

Article

Comprehensive Resilience Assessment of Complex Urban Public Spaces: A Perspective of Promoting Sustainability

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Abstract: Complex urban systems, such as multi-floor rail transit stations and integrated railway transport hubs, are termed “complex urban public spaces” (CUPSs). These CUPSs facilitate people’s lives, but, at the same time, are threatened by various risks due to their multi-floor structure, dense crowds, high correlation in multi-function, complex facilities, and space openness. The risk events of CUPSs could have a negative influence on public safety and further influence sustainable development. Increasing the resilience of CUPSs is an effective way to respond to risks and guarantee public safety. Therefore, it is necessary to first assess the resilience of CUPSs. In this paper, a six-level comprehensive resilience indicator system was established based on aspects of the essence of resilience. Used in combination with the methods of resilience impact score and fuzzy analytical hierarchy process, the resilience value could be calculated. The Shenzhen North Railway Station (SZ) and the Guangzhou South Railway Station (GZ) were used to validate the proposed methodology. The established resilience indicator system was shown to be comprehensive and innovative, and, regarding practicality, the proposed assessment methodology is convenient to use. This research can help policymakers to assess the resilience of CUPSs and develop relevant policies to improve the resilience of buildings, which can further enhance urban sustainability.

Keywords: resilience assessment; complex urban public space; resilience indicator system; sustainability



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1. Introduction

Urban public spaces are playing an increasingly important role in people’s lives. *National Urban Policies Driving Public Space Led Urban Development*, first published in Nairobi by United Nations Human Settlements Programme (UN-Habitat) in 2020, emphasizes the importance of mainstreaming safe, inclusive, and barrier-free public space into national urban policies [1]. With the development of urban renewal systems and policies in Western developed countries, promoting the intensive utilization of space, resources, and energy has gradually become an important factor of urban development [2]. At the same time, the rise of postmodernism in the West determines the internal diversity and complexity of urban spatial structure and function, which has attracted the extensive attention of Western scholars such as Jane Jacobs [3], Robert Venturi [4], and Charles Jenks [5]. Accordingly, over the past few years and considering the worldwide context, there is increasing engagement with urban public spaces based on views to intensively utilize land resources and facilitate people’s lives, and general examples of such spaces include integrated railway transport hubs, multi-floor rail transit stations, and commercial centers. Practical cases include the Beijing South Railway Station in China, Clementi Rail Transit Station in Singapore (combined with a commercial center), Seoul Station in Korea, and Hartsfield–Jackson Atlanta International Airport in the United States, among others. These types of buildings are associated with three obvious characteristics, which are multi-floor structure, multi-function

integration, and high-density passenger flows. Such buildings can be analyzed by using the complex system theory, by which the system complexity is indicated through nonlinearity and emergence features. In the mentioned buildings, various kinds of components, including functional facilities and connections in the multi-floor structure, demonstrate the two features. Thus, the buildings could be recognized as complex systems, which are termed “complex urban public spaces” (CUPSs). CUPSs were the research object of this study and mainly include integrated railway transport hubs, multi-floor rail transit stations, and commercial centers in the worldwide cities.

CUPSs facilitate people’s daily lives. However, due to the system characteristics of multi-floor structure, dense and changeable crowds, high correlation in multi-function, complex facilities and equipment, and openness in terms of space, CUPSs are also threatened by various kinds of risks, such as fire, accidents, terrorist attacks, etc. Examples include the Daegu metro fire on 19 February 2003, the explosion events in the Beijing Capital International Airport on 20 July 2013, the Hong Kong metro fire on 10 February 2017, and the explosion that occurred at a railway station in Baltimore, Maryland, on 30 December 2021 [6–8]. Thus, CUPSs are buildings in urban areas that face risk in daily operation. Because CUPSs are subsystems of urban systems, the risks for CUPSs also threaten urban public safety and sustainable development. The concept “resilience” has been a point of focus in recent research and is defined as the ability to reduce the duration and/or magnitude of risk events, which includes the abilities to anticipate, absorb, adapt to, and recover from risk events [9]. To guarantee both the safe daily operation of CUPSs and urban sustainable development, ensuring the adequate resilience abilities of CUPS systems is vital. The higher resilience of CUPSs, the higher abilities of the system in preventing and responding to risk events.

Different CUPSs have different levels of resilience. Resilience assessment is a key factor in explaining the determinants of disaster resilience, which is conducive to disaster risk reduction and system resilience promotion. Accordingly, this research mainly focused on the resilience assessment of CUPSs based on the essence of resilience. A comprehensive six-level resilience assessment indicator system was established. Literature research, field investigation, expert interviews, resilience impact score (RIS), and fuzzy analytical hierarchy process (FAHP) were utilized. The aim of this research was to calculate system comprehensive resilience value. The paper is organized as follows. The second section is a literature review. The third section introduces and describes the research materials and methods, including for the establishment of the resilience indicator system and resilience assessment. The fourth section describes the case studies that were conducted. The proposed resilience assessment methodology was implemented and validated in two case studies. The fifth section is discussion, and the sixth section is the conclusion.

2. Literature Review

This section firstly reviews the existing studies of urban/community/infrastructure resilience assessment and then discusses the existing research. The aim of the literature review is firstly to show that some resilience assessment frameworks with various kinds of categorized indicators have been utilized, which served as useful references for this research. Then, the resilience assessment methods review demonstrates some models and algorithms that are used in the specific resilience assessment research scenarios. Combining characteristics of the established indicator system and CUPSs, the applicability of the existing methods was considered in this research. The existing research mainly covers two aspects, which are the resilience contents and assessment methods.

2.1. Resilience Contents

The resilience contents are generally the details of resilience from multiple sub-dimensions. Resilience has three key features: robustness, resourcefulness, and rapid recovery [10,11]. In the process of analyzing resilience, resilience can be divided into three stages: disaster prevention, damage propagation, and recovery, which correspond to resistance,

absorption, and recovery [12]. In the existing research, five dimensions have been emphasized in many resilience studies, including society, economy, infrastructure, environment, and physics. Jonas Joerin et al. established a climate-related community disaster resilience framework considering three dimensions: physical, social, and economic [13]. Saud Ali Alshehri et al. established a framework for assessing community resilience, covering social, environmental, economic, health and wellbeing, governance, information, and communication [14]. Rajarshi DasGupta and Rajib Shaw proposed a resilience assessment framework for coastal communities, including five dimensions: physics, institution, socioeconomics, ecology, and environment [15]. Christopher G. Burton has established a set of externally validated flexibility assessment indicators, including six subparts, namely society, economy, institutions, infrastructure, community capital, and environment [16]. D.K. Yoon et al. constructed a set of indicators to evaluate community resilience index (CDRI) from human, social, environmental, institutional, and economic factors [17]. Zahra Assarkhaniki et al. conceptualized 21 different resilience dimensions into five categories: society, economy, system, infrastructure, and environment [18]. Masoud Javadpoor et al. proposed a Baseline Resilience Indicators Framework for communities, including society, economy, community capital, infrastructure and housing, and environment [19]. Seyed MHS Rezvani et al. proposed an Urban Resilience Evaluation System (URES), including five dimensions of environment, economy, organization, society, and technology [20]. Hisham Tariq et al. developed an adaptive community disaster resilience framework, which includes six key disaster resilience dimensions: physical, health, economy, environment, society, and governance [21].

In addition, some resilience assessments have been conducted according to the deconstruction of the “resilience” concept. Paul Arbon et al. proposed a community resilience model, including community connectedness, risk and vulnerability, planning and procedures, and available resources [22]. Yadong Dong et al. proposed a framework to quantify the resilience of individual residential buildings with simultaneous inclusion of hurricane damage assessment and recovery time analysis [23]. Daniela P. González et al. developed a framework for risk and resilience monitoring. The risk dimension includes exposure and vulnerability, while the resilience dimension includes municipal revenues and local development [24]. It has been verified that assessing resilience based on the subdimensions is a useful strategy.

In this research, the resilience assessment indicator system was established based on the essence of resilience. The absorptive, adaptive, and restorative capacity are the three main types of resilience capacity [25]. Some reports in which the meaning of resilience were considered include [12,14–17,22–24,26–29]. In particular, the two reports *Critical Infrastructure Resilience Final Report and Recommendations* (report #1) [11], by the National Infrastructure Advisory Council (NIAC), and *Constructing a Resilience Index for the Enhanced Critical Infrastructure Protection Program* (report #2) [10], by the Argonne National Laboratory (ANL), provide useful references. These two reports focus on the critical infrastructure resilience research and, appropriately, CUPSs are typical critical infrastructures in the urban system. Report #1 proposed the three operational terms of resilience, which are robustness, rapid recovery, and resourcefulness. Robustness is the ability to prevent or mitigate risks and maintain the key operational functions in the face of crisis, which corresponds to the absorptive capacity. Rapid recovery is the ability to reconstitute or return to normal operations as efficiently as possible after a risk event, which corresponds to the adaptive and restorative capacity. Resourcefulness is the ability to skillfully prepare for and respond to a risk event, which corresponds to all three capacities of resilience. In report #2, based on the three operational terms, the ANL constituted the major components and subcomponents of resilience, and a five-level resilience system was constructed [10]. In accordance with the two authoritative reports, the three terms of resilience, namely robustness, rapid recovery, and resourcefulness, demonstrate the essence of resilience. The above-cited examples in the literature provide references for this research.

2.2. Resilience Assessment Methods

The resilience assessment methods used in the existing research include different kinds of mathematical models and algorithms.

Firstly, various mathematical models have been established. Min Ouyang et al. used a practical grid model and several hypothetical resilience-improved models to compute the annual resilience of an infrastructure system under random hazards [12]. Min Ouyang and Leonardo Dueñas-Osorio introduced a comprehensive probabilistic modeling approach, including hurricane hazard, power system performance, component fragility, and system recovery model, to quantify the power system resilience [30]. Ouyang and Wang proposed a resilience assessment framework for interdependent infrastructure systems that comprises four steps: descriptions of interdependencies, modeling of component fragilities, cascading failures, and restoration [27]. In refs. [30] and [27], resilience assessment was conducted through model establishment, and the models consider the characteristics of hurricane hazard, power system, and the interdependencies of infrastructure systems. Thus, the models were proposed according to the research problems or the characteristics of research objects. Marta Bottero et al. proposed a comprehensive method based on system dynamics model (SDM) and network analysis (ANP) to evaluate the possible impact of two different urban scenarios on urban resilience performance over time [31]. Liudan Jiao et al. studied the mechanism of urban rail transit stations rainstorm disaster vulnerability by combining interpretive structure model (ISM) and social network analysis (SNA) [32]. Xinghua Feng et al. constructed the elasticity index system as the framework of ECP (“exposure”, “connectivity”, and “potential”), and the adaptive cycle model was introduced into the resilience assessment framework [33].

In addition, mathematical algorithms are also the main methods in resilience assessment research, including in logistic regression analysis [34,35], weighted sum calculation [10], multivariate analyses [16], analytic hierarchy process [14], weighted mean score [15], weighted regression, and ordinary least squares regression [17], among others. Su-Chin Chen et al. proposed a method to evaluate the disaster resistance of hillside communities, including logistic regression analysis and geographic information system technology [34,35]. Based on the five-level elastic system constructed by Fisher et al., the system resilience value was obtained by using weighted sum calculation level by level [10]. Christopher G. Burton used comprehensive indicators in community resilience assessment. The steps include identifying relevant variables, multivariate analysis, aggregation, and linking variables with external validation indicators [16]. Saud Ali Alshehri et al. proposed a weighting system for each dimension, and criteria were proposed using the analytic hierarchy process. The system provided a quantitative and qualitative assessment tool to measure community resilience to disasters [14]. After constructing a resilience assessment framework for coastal communities, Rajarshi DasGupta and Rajib Shaw used questionnaires and weighted average scores to calculate the comprehensive resilience score [15]. D.K. Yoon et al. used geographical weighted regression and ordinary least squares regression to measure the resilience of communities to natural disasters based on the constructed resilience index system [17]. Paul Arbon et al. proposed a scorecard to measure community resilience in Australia, which is a workable tool to assess community resilience and formulate plans to strengthen resilience [36]. Based on the vulnerability–capability framework, Zezhao Liu et al. used the RAHP method to determine the standard weight, and used the census data to evaluate the urban resilience [37].

In this research, a six-level resilience indicator system was established. The algorithm weighted sum calculation is useful here, since it considers the different weights of the indicators and produces a comprehensive system resilience value that corresponds with the characteristics of the indicators and the aim of this research.

3. Materials and Methods

In this section, a comprehensive 6-level resilience indicator system was established as detailed in the Section 3.1. Then, the resilience impact score (RIS) and fuzzy analytical

hierarchy process (FAHP) are introduced in Sections 3.2.1 and 3.2.2, which are the methods to determine the resilience impact scores and the indicator weights. Further, the system comprehensive resilience value calculation method is illustrated in the Section 3.2.3.

3.1. Resilience Indicator System

The existing studies that provide references were introduced in Section 2. Besides the literature research, field investigation was also used to determine the resilience indicators.

3.1.1. Field Investigation

In this research, the two typical integrated railway transport hubs, Shenzhen North Railway Station (SZ) and the Guangzhou South Railway Station (GZ) in China, were taken as case studies. The two stations are typical CUPSs and have the common features of CUPSs, making them representative CUPSs.

Firstly, both SZ and GZ present typical multi-floor structure characteristics, as illustrated in Table 1.

Table 1. Characteristics of SZ and GZ.

	Shenzhen North Railway Station (SZ)	Guangzhou South Railway Station (GZ)
Spatial structure	<p>1. East: In total, 6 floors are in the east side of the station. The first and second floors are transfer floors. The third floor is the waiting room and connects to the station square for the entry/exit of the station. The fourth floor is the concourse of the metro. The fifth floor is the metro platform. The B1 floor is the railway.</p> <p>2. West: In total, 4 floors are in the west side of the station. The first floor is the waiting room of high-speed railway. The second floor is the operation office of high-speed railway. The B1 and B2 floors are used for parking, taxi, and railway.</p>	<p>In total, 4 floors are in the station. The first floor is for entrance, exit, and transfer. The second floor is railway platform and waiting room for train. The third floor is the waiting room for train dedicated line. The B1 floor is for metro and parking.</p>
Passenger flow characteristics	Many clusters of crowded people are distributed on every floor of the station, especially the first floor.	Clusters of crowded people are distributed in several points on every floor.
Safety measures	<p>1. Human resources: Policemen are stationed in every important point, including entrances/exits of stairs/escalators, passenger aggregation clusters, etc.</p> <p>2. Equipment: All policemen are equipped with explosion-proof fork. Explosion-proof tanks are distributed in different floors. In addition, anti-collision balls and metal barriers are used.</p>	<p>1. Human resources: A few policemen are distributed on every floor without fixed positions.</p> <p>2. Equipment: Explosion-proof tanks are distributed in different floors. In addition, anti-collision ball and metal barriers are used.</p>
Rescue materials	A special space (about 300 m ²) is used for storing emergency rescue stockpiles, including loudspeakers, waterproof gear, fire extinguishers, metal barriers, rain shoes, etc.	No special space presented outside for storing rescue materials.
	Traffic connection mode	
Railway	✓	✓
High-speed railway	✓	✓
Bus	✓	✓
Rail transit	✓	✓
Taxi	✓	✓
Private car	✓	✓
BRT (bus rapid transit)		
Intercity traffic	✓	✓

Note: The “✓” indicates that the railway station includes this type of traffic connection mode.

Secondly, dense and changeable crowds gather in every floor of the two stations. According to the statistics, the departure and arrival passenger flows of SZ reach 600,000 per day, and the rush hours are mainly during the morning (6:50 to 10:00) and from noon (12:00 to 15:00) (Shenzhen Transportation Bureau, 2019). In terms of GZ, 117 million passengers were transported in 2020, with daily departure and arrival passenger flows reaching 320,000 [38].

Thirdly, in both stations, various kinds of traffic modes are integrated in the multi-floor structure, including railway, high-speed railway, bus, rail transit, taxi, private car, intercity traffic, etc., and the functions are linked with each other. The integration of the traffic modes into different floors facilitates passenger transfer, which is a typical characteristic of CUPSs.

Fourthly, complex facilities and equipment exist in these two stations, including stairs, escalators, turnstiles, metal barriers, electric power equipment, fire extinguishing equipment, water supply and drainage equipment, etc. The combination of facilities and equipment is the basis of the station operations.

Fifthly, both stations connect to the external environment and are open to the public. The availability of the public access increases the system risks.

Based on the above five points, the research for SZ and GZ could demonstrate the common characteristics of CUPSs. Field investigations were conducted six times at each of these two stations. The investigations considered the station structure, transfer connections, clusters of crowded people on every floor, and security measures. A comparison of the characteristics of the two CUPSs is shown in Table 1.

3.1.2. Resilience Indicator System

Deeply considering the taxonomy guidelines from the previous studies and the CUPSs special features, the concept “resilience” was deconstructed level by level. The aim of concept deconstruction is to obtain specific indicators that demonstrate changes in resilience through changes in these indicators, such as in terms of different values, whether something is happening or not, or that there has been a change to other situations, etc. Moreover, the specific indicators should also be operational and could be implemented or optimized by managers. Accordingly, a 6-level resilience indicator system was established, as shown in Appendix A Tables A1–A3.

The 6-level indicator system provides a comprehensive evaluation of CUPS resilience. The first level is the concept “resilience”, which is the main aim of this research. Robustness, rapid recovery, and resourcefulness are the three indicators in level 2, which are the three key features of urban infrastructure resilience. The eight indicators in level 3 are the different aspects/types of the three indicators in level 2. Further, the indicators in level 3 are deconstructed as 23 indicators in level 4, which are the detailed contents of aspects/types of the indicators in level 3. The 71 indicators in level 5 are the illustration for the various aspects of the indicators in level 4. Finally, the 182 indicators in level 6 are the specific characteristics or operational details contents of the indicators in level 5. Indicators in the first 3 levels are shown in Figure 1.

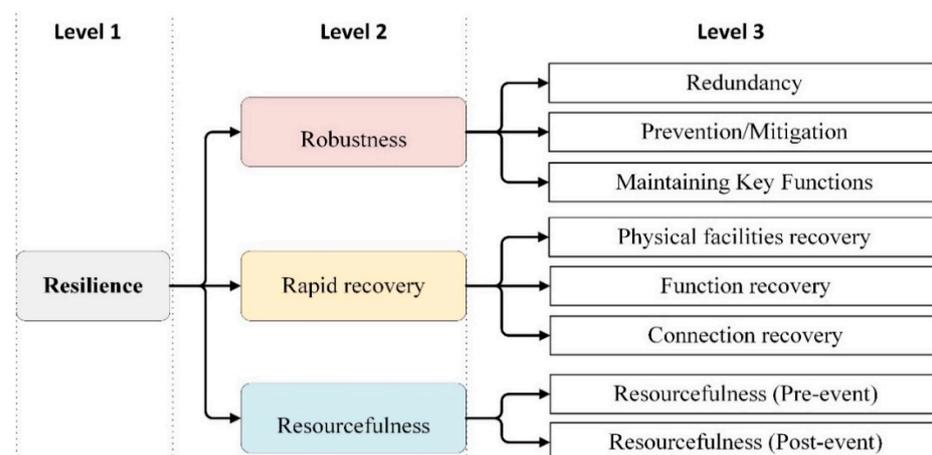


Figure 1. Indicators in the first three levels of the resilience indicator system.

- (1) Robustness

According to the implication of robustness, three components, namely redundancy, prevention/mitigation, and maintaining key functions, are used to demonstrate the term. The 6-level resilience indicators of robustness are shown in Appendix A Table A1.

a. Redundancy

Redundancy is an attribute of a robust system, which means the overlaps/backups can be used to guarantee the system reliability [7]. In this research, this concept is to demonstrate the components of the system and the overlaps among the components. The indicators in level 4 of redundancy include functional facilities, people, electric power equipment, fire extinguishing equipment, water supply and drainage equipment, and security equipment. The indicators of level 5 are the subcomponents of these indicators. The functional facilities include floors, stairs, escalators, elevators, passages, turnstiles, and metal barriers, and the people consist of passengers, staff, and policemen. The indicators floors, stairs, escalators, elevators, and passengers present the special characteristics of CUPSs. The overlap characteristics of these indicators are demonstrated in level 6. For the indicator floors, 4 subindicators were adopted to present the characteristic of complex multi-layer structure, including connection, area of every floor, total number of floors, and number of underground floors. The characteristics of lots of clusters of crowded people are shown through the 4 indicators of passengers in level 6, including average daily passenger flow, maximum daily passenger flow, number of passenger aggregation nodes, and location of passenger aggregation nodes. In addition, 4 indicators were considered for stairs, including connection, number, width, and length. The connection demonstrates the overlaps between stairs and other facilities, including the function connection and location connection. The number, width, and length determine the degree of overlaps in the connections between different stairs and other facilities.

b. Prevention/mitigation

Prevention/mitigation is the robustness ability of system to manage risks in the pre-risk event phase and during the risk event. The fifth level indicators of pre-risk event consist of regular inspection and maintenance, component replacement, and disaster prevention/mitigation planning, which emphasize the prevention for risk events. Additionally, the fifth level indicators in the case of events (during event) include emergency rescue and temporary resettlement for victims, both of which are the actions of mitigation risk events. The indicators in level 6 are specific details of the indicators in level 5, including measurement, detailed contents, etc. For instance, frequency and regulations for a specific category of facility/equipment and scope are considered as three subindicators for regular inspection and maintenance, which illustrate the measurement and detailed contents. In addition, subindicators of emergency rescue demonstrate the components considered in emergency rescue preparation.

c. Maintaining key functions

Maintaining key functions is the major aim of the system robustness ability. The three key functions of CUPSs are arrival/departure and transfer. Design and facilities are two aspects of the functions related to the system robustness ability. The design of CUPSs is the operation regulation rules, while facilities are the physical component of the system. The indicators in level 6 are the illustration of these two aspects. For example, for the function arrival/departure, the design could be demonstrated through four indicators, including management regulations, arrival/departure procedures, spatial function design, and backup plan, while for the function transfer, the facilities comprise interfloor connection and instructions.

(2) Rapid recovery

The rapid recovery comprises three types of recovery, including physical facilities recovery, function recovery, and connection recovery. The 6-level resilience indicators of rapid recovery are shown in Appendix A Table A2.

a. Physical facilities recovery

Physical facilities recovery is the main content and foundation of the CUPSs recovery. Depending on the damage condition of the original physical facilities, two strategies of recovery are included, namely repair and reconstruction. The two strategies could be implemented through the local government's own endeavor or in coordination with support from the central government or other local governments. The indicators in level 6 illustrate four elements of physical facilities recovery, which are capital, technology, personnel, and recovery rapidity, among which the recovery rapidity is influenced by the other three elements.

b. Function recovery

Based on the physical facilities recovery, function recovery is a necessary step for the operation recovery of CUPSs. The functions of CUPSs include the key functions and additional functions. Key functions of CUPSs are arrival/departure and transfer. Additional functions include business, cultural display, etc. Function recovery has one of three conditions, which are original function level, higher than the original functional level, and lower than the original functional level. One important level 6 indicator is recovery rapidity, which represents the recovery performance. In addition, function variations (adding and reducing functions) represent the recovery workload, which should also be considered in function recovery.

c. Connection recovery

After completion of physical facilities' recovery and function recovery, connection recovery is the third step of recovery. Connections in CUPSs include the internal connections and external connections. The internal connections consist of physics connection and function connection. Further, in level 6, the indicators are the rapidity and connection contents, including recovery rapidity, number of connections, and number of facilities/functions category. The external connections consist of transfer connection and social influence. Moreover, rapidity and connection contents are subindicators in level 6.

(3) Resourcefulness

Resourcefulness includes pre-event measures and post-event measures. The 6-level resilience indicators of resourcefulness are shown in Appendix A Table A3.

a. Resourcefulness (Pre-event)

The pre-event resourcefulness includes awareness, training exercises, protective measures, and stockpiles. Firstly, three subindicators are in the scope of awareness, including system existing resources, system vulnerability, and resource shortage. Awareness is the managers' self-evaluation of the CUPS system, and the indicators in level 6 include system disaster response ability judgment, system risks identification, system vulnerability assessment, amount of resource shortage, categories of resource shortage, and access to resources. Secondly, training and exercises are strategies to enhance the system risk response ability in the pre-event stage. Three kinds of training are included, which are system security plan training, emergency action training, and recovery action training. In addition, system testing is also necessary for the evaluation of system resources and system skills. The sixth level is the further presentation of training and exercises, including access for personnel, contents of training, and type of training/exercise. Thirdly, protective measures are the prepared protection strategies in the pre-event stage, including real-time communications, facilities protection, and security protection. The last one is stockpiles and comprising four types of resources, including electric power, disaster relief materials, medical supplies,

and food/water. The indicators in level 6 are mainly concerned with measurement of the amount of resources and the potential support.

b. Resourcefulness (Post-event)

The post-event resourcefulness includes three aspects, which are response, alternative sites, and new resources. Response is the main action in the post-event stage. The resourcefulness of response could be presented through four subindicators, including minimum response time, on-site capability, response equipment, and emergency action procedure. The indicators in level 6 are the detailed contents of the four aspects. For example, the detailed contents of minimum response time include two indicators, distance and accessibility. Alternative sites represent the resource for victim resettlement and CUPS operation. Additionally, alternative equipment, support for the alternative sites, and people's health at sites should also be considered. In level 6, the accessibility and capacity of the sites are presented, and transportation support, communication support, and logistics support are considered as subindicators of support. New resources are presented as three types, which are rescue resources, resettlement resources, and recovery resources. The subindicators in level 6 demonstrate resource types and availability.

3.2. Resilience Assessment Methods

Calculating the system comprehensive resilience value was the aim of this research. The indicator quantification method should consider the influence of indicators on the system resilience with scenarios that indicators vary in terms of value/happening/change. The risk ranking method risk matrix (RM) is able to quantify the risks with the consideration of risk impact and probability of occurrence, which provides a useful reference for this research. Referring to the method RM, the resilience impact score (RIS) is proposed, which is a suitable method for the resilience impact score determination.

Considering that the obtained resilience impact score cannot be directly summed due to the different weights of the indicators in the system resilience assessment, a method for determination the weight of indicators is also needed. Analytic hierarchy process (AHP) is a useful method that has been adopted in many studies to demonstrate the experts/decision makers' opinions, and the weights of qualitative hierarchical indicators can be determined without the requirement for historical data [14,39,40]. However, it is still unable to accurately reflect human thinking styles [41]. In addition, AHP has also been criticized due to the utilization of unbalanced scale of judgment and the inability to handle the inherent uncertainty in the pair-wise comparison [42]. The developed fuzzy analytical hierarchy process (FAHP) could overcome these shortcomings and be used to solve hierarchical problems [41]. This method is based on the hierarchical model of system indicators and uses fuzzy mathematics [43]. FAHP was adopted in this research to determine the weights of the indicators.

The resilience impact scores are the absolute values that show the degree of influence on the system resilience, while the weights determined through FAHP are the values of every indicator relative to others. The overlap of the two methods promotes their applicability in this research. The scope of the system comprehensive resilience value is [0, 100].

3.2.1. Resilience Impact Score (RIS)

RIS originates from the risk ranking method risk matrix (RM). RM considers risk in the aspects of impact and probability of occurrence. In the aspect of risk impact, the five categories critical, serious, moderate, minor, and negligible are used. As for probability of occurrence, five categories are also listed, including 0–10%, 11–40%, 41–60%, 61–90%, and 91–100% [44]. Referring to the two aspects and combining the characteristics of the CUPS resilience indicators, the RIS determination criteria were proposed, as shown in Table 2.

Table 2. Resilience impact score.

Resilience Impact Category	Definition	Score Range	
Critical	The value/happening/change of the indicator determines system resilience	81~100	−(81~100)
Serious	The value/happening/change of the indicator seriously influences system resilience	61~80	−(61~80)
Moderate	The value/happening/change of the indicator causes moderate influence for system resilience	41~60	−(41~60)
Minor	The value/happening/change of the indicator causes minor influence for system resilience	21~40	−(21~40)
Negligible	The influence caused by the value/happening/change of the indicator could be negligible	0~20	−(0~20)

The utilization of Table 2 follows two steps. The first step is determining the resilience impact category. The second step is deciding a score corresponding to the resilience impact category. The scores are divided according to whether their impact is positive or negative. If the indicator has a positive impact on system resilience and could increase system resilience, then the score has a positive value; otherwise, it is negative. For example, for the indicator “area of every floor”, the resilience impact category is firstly determined as “moderate”, and the indicator has positive impact on system resilience; thus, the score is in the range of 41~60. Then, according to the field investigation and comparison to other CUPSs, the score could be determined as a precise number, such as 50.

3.2.2. Fuzzy Analytical Hierarchy Process (FAHP)

The FAHP method is adopted in this research to determine the weights of indicators from level 2 to level 5. The three steps of this method are as follows.

Step 1: Construction of fuzzy complementary matrixes.

The values in the cells of fuzzy complementary matrix reflect subjective judgments for the importance of each indicator toward other indicators in the hierarchical structure. A 0.1–0.9 scale was used, as shown in Table 3. This scale was chosen considering practicality, being intuitive and in line with how people tend to think, which makes it easy to be used by experts or decision makers. The fuzzy complementary matrix is

$$R = (R_{ij})_{n \times n} \quad 0 \leq R_{ij} \leq 1, i, j \in I, I = \{1, 2, \dots, n\} \quad (1)$$

where in the matrix, the scale that x_i to x_j is R_{ij} , then the scale of x_j to x_i is $R_{ji} = 1 - R_{ij}$. n is the number of the indicators.

Table 3. Fuzzy complementary scale (0.1–0.9).

Scale	Definition	Remarks
0.1	x_i is absolutely less important than x_j	
0.3	x_i is obviously less important than x_j	
0.5	x_i and x_j are equally important	0.2/0.4/0.6/0.8 are medians of adjacent judgments.
0.7	x_i is obviously more important than x_j	
0.9	x_i is absolutely more important than x_j	

The values in the cells of the fuzzy complementary matrix reflect subjective judgments for the importance of each indicator toward other indicators in the hierarchical structure. The 0.1–0.9 scale was used, as shown in Table 3. Similarly to earlier, this scale was selected considering practicality.

Step 2: Transforming to fuzzy consistent matrix.

Sum fuzzy complementary matrix R by rows and record as $r_i = \sum_{k=1}^n R_{ik}$, $i \in I$, then transform fuzzy complementary matrix into fuzzy consistent matrix, as follows:

$$r_{ij} = \frac{r_i - r_j}{2n} + 0.5 \quad (2)$$

where R_{ik} is the kj -th scale in the i -th row in the fuzzy complementary matrix, r_{ij} is the transferred index in the fuzzy consistent matrix.

Step 3: Weight calculation.

The weight of the indicator is determined through the ranking method and calculated by using Equation (3),

$$w_i = \frac{1}{n} - \frac{1}{2a} + \frac{1}{na} \sum_{j=1}^n r_{ij} \quad (3)$$

where $a = (n - 1)/2$, w_i is the weight of the indicator.

3.2.3. System Comprehensive Resilience Value Calculation

To calculate the system comprehensive resilience value, the first step is to obtain the average resilience impact scores of the indicators in level 6. Then, the weights of indicators from level 5 to 2 could be determined by using FAHP, level by level. Correspondingly, the system resilience value is calculated through the weighted sum method, from level 5 to 1. The resilience value of every indicator is calculated using Equation (4),

$$R_{l_{ji}} = \sum_{j=1}^n w_{j(i-1)} \times R_{l_{i-1}} \quad (4)$$

where $R_{l_{ji}}$ is the resilience value of the j -th indicator in level i , $w_{j(i-1)}$ is the weight of the j -th indicator in level $i - 1$, and n is the number of subindicators in level $i - 1$ for the j -th indicator of level i .

4. Case Study

The system resilience values of the two case studies, Shenzhen North Railway Station (SZ) and Guangzhou South Railway Station (GZ), were calculated using the proposed methods.

4.1. Resilience Assessment Steps

The assessment implementation contains four steps. The sample calculation table is presented in Table 4. To clearly display the results of every level, the results are highlighted in different colors as follows: level 1 (yellow), level 2 (purple), level 3 (green), level 4 (orange), level 5 (blue), and level 6 (white).

Firstly, the resilience impact scores of the indicators in level 6 were determined according to the criteria and procedures demonstrated in Section 3.2.1. As shown in Table 4, the scores of the nine indicators in level 6 were determined with consideration of the CUPSs characteristics. For example, due to the daily management pressure and emergency rescue pressure, the passenger number presented a negative relationship with the system resilience. The crowded people clusters of SZ showed more obvious features than GZ in number and location. One reason is that the structure of SZ is more complex than GZ, and another is that SZ connects with a commercial plaza. In addition, the staff and policemen in SZ are superior to GZ in number, location, and equipment.

Table 4. A sample of the system resilience value calculation table.

Level 1	Level 2	Level 3	Level 4	Level 5	Level 6	Resilience Impact Score	
						SZ	GZ
			Functional facilities				
				Passengers	Average daily passenger flow	-80.00	-80.00
					Maximum daily passenger flow	-80.00	-80.00
					Number of passenger aggregation nodes	-85.00	-75.00
					Location of passenger aggregation nodes	-85.00	-75.00
					Average of resilience impact scores of indicators in level 6	-82.50	-77.50
					Weights of the indicators in level 5	0.35	0.39
					Resilience value of the indicators in level 5	-28.4	-29.91
				Staff	Number	80.00	60.00
					Location	85.00	65.00
					Average of resilience impact scores of indicators in level 6	82.50	62.50
			People		Weights of the indicators in level 5	0.25	0.30
					Resilience value of the indicators in level 5	20.86	18.49
				Policeman	Number	80.00	65.00
					Location	85.00	65.00
					Police equipment	80.00	60.00
		Redundancy			Average of resilience impact scores of indicators in level 6	81.67	63.33
					Weights of the indicators in level 5	0.40	0.32
					Resilience value of the indicators in level 5	32.85	20.16
					Sum of the resilience values of the indicators in level 5	25.24	8.74
					Weights of the indicators in level 4	0.13	0.15
					Resilience value of the indicators in level 4	3.35	1.29
			Electric power equipment				
			Fire extinguishing equipment				
			Water supply and drainage equipment				
			Security equipment				
					Sum of the resilience values of the indicators in level 4	56.97	49.15
					Weights of the indicators in level 3	0.34	0.33
					Resilience value of the indicators in level 3	19.64	16.38
		Prevention/mitigation					
		Maintaining key functions					
					Sum of the resilience values of the indicators in level 3	67.29	61.19
					Weights of the indicators in level 2	0.31	0.36
					Resilience values of the indicators in level 2	20.93	21.77
		Rapid recovery					
		Resourcefulness					
					Sum of the resilience values of the indicators in level 2 (The system resilience value)	65.38	60.38

Secondly, weights of the indicators in level 5 could be obtained using FAHP. Four associate professors, who conducted the field investigation, were invited to determine the fuzzy complementary matrixes of the indicators in level 5 for the two stations. Then, the judgment matrix was input into the FAHP program in Matlab and the weights calculated.

Thirdly, the resilience values of the indicators in level 5 were calculated according to Equation (4).

Fourthly, the second and third steps were each repeated for indicators of levels 4, 3, and 2 in succession. The comprehensive resilience value of the system is the sum of the resilience values of indicators in level 2.

The system comprehensive resilience values of SZ and GZ were 65.38 and 60.38, respectively. Therefore, SZ showed higher system resilience than GZ. In detail, the resilience values of the indicators in levels 2–5 are shown in Figure 2.

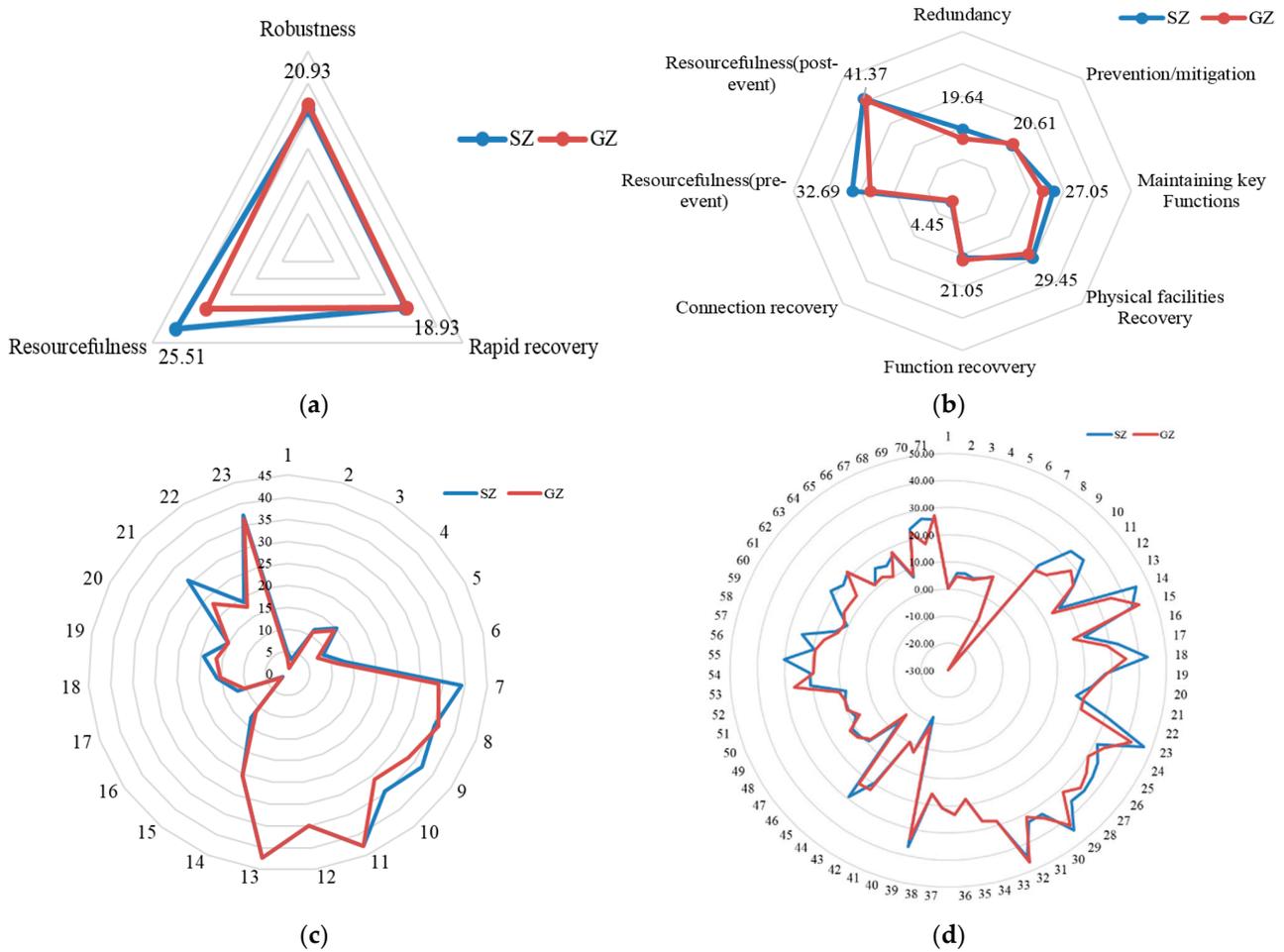


Figure 2. Resilience values of the indicators in (a) level 2, (b) level 3, (c) level 4, and (d) level 5. Note: The representations of the numbers in (c,d) are shown in Appendix A Tables A1–A3.

4.2. Comparison between the Two CUPS Cases

According to Figure 2, the four level indicators of SZ and GZ showed similar trends. However, some indicators were significantly different between the two CUPSs. The absolute resilience value differences of indicators of SZ and GZ in the four levels ranged from 0 to 12.69. The indicators with a difference of more than three are shown in Table 5, which demonstrates a distinctive distance.

Table 5. Indicators of SZ and GZ (difference ≥3).

Level	Indicator	SZ	GZ	Difference
Level 2	Resourcefulness	25.51	19.51	6.00
Level 3	Redundancy	19.64	16.38	3.25
	Maintaining key functions	27.05	23.78	3.27
	Resourcefulness (pre-event)	32.69	27.18	5.51
Level 4	Pre-risk event	39.3	34.07	5.23
	Arrival/departure	36.95	33.31	3.64
	Transfer	34.51	31.05	3.46
	Response	30.89	23.02	7.87

Table 5. Cont.

Level	Indicator	SZ	GZ	Difference
Level 5	Policeman	32.85	20.16	12.69
	Connection facilities operation support	34.10	28.13	5.97
	Air conditioner support	16.78	13.57	3.21
	Fixed fire extinguishing equipment	45.29	35.40	9.89
	Daily water supply and drainage equipment	21.16	17.29	3.87
	Emergency water supply and drainage equipment	32.69	28.84	3.85
	Violent terrorist attack prevention equipment	43.06	35.33	7.73
	Disaster prevention/mitigation planning	30.53	20.6	9.86
	Emergency rescue	47.11	42.21	4.90
	Design	34.41	30.19	4.21
	Facilities	35.96	31.47	4.50
	Function connection	−11.93	−8.26	−3.67
	System existing resources	19.37	22.55	−3.19
	System vulnerability	29.24	23.11	6.13
	Resource shortage	−4.45	−7.52	3.07
	Real-time communications	20.77	26.67	−5.90
	Security protection	30.28	19.33	10.95
	Disaster relief materials	25.15	16.89	8.26
	On-site capability	21.93	13.48	8.45
	Response equipment	19.67	13.48	6.18
Alternative operation sites	16.06	12.06	4.00	
Alternative equipment	14.63	10.03	4.60	
Resettlement resources	26.66	17.29	9.38	

According to Table 5, the indicators of resourcefulness in level 2, redundancy, maintaining key functions, and resourcefulness (pre-event) in level 3 showed obvious differences. Accordingly, obvious differences were present between some of the related subindicators in levels 4 and 5.

(1) Resourcefulness

As for resourcefulness, especially resourcefulness (pre-event), the results correspond to the practical contents obtained from the field investigation. SZ presented two advantages compared with GZ. One is in terms of security measures. Policemen are distributed in different key points of the station, including the entrances of stairs/escalators/elevators, the passenger aggregation nodes, etc. Another is that there are plenty emergency rescue stockpiles/equipment in an independent space in SZ, which guarantees emergency rescue. This is reflected in detail by the indicators in level 5, including system existing resources, system vulnerability, resource shortage, real-time communications, security protection, and disaster relief materials. The adequacy of prepared resources for disaster prevention/mitigation is reflected through the indicators of resource shortage and disaster relief materials, which results in reduced system vulnerability and a higher supply of security protection for CUPSs. The resourcefulness (post-event) of SZ was superior to that of GZ, which is reflected through the indicator response in level 4 and the indicators on-site capability, response equipment, alternative operation sites, alternative equipment, and resettlement resources in level 5. The post-disaster response ability mainly includes on-site capability and response equipment. The field investigation in the two CUPSs revealed that SZ has more on-site disaster response resources than GZ, including in terms of policemen and response equipment. In addition, there is an independent joint police service office in SZ to guarantee the station safety and an abundance of resources prepared for the post-disaster use, including alternative equipment and resettlement resources. However, the larger number and more complex system existing resources of SZ also showed high redundancy, which may lead to more difficulties in responding quickly to risk event compared with GZ. In terms of the aspect of alternative operation sites, the function distribution of SZ is divided into different floors, allowing supply from more alternative operation sites in case of disaster emergency in comparison with the concentrated distribution in GZ.

(2) Redundancy

The indicator redundancy demonstrates the components of CUPSs and the overlaps among them. Both stations have large numbers of components, including functional facilities, different kinds of equipment, and various connections among the components. According to the detailed indicators in level 5, SZ showed advantages compared with GZ, especially in the aspects of people and several types of equipment. In the aspects of people, the number of policemen is obviously higher in SZ than in GZ. As for equipment, the electric power equipment includes the indicators of connection facilities operation support and air conditioner support. The connection facilities among different transportation mode include the interfloor connections and passages in every floor. The connection facilities in SZ present the characteristics of having more interfloor connections and short passages than GZ, which are more convenient for daily operation and in emergencies. Moreover, the SZ connects to a commercial center, and the air conditioner support is systematically managed. Accordingly, the resilience values of these two indicators were higher for SZ than for GZ. The fire extinguishing equipment includes the fixed fire extinguishing equipment, and the water supply and drainage equipment includes daily water supply and drainage equipment as well as emergency water supply and drainage equipment. These facilities were judged according to the field investigation and the facilities in the east side and west side of SZ, which are more accessible than in GZ and could increase resilience in cases of fires and floods. In addition, the security equipment of SZ is superior to GZ for violent terrorist attack prevention in the aspects of number and category. All policemen in SZ are equipped with explosion-proof clothing compared with very few in GZ. Besides, explosion-proof tanks, anti-collision ball, and metal barriers are used in the two stations. The number of explosion-proof tanks is higher in SZ than in GZ due to the higher number of floors, with explosion-proof tanks distributed on every floor.

(3) Maintaining key functions

The indicator maintaining key function includes the two main functions of the stations, which are arrival/departure and transfer (indicators in level 4). In SZ, both the arrival and transfer functions are found in two floors on the east side, 1F and 2F, and the departure function in two underground floors on the west side of the station, B1 and B2. In the GZ, the functions of departure, arrival, and transfer are all on two floors, 2F and 3F. The division of the functions in different floors could promote risk diversification for the entire station. Therefore, considering risk resistance, SZ showed advantages over GZ in the aspect of spatial function design (level 5 indicator). In addition, the transfer functions of SZ are more convenient than GZ in the aspects of interfloor connections and instructions, such as that the instructions for the different transportation modes, the exits, etc., in SZ have very striking features that are easily understood by people, which are the details of facilities (level 5 indicator).

Besides the above three categories, in level 4, the indicator prevention/mitigation includes two subindicators, pre-risk event and during event, which demonstrate the risk prevention measures that are taken before a risk event and response measured during a risk event. In the aspects of disaster prevention/mitigation planning (pre-risk event) and emergency rescue (during event), SZ showed advantages with more professional rescue equipment. In addition, the indicator connection recovery in level 3 showed the lowest resilience values. This demonstrates that the connection recovery faces more potential difficulties. Connection recovery includes the internal connections and external connections, in which the function connection and transfer connection presented a negative relationship with the system resilience. For example, the number of function connections and function category are negative indicators of system resilience. The more connections, the more vulnerable the system. Connection recovery is a very important aspect of system operation, and thus some measures should be taken to guarantee the continuous operation of the system.

5. Discussion

5.1. Resilience Enhancement Measures

Based on the analysis and comparison of SZ and GZ, some resilience enhancement measures could be made accordingly and supply references for other CUPSs regarding security management.

- (1) Every key point of the CUPSs should be guarded by policemen.

The key points of the CUPSs include the entrances of stairs/escalators/elevators, the passenger aggregation nodes, etc. The security staff at every key point and should be able to master the dynamics of the security work at any time. It should also be possible to implement the emergency measures in time according to the emergency plan. The responsibility for each security staff in the key points should be clearly defined such that they can take responsibility for their jurisdiction. All sites are independent of each other yet also linked to each other to preserve the CUPS security.

- (2) Emergency rescue stockpiles should be placed in an independent space in the CUPSs.

Strengthening material reserves and ensuring emergency materials are important for emergency management. It is suggested that an independent space be established according to the characteristics of the CUPSs, which is then used for storing emergency rescue stockpiles, including loudspeakers, waterproof gear, fire extinguishers, metal barriers, rain shoes, etc. Moreover, a transportation guarantee mechanism should also be set up to ensure all kinds of material reserves can be placed in time.

- (3) Security equipment should be prepared adequately, especially violent terrorist attack prevention equipment.

Security equipment represents high importance in an emergency response, which can be evaluated according to number and category. The higher the progressiveness of the equipment, the more accurate the results of the security inspection, and the potential hazards can be minimized. Violent terrorist attack prevention equipment is particularly important considering the density of internal personnel and the complex, highly mobile, and restricted environment of the CUPSs.

- (4) Instructions for passengers, including directions to the safety areas, voice broadcasts, evacuation commands from professional staff or policemen, etc., should be legible, striking, and comprehensive.

The purpose of the passenger signage system is to guide passengers through the whole journey safely, smoothly, and quickly, so as to avoid the congestion caused by passengers stuck in the CUPSs. The direction of the safety area, voice broadcast, professional or police evacuation instructions and other guidance signs must be clear, eye-catching, and comprehensive, to guide passengers to leave the dangerous area smoothly.

- (5) The function connections and transfer connections are major recovery tasks, and there should be backup strategies for the connections to enhance system resilience.

The number and category of functional connection and transfer connection are negatively correlated with system resilience. The higher the number of connections, the more vulnerable the system. Connection recovery is important in ensuring system operation, and the recovery rapidity should be guaranteed to enhance the CUPS system resilience.

5.2. The Features of the Proposed Resilience Assessment Method

The proposed resilience assessment method has two favorable features, which are comprehensive consideration and convenience.

Firstly, we outline the feature of comprehensive consideration. In the established resilience assessment indicator system, the concept resilience is deconstructed from level 1 to 6. In total, 182 indicators are in level 6, which are operational and can be implemented or optimized by managers. The 6 levels of indicators allow comprehensive consideration of the CUPS characteristics and include the structure complexity, passenger characteristics, main facilities, disaster prevention/mitigation measures, main functions, post-disaster recovery categories, system resources for pre-event and post-event use, etc. In the existing research, such as in [10–12,22,23,45–48], the concept “resilience” is also classified into subdimensions from the angle of concept decomposition, which are the absorptive capacity, adaptive capacity, and restorative capacity. Nevertheless, there is no such comprehensive resilience indicator system established based on the three capacities.

The second feature of the proposed resilience assessment method is its convenience. Experts should be invited to determine the resilience impact scores of the indicators in level 6 and fuzzy complementary matrixes from level 5 to 2. Then, with the help of Matlab, the weights of indicators in every level could be obtained using FHAP. The system resilience value calculation only needs to input the resilience impact scores and weights from the experts’ judgments. The proposed resilience assessment method converts the qualitative indicators into quantitative system resilience values. The algorithm can be edited in Excel and implemented in an intuitive interface. Some comparable resilience assessment models have been used in the literature, such as in [12,30,49], and statistical methods such as factor analysis, principal component analysis, and multivariate regression analysis [50], as well as algorithms such as multivariate analyses [16], weighted regression and ordinary least squares regression [17,51], etc., that have complex formulas or algorithms. Therefore, the resilience assessment method proposed in this research has an intuitive interface and is relatively convenient to use.

6. Conclusions

Considering the complex system characteristics, this research proposed the concept CUPSs. CUPSs have been constructed in many urban areas in recent years. Due to their complex structure and crowds of people, ensuring the safe operation of CUPSs should be an area of focus. This paper investigated the safety of CUPSs from the perspective of resilience. The common features of CUPSs that are related to the building resilience were summarized in this research, which are required for resilience assessment and have not previously been documented. Then, this paper proposed a methodology for the resilience assessment of CUPSs. A comprehensive resilience indicator system for the quantitative resilience assessment of CUPSs was established, which supplies a firm foundation for resilience assessment. Based on the resilience indicator system, the methods RIS and FAHP were used to quantify the resilience indicators and obtain the system resilience value. The proposed CUPS resilience assessment methodology could be used by managers of CUPSs to assess the resilience and establish appropriate management measures. Some urban management policies could also be devised by policy makers according to the assessment results toward further guaranteeing public safety and increasing system resilience. Moreover, the results of research on the resilience assessment of CUPSs also provide insights and suggestions for practical ways to increase the sustainability of CUPS systems.

In the future, corresponding resilience assessment systems can be developed for specific types of CUPSs, such as railway stations and airports, with more targeted and accurate application of resilience assessment.

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Conflicts of Interest: The authors declare no conflict of interest.

Appendix A

Table A1. Resilience indicators of robustness.

Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
Resilience	Robustness	Redundancy	Functional facilities	Floors	1. Connection
					2. Area of every floor
					3. Total number of floors
					4. Number of underground floors
				Stairs	5. Connection
					6. Number
					7. Width
				Escalators	8. Length
					9. Connection
					10. Number
					11. Width
				Elevators	12. Length
					13. Running speed
					14. Connection
				Passages	15. Number
					16. Area
					17. Connection
				Turnstiles	18. Number
					19. Width
					20. Length
				Metal barriers	21. Connection
					22. Number
					23. Width
				Passengers	24. Connection
					25. Number
					26. Length
					27. Location
					28. Average daily passenger flow
					29. Maximum daily passenger flow
				People	30. Number of passenger aggregation nodes
					31. Location of passenger aggregation nodes
					32. Number
				Staff	33. Location
					34. Number
				Policeman	35. Location
					36. Police equipment

Table A1. Cont.

Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
				Connection facilities operation support	37. On-site backup generation 38. Internal generation 39. Connection
			Electric power equipment	Lighting support	40. On-site backup generation 41. Uninterrupted power 42. Internal generation 43. Connection
				Air conditioner support	44. On-site backup generation 45. Uninterrupted power 46. Internal generation 47. Connection
			Fire extinguishing equipment	Fixed fire extinguishing equipment	48. Connection 49. Number 50. Category 51. Location
				Mobile fire extinguishing equipment	52. Number 53. Category 54. Distribution location
			Water supply and drainage equipment	Daily water supply and drainage equipment	55. Connection 56. Daily inspection 57. Connection
				Emergency water supply and drainage equipment	58. Daily inspection 59. Amount of water supply and drainage equipment 60. Connection
			Security equipment	Violent terrorist attack prevention equipment	61. Number 62. Category 63. Daily inspection
				Security screening equipment	64. Connection 65. Number 66. Frequency
			Pre-risk event	Regular inspection and maintenance	67. Regulations for specific category of facility/equipment 68. Scope 69. Replacement cycle
		Prevention/Mitigation		Component replacement	70. Regulations for specific category of facility/equipment 71. Scope
				Disaster prevention/mitigation planning	72. Disaster monitoring planning 73. Disaster mitigation strategies 74. Contingency plan
			During event	Emergency rescue	75. Rescue resources supply 76. Professional rescue teams 77. Rescue time
				Temporary resettlement for victims	78. Temporary resettlement shelters 79. Life necessities distribution 80. Protection measures for resettlement areas
			Arrival/departure	Design	81. Management regulations 82. Arrival/departure procedures 83. Spatial function design 84. Backup plan
		Maintaining Key Functions		Facilities	85. Inter floor connection 86. Entrance and exit 87. Instructions
			Transfer	Design	88. Mode of transportation 89. Transfer convenience 90. Backup plan
				Facilities	91. Inter floor connection 92. Instructions

Table A2. Resilience indicators of rapid recovery.

Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
Resilience	Rapid Recovery	Physical facilities recovery	Repair	Independent	93. Capital
					94. Technology
					95. Personnel
				Coordinate	96. Recovery rapidity
					97. Capital
					98. Technology
			Reconstruction	Independent	99. Personnel
					100. Recovery rapidity
					101. Capital
				Coordinate	102. Technology
					103. Personnel
					104. Recovery rapidity
		Function recovery	Key functions	Original function level	105. Capital
					106. Technology
					107. Personnel
					108. Recovery rapidity
				Higher than the original functional level	109. Recovery rapidity
					110. Recovery rapidity
					111. Added function
					112. Recovery rapidity
			Additional functions	Lower than the original functional level	113. Reduced function
					Original function level
					114. Recovery rapidity
					115. Recovery rapidity
				Higher than the original functional level	116. Added function
					117. Recovery rapidity
					118. Reduced function
					119. Recovery rapidity
Connection recovery	Internal connections	Physics connection	120. Number of physics connections		
			121. Number of connected facilities' category		
		Function connection	122. Recovery rapidity		
			123. Number of function connections		
	External connections	Transfer connection	124. Number of connected functions' category		
			125. Recovery rapidity		
		Social influence	126. Number of connections with other urban functions		
			127. Recovery rapidity		
128. Decisive role for other urban functions					

Table A3. Resilience indicators of resourcefulness.

Level 1	Level 2	Level 3	Level 4	Level 5	Level 6
Resilience	Resourcefulness	Resourcefulness(Pre-event)	Awareness	System existing resources	129. System disaster response ability judgment
				System vulnerability	130. System risks identification
					131. System vulnerability assessment
					132. Amount of resource shortage
			Training and exercises	Resource shortage	133. Categories of resource shortage
					134. Access to resources
					135. Access for personnel
				System security plan training	136. Contents of training
					137. Type of training
				Emergency action training	138. Access for personnel
					139. Type of training
				Recovery action training	140. Access for personnel
				141. Type of training	
			Protective Measures	System security plan exercises	142. Type of exercises
				Emergency action exercises	143. Type of exercises
				Recovery action exercises	144. Type of exercises
		System Testing		145. Amount of resources	
				146. System skills	
		Real time communications		147. Communication equipment	
				148. Applicable distance	
				149. Protection rules for specific facility	
		Resourcefulness(Post-event)	Stockpiles	Facilities protection	150. Personnel assignment
					151. Personnel assignment
				Security protection	152. Security equipment
					153. On-site backup generation
			Response	Electric power	154. Duration of backup
					155. Amount of materials
				Disaster relief materials	156. Categories of materials
					157. Existing medical supplies
			Alternative sites	Medical supplies	158. Potential medical resources
				Food/water	159. Amount of food/water
					160. Distance
	161. Accessibility				
	162. Response ability assessment				
On-site capability	163. Response ability enhancement strategies				
	164. On-site equipment				
Response equipment	165. Equipment supply				
	166. Emergency action procedure exercise				
	167. Accessibility of the sites				
	168. Capacity of the sites				
	169. Accessibility of the sites				
	170. Capacity of the sites				
New resources	Alternative equipment	171. Capability to perform essential functions			
		172. Transportation support			
	Support	173. Communication support			
		174. Logistics support			
	Consideration of health	175. Sites disinfection			
		176. Sites sanitation			
	Rescue resources	177. Resources types			
		178. Resource availability			
	179. Resources types				
	180. Resource availability				
	181. Resources types				
	182. Resource availability				

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