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Superior Mechanical Properties and Work-Hardening Ability of Ultrafine-Grained Quenched and Partitioned Steels Processed by a Novel Approach Involving Asymmetric Hot Rolling

Zhengyou Tang ^{1,*}, Jianeng Huang ^{1,*}, Xingchao Lu ¹, Hua Ding ¹, Dongmei Zhang ² and Devesh Misra ^{3,*}

- ¹ School of Materials Science and Engineering, Northeastern University, Shenyang 110819, China; 15040279961@163.com (X.L.); neutangzy@sina.com (H.D.)
- ² Shenyang Liming Aero-Engine Corporation, AECC, Shenyang 110043, China; melbtangzy@126.com
- ³ Laboratory for Excellence in Advanced Steel Research, Department of Metallurgical, Materials and Biomedical Engineering, University of Texas at El Paso, El Paso, TX 79968, USA
- * Correspondence: tangzy@smm.neu.edu.cn (Z.T.); Huangjnd@163.com (J.H.); dmisra2@utep.edu (D.M.); Tel.: +1-(915)-747-8679 (D.M.)

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Abstract: An approach is proposed to enhance the mechanical properties and work-hardening (WH) ability of low-alloy steels. Using asymmetric hot rolling (AHR) and subsequent direct quenching (DQ) prior to the quenching and partitioning (Q&P) process, an ultrafine-grained Q&P steel with excellent combination of tensile strength of ~1000 MPa and total elongation of ~35% was obtained, which exhibited high WH exponent at higher strain induced by the higher volume fraction and higher stability of film-like retained austenite located between the martensite laths.

Keywords: low-alloy; Q&P steel; mechanical property; work-hardening; ultrafine grain

1. Introduction

Quenching and partitioning (Q&P) steel has been the focus in recent years to obtain excellent a combination of strength and plasticity [1–3]. Mechanical properties of Q&P steel are related to the work-hardening (WH) rate induced by austenite-to-martensite transformation during deformation [3–6]. The quantity and stability of the retained austenite (RA) in Q&P steels plays an important role, which depends not only on the chemical composition and heat treatment, but also on the original microstructure prior to the Q&P process. Ultrafine-grained (UFG) structure and using martensite as the prior microstructure prior to Q&P process has been considered [4,7–10]. However, in the UFG Q&P steel, the ductility is deteriorated and the work-hardening ability during plastic deformation is not increased [11–13]. Thus, it is relevant to develop novel methods to ensure excellent mechanical properties and work-hardening ability in UFG Q&P steel.

Asymmetric rolling is a new, easy, and inexpensive plastic deformation technique, and it is suitable for producing large structural components with UFG [14,15]. Asymmetric hot rolling (AHR) is especially useful, because it can increase shear strain when the hot-rolled steel plates are relatively thick and friction is high during AHR [16,17]. The traditional process of cold-rolled Q&P steels consists of conventional hot rolling (CHR), cold rolling and Q&P heat treatment [1–3,6]. Recently, a few studies indicated superior stability of film-like RA, which can be obtained using martensite as the prior microstructure prior to Q&P process [4,7]. However, the martensite prior microstructure heat treatment mainly focused on hot-rolling off-line quenching. Compared with this quenching, the direct



quenching (DQ) after AHR is relatively simpler and energy-efficient. Simultaneously, cold rolling of martensite requires significantly less strain to obtain UFG for low-alloy steels [11,18].

The study described here focuses on a novel approach by combining AHR, followed by DQ and Q&P process, to significantly enhance the mechanical properties and work-hardening ability of low-alloy steel using deformed martensite as the prior microstructure of the Q&P process. The product of tensile strength and total elongation (PSE) of the low-alloy UFG Q&P steel was greater than 35.8 GPa%, approaching PSE \geq 30 GPa% in 3rd generation high-alloy automotive steels [19–21]. Additionally, the effect of volume fraction, grain size, morphology, and location of RA on work-hardening behaviors is explored.

2. Experimental

The composition of the experimental steel was Fe-0.2C-1.5Mn-1.3Si-0.2Al (wt.%). A 50 kg ingot was cast after melting in a vacuum induction furnace, which was hot forged to slabs with width of 100 mm and thickness of 30 mm. To design an appropriate AHR and Q&P process, the critical temperatures of A_{c1} , A_{c3} , M_s and M_f measured by dilatometry were 740 °C, 900 °C, 360 °C and 280 °C, respectively. To obtain different hot-rolled microstructures, the specimens were divided into three groups, referred as CHR-Q&P steel, AHR-Q&P steel and AHR-DQ-Q&P steel, respectively. The three groups of steels were all soaked at 1200 °C for 2 h and then hot rolled to sheets with thickness of 3 mm after nine pass rollings with start and finish temperatures of 1150 °C and 910 °C, respectively. Subsequently, these CHR-Q&P and AHR-Q&P sheets were air cooled and AHR-DQ-Q&P sheets were directly quenched to room temperature after hot finish rolling. Next all sheets were cold rolled to strips with thickness of 1.0 mm after pickling in 10% hydrochloric acid. The Q&P heat treatments of all cold-rolled strips were identical and were conducted in salt bath, which were intercritically austenitized at 820 °C for 5 min, and then immediately quenched to 260 °C for 5 s, followed by holding at 400 °C for duration of 200 s and air cooled to room temperature. AHR was carried out using a Φ 400-mm two-roll reversible rolling mill (ϕ 400, Shenyang, China). The velocity ratio of 1.1 was achieved via different circumferential velocities of two working rolls with same diameters but different rotational speed. Tensile tests were performed on specimens of a gauge width of 12.5 mm and a gauge length of 50 mm using a SANSCMT-5000 (MTS, Eden prairie, MN, USA) tensile machine at a constant crosshead speed of 2 mm·min⁻¹ at room temperature. Of which, the Tensile specimens were machined from the heat-treated sheets with the tensile axis parallel to the prior rolling direction. The microstructures were studied by optical microscope (OM, OLYMPUS-GSX500, OLYMPUS, Tokyo, Japan), scanning electron microscopy (SEM, Supra, SSX-550, Shimadzu, Tokyo, Japan) and transmission electron microscope (TEM, TECNAI G2-20, operated at 200 kV, FEI, Hillsboro, OR, USA). The volume fraction of retained austenite (f_{RA}) was measured by X-ray diffraction using Cu-K α radiation. Integrated intensities of diffraction peak (200) α , (211) α , (200) γ , (220) γ and (311) γ were calculated, and the f_{RA} was calculated based on direct comparison method [22,23]. The work-hardening behavior was studied using instantaneous work-hardening exponent, $n = (\varepsilon/\sigma) \cdot (d\sigma/d\varepsilon)$, which was deduced from Hollomon equation [24]. Additional interrupted tensile tests at different strain corresponding to typical n value were carried out to estimate the extent of strain-induced transformation of austenite to martensite using XRD (Rigaku, D/Max2250/PC, Rigaku Corporation, Tokyo, Japan) studies.

3. Results and Discussion

The resulting microstructure of samples is shown in Figure 1. After hot rolling and air cooling, the CHR-Q&P steel and AHR-Q&P steel both showed a typical ferrite and pearlite microstructure, as shown in Figure 1a,b. In the CHR-Q&P steel, pearlite banding was observed parallel to the rolling direction (RD), but in the AHR-Q&P steel, the pearlite banding was broken and was present in a non-continuous manner. AHR refined the grain size. After AHR and DQ, the as-direct quenched microstructure of AHR-DQ-Q&P steel is shown in Figure 1c, which exhibits a typical martensitic microstructure. Resulting final microstructure of subsequent Q&P process are shown in Figure 1d–f.

In the SEM micrographs, ferrite appears black, while martensite appears light grey. It is difficult to make a clear distinction between RA and matrix in the SEM micrographs. The microstructural constituent consisted of equiaxed grains of intercritical ferrite surrounded by martensite and RA. In contrast, as is shown in Figure 1d–f, the average ferrite grain size of the three samples are about $3.5 \,\mu$ m, $1.2 \,\mu$ m and $0.8 \,\mu$ m, respectively. The best refined microstructure was present in AHR-DQ-Q&P steel, followed by AHR-Q&P steel and CHR-Q&P steel.



Figure 1. OM and SEM micrographs of microstructures. OM micrographs of (**a**) as-air cooled microstructure after CHR for CHR-Q&P steel, (**b**) as-air cooled microstructure after AHR for AHR-Q&P steel, (**c**) as-direct quenched microstructure after AHR for AHR-DQ-Q&P steel; SEM micrographs of final microstructures for (**d**) CHR-Q&P, (**e**) AHR-Q&P and (**f**) AHR-DQ-Q&P samples.

Figure 2 shows TEM result of samples pertaining to CHR-Q&P, AHR-Q&P and AHR-DQ-Q&P processes. As shown in Figure 2a,b, the microstructure including ferrite and RA of AHR-Q&P steel were significantly refined than CHR-Q&P steel. Compared with the CHR process, the AHR process refines the microstructure. Furthermore, in contrast, as is shown in Figure 2a–c, the AHR-DQ process not only effectively refined the microstructure, but also changed the RA morphology. Blocky RA was observed in CHR-Q&P and AHR-Q&P steel (Figure 2a,b). However, the morphology of RA in the AHR-DQ-Q&P steel was mainly present as a film (Figure 2c). For the AHR-DQ-Q&P steel, Figure 2d–f show bright and dark-field TEM images of film-like morphology RA and the selected area diffraction pattern (SADP), shows the orientation relationship between film-like RA and lath martensite, and was Kurdjumov-Sachs (K-S) relationship. The cold-rolled structure of AHR-DQ martensite was further studied by TEM as shown in Figure 2g–i. The corresponding SADP in Figure 2i exhibited ring-like pattern, indicating that grain subdivision occurred and the presence of very high density of dislocations during cold-rolled deformation (Figure 2g,h).

It is proposed that the reasons for the refinement of microstructure (Figures 1 and 2a–c), increasing of f_{RA} (Table 1) and change of RA morphology (Figure 2a–f) are related to AHR and subsequent DQ

prior to Q&P process. Compared to CHR, extra shear strain can be introduced into the steel plate by applying AHR, which can influence the microstructural evolution by increasing the total value of effective strain, and the final hot-rolled microstructure is refined [17]. In this study, the temperature of γ - α transformation (A_{r3}) and non-recrystallization temperature (Tnr) of steel was measured to be 730 °C and 945 °C by Gleeble 1500 thermo-mechanical simulator. To measure non-recrystallization temperature (Tnr), samples 10 mm diameter and 15 mm long were used for hot-rolled process simulations after cutting from hot-forged slab. The process schedule is involved: reheating for 5 min at 1200 °C; roughing deformation up to 0.35 strain at 1 s⁻¹ strain rate at 1100 °C; cooling at 1 °C s^{-1} to each of deformation temperatures between 900 and 1000 °C, finishing deformation up to a strain of 0.60 at a strain rate of 1 s^{-1} , followed by either water quenching to room temperature. All samples were examined using optical microscopy to confirm the recrystallization. The present CHR and AHR initial 8 passes were above *Tnr* and finish rolling pass was below *Tnr*. The extra shear strain above Tnr increases the rate of recrystallization, and reduces the recrystallized grain size. The extra shear strain below Tnr increases the ferrite nucleation rate of AHR-Q&P steel or martensite nucleation rate of AHR-DQ-Q&P steel. Thus, AHR refines the hot-rolled microstructure as shown in Figure 1a-c, which agrees with the literature [25,26].

The AHR and the subsequent DQ are beneficial to obtain fine martensitic microstructure (Figure 1c), which is favorable to the achievement of fine microstructure after cold rolling and Q&P treatment. Furthermore, cold rolling of martensite requires significantly less strain to obtain UFG structure [27]. The rapid grain subdivision and a very high density of dislocation (Figure 2g,h) after cold rolling with a reduction of ~67% is attributed to fine and hierarchical structure of martensite [11]. Thus, the deformation structure due to AHR and subsequent DQ prior to Q&P process was sufficiently refined, which was beneficial in obtaining UFG microstructure.



Figure 2. TEM images of final microstructures for (**a**) CHR-Q&P, (**b**) AHR-Q&P and (**c**) AHR-DQ-Q&P steel; (**d**–**f**) TEM images of final microstructures for AHR-DQ-Q&P steel, (**d**) bright-field TEM images of film-like morphology retained austenite (RA), (**e**) dark-field TEM images and (**f**) selected area diffraction pattern (SADP); (**g**–**i**) TEM images of cold-rolled martensite for AHR-DQ-Q&P steel, (**g**) cold-rolled martensite, (**h**) enlargement of selected area in (**d**), (**i**) corresponding to SADP.

It is interesting to note that by applying AHR and subsequent DQ prior to Q&P process, a total volume fraction of 18.5% (Table 1), smaller grain size and stable RA with film-like morphology was obtained. The blocky RA that usually exists treated by Q&P process after intercritical austenitization was suppressed (Figure 2a-f). During intercritical annealing, austenite islands were formed in the matrix, the carbide particles dissolved in austenite and enriched with C and Mn. Furthermore, small austenite islands can also impose a strong pinning effect on ferrite sub-grain boundaries and grain boundaries, which can retard the boundary migration and recrystallization of ferrite [28,29]. In this study, when the fine martensite prior to Q&P process was cold rolled, the stored energy after deformation was higher and formation of small austenite islands was promoted. At the same time, in low-alloy steels, in which the martensitic microstructure partially reaustenitizes in the intercritical region, two types of austenite will form during annealing, i.e., interlath/acicular austenite forms at martensite lath boundaries and blocky austenite mainly at the prior austenite grain boundaries [7,11]. Accordingly, the finer grained austenite structure will result in a higher share of secondary martensite containing more carbon and thus being stronger [30,31]. After quenching to 260 °C during Q&P process, film-like and blocky morphology RA are preserved, which correspond to interlath/acicular austenite and blocky austenite in the intercritical region. Subsequently, during partitioning at 400 °C, because the film-like RA is more stable than the blocky RA, and blocky RA is difficult to be homogeneously enriched in carbon [32,33]. Thus, majority of the blocky RA transform to new martensite, while a considerable amount of film-like RA is retained at room temperature.

AHR and subsequent DQ prior to Q&P process, is the primary reason for AHR-DQ-Q&P steel exhibiting excellent mechanical properties (Table 1) and work-hardening ability (Figure 3). The engineering stress-strain curves for CHR-Q&P, AHR-Q&P and AHR-DQ-Q&P steel are presented in Figure 3a. The three groups of steels exhibited continuous yielding, which is desirable to control surface defects during metal sheet forming. The mechanical properties of steels are presented in Table 1. AHR led to increase of yield stress (YS) (because of the finer grains) and slight increase of UTS and TEL. PSE of AHR-Q&P (air cooling after AHR) steel was greater than 28 GPa%. Comparing air cooled specimens after AHR, it is interesting to note that UFG Q&P steel using DQ after AHR showed not only better YS but also a better combination of UTS and TEL. The sample referred as AHR-DQ-Q&P steel was characterized by an excellent combination of UTS of 1023 MPa, TEL of 35%, and PSE of ~35 GPa%.

Sample	YS (MPa)	UTS (MPa)	TEL (%)	UTS $ imes$ TEL (GPa%)	f _{RA} (Vol.%)
CHR-Q&P	495 (±10)	963 (±15)	28 (±0.3)	26.9 (±0.2)	12.1
AHR-Q&P	537 (±8)	978 (±10)	29 (±0.2)	28.3 (±0.2)	15.0
AHR-DQ-Q&P	605 (±12)	1023 (±17)	35 (±0.3)	35.8 (±0.3)	18.5

Table 1. Mechanical properties of experimental steels.

Figure 3b shows variation in instantaneous WH exponent with true strain. It is obvious that the WH behavior can be divided into three stages, i.e., the instantaneous WH exponent of CHR-Q&P and AHR-Q&P steel rapidly decrease during early stages of tensile deformation in stage I, then slightly decrease in stage II, before finally rapidly decreasing again until failure in stage III with increased true strain. It is interesting to note that the WH behavior of AHR-DQ-Q&P steel is different from the other two kinds of steels, the instantaneous WH exponent slightly increases in stage II, and sustains a longer stage until the peak stress (UTS).



Figure 3. Engineering stress-strain curves of samples at room temperature (**a**), variation in instantaneous work-hardening exponents with true strain (**b**) and volume fraction of retained austenite as a function of engineering strain (**c**) for CHR-Q&P, AHR-Q&P and AHR-DQ-Q&P steel.

The evolution of f_{RA} during tensile tests is shown in Figure 3c. The f_{RA} change can be also divided into three stages. AHR-DQ-Q&P steel had higher f_{RA} before tensile deformation. However, during deformation, the three kinds of steels exhibited different trend in f_{RA} . In stage 1, the f_{RA} change of CHR-Q&P steel is rapid, AHR-Q&P and AHR-DQ-Q&P steels show a slow decreasing rate. In stage 2, AHR-Q&P and AHR-DQ-Q&P steels indicated a rapid decreasing rate, in contrast to CHR-Q&P steel. In stage 3, AHR-DQ-Q&P steel exhibited a slow decreasing rate, CHR-Q&P and AHR-Q&P steels showed an almost no change in trend.

The observed difference in mechanical properties and WH behavior can be explained in terms of different f_{RA} , morphology, location, and grain size of RA. First, compared with CHR-Q&P and AHR-Q&P steels, the initial f_{RA} was higher in AHR-DQ-Q&P steel, which still had some RA that can transform at high strains, whereas the other two steels had exhausted its RA because of the transformation-induced plasticity (TRIP) effect (Figure 3c). Second, blocky austenite was surrounded by ferrite in CHR-Q&P and AHR-Q&P steel (Figure 2a,b), while film-like RA was located between martensite laths in AHR-DQ-Q&P steel (Figure 2c–f). It is well known that film-like RA has better stability compared to the blocky shape, and the latter tends to transform to martensite at a small strain and contributes less the TRIP effect [7,34]. At the same time, ferrite is ductile and soft, and withstands more strain during tension especially at low strain. The harder martensite phase bears more stress, which can "shield" RA during deformation and make RA transform at high strain [3,35]. Consequently, AHR-DQ-Q&P steel exhibited high WH exponent, TEL and UTS at higher strain induced by the higher volume fraction and higher stability of film-like retained austenite located between the martensite laths (Figure 3b).

We compared the mechanical performance of AHR-DQ-Q&P steel to other advanced high strength steels (AHSS) in literature [36–39] in Figure 4. By AHR and subsequent DQ prior to Q&P process, AHR-DQ-Q&P steel not only exhibited significantly higher PSE than conventional AHSS, but also exhibited significantly higher UTS and larger TEL than other convectional TRIP (C-TRIP)

steel, dual phase (DP) steel and complex phase (CP) steel in the region of the first generation AHSS. Moreover, it exhibited higher TEL than medium Mn (M-Mn) steel, Q&P steel and carbide-free bainite (CFB) steel in the region of the third-generation AHSS. Simultaneously, it exhibited slightly higher PSE than deformed and partitioned (D&P) steel [39]. Although its PSE is lower than that of the third-generation steel mainly including twinning-induced plasticity (TWIP) steels, its weight fraction of alloying element is decreased, and the price is relatively cheap. Consequently, our AHR-DQ-Q&P steel achieves excellent tensile properties and defined a new region above the PSE line of 30 GPa% in the strength-ductility map (Figure 4). Not only tensile strength of ~1000 MPa, the excellent PSE of ~35 GPa% in our AHR-DQ-Q&P steel makes it a desirable alloy for applications where PSE is the main design criterion, which is rather remarkable for a low-alloy steel.



Figure 4. Summary of mechanical performance of those existing advanced high strength steels (AHSS) reported in the literature as compared to the investigated steel.

4. Conclusions

In summary, a novel approach to ensure excellent mechanical properties and work-hardening ability is proposed. Compared with CHR-Q&P and AHR-Q&P steel, UFG AHR-DQ-Q&P steel was obtained by AHR and subsequent DQ prior to Q&P process, which was beneficial in refining the microstructure, increase f_{RA} and change RA morphology from blocky to film-like. Consequently, AHR-DQ-Q&P steel was characterized by an excellent combination of UTS of 1023 MPa, TEL of 35% and PSE of ~35 GPa%, and higher WH exponent at higher strain caused by the higher volume fraction and stability of RA. However, considering a 67% cold reduction for DQ (martensitic) hot strip in an industrial mill probably leads to overloading of the rolling stands. Therefore, further study of the proposed process is needed in industry.

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