

Review

# Treatment Selection for Early to Intermediate Hepatocellular Carcinoma

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**Abstract:** Many guidelines and standard therapies have been published for the treatment of hepatocellular carcinoma (HCC). Multiple options for the treatment of early to intermediate-stage HCC have resulted in several differences between the guidelines. In addition, more than a few non-standard therapies have been used in a real-world clinical setting. Radiofrequency ablation or chemotherapy, including hepatic arterial infusion chemotherapy and molecular target agents, are sometimes selected for the treatment of intermediate HCC, whereas in many guidelines, the recommended therapy for these patients is transcatheter arterial chemoembolization. The present status of these topics is reviewed and summarized.

**Keywords:** hepatocellular carcinoma; treatments; radiofrequency ablation; molecular target agents; hepatic arterial infusion chemotherapy

## 1. Introduction

Hepatocellular carcinoma (HCC) is one of the most important medical problems worldwide [1]. Hepatitis B and C virus infections are well known as major causes of HCC. Although the development of direct-acting antivirals and nucleoside analogs can eradicate or suppress hepatitis virus infections and the number of hepatitis virus-related HCC cases has decreased, HCC still occurs in patients with hepatitis. In addition, the increase of non-viral HCC caused by alcoholic liver disease and non-alcoholic steatohepatitis has become another problem [2]. According to the increasing knowledge of risk factors and the prevalence of surveillance systems, the number of HCC cases detected at an early stage has increased [3]. Patients in whom HCC is detected early are candidates for curative treatments, such as surgery or radiofrequency ablation (RFA).

There are several guidelines for the treatment of HCC [4–11]. All guidelines use background liver function and the extent of tumor progression for selection of the recommended treatments, many of which have been created based on evidence confirmed by multiple studies. Meanwhile, many new treatment modalities and applications have been adopted in real-world clinical practices,

but they have not yet been recommended in the guidelines. The option of liver transplantation for the treatment of early HCC differs among the guidelines and is controversial and difficult to perform because of a shortage of donors, especially in Asian regions. Application of RFA or chemotherapy regimens, including hepatic arterial infusion chemotherapy (HAIC) and molecular target agents (MTA), are prevalent, non-standard therapies for intermediate-stage HCC.

In this article, we focus on non-surgical treatments and attempt to elucidate the present status of and the practical strategies for the treatment of early to intermediate-stage HCC.

## 2. Application of RFA in HCC Treatment Guidelines

The most widely used criterion in the treatment HCC algorithms is Barcelona clinic liver cancer (BCLC) staging. Both the European Association for the Study of the Liver (EASL) and the American Associations for the Study of Liver Disease (AASLD) have adopted BCLC staging in their guidelines [6,9]. In both guidelines, RFA is recommended for the treatment of both very early-stage HCC (BCLC-0) and early-stage HCC (BCLC-A). Similar applications for the use of RFA are recommended in the guidelines of Japanese, Korean, Chinese, Taiwanese, and Asian Pacific associations for the study of the liver [4,5,7,10,11]. All these associations, except Chinese and Taiwanese, adopted the standard application criteria for RFA, namely, HCC less than or equal to 3 cm in diameter and limited to three nodules. Chinese and Taiwanese guidelines accepted the use of RFA for the treatment of tumors larger than 3 cm in diameter.

Minimally invasive RFA involves passing high-energy radio waves through a probe inserted into a tumor. The radio waves heat the tumor and destroy the tumor cells without severe deterioration of liver function [12]. Another approach, transcatheter arterial chemoembolization (TACE), involves embolization of the feeding artery of the specific tumor and is a standard therapy for intermediate HCC. Although the curability of nodules treated with RFA is higher than that in nodules treated with TACE, none of the guidelines recommend RFA for the treatment of intermediate HCC.

## 3. Current Status of RFA in Asia

The multicenter trial committee of the Asian Conference on Tumor Ablation (ACTA) examined the current status of RFA in Asia. Thirteen hospitals in five regions (China, India, Japan, Korea, and Taiwan) provided their data. The number of hospitals was not enough to represent the status of the entire nation, but each hospital in this study had enough cases of HCC treated with RFA to show the trend in each region. The study protocol complied with the ethical guidelines of the World Medical Association Declaration of Helsinki and was approved by our institutional review boards (Approval codes: Okayama University, 1908-011; Okayama City Hospital, 1-63).

No statistical difference was observed between regions. When we stratified the patients by the status of the hepatitis virus infection and BCLC stage, no difference in overall survival (OS) was observed between regions (Figure 1). This finding indicates that uniform effectiveness of RFA was achieved in the collaborative hospitals regardless of the region. However, the median size of the tumor treated with RFA differed from one region to another (Figure 2). The median tumor size reported in Korea (Asan Medical Center, Samsung Medical Center, and Seoul National University Hospital) was the smallest (15 mm). In addition, 99.6% (254/255) of tumors in Korean hospitals were less than or equal to 3 cm in diameter. The median tumor size reported in India (TATA Memorial Centre and Rela Institute and Medical Center) was the largest (3.6 cm). Furthermore, 55.4% (31/56) of tumors in the Indian hospitals were greater than 3 cm in diameter.

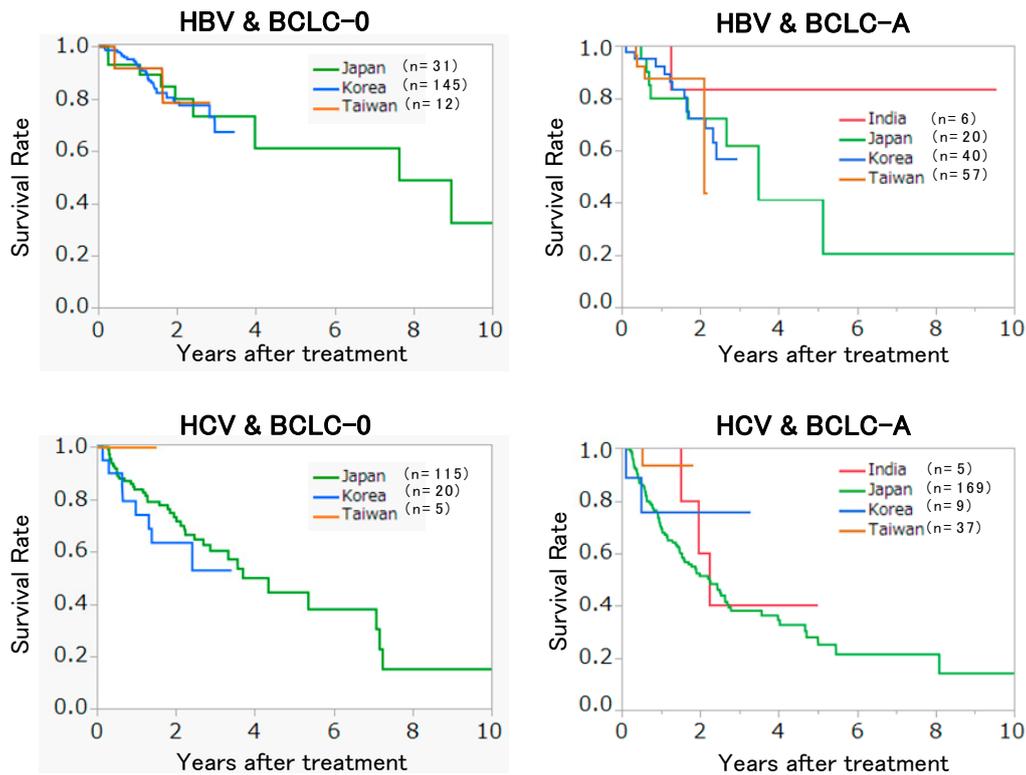


Figure 1. Recurrence-free survival of the patients in different regions.

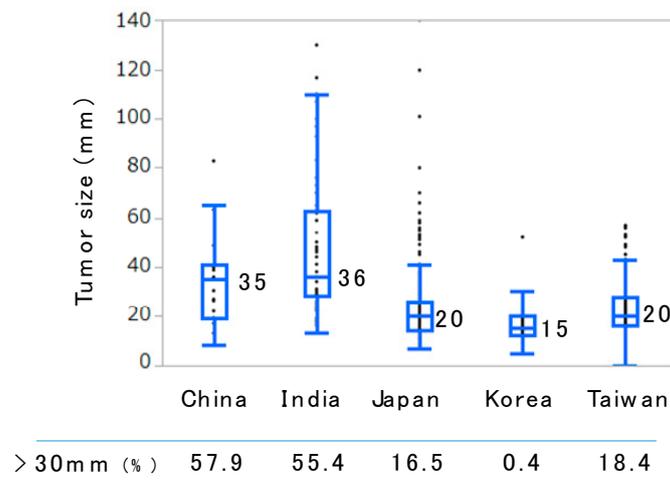


Figure 2. Box plot of the tumor size treated with radiofrequency ablation in different regions. Horizontal bars in the boxes and the numbers beside indicate median values. The numbers of patients in China, India, Japan, Korea, and Taiwan were 19, 56, 635, 255, and 185, respectively.

RFA was selected not only for the treatment of BCLC-0 and BCLC-A patients but also for the treatment of BCLC-B and BCLC-C patients in some regions (Figure 3). The numbers of patients in China, India, Japan, Korea, and Taiwan were 19, 56, 635, 255, and 185, respectively.

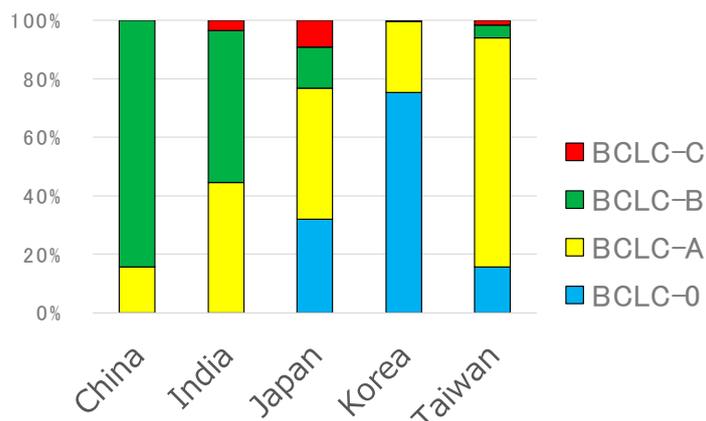


Figure 3. Barcelona clinic liver cancer (BCLC) stages of RFA-treated patients.

#### 4. RFA for Intermediate-Stage HCC

RFA is not recommended for the therapy of intermediate-stage HCC. However, several patients with intermediate-stage HCC were treated with RFA in real-world clinical practices, as seen in the data of the ACTA (see the previous section). This finding indicates that many doctors think that RFA is beneficial for some patients. Although no prospective randomized trial for revealing the effectiveness of RFA has been conducted, several reports demonstrate the effectiveness of RFA for the treatment of intermediate-stage HCC [13–20] (Table 1). The application of RFA in each report was slightly different, but all the reports show the beneficial effect of RFA. Azuma et al. ablated nodules with poor lipiodol retention after TACE and reported better progression-free survival (PFS) and OS than TACE alone [16]. Yin et al. demonstrated the additive effect of RFA for the nodules that were safe to ablate [14], and Hoffman et al. reported the additive effect of RFA for patients treated with TACE using drug-eluting beads [13]. We also compared OS of intermediate-stage HCC treated with RFA and those treated with TACE after propensity score matching and demonstrated the survival benefit of RFA not only in our institute but also in other collaborative hospitals [17,21]. Notably, the benefit of RFA was limited to patients with BCLC–B1 and BCLC–B2. It was difficult to achieve a survival benefit in more advanced HCC cases, such as BCLC–B3 and BCLC–B4 [22].

Table 1. Radiofrequency ablation (RFA) for intermediate-stage hepatocellular carcinoma (HCC).

Authors	Pt No.	Intervention	Results
Azuma, et al. [16]	n = 59	TACE + RFA to the nodules with poor lipiodol retention	Better PFS, OS than TACE
Yin, et al. [14]	n = 211	TACE + RFA to the nodules with easy to ablation	Better PFS, OS than TACE
Hoffmann, et al. [13]	n = 20	DC beads + RFA	Effective and Safe
Nouso, et al. [17]	n = 167	RFA to all nodules	Better OS than TACE
Endo, et al. [18]	n = 92	TACE + RFA	Better PFS, OS than TACE (especially AFP < 100 ng/mL)
Kariyama, et al. [15]	n = 627	RFA	Better OS than TACE in B1/B2 stages
Liu, et al. [20]	n = 404 (B1 stage)	TACE + RFA	Better OS and PFS

TACE, transcatheter arterial embolization; RFA, radiofrequency ablation; DC beads, drug-eluting beads; PFS, progression-free survival; OS, overall survival.

#### 5. Application of Molecular Target Agents for the Treatment of Intermediate-Stage HCC

The application of MTAs for the treatment of intermediate-stage HCC is different among guidelines. Although the efficacy of MTA for intermediate-stage HCC was demonstrated in many Phase 3 studies, including SHARP [23], REFLECT [24], and REACH–2 [25] studies, MTA is not recommended for the treatment of intermediate-stage HCC in BCLC guidelines. In many Asian guidelines, including Japanese, MTA was listed as an optional therapy [4,5,7,11]. This discrepancy comes from the lack of enough

information comparing the efficacy of MTA and TACE for the treatment of intermediate-stage HCC. In addition, intermediate-stage HCC consists of a wide variety of HCC that is neither early nor advanced-stage HCC, which makes the uniform recommendation of the treatment difficult [25].

Recently, Kudo et al. showed a better survival benefit of lenvatinib than TACE in patients with intermediate-stage HCC beyond the up-to-seven criteria [26]. The report was not a prospective randomized study, but the authors used propensity scores to compensate for biases between two groups and clearly demonstrated the efficacy of lenvatinib for these patients. The PFS values of lenvatinib and TACE-treated patients were 16.0 and 3.0 months, respectively, and OS values were 37.9 and 21.3 months, respectively. These results indicate that MTA could be a treatment of choice in more advanced intermediate-stage HCC.

## 6. Application of Hepatic Arterial Infusion Chemotherapy (HAIC)

HAIC, a method that introduces anti-cancer drugs directly into the tumor-feeding artery, is traditionally used in Japan for the treatment of intermediate to advanced-stage HCC and is one of the recommended therapies in the Japanese guidelines [11]. There are no randomized controlled trials to show the effect of HAIC compared to placebo, and the objective response rates based on observational studies vary (0–71%) [11]. Nonetheless, doctors who are familiar with HAIC have often experienced good responses in some HCC cases.

We compared the outcome of 476 patients with HCC who underwent HAIC with 5-fluorouracil and cisplatin with that of 1466 patients who did not receive active therapy. We used the database of primary liver cancer registered by the Liver Cancer Study Group of Japan [27]. The results of the multivariate analysis, as well as propensity score-matched analysis clearly show the efficacy of HAIC. Recently, an additive effect of HAIC on sorafenib-treated patients was examined in the SILIUS RCT study [28]. The regimen of HAIC was low-dose cisplatin and fluorouracil. Although no difference in OS was observed between HAIC/sorafenib and sorafenib monotherapy, a possible survival benefit was demonstrated in patients with a tumor thrombus at a major portal vein.

In addition, HAIC is less harmful than TACE or MTA, so it can be used for patients with poor liver function [29]. Early to intermediate-stage HCC includes patients with Child-Pugh B grade. MTA cannot be used for these patients, and sometimes there is hesitation in treatment with TACE because of the fear of inducing liver failure. HAIC can be used for these patients. Concrete survival benefits of HAIC in non-advanced HCC must be proven with further examinations. Nonetheless, it is worth considering the use of less harmful HAIC at least once in patients with intermediate-stage HCC.

## 7. Future Perspectives

HCC treatment guidelines provide information about standard therapies. However, non-standard therapies sometimes have favorable results. There are many options for the treatment of early- to intermediate-stage HCC. In addition, the development of new drugs and modalities is very rapid. In particular, many MTAs have been and will continue to be developed. The choice of these drugs and the timing of changing drugs are now a matter of special interest even for intermediate-stage HCCs. Therefore, it is important to always pay attention to updated information.

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