

## Article

# Landscape and Settlement over 4 Millennia on the South Side of Lake Issyk Kul, Kyrgyzstan: Preliminary Results of Survey Research in 2019–2021

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**Abstract:** This paper discusses the preliminary results of archaeological surveys conducted in the Juuku Region of north-central Kyrgyzstan on the south side of Lake Issyk-Kul. Our goal was to document ancient and contemporary agropastoral systems over a four-millennia period. During the surveys, about 350 loci were identified as settlements, burial mounds, graves, single artifact finds, and artifact scatters (ceramic). The areas of Juuku Valley surveyed included two discrete polygons: Polygon 1, Lower Juuku at 1750 to 1950 m asl in elevation and Polygon 2, Chak Juuku or Upper Eastern Branch Juuku Valley at 2060 to 2100 m asl in elevation. Three radiometric dates and preliminary archaeobotanical studies were conducted at three exposed profile cuts. The methods included here are: (1) pedestrian surveys; (2) use of digital maps (Google Earth, Nakarte); (3) placing archaeological loci within known chronological time periods; (4) AMS dating of charcoal samples collected from profile deposits; and (5) preliminary identification of plant remains found from archaeobotanical samples. The results of our research represent the first step toward inventorying and interpreting archaeological data in the Juuku Valley derived from field studies.

**Keywords:** archaeological survey; Inner Tian Shan Mountain region; iron age; medieval period; agropastoralism



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## 1. Introduction

We investigate the impact of ancient farming and herding systems upon the natural landscape of a river valley and alluvial fan of the Inner Tian Shan Mountain region over a four-millennia time period. The Inner Tian Shan region is an important part of the historically known trade and migration routes described as the proto-silk routes and by others as the Inner Asian Mountain Corridor [1] linking the desert-oases of Central Asia, the Eurasian steppe, and the territories of the Chinese Dynasties from the Bronze Age (ca. 2500 BCE to 900 BCE) through contemporary times. This article reports on the preliminary results of pedestrian surveys conducted in 2019 and 2021 in the Juuku Valley on the south side of Lake Issyk-Kul (Figure 1). This landscape ranges from high alpine meadows surrounded by conifer forests to semi-arid grass-covered steppe lands. Our working hypothesis is that ancient farming and herding practices along with human settlement over a landscape were shaped by the local climate and environment, while in turn such human activities altered those natural landscapes. To test this hypothesis, we chose two sample polygons in the Juuku Valley for field survey, one in the upper valley and the other in the lower reaches. Our objective is to examine the long-term effects of land clearing for farming and herding as well as the alteration of land surfaces through the construction of architectural features. The results of our field seasons include an inventory of approximately 350 activity loci. We collected radiocarbon samples and sediment samples from three site profiles. The soil samples have been analyzed by archaeobotanists who

identified the remains of ancient seeds. These preliminary results contribute to the larger discussion of Late Holocene human impact upon the mountainous regions of Central Asia. As such, this is the first step toward creating site inventories that can be used as future planning tools for land development, cultural heritage, and the preservation of natural landscapes in the Issyk-Kul basin.



**Figure 1.** Locator map of Kyrgyzstan. Kizil Suu is the study region.

New forms of evidence for explaining the trade, communication, and migration networks of the intermontane regions of Central Asia and their importance to the Eurasian steppe have included detailed archaeobotanical research tracing the pathways of domesticated plants [2–6]. Ancient DNA studies have traced human population movements [7–9], while isotope analyses of ancient human and animal bone materials have documented mobility and dietary practices [10,11]. These laboratory studies on ancient plant, animal, and human remains augment a rich inventory of material culture from archaeological settlements. Our research turns to a more mundane but important strategy for examining ancient mobility patterns in a single valley area. Since the 1990s, archaeologists have used basic survey methods for finding and inventorying archaeological sites in the Tian Shan mountains and surrounding region for the Iron and Bronze Age materials in the neighboring Republic of Kazakhstan [12–17]. In Western Tian Shan, ethnoarchaeological observations on the seasonal movements of pastoral transhumance have noted the use of winter and spring/autumn camps, thus suggesting that the Bronze Age agropastoral site of Adunoqiaolu was also occupied during winter months [18,19]. Survey research at Juuku Valley serves as an excellent contrast to previous archaeological survey research on the alluvial fans of the northern Tian Shan range [12,14,15].

In 2018 and 2019, archaeological surveys in the Kochkor Valley of the Inner Tian Shan have been conducted [20,21]. Lynne Rouse and her colleagues [20,21] have undertaken UAV surveys and GIS mapping in the Kochkor Valley, also situated on the south side of Lake Issyk-Kul. The objectives of their surveys have been to record upland archaeological features dating from the Bronze Age through Medieval periods in conjunction with archaeological excavations conducted at the upland site of Chap at 2000 m asl. that have deposits dating from 1065 BCE to 825 BCE. Rouse and her colleagues intend to document the Inner Asian Mountain corridors along this important passageway of the Inner Tian Shan range [20]. During the Late Bronze occupational phase, archaeobotanists discovered ancient seeds of *Hordeum vulgare* (hulled and unhulled barley), *Triticum* (free-threshing and possible glume wheats), *Panicum miliaceum* (broomcorn millet), *Setaria italica* (foxtail millet),

and *Pisum sativum* (pea) [22–24]. Indeed, current research on plant and animal remains and material culture throughout Central Eurasia have demonstrated the importance of agropastoralism from the Bronze through Medieval periods [25].

The goal of our preliminary studies of the Juuku Valley is to develop a set of hypotheses and methods using archaeological survey for examining the long-term evolution of agropastoral systems. From the Bronze Age through the historic period, over four millennia during the late Holocene, people have practiced agriculture and pastoralism in regions of Central Asia [14,23,25]. The purpose of these preliminary surveys are to discover when and where certain groups of people (Andronovo, Saka, Wusun, Turkic, Medieval Qarakhanid, and Ethnographic Kirghiz) were inhabiting this valley. In nearby regions, the changes from the mixed herding and farming systems of the Bronze and Iron Ages to irrigated farming systems in combination with pastoralism during the Medieval and historic periods have had a significant impact. Our goal is to outline how this evolutionary process may have taken place in a single valley of Central Asia.

## 2. Materials and Methods

### Study Area

The environmental setting and physical landscape features of the Juuku Valley (see Locator Map, Figure 2) are also important for documenting both anthropogenic and nature-induced changes in the Juuku Valley during the late Holocene. The Juuku Valley is a small intermontane valley formed by the mountain streams flowing southward to Lake Issyk-Kul. The lake itself is fed by 102 streams and rivers and fluctuates 20 cm in water level due to glacial melt [26]. The main glacier peak of this valley is It Tash (elevation 4808 m) and the entire valley extends 50 km north towards the southern littoral of Lake Issyk-Kul. The geology of Juuku Valley is similar to that of Dzhety-Ogyuz valley to the east [27]. The Paleozoic granites and metamorphic rocks are the foundation for the Dzhety-Ogyuz valley and neighboring valleys. Overlaying these granites are Jurassic quartzites. The Eocene and Pliocene deposits consist of a series of red sandstone formations. The surface alluvial deposits of indeterminate age include gravels, pebbles, sand, and loam. The valleys and gorges on the south side of the Issyk-Kul basin have been subject to frequent earthquake disturbances, many impacting Medieval settlements [28,29].



**Figure 2.** Locator Map of Juuku Valley. The lower cluster of points is Upper Juuku, and the upper cluster of points is Lower Juuku.

The vertical zonation below the glaciers consists of rocky terrain with some traces of desert-like vegetation. From 3400 to 3000 m there are grassy meadows and a sub-alpine

climate and at elevations of 3000 m to 2000 m, Tian Shan spruce trees surround meadows of perennial grasses and shrubs. This is the upland zone (2000 to 3000 m) currently used by Kyrgyz herders for grazing sheep, goats, cattle, and horses and for cultivating small fields of barley and fodder crops. In our study area, this upland zone is demarcated as the Upper Juuku Valley. From here, the gorge opens into the Lower Juuku, an alluvial valley consisting of terraces and benches above the streams and rivers (an area of about 10.5 sq km). Below this alluvial valley is a large alluvial fan (44 sq km) where the Juuku River empties into Lake Issyk-Kul. The Lower Juuku area today has large, irrigated fields of wheat, barley, oats, alfalfa and hay; flocks and herds of animals graze along the edges of the fields and in stubble areas. Along the far reaches of the alluvial fan near the shoreline of Lake Issyk-Kul (ca. 1600 m) there are marshlands and rich pasture areas.

Two sample polygons in the Juuku Valley were chosen for intensive survey because they appeared to have a high density of burial mounds constructed of stone and/or earth (kurgans) and architectural features (Figure 2). We chose these two polygons because they represent two different vertical zones. Polygon 1 in the Lower Juuku Valley, an area of 6.4 sq km, is situated in the productive zone of wheat, barley, oats, fodder plants, and winter grazing in 1750 to 1900 m asl. The 6.4 sq km area is approximately half of the total alluvial valley of the Lower Juuku Valley. Below this alluvial valley is a large fan that reaches the southern shoreline. Polygon 2 in the Upper Juuku Valley, with an area of 0.5 sq km, consists of a series of terraces and a narrow floodplain with steep colluvial deposits along the eastern branch of the Juuku River. Polygon 2 is situated in the zone of summer pasture area, tourist camping, fishing spots, and forest service reserves at elevations from 2000 m to 2100 m asl. The pedestrian surveys conducted by a team of three field archaeologists were aided by inspection of imagery from Google Earth, Soviet maps, and other digital maps (Nakarte). The loci were recorded using Garmin GPS units. Each locus was recorded by coordinates, described, and photographed in the field. From detailed notes, Excel spreadsheets were created for all site and artifact loci. During 15 field days in 2019 and 30 field days in 2021, we amassed an inventory of over a total of 1000 loci from the Kizil Suu, Saruu, and Juuku Valleys.

In addition to surface survey, we also recorded archaeological features such as pits, house structures, storage pits, and fire pits found in exposed stratigraphic profiles. Many of the exposed stratigraphic profiles were erosional or river cuts or the result of road construction and farming activities. Three stratigraphic profiles found at settlement sites were selected for more detailed analyses. Radiocarbon samples and soil samples for archaeobotanical analyses were taken from these three exposed profiles, one in the Lower Juuku polygon and two in the Upper Juuku polygon. In Polygon 1 (Lower Juuku), at Loci 387, large burnt wood samples for radiometric dating and an archaeological soil sample of 17 L were collected from a house pit. In Polygon 2, two stratigraphic profiles from two different erosional cuts were identified as archaeological house pit fills containing mudbrick remains, plastered floors, and midden deposits. At the Settlement 1 profile, 14.5 L of soil was collected for archaeobotanical analyses, and a small charcoal sample was removed for radiometric dating. Then, at the Settlement 2 profile, 11.5 L of soil was collected for archaeobotanical analyses, and a charcoal sample was removed for radiometric dating.

The wood charcoal samples (species unknown) were collected from the three profiles by the field archaeologists. Radiometric analyses were conducted at the Beta Analytic Laboratory (Coral Gables, FL, USA) using AMS methods. The samples taken from the three profiles were wood charcoal pieces. The reporting on these results includes conventional radiocarbon dating and the  $\delta^{13}\text{C}$  ratio that can be used by other researchers in the future according to conventional standards [30]. Wood charcoal was dated because the field researchers did not recognize the seed material in the initial sample collection. The authors are aware of old wood effects and in future publications will date carbonized seeds as well as wood charcoal.

The soil samples were processed in the Republic of Kyrgyzstan using a SMAP flotation machine with mechanized agitation to wash sediments. The agitation process washes the

archaeological sediments so that organic materials are caught in the overflow spout in geological sieves of 0.344 mm mesh. These organic materials are referred to as the light fraction, and then sieved with mesh sizes of 2.00, 1.40, 1.00, and 0.50 mm. When sorted, this fraction contains carbonized seeds, pips, leaves, and other remains. The heavy fraction was collected and sieved from 1.4 mm to 1.0 mm mesh. Both heavy and light fractions were sorted using atlases for seed identification [31,32] by two archaeobotanists at the Max Planck Institute for Human History, Archaeobotany Laboratory [33]. Preliminary archaeobotanical and radiometric analyses of these three stratigraphic columns represent very preliminary data that shall be used for refining a regional chronology for settlements and for designing a more comprehensive research study of human land use along a vertical gradient.

### 3. Results

During the 2019 and 2021 surveys, we registered about 350 loci (single artifact finds, sherd scatters, graves, burial mounds (kurgans), house foundations, and house depressions in the Lower Juuku and Upper Juuku). These loci were also placed in chronological sequences based on local typologies for burial monuments, settlements, and artifacts (ceramics). Our initial observations suggest that the large Medieval settlements (fortresses, citadels, caravanserais, and proto-urban towns) found in Juuku and the neighboring valleys of Sutti Bulak, Chichi Khan cover over and obscure earlier Bronze and Iron Age settlements. When both Medieval and Iron Age ceramic sherds are found on the same land surfaces and loci this indicates that later Medieval deposits cover over and obscure earlier Iron Age settlement features. The prominent appearance of above-ground mortuary features Iron Age burial mounds (kurgans), often 5 to 50 m in diameter and 0.1 to 3 m or more in height [20,21]. These burial mounds are often located near Medieval walls, farmsteads, and dwellings, therefore, marking clear boundaries between Medieval settlements and Iron Age mortuary complexes. Logically, this also means that the places where Iron Age burial mounds exist today are landscapes that were not used by later Medieval populations for house or settlement construction. These landscape palimpsests are essential to developing a deeper and more nuanced approach to site and non-site archaeology in regional contexts [34–36].

#### 3.1. Chronology

During the survey, we established a local historical chronology based on archaeological and historical sources from the Tian Shan Mountain and surrounding regions. These phase designations are based on archaeological research conducted in Kyrgyzstan over the past one-hundred years on settlements, burial mounds, graves, and artifact collections throughout north-central Kyrgyzstan and the Semirech'ye region of southeastern Kazakhstan [36–38]. More recent kurgan imagery from UAVs from the Kok Sai area of the Kochkor Valley have been documented [21] (p. 12). Gino Caspari [39,40] has used Google Earth, Worldview2, and Ikonos imagery to record the looting and destruction of Iron Age burial mounds in Xinjiang. During the Soviet period, Vinnik identified about 17 Medieval period settlements along the SW coast of Lake Issyk-Kul [41]. Medieval sites are most easily identified by standing mudbrick walls, large enclosure walls, and standard measurements for tortuls or caravanserais [42]. Literature searches assisted us in placing our survey findings into these chronological and phase designations [38,41], see Table 1.

In this section we discuss the survey results and include some preliminary descriptions and results of three stratigraphic profiles. Each survey polygon is a self-contained unit of analysis so as not to confuse the reader. We chose each polygon on the basis of its location on the vertical gradient.

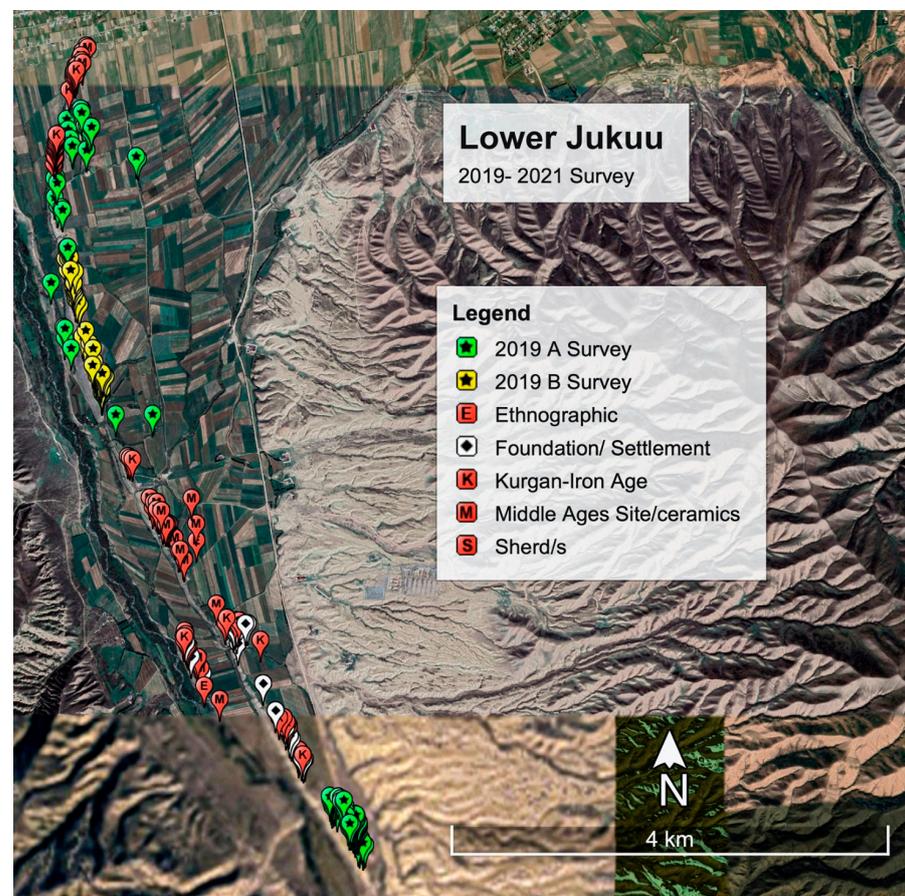
#### 3.2. Polygon 1

Polygon 1 is a survey area (ca. 6.4 sq km) located on the Lower Juuku alluvial valley where the terraces rise about 30 m above the entrenched stream bed and is situated about 6 km south from the shores of Lake Issyk-Kul (Figure 3). Today, the upper alluvial valley

is farmed by tractor and heavy equipment where large, irrigated fields are cultivated in crops of wheat, barley, oats, and fodder crops. The 323 loci documented in the 2019 and 2021 surveys are found between 1750 m asl and 1950 m asl. There is a density of 50 loci per sq km.

**Table 1.** Time Periods, Phase Designations, and Dates used for the Juuku Valley Survey.

Time Period	Phase Designation	Dates
Late Bronze Age		2000 BCE–900 BCE
	Final Bronze	1100 BCE–800 BCE
Iron Age		800 BCE–550 CE
	Saka	800 BCE–260 BCE
	Wusun	140 BCE–437 CE
	Kenkol (only in TianShan)	200 CE–550 CE
Medieval Period		500 CE–1500 CE
	Turkic Period	552 CE–900 CE
	Qaraghanid	942 CE–1228 CE
Early Kirghiz		1500 CE–1700 CE
Kirghiz Ethnographic Period		1700 CE–Present
Soviet Period		1917–1991
Post-Soviet, Kyrgyz Nation		1991–



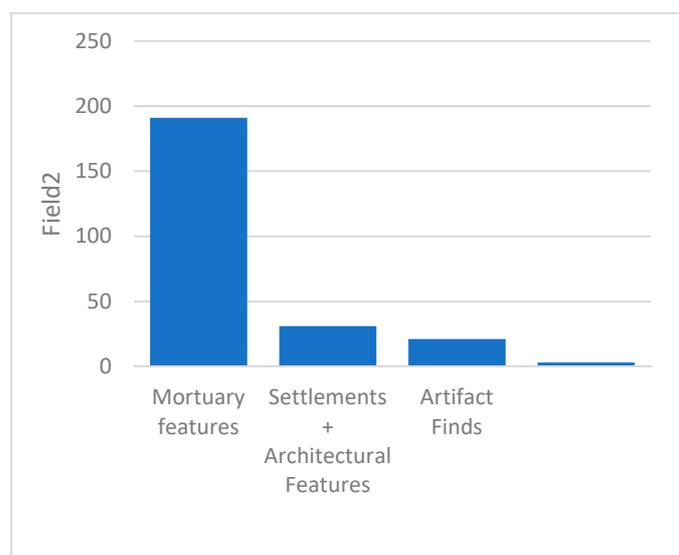
**Figure 3.** Lower Juuku, Google Earth Image. Survey Data.

### 3.2.1. Site Types Found in Lower Juuku

Most loci found from survey were mortuary remains: 192 mortuary remains (burial mounds, graves, and 1 mausoleum) were found, a total of 31 settlement and architectural features (17 settlement sites), and 21 artifact finds (Figure 3).

### 3.2.2. Settlements in Polygon 1

A total of 17 settlements have been identified in Lower Juuku (Figure 4). The settlements assigned to specific time periods according to ceramic and artifact finds in addition to architectural features such as the citadel (shakristan), the surrounding residential areas (rabat), stone foundations, and room or house depressions.



**Figure 4.** Histogram of site types in Lower Juuku: mortuary features, settlements and architectural features, and artifact finds. The x-axis represents types of loci, and the y-axis or Field 2 is the number of loci.

### 3.2.3. Locus 387: Historic or Ethnographic Kirghiz House

One site (Locus. 387, Table 2) is a large settlement located on the eastern bank above the lower Juuku stream at an elevation of 1892 m asl. The settlement is located above the road cut. On the surface, there is one visible single room foundation that is probably part of a much larger settlement. The single row of rocks is rectangular in form, about 4.5 m east–west by 5.5 m north–south. Inside the stone wall foundations is a depression. The profile section, located along the south bend of a road cut, is 4.5 m in length and 1.4 m in depth from the present ground surface (Figure 5). In the profile is the house fill of a structure built of mudbrick that was destroyed by a bulldozer cut when building the dirt road. The humic layer covers a thick layer of mudbrick (about 20 cm thick). Underneath the humic and upper mudbrick layer is a thick midden deposit about 40 to 60 cm thick. This midden layer consists of a thick lens of cultural material including animal bones (cattle) and chunks of charcoal, some as large as 1 cm in diameter. No artifacts were found in the profile, although coarseware ceramic sherds were found on the ground surface near the road cut. The flotation samples were taken from this thick cultural level about 60 cm from the present ground surface along with over 10 g of burnt wood (charcoal) for radiometric dating. At 60 cm to 1 m below the present ground surface was a thick layer of mud brick foundations, yellow buff in color. This mudbrick layer may have been the original floor level because below the mudbrick were large river cobbles probably used as foundation stones. Upon initial inspection, we identified the site as a Medieval settlement. The reason we believed the site to be from the Medieval period was because of the redware sherds found near the road cut. However, the radiometric dating places it within the ethnographic

Kirghiz period = (*floruit* 1682–1932 cal CE). In the opinion of the researchers, it is doubtful that the wood charcoal samples were contaminated by either natural or cultural forces due to the intact stratigraphy found in this road cut. In stratigraphic profiles where there are not actual index fossils (diagnostic sherds and metal artifacts) it is easy to misjudge the dating of a house pit, especially when ethnographic houses are also constructed of stone foundations and mudbrick walls, the same materials used to construct Medieval houses.

**Table 2.** Results from Radiocarbon Sample of Locus 387, Lower Juuku, Settlement 1.

Lab. ID #	Sample ID #	Material/ Pretreatment	d13C o/oo (IRMS)	Conventional 14C Age	Calendar Calibration of Radiocarbon AGE to Calendar Years
Beta-6093781	Locus 387	(charred material): acid/alkali/acid	−26.5	110 +/− 30 BP	95.4% probability: (68.6%) 1800–1938 cal AD (150–12 cal BP) (25.7%) 1682–1738 cal AD (268–212 cal BP) (1.2%) 1754–1762 cal AD (196–186 cal BP)



**Figure 5.** Photograph showing the Profile of the Kirghiz Ethnographic Period Settlement.

These are AMS (accelerated mass spectrometry) dates. The accuracy is at 95.4%, and each portion or percentage represents the range (or ranges) with an associated probability of an identifiable timescale [30]. IRMS is the method of measurement used at the Beta

Analytic Laboratory. The results were calibrated using INTCAL20 [43]. This measurement found in Column 4 ( $\delta^{13}C$  o/oo) can be used by future investigators to recalibrate these results should new calibrations be established.

### 3.2.4. Preliminary Archaeobotanical Results from Locus 387

A caveat is in order here. Most archaeobotanical studies conducted at sites such as the Chap site, a Late Bronze Age site and Paykend, or a Qarakhanid Medieval site depend on the collection of large samples of archaeological sediments, sometimes entire house fills or pit fills [22,44]. Our soil samples are very small and can only be considered as preliminary in nature. Thus, the results from the flotation of these samples must be interpreted as preliminary results and shall be reported upon in greater depth in another publication. From the 17 L sample, the archaeobotany team discovered a total of 41 seeds, the majority being wild plants. The field crops included barley (*Hordeum vulgare*), wheat (*Triticum aestivum*), and peas (*Pisum sativum*), along with the major component of carbonized chenopods and weed seeds of wild *Fabaceae* and grasses (*Poaceae*) [33].

### 3.3. Polygon 2

Polygon 2 or Upper Juuku (Chak Juuku) is 20 km from the edge of the lake and is a narrow valley that consists of dissected terraces on either side of the eastern branch of the Juuku Gorge, a section where red sandstone formations form the steep gorge (Figure 6). This area was chosen for intensive survey due to its location on a terrace above the eastern branch of the Juuku Stream at an elevation about 300 m higher than the Lower Juuku survey polygon. This survey area consists of the two banks (east and west) of the Eastern Juuku stream and is about 0.5 sq km in area. Approximately 37 loci were identified per 0.5 sq km. The loci range from 2060 m asl to 2100 m asl. The natural vegetation includes semi-arid shrubs and grasslands with pockets of spruce in the higher elevations and willows and aspens and other riparian species along the stream banks.

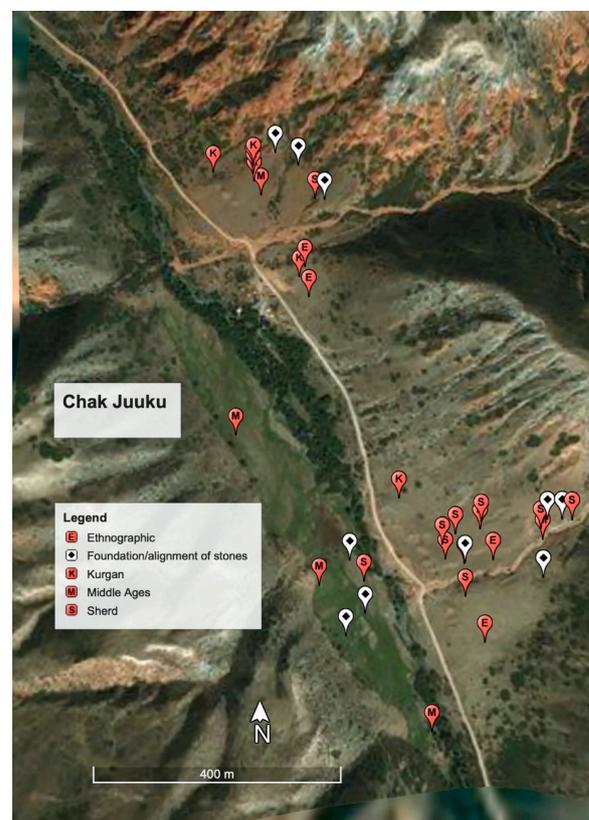


Figure 6. Upper Juuku (Chak Juuku), Google Image, Survey.

In Table 3 we describe the settlements, burial mounds, graves, and artifact finds in the Chak Juuku area. In addition, there are two other settlements of unknown age (Loci 184 and Loci 185) found in the vicinity of the Settlement 1, the Wusun period site. It is possible that these stone foundations could be associated with Settlement 1, although no temporal indications such as ceramic sherds were found at these settlements.

**Table 3.** Sites and Finds from Upper Juuku by time period.

Chronological Period	Settlements	Mortuary Remains	Artifacts
Ethnographic Kirghiz Period (1700 CE to Present)	Stone corral with possible room blocks	Group 1 * (10+ stone graves Locus 144) Group 2 * (6+ stone graves Locus 181) Group 3 * (2 stone graves Locus 174) Single Stone Grave (Locus 160) Single Stone Grave (Locus 502)	
Medieval Period (500 to 1500 CE)	Settlement 2 (17.5 m × 12 m), 3–5 rooms, double stone wall construction, Qarakhanid Period, Redware sherd, Grinding Stone fragment (Locus 170/493) Settlement 4: Large mudbrick and stone complex on w. bank of Chak Juuku stream, 51 m × 20 m (Locus 498) Settlement 5 or Mill: Double stone wall construction, on west bank of Chak Juuku stream, 8 m × 6 m (Locus 500) Settlement 6: Mudbrick room block (2 rooms), 5 m × 10 m, on west bank of Chak Juuku stream, (Locus 501)		3 Qarakhanid glazed ceramics, 5+ redware sherds found at modern corral (Locus 191)
Iron Age (Saka and Wusun period, ca. 800 BCE to 437 CE)	Settlement 1: Double stone alignments, consisting of four rooms with deep depressions, 15 m × 10 m, 1 redware rim, (Loci 182–83)	Saka kurgan Group * 1: 5 stone kurgans, (Loci. 186–88 and possibly Locus 190) 3 separate Saka kurgan, (Loci 177, 180, 192)	1 redware sherd with yellow slip, (Locus 192)
Late Bronze Age (2000–900 BCE)		Rectangular stone enclosure, possibly 1–4 graves (Locus 154)	

\* Groups are defined as linear clusters of graves or kurgans.

### 3.3.1. Settlement 1

Settlement 1, situated at 2057 m in elevation on an upper terrace above a ravine: It is a Wusun Period site dating from 22–206 cal AD (see Table 4). The settlement is located on both sides of the erosional gully. On the north side there are no indication of house foundations, only shallow depressions of house pits. The south side of the gully consists of the outline of three or four room blocks. Room 1 is about 5 m (north–south) × 3 m (east–west), and its west wall outlines Room 2, which is 5 m (north–south) by 4 m (east–west). The outline of Room 3 is further east, where there are only traces of a south wall (3 m in length) and a trace of a north wall (1 m in length). On the south side of this room block is a thick stone wall two courses wide. These stone walls are constructed of irregular boulders. The

erosional cut of the exposed profile is about 2.5 m in depth from the surface and runs in an east–west direction from the reaches of a small sandstone canyon that dissect this terrace. The stratigraphic profile is located on a south-facing erosional cut found on a steep terrace bank at 2044 m asl. The exposed profile is 3 m in length and has a depth from the present ground surface 2 m in depth (Figure 7). There are roughly about six different archaeological layers within the house pit fill. Each layer is around 20 to 30 cm thick of midden deposit consisting of chestnut and red-clay soils intermixed with charcoal and disintegrating mudbrick. There were many animal bones fragments and one cattle vertebrate found at 80 cm below the present ground surface. A redware Iron Age ceramic with white slip discovered at 90 cm below the surface. There were at least three discernible layers of thin plaster floors. At about 1.5 m below present ground surface there were small angular stones and small pebble and sand deposits that appear to be subsoil (non-cultural soil). From an exposed profile of charcoal room fill, three archaeobotanical soil samples (14.5 l) were taken in layers 4 and 5, near where the Iron Age ceramic sherd was found. The small charcoal sample was also taken in the same vicinity.

**Table 4.** Results from Radiocarbon Samples from Upper Juuku at Settlement 1 (Locus 183) and Settlement 2 (Locus 165).

Lab. ID #	Sample ID #	Material/Pretreat	d13C o/oo (IRMS)	Conventional 14C Age	Calendar Calibration of Radiocarbon Age to Calendar Years
Beta-603779	Locus 183	(charred material): acid/alkali/acid	−22.7	1930 +/- 30 BP	95.4% probability (95.4%) 22–206 cal AD (1928–1744 cal BP)
Beta-603780	Locus 170	(charred material): acid/alkali/acid	−25.3	1020 +/- 30 BP	95.4% probability (81.7%) 978–1048 cal AD (972–902 cal BP) (11.4%) 1082–1130 cal AD (868–820 cal BP) (2.3%) 1127–1151 cal AD (813–799 cal BP)

Preliminary archaeobotanical remains found at Settlement 1: There were a small number of carbonized seeds identified from four domesticated crops including barley (*Hordeum vulgare*), wheat (*Triticum aestivum*), broomcorn millet (*Panicum millaceum*), and foxtail millet (*Setaria italica*). More than half the assemblage included wild plants such as chenopods, wild legumes, and cleavers [33].

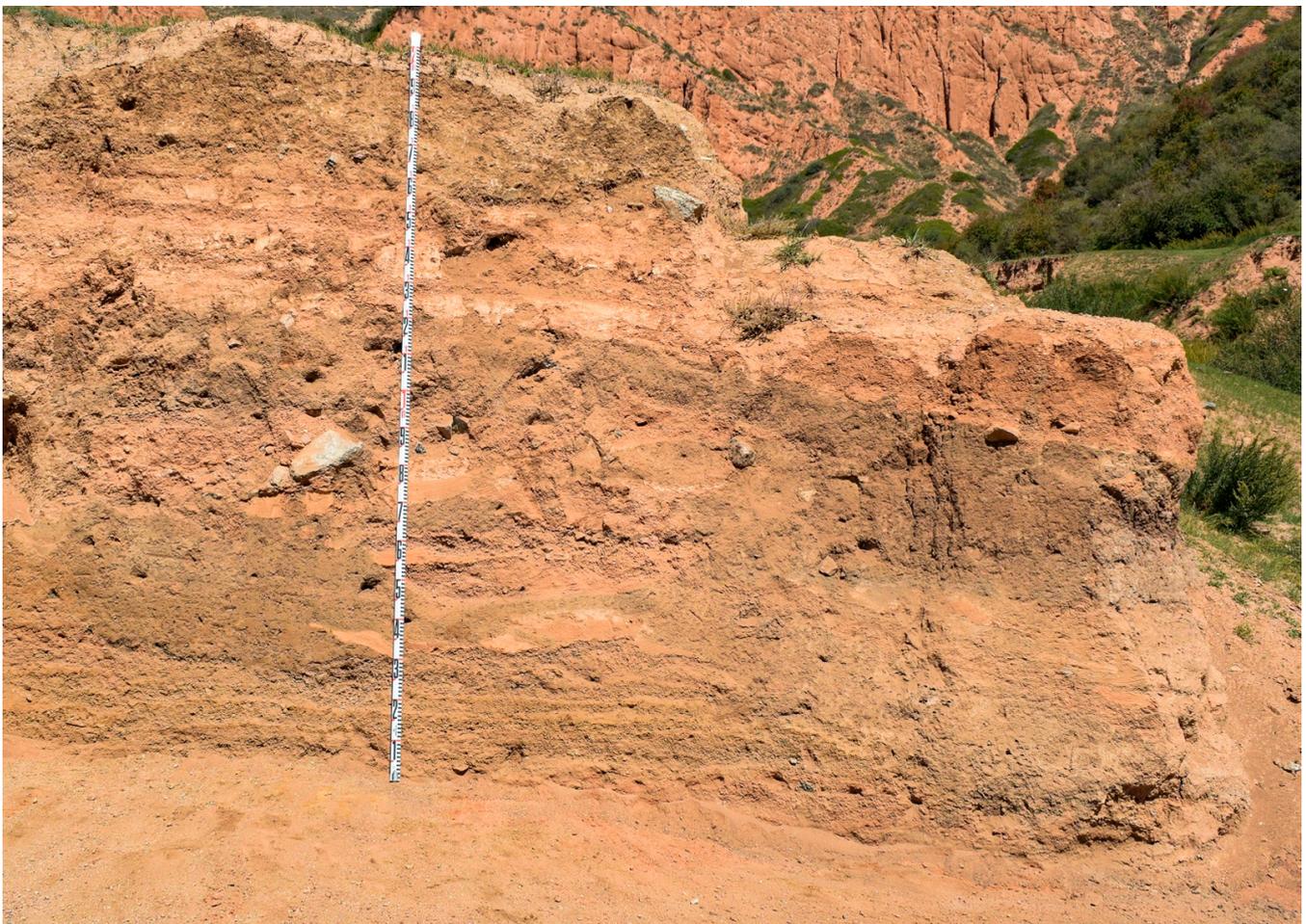


**Figure 7.** Profile of the Iron Age Site at Upper Juuku, two archaeologists working at the profile.

### 3.3.2. Settlement 2

Settlement 2, situated at an upper terrace at an elevation of 2090 m asl: It is a series of double-walled stone alignments of at least four large room blocks and measures about 17.5 m × 12 m. The stratigraphic profile examined is a west-facing cut found at a deep erosional gully that dissects this upper terrace (Figure 8). When this cut was first discovered,

a sheep scapula was found at about 1.3 m in depth from the present ground surface. The cultural levels at this profile are complex since the house fill represents at least three or four different occupation levels. The burnt wood (charcoal) sample and two flotation samples were taken approximately between 50 to 80 cm below the present ground surface in the second to third fill or midden sequence. At about 1.7 to 2.0 m below the surface are a series of thin buff-colored plastered floors or laminations that may represent the different layers of an ancient *sufa* or *kang* (sleeping bench). We did not take charcoal wood samples for dating or the flotation samples from the *sufa* or floor levels because there was no visible carbonized ash, soil, or charcoal at those levels. The site is dated to the Medieval Qarakhanid period and has an approximate radiometric dating of 990–1050 cal AD (see Table 4). This is also confirmed by the Medieval redware ceramics and a granite grinding stone found on the surface of this settlement. The archaeobotanical remains showed a paucity of seeds from the 11.5 L of collected sample, only one barley seed (*Hordeum vulgare*) was identified, the rest were wild seeds [33].



**Figure 8.** Photograph at Profile of Medieval Settlement at Upper Juuku.

### 3.3.3. Radiometric Dating

At Settlement 1 (Iron Age site) and Settlement 2 (Qarakhanid Phase) Medieval Period charcoal samples were taken from the profiles. AMS dating was obtained from both settlements. The following dates are reported in Table 4.

These are AMS (accelerated mass spectrometry) dates. The accuracy is at 95.4%, and each portion or percentage represents the range (or ranges) with an associated probability of an identifiable timescale [30]. IRMS is the method of measurement used at the Beta Analytic Laboratory. The results have been calibrated using INTCAL20 [43]. This IRMS

measurement found in Column 4 (d13C o/oo) can be used by future investigators to recalibrate these results should new calibrations be established.

### 3.4. Mortuary Complexes

There were many lines of Iron Age burial mounds found in both Upper and Lower Juuku. The largest number of burial sites were earthen or stone mounds known as *kurgans*. Most kurgans are from the Saka period, represented by linear clusters of stone or earthen kurgans. The clusters range from 3 mounds to 16 mounds in alignment. In some cases, the stone Saka kurgans (ranging in diameter from 2.8 m to 11 m in diameter and from flat to 1.5 m in height) are interspersed or found in proximity to the earthen Saka kurgans (ranging in diameter from 5.5 m to 97 m in diameter and from 0.4 to 2.5 m in height). In Lower Juuku, the largest kurgan cluster (16 kurgans) covers a distance of 220 m and is aligned 4.6 degrees east of North. The three largest earthen kurgans cover a linear distance of 400 m and are aligned at 10 degrees west of north. A third group consists of six kurgans covering a distance of 270 km and follow a direction of 13 degrees west of north. In Figure 9 there are two large Saka period earthen mounds found in the Lower Juuku. These earthen kurgans are identified as Saka period elite kurgans based on their size, earthen construction, and the *krepida* (rock apron) found on the north side of the kurgan [45].



**Figure 9.** Photograph of large earthen Saka kurgans found in the Lower Juuku Valley.

In addition to the Saka kurgans are Wusun kurgans that have an outer rectangular or square stone outline with an inner circular stone ring. The Wusun kurgans range in size from 2.5 m × 2.5 m to 9 m × 9 m and are flat to 1.2 m in height; the average is 0.4 m in height. Of particular interest is the close proximity of the Saka stone and earthen kurgans

to the Wusun stone kurgans. There appear to be clear spatial boundaries between the Saka and Wusun graves, something that requires further investigation.

### 3.5. Stone Corral

In the Upper Juuku Valley, a stone corral was located. Currently, the chronological period for this stone corral is unknown. The corral is built of boulders and medium-sized stones, in places one to three courses in width. The outline of the corral is almost rectangular with rounded corners. It measures 20 m (north–south)  $\times$  13 m (east–west). To the north of the corral is a small rectangular stone structure that measures 8 m  $\times$  6 m. This could be a storage room or a dwelling used by herders. This corral is an important feature of agropastoralism in the Upper Juuku Valley (Figure 10).



**Figure 10.** Photograph showing a stone corral in Upper Juuku.

### 3.6. Artifacts

Many artifacts were either found as isolated finds or as parts of scatters. The grinding stones were usually made of granite with a concave surface. Figure 11 is a granite grinding stone found as an isolated surface find. The grinding stone measures 30 cm (length)  $\times$  18 cm (width)  $\times$  5 cm (thickness).



**Figure 11.** A granite grinding stone found on the surface.

Over 100 ceramic sherds were found from Upper and Lower Juuku surveys. The majority of the ceramics were redware coarse wares with sand or crushed rock temper. During the survey we made rough distinctions between the Iron Age handmade ceramics (coil or slab) and the Medieval wheel-thrown ceramics. In addition, we found handle, rim, and base fragments, spindle whorls, and a very few pieces of glazed Qarakhanid ceramics. More detailed analyses of these ceramics will be conducted by a ceramics specialist in the future. Figure 12 shows the interior of redware ceramic sherds, some with fabric impressions and red slip. These ceramic pieces date to the Iron Age and represent hand-made or slow wheel ceramics. A detailed description of fabric-impressed ceramics and actual fabric fragments from Bronze and Iron Age contexts at the site of Begash in the Dzhungari Alatau Mountains of southeastern Kazakhstan was conducted by Paula Doumani Dupuy and her colleagues [46]. Similar redware sherds, sometimes with light slip, have been found at Iron Age sites in the Talgar region of southeastern Kazakhstan [14,47].



**Figure 12.** Redware ceramic sherds; interiors showing fabric impressions and red slip, probably Iron Age period ceramics.

#### 4. Discussion

Although an old-fashioned, tried and true method, we prefer to walk the ground. Pacing the diameter of a burial mound by foot or measuring how high it rises above the ground by standing at its base and eyeballing its relative height gives us a three-dimensional perspective. We also follow a line of mounds along a ridge, just as one experiences a row of graves in a modern cemetery. Since this land was used to memorialize the dead, where did the people farm crops or herd sheep? New technologies such as UAVs (drones) or visual inspection of digital map images of burial mounds, graves, or houses are the initial way to locate sites from your desktop. Afterwards, the field archaeologist checks these loci on the ground. A digital image usually does not show small objects (ceramic sherds and stone tools) on the surface. By walking across a settlement, we often find ceramic sherds or grinding stones inside enclosures. Diagnostic ceramic sherds may indicate when a site was occupied. We begin to intuit why ancient people selected these terraces, ridges, and valleys. Ancient walls and room depressions take form as habitations. Our field sketches as notes create a memory bank of what we have seen and found. If we find a piece of broken pottery on a freshly ploughed field, it triggers our recollections. Why was this pot dropped here and did the plough move the broken sherds to this spot? Often the sherds are most visible in farmers' furrows. So, beneath our feet may lie storage pits or trash middens. The field surveys help us discover ancient settlements. In the future, we or others can undertake full coverage survey by traversing complete landscapes.

The site density at these two polygons in the Juuku Valley appears to be much higher than site densities on the Talgar alluvial fan on the edge of the northern Tian Shan moun-

tains. Moreover, the Juuku Valley appears to have a larger representation of sites from the Bronze Age through historic periods. Thus, the Juuku Valley is an excellent micro-region for more in-depth investigations of agro-pastoral systems over a long period of time and in different cultural phases. In 2021, we conducted further reconnaissance of Iron Age settlements, often noting that it was more difficult to find and locate early period settlements from the Bronze and Iron Ages than we initially expected. Most likely, earlier Bronze and Iron Age settlements have been buried by more recent Medieval and historic sites or have been destroyed by modern agricultural practices such as ploughing and irrigation. In the Lower Juuku, where the majority of large-scale tractor and irrigation agriculture occurs, surveys may yield better results if conducted in the early spring or early fall before intensive cropping and after harvesting.

Today the Lower Juuku Valley is well-suited for the cultivation of wheat, barley, oats, and fodder crops during the summer months when large tracts of land can be irrigated and cultivated using large machinery. Sheep, goats, cattle, and horses are often pastured on the agricultural stubble after harvest. The Upper Juuku is more suitable for summer pasturelands for cattle, sheep, goats, and horses. Its rich forest and riparian areas attract a variety of wild animals and fish, deeming this area desirable for upland herding, fishing, and foraging. In the past there may have been pockets of arable land in the Upper Juuku for the cultivation of short season crops such as barley and millets.

## 5. Conclusions

Archaeological surveys are necessary in this region of north-central Kyrgyzstan for two main reasons: (1) the upland areas far from the perimeter of Lake Issyk-Kul are poorly known by Kirghiz archaeologists; (2) the south side of Lake Issyk-Kul is an under-developed tourist area. As this area continues to develop as a tourist area and as an agricultural region for the cultivation of wheat, barley, and fodder, more archaeological sites will be destroyed. Settlement archaeology, especially for the prehistoric periods, is little known in the Republic of Kyrgyzstan. Recently, research on Epipaleolithic through Neolithic layers at Obishir have been conducted by international teams of archaeologists [48–50]. As archaeologists begin to explore the early beginnings of foraging, pastoral, and agricultural economies in Kyrgyzstan, surveys such as the Juuku Valley and the Kochkor surveys will become more essential for the next generation of archaeologists [20,21,51]. Our work represents a modest first step in establishing systematic archaeological survey methods to reconstruct settlement–subsistence systems in this region of Central Asia. The results of the surveys will also be used to select areas for test excavations and large-scale block excavations of both mortuary and settlement complexes. Future test excavations shall yield archeozoological and archaeobotanical materials along with ceramics, stone and bone tools, and metal indicative of ancient herding and farming adaptations. Finally, it is our hope that these physical, archaeological, and cultural landscapes will be preserved through local and national efforts [52]. An inventory of archaeological loci then becomes the initial path toward preserving such fragile landscapes.

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**Data Availability Statement:** The results of the archaeological surveys are currently archived by C.Chang (USA) and S.S.Ivanov (Kyrgyz National University). These include GPS data points, digital mapping, fieldnotes, and preliminary reports. The radiometric data is archived by Beta Analytic Laboratory in Coral Gables, FL (USA). Artifact collections (ceramics, metal, and stone) are archived at the Kyrgyz National University in the Faculty of Far Eastern Studies. The archaeobotanical material is archived at the Max Planck Institute of Human History, Archaeology Department under the supervision of Robert N. Spengler, III, Laboratory Director of the Archaeobotany Laboratory.

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