

# Allometric Models for Estimating the Height of *Robinia pseudoacacia* L. in Restoration Plantations

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**Abstract:** In this study, we aimed to develop height growth models using forest data with the measured total height, bole height and diameter at breast height, targeting *Robinia pseudoacacia* plantations of various ages, located in restoration plantations of former mining areas of a lignite center in Northwestern Greece. To achieve this goal, 24 circular plots were randomly established in plantations of different ages. Eleven models were tested for data fit, and the selection of the models was based on three statistical criteria. The developed models revealed that black locust plantations grow well, thus being an excellent species for the restoration of former mines. There is no comparison between pre- and post-mining areas, thus site quality and other aspects are not taken into account; our models give a basis for assessments of areas affected by mining.



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**Keywords:** black locust; height–age models; height–diameter models; *Robinia pseudoacacia*

## 1. Introduction

European Union (EU) climate neutrality by 2050 is mapped out in the Green Deal policy, which is part of the EU's long-term plan. As a cornerstone of the plan, energy transition is essential, as is cutting greenhouse gas emissions, decarbonizing the energy sector, and using carbon sequestration to offset any greenhouse gas emissions that have already been released into the environment. Renewable Energy Directive II, a component of the European Green Deal, lays forth guidelines for the EU to reach a minimum 32% proportion of renewables in final energy consumption by 2030 [1]. As an alternative to the emissions-intensive fossil fuels, forest species have long been recognized for their potential contribution to improving carbon sequestration and carbon storage [2,3]. Even though forest plantations constitute just 7% of the world's forest area, they play a critical role in the preservation of landscape ecosystem services and contribute to landscape variety [4]. Since the Common Agricultural Policy (CAP) was adopted by EU countries in 1988, short-rotation forest plantings have been pushed as an element of the set-aside strategy to meet national and supranational objectives for climate neutrality, as well as to meet set-aside requirements under the CAP. Short-rotation forest plantations based on fast-growing tree species such as willow, poplar and black locust are developed across Europe, providing high biomass energy and fiber outputs [5], as well as capturing carbon, thus contributing to climate change mitigation [4].

Black locust, a natural tree species of North America [6], was transported to Europe and other areas of the globe, where it grew rapidly and became a popular hardwood species, despite being a non-native species [7–10]. It is a light demanding [8,10,11] and fast-growing species [12,13]. Moreover, black locust has wood of high density, exhibits high genetic variation, while it is tolerant to drought and air pollution [11]. It is considered

as an invasive species [8,10,13]; however, it has the advantage of coping with various soil conditions [9,14], and contributing to biodiversity in urban environments, agricultural landscapes and abandoned lands [9,15]. Black locust may be used for timber, poles, firewood, bee-keeping, feedstock, energy plantations, wood fiber and fodder production [16,17]. It is also used for erosion control and rehabilitation of areas disturbed by mining [9,18–22].

In Greece, black locust is widely used in urban areas and in reforestations, in the context of restoration of intensely disturbed ecosystems, mainly as a mean of erosion control. Furthermore, there is a national program of planting trees in marginal agricultural lands where in many cases black locust is used. As a novice alien species, black locust is considered a naturalized neophyte in Greece [23]. Even so, it is regarded as an economically significant multi-purpose species, as a nitrogen-fixing species for the restoration of degraded, marginal land and surface mine reclamation, erosion prevention and control, and carbon sequestration [24]. Under the 2080/92 Regulation (Community Aid Scheme for Forestry Measures in Agriculture), landowners were obligated to plant black locust trees in order to reduce agricultural waste and improve the environment. Furthermore, the Public Power Cooperation SA, Hellas (PPC) uses black locust to rehabilitate damaged coal mine areas [25,26]. Finally, black locust has been effectively introduced to rangelands to meet the grazing animal requirement when plants become dormant in the summer [27–30]. Animals benefit from the high nutrient content of the leaves and non-woody material produced by the black locust as a meal source [31].

Plantation silvicultural parameters must be precisely measured to provide long-term environmental and economic benefits, as well as a reduction in the vulnerability of short-rotation forest plantings to risks. Short-rotation plantations typically have a known stand age, but in the field, other important factors, such as diameter at breast height and height, are taken into account in the interpretation of stem volume, growth and forest structure. As obtaining accurate measurements in the field is expensive, time-consuming and labor-intensive, it is usual practice to measure just a sample of the trees in a permanent or temporary plot [32–37].

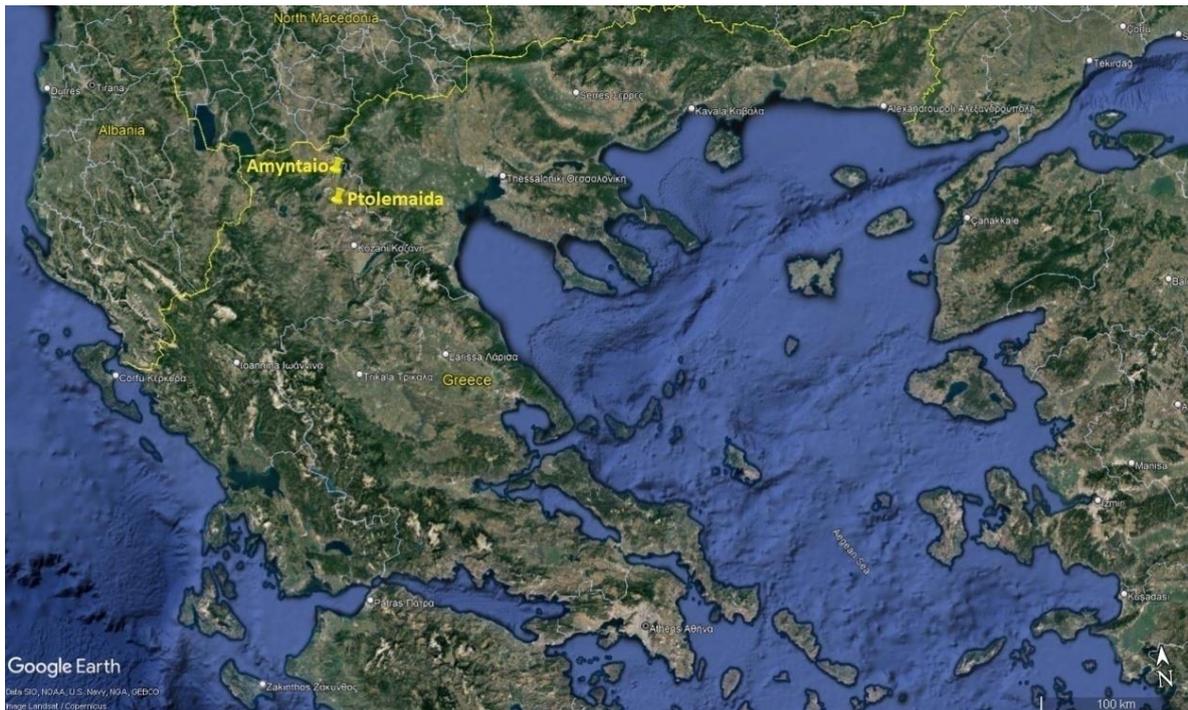
It should be noted that not all black locust plantations are short-rotation coppices. In Hungary, the mean rotation age of black locust stands is 30 years and the main crop volume ranges between 80 and 280 m<sup>3</sup>/ha [38]. In [11,20], they refer to a biomass production of 46.2 up to 68.1 tons/ha, in biomass plantations in marginal sites of Hungary at the age of 7 years.

There is no study regarding height growth and dendrometrical characteristics of *Robinia pseudoacacia* plantations in Greece. Using the observed height, diameter at breast height and age data over the black locust (*R. pseudoacacia*) plantations in former mining regions in Greece, height growth models (height–age and height–diameter models) were constructed. For surface–mine reclamation, a precise prediction of growth amount is crucial to this research, which will provide the foundation for rational forest management plans and management skills.

## 2. Materials and Methods

### 2.1. Study Area

The trees of black locust that had been planted in order to restore the former lignite mining areas of Western Greece and were studied in the context of this research, are placed in Amyntaio (40°35'27.56" N, 21°39'4.15" E) and Ptolemaida (40°28'58.18" N, 21°44'59.58" E) [39]. The location of these two areas is shown in Figure 1. Apart from *R. pseudoacacia*, *Spartium junceum*, *Cupressus sempervirens* L. var. *pyramidalis*, *C. arizonica* Greene, *C. sempervirens* F. var. *horizontalis*, *Pinus nigra* J.F. Arnold., *P. brutia* Ten. and various fruit species, were used to a much lesser extent. Other forest species that have been planted sporadically are *Cedrus atlantica* (Endl) Manetti, *Platanus orientalis* L., *Eleagnus angustifolia* L. and *Acer* spp. (according to the PPC archives). The mean annual temperature is 13.1 °C, and the total annual precipitation is 448.1 mm (meteorological station of Ptolemaida) [40].



**Figure 1.** Study country (locations of the two study areas are marked with yellow push pins).

## 2.2. Input Data

In the study area, 24 circular plots were randomly established in plantations of different ages. The ages were determined through the counting of the number of annual growth rings in cross-sections that were taken at the base of cut trees. Three plots were established in plantations of each age. The youngest age of a plantation where plots were established was 4 years old and the oldest age was 23 years old. In the plantations of 4 and 6 years old, the established plots had a radius of 8.92 m (area of approximately 250 m<sup>2</sup>), while in the rest of the plots, the radius was 12.62 m (area of approximately 500 m<sup>2</sup>). In the plots established in the plantations of 4 and 6 years old, each tree consisted of a group of sprouts.

In each plot, the total height and the bole height (height free of branches) of each tree were measured. Moreover, the trees were classified as dominants, codominants, intermediate or suppressed [41,42].

In cases where the trees consisted of group of sprouts (plantations of 4 and 6 years old) only the tallest sprout per tree was classified in the different crown classes, and measured.

For the development of height–age models, the following variables of dominant trees were measured:

- Total height of dominant trees  $H$  (m).
- Bole height of dominant trees  $H_b$  (m).
- Age of dominant trees  $t$  (years).

In addition to the total height, bole height was used as a dependent variable for assessing stand dynamics, because at young ages it is expected that bole height will increase the precision of the estimations [43].

For the development of height–diameter models, the following variables of dominant trees were measured:

- Total height of dominant trees  $H$  (m).
- Bole height of dominant trees  $H_b$  (m).
- Diameter at breast height  $D$  (cm).

The same observation regarding the young stands and the height of the trunk, applies here as well.

Basic statistics for the measured variables are given in Table 1.

**Table 1.** Summary statistics for input data (measurements on dominant trees).

	Mean	Standard Deviaton	Min	Max	N of Dominant * Trees
Total height $H$ (m)	12.24	3.08	6.00	17.50	537
Bole height $H_b$ (m)	8.51	2.67	2.00	14.00	537
Diameter at breast height $D$ (cm)	12.71	4.30	3.66	28.81	537
Age $t$ (years)	15.20	6.08	4.00	23.00	537

\* Dominant is a tree with a crown that extends above the general cover of crown cover, and its crown is not physically restricted from above, although possibly somewhat crowded by other trees on the sides.

### 2.3. Fitted Models

Eleven models were tested for fitting to data (Table 2), using the SPSS statistical package [44]:

**Table 2.** Models fitted to input data.

No.	Model's Name	Height–Age Models	Height–Diameter Models
1	Linear	$\hat{H} = b_0 + b_1 t$ $\hat{H}_b = b_0 + b_1 t$	$\hat{H} = b_0 + b_1 D$ $\hat{H}_b = b_0 + b_1 D$
2	Logarithmic	$\hat{H} = b_0 + b_1 \ln t$ $\hat{H}_b = b_0 + b_1 \ln t$	$\hat{H} = b_0 + b_1 \ln D$ $\hat{H}_b = b_0 + b_1 \ln D$
3	Inverse	$\hat{H} = b_0 + \frac{b_1}{t}$ $\hat{H}_b = b_0 + \frac{b_1}{t}$	$\hat{H} = b_0 + \frac{b_1}{D}$ $\hat{H}_b = b_0 + \frac{b_1}{D}$
4	Quadratic	$\hat{H} = b_0 + b_1 t + b_2 t^2$ $\hat{H}_b = b_0 + b_1 t + b_2 t^2$	$\hat{H} = b_0 + b_1 D + b_2 D^2$ $\hat{H}_b = b_0 + b_1 D + b_2 D^2$
5	Cubic	$\hat{H} = b_0 + b_1 t + b_2 t^2 + b_3 t^3$ $\hat{H}_b = b_0 + b_1 t + b_2 t^2 + b_3 t^3$	$\hat{H} = b_0 + b_1 D + b_2 D^2 + b_3 D^3$ $\hat{H}_b = b_0 + b_1 D + b_2 D^2 + b_3 D^3$
6	Power	$\hat{H} = b_0 t^{b_1}$ $\hat{H}_b = b_0 t^{b_1}$	$\hat{H} = b_0 D^{b_1}$ $\hat{H}_b = b_0 D^{b_1}$
7	Compound	$\hat{H} = b_0 b_1^t$ $\hat{H}_b = b_0 b_1^t$	$\hat{H} = b_0 b_1^D$ $\hat{H}_b = b_0 b_1^D$
8	S-curve	$\hat{H} = e^{b_0 + \frac{b_1}{t}}$ $\hat{H}_b = e^{b_0 + \frac{b_1}{t}}$	$\hat{H} = e^{b_0 + \frac{b_1}{D}}$ $\hat{H}_b = e^{b_0 + \frac{b_1}{D}}$
9	Logistic	$\hat{H} = \frac{1}{\frac{1}{u} + b_0 b_1^t}$ $\hat{H}_b = \frac{1}{\frac{1}{u} + b_0 b_1^t}$	$\hat{H} = \frac{1}{\frac{1}{u} + b_0 b_1^D}$ $\hat{H}_b = \frac{1}{\frac{1}{u} + b_0 b_1^D}$
10	Growth	$\hat{H} = e^{b_0 + b_1 t}$ $\hat{H}_b = e^{b_0 + b_1 t}$	$\hat{H} = e^{b_0 + b_1 D}$ $\hat{H}_b = e^{b_0 + b_1 D}$
11	Exponential	$\hat{H} = b_0 e^{b_1 t}$ $\hat{H}_b = b_0 e^{b_1 t}$	$\hat{H} = b_0 e^{b_1 D}$ $\hat{H}_b = b_0 e^{b_1 D}$

$\hat{H}$ : estimated total tree height (m),  $\hat{H}_b$ : estimated bole height (m),  $t$ : measured tree age (years),  $D$ : measured diameter at breast height (cm),  $b_i$ : regression coefficients,  $u$ : upper bound of the dependent variable.

### 2.4. Comparison Criteria for the Fitted Models

Three of the most common comparison criteria were used for the selection of the model of best fit (Table 3) [45]:

**Table 3.** Comparison criteria for selecting the model of best fit.

Criterion	Formula	Optimum Value
Coefficient of determination	$R^2 = 1 - \frac{\sum_{i=1}^n (\hat{H}_i - \bar{H})^2}{\sum_{i=1}^n (H_i - \bar{H})^2}$ $R^2 = 1 - \frac{\sum_{i=1}^n (\hat{H}_{b_i} - \bar{H}_b)^2}{\sum_{i=1}^n (H_{b_i} - \bar{H}_b)^2}$	1
Standard error of the estimate	$SEE = \sqrt{\frac{\sum_{i=1}^n (\hat{H}_i - H_i)^2}{n-p}}$ $SEE = \sqrt{\frac{\sum_{i=1}^n (\hat{H}_{b_i} - H_{b_i})^2}{n-p}}$	min
Root of the mean squared error	$RMSE = \sqrt{\frac{\sum_{i=1}^n (\hat{H}_i - H_i)^2}{n}}$ $RMSE = \sqrt{\frac{\sum_{i=1}^n (\hat{H}_{b_i} - H_{b_i})^2}{n}}$	min

$\hat{H}$ : estimated total tree height (m),  $\bar{H}$ : average measured total tree height (m),  $\hat{H}_b$ : estimated bole height (m),  $\bar{H}_b$ : average measured bole height (m),  $p$ : number of regression coefficients,  $n$ : sample size (count of sampled trees).

### 3. Results

#### 3.1. Height–Age Models

All models had statistically significant regression coefficients, i.e., not including zero in their confidence intervals. Based on the values for the comparison criteria, the quadratic model was selected for the estimation of both total height and bole height (Table 4, highlighted in green):

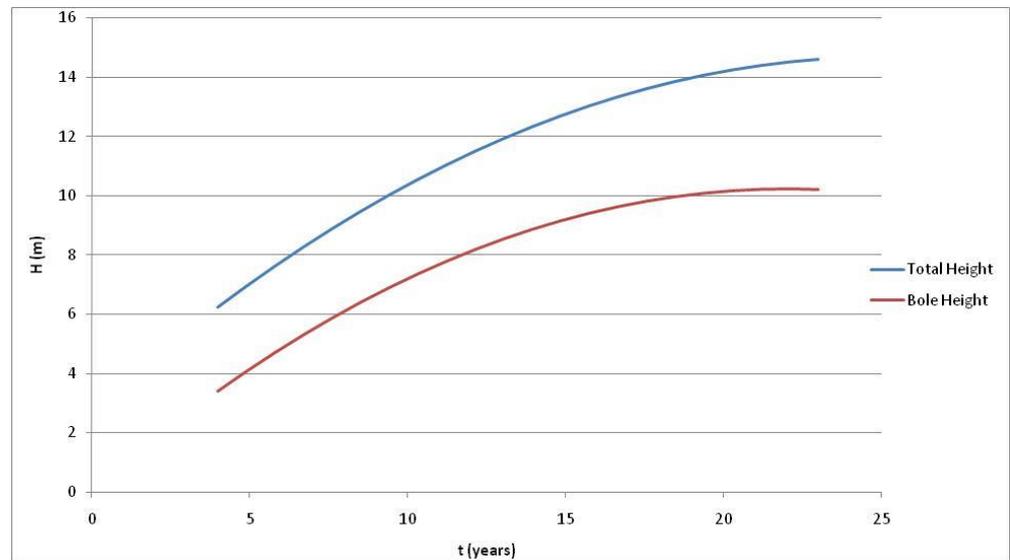
**Table 4.** Values for the comparison criteria for selecting the height–age model.

Model	Total Height Estimation			Bole Height Estimation		
	$R^2$	$SEE$	$RMSE$	$R^2$	$SEE$	$RMSE$
1	0.8135	1.3312	1.3275	0.7002	1.4646	1.4605
2	0.8343	1.2547	1.2512	0.7470	1.3453	1.3415
3	0.7624	1.5025	1.4983	0.7084	1.4444	1.4404
4	0.8529	1.1822	1.1852	0.7662	1.2933	1.2905
5	0.8300	1.2709	3.0994	0.7618	1.3054	1.6693
6	0.8368	1.2454	1.2443	0.7270	1.3975	1.4032
7	0.7574	1.5183	1.5469	0.6193	1.6504	1.7067
8	0.8101	1.3432	1.3552	0.7467	1.3462	1.3536
9	0.8453	1.2125	1.2139	0.6929	1.4823	2.4523
10	0.7574	1.5183	1.5464	0.6200	1.6488	1.7001
11	0.7574	1.5183	1.5465	0.6200	1.6488	1.7000

The selected models for developing the height–age curves in Figure 2, were:

$$\hat{H} = 2.736 + 0.953t - 0.019t^2$$

$$\hat{H}_b = 0.054 + 0.924t - 0.021t^2$$



**Figure 2.** Height–age curves for *Robinia pseudoacacia* in the study area.

### 3.2. Height–Diameter Models

All models had statistically significant regression coefficients, i.e., not including zero in their confidence intervals. Based on the values for the comparison criteria, the quadratic model was selected for the estimation of both total height and bole height (Table 5, highlighted in green):

**Table 5.** Values for the comparison criteria for selecting the best height–diameter model.

Model	Total Height Estimation			Bole Height Estimation		
	$R^2$	SEE	RMSE	$R^2$	SEE	RMSE
1	0.5615	2.0412	2.0355	0.5485	1.7973	1.7923
2	0.6499	1.8240	1.8189	0.6382	1.6089	1.6044
3	0.6428	1.8424	1.8373	0.6366	1.6124	1.6079
4	0.6860	1.7274	1.7250	0.6762	1.5219	1.5206
5	0.6825	1.7370	1.7851	0.6162	1.6571	2.3157
6	0.6060	1.9351	1.9514	0.5700	1.7539	1.7938
7	0.4612	2.2627	2.3567	0.4080	2.0581	2.2383
8	0.6669	1.7791	1.7826	0.6542	1.5728	1.5774
9	0.6336	1.8659	1.8874	0.5651	1.7639	2.1349
10	0.4603	2.2646	2.3661	0.4090	2.0563	2.2262
11	0.4603	2.2646	2.3662	0.4090	2.0563	2.2262

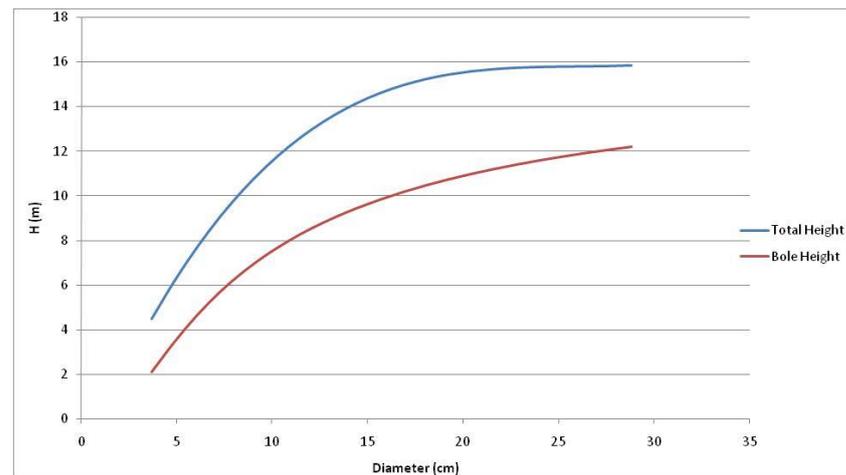
Regarding the selection of the quadratic model, this would lead to a parabolic curve, where the height decreases beyond a certain diameter (inflection point); this cannot represent a functional form for a height–diameter relationship. Such a curve would show a biologically illogical behavior and unreasonable estimates. This phenomenon should be considered when choosing a height–diameter model, in addition to data-related, statistical

criteria [46,47]. Having this in mind, the next best fit models, i.e., the cubic model (highlighted in blue), and the S-curve model (highlighted in pink), for the estimation of the total height and bole height, respectively, are selected.

The selected models for developing the height–age curves in Figure 3, were:

$$\hat{H} = -1.989 + 2.036D - 0.078D^2 + 0.001D^3$$

$$\hat{H}_b = e^{2.757 - \frac{7.373}{D}}$$

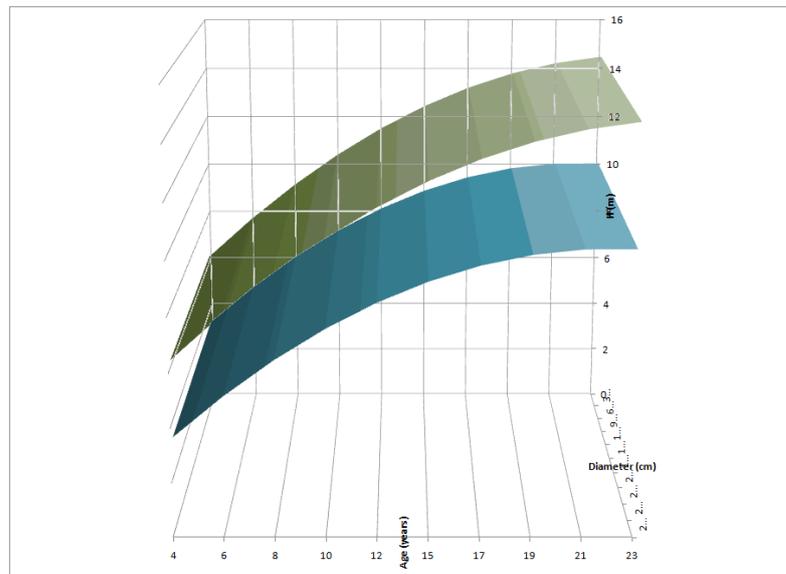


**Figure 3.** Height–diameter curves for *Robiniapseudoacacia* in the study area.

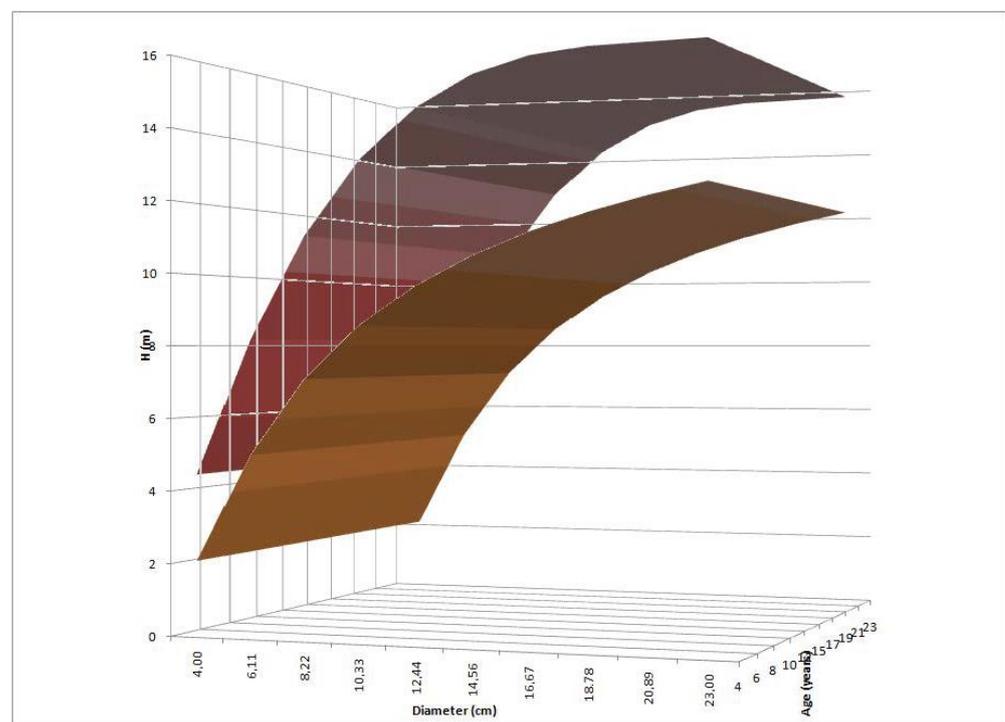
In Figures 4 and 5, the selected models are illustrated in a 3D graph including all variables (total height estimations, bole height estimations, age and diameter at breast height of the sampled trees). In both cases, i.e., total height and bole height estimation via age (Figure 4), and total height and bole height estimation via diameter (Figure 5), the estimated surfaces do not intersect, indicating that total height and bole height can be estimated simultaneously via age, and via diameter. This feature of the selected models is referred to as compatibility [48]. The two compatible model systems, which are composed of  $\hat{H}$  and  $\hat{H}_b$  base models (simultaneous models) are:

$$\text{Model system 1 : } \begin{cases} \hat{H} = 2.736 + 0.953t - 0.019t^2 \\ \hat{H}_b = 0.054 + 0.924t - 0.021t^2 \end{cases}$$

$$\text{Model system 2 : } \begin{cases} \hat{H} = -1.989 + 2.036D - 0.078D^2 + 0.001D^3 \\ \hat{H}_b = e^{2.757 - \frac{7.373}{D}} \end{cases}$$



**Figure 4.** Total height estimation (upper surface) and bole height estimation (lower surface), with estimation surfaces developed from the selected total height–age and bole height–age models.



**Figure 5.** Total height estimation (upper surface) and bole height estimation (lower surface), with estimation surfaces developed from the selected total height–diameter and bole height–diameter models.

#### 4. Discussion

According to height growth evaluation of the black locust plantations of this study, their growth pattern is comparable to the worst sites in Hungary, as described in [38]. Specifically, the height growth of dominant trees of our study is somewhat between those of IV and V yield class [38] from [11,49,50]. We note that “yield class I” corresponds to the best potential productivity of a stand, and “yield class V” to the worst potential productivity of a stand. In Nyírség, which is one of the best growing areas for black locust in the Carpathian basin yield class, the mean height of the main stand trees at the age of 20 years is 14.7 m for

yield class IV and 12 m for yield class V [49]. On the other hand, in selected cultivars in Hungary, the main stand trees have a mean height of 16 m at the age of 20 years for yield class IV and 14 m for yield class V [50].

However, the curve created in the present study, using the age and height of dominant trees as input data, cannot directly be compared with the aforementioned Hungary studies. All of them referred to the mean height of dominant and codominant trees in plantations that have been subjected to silvicultural treatments, such as tending operations, cleanings and thinning [38] from [11,49,50], where it is possible that trees with inferior height had been removed.

Nicolescu et al. (2020) [51] mentioned that dominant height in stands of black locust in Europe can outreach 20 m at the age of 20 m. Moreover, they present the minimum and maximum dominant heights in pure species stands at the age of 20 years in Romania, Belgium and France. The estimated height of the dominant trees of the present study is well above the minimum heights in Romania and Belgium. On the other hand, stands in France (Aquitaine Region) exhibit a minimum dominant height almost equal to the height estimated from our model for the age of 20 years.

The course of age–total height curve of the dominant trees in the studied plantations is impressive, if we consider that these plantations were established in deposits from lignite mining. The deposits are not considered as uniform and high differences in site productivity among them were expected. However, the selected age–total height model exhibits a very good fit with high coefficient of determination ( $R^2 = 0.85$ ).

Using the bole height instead of total height as a dependent variable did not improve the estimates as expected [43], since in young stands estimates of bole height using age are better than those of total height (Tables 4 and 5). There are some factors which could explain not only the absence of improvement of estimates in bole height but the observed deterioration in the coefficient of determination from age–total height to age–bole height model (Table 4). First of all, the older black locust plantations seem to be mature enough that the base of the tree crown no longer rises (see Figure 2). So, our models not only refer to young stands; moreover, the bole height is strongly influenced by the light availability inside the plantations, since low light leads to self-pruning [41,52]. The light availability in the plantations is strongly related to their density. In the studied plantations, the planting spacing was variable in different plantation ages. The plantation density range where the data were collected was 1113–3947 (living trees)/ha. That, in combination with the fact that no silvicultural treatment took place in the plantation, led to the conclusion that the variability of light conditions was very high in the areas where the measured black locust trees grew. Thus, the coefficient of determination ( $R^2 = 0.76$ ) of the selected age–bole height model was lower than that of the selected age–total height model. However, it is still high.

In the case of diameter–total height and diameter–bole height selected models the coefficients of determination ( $R^2 = 0.68$  and  $R^2 = 0.65$ , respectively) were lower than those of age–height selected models, but they are satisfactory and acceptable. In fact, they are high for diameter–height models developed for plantations of various densities (see above). The tree density (competition conditions) strongly affects diameter growth, while height growth is, to some extent, independent of stand density [41].

Spyroglou et al. (2021) [26] found an average biomass of 57.6 t/ha in the black locust plantations in former mining regions of the investigated lignite center in Northwestern Greece, and they mentioned that the accumulated biomass of the plantations exhibits a potential to improve the footprint of carbon in the region.

The characteristics of the developed allometry models show that dominant trees grow very well (as mentioned above for age–total height curve) in deposits from lignite mining. Based on that, we can conclude that black locust is a perfect candidate species for the restoration of degraded substrates as deposits from mining operations.

In both cases of estimation (total height estimation via age and bole height estimation via diameter), the estimated surfaces do not intersect (Figures 3 and 4), indicating compatibility of the models estimating the total height and bole height. Thus, the developed models

provide accurate estimations of total and bole height of dominant trees in the studied and in analogous sites plantations and can be used as a tool for the better understanding and analysis of stand structure of the species formations [53,54] in degraded sites.

The analysis and understanding of stand structure of black locust plantations is crucial for the development of the proper silvicultural system for the achievement of our management goals [55].

Either our management goal is wood production through plantation forestry, or the conversion of plantations to second growth mixed with other species stands or the reduction—elimination of the invasive black locust presence in adjacent natural ecosystems, the developed models can be a useful tool for forest practice in the studied sites and in plantations of analogous sites.

More research is needed regarding the stand structure and growth of plantations—reforestations of black locust in Greece in order to increase our knowledge on the species performance in various sites. Site quality and other criteria are not examined, because there is no comparison between pre- and post-mining sites; our models serve as a foundation for evaluating mining-impacted areas. To reiterate what we have said so far, the results of our study can be applied locally as well as elsewhere, applying a well-known methodology regarding height growth modeling.

## 5. Conclusions

The characteristics of the developed allometry models show that dominant trees grow very well in deposits from lignite mining. So, black locust is a perfect candidate species for the restoration of degraded substrates as deposits from mining operations. In both cases of estimation (total height estimation via age and bole height estimation via diameter), the estimated surfaces do not intersect, indicating compatibility of the models estimating the total height and bole height. Thus, the developed models provide accurate estimations of total and bole height of dominant trees in the studied and in analogous sites plantations and can be used as a tool for the better understanding and analysis of stand structure of the species formations in degraded sites. Because there is no comparison between non-mining and post-mining areas, site quality and other factors are not considered; our models serve as a foundation for assessing mining-impacted areas. The results of this work can be used both locally and in other similar sites, in terms of height growth modeling.

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