

## Article

# Identification and Validation of Linear Friction Models Using ANOVA and Stepwise Regression

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**Abstract:** For wet disk clutches, the energy input is strongly influenced by its friction behavior. However, the friction behavior cannot be simulated and therefore is mostly derived from experimental data for specific clutch systems. This paper presents a new approach for the identification and validation of linear friction models using analysis of variance (ANOVA) and stepwise regression. Therefore, we use experimental data of three different friction systems with paper- and carbon-based friction lining. The designed experiments support an efficient parameter-based analysis of the friction behavior. The obtained models can be used as an input for thermal simulations, for example, but can also support a better understanding of the main influencing factors and are applicable to various friction systems. For validation, the obtained models are applied to measured data. A good correspondence between the simulated and measured friction behavior can be shown for speeds in the investigated operating range. The presented procedure can be easily adapted, for different factors and operation modes, as well as other applications.

**Keywords:** friction behavior; wet clutch; ANOVA; stepwise regression; linear friction model; DOE

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## 1. Introduction

Modelling the friction behavior of wet multi-plate clutches is difficult, whereas at the same time, the friction behavior is the key parameter defining thermal loads and comfort characteristics [1]. However, simulation of the thermal behavior of wet clutches is essential during the design phase as thermal loads determine lifetime and performance [2,3]. In addition to high quality modeling approaches, reliable input data, especially for Coefficient of Friction (CoF), which defines the energy input, is needed for the calculation of the thermal behavior [4]. Experimental research on the friction behavior of wet clutches shows various influencing factors, e.g., lubricant, material and applied load (a.o., [5–8]). Due to the lack of hands-on applicable friction models, energy input is often modeled with constant CoF, friction maps (e.g., [9]) or models lacking any possible physical interpretation (e.g., [10]). The development of state-of-the-art friction models is based on physical modelling or curve fitting methods. Sophisticated physical modelling approaches exist in the case of elastohydrodynamic lubrication (EHL) based on Reynolds linear elasticity, solid mechanics and load balance equations [11]. In general, the deviations between numerical predictions and experiments of CoF are, for low entrainment speeds during ball on disc tests, less than 10 % [11]. However, the application of EHL methods to wet clutches is not appropriate due to low contact pressure and very small hydrodynamic film buildup [12]. Nevertheless, there are physical models from the application of dynamic pressure lubrication theory and Hertz contact theory, which make it possible to estimate the CoF in wet clutches and help to understand the friction

mechanisms [13,14]. However, their practical relevance is limited due to the assumptions and simplifications made during model derivation.

In addition to these physical approaches, there are many empirical models, parametrized by curve fitting with measurement data. The models consist of linear/quadratic (a.o., [15]), logarithmic (a.o., [15,16]), exponential (a.o., [17]), hyperbolic (a.o., [18]) or combinations of these terms (a.o., [15,19]). Another approach is fitting of the Stribeck curve to measurement data (a.o., [20–22]). All these models have the sliding velocity (or rotational speed) as an input parameter in common, sometimes extended by clutch pressure and contact temperature [13,19,21]. Logarithmic approaches are motivated by the logarithmic dependence of shear stress of the organic friction modifier boundary films [12], but need special treatment for zero sliding velocity. Stribeck-curve models separate the friction behavior in the static and kinetic friction coefficient and try to combine curve fitting methods with the possibility of physical interpretation. Most of these empirical models concentrate on reproducing the friction behavior of a single friction system. Furthermore, sliding velocity is often considered to be the only variable model input parameter.

Recent research also applies data mining [23] and machine learning [24] techniques to the torque transfer behavior of wet clutches. The data mining approach analyses friction behavior and states the main influences on the CoF of the studied tribological system in descending order, such as clutch pressure, friction surface temperature, feeding lubricant temperature and differential speed. The authors state their approach as superior to classical one factor at a time and designed experiment approaches but do not rate the high effort of experiments in applying their method [23]. The machine learning approach focuses on non-linear torque transfer function representing the whole clutch system and is therefore not a contribution for modelling the CoF [24].

In addition, there is ongoing research on the design of experimental test methods for the characterization of the friction behavior of new friction systems. A reduction in test duration is focused by most test methods as it directly reduces costs and effort. Screening tests offer the possibility of evaluating the friction behavior of new tribological systems within hours under various load conditions and of differentiating the performance of different systems (a.o., [8,25,26]). However, it requires a high level of experience to meet application relevant conditions.

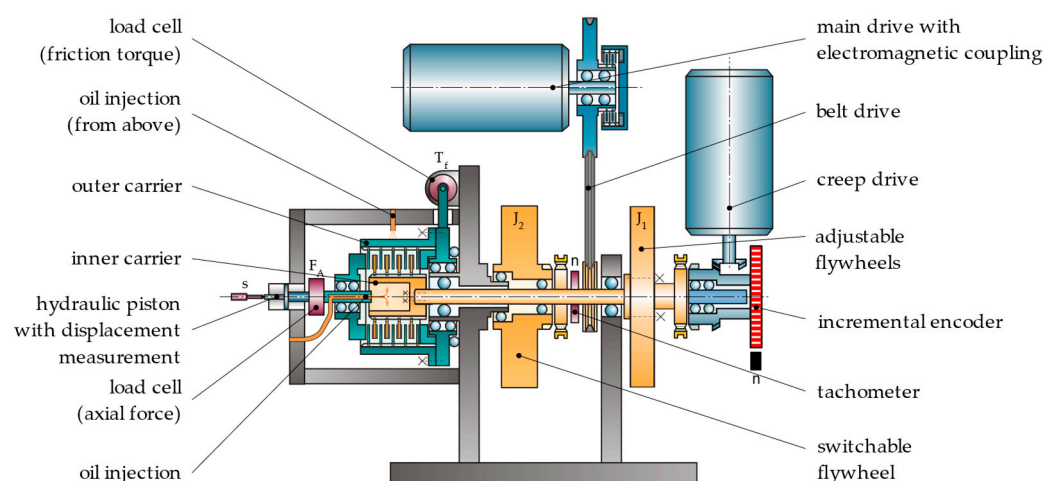
In addition to classical approaches, the application of the method of designed experiments and ensemble techniques facilitates the application of statistical methods (e.g., ANOVA) and derivation of regression models during data evaluation (e.g., [27,28]). These methods have been successfully applied to examine the effects of non-uniform torque [29], to develop regression models for describing the vibration-reducing effect of wet clutch systems [30] and to build regression models of clutch engagement [31].

The literature review shows work in progress in identifying appropriate friction models of wet clutches. However, most models are tested with one system only and it is therefore not clear if the results are applicable to different clutch systems. Furthermore, there is a lack of published data on the experimental setup for deriving these models.

This contribution describes a new approach for the identification and evaluation of friction models, with focus on facilitating and improving the estimation of CoF as an input parameter for simulation models such as thermal calculations. The experimental investigation is based on designed experiments to enable the application of analysis of variance (ANOVA) and stepwise regression. We develop easy-to-use linear friction models of three different clutch designs from serial production and validate the results with measurement data. We discuss the quality and transferability of the linear friction models. Furthermore, the applied methods allow the determination and characterization of significant influencing parameters on the CoF of the investigated friction systems.

## 2. Experimental Setup and Data Collection

The experimental investigations are carried out on a wet brake component test rig ZF/FZG KLP-260. The description of the test rig is according to [26,32]. The test rig operates in brake mode with a fixed outer carrier and rotating inner carrier, as pictured in Figure 1.



**Figure 1.** ZF/FZG KLP-260 test rig—schematic sketch according to [32].

The test rig enables mounting of a complete clutch pack with corresponding carriers. Inner plates are placed on the inner carrier which is connected to the inner shaft and connected to the fly wheels ( $J_1$ ,  $J_2$ ). Friction work of the clutch can be adjusted through variable configurations of the fly wheels  $J_1$  and by engaging inertia  $J_2$ .

Axial force is applied to the plates by a force controlled hydraulic piston. Friction torque is measured at the outer carrier. Cooling oil can be supplied centrally in the inner carrier, externally from the top of the housing or by oil sump. The oil flow rate and the thermostat-controlled feeding temperature can be adjusted over a wide range. For brake engagement tests, the main shaft with inner carrier and fly wheels is accelerated by the speed-controlled main drive up to a defined speed  $n$ . During engagement, the main drive and the inner shaft are decoupled through an electromagnetic coupling. Under creeping conditions and in non-steady and constant slip mode a defined axial force is applied to the clutch plates. Either creep or main drive then accelerates the inner shaft up to a defined slip speed. The rotational speed in low-speed creep and slip modes is measured with high resolution by an incremental encoder. Table 1 shows the technical data of the test rig.

**Table 1.** Technical data of ZF/FZG KLP-260 test rig according to [33].

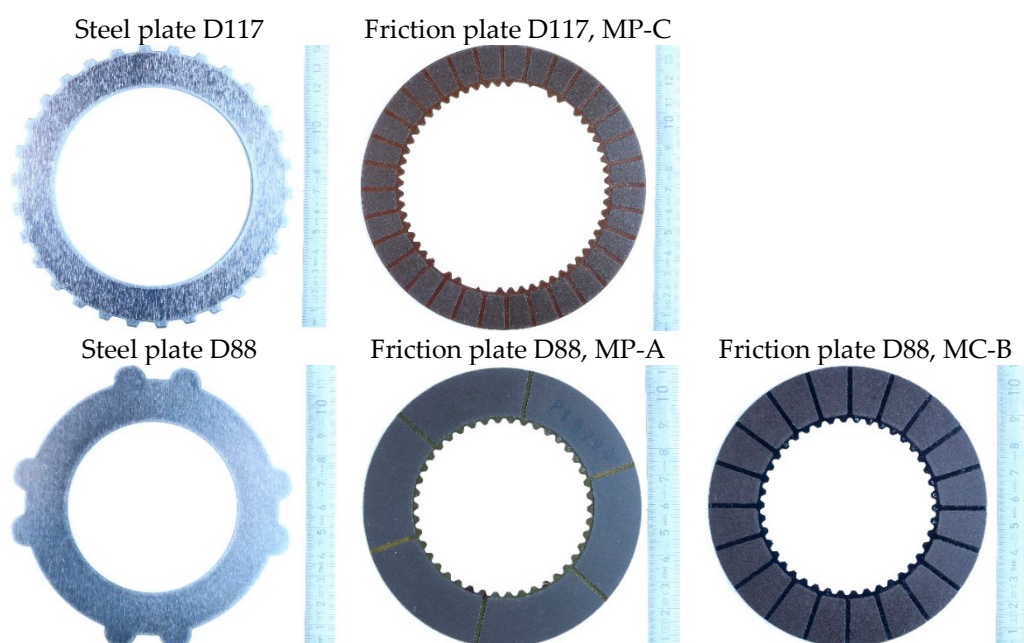
variable small fly wheels	$J_1 = 0.12 \dots 0.75 \text{ kgm}^2$
basic inertia	$J_2 = 1.0 \text{ kgm}^2$
plate diameters	$d = 75 \dots 260 \text{ mm}$
max. torque	$T_{f,\max} = 2000 \text{ Nm}$
differential speed	$\Delta n = 0 \dots 7000 \text{ rpm}$
slip speed	$\Delta n = 0 \dots 140 \text{ rpm}$ (creep drive) $\Delta n = 0 \dots 7000 \text{ rpm}$ (main drive)
torque in slip mode	$T_{f,\text{slip},\max} = 2000 \text{ Nm}$ (creep drive) $T_{f,\text{slip},\max} = 60 \text{ Nm}$ (main drive)
max. axial force	$F_{a,\max} = 40 \text{ kN}$
feeding oil temperature	$\vartheta_{\text{oil}} = 30 \dots 150 \text{ }^\circ\text{C}$
feeding oil flow rate	$\dot{V}_{\text{oil}} = 0 \dots 7 \text{ L/min}$
feeding oil pressure	$p_{\text{oil}} = 0 \dots 6 \text{ bar}$

The measurement accuracy of the test rig is determined by applying the rules of the Guide to the Expression of Uncertainty in Measurement (GUM) [24] and DIN EN ISO 14253-2 [25] to the ZF/FZG KLP-260 test rig as published in [33,34]. Table 2 summarizes the measurement accuracy for the FZG/ZF KLP-260 test rig.

**Table 2.** Overview of measurement accuracy on the ZF/FZG KLP-260 test rig (confidence level 95 %) extended version according to [33].

Measured Variable	Uncertainty
axial force	+/- 1.3 %
torque	+/- 0.4 %
CoF	+/- 1.3 %
speed (main drive)	+/- 0.2 %
speed (creep drive)	+/- 0.9 %
thermocouple type K class 1	+/- 1.8 K (DIN)/+/- 0.3 K (Estimated from calibration)
feeding oil pressure	+/- 0.1 bar
axial force	+/- 1.3 %

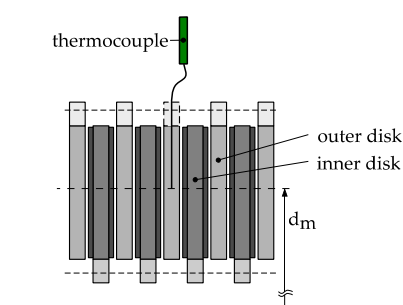
For the experimental studies, we use steel (outer) and friction (inner) plates from the serial production of automotive applications with organic friction linings (paper MP/carbon MC). Three tribological systems are investigated. We vary the clutch size, characterized by the mean diameter of the clutches (88/117 mm), friction lining material (MP-A/MP-C/MC-B) and lubricant (L-103/L-201/L-205). All friction plates have a multi-segmented groove pattern. One clutch package consists of five steel plates and four friction plates. Thus, there are eight friction interfaces ( $z = 8$ ). The nominal clearance between each friction plate and steel plate is 0.20 mm. Figure 2 pictures the steel and friction plates.



**Figure 2.** Steel and friction plates.

We measure mass temperatures in the center steel plate at several evenly spaced circumferential positions with thermocouples (NiCrNi Type K Class 1,  $\varnothing$  0.25/0.5 mm, response time approximately 10/30 ms calculated according to [35], as sketched in Figure 3. Therefore, we apply a high-density polysynthetic silver thermal compound to the thermocouples and place them in a circumferential drill hole ( $\varnothing$  0.3/0.6 mm) approximately

down to the mean diameter ( $d_m$ ) positioned in the midplane of the respective steel plate. To determine the mass temperature of the steel plate, the signals of the thermocouples distributed around the circumference are averaged.



**Figure 3.** Position of thermocouples.

Table 3 summarizes the technical data obtained from the lubricants' data sheets.

**Table 3.** Technical data of the test lubricants.

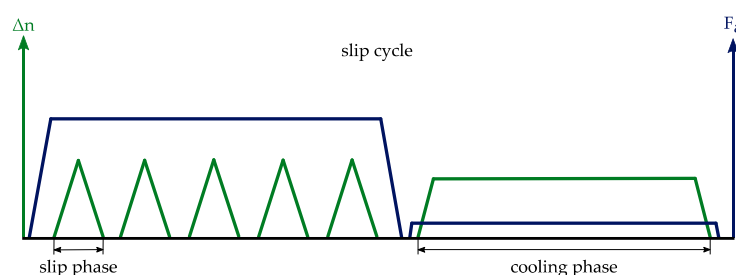
Lubricant	Kinematic Viscosity at 40 °C	Kinematic Viscosity at 100 °C
L-103	35 mm <sup>2</sup> /s	7 mm <sup>2</sup> /s
L-201	47 mm <sup>2</sup> /s	9 mm <sup>2</sup> /s
L-205	30 mm <sup>2</sup> /s	6 mm <sup>2</sup> /s

Table 4 summarizes the friction systems consisting of the clutch packages and the corresponding lubricants.

**Table 4.** Friction systems.

System	Mean Diameter	Friction Lining	Lubricant
D117	117 mm	MP-C	L-205
D88	88 mm	MP-A	L-103
D88	88 mm	MC-B	L-201

During the experiment, the clutches operate in a non-steady slip condition. Figure 4 shows an example of the course of the axial force ( $F_a$ ) and differential speed ( $\Delta n$ ) during non-steady slip. The clutch is closed by applying the axial force. The following multiple slip phases are characterized by acceleration of the clutch to a maximum differential speed  $\Delta n$  and immediately after reaching this differential speed, it is decelerated again with the same gradient to the initial speed of zero. The slip phases are repeated for a defined number of times (in this study 5). After the last slip phase, the clutch is briefly released. A cooling phase follows to allow the clutch components to cool down. This is defined by a fixed differential speed of  $\Delta n = 20$  rpm and an axial force of  $F_a = 100$  N, which is maintained until the middle steel plate reaches a steady temperature. The low axial force ensures distribution of the cooling oil in the grooves around the circumference of the clutch.



**Figure 4.** Courses of axial force ( $F_a$ ) and differential speed ( $\Delta n$ ) in non-steady slip.

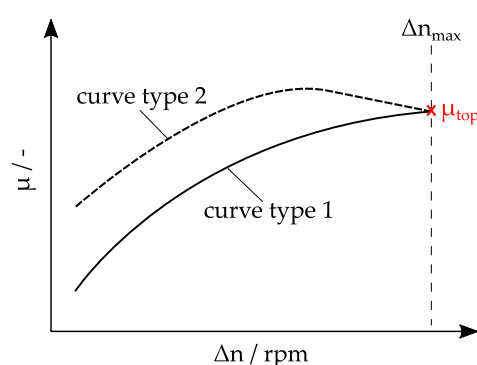
As suggested in [36], all designed experiments are performed after 100 running-in collectives in non-steady slip to eliminate non-linear effects occurring in the first engagements. The running-in load stages are summarized in Table 5. A running-in collective consists of the six load stages (LS) running in the order E1 ... E6, whereas a cooling phase (EC) is held after each load stage for 20 s. The specific oil flow rate is 1 mm<sup>3</sup>/mm<sup>2</sup>s and the oil injection temperature is 80 °C during running-in collectives.

**Table 5.** Parameters of load stages during running-in.

Name	p/N/mm <sup>2</sup>	$\Delta n$ /rpm	Number of Slip Phases
E1	0.75	25	5
E2	3.0	25	5
E3	1.5	25	5
E4	1–5	50	5
E5	0.75	50	5
E6	3.0	50	5
EC	0	20	1

The designed test plan is based on a randomized two-level, four-factor, full-factorial design. We vary the oil injection temperature, feeding oil flow rate, clutch pressure and differential speed on two levels, thus resulting in 16 load stages in non-steady slip operation. Oil is supplied centrally during all runs. The measurements of systems D117/MP-C and D88/MC-B are repeated once, resulting in 32 runs in total. We use blocking to search for significant differences between the first and second run.

The measured value is  $\mu_{top}$  which represents the value of the CoF at maximum differential speed. Figure 5 shows an explanation for the measured value for two types of friction characteristics (course of CoF over sliding velocity) leading to equivalent values of  $\mu_{top}$ . We evaluate  $\mu_{top}$  of the last slip phase of each slip cycle. We use the mean average of  $\mu_{top}$  from the last five slip cycles of each load stage as an input parameter for our linear friction models. The values  $\mu_{top}$  are used as output values for the model.



**Figure 5.** Explanation of  $\mu_{top}$  for two types of friction characteristics with equivalent values of  $\mu_{top}$ .

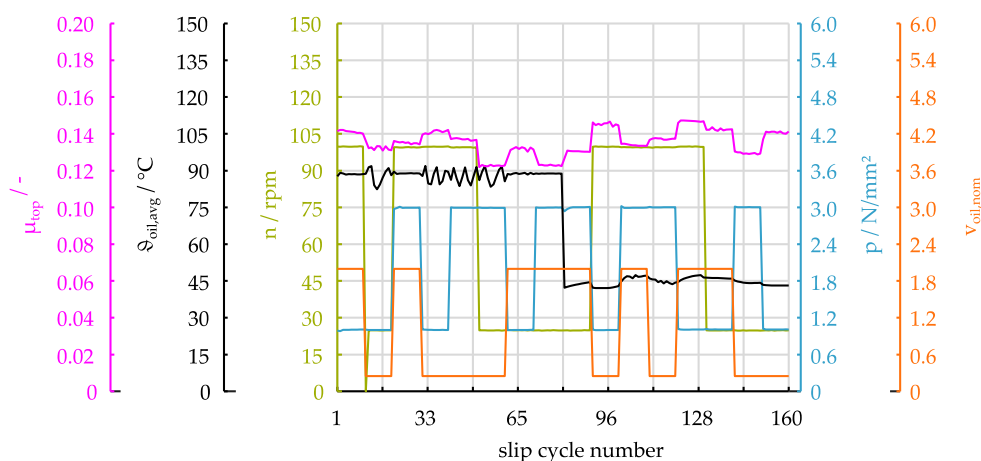
Each slip cycle is repeated 10 times with the same factor settings (load stages). The duration of the cooling phases at the end of each slip cycle is varied such that the temperature in the center steel plate at the beginning of the next slip cycle is nearly constant. The oil is supplied centrally to the inner carrier. The application of the full-factorial design defines 16 load stages as summarized in Table 6. The sequence of load stages is randomized, except for oil injection temperature. All specific values are normalized by gross friction surface area. The load stages cover typical working conditions of the clutch in practical applications. In addition to the load stages mentioned in Table 6, the steel plate temperature (Symbol E) is measured as covariate. All mentioned factors and the covariate are possible input variables for the models.



**Table 6.** Load stages and settings in the full-factorial design.

Symbol	A	B	C	D
Factor	Oil Injection Temperature	Feeding Oil Flow	Clutch Pressure	Max. Differential Speed
Low Level (−)	40 °C	0.25 mm <sup>3</sup> /mm <sup>2</sup> s	1 N/mm <sup>2</sup>	25 rpm
High Level (+)	90 °C	2 mm <sup>3</sup> /mm <sup>2</sup> s	3 N/mm <sup>2</sup>	100 rpm
Name	Factor Level			
LS1	+	+	+	+
LS2	+	+	+	−
LS3	+	+	−	+
LS4	+	+	−	−
LS5	+	−	+	+
LS6	+	−	+	−
LS7	+	−	−	+
LS8	+	−	−	−
LS9	−	+	+	+
LS10	−	+	+	−
LS11	−	+	−	+
LS12	−	+	−	−
LS13	−	−	+	+
LS14	−	−	+	−
LS15	−	−	−	+
LS16	−	−	−	−

Figure 6 shows the measurement of the varied factors (oil injection temperature, nominal feeding oil flow rate, clutch pressure, maximum differential speed) and the measured variable ( $\mu_{top}$ ) of each slip cycle of system D117/MP-C during the first run of the full-factorial test. Each block consists of 160 data samples, respectively slip cycles.

**Figure 6.** Trend plot of full factorial design of system D117/MP-C—values per cycle of varied parameters (oil injection temperature, nominal feeding oil flow rate, clutch pressure, maximum differential speed) and the measured variable ( $\mu_{top}$ ).

### 3. Methods

To analyze the gathered data, we apply and implement two methods for statistical analysis in MatLab (The MathWorks, Inc., Natick, MA, USA). The scope is the evaluation of the impact of the main effects and their interactions on the characteristic value  $\mu_{top}$ . This is the basis for identifying statistically significant factors and an appropriate linear

friction model as a combination of factors. A model consisting of a constant,  $n_f$  main effects and all their two-fold interactions according to [27] can be described as

$$y = c_0 + \sum_{i=1}^{n_f} c_i x_i + \sum_{i=1}^{n_f-1} \sum_{j=i+1}^{n_f} c_{ij} x_i x_j + \varepsilon. \quad (1)$$

Here, the model constants  $c$  and the input variables  $x$  give an approximation for the output variable  $y$  with the model deviation  $\varepsilon$ . This deviation should be small for an appropriate model. The first sum describes the main effects. The combined sum describes the two-fold interaction terms.

The first method is the analysis of variance (ANOVA), which establishes the null hypothesis that all factor groups have the same mean value. The variability in the dataset is described by the total sum of squares (TSS), which then gets split into two components. The variability between the groups (Sum of Squares Between; SSB) describes the effect of the corresponding factor, while the variability within the groups (Sum of Squares Within; SSW) can be interpreted as measurement noise or model error. For every available factor, the F-value is defined as the ratio of factor effect to error level. The  $p$ -value describes the probability of obtaining the F-value if the factor is not significant. Therefore, it quantifies the risk of falsely rejecting the null hypothesis and classifying an apparent effect as significant. We apply a typical threshold for  $p$  of 5 % for statistical significance.

The ANOVA method usually starts with a full model, containing all main effects and interactions according to Equation (1). Factors with the highest  $p$ -values are removed from the regression model to reduce model complexity with minimal impact on the model's quality. During this reduction, factors are removed individually since every reduction step results in a change in model error, leading to new F and  $p$ -values. To preserve model integrity, factors are only removed if they do not appear in higher order interactions.

In each reduction step, we evaluate two characteristic model coefficients. The coefficient of determination  $R^2$  describes the model quality and continuously decreases with the removal of factors. It can be interpreted as the percentage of variability that can be described by the model and is defined as

$$R^2 = \frac{SSB}{TSS}. \quad (2)$$

The adjusted coefficient of determination  $R^2_{adj}$  can be interpreted as a criterion for model efficiency as it also takes the available amount of measuring points  $n_r$  and the number of factors included in the model  $n_m$  into account:

$$R^2_{adj} = 1 - \frac{n_r - 1}{n_r - n_m} \cdot (1 - R^2). \quad (3)$$

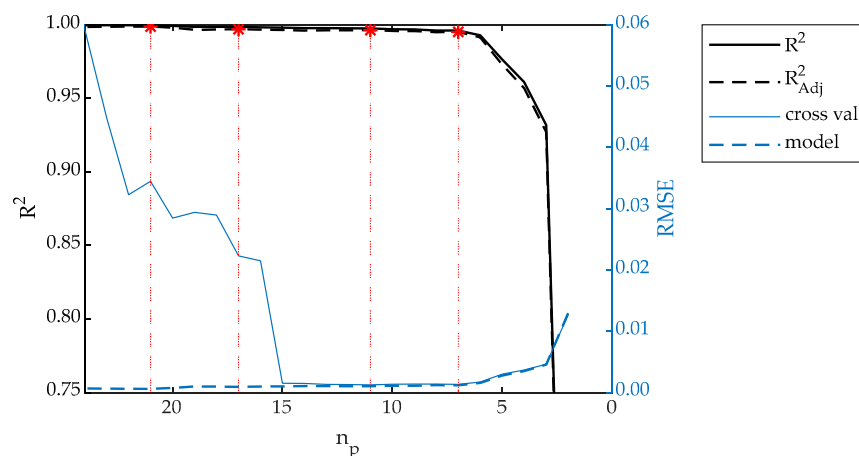
Therefore, removing the most insignificant factors can lead to an increase in  $R^2_{adj}$ . Figure 7 shows a representative example of the behavior of the two coefficients over the amount of remaining model parameters. This number also includes the additional constant parameter of the regression model.

Local maxima in  $R^2_{adj}$  are marked in red and can be seen as suitable models with high efficiency due to a good ratio of model quality and number of parameters. If no clear maximum can be found, a sudden drop off in both coefficients can also mark interesting models. Here, the remaining parameters contribute more to the quality of the model, than the ones just removed.

Both RMSE values in Figure 7 result from a five-fold cross validation that the models of every optimization step are analyzed with. We use it to detect overfitting, where the model fails to perform with new data points that were not used in the original model fit. For cross validation, the available dataset is split into five subsets, whereof one is used as a validation set, while the four remaining sets are used as a training set. This process is repeated so that every subset is used as a validation set once. The resulting models are

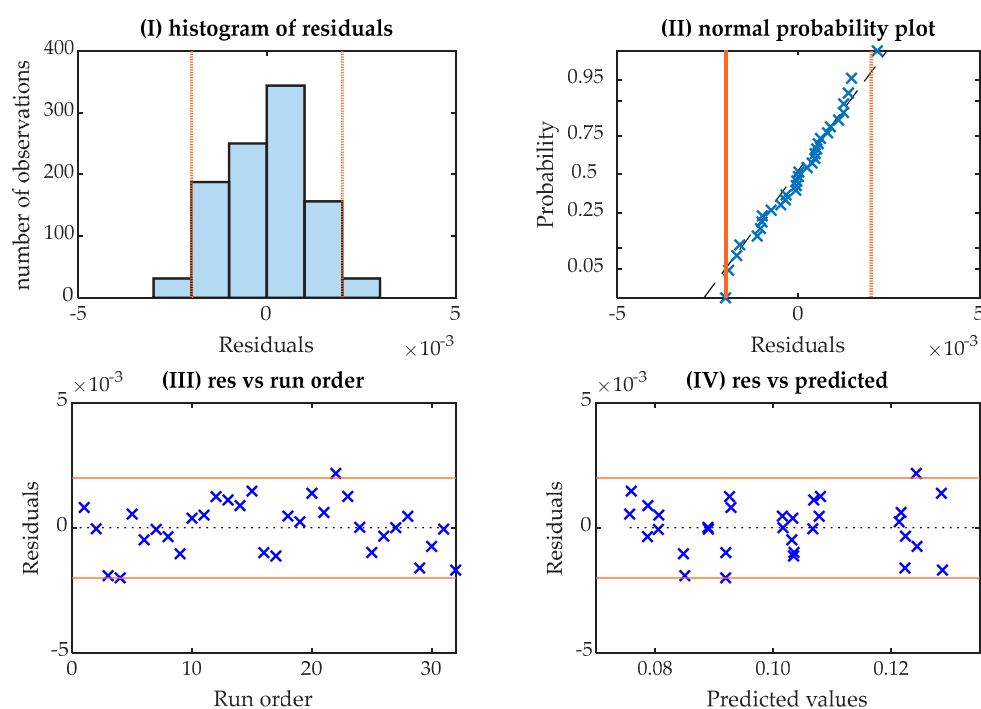


evaluated using the root mean square error (RMSE). Overfitting can be assumed if the average RMSE for the cross validation is of higher magnitude than the RMSE for the original model fit using the full dataset. This can be observed on the left-hand side of Figure 7, where the models consist of more than 15 parameters.



**Figure 7.** Representative example of behavior of coefficients  $R^2$  and  $R^2_{adj}$  and RMSE values for model and cross validation over number of parameters  $n_p$ , D88 MC-B, L-201.

After finding a suitable model, its residuals are analyzed to validate three statistical prerequisites. Figure 8 is a representative example depiction of the residual plots used for validation. Orange lines indicate measurement uncertainty of the test rig for CoF. Histogram (I) is supposed to resemble a bell curve. Residuals in the normal probability plot (II) should follow a diagonal. Additionally, if there are no apparent deviations to the right or left, these plots indicate normally distributed residuals. The residuals in the run order plot (III) should be evenly distributed around the x-axis, which implies the independence of the model errors. The last graph (IV) plots the residuals against the predicted values. An even distribution without any patterns indicates that the residuals have the same variance across all factor settings. Therefore, all necessary statistical prerequisites are fulfilled.



**Figure 8.** Representative example of residual plots, D88 MC-B, L-201 (model 1).

In addition to the ANOVA method for model reduction, we use stepwise regression. An automatic stepwise addition of factors is implemented in MatLab to build a regression model from any start model as simple as a single constant. We define criteria such as the  $p$ -value or the model efficiency  $R^2_{adj}$ . Corresponding thresholds for adding and removing factors are chosen to control the model optimization. In every step, the algorithm evaluates the available factors and adds the one with the highest positive impact on the chosen criterion. If no factor reaches the threshold for addition, the algorithm checks if any included factors became redundant due to the addition of others and now reach the threshold for removal. The resulting models are then also analyzed with a  $k$ -fold cross validation to avoid overfitting and residuals are also visually checked.

## 4. Results

### 4.1. Application of ANOVA with Cross Validation for Model Derivation

We apply the ANOVA method to the data of all tribological systems listed in Table 4. It is possible to evaluate the influence of the investigated factors on the characteristic value  $\mu_{top}$  and to identify different models fulfilling the statistical requirements.

Before focusing on the other factors, the reproducibility of the data is verified for two tribological systems. For D88, MC-B the repeated measurements with the same clutch pack and for D117, MP-C the repetitions with two clutch pack are both split into two blocks. We then use this blocking in the ANOVA to evaluate the influence of the repetitions. In both cases, there is no significant difference between the first and the second run compared to the other factors with  $p$ -values above 0.05. The blocking can therefore be removed from the models.

We continue model identification with a full model containing all four main effects, the covariate  $\vartheta_{sp}$  and all interactions. The ANOVA method is used to find and remove the factors with the highest  $p$ -values. The order in which the factors are removed aligns with a preceding analysis using main effect and interaction diagrams. Due to a small main effect and weak interactions, the feeding oil flow rate is generally the first main factor to be removed. While the maximum differential speed has a similarly small main effect, its interactions are remarkable which is why they turned out to be more significant than the feeding oil flow rate.

The behavior of the two RMSE values strongly differ for a high number of parameters. RMSE in the fivefold cross validation is magnitudes higher than for the model fitted with the full original dataset. This can be interpreted as an indicator of overfitting and generally appears above 13 to 15 parameters (compare Figure 7). Most of the suitable models according to the behavior of  $R^2$  and  $R^2_{adj}$  has a smaller number of parameters. Therefore, every ANOVA analysis leads to a range, where the number of parameters is low enough to avoid overfitting and high enough to allow for an accurate representation of the characteristic value  $\mu_{top}$ . After validating the statistical prerequisites with the residual plots, the models in Table 7 are chosen as the most promising ones. Friction systems D88, MC-B and D117, MP-C are used to derive models. Friction system D88, MP-A was only used for validation of those models and was not used for model derivation itself. Model 1 and model 2 show values of  $R^2$  and  $R^2_{adj}$  greater 99.5%, model 3 shows  $R^2 = 97.4\%$  and  $R^2_{adj} = 96.7\%$ .

**Table 7.** Models chosen from ANOVA analysis.

Friction System	Number of Parameters	Model
D88, MC-B	7	$c_0 + c_A \cdot A + c_C \cdot C + c_D \cdot D + c_E \cdot E + c_{AC} \cdot AC + c_{AD} \cdot AD$ (model 1)
D88, MC-B	8	$c_0 + c_A \cdot A + c_C \cdot C + c_D \cdot D + c_E \cdot E + c_{AC} \cdot AC + c_{CD} \cdot CD + c_{DE} \cdot DE$ (model 2)
D117, MP-C	7	$c_0 + c_A \cdot A + c_C \cdot C + c_D \cdot D + c_E \cdot E + c_{AC} \cdot AC + c_{DE} \cdot DE$ (model 3)

The analysis of D88, MC-B provides conclusive results, where the behavior of the coefficients and diagrams allows a clear decision on which models to choose. D88, MP-A shows a very strong dependency of CoF on steel plate temperature. However, maximum differential speed and its interactions are identified as insignificant early in the optimization process. The resulting model shows good behavior regarding residuals and has no dependency on the maximum differential speed. This correctly represents the friction behavior of this clutch, but it cannot be properly validated with the existing experimental design, which is strongly characterized by clutch speed ramps. D117, MP-C has a very consistent CoF. The analyzed factors show only little influence on the friction behavior. A suitable model with good residual behavior can be found at a sudden drop in both  $R^2$  and  $R^2_{adj}$  after the factor of feeding oil flow rate is removed. This drop suggests that the remaining factors contribute more to the quality of the model.

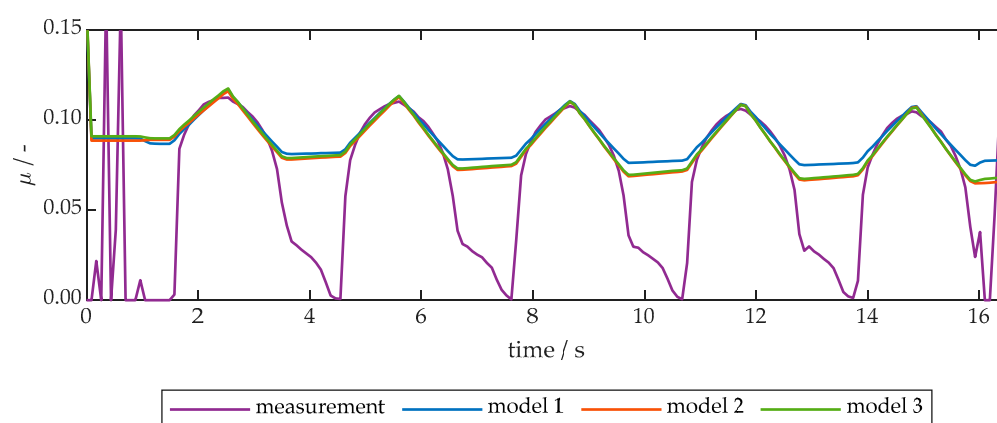
#### 4.2. Application of Stepwise Regression with Cross Validation for Model Derivation

Using the dataset of D88, MC-B, we choose the  $p$ -value known from the ANOVA method as the first criterion for the stepwise regression algorithm. The threshold for adding a factor is set to  $p < 0.05$  and the threshold for removal is  $p > 0.06$ . Starting from an empty model containing only a constant, the factors A, C and B are added consecutively. The order in which the factors are added makes the algorithm overlook the factor E that is known to be valuable from the ANOVA method before.

Consequently, factors A, C and E, which fulfill the criterion in the first step of the algorithm, are then used as part of the start model. With this configuration and the same thresholds, the algorithm manages to choose a final model equal to the first one in Table 7. Using all five factors (A-E) as a starting model makes the algorithm choose the interactions DE, AC and CD, before removing the main effect B of feeding oil flow rate. This behavior matches the experience from the ANOVA method and the preceding analysis of main effect and interaction diagrams. The resulting model is equal to the second model in Table 7. The fact that both models created with the manual ANOVA reappear in the automatic stepwise algorithm demonstrates the compatibility of the two methods. We then choose the change in the adjusted coefficient of determination  $R^2_{adj}$  as an optimization criterion. This allows the model to be directly optimized for efficiency. The threshold for adding factors is set to  $R^2_{adj} > 0$ , so that any improvement in model efficiency can be considered. After obtaining models with high  $R^2_{adj}$  from the ANOVA method, the threshold for removal is set to  $-0.0001$ . Starting from an empty model with only one constant, the result is the same as before, where the algorithm overlooks the factor E. Using the factors A, C and E as a starting model results in the same order. Therefore, the algorithm chooses the first model in Table 7 as before with the  $p$ -value.

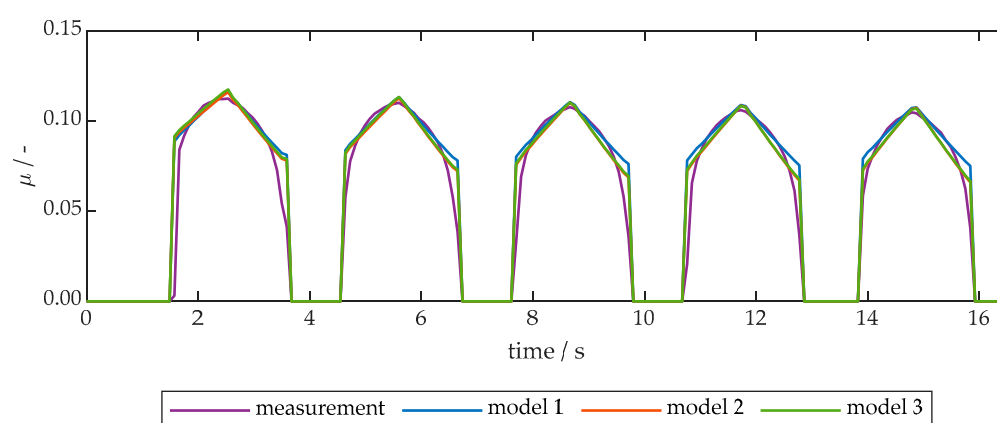
#### 4.3. Model Validation

To validate the created models from Table 7, we exemplarily compare the calculated CoF from these models with measurement data. CoF for load stage LS3 is determined with all models and compared to the measured data in Figure 9. Between the five slip phases, all models calculate a high CoF even though the clutch speed is 0 rpm. One reason might be that the ANOVA and the model fit is executed with data within the range of 25 to 100 rpm. Therefore, the models cannot be applied to clutch speeds below 25 rpm.



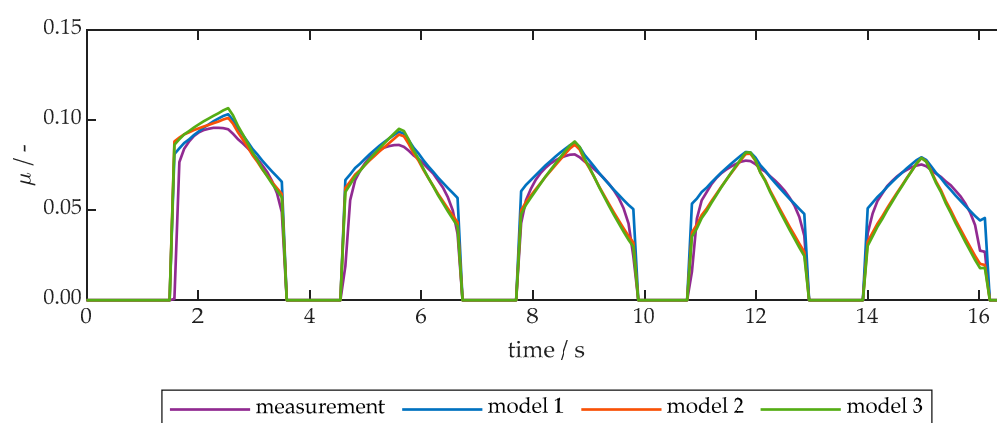
**Figure 9.** Comparison of measurement and simulation of CoF for load stage LS3 for three models fitted to D88, MC-B.

We restrict the visual model evaluation to clutch speeds above 1 rpm. For lower clutch speeds, CoF is set to 0. Figure 10 shows that this adjustment only affects the area between the slip phases. At the beginning and at the end of each slip phase, the clutch speed is between 1 and 25 rpm. In this area of extrapolation, the models show the biggest deviations from the measurement and the biggest differences between each other. In the middle of the slip phase, the clutch speed is between 25 and 100 rpm. In this area, all models show a good representation of the measurement.



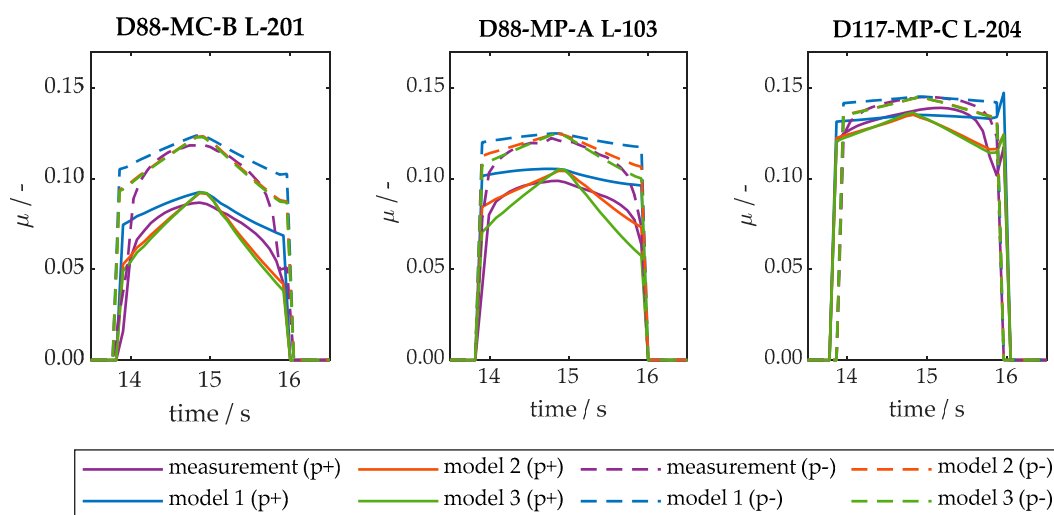
**Figure 10.** Comparison of measurement and simulation of CoF for load stage LS3 for three models fitted to D88, MC-B, restricted to  $\Delta n > 1$  rpm.

The load stage LS1 in Figure 11 has higher pressure (3 N/mm<sup>2</sup>) compared to LS3, while the other factors stay the same. Higher pressure causes a faster rise in steel plate temperatures. Conversely, the CoF decreases over the slip phases. This behavior is well reproduced by all three models. In this high-pressure scenario, model 1 is closer to the measurement for the low-speed areas of the later slip phases. In the low-pressure scenario, models 2 and 3 performs better in these areas. Both plots show linear behavior of the models, which cannot perfectly match the non-linear behavior of the measured CoF.



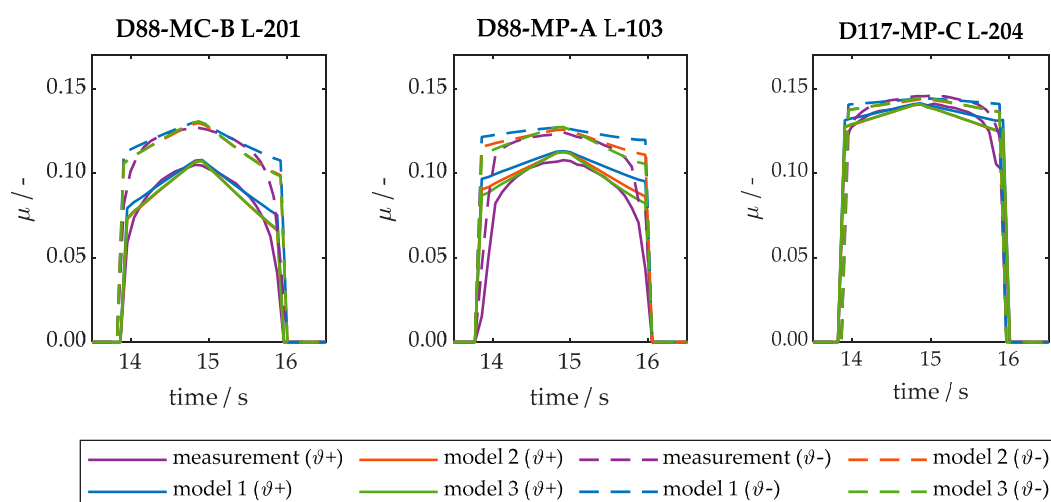
**Figure 11.** Comparison of measurement and simulation of CoF for load stage LS1 for three models fitted to D88, MC-B, restricted to  $\Delta n > 1$  rpm.

To compare transferability of the models between all tribological systems, only the last slip phases are observed. Figure 12 shows the influence of clutch pressure on CoF with the dotted lines representing  $1 \text{ N/mm}^2$  ( $p^-$ ) and the solid lines representing  $3 \text{ N/mm}^2$  ( $p^+$ ). Although each model was derived for one specific friction system, all models react to the change in clutch pressure for all friction systems and can reproduce the level of CoF. Considering the behavior of CoF, the models perform differently in specific areas. In particular, the non-linear and asymmetric behavior of D117-MP-C L-204, caused by strong temperature dependence of CoF, is not reproduced by the linear models. Here, friction system D88-MP-A L-103 is used for validation only.



**Figure 12.** Comparison of measurement and simulation of CoF for load stages LS13 and LS15 with different pressures  $1 \text{ N/mm}^2$  and  $3 \text{ N/mm}^2$ , restricted to  $\Delta n > 1$  rpm.

Figure 13 shows the influence of feeding oil temperature. Dotted lines represent feeding oil temperature  $40^\circ \text{C}$  ( $\vartheta^-$ ) and solid lines  $90^\circ \text{C}$  ( $\vartheta^+$ ). It can be observed that all models react to the change in oil temperature and can reproduce the level of the CoF.



**Figure 13.** Comparison of measurement and simulation of CoF for load stage LS1 for three models fitted to D88, MC-B, restricted to  $\Delta n > 1$  rpm.

## 5. Discussion

The presented approach uses experimental data to determine reasonable linear friction models. Both approaches, the ANOVA method and stepwise regression, show good compatibility and can be used to derive easy-to-use models. The derived models make it possible to analyze the analysis of main influencing factors and consider their effects on CoF.

Here, a designed test plan helps to reduce the effort for experimental tests dramatically. Using ANOVA for the identification of suitable friction models also helps to determine the main effects on the friction behavior of the clutch system. To compare different clutch systems, the models can be derived for each clutch system individually. The formulation of the model itself supports the understanding of important effects but also the used coefficients. Further optimization could be possible by using physically motivated factors such as friction work and friction power instead of factors that can be directly controlled at the test rig such as differential speed and clutch pressure.

In addition, the presented modelling is suitable for operating modes with high energy input that show approximate linear friction behavior over sliding velocity. Strong non-linearities at very low sliding speeds or for curves of CoF over sliding velocity with significant maxima, for example, cannot be considered with the presented approach. Therefore, sophisticated, non-linear approaches should be considered. The linearity can also be checked in advance by performing tests at the center points of the tested load stages. Nevertheless, these nonlinearities are not relevant for thermal simulations of many clutches with paper or carbon-based friction lining. The energy input through friction work is very low at low sliding speeds and most clutch systems with the mentioned friction lining do not show significant maxima in their friction characteristic. Even for small non-linearities, the proposed methodology supports the identification of easy-to-use friction models with sufficient accuracy for thermal simulations, for example, with a significant reduction in experimental effort. A reasonable choice of the factor levels is crucial in finding the adequate model. Therefore, preliminary tests should be performed with the consideration of operational prerequisites.

The models respond properly to all changes in the factor levels in question. In addition, the resulting models show good correspondence to the measurements—even for more than one friction system. Therefore, the models are suitable as input models for thermal simulations with little effort for experimental investigations at the same time.

The procedure can easily be adapted, depending on the investigated conditions, and can also be used for other applications where experimental data are used for determining easy-to-use input models.

## 6. Conclusions

Since determining the friction behavior of wet disk clutches requires experimental investigation, a reasonable modeling approach for determining friction models is necessary. This paper presents an approach for identifying and validating linear friction models using ANOVA and stepwise regression. Three models are derived and discussed using three relevant clutch systems with paper- and carbon-based friction lining. Good compatibility of the ANOVA and stepwise regression approach is identified.

The proposed modeling allows for the derivation of easy-to-use linear friction models as inputs for thermal calculations, for example, with little experimental effort. Thus, linear models are found suitable for the investigated clutch systems in relevant operational modes. Experiments are characterized by the characteristic friction value  $\mu_{\text{top}}$ . Reasonable factors and corresponding factor levels are used for the experimental investigations.

By using DOE, the experimental effort could be reduced. ANOVA and stepwise regression both lead to the same linear friction models. Both approaches can support the interpretation of influencing factors. Due to the evaluation of reasonable performance criteria of the models, unnecessary factors for the modelling friction behavior can be identified and not considered in the model. When applying the presented modeling approach, the linearity of the underlying model should be checked in preliminary tests and in the model validation.

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