

Article



Regularities of the Formation of a Green Superhydrophobic Protective Coating on an Aluminum Alloy after Surface Modification with Stearic Acid Solutions

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Abstract: It has been shown that solutions of stearic acid in a dimethyl sulfoxide-water binary

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mixture allow superhydrophobic protective coatings to be created on an aluminum alloy surface with a minimum impact on the environment. The superhydrophobicity and self-cleaning ability of the coating that we developed have been confirmed by measurements of droplet wetting angles and roll-off angles. These properties appear due to the formation of a multimodal micro-rough surface that mainly consists of aluminum stearate. The coatings formed in this manner have been studied by ellipsometry, XPS, and scanning probe microscopy. Their protective ability has been estimated by the "droplet-express" method and in a salt fog chamber. The protective ability of the coating is determined by the DMSO/H₂O ratio, the concentration of stearic acid, and the duration and temperature of modification of the aluminum alloy; it is controlled by a competition between the processes of aluminum stearate formation and hydrolysis. It has been shown that adsorption of stearic acid on an aluminum stearate coating increases its permeability and decreases its protective capability. The results presented in this article are useful for optimizing the conditions of applying green superhydrophobic stearate coatings on aluminum alloys in order to achieve a maximum protective effect.

Keywords: superhydrophobic materials; corrosion protection; protection of aluminum alloys; stearic acid; aluminum passivation

1. Introduction

Materials with a water wetting angle above 150°, with a droplet roll-off angle below 10°, and a pronounced surface self-cleaning capability, are classified as superhydrophobic [1–4]. Superhydrophobicity underlies the self-cleaning of surfaces in some plants and insects [3–7] and is often required in the formation of anti-corrosion, anti-fouling, and anti-icing coatings [8–19] as well as for the production of pollution-resistant textile materials [20].

Some materials, including aluminum and its alloys, are subject to oxidation in air that produces an oxide film whose protective properties are sometimes insufficient. Therefore, the creation of continuous superhydrophobic self-cleaning films on the surfaces of aluminum and its alloys could be an in-demand alternative [6,8,21–23] to passivation with solutions of Cr(VI) compounds that manifest considerable toxicity. However, superhydrophobization requires a surface with multimodal roughness to be formed beforehand to enable the transition from the Wenzel state to the Cassie state, followed by modification with agents that reduce surface energy [3,24–28]. Anodizing [29,30], chemical vapor deposition [6,8], sol–gel technology [31–33], and laser treatment [34,35] are used to impart



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Copyright: © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). multimodal roughness onto a surface. Long-chain alkyl and perfluoroalkyl chains are functionalized with a group that allows them to be affixed to the surface and are used as agents with low surface energy. Fluoroalkylsilanes, octadecyltrimethoxysilane, tetradecanoic acid, stearic acid, copolymers of styrene, and STYPHOS acid are used as compounds of this type [36,37]. Despite the significant progress in the use of superhydrophobic coatings, the regularities of variation in their protective ability depending on the production conditions are not quite clear yet. In addition, the majority of hydrophobization methods employ toxic agents at the stage of relief formation or surface modification, which creates risks of increasing the impact on the environment. Therefore, this article is aimed at the development of green superhydrophobic coatings and the determination of the regularities of variation in their protective ability depending on the surface of AMg6 aluminum alloy.

2. Materials and Methods

2.1. Aluminum Alloy Hydrophobization

Aluminum alloy AMg6 was used in the experiments. Its composition is as follows (%): Al 91.1–93.68; Mg 5.8–6.8; Mn 0.5–0.8. It was manufactured by Degtyarsky Metallurgical Plant LLC (Degtyarsk, Russia). The samples, shaped as rectangular plates with dimensions of $30 \times 40 \times 1$ mm, were machined to reach a "mirror" gloss. The samples were degreased in a solution containing (g/L): Na₃PO₄·12H₂O 22; Na₂CO₃ 25; NaOH 7.5; Na₂SiO₃ 10; DS-10 4, at 60–70 °C for 10 min [38]. The roughness on the alloy surface was created by etching in 10% NaOH solution for 30 s at 70 °C [6,38]. Stearic acid with purity higher than 95 wt% (Sigma Aldrich, Taufkirchen, Germany) was used as the hydrophobizing agent. Stearic acid was dissolved in a binary system obtained by mixing preset amounts of dimethyl sulfoxide (DMSO, purity higher than 99 wt%) and distilled water. After hydrophobization, the samples were dried at 80 °C for 10 min.

2.2. Determination of the Coating Protective Capability

A droplet of a solution containing: $CuSO_4 \cdot 5H_2O$ 82 g/L; NaCl 33 g/L; 0.1 N HCl 13 mL/L was applied to a plate, and the time required for the plate color to change from gray to black was measured [39,40].

Corrosion tests were carried out in an Ascott S450iP salt spray chamber (UK), (Ascott Analytical Equipment Ltd., Tamworth, UK) in accordance with ASTM B117. The salt solution contained 5% NaCl (pH 6.5–7.2). It was sprayed inside the chamber with the test samples. The temperature in the chamber was 35 °C and the humidity was 95–100%. The samples were examined three times a day to detect the formation of the first corrosion sites. The surface morphology of the samples before and after exposure to salt fog was investigated on a LEXT-OSL 4100 confocal laser microscope (OLYMPUS Corporation, Tokyo, Japan) equipped with an MPLAPONLEXT 100 camera lens (OLYMPUS Corporation, Tokyo, Japan).

2.3. Determination of the Coating Thickness

To determine the thickness of the coatings with a Sentech SENreseach 4.0 SER 800 ellipsometer (SENTECH Instruments GmbH, Krailling, Germany) equipped with a high-speed monochromator, we recorded the plots of ellipsometric parameters Ψ and Δ versus wavelengths in the spectral range from 240 to 1000 nm with a goniometer angle of 70°. A three-layer model, including two Drude–Lorentz layers and one Cauchy layer, was used for the calculations. The parameters of the model (thickness d, refractive index n2) based on the measured Ψ and Δ values (the so-called inverse ellipsometry problem) were determined by the software of the instrument in accordance with the mathematical model selected [41].

2.4. Determination of the Coating Composition by XPS

The composition of surface layers was determined by XPS (Omicron, Taunusstein, Germany). Coated samples were mounted in a holder and placed into the pre-evacuation chamber of the OMICRON ESCA + XPS setup (Omicron). The samples were then transferred to the analyzer chamber where a pressure no higher than 8×10^{-10} mbar was maintained. MgK α radiation (1253.6 eV; 252 W power) was used. The analyzer's pass energy was 20 eV. To account for the sample charging, the positions of XPS peaks were standardized relative to the C1s peak of the hydrocarbon impurities from the atmosphere. The binding energy Eb of the peak was taken to be 285.0 eV. The spectra were deconvoluted after background subtraction by the Shirley method [42]. The peak positions were determined with an accuracy of ± 0.1 eV. The component ratios were calculated using the integrated intensities under the peaks, taking the photoionization cross sections σ of the corresponding electron shells into account [43]. Based on the integral intensity of the peaks and using MultiQuant software (version 7, Research Laboratory of Materials and Environmental Chemistry, Chemical Research Center, Hungarian Academy of Sciences, Budapest, Hungary) [44], the thicknesses of the layers formed on the surface were calculated with consideration for the mean free path of electrons λ determined by the Cumpson and Cumpson–Seah formula [45]. Analysis of the spectra obtained was performed under the assumption that the analyzing beam penetrates the material to a depth of 5 nm, i.e., the recorded spectra correspond to a 5 nm-thick layer of the material [46].

2.5. Determination of Morphology, Roughness, and Wetting Angle

The morphological studies of the coating surfaces and determination of their roughness were carried out using a Solver Pro scanning probe microscope (NT-MDT, Russia, Zelenograd).

The wetting angle of surface wetting with water was determined using a Goniometer LK-1 device (OpenScience, Krasnogorsk, Russia). For this purpose, a Levenchuk C310 NG camera (Levenhuk, Tampa, FL, USA) was used to obtain photo images of the droplets and then the angle was determined using special DropShape software developed at the Colloid Chemistry Department of the M.V. Lomonosov Moscow State University, Russia, version 3.

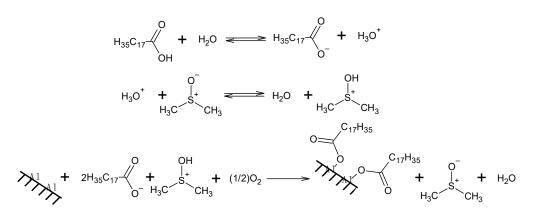
2.6. Determination of the Wear Resistance of the Coating

The wear resistance of the coatings was examined on a Taber Elcometer 5135 modified rotation abrasimeter (Elcometer Limited, Manchester, UK). Planar samples with coatings were attached to the levers of the installation and pressed against a felt disk at the same external load on both the levers of $3.5 \text{ N} \times \text{ cm}^{-2}$. The samples were abraded by rotating the felt disk at a speed of 60 rpm. The abrasion resistance of the samples was assessed by the decrease in the coating thickness, the contact angle, and the protective effect of the stearate layer with the increase in the number of disk revolutions. The protective effect, the thickness, and the contact angle of the coating were determined as described in Section 2.2, Section 2.3, and Section 2.5, respectively.

3. Results and Discussion

It may be assumed that, on treatment of AMg6 alloy surface in air with a solution of stearic acid in the DMSO/H₂O binary solvent system, aluminum stearate is predominantly formed on the surface. It is ultimately responsible for the hydrophobization effect achieved. Although DMSO is less basic with respect to carboxylic acids than water [47], its presence provides a sufficient solubility of stearic acid. Moreover, it may be expected that DMSO participates in the proton transfer from stearic acid to the metal surface. It is likely that the formation of a hydrophobic coating results from the sequence of steps shown in Scheme 1.

XPS data (Figure 1) agree with the reactions shown in Scheme 1 and indicate that the coating contains oxygen, carbon, and aluminum. The formation of aluminum carboxylates in the surface layer is confirmed by the presence of the peaks of O1s at 531.2 eV and C1s at 288.4 eV characteristic of oxygen and carbon atoms in the COO⁻ anion [6] and the Al2p peak (74.1 eV) that corresponds to Al³⁺ cations [48].



Scheme 1. Reactions that result in the formation of a hydrophobic stearate coating on the aluminum alloy surface.

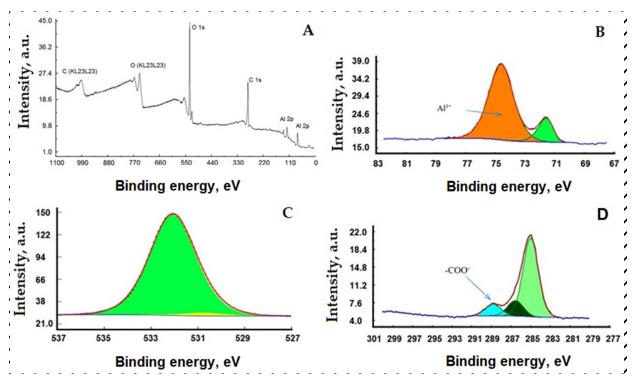


Figure 1. X-ray photoelectron spectra (XPS) of the coating: overview spectrum (A), aluminum (B), oxygen (C), carbon (D).

Since the formation of superhydrophobic coatings requires a surface morphology with a considerable roughness, micrographs and profile diagrams of the original degreased AMg6 alloy and surfaces formed after AMg6 etching in 10 wt% aqueous sodium hydroxide and after hydrophobization with a solution of stearic acid (3 g·L⁻¹) in a DMSO/H₂O binary mixture (7:1 v/v) at 40 °C for 10 min were obtained (Figure 2).

The initial mechanically treated sample of AMg6 alloy after degreasing had a wetting angle (θ w) of 15° with an arithmetic mean roughness (Ra) of 0.798 µm. Subsequent etching in 10 wt% aqueous sodium hydroxide solution resulted in the dissolution of a fraction of the aluminum and increases in Ra to 0.889 µm and θ w to 44°. After hydrophobization with stearic acid, the surface roughness remained nearly unchanged (Ra = 0.875 µm), which indicates that a uniform coating formed. At the same time, the wetting angle increased considerably to reach 151°, which allowed us to classify the coating formed on the surface of AMg6 alloy as superhydrophobic. The roll-off angle of a water droplet consistently decreased after hydrophobization from 100° to 7°, which signified that the coating formed had a pronounced self-cleaning tendency. Micrographs (Figure 2) indicate that a rough surface with numerous microcavities over the entire area of the sample is formed after the

etching stage and that this morphology is preserved after hydrophobization. Corrosion tests of AMg6 alloy with a hydrophobized surface in the salt fog chamber showed that the coating that we developed withstood 140 h until the first corrosion sites appeared on the substrate, while the natural oxide film provided protection for only 24 h (Figure 3). Thus, the protective ability of the hydrophobic coating formed is comparable to that achieved by applying chromate coatings (130–150 h) or anodic oxide coatings (96–120 h) [29,30,38,49].

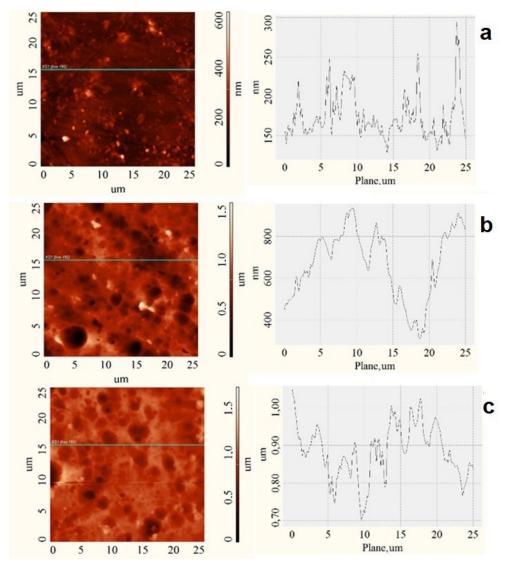


Figure 2. Photographs of the surface and profile diagrams of AMg6 alloy after degreasing (**a**), etching (**b**), and hydrophobization (**c**) stages [19].

Exposure to salt fog resulted in a gradual degradation of the hydrophobic protective coating, observed as a decrease in the wetting angle with time. It is noteworthy that the coating retains its hydrophobic properties for 80 h, and only after that time, wetting inversion is observed (Figure 3). After exposure to salt fog, traces of focal corrosion appeared on the surface of the plates, which was clearly seen when comparing confocal micrographs of the samples before and after corrosion tests (Figure 4). Apparently, the corrosion of aluminum, accompanied by the formation of aluminum oxide and a change in the volume of the surface layer, leads to flaking and destruction of the stearate coating.

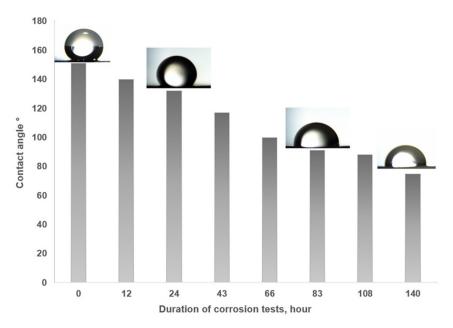


Figure 3. Plot of the wetting angle of a hydrophobized aluminum alloy versus the corrosion test duration in a salt fog chamber.

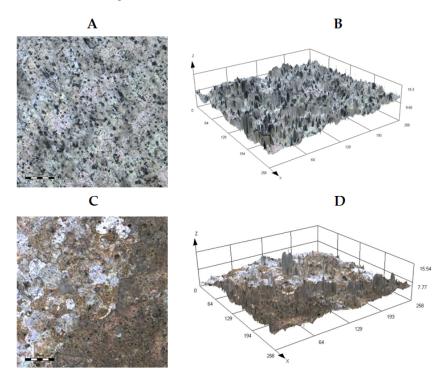


Figure 4. Micrographs of AMg6 alloy samples with the stearate coating applied: (**A**,**B**)—before corrosion tests; (**C**,**D**)—after corrosion tests.

A quantitative estimation of the protective effect of coatings formed on the surface of AMg6 alloy was also made using the drop-express method. Since the protective effect of a coating is determined in the drop-express method as the time required for Cu²⁺ ions to penetrate through it, plus the thickness of the layer formed is small and amounts to 270–300 nm according to spectroscopic ellipsometry data (DMSO:H₂O = 7:1), it may be assumed that the protective period (τ) changes almost linearly with the coating thickness (δ) according to Equation (1):

τ

$$=\frac{\delta}{v(s)}\tag{1}$$

where v is the drift rate of Cu²⁺ cations to the alloy surface through the coating formed; c is the concentration of stearic acid during the formation of the hydrophobic coating.

If we assume that the coating thickness is determined by the chemisorption of stearic acid according to the Langmuir Equation (2), then, taking into account (1), we obtain Equation (3):

$$A = \delta S \varphi = \frac{Kc}{1 + Kc} \tag{2}$$

$$\tau = \frac{Kc}{v(c)S\varphi(1+Kc)} \tag{3}$$

where *A* is adsorption; *S* is the surface area of the coating; φ is the proportionality factor; *K* is the constant of adsorption equilibrium.

If the value of v(c) function is constant and does not depend on the concentration of stearic acid (*c*), then Equation (3) has the form (4) that is linear in the coordinates " $\frac{1}{\tau}$ vs. $\frac{1}{c}$ ".

$$\frac{1}{\tau} = \frac{v(c)S\varphi}{Kc} + v(c)S\varphi \tag{4}$$

It has been shown that the protective period of the coating is a complex function of the composition of the binary system DMSO/H₂O, stearic acid concentration, temperature, and duration of the coating process. As the DMSO/H₂O ratio decreases below 7:1, the protective period decreased, presumably due to the intensification of hydrolysis of aluminum stearate with an increase in the water concentration (Figure 5). At the same time, an increase in the DMSO/H₂O ratio above 7:1 probably results in a considerable decrease in the dissociation constant and degree of dissociation of stearic acid [50]. The plot of the protective period versus the concentration of stearic acid also manifests a pronounced extremum. On the one hand, a high concentration of stearic acid favors its chemisorption with the formation of aluminum stearate and an increase in the protective period. However, too high a concentration of stearic acid can favor its sorption on aluminum stearate (hydrophobic coating), which probably creates defects in the coating and an increase in its permeability (Figure 5).

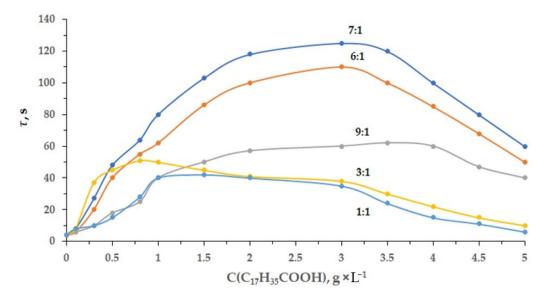


Figure 5. The protective periods of coatings formed at various concentrations of stearic acid in DMSO/H₂O binary mixtures with various compositions.

If the hydrophobic coating is sufficiently uniform (DMSO:H₂O = 7:1), a quantitative analysis of the effect of the concentration of stearic acid can be performed using Equation (4), which is derived in this article for the first time. Building the plot in the " $\frac{1}{\tau}$ vs. $\frac{1}{c}$ " coordinates (Figure 6) shows that the drift rate of Cu²⁺ cations to the surface of AMg6 alloy through the coating formed does not depend on the concentration of stearic acid up

to 3 g·L⁻¹ and increases at higher concentrations. Thus, an increase in the concentration of stearic acid above a certain value increases the permeability and decreases the protective effect of the coating. As one can see (Figure 5), for each of the coatings, there is a stearic acid concentration that provides the highest protective effect. Therefore, to achieve a high protective ability, one has to maintain a balance between the thickness of the deposited hydrophobic protective coating, which increases with an increase in the concentration of stearic acid to a certain limit, and the adsorption of stearic acid on the already formed coating that results in an increase in its permeability.

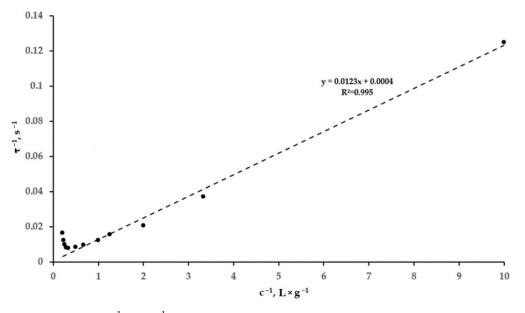


Figure 6. Plots in " $\frac{1}{\tau}$ vs. $\frac{1}{c}$ " coordinates for coatings formed after AMg6 etching in 10 wt% aqueous sodium hydroxide solution and hydrophobization with a stearic acid solution (3 g·L⁻¹) in a DMSO/H₂O binary mixture (7:1 v/v) at 30 °C for 10 min.

The reason why the coating permeability increases with an increase in the concentration of stearic acid above 3 g·L⁻¹ is that the latter is adsorbed on aluminum stearate, which is confirmed by a decrease in the protective period with an increase in the alloy hydrophobization time (Figure 7).

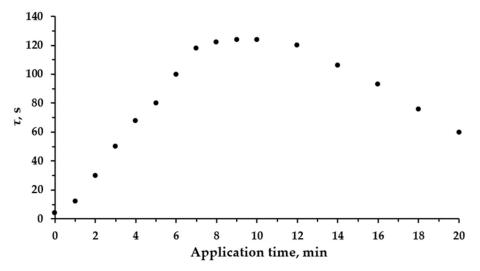


Figure 7. Time of the protective action of coatings formed after etching AMg6 alloy in 10 wt% aqueous solution of sodium hydroxide followed by hydrophobization with a stearic acid solution $(3 \text{ g} \cdot \text{L}^{-1})$ in a DMSO/H₂O binary mixture (7:1 v/v) at 40 °C for various modification times.

The coating formation temperature also has a significant effect on the protective period. An increase in temperature simultaneously increases both the rate of aluminum stearate formation by the reaction of stearic acid with the surface of AMg6 alloy and its hydrolysis rate. The latter process determines the decrease in the protective period when the temperature rises above 30 °C (Figure 8).

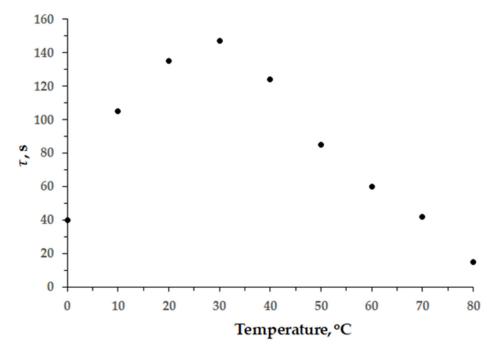


Figure 8. Protective period of coatings formed after AMg6 etching in 10 wt% aqueous sodium hydroxide solution followed by hydrophobization with a stearic acid solution (3 g·L⁻¹) in a DMSO/H₂O binary mixture (7:1 v/v) for 10 min at various temperatures.

Thus, the formation of protective superhydrophobic coatings on the surfaces of aluminum alloys requires that the temperature, stearic acid concentration, process duration, and proton-donating ability of the medium be controlled. The last can be adjusted by varying the DMSO/H₂O ratio, where an increase in the amount of DMSO leads to a decrease in the stearic acid dissociation. Previously, the use of DMF that belongs to the second hazard class as a co-solvent for hydrophobization of metal surfaces with stearic acid was reported [6]. In contrast, DMSO that belongs to the fourth hazard class is much less toxic [51–53] and is even recommended for pharmaceutical applications to provide an acceptable rate of transdermal drug delivery [54,55]. Therefore, the replacement of DMF with DMSO would help reduce the risks of poisoning, development of oncological diseases [56,57], and the impact on the environment if this approach to hydrophobization of the surfaces of metals and alloys were to be used on an industrial scale.

The stearate coating formed on the surface of the AMg6 aluminum alloy was tested for abrasion resistance. It has been shown that an increase in the number of abrasion cycles leads to a natural decrease in coating thickness (Figure 9A), contact angle (Figure 9B), and the protective ability of the coating (Figure 9C). At the same time, the coating has a sufficiently high resistance to mechanical stress and withstands at least 400 abrasion cycles while retaining a significant thickness, protective effect, and hydrophobicity (Figure 9).

Determination of the protective ability of the coating during its abrasion indicates the existence of a linear relationship between the protective ability of the coating and its thickness (Figure 10) and allows the calculation of the drift rate of Cu^{2+} cations to the alloy surface when testing the coating using the "droplet-express" method (Section 2.2), which is about 1.85 nm·s⁻¹.

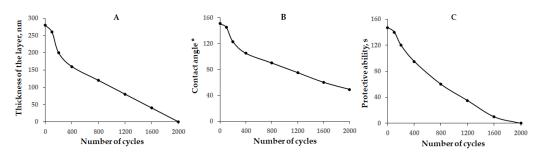


Figure 9. Dependence of the thickness of the stearate coating (A), contact angle (B), and protective ability (C) on the number of abrasion cycles.

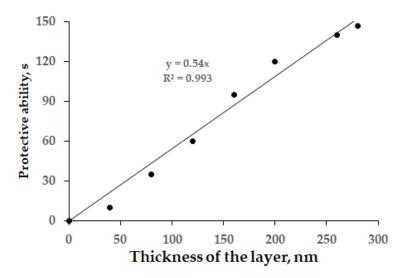


Figure 10. Correlation between the protective ability of the stearate coating on the surface of the AMg6 alloy and its thickness.

Thus, abrasion tests of the coating not only demonstrate its acceptable resistance to mechanical stress but also confirm the assumption that the drift rate of Cu^{2+} cations to the alloy surface through the stearate coating formed is constant, which is the basis for deriving Equation (4).

4. Conclusions

It was found that the treatment of the AMg6 aluminum alloy surface with stearic acid dissolved in the DMSO/H₂O binary system led to the formation of a hydrophobic coating; according to XPS data, this consists mainly of aluminum stearate. It was found that the reason for the decrease in the protective ability of the coating with an increase in the concentration of stearic acid in the binary system DMSO/H₂O over 3 g·L⁻¹ was its adsorption on aluminum stearate. Additionally, in order to achieve the maximum protective ability of the stearate coating, an optimal $DMSO/H_2O$ ratio of about 7:1 and a temperature of 20-30 °C are required. An increase in the DMSO content leads to a decrease in the proton-donating ability of the medium, whereas an increase in the concentration of water and temperature above certain values leads to the development of hydrolysis of aluminum stearate. The possibility of using the treatment of the aluminum alloy with stearic acid for the formation of a superhydrophobic coating with a contact angle of 151°, subject to the optimal application conditions and the roughness of the original metal surface obtained as a result of alkaline etching, is shown. The stearate coating formed is characterized by a roll-off angle of water of 7°, which indicates its ability for self-cleaning. It was found that the stearate coating had acceptable resistance to mechanical stress and retained its protective ability after 400 abrasion cycles as well as its hydrophobicity after exposure to salt fog for 80 h. The developed approach to the formation of the protective

coating implies the possibility of multiple uses of the low-toxic working stearic acid solutions, which allows this to be classified as a green method.

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