_{22}\text{SiP}_2\text{S}_{18}$, that presented a conductivity of 3×10^{-3} S/cm. Recently, based on the fact that the ionic conductivity of argyrodite largely depends on the S^{2-}/X^- mixing at 4d sites, Wang et al. synthesized Br rich argyrodite to enhance $\text{S}^{2-}/\text{Br}^-$ mixing and achieved a conductivity of 1.1×10^{-2} S/cm with $\text{Li}_{5.3}\text{PS}_{4.3}\text{Br}_{1.7}$ at room temperature [197]. In this work, the $\text{S}^{2-}/\text{Br}^-$ mixing at 4d sites was confirmed with ^{31}P NMR. Another type of halogen-rich lithium argyrodite, $\text{Li}_{5.5}\text{PS}_{4.5}\text{Cl}_{1.5}$, made by a rapid thermal annealing process also presented ionic conductivity of 10^{-2} S/cm at 25 °C [198]. With such a high ionic conductivity, argyrodite-type electrolytes ($\text{Li}_6\text{PS}_5\text{Cl}$) were used as a solid electrolyte in a prototype pouch cell [226] of all solid-state lithium metal batteries—presenting higher than 900 Wh/L of energy density and long cycle life (1000 cycles). Despite their high ionic conductivity comparable to a liquid electrolyte, sulfide electrolytes showed severe performance degradation when they are exposed to air, requiring an inert environment for their handling and cell assembly.

3. Interfacial Phenomena between Solid-State Electrolytes and Electrodes

As described in previous sections, substantial effort has been devoted to developing high energy and power density electrodes, solid-state electrolytes with high ionic conductivity, good chemical stability and large electrochemical stability windows. However, the performance enhancement of batteries can be insignificant despite the dramatically enhanced performance of an individual component, i.e., electrodes or electrolytes. More importantly, the power density and cycle life of SSBs still have not met the requirements for practical applications. Such poor performances are mainly attributed to the large interfacial resistance between solid electrolytes and electrodes [227–230] that originates from the mechanical force development or chemical composition changes. These configurational and chemical changes driven by electrochemical reactions are summarized in Figure 7 [231,232]. We will discuss mechanical and chemical factors associated with the large interfacial resistance in the following section.

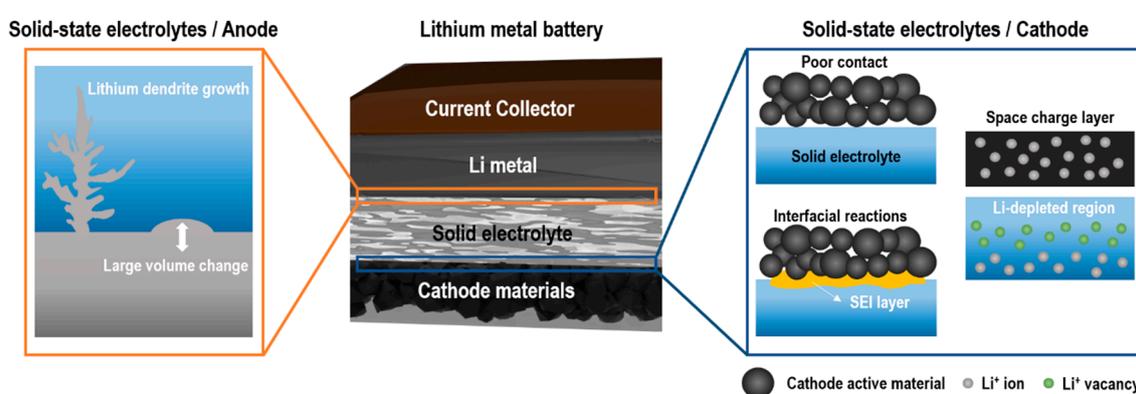


Figure 7. Schematic diagram of lithium metal battery and electrode/electrolyte interface issues.

Mechanically driven interfacial resistance between solid electrolytes and electrodes originates from poor contact between two rigid materials and the volume changes of electrodes during the charge–discharge process [233]. This poor contact eventually leads to the formation and propagation of cracks [234] as well as the delamination of interfaces [235,236]. Sulfide-based electrolytes possess good mechanical ductility, thus can maintain good contact with electrodes without the degradation of the interfacial contact resistance [237]. In contrast, oxide-based electrolytes suffer from the poor adhesion of interfaces with electrodes as most ceramics are vulnerable to cracking due to the low ductility [238,239]. The insufficient mechanical contact results in the delamination or “dead” area induced by isolated electrode contact points from solid electrolytes. Due to the lack of conduction paths, neither electrons nor Li^+ can be transferred across the dead areas, which in turn leads to the growth of interfacial resistance and capacity fading [240]. Furthermore, the large volume changes of electrode materials during repeated charge–discharge processes could also lead to the loss of effective contact between electrodes and solid electrolytes [241]. Zhang et al. [233] first demonstrated changes in the pressure and height of $\text{LCO}/\text{Li}_{10}\text{GeP}_2\text{S}_{12}/\text{In}$ and $\text{LCO}/\text{Li}_{10}\text{GeP}_2\text{S}_{12}/\text{LTO}$ under galvanostatic cycling where the volume expansions of LCO, LTO and In were found to be 2%, 0.2% and 105.6%, respectively. Due to the significant volume change of In, the $\text{LCO}/\text{Li}_{10}\text{GeP}_2\text{S}_{12}/\text{In}$ cell showed severe capacity fading. Similarly, Koerver et al. [235] detected the increased interfacial resistance and capacity fading caused by the contact loss at the $\text{NCM-811}/\beta\text{-Li}_3\text{PS}_4$ interface.

The occurrence of the interfacial resistance by the formation of interlayers is a well-known phenomenon in SSBs. One of the main reasons for the interfacial resistance is the formation of space charge regions (SCRs). SCRs originate from the depletion of lithium near the interface between the cathode and the electrolyte in SSBs due to the high potential gradient [242]. The potential difference at this interface causes Li^+ to move toward a higher potential region, causing lithium depletion and increasing the ionic resistance at the interface. Sulfide-based electrolytes exhibit a weaker interaction with Li^+ and

lower chemical potential of Li^+ compared with those of oxide cathodes, such as LCO. Thus, the Li^+ in sulfide-based electrolytes will migrate into the oxide cathode easily, resulting in the redistribution of Li^+ at the interface which forms lithium depletion layers, SCRs [243]. Unlike sulfide-based electrolytes, the influence of SCRs is smaller in oxide-based electrolytes because the chemical potential of Li^+ in oxide electrolytes is comparable with that in the cathode [244]. At the interface between LCO and $\text{Li}_{1+x+y}\text{Al}_y\text{Ti}_{2-y}\text{Si}_x\text{P}_{3-x}\text{O}_{12}$, the thickness of SCRs in the sulfide-based electrolyte was thicker than $1\ \mu\text{m}$ determined by measuring the electric potential profile with transmission electron microscopy (TEM) [245]. A similar SCR thickness was also reported in $\text{LiCoPO}_4/\text{Li}_{1+x}\text{Al}_x\text{Ti}_{2-x}(\text{PO}_4)_3$ using Kelvin probe force microscopy (KPFM) [246]. On the contrary, the thickness estimated from the resistance ($\sim 10\ \Omega\ \text{cm}^2$) at the LiPON/LCO interface was found to be in the range of nanometers [247]. De Klerk et al. [248] also estimated the nanometer-thick SCRs based on the interfacial resistance ($17\ \Omega\ \text{cm}^2$) between the solid electrolytes, i.e., garnet and NASICON (LLZO and $\text{Li}_{1.2}\text{Al}_{0.2}\text{Ti}_{1.8}(\text{PO}_4)_3$) and the cathode (LCO) or anode (graphite).

Interfacial chemical reactions derived from the interdiffusion between electrodes and solid electrolytes can also contribute to high interfacial resistance [249]. These interfacial reactions can form an interphase layer known as SEI at the electrode/electrolyte interface by consuming Li^+ and electrons from electrodes. The electrical properties of the SEI layer play a role in determining how the reaction between electrolytes and electrodes continues [250–252]. This SEI layer continues to grow until it blocks the Li^+ transport over the electrolyte/electrode. Park et al. [253] showed that an approximately 50-nm-thick layer forms in the vicinity of the LCO/Garnet interface due to the mutual diffusion of Co, La and Zr which leads to capacity fading. In addition, Wenzel et al. [254] also revealed that Li_3P , Li_2S and Li–Ge alloys form a SEI layer upon the reaction of $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ solid electrolyte with Li metal by in situ X-ray photoemission spectroscopy.

As a general strategy to resolve the aforementioned issue at the electrode/electrolyte interface, nanometer-thick interfacial buffer layers have been grown to enhance the performance of the SSBs [255,256]. Consequently, it is critical to employ thin-film growth techniques that can provide high purity and desired crystallinity of target materials in SSBs' assembly processes. Furthermore, the growth of thin films is a key success factor in building SSTFBs that have dramatically reduced charge-transfer resistance throughout the device. Therefore, understanding the precise control of thin-film growth and determining the impact of thin films on battery performances are requisite. In the following sections, we first review the most widely used thin-film deposition techniques. Then, we focus on the representative thin-film materials applied to buffer layers, evaluating the influence of deposition methods on the properties of thin films and the subsequent SSB performances.

3.1. Deposition Techniques

Technical advances in synthesizing thin films have facilitated the development of SSBs. In general, there are two main types of thin-film deposition methods: (i) physical deposition methods such as thermal evaporation, pulsed laser deposition and sputtering; (ii) chemical deposition methods such as chemical vapor deposition, atomic layer deposition and sol–gel deposition.

3.1.1. Physical Deposition Methods

One of the most common physical deposition techniques is thermal evaporation owing to its simplicity. This technique is utilized in multiple applications such as memory-switching applications [257,258] and solar cells [259]. In particular, this method is commonly applied to the fabrication of anode materials for LIBs [260,261]. In a vacuum chamber, a solid material is heated to a temperature that produces a vapor pressure sufficient to raise a vapor cloud inside the vacuum chamber [262]. Then, these evaporated particles are free to traverse the chamber and stick to a substrate as a film. The deposition materials can be pure atomic elements including metals, oxides and nitrides. The thickness, uniformity and adhesion strength of the film can be adjusted by controlling a number of deposition parameters such as voltage, pressure and substrate temperature. Two main heating

sources—resistive filament and electron beam (e-beam) are widely used for heating the source material. Depending on the temperature required for evaporating the material, resistive filament heating is generally used for the materials with the evaporation temperature below 1500 °C, in contrast to the e-beam heating for the materials with the evaporation temperature above 1500 °C [262].

Pulsed laser deposition (PLD) which is a widely used method for growing epitaxial thin films consists of a target holder and a substrate holder housed in a vacuum chamber (Figure 8). A high-power laser is used as an external energy source to vaporize materials and to deposit thin films. A set of optical components are used to focus and raster the laser beam over the target surface. The decoupling of the vacuum hardware and the evaporation power source makes this technique so flexible that it is easily adaptable to different operational modes without the constraints imposed by the use of internally powered evaporation sources. In PLD, a pulsed-laser beam rapidly ablates oxide from a solid ceramic disc target to form an energetic plasma plume, which then propagates and condenses onto a substrate that is held at a particular temperature. Generally speaking, the target determines the composition of the thin film, but the codeposition of different targets is possible to create a new composition of the film [263]. In addition, a single target composition can be used to create films of different stoichiometry due to the coupling between target composition, PLD chamber pressure, laser fluence, substrate temperature and the resultant film composition. Compared to other deposition techniques, PLD can provide unique advantages—stoichiometric transfer of materials, capability for reactive deposition in ambient gases, growth of two-dimensional nanostructures, growth of multilayered epitaxial heterostructures, high instantaneous growth rate and uniform thickness films. Furthermore, it represents a clean, simple and inexpensive process.

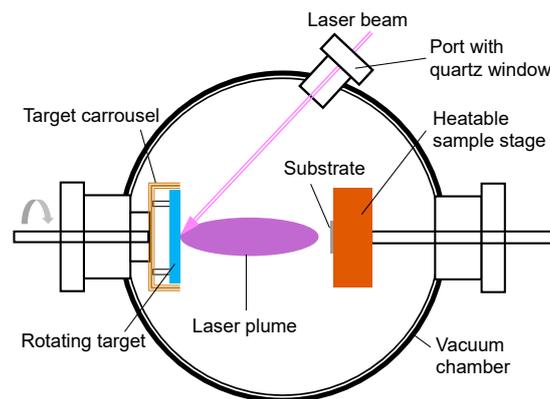


Figure 8. Schematic representation of the main components in a standard pulsed laser deposition (PLD) process.

Sputter deposition is a conventional physical vapor deposition (PVD) technique that uses accelerated inert gas ions to transfer matter from a solid target onto a substrate surface (Figure 9). Sputter deposition usually utilizes a vacuum chamber, in which there sits a target, a substrate and two electrodes. The vacuum chamber is filled with low-pressure inert gas (mostly Ar), which can be used to ignite the plasma. The plasma is a combination of ions, neutrals and electrons. During the sputtering process, an electric field is introduced between the substrate and the target by applying a high negative voltage on the target connected with the cathode while the substrate connected with the anode is applied with a positive voltage or grounded. The inert gas atoms will then start to depart and emit ions and electrons in a small amount. Those electrons will be accelerated from the cathode to the anode. In the case that the electrons hit the atoms and the electron energies are high enough to break the atomic bonds, the ionized gas atoms will emit electrons in the plasma. Once the plasma is formed, the charged particles will move in different directions, negatively charged particles will move towards the anode, and the positively charged particles will move towards the cathode [264,265]. When the ions attracted by the cathode have high enough energy to knock away the atoms on the

target surface, the sputter deposition process will occur. There are several types of sputtering systems including direct current (DC) sputtering, radio frequency (RF) sputtering and magnetron sputtering. The DC and RF sputtering systems are used for sputtering conductive materials and non-conductive materials, respectively [266]. As the magnetron sputtering system can increase the sputtering rate, combined sputtering systems, i.e., DC magnetron and RF magnetron are widely used for the fabrication of thin films.

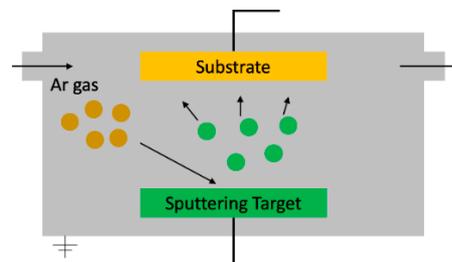


Figure 9. Schematic diagram of a sputtering system showing the basic components and operation.

3.1.2. Chemical Deposition Methods

Sol–gel deposition which is a wet chemical method was originally used for the synthesis of metal oxide nanoparticles [267]. The conventional sol–gel process undergoes three stages, namely the hydrolysis, the condensation and the drying stage. In the hydrolysis stage, a metal precursor undergoes a chemical reaction, forming a hydroxide colloidal suspension (sol). In the condensation stage, centrifugation or normal sedimentation can be used to form a gelatinous network (gel). In the drying stage, the remaining solvent in the “gel” network is removed by a thermal treatment. For thin-film deposition, the “sol” matter was deposited onto the substrate via dip-coating/spin coating process, followed by the condensation at room temperature than a firing process [268]. Owing to its simplicity, flexibility and low deposition temperature, the sol–gel process has gained much attention with applications in different fields, including piezoelectric devices [269], superconductors [270] and synthesis of nanoparticles [271].

Chemical vapor deposition (CVD) is a chemical deposition technique that utilizes a chemical reaction of vapor phase precursors (Figure 10) [272]. In the CVD process, the chemical reactions of precursors occur both in the gas phase and on the substrate. A precursor is controlled by balancing flow regulators and control valves. Precursor molecules are drawn into the boundary layer and then deposited on the surface of the substrate. The deposition process occurs in three successive stages: (i) introduction of the volatile precursor by carrier gas to the reactor chamber; (ii) adsorption of precursor vapors on the substrate surface and the formation of intermediate products; (iii) decomposition of these products on the heated substrate followed by nucleation and growth of the solid layer/grains and the formation of volatile byproducts and their removal from the chamber by the carrier gas [273]. CVD can be applied for obtaining diverse thin-film materials such as metals, semiconductor III-V compounds, dielectric oxides, perovskite heterostructures, magnetics and conductors [274].

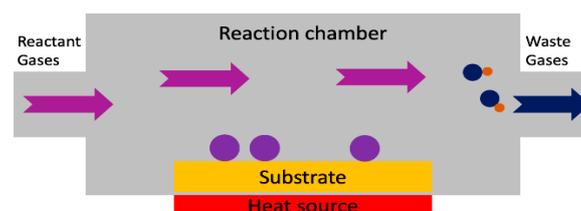


Figure 10. Schematic of a chemical vapor deposition (CVD) system with the basic components and operation.

Atomic layer deposition (ALD) is a surface controlled thin-film deposition technique based on the sequential pulsing of the vapors of precursor chemicals in which one atomic layer is formed for each pulse. Generally, two precursors (A and B) are used in the ALD process [275]. Each of the precursors makes up half of the reactions that yields the desired materials. First, precursor A is pumped into the chamber for their own half-reaction for a certain amount of time to fully cover the substrate surface with a monolayer of precursor A. It is worth mentioning that the precursor A does not stack on top of deposited A molecules due to the surface termination, which makes the single monolayer coverage possible. Then, the unreacted precursor A is purged out of the chamber via inert gas. Lastly, the precursor B is pumped into the chamber, forming a layer of the desired material, followed by another purging to remove the byproduct from the reaction between precursor A and B. This deposition cycle of precursor A and B continues until the film reaches the desired thickness [275]. Therefore, precise thickness control can be enabled by controlling the number of reaction cycles. It is also possible to initiate the growth of materials by ALD on the specific position of the substrate, such as defect sites that can have a significant impact on the device performance improvement. In addition, the conformality of thin films is also a unique feature of ALD, which leads to the formation of thin films with high aspect ratios and three-dimensional substrates.

3.2. Buffer Layers

In principle, either the electrode or the electrolyte can be coated with a buffer layer to stabilize the electrode/electrolyte interface. Oxide materials are known as good candidates to be used as a buffer layer at the electrode/electrolyte interface in SSBs [276]. The materials choices for buffer layers include, Al_2O_3 [277], ZrO_2 [249,278,279], ZnO [88], LiNbO_3 [27,280], Li_2CO_3 [281,282], Li_3BO_3 [238], Li_3PO_4 [283–285], LiAlO_2 [286], Li_2SiO_3 [287], LTO [288] and Li_2MoO_4 [289]. The most commonly used deposition techniques for coating buffer layers are sputtering, ALD and PLD. As several reviews [290–292] about the effects of the buffer layers on stabilizing the electrode/electrolyte interface can be found, this study will focus on recent progress of buffer layers introduced in SSBs. The buffer layers introduced at the electrolyte/electrode interface will also be discussed in terms of two aspects: the electrolyte/cathode interface and the electrolyte/anode interface.

3.2.1. Buffer Layers at the Electrolyte/Cathode Interface

Most solid electrolytes react with cathode materials and therefore they need to be protected by buffer layers in SSBs. The buffer layer at the solid electrolyte/cathode interface should provide a good ionic conduction path and also reduce the strain/stress. An ideal buffer layer at the electrolyte/cathode interface is required to have the following properties [293,294]; (i) excellent Li^+ mobility, (ii) low interfacial stress variation by forming a conformal contact between the electrolyte and the cathode, (iii) a wide electrochemical window that can span the cathode's operating voltage and the electrochemical window of the electrolyte and (iv) low chemical reactivity with the electrolyte and the cathode. One of the most commonly used materials as a buffer layer is Li_3BO_3 [295] which is a Li^+ conductor (2×10^{-6} S/cm at room temperature) with a low melting temperature (700 °C) that easily forms a conformal coating between the electrolyte and the electrode by annealing. Ohta et al. [238] employed Li_3BO_3 as a buffer layer on LCO to overcome the poor contact between the electrolyte and the electrode. The authors claimed that the Li_3BO_3 buffer layer could act as a bonding material. During the annealing process of Li_3BO_3 -coated Nb-doped LLZO (LLZO-Nb), Li_3BO_3 melts and forms a continuous contact that enhances the adhesion between the solid electrolyte and the cathode. Li_3BO_3 was also used as a buffer layer by Park et al. [253] to provide sufficient interfacial contact between LCO and garnet solid electrolyte. Another method to decrease the interfacial resistance is to modification the cathode to form Li^+ conductors on the surface. Liu et al. [296] coated a Li-Ti-O precursor on the surface of NMC-523, which in turn formed a $\text{Li}(\text{Ti}_{0.1}\text{Mn}_{0.9})_2\text{O}_4$ layer by sintering. In this work, $\text{Li}(\text{Ti}_{0.1}\text{Mn}_{0.9})_2\text{O}_4$ buffer layer improved the interfacial contact between the cathode and $\text{Li}_{6.75}\text{La}_3\text{Zr}_{1.75}\text{Ta}_{0.25}\text{O}_{12}$ (LLZO-Ta) solid electrolyte, showing the reduced interfacial resistance

from 12.5 k Ω to 9.5 k Ω . Improved contact led to an enhanced initial specific discharge capacity to 123.3 mAh/g and increased capacity retention to 62% after 5 cycles. The authors also suggested that sputtering electrode or electrolyte materials as a buffer layer to form a cohesive interface are effective to enhance the contact of the interface. Lee et al. [297] prepared a buffer layer of common solid electrolyte material $\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$ between LCO and $\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$ by RF magnetron sputtering. According to this work, the $\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$ buffer layers reduced the contact resistance between LCO and $\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$ and increased the ion path between the cathode and the electrolyte. Bai et al. [298] designed a LCO buffer layer on a $\text{Li}_7(\text{Al}_{0.1})\text{La}_3\text{Zr}_2\text{O}_{12}$ (LLZO–Al) electrolyte. The authors demonstrated that the LCO buffer layer possesses a large interfacial specific surface area and an excellent interfacial stability which reduces the interfacial resistance of NMC-523/LLZO–Al by 1279 Ω compared to the unmodified interface.

To suppress the formation of SCRs in SSBs with sulfide-based electrolytes, Ohta et al. [256] introduced a pure ionic conducting LTO buffer layer between electrolytes and electrodes. The authors claimed that the SCRs were less developed because of the similar chemical potential of LTO buffer layer and LCO and the poor electronic conduction between the $\text{Li}_{3.25}\text{Ge}_{0.25}\text{P}_{0.75}\text{S}_4$ and LTO buffer layer. In order to suppress the SCRs and have good ionic conductivity at the interface, LiNbO_3 (10^{-5} – 10^{-6} S/cm) [299] was also commonly used as a buffer layer for sulfide electrolytes. Using ab-initio calculation, Haruyama et al. [300] utilized a buffer layer of LiNbO_3 between LCO and $\beta\text{-Li}_3\text{PS}_4$ (LPS) demonstrating the electronic properties of the interfacial regions. The authors predicted that the introduction of LiNbO_3 layers could relieve the structural disorder at the interface, balance the lithium distribution and suppress the growth of SCRs to boost Li^+ conduction through the interface. Experimental data by other groups confirmed that the LiNbO_3 buffer layer between the electrolyte and the cathode could weaken the space–charge effect. Takada et al. [301] showed that the LiNbO_3 buffer layer with a thickness of 20 nm on LMO could reduce the interfacial resistance from 10,000 Ω to 200 Ω by suppressing the SCRs formed at the high-voltage cathode/sulfide electrolyte interface. Recently, Vinado et al. [302] studied the interfacial behavior of Li_3NbO_4 -coated LCO with $\text{Li}_{10}\text{SnP}_2\text{S}_{12}$ (LSPS) solid electrolyte. According to the experimental results in this study, the SSBs with Li_3NbO_4 -coated LCO cathode was found to maintain its low interfacial resistance (210 Ω cm) after 10 cycles in contrast to the uncoated LCO SSBs showing the increased interfacial resistance (680 Ω cm).

To reduce the interfacial reaction and prevent the formation of the SEI layer in SSBs, Takahashi et al. [303] investigated the effect of Li_3PO_4 , LiNbO_3 and Al_2O_3 as buffer layer between LCO and LiBH_4 solid electrolyte on the interfacial properties. Li_3PO_4 and LiNbO_3 were selected due to their relatively high chemical and thermal stabilities and high ionic conductivity but low electron conductivity. Al_2O_3 , however, is a poor ionic conductor relative to these two materials. The authors found that all the buffer layers can reduce the interfacial resistance with Li_3PO_4 giving the lowest interfacial resistance (21 Ω). Similarly, a nanoscale Al_2O_3 buffer layer deposited on LCO by PLD also enhanced the cyclic performance of SSBs by reducing the SEI layer formation [304]. Using PLD, Chen et al. [305] introduced the Li_3PO_4 buffer layer at the interface between LCO and $80\text{Li}_2\text{S}\cdot 20\text{P}_2\text{S}_5$ solid electrolyte reporting the improved cyclability and decreased interfacial resistance. The authors claimed that these enhancements could be attributed to suppressing the degradation of the sulfide electrolyte during cell cycling. According to the experimental results, SSBs with Li_3PO_4 buffer layer showed higher discharge capacities (172 mAh/g) than those without the buffer layer (155 mAh/g). Recently, Kim et al. [306] reported a dramatically reduced interfacial resistance (~10 times lower than pristine cell without the buffer layer) with a 5-nm-thick LiNbO_3 buffer layer on LCO leading to a discharge capacity of 109 mAh/g. To effectively enhance the interfacial stability and electrochemical performances, Li et al. [307] constructed a novel NMC@LCO@ LiNbO_3 cell by using a sol–gel method. According to their fabrication method, NMC-811 was first coated with LCO to form core-shell NMC@LCO with a Ni-deficient surface. The authors claimed that the LCO layer provides interfacial stability with $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ sulfide electrolyte while maintaining the high specific capacity from the NMC core. Then, in view of their previous work [308], the surface of NMC@LCO

was coated with a LiNbO_3 buffer layer to optimize the interface further. According to the experimental results, the NMC@LCO@LiNbO_3 cathode showed high initial discharge capacity, outstanding rate and especially improved cycle stability (capacity retention of 80% after 585 cycles). The summarized interfacial resistances of the SSBs with buffer layers on cathode/electrolyte are listed in Table 4.

Table 4. Comparison of the interface resistance with (w/) and without (w/o) buffer layer on solid-state electrolyte/cathode.

Electrode	Electrolyte	Buffer Layer	Coating Method	Interface Resistance w/ Buffer Layer ($\Omega\text{-cm}^2$)	Interface Resistance w/o Buffer Layer ($\Omega\text{-cm}^2$)	Ref.
LCO	LLZO-Nb	Li_3BO_3	Screen printing	230		[238]
LCO	$\text{Li}_{3.25}\text{Ge}_{0.25}\text{P}_{0.75}\text{S}_4$	LTO	Spray coating	34.56	714.71	[256]
LCO	$\text{Li}_{10}\text{SnP}_2\text{S}_{12}$	Li_3NbO_4	ALD	790		[302]
LCO	LiBH_4	Li_3PO_4	PLD	8	3850	[303]
LCO	LiBH_4	LiNbO_3	PLD	47.36		[303]
LCO	LiBH_4	Al_2O_3	PLD	599.45		[303]
LCO	$80\text{Li}_2\text{S}\cdot 20\text{P}_2\text{S}_5$	Li_3PO_4	PLD	250		[305]
LMO	$\text{Li}_{3.25}\text{Ge}_{0.25}\text{P}_{0.75}\text{S}_4$	LiNbO_3	Spray coating	157.08	7854	[301]
NMC-532	$\text{Li}_7(\text{Al}_{0.1})\text{La}_3\text{Zr}_2\text{O}_{12}$	LCO	Sputtering	1004.53		[298]
NMC-811/LCO	$\text{Li}_{10}\text{GeP}_2\text{S}_{12}$	LiNbO_3	Solution coating	39.82	95.93	[307]
NMC-532	$\text{Li}_{6.75}\text{La}_3\text{Zr}_{1.75}\text{Ta}_{0.25}\text{O}_{12}$	Li-Ti-O	Solution coating	7461.3	9817.5	[296]
NMC-811	$\text{Li}_{10}\text{GeP}_2\text{S}_{12}$	LiNbO_3	Solution coating	125.6	204.2	[308]

3.2.2. Buffer Layers at Solid-State Electrolyte/Anode

As discussed earlier, Li metal is an ideal anode for SSBs owing to its high theoretical specific capacity, lowest negative electrochemical potential and low density. However, the high chemical reactivity between solid electrolytes and Li metals results in the formation of uncontrolled dendrites which leads to large volume change during cycling [86]. The lithium dendrite growth is known to cause the internal short circuits and the deterioration of SSBs. Han et al. [309] visualized the lithium dendrite growth in LLZO and Li_3PS_4 solid electrolytes by time-resolved operando neutron depth profiling, revealing that lithium dendrites nucleate and grow directly inside LLZO and Li_3PS_4 . Wu et al. [310] showed that lithium dendrites can extend along the cracks and boundaries of solid electrolytes such as LLZO and can also lead to capacity fading and short-circuiting. To overcome these issues, an ideal buffer layer material on the electrolyte/anode is indispensable. The buffer layers should exhibit the following properties: (i) enhance the wettability between the lithium metal/electrolyte to stabilize the interface and (ii) suppress the growth of lithium dendrite.

Han et al. [255] effectively addressed the large interfacial resistance due to poor wettability between the lithium metal and the garnet $\text{Li}_7\text{La}_{2.75}\text{Ca}_{0.25}\text{Zr}_{1.75}\text{Nb}_{0.25}\text{O}_{12}$ electrolyte by using ALD deposited Al_2O_3 buffer layers which can facilitate the molten Li metal coating of the garnet surface with no interfacial void space. The authors argued that the Al_2O_3 buffer layer enhanced the wettability between lithium metal and the garnet electrolyte, which allowed effective Li^+ transport through the interface. According to the experimental results, a significant decrease of interfacial resistance from $1710 \Omega \text{ cm}^2$ down to $1 \Omega \text{ cm}^2$ was observed at room temperature due to the Al_2O_3 buffer layer. Later, interfacial layers of ZnO proposed by the same group [88] increased the wettability and reduced the interfacial resistance between garnet electrolytes and the Li metal anode. Liu et al. [311] also demonstrated that Al_2O_3 buffer layer on LATP ($\text{Li}_{1.3}\text{Al}_{0.3}\text{Ti}_{1.7}(\text{PO}_4)_3$) solid electrolyte could increase the wettability with the Li metal anode. The authors explained that the Al_2O_3 buffer layer acts as the lithium transport pathway at the LATP/Li metal interface which largely reduces the interfacial

resistance. Recently, Alexander et al. [312] proposed an effective buffer layer of LiNbO_3 on LLZO–Al ($\text{Li}_{6.28}\text{Al}_{0.24}\text{La}_3\text{Zr}_2\text{O}_{12}$) solid electrolyte, showing the drastically reduced interface resistance from $1078 \Omega \text{ cm}^2$ to $91 \Omega \text{ cm}^2$. Furthermore, the authors confirmed that the buffer layer could assist Li^+ conduction at the interface and provide good adhesion based on the stable voltage profile of LiNbO_3 -coated LLZO–Al/Li metal SSBs.

Considerable progress was achieved to prevent lithium dendrite formation by introducing a buffer layer at the interface of solid electrolyte and Li metal anode. A porous-dense bilayer of LLZO garnet solid electrolyte as a 3D ionic framework for Li metal anode was developed by Liu et al. [313] where the framework consists of one porous layer as a volume-stable host of Li metal with a large contact area and one dense buffer layer as a solid-state separator preventing short-circuits. In this work, the cell was found to completely suppress dendrite formation due to the LLZO buffer layer after 150 h of cycling. Lou et al. [314] modified the surface of the LLZO–Ta ($\text{Li}_{6.4}\text{La}_3\text{Zr}_{1.4}\text{Ta}_{0.6}\text{O}_{12}$) solid electrolyte with an indium tin oxide (ITO) buffer layer. According to the authors, the lithiated ITO buffer layer ensures tight contact between the Li metal and LLZO–Ta which leads to a uniform local current distribution of the interface, preventing lithium dendrite formation. The experimental results revealed that the ITO buffer layer reduced the interfacial resistance of Li/ITO–LLZO–Ta from 1192 to $32 \Omega \text{ cm}^2$. The summarized interfacial resistance of the SSBs with buffer layers on anode/electrolyte are listed in Table 5.

Table 5. Comparison of the interface resistance with (w/) and without (w/o) buffer layer on solid-state electrolyte/anode.

Electrode	Electrolyte	Buffer Layer	Coating Method	Interface Resistance w/ Buffer Layer ($\Omega\text{-cm}^2$)	Interface Resistance w/o Buffer Layer ($\Omega\text{-cm}^2$)	Ref.
Li metal	$\text{Li}_7\text{La}_{2.75}\text{Ca}_{0.25}\text{Zr}_{1.75}\text{Nb}_{0.25}\text{O}_{12}$	Al_2O_3	ALD	1	1710	[255]
Li metal	$\text{Li}_5\text{La}_3\text{Ta}_2\text{O}_{12}$	ZnO	ALD	20	2000	[88]
Li metal	$\text{Li}_{1.3}\text{Al}_{0.3}\text{Ti}_{1.7}(\text{PO}_4)_3$	Al_2O_3	ALD	117,810	314,160	[311]
Li metal	$\text{Li}_{6.28}\text{Al}_{0.24}\text{La}_3\text{Zr}_2\text{O}_{12}$	LiNbO_3	sputtering	91	1078	[312]
Li metal	$\text{Li}_{6.4}\text{La}_3\text{Zr}_{1.4}\text{Ta}_{0.6}\text{O}_{12}$	ITO	sputtering	32	1192	[314]

4. Electrodes and Electrolytes for SSTFBs

To meet the increasing demand for portable (micro-)electronic applications in today's information-rich and mobile society, developing rechargeable battery systems with high energy density and reduced dimensions is crucial. For such battery systems, SSTFBs are one of the most attractive battery systems owing to their shape, versatility, flexibility and lightness [315–317]. Since being first introduced in 1983 [318], SSTFBs have been continuously studied over the past four decades [317,319–324]. In recent years, considerable progress has been made in the development of SSTFBs along with advances in thin-film technologies [325,326]. SSTFBs provide unique advantages such as outstanding cycle life and safety compared to conventional LIBs [327,328]. Moreover, SSTFBs enable the miniaturization of LIBs [317,323,324] required for applications, including implantable medical devices, wireless microsensors, microelectromechanical system devices and flexible electronics [318,329,330].

SSTFBs are composed of multiple micron-sized electrochemical cells consisting of a cathode and an anode electrode separated by an electrolyte. A thin-film electrochemical cell is generally fabricated on a solid substrate like glass, ceramic or even polymer. The first layer is usually a current collector, then followed by the electrode, electrolyte, electrode and another layer of a current collector [317]. Generally, the thickness of thin films in SSTFBs is in the range of nanometers to microns. Such thin layers can significantly enhance the charge transfer kinetics [59,331] which prevents the local overcharging and discharging issue reported in conventional battery systems [317,331]. In addition, SSTFBs use dense thin films without a polymeric binder and thus can be used as an ideal system for the fundamental

understanding of energy storage mechanisms. Furthermore, SSTFBs have higher volumetric and gravimetric power density (Figure 11) compared to other battery systems [332]. The use of thin-film electrochemical cells is therefore a promising and practical strategy to fully utilize the advantage of lithium-based batteries for diverse applications.

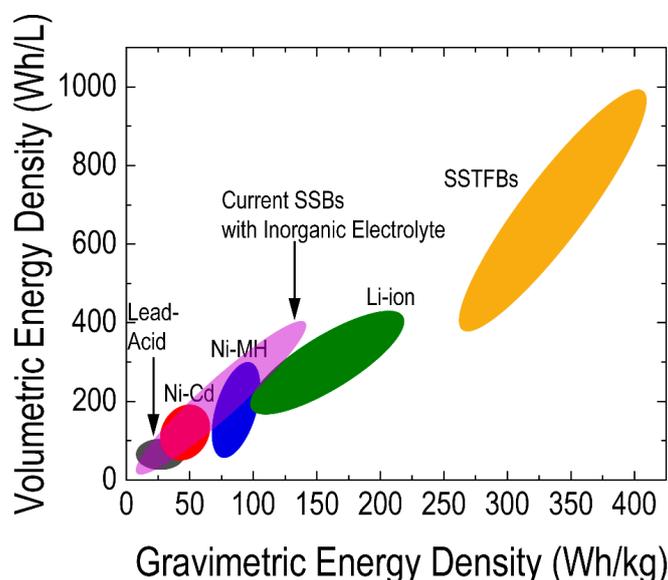


Figure 11. Comparison of the volumetric and gravimetric energy density of solid-state thin-film batteries (SSTFBs) with other batteries [333–335].

4.1. Thin-film Electrodes

The materials for the electrodes in SSTFBs play a crucial role in determining the overall performance of the battery. Thus, discovering new electrode materials and improving conventional electrode materials by structural engineering are critical to develop high-performance SSTFBs. To date, significant performance improvements in electrode materials were accomplished with the development of materials in thin-film form. For example, thin-film electrodes are capable of accommodating the strain induced by lithium insertion and removal, improving the cycle life. Thin-film electrodes also provide the increased contact area between the electrode and the electrolyte, resulting in high charge and discharge rates. However, the cathode materials still need to be further developed due to the lower theoretical capacity compared to the anode materials.

4.1.1. Anodes

Amorphous Li-metal thin films were previously deposited by thermal evaporation to serve as the anode for Li–V₂O₅ cells and Li–LMO cells [336]. According to this work, the Li–V₂O₅ cell was found to show a discharge capacity of 118 and 86 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ at room temperature with a current density of 2 and 40 $\mu\text{A}/\text{cm}^2$, respectively. Compared to the Li–V₂O₅, the Li–LMO cell showed a discharge capacity of 60 and 26 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ at room temperature with a current density of 2 and 40 $\mu\text{A}/\text{cm}^2$, respectively.

A couple of studies have shown the growth of amorphous silicon ($\alpha\text{-Si}$) thin films via PLD and sputtering [337–340]. Ohara et al. [339] reported the growth of amorphous Si thin films on Ni foils with a capacity of 3000 mAh/g at a 12 C charge rate with 1000 cycles. Park et al. [338] also grew an amorphous thin-film Si on stainless steel with PLD. The authors achieved a 96.7 $\mu\text{Ah}/\text{cm}^2$ first discharge capacity and a 52.6 $\mu\text{Ah}/\text{cm}^2$ first charge capacity. PLD-deposited amorphous Si thin films on stainless steel were also found to yield $\sim 20 \mu\text{Ah}/\text{cm}^2$ of capacity between 1 and 4 V up to 20 cycles by Xia et al. [337].

Multiple attempts have shown that graphene thin films can be deposited via CVD [341–343], sputtering [344] and PLD [345]. Reddy et al. [342] prepared N-doped graphene thin films for the anode

via CVD. According to the authors, the films exhibited a reversible discharge capacity of 0.05 mAh/cm² under the current density of 5 μA/cm² after 50 cycles. Saulnier et al. [341] fabricated a multilayered graphene (MLG) anode via CVD showing a discharge capacity of 250 mAh/g under the rate of 5 C.

LTO thin films have been grown via PLD [346–349], sputtering [350,351], sol–gel [352,353] and CVD processes [354]. Deng et al. [348] reported the growth of the (111)-oriented LTO thin films on Pt/Ti/SiO₂/Si substrates via PLD, showing a discharge capacity of 157 mAh/g after 10 cycles with a constant current density of 10 μA/cm². Cunha et al. [349] also used PLD to grow (001)-, (110)- and (111)-oriented epitaxial LTO thin films on (001), (110) and (111) SrTiO₃ (STO) substrates, respectively. The LTO films showed pyramidal, rooftop and flat surface morphology with <111> crystal facets, respectively. According to the authors, all three epitaxial thin films were found to exhibit high discharge capacities ranging from 280 to 310 mAh/g, which exceeds the theoretical value of 175 mAh/g. Among these three films with different crystallography orientations, the (100)-oriented films were found to show the highest discharge capacity due to its highest surface area. Using ion beam sputtering, Wunde et al. [350] reported the growth of the (111)-oriented LTO thin films, which showed a capacity ranging from ~18 to 26 μAh/cm² μm with different current density. The averaged diffusion coefficient for Li⁺ was also reported as 3.27 × 10⁻¹⁶ m²/s. Thin films fabricated by sol–gel and CVD methods were polycrystalline with the (111) preferred orientation [353,354]. The CVD thin films were found to have a better discharge capacity (~110 mAh/g) than the sol–gel thin films (~60 μAh/cm² μm) under the current density of 10 μA/cm². This result indicates that the performance of SSTFBs may also depend on the quality of thin films determined by the deposition technique.

LNVO thin films with a reverse spinel structure were deposited via PLD [355–357] and RF sputtering [358]. Tang et al. [355] grew amorphous LNVO thin films via PLD on stainless steel substrates. According to the authors, the thin-film electrodes showed a 410 μAh/cm² μm after 50 cycles. Lee et al. [359] evaluated the effect of sputtering target compositions on battery performance. Using RF sputtering, the authors grew amorphous LNVO thin films with two different target compositions, namely LNVO and L_{1.1}NiVO₄ (L_{1.1}NVO), representing that the thin-film electrode deposited with the L_{1.1}NVO target showed the higher reversible capacity of 871 μAh/cm² μm compared with the reversible capacity of 787 μAh/cm² μm for the thin films deposited with the LNVO target. The post-annealing process may also influence the battery performance. Reddy et al. [358,360] tested how the post-annealing temperature affects the discharge capacity of LNVO thin films sputtered on stainless steel. The authors applied four different post-annealing temperatures to the LNVO thin films, demonstrating that the as-deposited film and the film post-annealed at 300 °C were amorphous while post-annealed samples at higher temperatures such as 450, 600 and 700 °C showed a polycrystalline structure. According to the discharge capacity measurements, the discharge capacity of the as-deposited film was found to be ~800 mAh/g and increase with the post-annealing at 300 °C up to ~1100 mAh/g. However, further increases in the post-annealing temperature resulted in a decrease in the discharge capacity of the films. For example, the film post-annealed at 600 °C showed a decreased discharge capacity of ~850 mAh/g.

TNO thin films can be deposited via PLD [361–363] and sol–gel method [364]. Using PLD, Daramalla et al. [361] deposited polycrystalline TNO thin films, which showed a discharge capacity of 176 and 143 μAh/cm² μm at 35 and 50 μA/cm² current density, respectively. Chang et al. [364] deposited TNO on ITO and Si substrates via sol–gel method. According to this work, the XRD result showed that TNO thin films on ITO substrates stay amorphous even after the post-annealing treatment at 500 °C. On the contrary, the TNO thin films on Si substrates started to crystallize after the post-annealing above 600 °C and the TNO films post annealed at 900 °C were found to have the best crystallinity and a (110) preferred orientation.

Table 6 summarizes the discharge capacities of different thin-film anodes. Among LTO, LNVO and TNO, the LNVO thin-film anode showed a better performance with a discharge capacity of 800–1000 mAh/g for both polycrystalline and amorphous thin films.

Table 6. Comparison of the discharge capacity among different anode materials.

Materials	Crystalline Structure	Discharge Rate (C)	Current Density ($\mu\text{A}/\text{cm}^2$)	Discharge Capacity (mAh/g)	Density (g/cm^3)	Discharge Capacity ($\mu\text{Ah}/\text{cm}^2 \mu\text{m}$)	Ref.
LTO ^a	(111)-Oriented		10	157	3.38	53	[348]
LTO ^a	(111)-Oriented		60	146	3.38	49	[348]
LTO ^a	(100) Epitaxial	3		313	3.38	106	[349]
LTO ^a	(110) Epitaxial	3		277	3.38	94	[349]
LTO ^a	(111) Epitaxial	3		283	3.38	96	[349]
LTO ^b	(111)-Oriented	0.5		7	3.38	25	[350]
LTO ^b	(111)-Oriented	95		62	3.38	21	[350]
LTO ^c	(111)-Oriented		10	110	3.38	37	[354]
LNVO ^a	Amorphous		100	979	4.19	410	[365]
LNVO ^b	Amorphous		100	1878	4.19	787	[359]
LNVO ^b	Amorphous		75	1100	4.19	461	[358,360]
LNVO ^b	Polycrystalline		75	850	4.19	356	[358,360]
TNO ^a	Polycrystalline		35	398	4.42	176	[361]
TNO ^a	Polycrystalline		50	324	4.42	143	[361]

Bold discharge capacity values in (mAh/g) are back-calculated from the data in ($\mu\text{Ah}/\text{cm}^2 \mu\text{m}$). Materials with superscript a, b and c represent the corresponding thin films deposited by PLD, radio frequency (RF) sputtering and CVD, respectively.

4.1.2. Cathodes

LCO is one of the most widely studied cathode materials as discussed in the bulk electrode section [366]. Many efforts have been devoted to the usage of the LCO thin-film cathode fabricated by PLD [367–373], RF sputtering [366,374–376], ALD [377,378] and CVD [379,380]. In contrast to the bulk materials, the physical and chemical properties of thin films can be controlled by the deposition conditions, which is beneficial for enhancing the performance of SSTFBs. Nishio et al. [373] demonstrated the growth of the (104)-oriented epitaxial LCO thin films on (100) STO substrates by PLD achieving a discharge capacity of 90 mAh/g at a low discharge rate of 0.01 C and a 23 mAh/g discharge capacity at a high discharge rate of 100 C. Compared to the previous work about polycrystalline thin films by Nishio et al. [381], epitaxial thin films showed a higher discharge capacity at 0.01 C (75 mAh/g for polycrystalline LCO thin film) and slightly lower discharge capacity at 100 C (26 mAh/g for polycrystalline LCO thin film). Polycrystalline thin films with (001) and (104) preferred orientations were also grown by Xie et al. [374] in which the (104)-oriented thin films were found to have the best discharge capacity of ~120 mAh/g, higher than the (001)-oriented thin films with a discharge capacity of ~110 mAh/g. Zhu et al. [375] demonstrated that the discharge capacity of the (001)-oriented LCO thin films depends on the deposition temperature. According to this work, the discharge capacity varied from 24.7 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ for the thin film deposited at room temperature to 60.5 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ for the thin film deposited at 500 °C. The authors also found that the performance of the film deposited at 500 °C compromised by crack formation during the deposition at high temperature was dropped from 60.5 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ to 23.1 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ after 50 cycles. Similarly, Donders et al. [377] used ALD to deposit LCO thin films on Si substrates. Interestingly, the authors observed that a post-annealing process at 700 °C could change the orientation of the LCO thin films, leading to a discharge capacity ranging from ~10 to 25 $\mu\text{Ah}/\text{cm}^2 \mu\text{m}$ with different Li stoichiometry. Overall, polycrystalline LCO films with preferred orientations showed a better performance than epitaxial LCO thin films.

Being a promising cathode material for SSTFBs, LMO thin films have been studied with different deposition techniques, including PLD [382–385], RF sputtering [386–388], sol-gel [389,390] and CVD [391]. Hendriks et al. [384] reported the growth of epitaxial LMO thin films with (100), (110) and (111) orientations with pyramidal, rooftop and flat surface morphology, respectively. According to this work, (100) oriented films were found to have the best discharge capacity and cycle

life. Sonoyama et al. [383] grew (111)-oriented LMO thin films on both STO and alumina substrates showing that the discharge capacity of the LMO films on STO was smaller than that of the LMO films on Al_2O_3 . Most films grown by RF sputtering, sol-gel, and CVD showed polycrystalline structures [386–391]. The discharge capacity ranged from 43 to 80 mAh/g, depending on the deposition method and the crystallinity of the films.

NMC thin films were mainly deposited via PLD and RF sputtering [392–396]. Prathibha et al. [394] grew polycrystalline $\text{Li}(\text{Ni}_{0.5}\text{Co}_{0.25}\text{Mn}_{0.25})\text{O}_2$ thin films with mainly (001) and (104) orientations on Au-coated Si substrates via RF sputtering, obtaining a good discharge capacity of $57.5 \mu\text{Ah}/\text{cm}^2 \mu\text{m}$. Tan et al. [392] reported the orientation control of NMC sputtered thin films with different post-annealing temperatures ranging from 400 °C to 700 °C. In this work, the dominant orientation of the films changed from (104) to a mixture of (110) and (104). The authors demonstrated that the thin film annealed at 700 °C showed the best performance with the highest percentage of (110) oriented grain. Highly (001)-oriented NMC thin films were also synthesized on Au-buffered stainless steel substrates at 750 °C by Jacob et al. [393] in which the films showed a discharge capacity of 187 mAh/g.

Table 7 summarizes the discharge capacities of different thin-film cathodes. Among LCO, NMC and LMO, the NMC cathode showed the best performance (~120 mAh/g) at a discharge rate of 0.1 C. In terms of the crystalline structure effect, epitaxial thin films showed a superior performance relative to polycrystalline and amorphous thin films.

Table 7. Comparison of the discharge capacity among different cathode materials.

Materials	Crystalline Structure	Discharge Rate (C)	Current Density ($\mu\text{A}/\text{cm}^2$)	Discharge Capacity (mAh/g)	Density (g/cm^3)	Discharge Capacity ($\mu\text{Ah}/\text{cm}^2 \mu\text{m}$)	Ref.
LCO ^a	(104) Epitaxial	100		23	5.03	13	[373]
LCO ^a	(104) Epitaxial	0.01		90	5.03	45	[373]
LCO ^b	Amorphous		30	49	5.03	25	[375]
LCO ^b	(100)-Oriented		30	120	5.03	61	[375]
LCO ^c	Polycrystalline		0.5	50	5.03	25	[377]
$\text{LiNi}_{0.5}\text{Mn}_{0.25}\text{Co}_{0.25}\text{O}_2$ ^b	Polycrystalline			117	4.9	58	[394]
$\text{LiNi}_{0.3}\text{Mn}_{0.3}\text{Co}_{0.3}\text{O}_2$ ^b	Polycrystalline	0.1		127	4.9	62	[392]
$\text{LiNi}_{0.5}\text{Mn}_{0.3}\text{Co}_{0.2}\text{O}_2$ ^a	Polycrystalline	0.5		125	4.9	61	[393]
LMO ^a	(100) Epitaxial	0.7		129	4.32	56	[384]
LMO ^a	(100) Epitaxial	13		100	4.32	43	[384]
LMO ^a	(100) Epitaxial	33		84	4.32	36	[384]
LMO ^a	(110) Epitaxial	0.7		113	4.32	49	[384]
LMO ^a	(110) Epitaxial	13		50	4.32	22	[384]
LMO ^a	(111) Epitaxial	0.1		95	4.32	41	[384]
LMO ^a	(111) Epitaxial	13		50	4.32	22	[384]
LMO ^d	Amorphous	0.1		61	4.32	26	[101]
LMO ^d	Polycrystalline	0.1		70	4.32	30	[101]
LMO ^e	Polycrystalline		100	88	4.32	38	[390]
LMO ^f	Polycrystalline		50	80	4.32	35	[391]

Bold discharge capacity values in (mAh/g) are back-calculated from the data in ($\mu\text{Ah}/\text{cm}^2 \mu\text{m}$). Materials with superscript a, b, c, d, e and f represent the corresponding thin films deposited by PLD, RF sputtering, atomic layer deposition (ALD), blade coating, sol-gel and CVD, respectively.

4.2. Thin-film Electrolytes

As discussed earlier, the use of solid electrolytes can resolve the safety issues caused by liquid electrolytes in conventional LIBs. Furthermore, the use of thin-film solid electrolytes can provide the low ionic resistance by controlling material orientations, crystallinity and strain to build high power lithium-based batteries. Here, we mainly focus on progress in research on four thin-film solid electrolytes: NASICON, LiPON, perovskite and garnet electrolytes.

LAGP and LATP are two of the most commonly used NASICON-type solid electrolytes in LIBs, due to their high ionic conductivities. Using various deposition techniques including RF

sputtering [397–399], sol–gel [400] and aerosol deposition [401], LAGP and LATP thin films were implemented into SSTFBs. However, thin-film electrolytes fabricated by sol–gel cannot be fully densified due to the solution-based sol–gel method. Therefore, ionic conductivity for the pores in sol–gel-synthesized thin films also needs to be taken into account. Sun et al. [397] deposited LAGP thin films using RF sputtering with different deposition temperatures ranging from 50 to 600 °C, demonstrating an increase in ionic conductivity with increasing deposition temperatures up to 200 °C. In this study, the conductivity of the film deposited at 200 °C was found to be 1.29×10^{-6} S/cm at room temperature. Later, using a sol–gel method they also synthesized polycrystalline LAGP thin films. In particular, the sample sintered at 900 °C for 8 h showed the highest bulk (material itself) and total (material + pores) conductivity of 7.76×10^{-4} and 4.18×10^{-4} S/cm, respectively, at room temperature. Further increases in the sintering temperature and time led to the deterioration of the conductivity of films due to the formation of an impurity phase and the increase of the interspace within grains [400]. Polycrystalline LAGP thin films were also synthesized via aerosol method without the post-annealing treatment and showed much lower total conductivity (5×10^{-6} – 2×10^{-7} S/cm) relative to the samples with the post-annealing treatment [401]. Using RF-sputtering, Ling et al. [398] and Chen et al. [399] fabricated amorphous LATP thin films. Ling et al. reported an ionic conductivity of 6.47×10^{-6} S/cm for LATP films deposited at 500 °C, while Chen et al. reported a higher ionic conductivity (2.46×10^{-5} S/cm) for LATP films deposited at 300 °C.

$\text{Li}_2\text{O-V}_2\text{O}_5\text{-SiO}_2$ (LVSO), one of the LISICON type material systems, has also been deposited as thin films with PLD [402–406] and RF sputtering [319,407,408]. Kuwata et al. [404] deposited LVSO thin films on top of Pt-coated quartz glass substrates using PLD. According to this study, the LVSO thin films were found to be amorphous with a conductivity of 3×10^{-7} S/cm at room temperature. Kawamura et al. [406] also reported the deposition of LVSO thin films via PLD. The authors utilized three different post-annealing temperatures (200, 400 and 600 °C), which resulted in the increased crystallinity of LVSO films. The conductivity of the as-deposited amorphous LVSO thin films was a 10^{-7} S/cm, which is ~2 order of magnitudes lower than the bulk value. Ohtsuka et al. [319,407,408] reported the growth of crystalline LVSO thin films via RF sputtering. The films were grown on multiple substrates including sapphire, SiO_2 glass, Pt–Pd-coated SiO_2 glass, SnO_2 -coated SiO_2 glass and stainless steel. Thin films on SiO_2 glass, sapphire, and stainless steel showed a dominant (002) orientation. The LVSO|sapphire samples were then annealed at different temperatures of 410, 510 and 610 °C. As annealing temperature goes up, (011), (020) and (220) orientations started to show up. The major XRD peak of (002) has an increase in peak intensity and a decrease in peak width, which indicates better film crystallinity and larger grain size. The influence of deposition temperature was also investigated. The peak intensity of the (002) LVSO plane first increased as the deposition temperature increases. However, the (002) LVSO peak was not observed in samples deposited at temperature higher than 300 °C, showing very minor (011) and (210) peak with the deposition temperatures of 300 and 390 °C, respectively. As for conductivity, the as-deposited films at 60 and 200 °C showed $\sim 10^{-6}$ S/cm of conductivity at room temperature. The films annealed at 600 °C (samples deposited at 60 and 200 °C) showed a higher conductivity of 10^{-5} S/cm, which is one order magnitude higher than the bulk conductivity value of LVSO, as shown in Figure 12.

LiPON is the most widely used electrolyte for SSTFBs due to its good stability, easy growth of thin films and good ionic conductivity at room temperature. There have been extensive studies on LiPON thin films prepared by RF sputtering [179,409,410], PLD [411,412] and ALD [413]. The nitrogen to phosphorus (N/P) ratio can be controlled by the N_2 gas pressure during the deposition for RF sputtering and PLD [179,409–412]. The N/P ratio can also be varied by controlling the dose of N_2 during the ALD process [413]. Similar to LLZO, LiPON thin films are also amorphous after the deposition. The ionic conductivity was found to increase with increasing the N/P ratio ranging from 10^{-4} to 10^{-7} S/cm [409,413]. As shown in Figure 13, most the thin films showed a similar or higher conductivity value than the bulk polycrystalline reference, ranging from 10^{-2} to 10^{-7} S/cm.

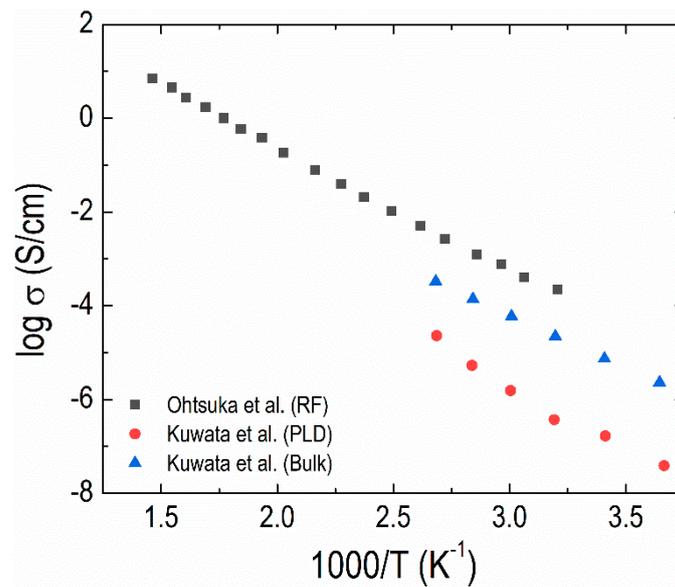


Figure 12. Conductivity summary of bulk [405] and thin-film $\text{Li}_2\text{O-V}_2\text{O}_5\text{-SiO}_2$ (LVSO) deposited via PLD [404,405] and RF sputtering [319].

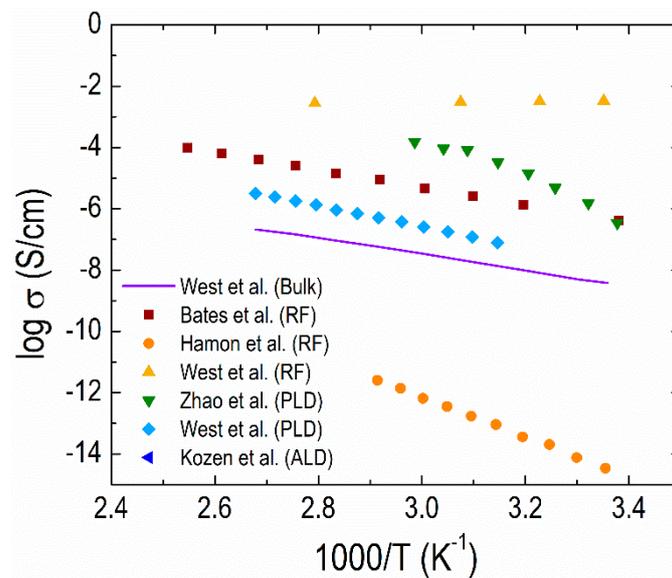


Figure 13. Conductivity summary of bulk [412] and thin-film LiPON deposited via PLD [411,412], RF sputtering [179,409,410] and ALD [413].

LLTO is one of the most commonly used perovskite-based solid electrolyte materials in SSBs due to its high bulk ionic conductivity [182]. Many attempts have been made to control the performance of SSTFBs with LLTO by modulating dopant concentration and film morphology [414–425]. While various deposition methods can be applied to synthesize epitaxial LLTO thin films, PLD is the most preferred method. Ohnishi et al. [416] grew both a-axis and c-axis oriented epitaxial $\text{Li}_{0.33}\text{La}_{0.56}\text{TiO}_3$ thin films on (001) STO and (110) NdGaO_3 (NGO) single crystalline substrates, respectively. The conductivity of (001)-oriented LLTO thin film was found to be $\sim 3.5 \times 10^{-5}$ S/cm, which is ~ 2 orders of magnitudes lower than the bulk conductivity of LLTO. The author proposed that the lower conductivity of LLTO thin films is attributed to the lithium-deficient LLTO film composition and the compressive strain induced by the lattice mismatch between the film and the substrate. Wei et al. [414] also grew epitaxial $\text{Li}_{0.33}\text{La}_{0.56}\text{TiO}_3$ thin films with different strain states induced by the lattice mismatch between the

substrate and the film, demonstrating the strain-dependent ionic conduction behavior of LLTO thin films. The ionic conductivity of LLTO films in LLTO/NGO along the perpendicular to the b–c plane (6.7×10^{-4} S/cm) was higher than that along the perpendicular to the a–c plane (4.3×10^{-4} S/cm). The authors proposed that the strain-dependent ionic conductivity is due to the larger compressive strain along the perpendicular to the b–c plane. (111)-oriented epitaxial $\text{Li}_{0.17}\text{La}_{0.61}\text{TiO}_3$ thin films were successfully synthesized on the (111) LMO|(111) SrRuO₃ (SRO)|(111) Nb–STO electrode by Kim et al. [415]. Ohta et al. [417] demonstrated the conductivity of (100)-oriented $\text{Li}_{3x}\text{La}_{2/3-x}\text{TiO}_3$ ($x = 0.1, 0.167$) thin films on (001) STO substrate with an atomically flat surface. According to the authors, the conductivity of LLTO thin film ($x = 0.1$) was found to be 2.5×10^{-2} S/cm at 190 °C, which is in good agreement with the bulk conductivity of single-crystalline LLTO 3×10^{-2} S/cm. Although the PLD process tends to yield epitaxial thin films with good crystallinity and atomically flat surface, it is worth mentioning that the PLD process requires a target material with an excess amount of Li to balance the Li lost during the target sintering and deposition processes [424]. Besides PLD, deposition processes such as sol–gel method and RF sputtering were also used for the growth of LLTO thin films [421,422]. In particular, Teranishi et al. [421] reported the growth of a polycrystalline LLTO thin film with a sol–gel process and Xiong et al. [422] used RF sputtering to fabricate an amorphous LLTO thin film. However, the ionic conductivity of these thin films was orders of magnitudes lower than that of the bulk and PLD-deposited thin films, as shown in Figure 14.

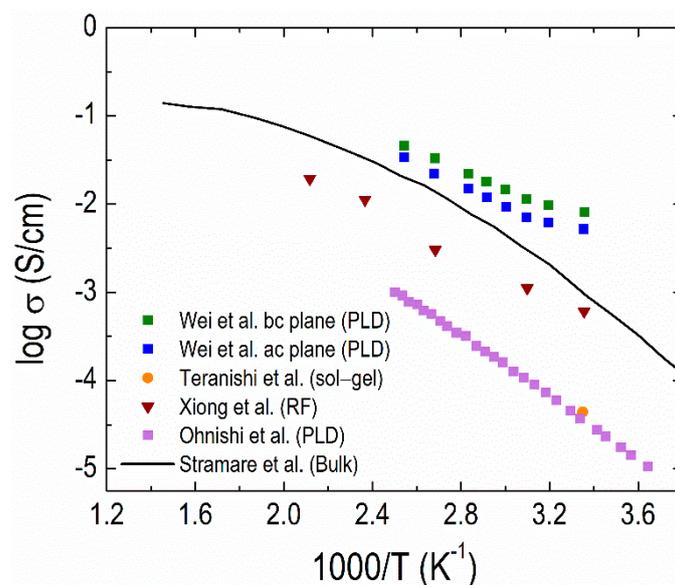


Figure 14. Conductivity summary of bulk [426] and thin-film $\text{Li}_x\text{La}_{2/3-1/3x}\text{TiO}_3$ (LLTO) deposited by PLD [414,416], RF sputtering [422] and sol–gel method [421].

LLZO is one of the commonly used garnet-based electrolyte materials for SSBs. LLZO exhibits good ionic conduction in addition to good structural stability, which makes LLZO a promising electrolyte material for SSTFBs [427,428]. The ion-transport properties of LLZO thin films have been intensively studied with different deposition techniques such as PLD [324,365,429–431], RF sputtering [59,432], sol–gel [433–435] and CVD [57,436]. One of the features of LLZO is that as-deposited LLZO thin films tend to be amorphous [324,365,430–432,434–436]. Depending on the post-annealing conditions, different phases could form during the annealing process, resulting in a variation in conductivity, ranging from 10^{-2} to 10^{-6} S/cm at room temperature, as shown in Figure 15. Furthermore, it has been reported that deposition temperatures also play a role in the phase evolution [324]. Garbayo et al. [324] reported that LLZO films were found to be a mixture of an amorphous lithiated network and a cubic-type LLZO in the case of the deposition temperature between 50–500 °C. In contrast, the crystallized $\text{La}_2\text{Zr}_2\text{O}_7$ phase was found to become the major phase with a noticeable amount of cubic type LLZO at a deposition

temperature of 750 °C. The authors also demonstrated that LLZO thin films deposited at 300 °C showed the highest ionic conductivity and lowest activation energy, while the film deposited at 750 °C showed the lowest ionic conductivity and highest activation energy. These results imply that the amorphous structure could be better for Li⁺ conduction compared to the crystallized structure.

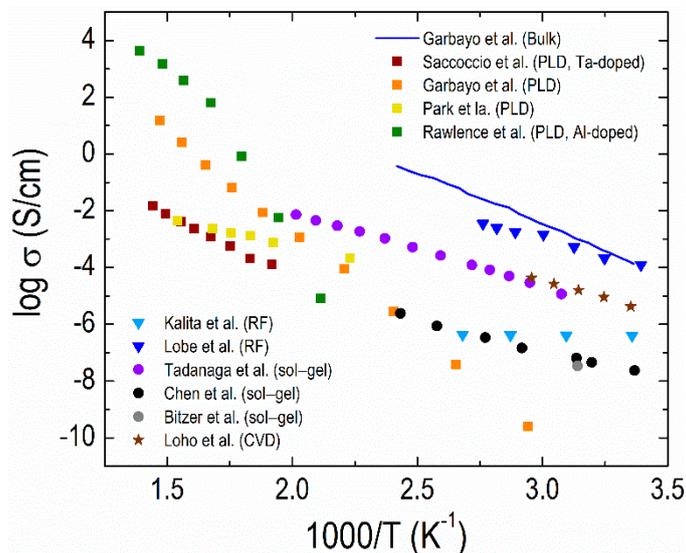


Figure 15. Conductivity summary of bulk [324] and thin-film LLZO deposited by PLD [324,430,431,437], RF sputtering [59,432], sol-gel [433–435] and CVD [57].

5. Conclusions

Replacing liquid electrolytes with solid counterparts allows SSBs to exhibit excellent safety, electrochemical stability and high energy density. Despite these advantages, further enhancement of the current SSBs is required to be used in practical applications. Improving the material properties of electrodes and electrolytes may accelerate the development of the next-generation energy storage systems. This review discussed key advances in battery materials and possible solutions to solve their issues. Surface modification, doping and nanostructuring have been successfully used to improve the electrode performance. For the solid electrolyte, many efforts have been devoted to improving the ionic conductivity by doping or altering the crystal structures. Indeed, several studies have improved the thermal and chemical stability of the solid electrolytes by replacing sensitive elements, such as transition metals. While we mainly focused on oxide-based solid electrolytes, LiRAP and sulfide-based solid electrolytes are becoming increasingly attractive owing to their outstanding ionic conductivity. However, the highly air sensitive nature of these electrolytes remains a substantial obstacle.

The interfacial problems discussed in this review—insufficient mechanical contact between the electrode and the electrolyte, significant volume changes of electrodes and formation of SCRs and lithium metal dendrites—cause large interfacial resistances leading to the performance degradation of SSBs. Introducing thin films as buffer layers is a promising approach to overcome these interfacial issues. We discussed how to control the growth of thin films with various thin films deposition methods such as thermal evaporation, PLD, sputtering, CVD, ALD and sol-gel. Recent studies on the successful suppression of dendrites, SCRs and SEI layers by having thin-film buffer layers were also discussed systematically.

Nanostructured thin films also play a key role in constructing high-performance SSTFBs, which can be implemented in wearable/flexible electronics and microelectronic devices. We summarized recent advances in thin-film electrodes and electrolytes and their impact on the SSTFB performances. While most thin films can be fabricated by different deposition methods, the film growth techniques may need to be carefully chosen depending on the material systems. Nevertheless, PLD has shown

its unique advantage in controlling the physical properties of thin films, which directly influence the performance of SSTFBs. RF sputtering and sol-gel are also commonly used to synthesize thin-film electrodes for SSTFBs. To improve the performance of SSTFBs, a couple of different approaches such as applying epitaxial thin films, introducing the lattice strain and changing the crystallographic orientation of films have been utilized. However, the effect of changing the physical properties of thin films on the SSTFB performance is not yet fully understood as SSTFBs are still in their infancy.

Apart from the topics covered in this review, we propose two promising approaches for the investigation to achieve further advances in SSBs and SSTFBs. Over the last few decades, the development of oxide thin films has led to many technological breakthroughs for energy and electronic devices. In particular, 2D planar heterostructures have been prevalently investigated as they lead not only to improved functionalities but even to the occurrence of novel properties that do not belong to the bulk [438,439]. For instance, the discovery of the formation of a conducting interface between two insulators [438], STO and LaAlO₃ (LAO), brought the breakthrough in the field of oxide electronics while also becoming the pole of attraction and inspiration for numerous studies [440,441]. Recently, a couple of attempts have been made to utilize heterostructure thin films in lithium-based batteries [395,442–444]. In addition, developing new forms of materials with tailored properties could bring technological breakthroughs in the next-generation energy storage systems. For example, 3D nanostructures can offer an extremely large number of interfaces and surface area, which are beneficial for enhancing the electrochemical performance and ion transport in materials [445,446]. To date, a few studies have been investigated the influence of 3D nanostructures on the performance of lithium-based batteries [447,448]. Exploring new forms of materials will bring new opportunities to develop high-performance electrodes and electrolytes for SSBs and SSTFBs.

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