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# Extension of Eigenvalue Problems on Gauss Map of Ruled Surfaces

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**Abstract:** A finite-type immersion or smooth map is a nice tool to classify submanifolds of Euclidean space, which comes from the eigenvalue problem of immersion. The notion of generalized 1-type is a natural generalization of 1-type in the usual sense and pointwise 1-type. We classify ruled surfaces with a generalized 1-type Gauss map as part of a plane, a circular cylinder, a cylinder over a base curve of an infinite type, a helicoid, a right cone and a conical surface of  $G$ -type.

**Keywords:** ruled surface; pointwise 1-type Gauss map; generalized 1-type Gauss map; conical surface of  $G$ -type

## 1. Introduction

Nash's embedding theorem enables us to study Riemannian manifolds extensively by regarding a Riemannian manifold as a submanifold of Euclidean space with sufficiently high codimension. By means of such a setting, we can have rich geometric information from the intrinsic and extrinsic properties of submanifolds of Euclidean space. Inspired by the degree of algebraic varieties, B.-Y. Chen introduced the notion of order and type of submanifolds of Euclidean space. Furthermore, he developed the theory of finite-type submanifolds and estimated the total mean curvature of compact submanifolds of Euclidean space in the late 1970s ([1]).

In particular, the notion of finite-type immersion is a direct generalization of the eigenvalue problem relative to the immersion of a Riemannian manifold into a Euclidean space: Let  $x : M \rightarrow \mathbb{E}^m$  be an isometric immersion of a submanifold  $M$  into the Euclidean  $m$ -space  $\mathbb{E}^m$  and  $\Delta$  the Laplace operator of  $M$  in  $\mathbb{E}^m$ . The submanifold  $M$  is said to be of finite-type if  $x$  has a spectral decomposition by  $x = x_0 + x_1 + \dots + x_k$ , where  $x_0$  is a constant vector and  $x_i$  are the vector fields satisfying  $\Delta x_i = \lambda_i x_i$  for some  $\lambda_i \in \mathbb{R}$  ( $i = 1, 2, \dots, k$ ). If the eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_k$  are different, it is called  $k$ -type. Since this notion was introduced, many works have been made in this area (see [1,2]). This notion of finite-type immersion was naturally extended to that of pseudo-Riemannian manifolds in pseudo-Euclidean space and it was also applied to smooth maps, particularly the Gauss map defined on submanifolds of Euclidean space or pseudo-Euclidean space ([1,3–6]).

Regarding the Gauss map of finite-type, B.-Y. Chen and P. Piccini ([7]) studied compact surfaces with 1-type Gauss map, that is,  $\Delta G = \lambda(G + \mathbb{C})$ , where  $\mathbb{C}$  is a constant vector and  $\lambda \in \mathbb{R}$ . Since then, many works regarding finite-type Gauss map have been established ([1,3,4,8–15]).

However, some surfaces have an interesting property concerning the Gauss map: The helicoid in  $\mathbb{E}^3$  parameterized by

$$x(u, v) = (u \cos v, u \sin v, av), \quad a \neq 0$$

has the Gauss map and its Laplacian respectively given by

$$G = \frac{1}{\sqrt{a^2 + u^2}}(a \sin v, -a \cos v, u)$$

and

$$\Delta G = \frac{2a^2}{(a^2 + u^2)^2}G.$$

The right (or circular) cone  $C_a$  with parametrization

$$x(u, v) = (u \cos v, u \sin v, au), \quad a \geq 0$$

has the Gauss map

$$G = \frac{1}{\sqrt{1 + a^2}}(a \cos v, a \sin v, -1)$$

which satisfies

$$\Delta G = \frac{1}{u^2}(G + (0, 0, \frac{1}{\sqrt{1 + a^2}}))$$

(Reference [8,10]). The Gauss maps above are similar to be of 1-type, but not to be of the 1-type Gauss map in the usual sense. Based upon such cases, B.-Y. Chen and the present authors defined the notion of pointwise 1-type Gauss map ([8]).

**Definition 1.** A submanifold  $M$  in  $\mathbb{E}^m$  is said to have pointwise 1-type Gauss map if the Gauss map  $G$  of  $M$  satisfies

$$\Delta G = f(G + \mathbb{C})$$

for some non-zero smooth function  $f$  and a constant vector  $\mathbb{C}$ . In particular, if  $\mathbb{C}$  is zero, then the Gauss map is said to be of pointwise 1-type of the first kind. Otherwise, it is said to be of pointwise 1-type of the second kind.

Let  $p$  be a point of  $\mathbb{E}^3$  and  $\beta = \beta(s)$  a unit speed curve such that  $p$  does not lie on  $\beta$ . A surface parameterized by

$$x(s, t) = p + t\beta(s)$$

is called a conical surface. A typical conical surface is a right cone and a plane.

Let us consider a following example of a conical surface.

**Example 1 ([15]).** Let  $M$  be a surface in  $\mathbb{E}^3$  parameterized by

$$x(s, t) = (s \cos^2 t, s \sin t \cos t, s \sin t).$$

Then, the Gauss map  $G$  can be obtained by

$$G = \frac{1}{\sqrt{1 + \cos^2 t}}(-\sin^3 t, (2 - \cos^2 t) \cos t, -\cos^2 t).$$

After a considerably long computation, its Laplacian turns out to be

$$\Delta G = fG + g\mathbb{C}$$

for some non-zero smooth functions  $f$ ,  $g$  and a constant vector  $\mathbb{C}$ . The surface  $M$  is a kind of conical surfaces generated by a spherical curve  $\beta(t) = (\cos^2 t, \sin t \cos t, \sin t)$  on the unit sphere  $\mathbb{S}^2(1)$  centered at the origin.

Inspired by such an example, we would like to generalize the notion of pointwise 1-type Gauss map as follows:

**Definition 2** ([15]). The Gauss map  $G$  of a submanifold  $M$  in  $\mathbb{E}^m$  is of generalized 1-type if the Gauss map  $G$  of  $M$  satisfies

$$\Delta G = fG + g\mathbb{C} \quad (1)$$

for some non-zero smooth functions  $f$ ,  $g$  and a constant vector  $\mathbb{C}$ .

Especially, we define a conical surface of  $G$ -type.

**Definition 3.** A conical surface with generalized 1-type Gauss map is called a conical surface of  $G$ -type.

**Remark 1** ([15]). A conical surface of  $G$ -type is constructed by the functions  $f$ ,  $g$  and the constant vector  $\mathbb{C}$  by solving the differential equations generated by Equation (1).

In [15], the authors classified flat surfaces with a generalized 1-type Gauss map in  $\mathbb{E}^3$ . In fact, flat surfaces are ruled surfaces which are locally cones, cylinders or tangent developable surfaces. In the present paper, without such an assumption of flatness, we prove that non-cylindrical ruled surfaces with a generalized 1-type Gauss map are flat and thus we completely classify ruled surfaces with generalized 1-type Gauss map in  $\mathbb{E}^3$ .

## 2. Preliminaries

Let  $M$  be a surface of  $\mathbb{E}^3$ . The map  $G : M \rightarrow \mathbb{S}^2(1) \subset \mathbb{E}^3$  which maps each point  $p$  of  $M$  to a point  $G_p$  of  $\mathbb{S}^2(1)$  by identifying the unit normal vector  $N_p$  to  $M$  at the point with  $G_p$  is called the Gauss map of the surface  $M$ , where  $\mathbb{S}^2(1)$  is the unit sphere in  $\mathbb{E}^3$  centered at the origin.

For the matrix  $\tilde{g} = (\tilde{g}_{ij})$  consisting of the components of the metric on  $M$ , we denote by  $\tilde{g}^{-1} = (\tilde{g}^{ij})$  (resp.  $\mathcal{G}$ ) the inverse matrix (resp. the determinant) of the matrix  $(\tilde{g}_{ij})$ . Then the Laplacian  $\Delta$  on  $M$  is in turn given by

$$\Delta = -\frac{1}{\sqrt{\mathcal{G}}} \sum_{i,j} \frac{\partial}{\partial x^i} \left( \sqrt{\mathcal{G}} \tilde{g}^{ij} \frac{\partial}{\partial x^j} \right). \quad (2)$$

Let  $\alpha = \alpha(s)$  be a regular curve in  $\mathbb{E}^3$  defined on an open interval  $I$  and  $\beta = \beta(s)$  a transversal vector field to  $\alpha'(s)$  along  $\alpha$ . Then a ruled surface  $M$  can be parameterized by

$$x(s, t) = \alpha(s) + t\beta(s), \quad s \in I, \quad t \in \mathbb{R}$$

satisfying  $\langle \alpha', \beta \rangle = 0$  and  $\langle \beta, \beta \rangle = 1$ , where  $'$  denotes  $d/ds$ . The curve  $\alpha$  is called the base curve and  $\beta$  the director vector field or ruling. It is said to be cylindrical if  $\beta$  is constant, or, non-cylindrical otherwise.

Throughout this paper, we assume that all the functions and vector fields are smooth and surfaces under consideration are connected unless otherwise stated.

## 3. Cylindrical Ruled Surfaces in $\mathbb{E}^3$ with Generalized 1-Type Gauss Map

In this section, we study the cylindrical ruled surfaces with the generalized 1-type Gauss map in  $\mathbb{E}^3$ .

Let  $M$  be a cylindrical ruled surface in  $\mathbb{E}^3$ . We can parameterize  $M$  with a plane curve  $\alpha = \alpha(s)$  and a constant vector  $\beta$  as

$$x(s, t) = \alpha(s) + t\beta.$$

Here the plane curve  $\alpha$  is assumed to be defined by  $\alpha(s) = (\alpha_1(s), \alpha_2(s), 0)$  with the arc length  $s$  and  $\beta$  a constant unit vector, namely  $\beta = (0, 0, 1)$ . In the sequel, the Gauss map  $G$  of  $M$  is given by

$$G = \alpha' \times \beta = (\alpha'_2, -\alpha'_1, 0) \quad (3)$$

and the Laplacian  $\Delta G$  of the Gauss map  $G$  using Equation (2) is obtained by

$$\Delta G = (-\alpha_2''', \alpha_1''', 0), \tag{4}$$

where  $'$  stands for  $d/ds$ .

From now on,  $'$  denotes the differentiation with respect to the parameter  $s$  relative to the base curve.

Suppose that the Gauss map  $G$  of  $M$  is of generalized 1-type, i.e.,  $G$  satisfies Equation (1). We now consider two cases either  $f = g$  or  $f \neq g$ .

Case 1.  $f = g$ .

In this case, the Gauss map  $G$  is of pointwise 1-type described in Definition 1. According to Classification Theorem in [10,11], we have that the ruled surface  $M$  is part of a plane, a circular cylinder or a cylinder over a base curve of an infinite-type satisfying

$$\sin^{-1} \left( \frac{c^2 f^{-\frac{1}{3}} - 1}{\sqrt{c_1^2 + c_2^2}} \right) - \sqrt{c_1^2 + c_2^2 - (c^2 f^{-\frac{1}{3}} - 1)^2} = \pm c^3 (s + k), \tag{5}$$

where  $\mathbb{C} = (c_1, c_2, 0)$ , and  $c (\neq 0)$  and  $k$  are constants.

Case 2.  $f \neq g$ .

By a direct computation using Equations (3) and (4), we see that the third component  $c_3$  of the constant vector  $\mathbb{C}$  is zero. We put  $\mathbb{C} = (c_1, c_2, 0)$ . Then, we have the following system of ordinary differential equations

$$\begin{aligned} -\alpha_2''' &= f\alpha_2' + gc_1, \\ \alpha_1''' &= -f\alpha_1' + gc_2. \end{aligned} \tag{6}$$

Since  $\alpha$  is of unit speed, that is,  $(\alpha_1')^2 + (\alpha_2')^2 = 1$ , we may put

$$\alpha_1'(s) = \cos \theta(s) \quad \text{and} \quad \alpha_2'(s) = \sin \theta(s)$$

for a smooth function  $\theta = \theta(s)$  of  $s$ . One can write Equation (6) as

$$\begin{aligned} (\theta')^2 \sin \theta - \theta'' \cos \theta &= f \sin \theta + gc_1, \\ (\theta')^2 \cos \theta + \theta'' \sin \theta &= f \cos \theta - gc_2, \end{aligned}$$

which give

$$(\theta')^2 = f + g(c_1 \sin \theta - c_2 \cos \theta), \tag{7}$$

$$-\theta'' = g(c_1 \cos \theta + c_2 \sin \theta). \tag{8}$$

Taking the derivative of Equation (7), we have

$$2\theta'\theta'' = f' + g'(c_1 \sin \theta - c_2 \cos \theta) + g(c_1 \cos \theta + c_2 \sin \theta)\theta'.$$

With the help of Equations (7) and (8) it implies that

$$\frac{3}{2}(\theta^2)' = f' + \frac{g'}{g}((\theta')^2 - f).$$

Solving the above differential equation, we get

$$\theta'(s)^2 = kg^{\frac{2}{3}}(s) + \frac{2}{3}g^{\frac{2}{3}}(s) \int g^{-\frac{2}{3}}(s)f(s)\left(\frac{f'}{f} - \frac{g'}{g}\right)ds, \quad k(\neq 0) \in \mathbb{R}.$$

If we put

$$\theta'(s) = \pm \sqrt{p(s)}, \tag{9}$$

where  $p(s) = |kg^{\frac{2}{3}}(s) + \frac{2}{3}g^{\frac{2}{3}}(s) \int g^{-\frac{2}{3}}(s)f(s)(\frac{f'}{f} - \frac{g'}{g})ds|$  for some non-zero constant  $k$ , we get a base curve  $\alpha$  of  $M$  as follows:

$$\alpha(s) = \left( \int \cos \theta(s)ds, \int \sin \theta(s)ds, 0 \right), \tag{10}$$

where  $\theta(s) = \pm \int \sqrt{p(s)} ds$ . In fact,  $\theta'$  is the signed curvature of the curve  $\alpha$  which is precisely determined by the given functions  $f, g$  and the constant vector  $\mathbb{C}$ .

Note that if  $f$  and  $g$  are constant, the Gauss map  $G$  is of 1-type in the usual sense. In this case, the signed curvature of  $\alpha$  is non-zero constant and thus  $M$  is part of a circular cylinder.

Suppose that one of the functions  $f$  and  $g$  is not constant. Since a plane curve in  $\mathbb{E}^3$  is of finite-type if and only if it is part of a straight line or a circle, the base curve  $\alpha$  defined by Equation (10) is of an infinite-type ([2]). Thus, by putting together Cases 1 and 2, we have a classification theorem as follows:

**Theorem 1.** *Let  $M$  be a cylindrical ruled surface in  $\mathbb{E}^3$  with the generalized 1-type Gauss map. Then it is an open part of a plane, a circular cylinder or a cylinder over a base curve of an infinite-type satisfying Equations (5), (9) and (10).*

#### 4. Classification Theorem

In this section, we examine non-cylindrical ruled surfaces with generalized 1-type Gauss map in  $\mathbb{E}^3$  and obtain a classification theorem.

Let  $M$  be a non-cylindrical ruled surface in  $\mathbb{E}^3$  parameterized by a base curve  $\alpha$  and a director vector field  $\beta$ . Up to a rigid motion, its parametrization is given by

$$x(s, t) = \alpha(s) + t\beta(s)$$

such that  $\langle \alpha', \beta \rangle = 0, \langle \beta, \beta \rangle = 1$  and  $\langle \beta', \beta' \rangle = 1$ . Then, we have an orthonormal frame  $\{\beta, \beta', \beta \times \beta'\}$  along  $\alpha$ . With the frame  $\{x_s, x_t\}$ , we define the smooth functions  $q, u, Q$  and  $R$  as follows:

$$q = \langle x_s, x_s \rangle, \quad u = \langle \alpha', \beta' \rangle, \quad Q = \langle \alpha', \beta \times \beta' \rangle, \quad R = \langle \beta'', \beta \times \beta' \rangle.$$

With such functions above, we can express the vector fields  $\alpha', \beta'', \alpha' \times \beta, \beta \times \beta''$  in the following:

$$\begin{aligned} \alpha' &= u\beta' + Q\beta \times \beta', \\ \beta'' &= -\beta + R\beta \times \beta', \\ \alpha' \times \beta &= Q\beta' - u\beta \times \beta', \\ \beta \times \beta'' &= -R\beta', \end{aligned} \tag{11}$$

from which, the smooth function  $q$  and the Gauss map  $G$  are represented respectively as

$$q = t^2 + 2ut + u^2 + Q^2$$

and

$$G = \frac{x_s \times x_t}{\|x_s \times x_t\|} = q^{-1/2} (Q\beta' - (u + t)\beta \times \beta'). \tag{12}$$

Then, by straightforward computation, the mean curvature  $H$  and the Gaussian curvature  $K$  of  $M$  are respectively represented as:

$$\begin{aligned}
 H &= \frac{1}{2}q^{-3/2}(-Rt^2 - (2uR + Q')t + u'Q - Q^2R - u^2R - uQ'), \\
 K &= -\frac{Q^2}{q^2}.
 \end{aligned}
 \tag{13}$$

**Remark 2.** If  $R = 0$ , then the director vector field  $\beta$  is a plane curve.

By the Gauss and Weingarten formulas, the following equation is easily obtained:

$$\Delta G = 2\nabla H + (\text{tr}A^2)G,$$

where  $\nabla H$  is the gradient of  $H$  and  $A$  denotes the shape operator of  $M$ . From Equation (13), we get

$$\begin{aligned}
 2\nabla H &= 2e_1(H)e_1 + 2e_2(H)e_2 \\
 &= q^{-3}B_1e_1 + q^{-5/2}A_1e_2 \\
 &= q^{-7/2}(qA_1\beta + (u + t)B_1\beta' + QB_1\beta \times \beta'),
 \end{aligned}$$

where  $e_1 = \frac{x_s}{\|x_s\|}$ ,  $e_2 = \frac{x_t}{\|x_t\|}$ ,

$$\begin{aligned}
 A_1 &= Rt^3 + (3uR + 2Q')t^2 + (Q^2R - 3u'Q + 3u^2R + 4uQ')t \\
 &\quad + (uQ^2R - 3uu'Q + u^3R + 2u^2Q' - Q^2Q'), \\
 B_1 &= 3(u't + uu' + QQ')\{Rt^2 + (2uR + Q')t - u'Q + Q^2R + u^2R + uQ'\} \\
 &\quad + (t^2 + 2ut + u^2 + Q^2)\{-R't^2 - (2u'R + 2uR' + Q'')t \\
 &\quad + u''Q - 2QQ'R - Q^2R' - 2uu'R - u^2R' - uQ''\}.
 \end{aligned}$$

We also have

$$\text{tr}A^2 = q^{-3}D_1,$$

where

$$D_1 = \{-Rt^2 - (2uR + Q')t - u(uR + Q') + Q(u' - QR)\}^2 + 2Q^2(t^2 + 2ut + u^2 + Q^2).$$

Thus we obtain the Laplacian  $\Delta G$  of the Gauss map  $G$  of  $M$  given by

$$\Delta G = q^{-7/2}[qA_1\beta + ((u + t)B_1 + D_1Q)\beta' + (QB_1 - D_1(u + t))\beta \times \beta']. \tag{14}$$

Suppose that  $M$  has generalized 1-type Gauss map  $G$ . Then, with the help of Equations (1), (12) and (14), we obtain

$$\begin{aligned}
 &q^{-7/2}[qA_1\beta + \{(u + t)B_1 + D_1Q\}\beta' + \{QB_1 - D_1(u + t)\}\beta \times \beta'] \\
 &= fq^{-1/2}\{Q\beta' - (u + t)\beta \times \beta'\} + g\mathbb{C}.
 \end{aligned}
 \tag{15}$$

By taking the inner product to Equation (15) with  $\beta, \beta'$  and  $\beta \times \beta'$  respectively, we get the following:

$$q^{-5/2}A_1 = g \langle \mathbb{C}, \beta \rangle, \tag{16}$$

$$q^{-7/2}\{(u + t)B_1 + D_1Q\} = fq^{-1/2}Q + g \langle \mathbb{C}, \beta' \rangle, \tag{17}$$

$$q^{-7/2}\{QB_1 - (u + t)D_1\} = -fq^{-1/2}(u + t) + g \langle \mathbb{C}, \beta \times \beta' \rangle. \tag{18}$$

Combining Equations (16), (17) and (18), we have

$$qA_1\omega_2 - \{(u+t)B_1 + D_1Q\}\omega_1 + fq^3Q\omega_1 = 0, \quad (19)$$

$$qA_1\omega_3 - \{QB_1 - (u+t)D_1\}\omega_1 - fq^3(u+t)\omega_1 = 0, \quad (20)$$

$$\{(u+t)B_1 + D_1Q\}\omega_3 - \{QB_1 - (u+t)D_1\}\omega_2 - fq^3\{Q\omega_3 + (u+t)\omega_2\} = 0, \quad (21)$$

where we have put  $\omega_1 = \langle \mathbb{C}, \beta \rangle$ ,  $\omega_2 = \langle \mathbb{C}, \beta' \rangle$  and  $\omega_3 = \langle \mathbb{C}, \beta \times \beta' \rangle$ .

On the other hand, differentiating a constant vector  $\mathbb{C} = \omega_1\beta + \omega_2\beta' + \omega_3\beta \times \beta'$  with respect to the parameter  $s$  and using Equation (11), we get

$$\begin{aligned} \omega_1' - \omega_2 &= 0, \\ \omega_3' + \omega_2R &= 0, \\ \omega_1 + \omega_2' - \omega_3R &= 0. \end{aligned} \quad (22)$$

Combining Equations (19) and (20), we obtain

$$A_1\{\omega_2(u+t) + \omega_3Q\} - B_1\omega_1 = 0. \quad (23)$$

First of all, we consider the case of  $R = 0$ .

**Theorem 2.** *Let  $M$  be a non-cylindrical ruled surface in  $\mathbb{E}^3$  with generalized 1-type Gauss map. If  $R = 0$ , then  $M$  is part of a plane or a helicoid.*

**Proof.** If the constant vector  $\mathbb{C}$  is zero in the definition given by Equation (1), then the Gauss map  $G$  is of nothing but pointwise 1-type Gauss map of the first kind. By Characterization Theorem,  $M$  is part of a helicoid ([10]).

We now assume that the constant vector  $\mathbb{C}$  is non-zero. In this case, we will show  $Q = 0$  on  $M$  and thus  $M$  is part of a plane due to Equation (13).

Suppose that the open subset  $U = \{s \in \text{dom}(\alpha) \mid Q(s) \neq 0\}$  of  $\mathbb{R}$  is not empty. Then, on a component  $U_C$  of  $U$ , we have from Equation (22) that  $\omega_3$  is a constant and  $\omega_1'' = -\omega_1$ . Since the left hand side of Equation (23) is a polynomial in  $t$  with functions of  $s$  as the coefficients, the leading coefficient consisting of functions of  $s$  must vanish and  $\omega_1^2Q'$  is a constant on  $U_C$  with the help of Equation (22).

Next, from the coefficient of  $t^2$  in Equation (23), we obtain

$$3\omega_2u'Q - 2\omega_3QQ' + 3\omega_1u'Q' + \omega_1u''Q = 0. \quad (24)$$

Similar to the above, from the coefficient of the linear term in  $t$  of Equation (23) with the help of Equation (24), we get

$$\omega_2QQ' + \omega_3u'Q - \omega_1(u')^2 + \omega_1(Q')^2 = 0. \quad (25)$$

In addition, the constant term in Equation (23) relative to  $t$  is automatically zero. If we make use of Equation (24), we obtain

$$\begin{aligned} Q[\omega_1\{3u(u')^2 + 3u'QQ' - 3u(Q')^2 - u''Q^2\} - 3\omega_2uQQ' \\ - \omega_3(3uu'Q + Q^2Q')] = 0. \end{aligned}$$

Hence, on  $U_C$ , we have

$$\begin{aligned} \omega_1\{3u(u')^2 + 3u'QQ' - 3u(Q')^2 - u''Q^2\} - 3\omega_2uQQ' \\ - \omega_3(3uu'Q + Q^2Q') = 0. \end{aligned} \quad (26)$$

Using Equations (24) and (25), Equation (26) can be reduced to

$$2\omega_1 u' Q' + \omega_2 u' Q - \omega_3 Q Q' = 0. \tag{27}$$

Suppose that there is a point  $s_0 \in U_C$  such that  $u'(s_0) \neq 0$ . Then,  $u'(s) \neq 0$  everywhere on an open interval  $I$  containing  $s_0$ . So, Equation (25) yields

$$\omega_3 Q = \frac{1}{u'} \{ \omega_1 (u')^2 - \omega_1 (Q')^2 - \omega_2 Q Q' \}. \tag{28}$$

Putting Equation (28) into (27),  $(u'^2 + Q'^2)(\omega_2 Q + \omega_1 Q') = 0$ , which implies  $\omega_2 Q + \omega_1 Q' = 0$ . Since  $\omega_2 = \omega_1'$ , we see that  $\omega_1 Q$  is constant on  $I$ .

If  $\omega_1 = 0$  on some subinterval  $J$  in  $I$ ,  $\omega_2 = 0$  on  $J$ . Equation (25) gives  $\omega_3 = 0$  on  $J$ . Since  $\mathbb{C}$  is a constant vector,  $\mathbb{C}$  is zero vector, which is a contradiction. Thus, without loss of generality we may assume that  $\omega_1 \neq 0$  everywhere on  $I$  and it is of the form  $\omega_1 = k_1 \cos(s + s_1)$  for some non-zero constant  $k_1$  and  $s_1 \in \mathbb{R}$ . Since  $\omega_1^2 Q'$  is constant and  $\omega_1 Q$  is constant on  $I$ ,  $\omega_1$  must be zero on  $I$ , which contradicts  $\omega_1 = k_1 \cos(s + s_1)$  for some non-zero constant  $k_1$ . Therefore, the open interval  $I$  is empty and thus  $u' = 0$  on  $U_C$ . If we take into account Equations (25) and (27), we get  $Q'(\omega_2 Q + \omega_1 Q') = 0$  and  $\omega_3 Q' = 0$ , respectively.

Suppose that  $Q'(s_2) \neq 0$  at some point  $s_2 \in U_C$ . Then  $\omega_3 = 0$  and  $\omega_1 Q$  is a constant on an open interval  $J_1$  containing  $s_2$ . Similar to the above argument, since  $\omega_1^2 Q'$  and  $\omega_1 Q$  are constant on  $J_1$ , it follows that  $\omega_1 = 0$ . By Equation (22),  $\omega_2$  is zero. Hence the constant vector  $\mathbb{C}$  is zero, a contradiction. Thus  $J_1$  is empty. Therefore,  $Q$  is constant on  $U_C$ . By continuity,  $Q$  is either a non-zero constant or zero on  $M$ . Because of Equation (13),  $M$  is minimal and it is an open part of a helicoid, which means that the Gauss map is of pointwise 1-type of the first kind. Therefore, the open subset  $U$  is empty. Consequently,  $Q$  is zero on  $M$ . Hence,  $M$  is an open part of a plane.  $\square$

Now, we assume that the function  $R$  is not vanishing everywhere.

If  $f = g$ , the Gauss map  $G$  of  $M$  is of pointwise 1-type. Thus,  $M$  is characterized as an open part of a right cone including the case that  $M$  is a plane or a helicoid depending upon whether the constant vector  $\mathbb{C}$  is non-zero or zero ([9]).

From now on, we may assume the constant vector  $\mathbb{C}$  is non-zero and  $f \neq g$  unless otherwise stated. Similarly as before, Equation (23) yields

$$\omega_2 R + \omega_1 R' = 0. \tag{29}$$

Since  $\omega_1' = \omega_2$  in Equation (22), we see that  $\omega_1 R$  is constant. In addition, the coefficient of the term involving  $t^3$  in Equation (23) must be zero.

With the help of Equation (29), we get

$$2\omega_2 Q' + \omega_3 Q R - \omega_1 u' R + \omega_1 Q'' = 0. \tag{30}$$

If we examine the coefficient of the term involving  $t^2$  in Equation (23), using Equations (29) and (30) we obtain

$$\omega_1 Q^2 R' - 3\omega_2 u' Q + 2\omega_3 Q Q' - \omega_1 Q Q' R - 3\omega_1 u' Q' - \omega_1 u'' Q = 0. \tag{31}$$

Furthermore, from the coefficient of the linear term in  $t$  in Equation (23) with the help of Equations (29)–(31), we also get

$$Q \{ \omega_2 Q Q' + \omega_3 u' Q - \omega_1 (u')^2 + \omega_1 (Q')^2 \} = 0. \tag{32}$$

Suppose that the function  $Q$  is not zero, i.e., the open subset  $V = \{s \in \text{dom}(\alpha) | Q(s) \neq 0\}$  of  $\text{dom}(\alpha)$  is not empty. Equation (32) gives that

$$\omega_2 Q Q' + \omega_3 u' Q - \omega_1 (u')^2 + \omega_1 (Q')^2 = 0. \tag{33}$$

Moreover, considering the constant term relative to  $t$  in Equation (23) and using Equations (29)–(31), we obtain

$$Q[\omega_1 \{3u(u')^2 + 3u' Q Q' - Q^2 Q' R - 3u(Q')^2 - u'' Q^2 + Q^3 R'\} - 3\omega_2 u Q Q' - \omega_3 (3uu' Q + Q^2 Q')] = 0.$$

Hence, on the open subset  $V$  in  $\mathbb{R}$ ,

$$\omega_1 \{3u(u')^2 + 3u' Q Q' - Q^2 Q' R - 3u(Q')^2 - u'' Q^2 + Q^3 R'\} - 3\omega_2 u Q Q' - \omega_3 (3uu' Q + Q^2 Q') = 0. \tag{34}$$

Applying Equations (31) and (33) to Equation (34), we have

$$2\omega_1 u' Q' + \omega_2 u' Q - \omega_3 Q Q' = 0. \tag{35}$$

On the other hand, since  $\omega_3 R = \omega_1 + \omega_2'$  in Equation (22), Equation (30) becomes

$$(\omega_1 Q)'' + \omega_1 Q - \omega_1 u' R = 0. \tag{36}$$

Suppose that the function  $u$  is not constant, i.e., the open subset  $V_1 = \{s \in V | u'(s) \neq 0\}$  is not empty. Then Equation (33) yields

$$\omega_3 Q = \frac{1}{u'} \{ \omega_1 (u')^2 - \omega_1 (Q')^2 - \omega_2 Q Q' \}. \tag{37}$$

Putting Equation (37) into (35),  $(u'^2 + Q'^2)(\omega_2 Q + \omega_1 Q') = 0$  and thus  $\omega_2 Q + \omega_1 Q' = 0$ . Therefore,  $\omega_1 Q$  is constant on a component  $\mathcal{C}$  of  $V_1$ . From Equation (36), we get  $\omega_1 Q = \omega_1 u' R$ .

If  $\omega_1 = 0$  on an open interval  $\tilde{I} \subset \mathcal{C}$ , the constant vector  $\mathbb{C}$  is zero on  $M$ , a contradiction. Thus,  $\omega_1 \neq 0$  and so  $Q = u' R$  on  $\mathcal{C}$ . The fact that  $\omega_1 Q$  and  $\omega_1 R$  are constant on  $\mathcal{C}$  implies that  $u'$  is a non-zero constant on  $\mathcal{C}$ . Then, Equations (31) and (35) are simplified as follows:

$$\omega_1 Q^2 R' + 2\omega_3 Q Q' - \omega_1 Q Q' R = 0, \tag{38}$$

$$\omega_1 u' Q' - \omega_3 Q Q' = 0. \tag{39}$$

Putting  $Q = u' R$  into Equation (38),  $\omega_3 Q' = 0$  is derived. Thus, Equation (39) implies that  $\omega_1 Q' = 0$  and so  $Q' = 0$  on  $\mathcal{C}$ . Hence,  $Q$  and  $R$  are both non-zero constants on  $\mathcal{C}$ .

On the other hand, without difficulty, we can show that the torsion of the director vector field  $\beta = \beta(s)$  viewed as a curve is zero and so  $\beta$  is part of a plane curve which is a small circle on the unit sphere centered at the origin with the normal curvature  $-1$  and the geodesic curvature  $R$  on  $\mathcal{C}$ . Up to a rigid motion, we may put

$$\beta(s) = \frac{1}{p} (\cos ps, \sin ps, R)$$

on  $\mathcal{C}$ , where we have put  $p = \sqrt{1 + R^2}$ . Then,  $u = \langle \alpha', \beta' \rangle = -\alpha'_1 \sin ps + \alpha'_2 \cos ps$ , where  $\alpha'(s) = (\alpha'_1(s), \alpha'_2(s), \alpha'_3(s))$ . Therefore, on  $\mathcal{C}$ , we get

$$u' = -(\alpha''_1 + \alpha'_2 p) \sin ps + (\alpha''_2 - \alpha'_1 p) \cos ps,$$

from which, we see that  $u' = 0$  on  $C \subset V_1$ , a contradiction. Hence,  $V_1$  is empty and so  $u' = 0$  on  $V$ . Then, Equations (30), (33) and (35) can be respectively reduced to

$$2\omega_2 Q' + \omega_3 QR + \omega_1 Q'' = 0, \quad (40)$$

$$\omega_2 QQ' + \omega_1 (Q')^2 = 0, \quad (41)$$

$$\omega_3 QQ' = 0. \quad (42)$$

Suppose that  $Q'(\xi_0) \neq 0$  at a point  $\xi_0$  in  $V$ . From Equations (41) and (42),  $\omega_3 = 0$  and  $\omega_1 Q$  is a constant on an open interval  $\tilde{J} \subset V$  containing  $\xi_0$ . Hence,  $\omega_2' Q = 0$  is derived from Equation (40). Therefore,  $\omega_2' = 0$  on  $\tilde{J}$ . The third equation of (22) yields  $\omega_1 = 0$ . It follows that  $\omega_2 = 0$ . Since  $\mathbb{C}$  is a constant vector,  $\mathbb{C}$  is zero on  $M$ , a contradiction. So,  $Q' = 0$  on  $V$ . Thus,  $Q$  is non-zero constant on each component of  $V$ . If we consider Equations (30) and (31), we have

$$\omega_3 R = 0 \quad \text{and} \quad \omega_1 R' = 0.$$

Since  $R \neq 0$ ,  $\omega_3 = 0$  on each component of  $V$ . By Equation (29),  $\omega_2 R = 0$ , which yields that  $\mathbb{C}$  is zero on  $M$ . It is a contradiction. Hence, the open subset  $V$  of  $\mathbb{R}$  is empty and the function  $Q$  is vanishing on  $M$ . Thus,  $M$  is flat due to Equation (13). Since the ruled surface  $M$  is non-cylindrical,  $M$  is one of an open part of a tangent developable surface or a conical surface. One of the authors proved that tangential developable surfaces do not have a generalized 1-type Gauss map and a conical surface of  $G$ -type can be constructed by the given functions  $f, g$  and the constant vector  $\mathbb{C}$  ([15]).

Consequently, we have

**Theorem 3.** *Let  $M$  be a non-cylindrical ruled surface in  $\mathbb{E}^3$  with generalized 1-type Gauss map. Then,  $M$  is an open part of a plane, a helicoid, a right cone or a conical surface of  $G$ -type.*

Summing up our results, we obtain the following classification theorem.

**Theorem 4.** (Classification) *Let  $M$  be a ruled surface in  $\mathbb{E}^3$  with a generalized 1-type Gauss map. Then,  $M$  is an open part of a plane, a circular cylinder, a cylinder over a base curve of an infinite-type satisfying Equations (5), (9) and (10), a helicoid, a right cone or a conical surface of  $G$ -type.*

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